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# Evaluation in the European Commission

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Rolling check-list  
and state of play

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STUDY

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Author: Irmgard Anglmayer  
Ex-Post Evaluation Unit  
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## Rolling check-list and state of play

Ex-post evaluation provides an evidence-based assessment of the performance of policies and legislation. Its findings support political decision-making and inform the design of new interventions. For this reason, and notably under the EU's Better Regulation agenda, evaluation has become a key policy-making tool at EU level. At the same time, evaluation is an aid for legislators, in particular at the policy review stage. The European Parliament therefore has a keen interest in obtaining a complete picture of ongoing Commission evaluations and in having timely access to evaluation results.

This fourth edition of the EPRS rolling check-list 'Evaluation in the European Commission' is designed to provide a comprehensive overview of planned, ongoing and recently completed Commission evaluations. Compiled from a range of sources in the public domain, it seeks to fill a gap by granting a single access point to the Commission's evaluation planning and output, as of 30 June 2020. The dataset is preceded by an analysis of how the evaluation process has evolved since the 2015 Better Regulation reform, with particular regard to the transparency of the European Commission's ex-post evaluation process.

## **AUTHOR**

Irmgard Anglmayer, Policy Analyst, Ex-Post Evaluation Unit, EPRS

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To contact the author, please email: [EPRS-ExPostEvaluation@ep.europa.eu](mailto:EPRS-ExPostEvaluation@ep.europa.eu)

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[eprs@ep.europa.eu](mailto:eprs@ep.europa.eu)

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## Executive summary

Ex-post evaluation of policies and legislation is at first glance a backward-looking tool that provides an evidence-based assessment of the performance of existing legislation, policies and programmes. At the same time, however, there is a forward-looking aspect inherent in evaluation, as it informs political decision-making and contributes to strategic policy planning, including the design of new interventions. In this respect, and notably under the EU's Better Regulation agenda, evaluation has become an important policy-making tool at EU level, and in particular in the European Commission.

The European Commission's evaluations constitute a valuable **aid for the European Parliament** in the general context of parliamentary oversight of the executive, and in particular at the stage of policy review. Therefore, the European Parliament has a vested interest in the timely availability of evaluation results as well as in obtaining comprehensive information on ongoing evaluations, notably with regard to their scope and the envisaged time horizon.

This is the **fourth edition** of EPRS rolling check-list 'Evaluation in the European Commission'. Its purpose remains unchanged: like previous editions, it aims to provide as comprehensive an overview as possible of planned, ongoing and recently completed evaluations carried out by the various directorates-general (DGs) and services of the European Commission. Compiled from a multitude of EU and Commission information sources in the public domain, this study seeks to fill a gap by granting a single point of access to the Commission's evaluation planning and output, reflecting the state of play as per 30 June 2020.

The **core** of this publication consists of **two datasets**:

- the first covers all **planned and ongoing evaluations** at the European Commission that could be identified in the various data sources – 353 in total;
- the second covers all **evaluations the Commission completed** and published between 1 October 2017<sup>1</sup> and 30 June 2020. This set of data is split into two:
  - i). completed Commission evaluations published as staff working documents (183);
  - ii). external studies that constitute the evaluation (43).

The datasets are based on a range of information sources that were cross-checked to secure the most comprehensive data possible. Hyperlinks to the full text of evaluation roadmaps and published evaluations are provided where available.

These datasets are preceded by an **introductory analysis** that places the gathered data in their broader context. The analysis recalls the important changes made to the evaluation process under the 2015 Better Regulation reform and looks in particular at the transparency commitments made by the European Commission.

Chapter 1 summarises the changes the EU's 2015 Better Regulation package (including the guidelines and their 2017 revision) brought for policy evaluation. It reviews how these changes were received by EU actors including the European Parliament and provides a flavour of how they were discussed in academic discourse on Better Regulation. As in its ninth legislative term, the European Parliament has seen a 60 % turnover of its Members compared to the previous legislature, such contextual framing appears opportune as the first year of the new term draws to an end.

Chapter 2 outlines the factors that prompt evaluations in the European Commission, comprising legal requirements on the one hand and non-legal triggers on the other.

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<sup>1</sup> October 2017 was chosen as cut-off date, so as to ensure that the dataset of published evaluations complements the third edition of this rolling check-list, published in November 2017, seamlessly.

Chapter 3 examines the Commission's commitments regarding transparency of the entire evaluation process, from the planning stage to completion, as set out in the Interinstitutional Agreement on Better Law-Making (2016) and the Better Regulation Guidelines (2015 and 2017). It assesses the transparency of the Commission's evaluation process and output against the institution's own commitments and finds that access to evaluation documents is not always straightforward. The appraisal is drafted from the perspective of an external observer, drawing *inter alia* on experience encountered in the data compilation process for this present study.

Finally, Chapter 4 provides an analysis of the datasets of the European Commission's planned, ongoing and completed evaluations.

## Purpose of this research paper

There is currently no unique repository available in the public domain that would provide a complete overview of ongoing and planned Commission evaluations. Relevant information is scattered around different sources. In view of the size of the *acquis communautaire* and the sheer amount of data available, drawing a complete picture of ongoing and recently published Commission evaluations necessitates regular and systematic monitoring of a whole set of sources. This requires time and is inevitably prone to inaccuracies. Yet, a single point of access to this information would support the European Parliament in its work.

The present research paper aims to fill this gap. It is intended as a tool to assist Members of the European Parliament and parliamentary committees in their scrutiny and policy review work by allowing them to follow the Commission's evaluation planning (and completed work) closely in their areas of interest. In particular, it should help them plan and trigger (or not) their own scrutiny initiatives along the policy cycle. Last but not least, this analysis should also contribute to a better understanding of the scope, nature and scale of evaluation activities in the European Commission. Previous editions of this rolling check-list were published in [2015](#), [2016](#) and [2017](#). Their datasets, as well as their introductory analyses, complement each other.

The present EPRS study 'Evaluation in the European Commission' looks exclusively at evaluations and fitness checks. Committees and Members interested more generally in the Commission's review and reporting obligations may also refer to another, closely related EPRS publication, the periodically updated rolling check-list on '[review clauses in EU legislation](#)'. It provides a comprehensive and analytical overview of review clauses<sup>2</sup> contained in EU legislation adopted under the ordinary legislative procedure (co-decision) and special legislative procedures since the sixth parliamentary term (i.e. as of 2004). Last issued in June 2018, an update of the review clause list is currently in preparation.

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<sup>2</sup> A review clause is a provision in a legal act mandating a review, evaluation or an implementation report.

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## Abbreviations

BR	Better Regulation
BRG	Better Regulation Guidelines
COM	European Commission communication
CWP	Commission workprogramme
DG	directorate-general
EBPM	evidence-based policy-making
ECA	European Court of Auditors
ECJ	European Court of Justice
EESC	European Economic and Social Committee
F4F	Fit for Future Platform
FR	Financial Regulation applicable to the general budget of the Union
IAB	Impact Assessment Board
IJA-BLM	Interinstitutional Agreement on Better Law-Making
MEP	Member of the European Parliament
MFF	multiannual financial framework
OECD	Organisation for Economic Co-Operation and Development
OJ	Official Journal of the European Union
RCL	rolling check-list
REFIT	regulatory fitness and performance programme
RSB	Regulatory Scrutiny Board
SWD	staff working document
TEU	Treaty on European Union
TFEU	Treaty on the Functioning of the European Union

## Acronyms of European Commission DGs and services

DG AGRI	Agriculture and rural development
DG CLIMA	Climate action
DG COMM	Communication
DG COMP	Competition
DG CONNECT	Communications networks, content and technology
DG DEVCO	International cooperation and development
DG DIGIT	Informatics
DG EAC	Education, youth, sport and culture
DG ECFIN	Economic and financial affairs
DG ECHO	European civil protection and humanitarian aid operations
DG EMPL	Employment, social affairs and inclusion
DG ENER	Energy
DG ENV	Environment
DG ESTAT	Eurostat, European statistics
DG FISMA	Financial stability, financial services and capital markets union
FPI	Foreign Policy Instruments
DG GROW	Internal market, industry, entrepreneurship and SMEs
DG HOME	Migration and home affairs
DG JRC	Joint Research Centre
DG JUST	Justice and consumers
DG MARE	Maritime affairs and fisheries
DG MOVE	Mobility and transport
DG NEAR	European neighbourhood and enlargement negotiations
OLAF	European Anti-Fraud Office
DG REGIO	Regional and urban policy
DG RTD	Research and innovation
DG SANTE	Health and food safety
SG and REFORM	Secretariat-General and DG structural reform support
DG TAXUD	Taxation and Customs Union
DG TRADE	Trade

## Part I: Analysis

### 1. Evaluation in the Better Regulation context

#### Key findings

Better Regulation is a **dynamic agenda**. The Better Regulation package the European Commission adopted in May 2015 constituted a milestone for policy evaluation in so far as it **integrated ex-post evaluation into the policy cycle**. The 2015 reform (and the 2017 update of the Better Regulation Guidelines) included a number of **substantial changes** concerning the Commission's evaluation methods and processes. A key outcome of the reform was the Interinstitutional Agreement on Better Law-Making (IIA-BLM), signed by the Commission, Parliament and Council on 13 April 2016. It is a joint commitment by the three institutions to promote and implement Better Regulation practices and governs the EU law-making process with regard to programming and the use of tools for **evidence-based policy-making**.

The **other EU institutions**, including the European Parliament, generally welcomed the Commission's Better Regulation reform. A 2018 performance audit by the European Court of Auditors attested the Commission's ex-post evaluation system to generally work well, though highlighting a few issues for improvement. The Regulatory Scrutiny Board, being the regulatory oversight body set up by the Commission, checks the quality of fitness checks and major evaluations (along with impact assessments). In its opinions the Board addresses methodological weaknesses in the Commission's regulatory policy output. In addition, it acts also upstream by advising the different Commission DGs and services early in the process on how to mitigate regulatory quality issues.

In the **academic discourse on Better Regulation**, scholars have generally acknowledged the progress the renewed Better Regulation agenda has meant for policy evaluation. In that respect academics tend to agree that the 2015 Better Regulation package granted evaluation an enhanced status and significance. Some academics flagged issues for potential improvement, while a few expressed scepticism regarding either certain elements of the Better Regulation package or the overall strategy.

#### 1.1. The 2015 reform's implications for evaluation

Evaluation had become an integral part of the European Commission's spectrum of activities long before the Better Regulation package was adopted in May 2015.<sup>3</sup> Its origins go back to the 1980s, when the Commission began to evaluate spending programmes, as mandated by budgetary procedures and financial regulations. While in that early period the main focus was placed on financial accountability, over the years the Commission expanded its use of evaluation to encompass legislation and policies in general, irrespective of spending aspects. This development was prompted – or at least politically supported – by a strategic Commission communication from 2007.<sup>4</sup> In the following years, a number of Commission directorates-general (DGs) systematised their evaluation activities and began to develop internal guidance on methods and processes.<sup>5</sup>

Despite the Commission's long-term experience with evaluation, the Better Regulation reform under the presidency of Jean-Claude Juncker constituted a milestone for policy evaluation, bringing

<sup>3</sup> For a historical account of Commission evaluation see Stijn Smismans, 'The politicization of ex post policy evaluation in the EU', *European Journal of Law Reform*, Vol. 19(1-2), 2017, pp. 74-80.

<sup>4</sup> European Commission, Responding to strategic needs: reinforcing the use of evaluation, SEC(2007) 213.

<sup>5</sup> Among the frontrunners were the DGs in charge of budget, regional policy and internal market.

about considerable changes.<sup>6</sup> First and foremost, the reform **built ex-post evaluation into the policy cycle**. The Better Regulation Guidelines (BRG) and the associated Toolbox – which, taken together, are the Commission's own internal guidelines on principles and procedures to follow when preparing new initiatives and assessing the performance of existing legislation and as such are not binding on other institutions – brought ex-ante impact assessment, evaluation and stakeholder consultation 'under one conceptual and procedural framework'<sup>7</sup> and under one single set of guidelines.

With regard to evaluation, the BRG and its Toolbox set out a detailed **methodology** on how to evaluate, and at the same time, they provide **procedural** guidance throughout the entire evaluation process, for all stages, from the planning of an evaluation to its completion, and even include an initial political follow-up. A particular merit of the BRG was that they brought clarity as to what formally constitutes a Commission evaluation; previously, the concepts of 'evaluation' and externally commissioned evaluation 'study' tended to overlap, as was argued in the previous editions of this present study.

Under the Juncker Commission's Better Regulation agenda, policy evaluation experienced a shift of status, from an appendix to policymaking to an integral part of the policy cycle. This novel approach consistently interweaves ex-post evaluation with ex-ante impact assessment, and also integrates stakeholder consultation. In this respect, evaluation **closes the policy cycle**, and at the same time prompts the process of kick-starting the cycle's next round. The BRG emphasise the premise of the '**evaluate first**' principle, meaning that before an initiative (e.g. a piece of legislation) is modified (or renewed or repealed), its performance and effects are to be evaluated, so that lessons can be drawn and taken into account for the preparation of the amending proposal. Furthermore, the BRG recommend that, from the outset of a new initiative, typically already at the stage of the ex-ante impact assessment, due consideration be given to review clauses and an appropriate **monitoring** and data collection framework. Monitoring and data requirements embedded in the legal act can generate data that inform a future evaluation. Another linkage between the ex-ante and the ex-post assessment is the requirement to consider in an ex-post evaluation, if appropriate, the assumptions made in the initial impact assessment. This will be of greater relevance in future, once initiatives that have been prepared in accordance with the BRG's ex-ante requirements (i.e. since 2015) reach the evaluation stage in the policy cycle.

Under the BRG, the evaluation process has become more **transparent** and **participatory**, consequently, much effort goes into the communication with, and the involvement of, stakeholders. For instance, the BRG prescribe the publication of an '**evaluation roadmap**' on a central internet portal to outline and communicate the evaluation project to the public at an early stage, thereby soliciting feedback from stakeholders – i.e. citizens, businesses and organisations that are directly concerned by a policy initiative. Systematic **stakeholder consultation**, at different stages of the evaluation, has become a mandatory part of the process.

At EU level, Better Regulation is intrinsically linked to the principle of evidence-based policy-making (EBPM), and notably impact assessment and evaluation are deemed tools that support EBPM.

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<sup>6</sup> Strictly speaking, some of the changes described were not an absolute novelty, but had been anticipated in previous Commission communications, in particular [COM\(2010\) 543](#) on 'Smart Regulation in the European Union', and [COM\(2013\) 686](#) entitled 'Strengthening the foundations of Smart Regulation – improving evaluation'. However, even if these changes had emerged already earlier in the Better Regulation agenda, which is generally a dynamic process, they encountered a systematisation through their inclusion in the Better Regulation Guidelines (2015).

<sup>7</sup> Elizabeth Golberg, '[Do the Results of the EU Better Regulation Program Match its Ambitions?](#)', *The Regulatory Review*, April 2019.

Evidence can be drawn from a multitude of sources,<sup>8</sup> and evaluations themselves also constitute (new) evidence. The Commission can conduct evaluations either in-house, which is most often the case, or contract them out to external experts. In the past, before the 2015 reform, the Commission tended to publish such external evaluation studies as 'the evaluation', and it was not always clear to what extent the Commission effectively endorsed the findings/recommendations presented in the external study. As stressed in previous editions of this rolling check-list, in this respect the BRG brought more clarity, as they require that the lead DG publishes a final evaluation document in form of a Commission **staff working document (SWD)**. Thereby, the Commission takes **ownership** of the evaluation results, which adds to transparency and accountability. The guidelines set out that external studies underpinning the SWD should also be made publicly available. In general, the BRG increased the level of transparency, as will be shown in Chapter 3.

Furthermore, the BRG govern the use of **fitness checks**.<sup>9</sup> In comparison with evaluations, fitness checks assess a bundle of intertwined measures or an entire policy area (rather than an isolated measure). Their purpose is to identify gaps, inconsistencies and undue burdens, or, at a more general level, the cumulative impact of the measures at stake, together with their potential for simplification. The BRG require that all fitness checks, as well as 'major evaluations' undergo a quality-check by the **Regulatory Scrutiny Board (RSB)**.<sup>10</sup> The expansion of the regulatory oversight board's mandate to include evaluations and fitness checks is another novelty, as the mandate of its predecessor, the Impact Assessment Board (IAB; 2006-2015) was limited to examining ex-ante impact assessments. Compared to the IAB, the RSB not only enjoys a broader mandate, but also a higher degree of independence. The Board's advice and opinions have contributed to raising the quality of the Commission's impact assessments and, to some extent also of evaluations. Figure 1 visualises the main changes the 2015 Better Regulation reform meant for policy evaluation.

Figure 1 – The Better Regulation reform – main changes for evaluation



Source: EPRS.

<sup>8</sup> See for example Sean J. Kealy and Alex Forney, 'The reliability of evidence in evidence-based legislation', *European Journal of Law Reform*, Vol. 20(1), 2018, pp. 40-66.

<sup>9</sup> Although the concept of a 'fitness check' was already introduced in 2010, through [COM\(2010\) 543](#), this instrument was not systematically used before the 2015 Better Regulation reform.

<sup>10</sup> According to the BRG, all fitness checks and ex-ante impact assessments undergo RSB scrutiny, as do 'major' evaluations. Although the BRG do not provide any definition as to what constitutes a 'major' evaluation, the RSB's annual reports indicate that such files would typically include evaluations that inform policy initiatives from priority areas; programmes with large budgets, a high degree of innovation or political sensitivity; and cross-cutting policy initiatives.

In addition to the BRG and its Toolbox, the Better Regulation package of 2015 included also a proposal for an **Interinstitutional Agreement on Better Law-Making (IIA-BLM)**. Following tripartite negotiations, this agreement was signed on 13 April 2016 by the Commission, Parliament and Council.<sup>11</sup> It is a joint commitment of the three institutions to promote and implement Better Regulation practices. Soft law by nature, it defines the respective roles and responsibilities of the three institutions in the regulatory process, in particular with regard to programming and the use of tools for evidence-based policy-making. It reinforces the importance of ex-post evaluation of existing legislation and clarifies its position in the policy cycle.

Concerning evaluation, the IIA-BLM:

- emphasises the importance of consistency and coherence among the three institutions in the performance of evaluation;
- contains a set of provisions pertaining to evaluation planning; in particular, it requires the Commission:
  - to share its multi-annual evaluation planning with the co-legislators;
  - to include specific evaluation requests by co-legislators into its planning;
  - to include evaluations in the Commission work programme (CWP); and
  - to respect the timing for reports and reviews set out in EU legislation;
- stresses the link between evaluation and ex-ante impact assessment, stating that impact assessment work should be used as the basis for evaluations, and in turn, evaluation findings should feed into impact assessments;
- states that EU legislation should include appropriate reporting, monitoring and evaluation requirements, possibly coupled with measurable indicators, but avoiding overregulation and administrative burdens;
- and finally, includes a transparency requirement, committing the Commission to give an account of the scope and results of completed evaluations.

Better Regulation is a **dynamic agenda**. Accordingly, the Commission has been constantly seeking to develop it further, so as to keep it fit for purpose. In 2017, the Commission revised the BRG and the accompanying Toolbox to reflect, not least, the 2016 IIA-BLM. In addition, this revision brought more clarity and guidance on certain aspects pertaining to evaluation. These aspects concerned for instance the monitoring framework and review clauses, and also the so-called **back-to-back** exercises. The latter term describes the parallel conduct of an ex-post evaluation of an existing measure and an ex-ante impact assessment of the amending proposal, in a single process, mainly due to 'political urgencies or timing constraints'.<sup>12</sup>

Finally, in 2019, the Commission undertook a stock-taking exercise, the findings<sup>13</sup> of which are expected to feed into a new Better Regulation communication announced for late 2020. This communication is set to also cover further adjustments emerging from new priorities of the von der Leyen Commission, which include regulatory offsetting (one-in-one-out) and foresight. It may potentially also address the Commission President's announcement to support a direct right of legislative initiative of the European Parliament.<sup>14</sup>

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<sup>11</sup> [OJ L 123, 12.5.2016, pp. 1–14.](#)

<sup>12</sup> BRG, Toolbox, tool #7.

<sup>13</sup> European Commission, Better Regulation: taking stock and sustaining our commitment, [COM\(2019\) 178](#), and associated [SWD\(2019\) 156](#).

<sup>14</sup> Ursula von der Leyen, [A Union that strives for more – my agenda for Europe](#): Political guidelines for the next European Commission 2019-2024, p. 20.



## 1.2. Reactions from the EU institutions and academia

This chapter summarises how the Better Regulation package of 2015 was received by other EU institutions/bodies, and subsequently provides an account of the academic debate.

