



Council of the European Union  
General Secretariat

A 010572 17.11.2017

SGS17/09452

Brussels, 27 October 2017

**Mr David McAllister, M.E.P.**

Chair, European Parliament Committee on Foreign Affairs (AFET)

**Subject: Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU) No 230/2014 of the European Parliament and of the Council establishing an instrument contributing to stability and peace (CBSD amendment)**

Dear Mr. McAllister,

Following the informal meeting between the representatives of the three institutions, a draft overall compromise package was agreed today by the Permanent Representatives' Committee.

I am therefore now in a position to confirm that, should the European Parliament adopt its position at first reading, in accordance with Article 294 paragraph 3 of the Treaty, in the exact form as set out in the compromise package contained in Annex I to this letter (subject to the revision by the legal-linguistic experts of both institutions), and accept the Joint declaration contained in Annex II on the understanding that it would be published in the same Official Journal as the amending Regulation, the Council would, in accordance with Article 294, paragraph 4 of the Treaty, approve the European Parliament's position and the Regulation shall be adopted in the wording which corresponds to the European Parliament's position.

On behalf of the Council, I also wish to thank you for your close cooperation which should enable us to reach an agreement on this dossier at first reading.

Yours sincerely,

Ambassador Kaja Tael  
Chair of the Permanent Representatives  
Committee (Part 2)

copy to: **Ms Federica Mogherini**, High Representative of the Union for Foreign Affairs and Security Policy  
**Mr Neven Mimica**, Member of the European Commission  
**Mr Arnaud Danjean**, M.E.P., EP Rapporteur

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Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

amending Regulation (EU) No 230/2014 of the European Parliament and of the Council of  
11 March 2014 establishing an instrument contributing to stability and peace

**THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,**

Having regard to the Treaty on the Functioning of the European Union, and in particular Articles  
209(1) and 212(2) thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Acting in accordance with the ordinary legislative procedure,

Whereas:

(1) The 2005 European Consensus on Development recognised the link between security and  
development.<sup>1</sup>

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<sup>1</sup> Joint statement by the Council and the representatives of the governments of the Member States meeting within the Council, the European Parliament and the Commission on European Union Development Policy entitled "The European Consensus", Official Journal, C 46 of 24.2.2006.

(2) The 2030 Agenda for Sustainable Development, adopted by Resolution of the United Nations General Assembly on 25 September 2015<sup>2</sup>, underlines the importance of promoting peaceful and inclusive societies both as a Sustainable Development Goal (SDG 16) and in order to achieve other development policy outcomes. SDG 16.a specifically requests to “Strengthen relevant national institutions, including through international cooperation, for building capacities at all levels, in particular in developing countries, for preventing violence and combatting terrorism and crime”.

(3) The primary objective of Union development policy is the reduction and, in the long term, the eradication of poverty.

(4) The OECD Development Assistance Committee (DAC) High Level Meeting Communiqué of 19 February 2016, updated the reporting directives on Official Development Assistance (ODA) in the field of peace and security<sup>3</sup>. The financing of the actions undertaken in accordance with this Regulation constitutes Official Development Assistance (ODA) when it fulfils the criteria set out in these reporting directives or any reporting directives the OECD/DAC may agree upon subsequently.

(5) Supporting security sector actors, including the military under exceptional circumstances, in third countries in a conflict prevention, crisis management or stabilisation context is essential to ensure appropriate conditions for poverty eradication and development. Good governance and effective democratic control and civilian oversight of the security system, including the military, as well as compliance with human rights and the rule of law principles are essential attributes of a well-functioning State in any context, and should be promoted through a wider security sector reform support to third countries.

(6) The European Council conclusions of 19/20 December 2013 on Common Security and Defence Policy (CSDP) emphasised the importance of supporting partner countries and regional organisations, through providing training, advice, equipment and resources where appropriate, so that they can increasingly prevent or manage crises by themselves.

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<sup>2</sup> A/RES/70/1.

<sup>3</sup> Document DCD/DAC(2016)3/FINAL of 8 April 2016.

(7) In the Joint Communication entitled 'Capacity building in support of security and development – Enabling partners to prevent and manage crisis', the Commission and the High Representative of the Union for Foreign Affairs and Security Policy reiterated the need for stable and secure societies in order to achieve development objectives.<sup>4</sup>

(8) In line with the EU's Comprehensive Approach and in order to maximize the impact, efficiency and consistency of EU support, the Council conclusions on CSDP of 18 May 2015 invited the High Representative for Foreign Affairs and Security Policy (HR) and the Commission to develop, in consultation with the Member States, an EU-wide strategic framework to support Security Sector Reform. This policy concept<sup>5</sup> brings together CSDP and all other relevant CFSP tools as well as development co-operation instruments, while respecting their respective legal bases, primary objectives and decision-making procedures.

