



Council of the European Union
General Secretariat

Brussels, 20 March 2019

Mr. Roberto Gualtieri
Chairman, European Parliament Committee
on Economic and Monetary Affairs
European Parliament
60, rue Wiertz
B - 1047 BRUSSELS

Subject: Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on the prudential requirements of investment firms and amending Regulations (EU) No 575/2013, (EU) No 600/2014 and (EU) No 1093/2010

and

Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on the prudential supervision of investment firms and amending Directives 2013/36/EU and 2014/65/EU

Dear Mr Gualtieri

Following the informal meetings between the representatives of the three institutions, a draft overall compromise package on the above-mentioned proposals was agreed today by the Permanent Representatives' Committee.

I am therefore now in a position to confirm that, should the European Parliament adopt its positions at first reading, in accordance with Article 294 paragraph 3 of the Treaty, in the exact form as set out in the compromise package contained in the Annexes to this letter (doc 7460/19 ADD1-ADD2), the Council would, in accordance with Article 294, paragraph 4 of the Treaty, approve the European Parliament's positions and the acts shall be adopted in the wording which corresponds to the European Parliament's position, subject, if necessary, to revision by the legal linguists of both institutions.

On behalf of the Council I also wish to thank you for your close cooperation which should enable us to reach agreement on these dossiers at first reading.

Yours sincerely

Cosmin BOIANCIU

Chairman of the Permanent Representatives
Committee (Part 1)

copy to: Mr. Valdis Dombrovskis, Commissioner
Mr. Markus Ferber, European Parliament Rapporteur