### 1.2.1. The European Parliament and other EU bodies

In its resolution of 12 April 2016, the **European Parliament** explicitly welcomed the Better Regulation package and expressed its support to the 'continued commitment shown by the Commission to the better law-making agenda'. With specific regard to evaluation, Parliament commended the Commission on 'making ex-post analysis an integral part of better regulation', arguing however that national parliaments should also be involved in evaluation of legislation.<sup>15</sup>

Following up, Parliament drew up a dedicated report on the implementation of the IIA-BLM, which was adopted on 30 May 2018 in plenary. In its resolution, Parliament emphasised the importance of evaluating existing legislation ex post, in accordance with the 'evaluate first' principle, and recommended basing the evaluation of an act on the same methodology as was used in the impact assessment.<sup>16</sup> Furthermore, Parliament welcomed the commitment contained in the IIA-BLM to establish reporting, monitoring and evaluation requirements and to include review clauses in legislation. On a more critical note, it called on the Commission to respect the legal deadlines of reporting and review clauses in legislation and, finally, voiced concerns regarding access to externally conducted studies that inform Commission decisions.<sup>17</sup>

In its conclusions of 26 May 2016, the **Council** (Competitiveness Council) acknowledged the value of the Better Regulation package in principle. The conclusions were in general strongly business-oriented and placed relatively little emphasis on ex-post evaluation. However, they recalled 'the importance of cooperation between the Commission and Member States to ensure that the information and data needed to monitor and evaluate the implementation of EU law is obtained while minimising additional administrative burden for business and Member States'.<sup>18</sup> In subsequent Council conclusions, ex-post review of EU legislation has been highlighted 'as one of the key pillars of the Commission's Better Regulation policy';<sup>19</sup> though, better implementation of the 'evaluate first principle' is advocated.<sup>20</sup> Another recurring topic in Council conclusions is the independence of the RSB (see below under ECA).

The **European Court of Auditors (ECA)** dedicated a special report to the Commission's ex-post review system.<sup>21</sup> The 2018 audit found the evaluation system 'as a whole, well-managed and quality-

<sup>15</sup> European Parliament, Resolution on Regulatory Fitness and Performance Programme (REFIT): state of play and outlook, 12 April 2016, [P8\\_TA\(2016\)0104](#), points 6, 40 and 42.

<sup>16</sup> This is indeed envisaged in the BRG, p. 58: 'The evaluation must be informed by earlier impact assessments covering the same intervention. Where the impact assessment has paid particular attention to certain stakeholder groups, or categories of impacts (e.g. economic, social, environmental), the subsequent evaluation must perform the corresponding complementary retrospective analysis or explain why this has not been possible.' However, evaluations comparing the effective impacts with the assumptions of the initial impact assessment will only materialise over time, given that the full implementation of the BRG for ex-ante impact assessments started only in 2015. Basing the evaluation on these impact assessments will only be possible for acts adopted in line with the BRG. To recall, the Commission started to base legislative proposals on impact assessments systematically in 2002, but before 2015, less rigorous methodological guidelines were followed.

<sup>17</sup> European Parliament, Resolution on the interpretation and implementation of the Interinstitutional Agreement on Better Law-Making, 30 May 2018; [P8\\_TA\(2018\)0225](#), points 38-40 and 94.

<sup>18</sup> Council of the EU, Conclusions Better regulation to strengthen competitiveness, [press release](#), 26 May 2016.

<sup>19</sup> Council conclusions of 27 February 2020, [6232/20](#).

<sup>20</sup> Council conclusions of 12 November 2018, [14137/18](#), and Council conclusions of 27 February 2020, [6232/20](#).

<sup>21</sup> European Court of Auditors, [Ex-post review of EU legislation: a well-established system, but incomplete](#), special report, 2018.

controlled, thereby contributing effectively to the Better Regulation cycle'. ECA particularly welcomed the extended scope of the RSB scrutiny, now also encompassing evaluations and fitness checks. Although it recognised the 'de facto independence' of the RSB, it considered the lack of a dedicated RSB secretariat to 'pose a risk to its independence'.<sup>22</sup> On this point, Council broadly supported ECA's line of argumentation, calling for an RSB secretariat that would hierarchically be separate from the Commission's Secretariat-General,<sup>23</sup> whereas Parliament did not express the same concern in any resolution. Instead, Parliament called more generally for 'an evaluation of the performance of the Regulatory Scrutiny Board in fulfilling its role'.<sup>24</sup>

Furthermore, ECA commented positively on increasing respect for the 'evaluate first' principle, but held that the evaluation results were then not always considered in the preparation of the amending piece of legislation. It noted also 'shortcomings in the presentation of the methodology used and in the recognition of data limitations'. A further point ECA made concerns delays in Commission evaluations. It observed that evaluations and fitness checks are delayed by an average of 16 months, compared with the provision in the legal act. Not strictly speaking concerning evaluations, but closely linked, one ECA recommendation pointed at monitoring frameworks and review clauses, and notably at the lack of specific guidelines that would be common to the three institutions. The latter point was picked up by the Council, which calls for minimum standards for ex-post reviews other than evaluations<sup>25</sup> and involvement of the RSB in 'other ex-post reviews'.<sup>26</sup>

The Commission's own, albeit independent, **Regulatory Scrutiny Board** considered that the May 2015 Better Regulation package had delivered 'tangible improvements'.<sup>27</sup> It acknowledged evaluations to be 'complex exercises'.<sup>28</sup> Judging from the critical comments made throughout the various RSB annual reports, the Board deems the Commission's evaluation output to have not yet reached its full potential. The RSB has repeatedly expressed the view that it finds the Commission's evaluations to be generally of a lower quality than its impact assessments. Issues identified relate to the evaluation design and methodology (e.g. intervention logic, data collection, evaluation method chosen, timeliness), as well as the validity of conclusions. In this respect, the Board also concedes that the moment of its evaluation review comes late in the process, so that it is often too late to fix shortcomings, especially when these are due to a faulty evaluation design.

With regard to the 'evaluate-first' principle the RSB has pointed out that one third of the available evaluations carried out between 2017 and 2019 'were not of sufficient quality to form a useful basis to underpin the associated impact assessments'.<sup>29</sup> Moreover, concerning 'back-to-back' files, the RSB has noted that despite the fact that the same BRG standards apply, they often result in 'more limited exercises that do not include external evaluation studies'.<sup>30</sup> Overall, the critical points raised by the RSB relate less to the Better Regulation guidelines themselves than to their application ('existing guidance has proven hard to apply').<sup>31</sup>

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<sup>22</sup> ECA report, p. 28.

<sup>23</sup> Council conclusions of 12 November 2018, [14137/18](#) and, most recently, in the conclusions of 27 February 2020, [6232/20](#).

<sup>24</sup> European Parliament, Resolution [P8\\_TA\(2018\)0225](#), point 25.

<sup>25</sup> This concerns reports on the transposition, implementation and application, mainly required by review clauses in EU legislation.

<sup>26</sup> Council conclusions of 12 November 2018, [14137/18](#).

<sup>27</sup> RSB, [Annual report 2016](#), p. 6.

<sup>28</sup> RSB, [Annual report 2019](#), p. 11.

<sup>29</sup> RSB, [Annual report 2019](#), p. 16.

<sup>30</sup> RSB, [Annual report 2017](#), p. 29.

<sup>31</sup> RSB, [Annual report 2019](#), p. 18.

The **European Economic and Social Committee** (EESC) felt sidelined in the Better Regulation agenda,<sup>32</sup> as it saw its formal involvement broadly limited to the REFIT Platform. It argued that Member States should have been given a greater role in Better Regulation to remedy issues linked to the transposition of EU law. On the substance, the EESC welcomed the BRagenda's commitment to transparency and the comprehensive stakeholder consultation 'throughout the entire life cycle of a policy measure', including on ex-post evaluations and fitness checks. It also commented positively on the emphasis the BR package placed on ex-post evaluation, arguing 'that ex-post assessments are at least as important as ex-ante assessments'.<sup>33</sup>

Finally, not strictly speaking a direct reaction by the **European Court of Justice** (ECJ) to the 2015 Better Regulation reform but nonetheless relevant in the context of this present study is Advocate General Michal Bobek's Opinion in Case C-528/16<sup>34</sup> (preliminary ruling on the precautionary principle), in which he explicitly recognised the EU's 'constitutional duty for legislation to be relevant' and consequently, to keep it 'reasonably up to date'. He argued that 'the validity of an EU law [...] is not only to be assessed with regard to the facts and knowledge as they stood at the time of the adoption of that measure, but also with regard to the duty to keep legislation reasonably up to date'.

### 1.2.2. Brief review of the academic discussion

The scholarly literature debate around Better Regulation in general, and evaluation in particular, has been expanding in recent years. Scholars generally **acknowledge the progress** achieved with the renewed Better Regulation agenda of 2015, including in the field of evaluation. Some also flag issues for improvement or express scepticism regarding certain elements of the Better Regulation package. Few academics seem to put the overall agenda into question.

The Organisation for Economic Co-operation and Development (**OECD**), a major international regulatory policy actor,<sup>35</sup> ranks the EU Better Regulation framework high across every dimension, although evaluation scores slightly lower than impact assessment and stakeholder consultation.<sup>36</sup> With regard to evaluation, the OECD recognises the framework's combination of 'systematic evaluations of individual regulations with comprehensive fitness checks of policy sectors', stakeholder consultation and the REFIT Platform.<sup>37</sup> The participatory dimension of Commission evaluation is also highlighted in the academic literature as a novelty.

In general, most **scholars** tend to agree that the Better Regulation package granted evaluation an **enhanced status** and significance. For example, Stijn Smismans notes that 'evaluation has been placed centre stage on the Commission's agenda',<sup>38</sup> arguing that embedding evaluation into the

<sup>32</sup> EESC, Opinion [SC/41](#) on Better Regulation, 16 September 2015 and Opinion [INT/838](#) on COM(2017) 651, 9 April 2018.

<sup>33</sup> EESC, Opinion [SC/44](#) on REFIT, 26 May 2016.

<sup>34</sup> [Opinion](#) in case C-528/16 Confédération paysanne and Others v Premier ministre and Ministre de l'agriculture, de l'agroalimentaire et de la forêt, points 139-142. This opinion refers to an earlier argumentation by Advocate General Jean Mischo in case C-241/01, point 51, stating that 'in the legal field, nothing is unchangeable and that, in particular, what is justified today will perhaps no longer be so tomorrow, with the result that the duty of every legislature is, first, to check, if not constantly at least periodically, that the rules which it has imposed still meet the needs of society and, second, to amend or even repeal the rules which have ceased to have any justification and are thus no longer appropriate in the new context in which they must produce their effects'. For a summary of Advocate General Bobek's reasoning see ECJ [press release](#) No 04/18 of 18 January 2018.

<sup>35</sup> The OECD is an international organisation and therefore not strictly speaking part of the academic discourse, but its periodical comparative regulatory policy outlooks provide an important indicator-based assessment and thus deserve a mention.

<sup>36</sup> OECD, [Regulatory Policy Outlook 2018](#), p. 68.

<sup>37</sup> OECD, p. 184.

<sup>38</sup> Stijn Smismans, 'The politicization of ex post policy evaluation in the EU', *European Journal of Law Reform*, Vol. 19(1-2), 2017, p. 95.

broader Better Regulation agenda has given it more political clout.<sup>39</sup> In general, he observes a shift from evaluation as a technocratic exercise **towards a more political** one: 'Evaluation has become a key tool to steer EU policymaking in function of the political priorities of the Commission and the objective of reducing the regulatory burden.'<sup>40</sup> Alberto Alemanno agrees that the 2015 Better Regulation agenda led to a **politicisation** of evaluation, but takes a more critical viewpoint, notably when claiming that 'the renewed Better Regulation initiative serves as the chief institutional backer of the Juncker Commission's political agenda'.<sup>41</sup>

In 2018, Claudio Radaelli presented a comprehensive assessment of the implementation and operation of the Commission's Better Regulation package,<sup>42</sup> in which he considers the inclusion of **ex-post evaluation into the policy cycle** as one of the main achievements of the Better Regulation agenda. Stressing the 'complex nature' of ex-post evaluation, he points at the challenge to get the timing right, thereby emphasising that 'it is very difficult to plan evaluations so that they are temporally aligned with the impact assessment and the work on the new proposals'. Radaelli remains somewhat sceptical regarding the practical feasibility of the **evaluate first principle**: 'By taking the decision to embark on both systematic ex-post evaluation and making evaluation the first step in the planning of new legislation, the Commission set a very high bar'. Andrea Renda considers the pledge to **complete the policy cycle**, 'if taken seriously [...], a clear step towards the completion of a fully evidence-backed policy cycle in the EU'.<sup>43</sup> However, scholars see remaining challenges in mainstreaming evidence-based policymaking, including its effective impact on policy-making. In this regard, Adriaan Schout and Christian Schwieter observe that 'even in cases where IAs or evaluation reports have been conducted, their role in the wider policymaking process remains limited'.<sup>44</sup>

The function and broader mandate of the **Regulatory Scrutiny Board** has received a lot of attention. The Board was set up in 2015, building on and expanding the role of its predecessor, the Impact Assessment Board. Alemanno considers the transformation of the oversight board into the RSB as one of the major institutional innovations of the BR reform, together with the creation of the REFIT Platform.<sup>45</sup> Renda particularly welcomed the broadened scope of the RSB, which includes in its remit ex-post evaluations and fitness checks.<sup>46</sup> Both Renda and Radaelli note the higher level of independence of the RSB in comparison with the preceding regulatory oversight board as an important change.<sup>47</sup> Conversely, Schout and Schwieter insist that 'a truly independent quality control' would need to be outside the Commission.<sup>48</sup>

<sup>39</sup> Smismans, p. 78.

<sup>40</sup> Smismans, p. 95.

<sup>41</sup> Alberto Alemanno, 'How much better is Better Regulation? Assessing the impact of the Better Regulation package on the European Union - a research agenda', *European Journal of Risk Regulation*, Vol. 6(3), 2015, p. 345.

<sup>42</sup> Claudio M. Radaelli, 'Halfway through the Better Regulation strategy of the Juncker Commission: what does the evidence say?', *Journal of Common Market Studies*, Vol. 56(S1), September 2018, pp. 85-95.

<sup>43</sup> Andrea Renda, [Too good to be true?](#) A quick assessment of the European Commission's new Better Regulation Package, CEPS Special Report No. 108, April 2015, p. 8; Andrea Renda, [From impact assessment to the policy cycle](#): drawing lessons from the EU's Better Regulation agenda, University of Calgary, The School of Public Policy, SPP technical paper, Vol. 9(33), October 2016, p. 5.

<sup>44</sup> Adriaan Schout and Christian Schwieter, [Two decades of Better Regulation in the EU Commission – towards evidence-based policymaking?](#), Clingendael Institute Policy Brief, 2018, p. 11.

<sup>45</sup> Alemanno, p. 350.

<sup>46</sup> Renda, Too good to be true, p. 10.

<sup>47</sup> Andrea Renda, [Best practices in legislative and regulatory processes in a constitutional perspective](#): the case of the European Union, Policy Department C, European Parliament, 2015, p. 22.; Radaelli, p. 88.

<sup>48</sup> Schout and Schwieter, p. 3.

**Fitness checks** are generally considered a more political tool than evaluations. In this respect Smismans argues that 'fitness checks help to give higher political leverage to evaluation, as they extend beyond the tiny network of a single regulatory intervention. At the same time, they are particularly embedded in the political priority of the REFIT programme to reduce the regulatory burden'.<sup>49</sup> Moreover, Smismans observes that there is political leeway in the qualification of 'major evaluations', in the sense that the term 'major' 'leaves much room for interpretation'.<sup>50</sup>

Renda lauded 'the publication of the long-awaited **guidelines** on ex-post evaluation',<sup>51</sup> while Smismans recalled that evaluation guidelines had existed before in the European Commission, albeit at DG level rather than centrally, and argues that centralising evaluation guidelines correlates with placing evaluation policy in general 'under more centralized control'.<sup>52</sup> While acknowledging the comprehensiveness of the Better Regulation guidelines, Radaelli casts doubts regarding the '**methodological robustness**' of Commission evaluations.<sup>53</sup>

A further subject of debate was the **purpose** of Commission evaluations.<sup>54</sup> While in the early days of Commission evaluation, the purpose of an evaluation was the need to 'demonstrate value for money',<sup>55</sup> this has shifted since. Smismans points out that, 'by placing evaluation centrally in the policy cycle for all EU action, ex post evaluation should ensure, above all, **policy learning**'.<sup>56</sup> Dunlop and Radaelli take a broader perspective. According to them, the purpose of evaluation is multifaceted: first, it serves the objective of policy learning, and second, of 'making an organization [...] accountable to other organizations and therefore controllable'.<sup>57</sup> Stijn van Voorst and Ellen Mastenbroek link the debate on the purpose of evaluation with the one on **methodology**, claiming that to fulfil the key functions of accountability and learning, evaluations 'must be of sufficient methodological quality'.<sup>58</sup>

With regard to **transparency**, Smismans notes positively that the Commission now publishes evaluation roadmaps and completed evaluation reports,<sup>59</sup> the latter as formal Commission documents. However, scholars also voice concerns, particularly with regard to external studies supporting evaluations. In this respect, as Mastenbroek underlines, the Commission should make these external studies publicly available as a rule, and also explain clearly the extent to which they have informed the evaluation work.<sup>60</sup>

Figure 2 condenses the main points of the academic discussion.

<sup>49</sup> Smismans, p. 86.

<sup>50</sup> Smismans, p. 84.

<sup>51</sup> Andrea Renda, Too good to be true?, p. 1.

<sup>52</sup> Smismans, p. 80.

<sup>53</sup> Radaelli, pp. 88 and 94.

<sup>54</sup> According to the BRG, the purpose of Commission evaluations is: 'to promote inputs to decision-making, organisational learning, accountability/transparency and efficient resource allocation' (see BRG p. 53; tool #50).

<sup>55</sup> Steven Højlund, 'Evaluation in the European Commission for accountability or learning?', *European Journal of Risk Regulation*, Vol. 6(1), 2015, p. 40.

<sup>56</sup> Smismans, p. 80.

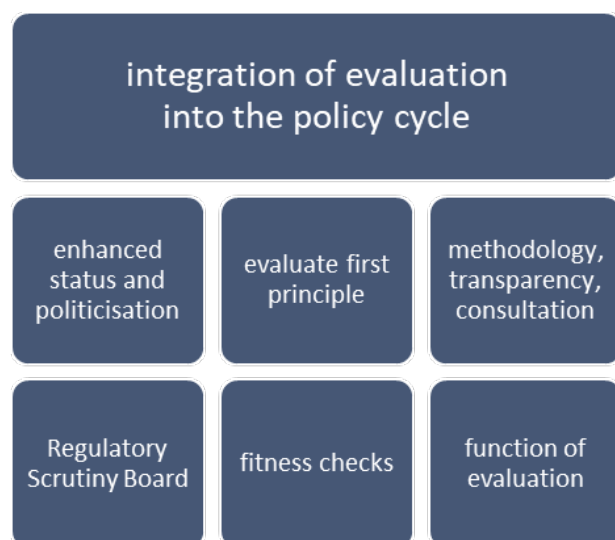
<sup>57</sup> Claire Dunlop and Claudio M. Radaelli, 'If evaluation is the solution, what is the problem?', in Nikolaos Zahariadis and Laurie Buonanno (eds.), *The Routledge Handbook of European Public Policy*, 2017, pp. 332-333.

<sup>58</sup> Stijn van Voorst and Ellen Mastenbroek, 'Evaluations as a decent knowledge base? Describing and explaining the quality of the European Commission's ex-post legislative evaluations', *Policy Sciences*, Vol. 52, 2019, p. 625.

<sup>59</sup> Smismans, p. 89.

<sup>60</sup> Ellen Mastenbroek, Stijn van Voorst and Anne Meuwese, Closing the regulatory cycle? A meta evaluation of ex-post legislative evaluations by the European Commission', *Journal of European Public Policy*, Vol. 23(9), 2016, pp. 1329-1348.

Figure 2 – Recurring topics in the academic discussion



Source: EPRS.

There are also **critical voices**, questioning the reform potential of the 2015 Better Regulation package as a whole. For example Mariolina Elia Antonio and Aneta Spendzharova find that the package lacks innovativeness and seems limited to optimising the use of existing systems and adding a few new instruments.<sup>61</sup> Similarly, Alemanno rebuffed the idea that the Better Regulation package had brought about much progress, judging that 'despite the remarkable efforts contained in the Better Regulation Package to streamline EU policymaking, by further proceduralizing it, the latter emerges as patchy as ever'.<sup>62</sup>

This brief review is in no way meant to give a comprehensive literature account. Its aim is to give a flavour of how the 2015 Better Regulation agenda, and in particular evaluation – as a better law-making tool – was received by academics and which key issues spurred the discussion.<sup>63</sup>

<sup>61</sup> Mariolina Elia Antonio and Aneta Spendzharova, 'The European Union's new Better Regulation agenda: between procedures and politics', *European Journal of Law Reform*, Vol. 19(1-2), 2017, p. 5.