(9) Capacity building of military actors in third countries should be undertaken as part of the Union's development cooperation policy when it mainly pursues objectives in the field of development and as part of the Union's CFSP when it mainly pursues objectives in the field of peace and security, in compliance with Article 40 TEU. This Regulation respects the application of the procedures and the extent of the powers of the institutions under the Union's development cooperation policy and its CFSP.

(10) Union assistance under this Regulation could cover the provision of capacity building programmes in support of development and security for development, including, training, mentoring and advice on, for example, human rights, governance and the rule of law, the protection of women and children, civilian crisis response, human resources management and technical co-operation.

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<sup>4</sup> JOIN(2015) 17 final of 28 April 2015.

<sup>5</sup> Joint Communication to the European Parliament and the Council on Elements for an EU-wide strategic framework to support security sector reform (JOIN (2016) 31 final of 5 July 2016).

(11) The Commission is to closely monitor measures under this Regulation. The Commission is to keep the European Parliament duly informed, in a timely manner, about the implementation of Union assistance pursuant to this Regulation. No later than 30 June 2020, the Commission is to evaluate the impact, effectiveness and coherence with the Sustainable Development Goal 16 of measures pursuant to this Regulation. To that end, the Commission is to associate all relevant stakeholders, including civil society organisations and local authorities in the evaluation phase ensuring they play a meaningful role in the process. The Commission is to undertake, where appropriate, joint evaluations with the Member States. The results are to inform programme design and resource allocation, and to further enhance the consistency and complementarity of the Union's external action.

(12) Regulation (EU) No 230/2014 should therefore be amended accordingly.

HAVE ADOPTED THIS REGULATION:

#### *Article 1*

Regulation (EU) No 230/2014 is amended as follows:

(1) In Article 1(2) the following sub-paragraph is added:

“Where Union assistance is provided to the security sector actors, this may also include military actors under exceptional circumstances as provided for in Article 3a in the context of a wider security sector reform process or capacity building in support of development and security for development in third countries, in line with the overarching objective of achieving sustainable development.”

(2) The following Article 3a is inserted:

"Article 3a

Capacity building of military actors in support of development and security for development

1. In order to contribute to sustainable development which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used to build the capacity of military actors in partner countries, under the exceptional circumstances as set out in paragraph 3 of this Article, to deliver development activities and security for development activities.

2. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes, in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.

3. Assistance pursuant to this Article shall only be provided:

(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat;

and

(b) where a consensus exists between the country concerned and the European Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.

4. Union assistance pursuant to this Article shall not be used to finance capacity building of military actors for purposes other than the delivery of development activities or security for development activities. In particular, it shall not be used to finance:

- (a) recurrent military expenditure;
- (b) the procurement of arms and ammunition, or any other equipment designed to deliver lethal force;
- (c) training which is designed to contribute specifically to the fighting capacity of the armed forces.

5. When designing and implementing measures pursuant to this Article, the Commission shall promote ownership by the partner country. It shall also develop the necessary elements and the good practices required for ensuring sustainability in the medium and long term and promote the rule of law and established international law principles.

6. The Commission shall establish appropriate risk assessment, monitoring and evaluation procedures for measures pursuant to this Article."

(3) In Article 7, paragraph (1) is replaced by the following:

"1. Union assistance pursuant to Article 3, and to Article 3a as appropriate, shall be provided through exceptional assistance measures and interim response programmes."

(4) In Article 8, paragraph (1) is replaced by the following:

"1. Thematic strategy papers shall constitute the general basis for the implementation of assistance pursuant to Articles 4 and 5, and to Article 3a as appropriate. Thematic strategy papers shall provide a framework for cooperation between the Union and the partner countries or regions concerned."

(5) In Article 10, paragraph (1) is replaced by the following:

"1. The Commission shall ensure that measures adopted under this Regulation in relation to the fight against terrorism and organised crime, as well as measures covered under Article 3a, are implemented in accordance with international law, including human rights law and international humanitarian law."

(6) In Article 13, a new paragraph is added:

“4. A further amount of EUR 100 000 000 shall be added to the financial envelope referred to in paragraph 1 and shall be allocated to measures falling under Article 3a.”

#### *Article 2*

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Strasbourg,

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Declaration concerning sources of funding of assistance measures under Article  
3a of Regulation (EU) No 230/2014 of the European Parliament and of the  
Council establishing an instrument contributing to stability and peace

The European Parliament, the Council and the Commission agree that capacity building in support of development and security for development should be financed within Heading IV of the multiannual financial framework for the years 2014-2020, primarily through redeployments, while preserving the financial balance among all instruments to the maximum extent possible. Furthermore, without prejudice to the prerogatives of the budgetary authority in the annual budgetary procedure, such redeployments should not include use of appropriations allocated to measures under Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020.

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