<sup>62</sup> Alemanno, p. 355.

<sup>63</sup> A more systematic and comprehensive literature review on the broader topic of Better Regulation was conducted by the European Commission's Joint Research Service, as a contribution to the Commission's stock-taking exercise: Giulia Listorti et al., [The debate on the Better Regulation Agenda: a literature review](#), JRC, 2019.



## 2. What prompts Commission evaluations?

### Key findings

This chapter outlines the factors that prompt evaluations in the European Commission. It first looks at the various **legal requirements** that mandate evaluations, in particular provisions embedded in legal acts requiring future evaluation, and financial thresholds. With regard to the timely delivery of legally mandated evaluations, Parliament and the European Court of Auditors have observed that the completion of evaluations often lags behind schedule, if compared with the provision in the legal act.

**Non-legal evaluation triggers** help to keep the EU acquis fit for purpose and generate evidence regarding the functioning of legislation. This evidence feeds into the design of new legislation (this concept is generally referred to as 'instrumental use' in literature). An important Better Regulation principle in this respect is to **evaluate first**. It is meant to ensure that lessons learned from the past – by means of an evaluation – inform future action in the policy cycle. Major evaluation initiatives and fitness checks are usually considered in the **Commission's annual work programme** (CWP), under the heading 'REFIT measures'.

The European Commission is committed to evaluate in a proportionate way all EU spending and non-spending activities intended to have an impact on society and the economy.<sup>64</sup> Proportionality is an important keyword in this context, as the sheer size of the EU acquis would make it impossible to systematically evaluate all legislation. Some evaluations are legally mandated, while others are envisaged by the Better Regulation Guidelines as a way to keep the stock of regulations fit for purpose.<sup>65</sup> This chapter outlines legal and non-legal evaluation factors that trigger Commission evaluations.

### 2.1. Evaluation-related Treaty provisions

A general evaluation duty is enshrined in **Article 318 TFEU**. This provision, introduced by the Treaty of Lisbon, requires the Commission to submit an annual 'evaluation report on the Union's finances based on the results achieved' to Parliament and Council. This report is used for the discharge procedure on the implementation of the EU budget.

The EU Treaties contain also a number of general **sector-specific evaluation requirements**, notably in the areas of justice, freedom and security (Article 70 TFEU); research, technological development and space (Article 181 TFEU); industry (Article 173 TFEU); employment (Article 149 TFEU); social policy (Article 153 and 156 TFEU); public health (Article 168 TFEU); and common security and defence policy (Article 45 TEU). More specific evaluation requirements are commonly included in individual legal acts.

### 2.2. Legal evaluation requirements

A large proportion of Commission evaluations are prompted by **legal requirements**. Such legal requirements can take different shapes:

- Often, **individual legal acts** include explicit provisions requiring future evaluation. Such provisions are generally referred to as 'evaluation clauses' and belong to the

<sup>64</sup> BRG, p. 54.

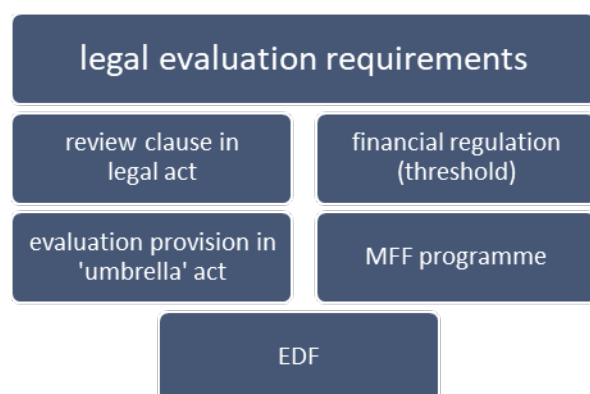
<sup>65</sup> To recall, ECJ Advocate General Bobek argued that the EU has even a 'constitutional duty' to keep legislation 'reasonably up to date' (see Chapter 1.2.1).

family of review clauses.<sup>66</sup> Also, sunset clauses embedded in legislation may trigger evaluations; they are however relatively rare in EU legislation.

- Evaluations can also be triggered by an **evaluation clause in an 'umbrella' act** that governs several EU measures.<sup>67</sup>
- Moreover, a large share of Commission evaluations result from budgetary obligations. The **Financial Regulation**<sup>68</sup> (Art. 34) and its rules of application set out that all EU programmes and activities that entail significant spending (above € 5 million) are subject to (proportionate) retrospective evaluation.
- For spending programmes financed by the EU budget/**MFF programmes**, evaluations are mandatory at least once during the each MFF term. In practice, such programmes are often evaluated mid-term, at the end of the programme (final) and ex-post, i.e. some years after, when sufficient data has been generated. Detailed provisions regarding the time and nature of the evaluation are usually set out in the legal basis for the respective programme.
- Finally, concerning EU development cooperation, evaluations are also required when indicated by Council Regulation (EU) 2018/1877 on the financial regulation applicable to the **11th European Development Fund** (EDF). The provisions regarding evaluation and the principle of sound financial management (set out in article 10 of the above cited regulation) are aligned with the EU's general Financial Regulation (2018/1046).<sup>69</sup>

Figure 3 summarises the different types of legal evaluation requirements.

Figure 3 – Legal evaluation requirements at a glance



Source: EPRS.

Frequently, evaluation clauses and other legal evaluation requirements have a precise due date attached. In practice, however, evaluation deadlines are all too often not met. Parliament addressed

<sup>66</sup> For a comprehensive repository of all review clauses – including evaluation clauses – adopted since the 6th term of the European Parliament see: Ivana Kiendl Krišto, [Review clauses in EU legislation](#); a rolling check-list, European Parliament, EPRS, 2018. To be noted, the level of precision of such evaluation requirement varies from case to case.

<sup>67</sup> E.g. Council Regulation (EC) [No 58/2003](#), which sets out the legal framework for executive agencies, requires in article 25 a periodic external evaluation of the agencies' operations (every three years). Similarly, Regulation (EU) [No 236/2014](#) laying down common rules and procedures for the implementation of the Union's instruments for financing external action. Article 12 (Monitoring and evaluation of actions) sets out that the 'Commission shall also evaluate the impact and effectiveness of its sectoral policies and actions and the effectiveness of programming, where appropriate by means of independent external evaluations'.

<sup>68</sup> [Regulation 2018/1046](#) on the financial rules applicable to the general budget of the Union.

<sup>69</sup> Council Regulation (EU) [2018/1877](#) on the financial regulation applicable to the 11th European Development Fund, and repealing Regulation (EU) 2015/323, Article 10.



this issue in its 2018 resolution on the IIA-BLM, urging the Commission 'to **respect the mandatory deadlines** set for implementation reports and reviews of directives and regulations'.<sup>70</sup> In addition, some parliamentary questions to the Commission broached the issue of delayed evaluations.<sup>71</sup> In this respect, ECA argued in its performance audit of 2018 that 'the timely execution of European Commission ex-post reviews is both a legitimate expectation of co-legislators and stakeholders and a legal requirement when an ex-post review is mandated in legislation'.<sup>72</sup> According to ECA's sample-based calculations, evaluations and fitness checks are **on average 16 months behind schedule**, if compared with the provision in the legal act, and effectively a large share of evaluations was 'not conducted on time'.<sup>73</sup>

Typically, delays are the result of external factors, in particular Member States being late with transposing or implementing EU law, or reporting late about the application of EU law. In such cases, there might not yet have sufficient data built up for a meaningful evaluation. However, even if the delay in itself can be understandable, it would need to be communicated to the legislators and the public. But, as ECA observed, 'there is little evidence [...] of the Commission explaining [delays] to the co-legislators'.<sup>74</sup>

### 2.3. Non-legal evaluation triggers

While the Commission is obliged to respond to the aforementioned legally mandated evaluations, it has more leeway for other kinds of evaluation. As a general rule, the Commission has a duty to keep the existing body of EU legislation fit for purpose. In this spirit, the BRG consider evaluations an 'essential step to manage and revise' the acquis.<sup>75</sup> Accordingly, any EU measure could be the subject of an evaluation, especially if there are indications about shortcomings in the operation of EU legislation/policies (including for instance complaints, infringement cases and stakeholder pressure). Moreover, technological progress – above all digitalisation –, but also other factors such as innovation, important societal or economic changes or new scientific evidence will necessitate adjustments to existing legislation. If these adjustments are to be informed by evidence, evaluations will 'take on even more prominence in regulatory policy activity'.<sup>76</sup>

Thus, and irrespective of whether the underlying act contains an evaluation clause, evaluations come into play when existing policy/legislation is revised. The Better Regulation's '**evaluate first**' principle is meant to ensure that lessons learned from the past – by means of an evaluation – inform future policy cycle action. Therefore, any envisaged revision of legislation needs to plan sufficient time for a preceding evaluation. This affects the Commission's multiannual evaluation planning, since the completion of the policy cycle is a matter of years rather than months. In this regard timeliness of evaluations is crucial, if evaluation results should be able to feed into the design/impact assessment of the new initiative.<sup>77</sup>

<sup>70</sup> European Parliament, Resolution IIA-BLM, [P8\\_TA\(2018\)0225](#), point 37.

<sup>71</sup> e.g. question P-002508/2017 and [E-000576/2016](#).

<sup>72</sup> ECA report, 2018, p. 22.

<sup>73</sup> ECA report, 2018, p. 23.

<sup>74</sup> ECA report, 2018, p. 23

<sup>75</sup> BRG Toolbox, tool #43.

<sup>76</sup> Elizabeth Golberg, '[Better Regulation: European Union Style](#)', Harvard Kennedy School, 2018, p. 70. Similarly, the argumentation of Advocates General Bobek and Mischo regarding the constitutional duty to keep legislation up to date, referred to in Chapter 1.2.1.

<sup>77</sup> See Listorti et al., [Towards an evidence-based and integrated policy cycle in the EU: a review of the debate on the Better Regulation Agenda](#), *Journal of Common Market Studies*, May 2020, p. 10: 'timeliness is crucial: in order to be used, an evaluation must be completed before the IA starts'.

Major initiatives meant to revise existing legislation are usually considered in the **Commission's annual work programme (CWP)**. This applies also to evaluations and fitness checks: major evaluations – whether directly related to legislative revisions or not – and fitness checks are listed in Annex 2 of the CWP, under **REFIT** measures.<sup>78</sup> It should be noted that a number of CWP evaluation initiatives take inspiration from stakeholder input channelled via the REFIT Platform (5 out of 10 in the CWP 2019, and 3 out of 44 in the CWP 2020).<sup>79</sup>

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<sup>78</sup> While the REFIT annex of the CWP 2019 comprised only 10 initiatives (6 evaluations and 4 fitness checks), the REFIT annex of the CWP 2020 is more voluminous, including, inter alia, 25 evaluations and 4 fitness checks.

<sup>79</sup> The REFIT Platform, whose mandate expired in October 2019, has recently been replaced by the [Fit for Future Platform](#) (F4F).

### 3. Transparency requirements in the evaluation process

#### Key findings

This chapter focuses on the **Commission's commitments regarding transparency** of the evaluation process, as detailed in the Interinstitutional Agreement on Better Law-Making (IIA-BLM) and the Commission's Better Regulation Guidelines (BRG), including its associated Toolbox. It examines the various elements the Commission is bound to respect across all procedural stages, from planning to completion, when conducting evaluations, and assesses the Commission's compliance therein. It should be noted however that the legal value of the two documents is different in the sense that the IIA-BLM is soft law jointly agreed by the three institutions, while the BRG set out the Commission's own 'mandatory requirements and obligations' (BRG, p. 3); the latter are not binding upon other institutions.

Regarding evaluation planning this study finds:

- Despite the Commission's explicit commitment in the IIA-BLM to share its multiannual evaluation planning with Parliament and the Council, there **is no recent central multiannual evaluation planning** publicly available (the last update dating back to 2017) or routinely shared with Parliament. Instead, information about planned evaluations is scattered around a multitude of sources, which makes it challenging to keep track of planned evaluations.
- The **evaluation timing** as set out in legal acts is generally respected in the Commission's planning documents (e.g. the CWP, evaluation roadmaps and management plans), however, the completion of evaluations and fitness checks is often **way behind schedule**.
- Despite the Commission's commitment in the IIA-BLM to include **Parliament's requests for** evaluations in its planning, this appears to happen only in rare cases.

Since 2015, most Commission DGs have adopted the good practice of publishing the final evaluation in form of a staff working document – and hence taking ownership of the evaluation –, also in cases where external studies inform the evaluation process. Cases where an external study equals the final evaluation product have become rare and are mostly limited to evaluations regarding purely institutional processes and (geographic and thematic) programme evaluations in the remit of external relations.

The Commission generally complies with process-related evaluation standards defined by the BRG, and in particular with the requirement to publish a set of documents along the evaluation process. However, **issues with information retrieval** remain due to the lack of a single repository. Moreover, **locating the full text** of completed evaluations can prove challenging. And finally, the interlinkage between Commission evaluations and **underlying studies is not always transparent**.

To overcome these issues of information retrieval and access to the full text, the Commission might explore different options, including the 'Have your say' platform and the interinstitutional studies database. It might use the evaluation record on the 'Have your say' platform to announce delays and a change of scope of an evaluation and also link to the final evaluation outcomes (evaluation SWD, SWD of the executive summary, COM report, RSB opinion and supporting studies). Alternatively, the new interinstitutional studies database might fulfil this role. However, the latter one is a purely interinstitutional database and as such not accessible to the broader public.

#### 3.1. The Commission's (multiannual) evaluation planning

In general, in the BRGs, evaluation work encompasses evaluations of individual pieces of legislation/programmes and fitness checks. The Commission prepares also other types of retrospective assessment (e.g. reports on the implementation or application of EU legislation), however, these are not subject to the same quality standards and they are not considered in this present study.

Commission evaluations are **planned on a multiannual** basis. It is the responsibility of each Commission DG to screen the policy areas under its remit to identify evaluation needs. BRG tool #44 sets out the practicalities for evaluation planning. Every DG manages its own five-year evaluation plan. This plan is continuously fine-tuned to reflect evolving needs and political priorities. The Commission's Secretariat-General merges the evaluation plans of the different DGs centrally into a **single EC evaluation plan** which should, for reasons of transparency, and in accordance with the BRG, be published on a central Commission website.<sup>80</sup>

In practice however, the central five-year plan ceased to be updated in 2017, and no replacement has been put online since. In this respect, the **Commission does not seem to comply** with the provision of the **IIA-BLM** that requires the Commission 'to inform the European Parliament and the Council of its multiannual planning of evaluations of existing legislation' (paragraph 23). There was some mention that the new interinstitutional studies database<sup>81</sup> would in future replace the 'Single Evaluation Plan', but this does not seem to be the case yet. Given that this database places the focus on studies (rather than evaluations), it is not clear whether it will ever be able to assume that function. Moreover, unlike the previous Single Evaluation Plans, this new database is not in the public domain, but restricted to EU institutional use.

The lack of a published multiannual planning document makes it challenging for Parliament, the Council and stakeholders to keep track of planned evaluations, as relevant information remains scattered around a variety of sources. According to the BRG, evaluations and fitness checks are subject to Commission-internal political validation through **management plan** endorsement at the level of each DG. These (annual) management plans are therefore important information sources for evaluation planning. They are, together with the DGs' (multiannual) strategic plans, published on a dedicated central Commission website.<sup>82</sup> Fitness checks and major evaluations are also included in the Commission's annual work programme.

In addition, some DGs maintain separate sections on their websites where all information related to evaluation files is brought together, but this is not mandatory.<sup>83</sup>

As Figure 4 shows, the IIA-BLM contains further commitments relating to evaluation planning. First, the Commission stated that its evaluation planning would '**respect the timing** for reports and reviews set out in Union legislation' (paragraph 21). This requirement appears generally fulfilled. However, as discussed in Chapter 2.2., the completion of evaluations and fitness checks is often way behind schedule, an issue the European Parliament and the Court of Auditors have raised.

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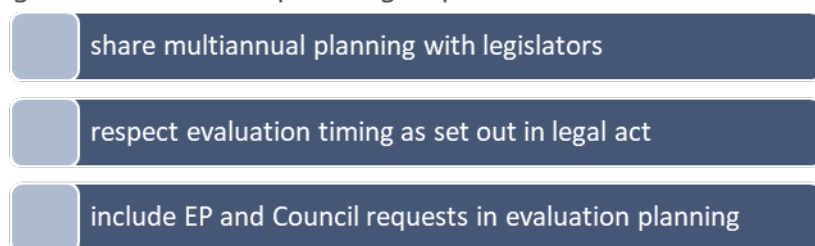
<sup>80</sup> Tool #44 indicates the following URL: [http://ec.europa.eu/smart-regulation/evaluation/index\\_en.htm](http://ec.europa.eu/smart-regulation/evaluation/index_en.htm).

<sup>81</sup> <https://webgate.ec.testa.eu/publications/studiesdb/Home.xhtml>

<sup>82</sup> [https://ec.europa.eu/info/strategy/strategic-planning/management-plans\\_en](https://ec.europa.eu/info/strategy/strategic-planning/management-plans_en).

<sup>83</sup> In this respect, tool #50 states that 'to maximise transparency and access [...] DGs may wish to consider establishing a dedicated webpage for each evaluation or fitness check within their associated general policy pages'. A positive example in this regard is DG ECFIN, which provides a comprehensive and up-to-date access to all evaluations in its remit. Individual webpages grant full-text access to all related documents, including supporting studies.

Figure 4 – Evaluation planning requirements set out in the IIA-BLM



Source: EPRS.

Second, the Commission committed to include, 'to the extent possible', **requests by Parliament** and Council for 'in-depth evaluation of specific policy areas or legal acts' into its evaluation planning. How this provision is to be implemented is not further detailed. On Parliament's side, in practical terms, Parliament expresses its 'requests' by means of a resolution endorsed in plenary, typically phrased as follows: 'Parliament calls on the Commission to conduct an evaluation' (or similar wording). Assessing the extent to which such calls by Parliament have been positively met would require further research.

However, some preliminary findings can be derived from a **recent EPRS study**<sup>84</sup> that looks systematically into the Commission's follow-up notes provided in response to Parliament's resolutions.<sup>85</sup> The study is thus a tool for monitoring the Commission's commitments and intentions. It samples 219 non-legislative resolutions adopted by Parliament between January 2017 and May 2019, based either on 'ordinary' own-initiative reports or legislative own-initiative reports. Of the sampled resolutions, 19 contain explicit requests that the Commission carry out an evaluation. From the follow-up notes it would appear that in none of these cases did the Commission answer favourably in the sense that it would commit to carry out an evaluation, and in a number of cases the follow-up note simply lacked a specific or more substantiated answer to the evaluation request.<sup>86</sup> However, the overall judgment would fall short if it were based solely on the Commission's follow-up note, as in a few isolated cases it could be observed that some records in the Commission's evaluation planning did in fact match with topics raised by Parliament.<sup>87</sup> It is difficult to say whether the consideration of these items was prompted by Parliament's call or by other factors. The respective roadmaps might perhaps specify whether Parliament's requests played a role.

To be noted, the figures taken from the aforementioned EPRS study need to be viewed with caution because of some inherent limitations resulting from the study's scope definition. Firstly, and time-wise, the study considers a time bracket of merely two and a half years (see above); secondly, it considers exclusively the follow-up notes to Parliament in response to Parliament's resolutions; and

<sup>84</sup> [European Commission follow-up to European Parliament requests 2017-2019](#), European Parliament, EPRS, 2020.

<sup>85</sup> These follow-up notes are based on point 16 of the Framework agreement on relations between the European Parliament and the European Commission: Within three months of the adoption of a parliamentary resolution, the Commission must provide Parliament with information in writing on action taken in response to specific requests addressed to it in Parliament's resolutions, including in cases where it has not been able to follow Parliament's views. OJ L 304, 20.11.2010, p. 47. The follow-up notes are accessible in OEIL.

<sup>86</sup> In at least four instances however, the Commission later-on actually presented an evaluation (or at least a report), in fulfilment of a review clause in the underlying act.

<sup>87</sup> An example for this is Parliament's call for an evaluation of Directive 2011/24/EU, as voiced in its resolution [P8\\_TA\(2017\)0061](#) on EU options for improving access to medicines. The Commission [replied](#) that, as per the review clause embedded in the act, it presents every year an implementation report. Notwithstanding, the evaluation of the directive in question is now included in the Commission's evaluation planning, and its completion is envisaged for end-2022. A similar case is resolution [P8\\_TA\(2018\)0224](#) on the future of food and farming, in which Parliament included a request for an evaluation of the impact of the CAP on biodiversity. Although the Commission did not address this request in its [follow-up note](#), an evaluation is currently ongoing ([evaluation roadmap](#) dated November 2018).

thirdly, the study's scope is limited to resolutions based on own-initiative reports and consequently does not consider other types of non-legislative resolutions, such as resolutions on topical subjects that wind up a plenary debate,<sup>88</sup> which may also include requests for evaluation.<sup>89</sup>

To conclude, the available evidence suggests that the Commission's evaluation planning does not systematically take Parliament's **requests** for evaluation of specific legal acts or policy areas into account.

## 3.2. Transparency requirements throughout the evaluation process

As stated above, the BRG added considerable clarity to what formally constitutes an evaluation, as in the past, the lines between external studies and evaluations were blurred. The BRG not only set out a detailed methodology for evaluations, but they also provide **procedural guidance throughout the evaluation process**, for all stages, from the planning of an evaluation to its completion, following Commission-internal political validation of an evaluation or fitness check.

A very important element in this context – and particularly for the external observer – are the requirements included in the BRG to make a set of documents publicly available in the process of an evaluation, 'to maximise transparency and ease access'.<sup>90</sup> The transparency provisions in the BRG and Toolbox cover the following documents:

- an evaluation/fitness check roadmap;
- a final evaluation staff working document (SWD);
- an executive summary (if applicable);
- a Commission report to the European Parliament and the Council (COM; if required);
- the opinion of the Regulatory Scrutiny Board (RSB; if applicable);
- a consultation strategy and results ('synopsis report');
- terms of reference (technical specifications) and external study (if applicable);
- a follow-up action report (recommended).

### 3.2.1. Roadmap

The evaluation (or fitness check) roadmap (tool #46) constitutes the most comprehensive source of information in the planning stage of an evaluation. It serves as a tool for communication with stakeholders and forms the basis for public consultation. Therefore, the guidelines recommend making the roadmap publicly available at an early stage in the evaluation process. Roadmaps are published on a central Commission website, as part of all 'published initiatives' on the 'Have your say' portal.<sup>91</sup> On this portal, each initiative has its own webpage.

Evaluation roadmaps provide very detailed information about the context of the measure in question (including the rationale and, if applicable, the legal basis of the evaluation), its purpose and scope as well as the proposed methodological approach. Furthermore, they indicate the expected completion date and sketch out the consultation strategy. The importance of the evaluation roadmap cannot be underestimated. This is even more true of fitness checks, where the roadmap is

<sup>88</sup> European Parliament, [Rules of procedure](#), Rules 132 and 136.

<sup>89</sup> To provide an example, resolution [P8\\_TA\(2015\)0032](#) on anti-terrorism measures 'calls on the Commission and the Council to conduct a comprehensive evaluation of the EU's counter-terrorism and related measures [...] and to introduce this evaluation process as part of the European Agenda on Security' (point 23).

<sup>90</sup> BRG, p. 65.

<sup>91</sup> <https://ec.europa.eu/info/law/better-regulation/have-your-say/initiatives>.



often the only information source that provides detailed information about the measures to be covered by the fitness check.

### Issues observed – possible improvements

As highlighted in previous editions of this study, it would be desirable if roadmaps were updated when the scope of an evaluation or a fitness check changed. Such updates could simply take the form of a standardised message or textbox on the evaluation webpage (in the 'Have your say' portal) that would outline the most significant changes, without requiring a complete review of the roadmap.

Moreover, it would greatly add to transparency if the Commission could consistently update the timeline for each evaluation file until its completion. The file on the central 'Have your say' portal would also seem to be the appropriate place for announcing any delays in the completion of evaluations or abandoned evaluation projects in a transparent manner. In a few recent instances it was observed that completed evaluations were made available on this website. This can be considered as good practice, and it would greatly add to transparency if the Commission made all completed evaluations systematically available on this website, ideally even linking all supporting studies in this central place.

### 3.2.2. Staff working document (SWD)

Since 2015, all Commission DGs have gradually adopted the good practice of publishing the final evaluation in the form of a staff working document (SWD). This contributed substantially to transparency and accountability. Especially in cases where an evaluation was informed by external expertise, the Commission takes effective ownership of the evaluation by means of the SWD. Prior to the BRG (and their full implementation), the concept of evaluations and studies appeared to be overlapping, and it remained unclear which findings of an external study the Commission endorsed.

According to the BRG and notably tool #49, it is the task of the lead DG to draw up the **evaluation SWD** in the course of an evaluation. The BRG stipulate that an SWD is to be prepared **for all evaluations and fitness checks**, unless an exception is granted (under tools #1 and #43). Regardless whether an evaluation was conducted entirely in-house or whether it involved external expertise acquired through public procurement procedures – which, by the way, applies to the vast majority of Commission evaluations – the **SWD constitutes 'the key deliverable'** of the evaluation process (tool #49). It serves to inform stakeholders and policymakers of the outcome of the evaluation, presenting the assessment and lessons learned. It summarises the evaluation outcome in a non-technical manner and elucidates the evaluation process and the methodology used in about 50 to 60 pages.

As the main outcome of the evaluation process, the SWD is to be made publicly available as soon as possible (or by the date set in the legal base, if applicable). It follows a standard template and must include details about the evidence base.<sup>92</sup> All internal and external sources are to be referenced thoroughly throughout the text. The guidelines recommend that the data on which the evaluation is based be made easily available, alongside internet links to the external studies when possible.

### Issues observed – access to full text not always granted

Although SWDs are always published, obtaining a comprehensive and systematic overview of newly completed evaluations and accessing them in full-text can prove challenging in practice. While the record of completed evaluations (SWDs) and their related executive summaries are usually uploaded into the **Commission's Public Register of Documents** without much delay, the full-text is often not attached, or it is added only at a later point in time. In some cases, the register provides

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<sup>92</sup> It is also considered good practice to disclose all non-confidential data.

hyperlinks to EurLex. However, these are only supported if the document is stored in EurLex, and indeed, many SWDs are not (see below). In other cases, the SWD record in the public register offers merely the possibility to request disclosure of the document under Regulation (EC) No 1049/2001. Both practices described above are relatively common. However, they are **unsatisfactory** in terms of transparency and access to documents.

Only a fraction of SWDs are retrievable in **EurLex** (typically, but not exclusively, SWDs accompanying COM reports), and in addition, a small selection of evaluation SWDs are recorded in the **EU Bookshop**. While EurLex and the Bookshop provide by default access to the full text, the public register does not do so in a systematic manner. The full text of quite a few SWDs can only be retrieved via the Commission DG's website. An issue in this respect is that on DGs' websites documents are not permanently stored, and additionally the hyperlink structure is more prone to change than in the public register, EurLex or in the EU Bookshop. Again, in terms of transparency and access to information, this situation is not ideal.

In general, it appears that the full text of many evaluation SWDs is retrievable on the Commission server with a significant delay. Regarding the dataset of this present study, in eight cases, the full-text of a published evaluation SWD could not be retrieved at all on the Commission server, despite reasonable efforts.<sup>93</sup>

As emphasised above, it would greatly add to the transparency of the evaluation process if the evaluation record on the Commission's central 'Have your say' portal (i.e. the portal that gathers all evaluation roadmaps) were updated gradually as the evaluation process advanced. Ideally, this record would be used to link also the final evaluation SWD together with its executive summary, any associated COM report and supporting study.<sup>94</sup>

### 3.2.3. Executive summary

The BRG state that for each evaluation SWD an executive summary of two pages length must be published (in English, French and German) alongside the SWD. This summary is to be issued as a stand-alone document, which in practical terms translates into a separate SWD. No executive summary is required if a communication to the legislators is submitted (COM document; see below under 3.2.4).

#### Issues observed

Transparency issues encountered are broadly the same as described above under SWD. Again, in many cases the record in the Commission's public register does not link to the full text. In some instances, the document can only be retrieved on the website of the relevant DG, where the hyperlink structure is likely of a less permanent nature than in the Register. In some cases (12 from the dataset in this study), the full text of the summary could not be found at all on the Commission server.

### 3.2.4. Commission report to Parliament and Council (COM)

In certain cases, together with the evaluation SWD, the Commission also prepares a communication (COM) for Parliament and Council and, if applicable, other institutional actors (e.g. the EESC). Most of these COM reports are based on reporting requirements in the legislation itself, although the Commission has the discretion to issue reports also in other cases, as it sees fit. Compared with

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<sup>93</sup> On a side note: a general search in Google with the string "'SWD(YEAR) xxx" site:europa.eu' can in some cases lead to the full text being retrieved in the Council's public register, but not in the Commission's.

<sup>94</sup> See also Chapter 3.2.1. Roadmap.



SWDs, COM reports carry more political weight. This is made evident by the fact that COM reports are endorsed by the College of Commissioners, whereas the associated evaluation SWDs are adopted by the competent DGs. Unlike the SWD, the COM report to co-legislators may include a political message about the evaluation itself or subsequent follow-up action related to the evaluation. Typically, a COM report would also contain some outlook on the next steps in the process.

The share of COM reports linked to evaluations is surprisingly high: in the present dataset, 43 % of all completed evaluations were accompanied by a COM report, whereby it appears interesting to note that a large number of the sampled COM reports pertained to spending programmes. It should be noted however, that a single COM document can cover several topically related SWD files, which is effectively the case with quite a number of evaluations in the present dataset.<sup>95</sup>

Likely owing to their higher political significance, COM documents are usually recorded in EurLex, mostly together with the related SWD. Typically, they are also published in the Commission's public register. To conclude, in the case of evaluations with an associated COM report, access to documents is provided fully via EurLex.

### 3.2.5. Opinion of the Regulatory Scrutiny Board (RSB)

Towards the end of the evaluation process, the Regulatory Scrutiny Board examines the quality of all draft fitness checks and selected ('major') draft evaluations. Although neither the BRG nor RSB sources provide a definition as to what constitutes a 'major' evaluation, the RSB's annual reports hint that such files would typically include evaluations that inform policy initiatives from priority areas; programmes with large budgets, a high degree of innovation or political sensitivity; and cross-cutting policy initiatives. In the last two and a half years, 25 evaluations underwent RSB scrutiny, in addition to seven fitness checks (see Chapter 4.2.).

The purpose of RSB scrutiny is to raise the overall quality of the Commission's evaluations (alongside with impact assessments). The RSB examines the draft SWD<sup>96</sup> and assesses its strengths and weaknesses in form of an opinion. Informally, the Commission services may consult the RSB already at an earlier stage of the process. Upstream, the role of the RSB is to advise the Commission DGs and services on how to mitigate regulatory quality issues. The RSB suggests that it should be involved early on in the evaluation process, as 'design problems are not solved through more scrutiny of reports, fixes need to come earlier'.<sup>97</sup>

Initially, the RSB did not issue ratings on evaluations, but since 2017 it started differentiating between positive and negative opinions. A positive opinion represents 'the Board's certification of integrity and sufficient analytical quality',<sup>98</sup> while a negative rating attests to substantive weaknesses in the dossier. Contrary to ex-ante impact assessments, a negative RSB opinion on an evaluation does not block the file, however, in practice it appears that the services often do revise the evaluation. In general terms, RSB opinions are also of relevance to parliamentary committees working on related files, as they constitute an indicator of the quality of the evaluation concerned.

#### Issues observed

In principle, the RSB publishes its opinions on the Commission website at the same time the SWD is published. In case the Commission is required to formally report to Parliament and Council, the RSB

<sup>95</sup> See for instance COM(2017) 720, Report from the Commission to the European Parliament and the Council Mid-term Review Report on the External Financing Instruments. This COM report covers the (separate) evaluations of 10 different financial instruments.

<sup>96</sup> To be noted in this context: any associated COM report is not submitted to the RSB.

<sup>97</sup> RSB report 2018, p. 15.

<sup>98</sup> RSB report 2018, p. 19.

opinion is published after the College has adopted the COM document. However, in quite some instances it was observed that the RSB opinion was made available on the RSB website only at a later date.

Regarding the transparency of RSB's evaluation scrutiny planning, the Board's annual business plans include a section about the scrutiny work for the year ahead. It appears however that this document is not shared publicly. To recall, while all impact assessments and fitness checks are automatically subject to RSB scrutiny, scrutiny of evaluations is selective. If publicly accessible, the annual business plan could provide information for the public, including the legislators, on the selection of 'major' evaluation files it envisaged covering during the year ahead. At the moment it appears that although the RSB reveals its rolling calendar of past meetings with Commission services regarding files for scrutiny, no prospective information is shared.<sup>99</sup>

### 3.2.6. Consultation strategy and results ('synopsis report')

One of the major BRG innovations is mandatory stakeholder consultation also for ex-post evaluations. The outcome of the consultation activities are to be appended to the evaluation (SWD). In the exceptional case where no formal SWD or COM is issued, the guidelines state that the results are to be published in a stand-alone SWD ('synopsis report'). This appears to be widely the case.

Public consultation and stakeholder involvement have in general been flagged as an area where substantial improvements have taken place since 2015, but it is also deemed an issue that requires further fine-tuning.<sup>100</sup> Concerns include for example:

- issues of the comprehensiveness of consultations (do all initiatives require the same level of consultation?);
- consultation fatigue among stakeholders (too many consultations?);
- the relative weighting to be given to each stakeholder (should the view of an individual citizen have the same weight as an organisation representing many individuals?; should responses of organisations listed in the Commission's transparency register be given higher weighting?);
- the use of consultation results for policymaking; and, related to this latter point,
- the current lack of a proper feedback loop to stakeholders that describes how consultation input has been used for policy-making.

On the side of Commission staff it is reported that in some cases 'targeted consultations are perceived to be much more useful' than public consultations.<sup>101</sup>

### 3.2.7. External study

Most Commission evaluations are based on external studies. The BRG are explicit that 'the final study conducted by an external party does not present the evidence-based judgements of the Commission services – this is done in the evaluation SWD' (tool #43). At the same time, the guidelines state that all external studies should be published, for the sake of transparency.<sup>102</sup>

<sup>99</sup> See [https://ec.europa.eu/info/publications/meetings-regulatory-scrutiny-board\\_en](https://ec.europa.eu/info/publications/meetings-regulatory-scrutiny-board_en).

<sup>100</sup> European Commission, Better regulation: taking stock, [COM\(2019\) 158](#), pp. 9 and 14; and more in detail in [SWD\(2019\) 156](#), pp. 11-16.

<sup>101</sup> [SWD\(2019\) 156](#), p. 16.

<sup>102</sup> BRG p. 65 and tool #50.

As a standard, these studies are made available in the EU Bookshop, which has become the central repository for this type of document. In addition, some studies can also be retrieved on the DGs' websites. However, it remains difficult to keep track of external studies supporting an evaluation, and to establish the relation between a study and an evaluation, a point that has been raised already in previous editions of this rolling check-list.

In its 2018 resolution on the interpretation and implementation of the IIA-BLM, the European Parliament insisted that the Commission 'should provide Parliament with all the independently conducted studies on the basis of which they have taken their decisions, while at the same time disclosing those which contradict their conclusions' (point 94). Parliament thereby referred not only to evaluations, but also to impact assessments and to decision-making in general.

Members have voiced their concerns regarding difficulties tracing completed evaluations in parliamentary questions posed to the Commission. For instance, MEP Jasenko Selimović (ALDE, Sweden), quoting the 2017 edition of this present rolling check-list, complained that the 'quality and quantity of the information provided' varied across DGs and that some evaluations required 'extensive searches to locate a file.'<sup>103</sup> In its answer, the Commission referred to the establishment of the interinstitutional studies database, ensuring that 'all of its evaluations and studies are made available on this website' and stated that it was additionally 'exploring how to provide a full overview of evaluations on its Better Regulation website on Europa'.

While the 2017 edition of this RCL suggested that the interinstitutional studies database would indeed have the potential 'to resolve the problem of accessing all relevant information on a specific evaluation file for those working in the EU institutions', two and a half years on, this hope has not yet been fully realised. For the time being, the level and detail of recording evaluations in the database seems to vary across DGs.

### 3.2.8. Follow-up action report

The Commission's evaluation communications (COM reports) addressed to the co-legislators typically contain an outlook on the next steps. The BRG recommend preparing a follow-up action plan (tool # 51) also for evaluation SWDs that are not associated with any COM report. This follow-up action plan should be drawn up within six months of completion of the evaluation, to ensure transparency and accountability and to favour the inclusion of the evaluation findings in any subsequent policy cycle work. The follow-up plan is recommended as 'good practice' but is not mandatory. The document is usually produced by the same unit in charge of the policy area (and the evaluation). If necessary, the administrative-organisational follow-up can be separated from the policy one, so that it may be published quicker. No fixed format is defined in the BRG for the follow-up action plan; it could take the form of an SWD or other Commission-internal document.

This research paper did not look systematically into follow-up plans of completed evaluations. However, it appears that the Court of Auditors' 2018 assessment that 'follow-up reporting is not yet a standard practice'<sup>104</sup> is still valid.

### 3.2.9. Summary of transparency issues encountered

Figure 5 captures the findings of this chapter at a glance.

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<sup>103</sup> Question [E-007614-17](#).

<sup>104</sup> ECA report, p. 29.

Figure 5 – Transparency requirements - summary findings

not disclosed	• multiannual evaluation planning
√	• evaluation roadmap
sometimes issues with access to fulltext	• staff working document (SWD)
sometimes issue with access to fulltext	• executive summary
√	• communication to co-legislators
sometimes published late	• RSB opinion
link to evaluation not always obvious	• external study
consultation strategy	• consultation results
(not mandatory)	• follow-up action plan

Source: EPRS.

### 3.3. Special cases

#### 3.3.1. Back-to-back

Back-to-back exercises describe a process where the (ex-post) evaluation of an existing legal act and the (ex-ante) impact assessment of the amending proposal are conducted in parallel and merged into one dossier. This special process is chosen mainly when 'political urgencies or timing constraints'<sup>105</sup> do not allow for a standard process. Back-to-back exercises are in principle subject to the same quality standards as stand-alone evaluations and impact assessments.

However, doubts were expressed by the ECA as to whether time constraints would not put into question the added value of the exercise for the policy cycle. In this respect, the ECA special report highlighted 'two inherent risks' in back-to-back exercises: the first pertaining to the independence of the two processes, and the second relating to the effective consideration of the evaluation conclusions for the impact assessment when the evaluation is not yet completed.<sup>106</sup>

Notwithstanding, there are indications that back-to-back is sometimes welcome by stakeholders with regard to consultation, as they can at the same time provide input on the functioning of the existing act and give their suggestions for future solutions. In a standard procedure (first the evaluation and then the impact assessment), stakeholders would be consulted twice. Multiple consultation processes on one and the same topic may lead to consultation fatigue.

With regard to transparency, the following observation can be made:

<sup>105</sup> BRG, Toolbox, tool #7.

<sup>106</sup> ECA report, pp. 31 and 37.

At the initial stage, the back-to-back initiative is communicated in a combined evaluation roadmap/inception impact assessment. The evaluation result of a back-to-back file is published, although typically a bit hidden: it is usually presented as an annex to the impact assessment SWD, whereby this annex follows the structure of an evaluation SWD. According to the BRG, also in a back-to-back case the results of the evaluation study should inform the problem definition and the inception impact assessment.

### 3.3.2. External study as evaluation (not accompanied by an SWD)

As the BRG are by now well-implemented across the Commission DGs and services, cases where a study completed by an external contractor is regarded as the final evaluation outcome – and thus presented without any associated SWD – have become rare.<sup>107</sup>

There will always likely remain a few, since the BRG allow for procedural exceptions where evaluations do not necessarily need to be conducted in a standard way. According to BRG tool #43, such exceptions include in particular the evaluation of:

- spending programmes with many projects and sub-activities;
- external programmes where findings come from evaluations of country programmes;
- Commission-internal administrative policies/activities;
- performance of executive agencies;
- performance at an early point of the implementation, when information is not yet available.

Indeed, most of the 43 evaluations that were published without an SWD in the time bracket October 2017 to June 2020 (see Table 3 in Part II) appear to qualify as exceptions to the standard process. For instance, three evaluations assess the performance of the Commission's administrative activities.<sup>108</sup> The largest share are geographic/thematic evaluations in the remit of external relations (DG ECHO, DEVCO and NEAR), which relate predominantly to the country programmes or spending programmes with sub-activities categories. On a more general level, these three DGs can be singled out as positive examples for their high transparency standards with regard to evaluation planning, given that their respective multiannual evaluation forward planning is not only annually updated, but also publicly available on their respective websites. These multiannual planning documents often indicate if the expected evaluation deliverable will be a general study or an SWD. Increasingly, also evaluation roadmaps include information on the envisaged format of the evaluation.

Finally, in a few of the 43 cases, notably those published in 2017 and early 2018, the chosen final presentation as external evaluation study (rather than formal SWD) may be due to the fact that the start of the evaluation processes preceded the application of the BRG within the Commission.

<sup>107</sup> This downward trend is evident by comparing this RCL with previous editions.

<sup>108</sup> These are: a Study on open source software governance at the European Commission (DG DGIT, 2020); an Evaluation of cooperation in communication between the European Parliament and European Commission in the Member States (DG COMM, 2017); and an Evaluation of DG ECFIN Forecasting Services (2017).

## 4. Analysis of the dataset of the Commission's planned/ongoing and completed evaluations

### Key findings

#### Planned and ongoing evaluations

- The Commission's evaluation planning is **scattered** across a multitude of information sources, which makes it challenging to keep track.
- Evaluation roadmaps constitute the most comprehensive information source regarding the scope, purpose and timing of an individual evaluation.
- A total of **353 evaluations** could be identified as currently ongoing or planned by the European Commission DGs and services, according to evaluation roadmaps, Commission work programmes, DGs' management plans and other publicly available sources.
- 8 of these 353 are fitness checks, and further 9 are 'back-to-back' exercises.
- Over **60 %** of the 353 evaluations in question appear to be prompted by a **legal requirement** to evaluate, set out either in the legal act, a legal provision in the multiannual financial framework (MFF), the EU's Financial Regulation or similar. The remaining 40 % were triggered by the Better Regulation principle to 'evaluate first' before revising legislation, the REFIT programme, or more generally, the Commission's endeavour to keep the EU's regulatory stock fit for purpose.

#### Completed evaluations

- Between October 2017 and June 2020, the Commission published **183 evaluations** in the format of **SWDs**.
  - o 7 of these 183 completed evaluations concern fitness checks.
  - o 79 of these SWDs (43 %) were accompanied by a Commission communication (COM report) to the co-legislators.
  - o 32 evaluations/fitness checks (17 %) were quality checked by the Regulatory Scrutiny Board.
  - o 7 evaluations were conducted 'back-to-back'.
- An **additional 43 evaluations** could be identified that were published exclusively as **external studies**, without their associated evaluation SWD. Over 60 % of these cases relate to EU external action (DGs DEVCO, ECHO and NEAR) and appear to fall under the BRG exceptions to the standard procedure.
- Obtaining a **comprehensive and systematic overview** of newly completed evaluations and accessing these **in full can prove challenging**. In roughly 4 % of cases, the full-text of the evaluation could not be retrieved on the Europa server, even not time after the document was issued.
- Transparency regarding external **supporting studies** could be improved. Even if most evaluation SWDs appear to contain a hyperlink or at least a reference to external supporting studies that informed the SWD, this information is sometimes rather hidden.

### 4.1. Dataset of planned and ongoing Commission evaluations

As per 30 June 2020, a total of **353 evaluations** could be identified as currently ongoing or planned by the European Commission DGs and services. Given that the Commission ceased to share its



multiannual evaluation planning in 2017 (see Chapter 3.1.), any endeavour to keep track of the planning proves challenging, as a multitude of information sources must be consulted.

#### 4.1.1. Data sources used for planned and ongoing evaluations

Data were retrieved from the following sources:

- The Better Regulation **website 'Have your say'**, which is the Commission's portal for stakeholder consultation. It is also the place where the Commission publishes **evaluation roadmaps** for individual initiatives. Roadmaps are the most detailed and comprehensive preparatory documents in the evaluation process.
- The **Commission work programmes** (CWP) for the years 2019 and 2020 (including the May 2020 update following the COVID-19 pandemic crisis), and in particular their REFIT annexes, which include inter alia the major evaluations and fitness checks for the year ahead.
- The (prospective) **management plans** of the various Commission services for 2018 and 2019 as well as the (retrospective) activity plans for 2017 and 2018.
- Dedicated evaluation sections on individual Commission services' websites, if available.
- For evaluations in the area of **external relations**, in particular those of DG DEVCO, ECHO and NEAR, dedicated strategic planning documents were consulted, notably:
  - DG DEVCO's work programmes for strategic evaluations [2018-2022](#) and [2019-2023](#);
  - DG NEAR's [Strategic evaluation plan 2020-2024](#); and
  - DG ECHO's general guidelines on operational priorities for humanitarian aid in 2019 and 2020, published as [SWD\(2018\) 486](#) and [SWD\(2019\) 421](#);
- finally, for **MFF programme evaluations**, [SWD\(2014\) 200](#) was consulted, which provides an overview of the monitoring, reporting and evaluation frameworks for the MFF 2014-2020 programmes.

In addition, in the course of 2019, the European Commission's Secretariat-General kindly shared an internal document of ongoing and planned evaluations in the form of an excel sheet. This is the only Commission-internal source that was used for the establishment and cross-check of the dataset of this present study, to ensure it is as complete as possible.

In a final round, the dataset of 353 planned and ongoing evaluations was matched against the new **interinstitutional studies database**. It turned out that at the time of writing less than one third of evaluations records could be retrieved from this database (29 %). Records were unevenly spread across DGs. For some DGs, all (or close to all) evaluation records could be retrieved (e.g. DGs CLIMA, CONNECT, DEVCO, DIGIT, ECFIN, JRC, REGIO and the SG), whereas for others only a fraction of ongoing evaluations or none at all had been uploaded.

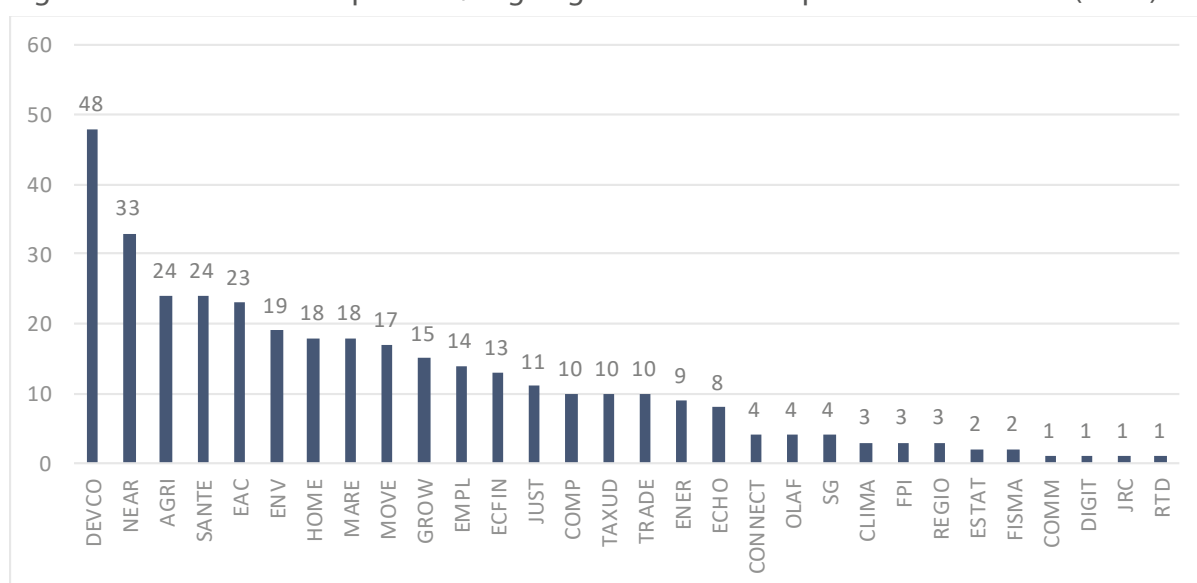
With the objective in mind to present a comprehensive dataset of ongoing and planned Commission evaluations, much effort was put into data gathering, as described above. Needless to say, any such exercise is prone to omissions, as some planned evaluations may be harder (or impossible) to locate. It was essential to keep the time and effort invested into the data gathering process proportional to the purpose and usefulness of this project, namely to serve Parliament's committees as a technical aid for their work planning.

### 4.1.2. Distribution of planned/ongoing evaluations per DG

Figure 6 shows how the 353 evaluation files are distributed across Commission DGs. DG DEVCO and DG NEAR alone account for almost a quarter of the Commission total, with their high share of geographical and thematic evaluations. In this context it is worth recalling existing differences in the scope of evaluations, as some DGs – and notably those in charge of external relations – undertake project evaluations linked to expenditure programmes rather than policy evaluations.

At the other end of the spectrum, several DGs have only few evaluations pending. There are 12 DGs that have between 1 and 4 evaluations planned or ongoing; these 12 DGs account for 8 % of the total evaluations.

Figure 6 – Distribution of planned/ongoing evaluation files per Commission DG (2020)



Data source: European Commission.

Of the 353 evaluation files, 8 are labelled fitness checks, while 9 are conceived as 'back-to-back' exercises, where the ex-post evaluation and the ex-ante impact assessment processes are conducted in parallel. Table 1 shows the distribution of fitness checks and back-to-back files per DG.

Table 1 – Planned/ongoing fitness checks and back-to-back files per DG (2020)

DG	Fitness checks	Back-to-back
COMP	1	
ENER		1
ENV	1	
FISMA	1	
GROW	1	3
JRC	1	
JUST	1	



MARE		3
MOVE	1	
SANTE	1	1
TAXUD		1
<b>Total</b>	<b>8</b>	<b>9</b>

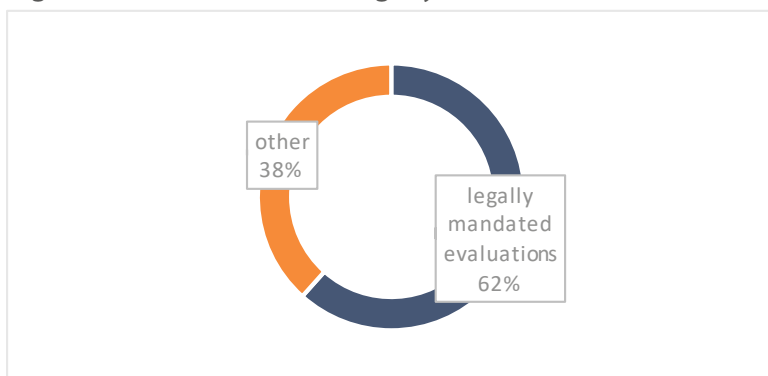
Source: EPRS.

### 4.1.3. Evaluation triggers

A large proportion – over 60 % – of the 353 evaluations in question appear to be legally mandated (compare Chapter 2.2.). In most cases the trigger is a review clause in the legal act (mostly an explicit evaluation clause, in some cases also a reporting clause) or a budgetary requirement pursuant to the EU's Financial Regulation governing the establishment and the implementation of the EU budget; but the evaluation could also be prompted by other legal requirements as discussed in Chapter 2.2. As there is a high prevalence of cases with more than one legal requirement (e.g. an evaluation clause in the act itself plus a programme budget exceeding the threshold of the Financial Regulation), and as it is not always straightforward to identify the evaluation trigger reliably, it would not seem appropriate to break the data down further.<sup>109</sup>

The remaining nearly 40 % (i.e. 136 evaluations and fitness checks) of other evaluations were triggered by the Better Regulation principle to 'evaluate first' before revising existing legislation, the REFIT programme, or more generally, the Commission's endeavour to keep the EU's regulatory stock fit for purpose. Given that the trigger points are clearer for some files than for others, this figure may not be 100 % accurate, as for some files the attribution is based on assumption. Figure 7 shows the share of legally mandated and other evaluations.

Figure 7 – Distribution of legally mandated and other evaluations (2020)

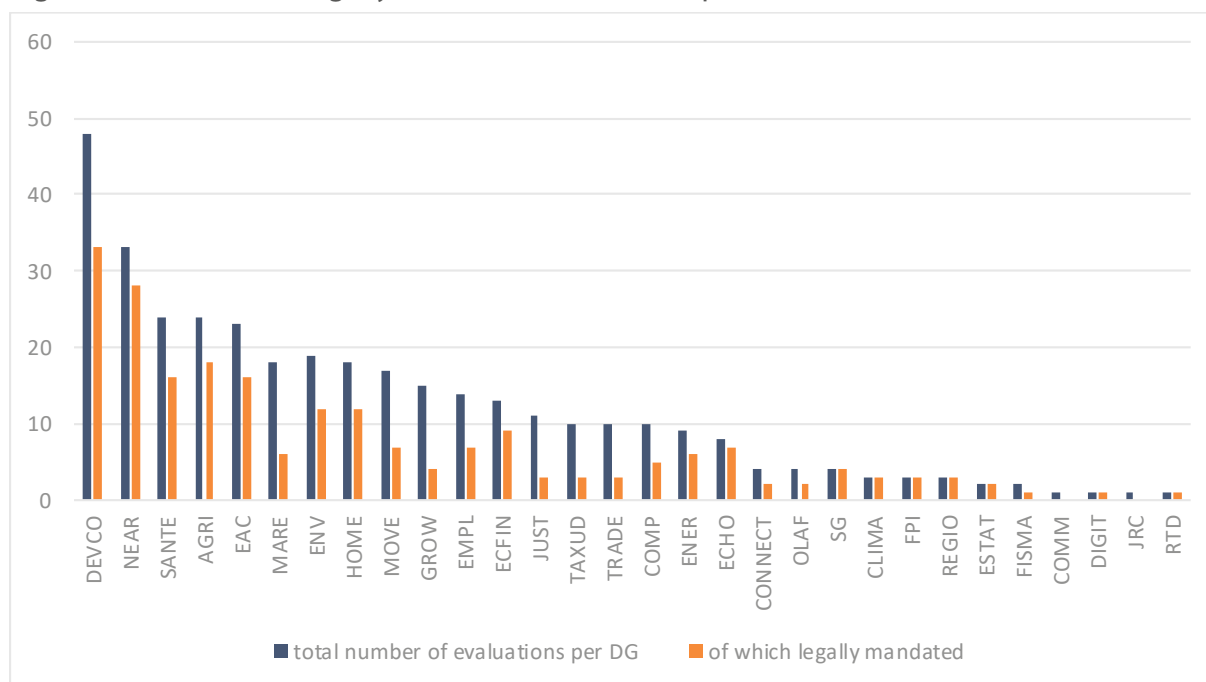


Data source: European Commission.

And finally, Figure 8 shows the total number of evaluations per DG in relation to the number of legally mandated evaluations. It shows that in some DGs the majority of evaluations – if not all – are prompted by a legal requirement (e.g. DGs AGRI, CIMA, ENV, HOME, SANTE), whereas in other DGs, such as GROW, JUST, TAXUD or TRADE, non-legal evaluation triggers prevail.

<sup>109</sup> NB: Earlier editions of this RCL included more refined analysis on the different types of legal evaluation triggers, based on Commission data from the Single Evaluation Plan. As this data source is no longer updated, attribution of legal triggers would need to be based on assumptions and risk being inaccurate.

Figure 8 – Number of legally mandated evaluations per DG (2020)



Data source: European Commission.

## 4.2. Dataset of completed Commission evaluations: SWDs

### 4.2.1. Description of the dataset

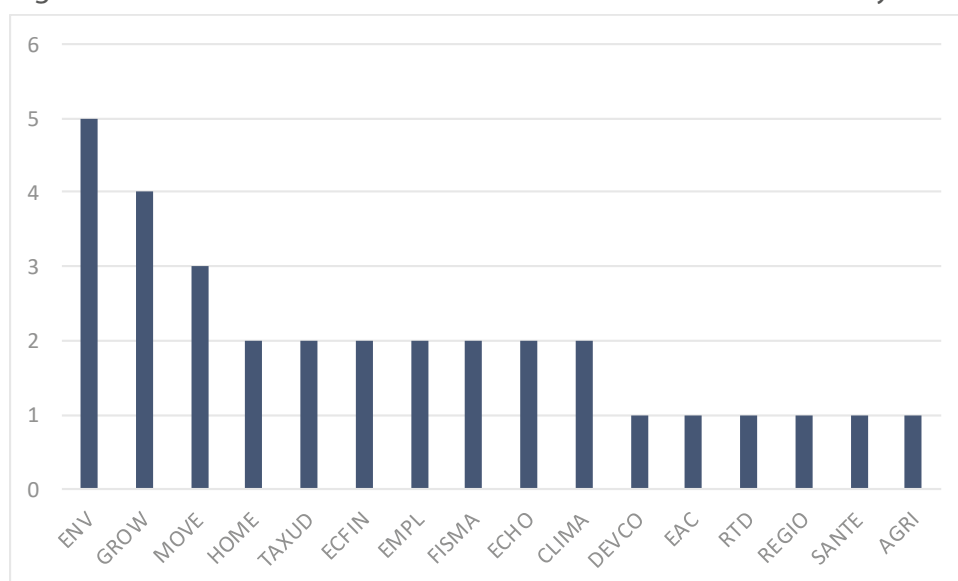
According to data retrieved on the Europa server, the European Commission published **a total of 183 completed evaluations** as staff working documents (SWD) in the period between 1 October 2017 (the cut-off date of the previous edition) and 30 June 2020. Seven of these were actually fitness checks. To recap, according to the Better Regulation Guidelines, the SWD is the 'key deliverable of the evaluation process' and drafted by the lead DG.

In certain cases, together with the evaluation SWD, the Commission also prepares a **communication** (COM report) addressed to the legislators. Most of these COM reports are based on reporting requirements in the legislation itself, although the Commission has the discretion to issue reports also in other cases, if it deems it politically opportune. Compared with SWDs, COM documents are endorsed by the College of Commissioners and therefore carry considerably more political weight. The share of COM reports linked to evaluations is surprisingly high: in this dataset over 40 % of SWDs (79 out of 183 cases) came along with a COM report. Interestingly, a big share of these associated COM reports relates to spending programmes. It should be noted that in certain cases one single COM report may cover several topically related SWD files. As already mentioned in Chapter 3.24, this is the case with COM(2017) 720, the Commission's mid-term review report on the external financing instruments, which covers the evaluations of different financial instruments that were published as 10 different SWDs.<sup>110</sup> This practice explains why the share of COM reports is so high in the present dataset.

<sup>110</sup> Further examples include COM(2018) 455, COM(2018) 456 and COM(2018) 464, covering several related spending programmes.

Of the 183 completed evaluations and fitness checks, 32 underwent a quality **check by the Regulatory Scrutiny Board**. This corresponds to 17 %. For the seven fitness checks contained in this figure, RSB scrutiny was an automatic process, as the RSB systematically checks all fitness checks. RSB scrutiny concerned evaluation files of 16 different DGs, whereby DGs ENV, GROW and MOVE accounted alone for over one third of scrutinized evaluations (12 out of 32).

Figure 9 – Distribution of evaluations that underwent RSB scrutiny



Data source: European Commission.

However, the number of evaluations examined by the RSB is actually higher, as the Board also considers evaluations in back-to-back processes (tool #52). The dataset of 183 evaluations includes 7 back-to-back cases (DG FISMA: 3, DG JUST: 3, DG ENER: 1). If the latter files are taken into account, the share of RSB scrutiny rises to 21 % (38 out of 183). Table 2 summarises and visualises the figures provided here above. However, to be noted, on back-to-back exercises the RSB does not issue a separate opinion on the evaluation, but the findings feed into the opinion on the impact assessment.<sup>111</sup>

Table 2 – Breakdown of 183 evaluations published between October 2017 and June 2020

SWDs	Fitness checks	COM reports	RSB scrutiny	Back-to-back
183	7 (4 %)	79 (43 %)	32 (17 %) 39 (21 %) incl. back-to-back	7 (4 %)

Data source: European Commission.

#### 4.2.2. Data sources used

The BGR Toolbox requires the publication of all completed evaluations, but does not further specify where and when they are to be published. There is no central publicly accessible Commission repository (e.g. a portal or database) that would provide a one-stop shop for all published evaluations. Instead, evaluations need to be retrieved from different sources. Therefore, it is possible that the list of 183 evaluations might not be exhaustive, as it remains somewhat challenging to trace

<sup>111</sup> In addition, it should be borne in mind that when the RSB formally considers an impact assessment it will also look at the quality of the preceding evaluation, if available, as part of the overall scrutiny of the impact assessment.

completed Commission evaluations systematically, and even more so where access to the full text of the document is concerned.

The following sources were systematically searched for evaluations and fitness checks published since October 2017, although none of them appears to grant truly comprehensive coverage:

- EurLex;
- the European Commission's public register of documents;
- and the EU Bookshop.

The resulting records were matched against information retrieved in the **activity plans** of the various Commission DGs for 2017 and 2018. In addition, the **RSB website** was consulted to identify the files that had undergone Board appraisal. Furthermore, some – but by no means all – Commission services maintain **dedicated websites** gathering all planned and completed evaluations under their responsibility. This allows for easy progress tracking, provided the DG responsible is known.

The dataset derived from the sources above was checked item by item against the third edition of the rolling check-list, issued in November 2017, to filter out those evaluations that had been completed in the meantime. Interestingly, a small number of evaluations that were already in the pipeline in 2017 (or listed as planned) still appear as ongoing in this current edition. There can be multiple reasons for this:

- the start of the evaluation may have been postponed;
- the evaluation may effectively be still ongoing, as policy evaluation is generally a lengthy process which can easily spread over years;
- the evaluation may have been abandoned in the meantime;
- and finally, it is not impossible that a completed and published evaluation was overlooked, despite careful research.<sup>112</sup>

### 4.2.3. Some observations regarding the various data sources

#### EurLex

In EurLex, the EU's law portal, a selection of evaluation SWDs is included in the 'preparatory documents' section. Out of the 183 evaluations of the present data set, 98 (thus over 50 %) could be retrieved in EurLex. This concerns predominantly those evaluations for which an associated COM report was prepared. In general, evaluation COM reports are, with very few exceptions, indexed in EurLex.<sup>113</sup> It is not clear what the delay for uploading evaluation data into EurLex is, nor according to which criteria some evaluation SWDs (not accompanied by a COM report) are contained in EurLex and others not.

#### European Commission public register of documents

The Commission's public register turned out to be the most reliable and up-to-date source of information for retrieving evaluations and the related executive summaries. It seems that the references are uploaded within days following publication. However, in many cases access to the full text is not at all or not instantly provided. In quite a number of cases, the register features hyperlinks to EurLex that are not however supported, if the document is not stored in EurLex (and it appears that by no means all evaluation SWDs enter EurLex, see above). In other cases the record

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<sup>112</sup> For instance, an evaluation could have been included in a fitness check, or the title of the completed evaluation could substantially deviate from the working title.

<sup>113</sup> For the current data set, only 3 of the 79 COM evaluation reports could not be retrieved in EurLex.

offers only the possibility to request disclosure of the document under Regulation (EC) No 1049/2001. Thus, access to the text of the evaluation is in many cases not straightforward.

## EU Bookshop

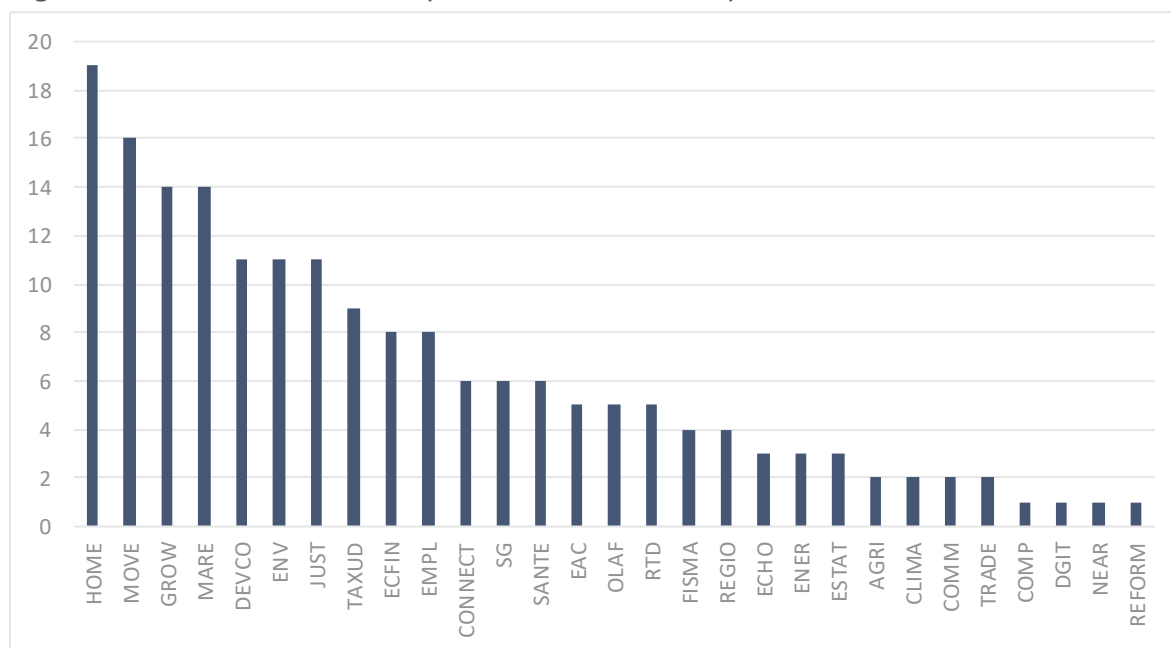
Selected evaluation SWDs are also uploaded into the EU Bookshop in full text, as are evaluation roadmaps, synopsis reports of stakeholder consultations and most of the external studies. The EU Bookshop is in general the safest option to find external studies supporting Commission evaluations. Alternatively, individuals from inside the EU institutions can also retrieve these studies from the (new) interinstitutional studies database, which is currently being built up.

Finding **the full text of evaluation SWDs** (or the associated executive summary) proved to be tricky in a few instances. Sometimes the only way to locate the full text of the evaluation SWD (or summary) on the Europa server would be an internet search with the string 'SWD(YEAR) xxx site:ec.europa.eu'. However, despite considerable efforts, with regard to the dataset in question, for 8 evaluation SWDs (4%) and 12 executive summaries (8%) the search for the full text returned no results. The initial failure rate was much higher, but it appears that some full text documents are uploaded over time.

### 4.2.4. Distribution of completed evaluation files per DG

Figure 9, depicting the distribution of the 183 completed evaluations (SWDs) across Commission DGs, shows that the evaluation output is unevenly spread across DGs. Four DGs alone (DGs HOME, MOVE, GROW and MARE) account for roughly one third (63) of the total number of evaluations (183).

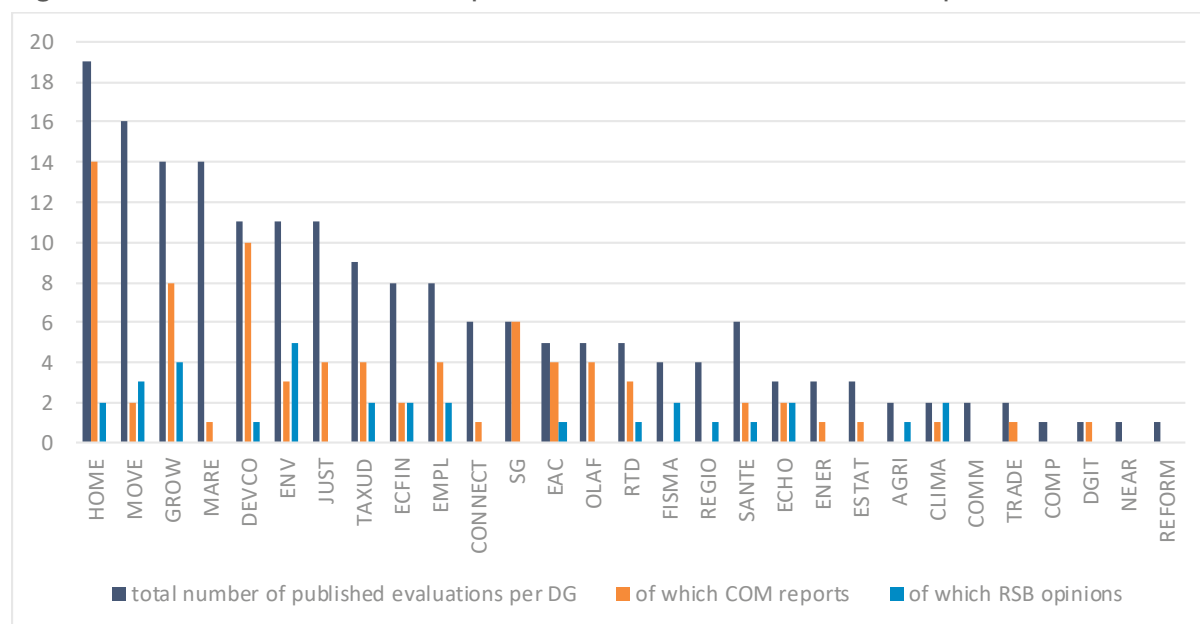
Figure 10 – Distribution of completed evaluation files per Commission DG (2020)



Data source: European Commission.

Figure 10 replicates the same data of completed evaluations per DG, but adds information on the number of evaluations that were published with an associated COM report (either due to a reporting clause in the underlying act or for political considerations) and on the number of files that underwent RSB scrutiny. The two criteria might well overlap, i.e. an evaluation or a fitness check scrutinised by the RSB may or may not be published as COM report, in addition to the SWD.

Figure 11 – Distribution of COM reports and RSB-checked evaluations per DG (2020)



Data source: European Commission.

Finally, Table 3 shows the attribution of completed fitness checks and evaluations carried out 'back-to-back' to the Commission DGs in charge.

Table 3 – Distribution of completed fitness checks and back-to-back files per DG (2020)

DG	Fitness checks	Back-to-back
ENER		1
ENV	2	
FISMA	1	2
GROW	1	
HOME	2	
JUST		3
MARE		1
MOVE	1	
<b>total</b>	<b>7</b>	<b>7</b>

Source: EPRS.

## 4.3. Dataset of completed evaluations: studies without SWDs

### 4.3.1. General observations

In addition to the 183 evaluations published under the BRG standard evaluation process as staff working documents, **43 evaluations** could be identified that were published only as **external**

**studies, without an associated evaluation SWD.** They were retrieved either in the EU Bookshop or on the websites of the DGs concerned. Some of these files were listed as planned or ongoing in the previous edition of this rolling check-list (RCL) (November 2017). Compared to previous editions of the RCL, the number of evaluation records published without a SWD has decreased significantly, as it has become standard practice across all Commission services to conduct evaluations in line with the standard processes set out by the BRG.

As explained in Chapter 3.3.2, the BRG allow for exceptions, where evaluations do not necessarily need to be conducted in a standard manner (tool #43). Most of the 43 evaluation studies listed in Part II, Table 3 arguably qualify as exceptions to the standard process. A significant share – over 60 % (in figures: 26) – fall within the remit of the three external relations DGs DEVCO, ECHO and NEAR and predominantly relate to the country programme or spending programme with sub-activities categories. The multiannual planning documents of these DGs usually indicate whether the expected evaluation deliverable will be an external study or an SWD. Furthermore, evaluation roadmaps would typically give a hint of the evaluation format envisaged.

In a few of the 43 cases, notably those published in 2017 and in early 2018, the chosen final presentation as external evaluation study (rather than formal SWD) may be due to the fact that the start of the evaluation process preceded the application of the BRG within the Commission.

In some cases, however, it is difficult to see why the Commission chose not to follow the standard evaluation process, for example in the case of the [evaluation](#) of the European Union's humanitarian response to the refugee crisis in Turkey 2016-2017 (DG ECHO). For a politically sensitive file like this, which is prone to extensive media coverage and polemics not least from the receiving country, it might have been more appropriate for the Commission to take ownership of the evaluation findings in form of an SWD or even COM report,<sup>114</sup> even if based on an independent external assessment.

Furthermore, in a few cases it is not entirely clear whether the published external study represents the final evaluation outcome or whether it will still be followed by an SWD. This is the case for instance for a DG GROW evaluation entitled 'Support study for the evaluation of the International Intellectual Property Rights SME Helpdesks', published in February 2020. To date, no related SWD could be identified.

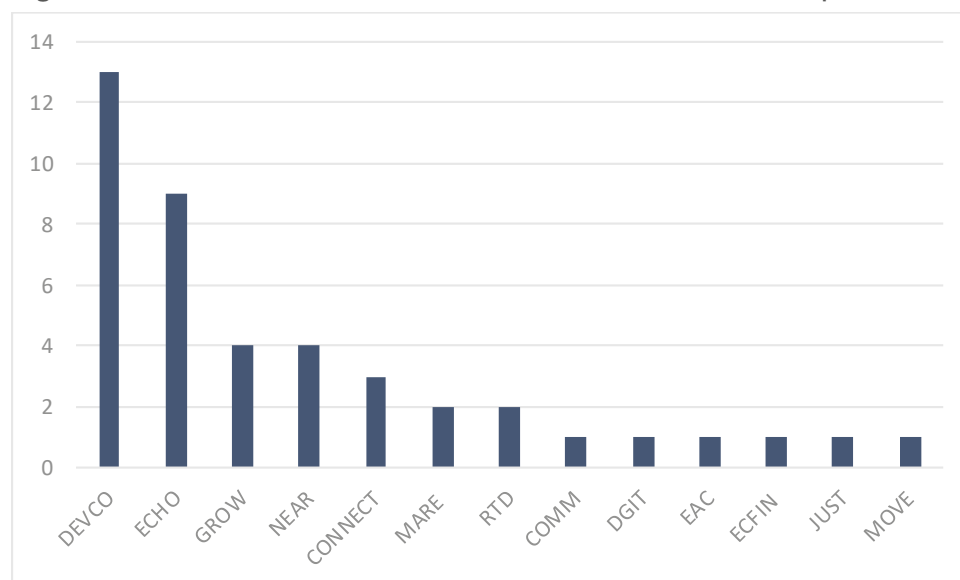
#### 4.3.2. Distribution of evaluation studies (without SWD) per DG

The practice of publishing an external evaluation study without a Commission SWD has become an exception. As Figure 11 shows, 43 such cases could be identified during the reporting period of this present study. These 43 studies were carried out under the responsibility of 13 Commission DGs, three of which are responsible for the implementation of external action programmes (DGs DEVCO, ECHO and NEAR). The remaining 10 DGs account altogether for 16 evaluation studies. At least three studies by DGs COMM, DIGIT and ECFIN assess the performance of internal administrative activities.

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<sup>114</sup> With regard to this file, the [short description](#) on the 'Have your say' portal states the objective as follows: 'To provide an overall, independent assessment of the European Union's humanitarian response to the Syrian crisis in Turkey, with reference to the Humanitarian Aid Regulation (1257/96). The period covered is 2016-2017. The study will inform future decision making'.

Figure 12 – Distribution of evaluation studies (without SWD) per DG (2020)



Data source: European Commission.

Overall, the existence of evaluations that were completed entirely by external contractors has become a fringe phenomenon. This suggests that five years along the road, the Better Regulation Guidelines have widely clarified the concept of evaluation, and they have streamlined evaluation-related working methods, processes and deliverables in the Commission.



## 5. Conclusions

The European Commission's 2015 Better Regulation Guidelines (BRG) and the 2016 Inter-Institutional Agreement on Better Law-Making (IIA-BLM) gave new impetus to policy evaluation. Both contain a strong political commitment to evaluation as a key stage in the policy cycle. The reform brought about substantial changes to the way evaluations are carried out by the Commission in terms of methods as well as process. This also holds true for the transparency of the evaluation process and outcome; precisely these transparency requirements are the focus of this study.

The 2015 Better Regulation reform made the evaluation process more open and transparent. A case in point is the Commission's self-commitment to publish evaluation findings in form of a staff working document (SWD), instead of simply labelling an externally commissioned study 'Commission evaluation', as used to be common practice before the implementation of the BRG. With the separate SWD, which exists now in most of cases, the Commission takes ownership of the evaluation results. Thus, the SWD clarifies which aspects and findings of an externally conducted study the Commission endorses and which not; this adds to transparency and accountability.

Despite considerable progress in many areas, some issues remain, in particular with regard to the Commission's evaluation planning (especially multiannual planning) and access to evaluation documents. This study compares the Commission's own commitments with regard to evaluation against delivery.

Concerning forward planning, the Commission does not appear to comply with the requirement set out in the IIA-BLM and the BRG to share its central multiannual evaluation planning with the co-legislators. The lack of a unique, public repository makes it difficult to obtain a complete overview of ongoing and planned Commission evaluations. Relevant information is scattered around different sources. The present study aims to fill this gap. However, despite all diligence, any such exercise is inevitably prone to inaccuracies, thus the data provided can only strive towards comprehensiveness, but not guarantee it.

This present fourth edition of the rolling check-list of Commission evaluations identified a total of 353 planned or ongoing evaluations across various sources. Roughly 60% of these appear to be prompted either by explicit provisions in the legal act or by financial requirements.

This edition also considers evaluations the Commission completed between October 2017 and June 2020. 183 completed evaluations have been published in form of an SWD, and an additional 43 evaluations appear to have been published exclusively as external studies (without any associated evaluation SWD). The latter cases relate mostly to EU external action and appear to fall under the BRG exceptions to the standard procedure.

With regard to completed evaluations, accessing the full text of completed evaluations (SWDs) and executive summaries can prove challenging, since the full text is not always attached to records in the Commission's public register of documents. Similarly, transparency regarding external studies supporting evaluations could be improved.

Legally mandated evaluations are often delayed, as Parliament and the Court of Auditors have observed. There can be a variety of reasons for delays and they can be well justified, but they should be communicated to the public and to the legislators. The Commission might consider using the individual evaluation webpages on the 'Have your say' portal to communicate changes in a file more proactively, including delays or changes in scope, and publishing all documents directly related to an evaluation on this portal.

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## Part II: Data sets

### 1. Check-list of planned and ongoing evaluations

The following table lists all planned and ongoing evaluations and fitness checks identified in Commission sources for each Commission DG. Their number totals 353, as per 30 June 2020. An analysis of this dataset is provided in chapter 4.1.

#### How to use the dataset

Within every DG, evaluation records are sorted by envisaged completion year. For every record, a brief description of the purpose and scope of the evaluation is provided, taken from Commission sources. Given that the most comprehensive information regarding the coverage of a prospective evaluation is contained in the evaluation roadmap, this document is linked wherever available. The field 'Status' summarises Commission information regarding the evaluation's expected completion date.

Furthermore, an attempt was made to indicate for each record the trigger point that prompted the evaluation in question. The data distinguish between

- three categories of legal triggers (requirement in a legal act, MFF and Financial Regulation), specified when appropriate, and
- evaluations prompted by other motives (such as REFIT, evaluatefirst, CWP).

For a small number of records (9) the trigger field remained empty because the respective hint was missing in the original information source.

#### Acronyms used for the different trigger categories

L	Evaluation required by legal act;
MFF	Evaluation required for a multiannual framework programme;
FR	Evaluation required by the EU's Financial Regulation;
O	Other

Compared with previous editions of the Rolling Check-list, the present data set contains two novelties:

First, to increase the usability of the dataset within Parliament, for each evaluation record the competent parliamentary committee has been identified. Wherever possible, this information was taken from the Legislative Observatory (OEIL). For records not contained in OEIL, the committee attribution was

derived from annex VI of Parliament's Rules of procedure. The attribution was carried out with diligence, but it goes without saying that any such exercise is prone to inaccuracies. Therefore, the committee attribution is purely indicative.

The second innovation concerns the information source: for each record, the Commission information sources mentioning the evaluation project is indicated. The following information sources were used to establish the dataset:

<b>Acronym</b>	<b>information source</b>
BR	Better Regulation website - section <a href="#">published initiatives</a> (for roadmaps of evaluations and fitness checks and, in case of back-to-back files, inception impact assessments)
CWP 19	2019 Commission Work Programme, REFIT annex
CWP 20	2020 Commission Work Programme, REFIT annex (initial CWP and revision of May 2020)
EU-B	EU Bookshop <a href="https://op.europa.eu">https://op.europa.eu</a>
EC 18	The European Commission's internal multiannual evaluation planning, extract 2018 (only listed if it is the only information source or additional information regarding completion date)
II-SDB	Interinstitutional studies database
MP 19	2019 Management Plans of the various Commission DGs and services
SWD(2018) 486	General Guidelines on Operational Priorities for Humanitarian Aid in 2019 (DG ECHO)
SWD(2019) 421	General Guidelines on Operational Priorities for Humanitarian Aid in 2020 (DG ECHO)
WPSE18	DG DEVCO's <a href="#">Work programme for strategic evaluations 2018-2022</a>
WPSE 19	DG DEVCO's <a href="#">Work programme for strategic evaluations 2019-2023</a>

## 1.1. DG AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of CAP measures applicable to the wine sector		Legal act - To assess the impacts of the CAP measures applicable to the wine sector, with a focus on agricultural income, market balance including marketability and marketing standards, quality as well as competitiveness including strengthening competitive structures, conversion, restructuring, market orientation and investment boosting economic performance. Art. 61 and 225 of Regulation 1308/2013.	<a href="#">Roadmap</a> of October 2017 indicates Q2 2019 as planned completion date. 2019 Management plan announces SWD for 2019. External <a href="#">study</a> published in March 2019.	L	EU-B BR MP 19	AGRI
2019	Summary of ex-post evaluations of rural development programmes 2007-2013		Legal act, MFF - Council Regulation 1698/2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD); Art. 87: 'The summary of ex post evaluations shall be completed by 31 December 2016.'	<a href="#">Roadmap</a> of June 2017 indicates May 2018 as planned completion date; 2019 Management plan announces SWD for 2019.	L MFF	BR MP 19	AGRI
2020	Evaluation of the impact of the CAP on generational renewal, local development and jobs in rural areas		Legal act - Elements of regulations 1305/2013; 1306/2013; 1307/2013; 1308/2013 influencing the CAP contribution to local development. The evaluation will assess to what extent the currently applicable CAP regulations (on rural development, direct payments, the horizontal and the CMO regulations) address generational renewal in agriculture. Focus lies on programming period 2014-2020.	<a href="#">Roadmap</a> of July 2018 indicates Q4 2019 as planned completion date; 2019 Management plan announces SWD for 2019. External <a href="#">study</a> published in November 2019. Subject to RSB scrutiny in 2020.	L	EU-B BR MP 19	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of the impact of the CAP measures towards the general objective "viable food production"	Interim evaluation	Legal act - Elements of regulations 1305/2013; 1306/2013; 1307/2013; 1308/2013 influencing viable food production. Article 110(5) of Regulation (EU) No 1306/2013 on the common monitoring and evaluation framework of the CAP requires the Commission to present a report on the CAP performance by 31 December 2018 and a second report by 31 December 2021. This evaluation focuses on knowledge transfer, innovation and advisory services.	The <a href="#">Roadmap</a> of February 2017 indicates June 2018 as planned completion date; 2019 Management plan announces SWD for 2019. EC planning 2018 indicates Q4 2020 as completion date.	L	BR MP 19 EC18	AGRI
2020	Evaluation of marketing standards (contained in the Common Markets Organisation (CMO) Regulation, the 'breakfast directives' and CMO secondary legislation)		Marketing standards so far have not been subject to a horizontal and comprehensive evaluation. This evaluation will contribute to the performance check required in the Commission's policy making cycle. Its results might feed into a Commission report to the EP and to the Council which according to Article 75.6 of Regulation 1308/2013 is required before any change in the list of products covered by marketing standards. The evaluation will help assess the coherence between the various pieces of legislation and identify potential for simplification.	<a href="#">Roadmap</a> of June 2017 indicates Q4 2018 as planned completion date; 2019 Management plan announces SWD for 2019/2020. Included in CWP 2020.	O	EU-B BR MP 19 CWP 20	AGRI
2020	Evaluation of the impact of the CAP on climate change and greenhouse gas emissions  (alternative title: Evaluation of the impact of the CAP towards the general objective		Legal act - The evaluation covers elements of regulations 1305/2013; 1306/2013; 1307/2013, 1308/2013 influencing GHG emissions from agriculture. Article 110(5) of Regulation 1306/2013 on the common monitoring and evaluation framework of the CAP requires the Commission to present a report with the first results of the performance of the CAP by 31 December	<a href="#">Roadmap</a> of June 2017 indicates 8/2018 as planned completion date; 2019 Management plan announces SWD for 2019. EC planning 2018 indicates Q4 2020 as completion date. External <a href="#">study</a> published in July 2019.	L	EU-B MP 19 EC18 II-SDB	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
	"sustainable management of natural resources and climate action")		2018 and a second report, including an assessment of the performance of the CAP, by 31 December 2021.				
2020	Evaluation of the EU agricultural promotion policy – internal and third country markets  (alternative title: Evaluation of the EU agricultural promotion policy)		Legal act, Financial Regulation - This evaluation will contribute to the preparation of the report which the Commission has to submit by 31 December 2020 to the EP and to the Council on the application of Regulation (EU) No 1144/2014.	<a href="#">Roadmap</a> of July 2018 indicates Q4 2019 as planned completion date; 2019 Management plan announces SWD for Q4 2019/Q 1 2020. EC planning 2018 indicates Q3 2020 as completion date.	L FR	EU-B BR MP 19	AGRI
2020	Evaluation of the EU Information Policy on the CAP  (alternative title: EU farm policy – evaluation of external communication measures)		This evaluation covers the information activities carried out to implement the EU information policy on the CAP and includes an assessment of the communication strategy 2016-2020.	<a href="#">Roadmap</a> of July 2018 indicates Q4 2019 as planned completion date; 2019 Management plan announces SWD for Q4 2019/Q 1 2020. EC planning 2018 indicates Q2 2021 as completion date.	O	BR	AGRI
2020	Evaluation of the impact of the Common Agricultural Policy (CAP) on habitats, landscapes and biodiversity  (alternative title: EU farm policy – evaluation of its impact on habitats, landscapes and biodiversity)		Legal act - Elements of regulations 1305/2013; 1306/2013; 1307/2013, 1308/2013 impacting on habitats, biodiversity, landscapes. The findings of the present evaluation will feed into the evaluation of the EU Biodiversity Strategy. This evaluation is a specific action under the Action Plan for nature, people and the economy. It will contribute to the policy discussions regarding negotiations on the legislative proposals for the CAP post-2020 in view of environmental/climate objectives.	<a href="#">Roadmap</a> of November 2018 indicates Q1 2020 as planned completion date; BR website Q2 2020; 2019 Management plan announces external study for 2019. EC planning 2018 indicates Q3 2020 as completion date. External <a href="#">study</a> published in March 2020.	L	EU-B BR MP 19 II-SDB	AGRI
2020	Evaluation of the impact of the Common Agricultural Policy (CAP) on water		Legal act - Elements of regulations 1305/2013; 1306/2013; 1307/2013, 1308/2013 influencing CAP impact on water (quality and quantity). The evaluation covers all instruments under the 2014-2020 CAP,	<a href="#">Roadmap</a> of October 2018 indicates Q1-2 2020 as planned completion date; 2019 Management plan announces external study for 2019. EC	L	EU-B BR MP 19	AGRI



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
	(alternative title: EU farm policy – evaluation of its impact on water)		with a focus on the direct payments support schemes as set out in Regulation 1307/2013, the horizontal measures as set out in articles 12-15 and 91-101 of Regulation 1306/2013 as well as mainly the Rural Development measures of Regulation 1305/2013.	planning 2018 indicates Q3 2020 as completion date. External <a href="#">study</a> published in February 2020.		EC18 II-SDB	
2020	Evaluation of the mandatory indication of the country of origin or place of provenance for fresh, chilled and frozen meat of swine, sheep, goats and poultry  (alternative title: Origin-labelling for meat - evaluation)		Legal act - The Commission is required to submit a report to evaluate the mandatory indication of the country of origin or place of provenance for fresh, chilled and frozen meat of swine, sheep, goats and poultry by 1 April 2020 (Regulation 1169/2011); covers also Commission implementing Regulation 1337/2013.	<a href="#">Roadmap</a> of September 2018 indicates Q2 2020 as planned completion date; EC planning 2018 indicates Q4 2020 as completion date.	L	EU-B BR EC18	ENVI
2020	Evaluation of the instruments applicable to State aid in the agricultural and forestry sectors and in rural areas	Mid-term evaluation	Legal/Treaty - The current framework for State aid in the agricultural and forestry sectors and in rural areas applies since 2014 and expires on 31 December 2020. The focus of this evaluation is the implementation of the framework, esp. its impact on the internal market. Results will be used for the review of the State aid rules, to be carried out in 2020 with view to establishing a new State aid framework for the agricultural and forestry sectors and for rural areas for the period 2021-2028. Article 108 TFEU lays down the obligation to keep aid granted by the MS under constant review and to assess if it is compatible with the internal market.	<a href="#">Roadmap</a> of April 2017 indicates Q4 2020 as planned completion date; final external <a href="#">study</a> published November 2018; 2019 Management plan announces SWD for 2019.	L	BR MP 19 II-SDB	AGRI
2020	Evaluation of Geographical Indications and Traditional Specialities Guaranteed protected in the EU		Legal act - The currently applicable rules for quality schemes are in place: for spirit drinks since 2008, for agricultural products and foodstuffs since 2012, for wines since 2013	<a href="#">Roadmap</a> of April 2019 indicates Q4 2020 as planned completion date; included in CWP 2020.	L	EU-B BR	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
	(alternative title: Evaluation of EU food quality schemes)		and for aromatised wines since 2014. The evaluation covers Regulation 1151/2012 on quality schemes for agricultural products and foodstuffs and relevant elements of Regulation 1308/2013.			CWP 20	
<b>2021</b>	Evaluation of the Common Agricultural Policy's impact on territorial development of rural areas: socioeconomic aspects  (Alternative titles: Evaluation of the impact of the CAP towards the objective "balanced territorial development"; EU farm policy – impact on society and the economy in rural areas)		Legal act - The evaluation will cover instruments and measures under the CAP 2014-2020, as set out in the Direct Payment and Rural Development regulations. It is part of a series of evaluations of the CAP performance against its general objectives and will assess the extent to which CAP instruments/measures have contributed to balanced territorial development in rural areas with a focus on socio-economic aspects, including social inclusion and territorial impacts of direct payments.	<a href="#">Roadmap</a> of May 2019 indicates Q2 2021 as planned completion date; BR website Q1 2021.	L	EU-B BR MP 19	AGRI
<b>2021</b>	Evaluation of the EU Information Policy on the CAP		This evaluation covers the activities carried out to implement the EU information policy on the CAP and includes an assessment of the communication strategy 2016-2020.	<a href="#">Roadmap</a> of March 2019 indicates Q2 2021 as planned completion date	O	EU-B BR	AGRI
<b>2021</b>	Evaluation of the Common Agricultural Policy's impact on knowledge exchange and advisory activities  (alternative title: EU farm policy — evaluation of its impact on knowledge exchange and advisory activities)		The evaluation assesses the extent to which the specific CAP objectives "fostering knowledge transfer and innovation in agriculture, forestry and rural areas" has been achieved. It will identify strong and weak points of the various CAP instruments/measures and identify gaps between farming and forestry practice and required knowledge, information and advice for farmers/foresters. It will contribute to the CAP performance report due by 2021.	<a href="#">Roadmap</a> of May 2019 indicates Q3 2021 as planned completion date.	O	EU-B BR	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	EU farm policy – impact on sustainable management of the soil		This evaluation will assess the extent to which the EU's farm policy (CAP instruments and measures) has helped farmers manage the soil more sustainably. It will contribute to the CAP performance report due by 2021.	<a href="#">Roadmap</a> of July 2019 indicates Q3 2021 as planned completion date	O	BR	AGRI
2021	Evaluation of the impact of the CAP measures towards the general objective "viable food production"	Second evaluation	Legal act - Elements of regulations 1305/2013; 1306/2013; 1307/2013; 1308/2013 influencing viable food production. Article 110(5) of Regulation 1306/2013 on the common monitoring and evaluation framework of the CAP requires the EC to present a second report on the performance of the CAP by 31 December 2021. This evaluation focuses on knowledge transfer, innovation and advisory services.	2019 Management plan announces the launch of this evaluation for 2019.	L	MP 19	AGRI
2021	Evaluation of the impact of the CAP on climate change and greenhouse gas emissions  (alternative title: Evaluation of the impact of the CAP towards the general objective "sustainable management of natural resources and climate action")		Legal act - The evaluation covers elements of regulations 1305/2013; 1306/2013; 1307/2013, 1308/2013 influencing GHG emissions from agriculture. Article 110(5) of Regulation 1306/2013 on the common monitoring and evaluation framework of the CAP requires the EC to present a second CAP performance report by 31 December 2021.	EC planning 2018 indicates Q4 2021 as completion date.	L	EC 18	AGRI
2022	Rural development – evaluation of the LEADER programme		MFF - This initiative will assess whether the CAP has helped improve socio-economic aspects of territorial development. It will focus on whether LEADER has boosted economic development, diversification and social inclusion; improved local services; strengthened the social fabric in rural areas.	<a href="#">Roadmap</a> not yet available as per June 2020. BR website envisages completion for Q2 2022.	MFF	BR	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2022	Evaluation of POSEI		POSEI is the EU scheme of specific measures for agriculture in favour of the outermost regions. The EC published an implementation <a href="#">report</a> in 2016 and an external evaluation <a href="#">study</a> in 2017 for the period 2006-2014.	EC planning 2018 indicates Q4 2022 as completion date.	O	EC 18	AGRI
2023	Evaluation of the directive on unfair trading practices in business to business relationships in the food supply chain		Legal act – Art. 12 of the Directive (EU) 2019/633 requires an evaluation by November 2025, and an interim report on the state of the transposition and Implementation by November 2021.	EC planning 2018 indicates Q2 2023 as completion date.	L	EC 18	IMCO
2024	Evaluation of the school schemes		Legal act - Regulation 2016/791 requires an evaluation by July 2023.	EC planning 2018 indicates Q2 2024 as completion date.	L	EC 18	AGRI
2024	Interim evaluation of the CAP (tbc)	Interim evaluation	Legal act	EC planning 2018 indicates Q2 2024 as completion date.	L	EC 18	AGRI

## 1.2. DG CLIMA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Ex post evaluation of the LIFE 2014-2020	Final evaluation	Legal act, MFF - Climate action sub-programme of the LIFE programme 2014-2020. The EU added value of the new LIFE Programme stems from its increased link to EU policies as well as its capacity to lead to marketable environmental and climate solutions easily measurable on the basis of performance indicators. The programme is subject to a mid-term evaluation (2017) and a final evaluation (2020-22). Article 27 of Regulation (EC) No 1293/2013 stipulates that the ex-post evaluation is due by 31 December 2023.	Following the 2017 mid-term evaluation, the DG's Strategic Plan 2016-2020 announces the final evaluation for 2020-2022.	L MFF	DG Strategic Plan 2020 II-SDB	ENVI
2021	Evaluation of the Regulation setting CO <sub>2</sub> standards for Heavy Duty Vehicles		Legal act - Regulation (EU) 2019/1242: evaluation not later than 2023.	EC planning 2018 indicates Q4 2021 as completion date.	L	EC 18 II-SDB	ENVI
2022	Evaluation of the post-2020 CO <sub>2</sub> emission standards for cars and vans		Legal act – Article 15 of Regulation (EU) 2019/631: The Commission shall, in 2023, thoroughly review the effectiveness of this Regulation	EC planning 2018 indicates Q4 2022 as completion date.	L	EC 18 II-SDB	ENVI

## 1.3. DG COMM

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of the performance of the Europe Direct Contact Centre (EDCC)		The Europe Direct Contact Centre, managed by DG Communication, provides free of charge, general multilingual information services about European Union matters, replying to questions received via phone, email. External <a href="#">mid-term evaluation</a> published in 2016.	The 2018 MP announces the launch of the evaluation for Q3 2018. EC planning 2018 indicates Q2 2019 as completion date.  (Note: It is not clear whether the result will be an SWD or an external study.)	O	MP 18	CULT

## 1.4. DG COMP

Year	Title	Type	Purpose and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of the regional aid framework applicable in 2014-20		Government aid for regions in the EU should not distort competition or affect trade in the single market. The current rules for assessing this are set out in a series of EU guidelines that are due to expire in 2020, when they will be reviewed. The EC will evaluate the current rules, incl. the method for drawing up regional aid maps and the compatibility criteria for regional investment and operating aid.  (not clear whether this evaluation is part of the SAM fitness check)	<a href="#">BR website</a> indicates Q3 2019 as planned completion date; Roadmap not yet published as of June 2020.  EC planning 2018 indicates Q4 2019 as completion date.	O	BR EC18 II-SDB	REGI
2020	Evaluation of procedural and jurisdictional aspects of EU merger control		The ongoing evaluation focuses on simplification and cutting red tape where appropriate, streamlining of the referral system and other improvements of a technical nature. In light of the recent debate on the effectiveness of the purely turnover-based jurisdictional thresholds of the EU Merger Regulation, the evaluation also seeks to assess whether these allow capturing all transactions, which can potentially have an impact in the internal market.	The <a href="#">Roadmap</a> of August 2016 indicates Q3 2017 as planned completion date. The 2019 Management Plan announces a SWD for 2019. Included in CWP 2020.	O	EU-B MP 19 CWP 20	ECON
2020	Fitness check of the 2012 State Aid Modernisation package, railways	Fitness check	Legal act, sunset clause - The current fitness check will cover the General Block Exemption	<a href="#">Roadmap</a> of February 2019 indicates Q1/2020 as planned completion	O L/	EU-B BR	ECON

Year	Title	Type	Purpose and scope	Status	Trigger	Info source	EP Committee
	guidelines and short-term export credit insurance		Regulation (GBER), De minimis Regulation, Regional aid Guidelines, Research, Development and Innovation (RDI) Framework, Communication on State aid for important projects of common European interest (IPCEI Communication), Risk finance, Airport and aviation Guidelines, Energy and Environmental Aid Guidelines (EEAG), Rescue and restructuring Guidelines but also the Railways Guidelines as well as the Short term export credit Communication (the two latter are not included in the 2012 SAM package). Most of the State aid rules will expire by the end of 2020. As the Fitness check is not ready before the SAM rules expire, the EC envisages to prolong them.	date. BR website updated forecast to Q3 2020.  Included in CWP 2020.	Sunset clause	MP 19  CWP 20  II-SDB	
2020	Evaluation of the Commission Regulation (EU) No 330/2010 of 20 April 2010 on the application of Article 101(3) of the Treaty on the Functioning of the European Union to categories of vertical agreements and concerted practices (Vertical Block Exemption Regulation)		Legal act, sunset clause - The Vertical Block Exemption Regulation 330/2010 is set to expire on 31 May 2022. The purpose of the evaluation is to gather evidence on the functioning of the Regulation (and relevant Guidelines) so as to determine whether it should be lapsed, prolonged or revised. It takes account of new market developments, e.g. the increased importance of online sales and the	<a href="#">Roadmap</a> of November 2018 indicates Q2/2020 as planned completion date. EC planning 2018 indicates Q3 2020 as completion date. Included in CWP 2020.	O  L  Sunset clause	EU-B  BR  MP 19  CWP 20  II-SDB	IMCO



Year	Title	Type	Purpose and scope	Status	Trigger	Info source	EP Committee
			emergence of new market players such as online platforms.				
2021	EU competition law – market definition notice (evaluation)		EU competition rules rely on a common definition of terms, including the concepts of 'relevant product market' and 'relevant geographic market'. The EC defined these concepts in its 1997 notice. It will now evaluate whether to update this notice to ensure: <ul style="list-style-type: none"> <li>• it is accurate and up-to-date;</li> <li>• it sets out a clear and consistent approach to market definition in competition cases (antitrust, merger) across different industries.</li> </ul>	<a href="#">Roadmap</a> of April 2020 indicates Q2 2021 as planned completion date.	O	BR	ECON
2021	Evaluation of State aid rules for health and social services of general (economic) interest and of the SGEI de minimis Regulation		Legal act, sunset clause - Compensation measures for health and social services are subject to EU State aid rules and, more particularly, the four texts that the Commission adopted as part of its 2012 SGEI package (SGEI Communication, SGEI Decision, SGEI Framework and SGEI de minimis Regulation, which is the only text expiring on 31 December 2020). The current rules applicable to health and social SGEIs have not been reviewed so far.	<a href="#">Roadmap</a> of June 2019 indicates Q1/2021 as planned completion date.	L  Sunset clause	EU-B BR II-SDB	ECON
2021	Evaluation of Commission Regulation (EU) No 461/2010 of 27 May 2010 on the application of Article 101(3) of the Treaty on the Functioning of the European		Legal act, sunset clause - Commission Regulation 461/2010 is set to expire on 31 May 2023. Pursuant to Art. 7 thereof, the Commission has to draw up an	<a href="#">Roadmap</a> of February 2019 indicates Q2/2021 as planned completion date; 2019 Management plan announces a study	L	EU-B BR	TRAN ECON

Year	Title	Type	Purpose and scope	Status	Trigger	Info source	EP Committee
	Union to categories of vertical agreements and concerted practices in the motor vehicle sector (Motor Vehicle Block Exemption Regulation)		evaluation report on its operation by 31 May 2021.	to for early 2020 and an evaluation report by May 2021. Timing confirmed by EC planning 2018.	Sunset clause	MP 19 II-SDB	
2021	Antitrust: Ex-post evaluation of horizontal BERs/guidelines		Legal act, sunset clause - Evaluation of the two Block Exemption Regulations (BERs) for horizontal co-operation agreements between companies, 1217/2010 and 1218/2010, which will expire on 31 December 2022.	<a href="#">Roadmap</a> of July 2019 indicates Q1 2021 as planned completion date.	L Sunset clause	BR	ECON
2021	Broadband network deployment – evaluation of EU state aid rules		State subsidy rules on the broadband sector aim to support the deployment of high-speed broadband networks that are competition-driven. This ensures that public funding is steered towards areas that need it most (mainly rural and remote regions), and prevents private investment from being crowded out.  This evaluation will assess whether the rules (Broadband Guidelines and the relevant provisions in the GBER) are working as intended.	<a href="#">Roadmap</a> of June 2020 indicates Q2 2021 as planned completion date	O	BR	ITRE
2024	Antitrust: Private enforcement: Evaluation of the Damages Directive		Directive 2014/104/EU on antitrust damages actions	EC planning 2018 indicates Q4 2024 as completion date.	O	EC 18	ECON

## 1.5. DG CONNECT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of the Orphan Works Directive		Directive 2012/28 on certain permitted uses of orphan works faces several problems, as identified by the two EU co-financed projects FORWARD and ENDOW. The Directive foresees reporting on its application and on the possibility of extending the scope by including publishers and/or other protected material such as stand-alone photographs and other images.	2019 Management plan suggests an evaluation by June 2019. Roadmap not yet published as of April 2020. Tender for external study published end-2019.	O	MP 19 II-SDB	JURI
2020	Report on the Application of Regulation (EU) 910/2014 on electronic identification and trust services for electronic transactions in the internal market (eIDAS Regulation)		Legal Act – Art. 49 of Regulation 910/2014 on electronic identification and trust services for electronic transactions in the internal market requires a Commission report on the application by 1 July 2020. Such transactions comprise e.g. e-signatures, e-seals, e-time stamping, e-delivery and website authentication certificates. The evaluation will cover the whole eIDAS legal framework incl. its implementing acts and sectoral legislation.	<a href="#">Roadmap</a> of September 2019 indicates Q2 2020 as completion date. Evaluation included in CWP 2020.	L	BR CWP 20 II-SDB	ITRE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Review of the Broadband Cost Reduction Directive (Directive 2014/61/EU)		The review aims to reduce unnecessary and costly administrative burden, which can significantly deter and delay network deployment. It aims to improve further the current measure by simplifying permits and procedures or by making the engineering works more agile by improving coordination with other infrastructures (roads, energy, etc.) This can represent an opportunity for new legislation to reduce overall administrative burdens in the sector.	Included in CWP 2020.	O	CWP 20 II-SDB	ITRE
2020	Review of the Regulation on the internal market and cross-border e-commerce (Geo-Blocking)		Legal act - The Commission shall report on the evaluation of this Regulation to the European Parliament, the Council and the European Economic and Social Committee by 23 March 2020, as required by Article 9 of the Regulation (EU) 302/2018. The Commission shall take into account the overall impact of the Regulation on the internal market and cross-border e-commerce, including, the potential additional administrative and financial burden for traders stemming from the existence of different applicable regulatory consumer contract law regimes.	Included in CWP 2020.	L O	CWP 20	IMCO ITRE JURI

## 1.6. DG DEVCO

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Geographic evaluations in the area of international cooperation and development (DG DEVCO) (Regional Latin America, El Salvador, Nicaragua, Myanmar, Rwanda, Somalia)	geographic	Legal act Article 12 of the Common Implementing Regulation (CIR) requires the Commission to "regularly evaluate the results of geographical and cross-border policies and programmes and of sectoral policies and the effectiveness of programming in order to ascertain whether the objectives have been met and enable it to formulate recommendations with a view to improving future operations".	<a href="#">Roadmap</a> of January 2018 indicates Q2 2019 as planned completion date; Nicaragua suspended according to DEVCO WPSE 2019. External studies published for <a href="#">Latin America, El Salvador</a>	L	BR WPSE19	AFET DEVE
2019	Thematic Evaluation of EU's Support to Conflict Prevention and Peace-Building (CPPB) 2013-2017	thematic	Legal act - The legal base for carrying out this external evaluation is derived from the Article 12 of the Common Implementing Regulation (CIR) of 2014 which foresees that the Commission should "evaluate the impact and effectiveness of its sector policies and actions and the effectiveness of programming, where appropriate by means of independent external evaluations". This external evaluation will feed the overarching final evaluation of the financing instruments (See article 17 of the Common Implementing Regulation (CIR)).	<a href="#">Roadmap</a> of January 2018 indicates Q4 2019 as planned completion date	L	EU-B BR II-SDB	AFET DEVE
2019	Evaluation of the EU's State Building Contracts (2012-2018)	thematic	Legal - This evaluative study is part of the DG DEVCO multiannual Evaluation plan. It is carried out pursuant Article 12 of the Common Implementing Regulation (CIR) of 2014, which foresees that the Commission should "evaluate the impact and effectiveness of its sector policies and actions and the effectiveness of programming,	<a href="#">Roadmap</a> of October 2018 indicates Q4 2019 as planned completion date	L	EU-B BR II-SDB	AFET DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			where appropriate by means of independent external evaluations". State Building Contracts (SBC) are a type of budget support programmes designed to support countries in fragile situations and to enable the EU to deploy rapid support mechanisms in difficult contexts.				
2019	Evaluation of EU Development Cooperation on Drugs	thematic	This evaluation derives from the need to integrate the new approach pledged by the UN General Assembly Special Session on Drugs (UNGASS) and its link to development cooperation aspects. Moreover, it is fuelled by the need of investing in a common approach on drugs within the EU.	In the DEVCO WPSE 2018-2022 planned for 2019	O	WPSE18 II-SDB	DEVE
2019	Evaluation of EU cooperation with Rwanda	geographic	Legal act - Article 12 of the Common Implementing Regulation (CIR) 236/2014. Geographic evaluations assess the cooperation policies, and the contribution of projects, programmes and instruments to these policies over a significant period of time in a given country or region.	In the DEVCO <a href="#">Work programme for strategic evaluations 2018-2022</a> (WPSE) planned for 2018; postponed from 2017; <a href="#">WPSE 2019-2023</a> lists evaluation as ongoing.	L	WPSE18 WPSE19 II-SDB	AFET DEVE
2019	Evaluation of EU cooperation with the Central African Republic	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2018-2022 planned for 2018 (relaunched); WPSE 2019-2023 lists evaluation as ongoing.	L	WPSE18 II-SDB	AFET DEVE
2019	Evaluation of EU cooperation with Myanmar	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 listed as ongoing.	L	WPSE19 II-SDB	AFET DEVE
2019	Evaluation of EU cooperation with Mali	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2018-2022 planned for 2018. WPSE 2019-2023	L	WPSE18	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
				lists evaluation as ongoing.		II-SDB	DEVE
<b>2019</b>	Evaluation of EU cooperation with Iraq (tbc)	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2018-2022 planned for 2019	L	WPSE18 II-SDB	AFET DEVE
<b>2020</b>	Evaluation of Civil Society Organisations and local authorities	programme	The evaluation of Civil Society Organisations and Local Authorities, first thematic programme of the DCI - Development Cooperation Instrument - will start with a first phase (2018) to ensure the evaluability of the programme (2014-2020) through robust primary information, and continue with a second phase (2019) to carry out a comprehensive evaluation.	In the DEVCO WPSE 2019-2023 planned for 2019	O	WPSE19 II-SDB	DEVE
<b>2020</b>	Evaluation on delivery through international development banks	thematic	The evaluation follows up on a previous evaluation from 2006. It will allow to gather evidence in times of reform of the World Bank Group trust fund (WBG TF) system. This would e.g. create a better basis to facilitate a more strategic use of the WBG TFs by the Commission, allowing it to capitalise better on them. This should help reducing fragmentation, increasing influence over them, strengthen the position of the Commission in TF governance, as well as better visibility and involvement in decision-making. The Commission currently is the third largest contributor to WBG TFs (156 active WBG TF contributions with around USD 2,406 million for 2014-2018 [DEVCO alone contributes around EUR 430 million per year to 79 TF for the period 2010-2017]).	In the DEVCO WPSE 2019-2023 announced for 2020.	O	WPSE19 II-SDB	DEVE



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Mid-term Evaluation of EU's Emergency Trust Fund for stability and addressing root causes of irregular migration and displaced persons in Africa 2015-2019	mid-term evaluation; thematic	Legal act - Art 12 CIR. Established in 2015, the ETF has financed so far 146 programmes across its three regions of intervention (Sahel Region and Lake Chad, Horn of Africa, North Africa). This mid-term evaluation will cover the period 2015-2019.	<a href="#">Roadmap</a> of November 2018 indicates Q1 2020 as planned completion date	L	EU-B BR	AFET DEVE
2020	EU Trust Funds	thematic, meta evaluation	Evaluation of this new delivery modality based on 5 years of experience by 2020. This meta evaluation will be based on the information of 3 evaluations of EU trust funds: Bekou (RCA evaluation); Madad evaluation (DG NEAR); EU TF for Africa	In the DEVCO WPSE 2018-2022 planned for 2020	O	WPSE18 II-SDB	AFET DEVE
2020	Evaluation of the European Union's co-operation on Vocational Education and Training, for inclusive and sustainable Growth (2014-2018)	thematic	Legal act – Art 12 CIR. Vocational education and training have a prominent place in the 2030 Agenda for Sustainable Development. The purpose of this evaluation is to assess EU action on vocational education and training in development cooperation between 2014 and 2018 and its contribution to reducing unemployment. It mainly concerns the partner countries financed by the European Development Fund and the Development Cooperation Instrument.	<a href="#">Roadmap</a> of October 2018 indicates Q4 2020 as planned completion date	L	EU-B BR	DEVE
2020	Economic Partnership Agreements (EPAs)	thematic	Legal act - EPAs are trade and development agreements negotiated between the EU and African, Caribbean and Pacific regions engaged in a regional economic integration process. The EPAs were put in place to help ACP countries integrate into the world economy and share in the opportunities offered by globalization. The objective of this evaluation is to assess the extent to which the implementation of the EU support for EPAs has created favourable conditions to	In the DEVCO WPSE 2018-2022 planned for 2020	L	WPSE18 II-SDB	INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			boost trade in the targeted regions, stimulate growth and facilitate job creation.				
2020	Meta evaluation of governance, human rights and the rule of law	thematic, meta evaluation	This evaluation will focus on the assessment of the thematic programme 'Global Public Goods and Challenges'. Its legal base lays in Regulation 233/2014 'establishing a financing Instrument for Development Cooperation (DCI)'. Actions that range from environment, human development, sustainable energy and agriculture to migration and asylum are funded through this programme.	In the DEVCO WPSE 2018-2022 planned for 2020	O	WPSE18	DEVE
2020	Meta evaluation - Biodiversity	thematic, meta evaluation	Biodiversity and development are closely linked. The European Commission has provided official aid funds for biodiversity-related activities of around €1.3 billion between 2002 and 2017. This evaluation will encompass lessons learnt and practices focused on agro-biodiversity and wildlife.	In the DEVCO WPSE 201-2023 advanced to 2020 to allow having initial findings for the Conference of the Parties for the Convention on Biological Diversity in October 2020.	O	WPSE19 II-SDB	DEVE
2020	Evaluation of EU cooperation with Kyrgyzstan	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2020	L	WPSE19 II-SDB	AFET DEVE
2020	Evaluation of EU cooperation with Mauritania	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2020	L	WPSE19 II-SDB	AFET DEVE
2020	Evaluation of EU cooperation with Niger	geographic (joint evaluation with other donors)	Legal act – Art 12 CIR. Last evaluation published 2010. Usefulness in the context of the G5 Sahel initiative for cooperation on development and security policy	In the DEVCO WPSE 2019-2023 planned for 2020	L	WPSE19 II-SDB	AFET DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of EU support to agriculture – growth – employment	thematic	The need for this evaluation is underpinned by the fact that in more and more ACP countries the sector of rural development is an essential focal sector in line with our commitments. The objective of the evaluation is to evaluate the results of the support provided by the EU in this area.	In the DEVCO WPSE 2019-2023 postponed to 2020	O	WPSE18 II-SDB	DEVE
2021	Meta evaluation “Global public goods and challenges” programme	thematic, meta evaluation	The purpose of these meta-evaluations is to make use of the findings from completed evaluations and to feed into the final evaluation of the Instruments post-2022. The legal base of this Programme lays in the Regulation 233/2014 establishing a financing Instrument for Development Cooperation (DCI). Actions ranging from environment, human development, sustainable energy and agriculture to migration and asylum are funded through this programme.	In the DEVCO WPSE 2019-2023 planned for 2021	O	WPSE19 II-SDB	DEVE
2021	PFM including domestic resource mobilisation and utilisation	thematic	Cross-cutting issue New Consensus: Assessment of policy dialogue and assistance provided for public financial management (PFM) including impact on anti-corruption.	In the DEVCO WPSE 2019-2023 planned for 2021	O	WPSE19 II-SDB	AFET DEVE
2021	Evaluation of EU cooperation with Angola	geographic	Legal act – Art 12 CIR. Last evaluation published 2009.	In the DEVCO WPSE 2019-2023 planned for 2020	L	WPSE19 II-SDB	AFET DEVE
2021	Evaluation of EU cooperation with Tajikistan	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2021	L	WPSE19 II-SDB	AFET DEVE
2021	Evaluation of EU cooperation with Guatemala	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2021	L	WPSE19 II-SDB	AFET DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of EU cooperation with Somalia	geographic	Legal act – Art 12 CIR. Evaluation possibly together with ECHO (Horn of Africa).	In the DEVCO WPSE 2019-2023 planned for 2021	L	WPSE19 II-SDB	AFET DEVE
2022	Meta evaluation - External Investment Plan	thematic, meta evaluation	The European External Investment Plan is an example of a 'smarter' use of Official Development Assistance to leverage funding from other sources, create quality and decent jobs, and generate inclusive sustainable growth for the benefit of the poorest. It encourages investments that otherwise would not happen – for example in conflict-affected areas or where economic governance is lacking.	In the DEVCO WPSE 2019-2023 planned for 2022	O	WPSE19 II-SDB	AFET DEVE
2022	(Meta-) evaluation SDGs	thematic, Meta evaluation	Based on the New European Consensus that translates SDGs into the EU policy framework and the revised development results framework (2018) this evaluation would assess in how far EU contributed to implementation of SDGs and with which results 6 years after their launch, in order to feed into the second Joint Synthesis Report on the implementation of the Consensus ahead of High Level Political Forum 2023.	In the DEVCO WPSE 2019-2023 planned for 2022	O	WPSE19 II-SDB	AFET DEVE
2022	Meta evaluation – Joint implementation	thematic, meta evaluation	Having a prominent role in the new European Consensus, Joint implementation is a way of promoting a more coherent, effective and coordinated EU support based on shared objectives in selected sectors or on cross-sectoral specific themes, tailored to the country contexts. It ranges from delegated cooperation, joint operational programmes to technical expertise (twinning), thematic Trust Funds and Joint management centres.	In the DEVCO WPSE 2018-2022 planned for 2022	O	WPSE18 II-SDB	DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2022	Evaluation of EU cooperation with Malawi	geographic	Legal act – Art 12 CIR. Last evaluation published 2011.	In the DEVCO WPSE 2019-2023 planned for 2022	L	WPSE19 II-SDB	AFET DEVE
2022	Evaluation of EU cooperation with Guyana	geographic	Legal act – Art 12 CIR. Last evaluation published 2008.	In the DEVCO WPSE 2019-2023 planned for 2022	L	WPSE19 II-SDB	AFET DEVE
2022	Evaluation of EU cooperation with South Sudan	geographic	Legal act – Art 12 CIR. possibly together with ECHO	In the DEVCO WPSE 2019-2023 planned for 2022	L	WPSE19 II-SDB	AFET DEVE
2022	Evaluation of EU cooperation with Zimbabwe	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2022	L	WPSE19 II-SDB	AFET DEVE
2022	Evaluation of EU cooperation with Papua New Guinea	geographic	Legal act – Art 12 CIR. Last evaluation published 2000.	In the DEVCO WPSE 2019-2023 planned for 2022	L	WPSE19 II-SDB	AFET DEVE
2023	Evaluation of EU cooperation with Nepal	geographic	Legal act – Art 12 CIR. Last evaluation published 2012.	In the DEVCO WPSE 2019-2023 planned for 2023	L	WPSE19 II-SDB	AFET DEVE
2023	Evaluation of EU cooperation with Ethiopia	geographic	Legal act – Art 12 CIR. Last evaluation published 2012.	In the DEVCO WPSE 2019-2023 planned for 2023	L	WPSE19 II-SDB	AFET DEVE
2023	Evaluation of EU cooperation with Nigeria	geographic	Legal act – Art 12 CIR: Last evaluation published 2010.	In the DEVCO WPSE 2019-2023 planned for 2023	L	WPSE19 II-SDB	AFET DEVE
2023	Evaluation of EU cooperation with Benin	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2023	L	WPSE19 II-SDB	AFET DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2023	Evaluation of EU cooperation with Jamaica	geographic	Legal act – Art 12 CIR. Last evaluation published 2012.	In the DEVCO WPSE 2019-2023 planned for 2023.	L	WPSE19 II-SDB	AFET DEVE
2024	Evaluation of EU cooperation with Mozambique	geographic	Legal act – Art 12 CIR. Last evaluation published 2009	In the DEVCO WPSE 2019-2023 planned for 2024	L	WPSE19 II-SDB	AFET DEVE
2024	Mid-term evaluation of the External financing instruments: NDICI, Overseas Association Decision (OAD), European Instrument for Nuclear Safety (INSC)	thematic, mid-term evaluation	Legal act – The evaluation will assess the NDICI, INSC and OAD at the mid-point of their implementation as set out in the regulations (currently under negotiation). At the same time, the evaluation will feed the final evaluation of the existing instruments.	In the DEVCO WPSE 2019-2023 planned for 2024	L	WPSE19 II-SDB	AFET DEVE
2024	Innovative engagement with more advanced developing countries	thematic	New Consensus and 9 years after DCI-graduation. With MFF 2014-2020 graduation of partner countries was introduced for first time at EU-level to develop further the cooperation with upper MICs and economies with a share of more than 1% of world gross national income towards more of a partnership focussing stronger on shared interests on the assumption that those countries could afford the financing of their development themselves.	In the DEVCO WPSE 2019-2023 planned for 2024	O	WPSE19 II-SDB	AFET DEVE
2024	Evaluation of European Development Days	thematic	Evaluation on the impact of the EDD on the international development exchange and in how far the EDD have become a reference for the exchange and developments in the policy area.	In the DEVCO WPSE 2019-2023 planned for 2024	O	WPSE19 II-SDB	AFET DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
<b>2024</b>	Evaluation of EU cooperation with Liberia	geographic	Legal act – Art 12 CIR. Last evaluation published 2010.	In the DEVCO WPSE 2019-2023 planned for 2024	L	WPSE19 II-SDB	AFET DEVE
<b>2024</b>	Evaluation of EU cooperation with ASEAN	geographic (regional)	Legal act – Art 12 CIR. Last evaluation published 2009	In the DEVCO WPSE 2019-2023 planned for 2024	L	WPSE19 II-SDB	AFET DEVE
<b>2024</b>	Evaluation of EU cooperation with Cuba	geographic	Legal act – Art 12 CIR	In the DEVCO WPSE 2019-2023 planned for 2023	L	WPSE19 II-SDB	AFET DEVE
<b>n/a</b>	Evaluation of the implementation of EU external actions in the area of forced displacement			The DG's 2019 Management Plan indicates that the evaluation will be launched in the first half of 2019	O	MP 19	AFET DEVE

## 1.7. DG DIGIT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of the ISA <sup>2</sup> Programme (eGovernment services across the EU)	Final evaluation	Legal act, MFF - Art. 13 of the Decision (EU) 2015/2240 establishing a programme on interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA <sup>2</sup> programme) as a means for modernising the public sector, requires a final evaluation by 31 December 2021. Interim evaluation published in 2019.	EC planning 2018 indicates Q4 2021 as completion date. <a href="#">Roadmap</a> not yet published as of June 2020.	L MFF	BR DG website II-SDB	ITRE



## 1.8. DG EAC

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2018	Evaluation of the European Agenda for Culture (2007-2017)		This evaluation covers the core of EU cultural cooperation, based on the 2007 European Agenda for Culture. It follows a request from the Council, in its Conclusions on a Work Plan for Culture (2015-2018), which invite the Commission to 'adopt, before the end of the first half of 2018, and on the basis of voluntary contributions from Member States, a final report on the implementation and relevance of the Work Plan.' Its focus is on the implementation of the WP 2015-2018 (as past WPs have been the object of previous evaluations). The new European Agenda for Culture has been issued in 2018, as (COM(2018)267.	<a href="#">Roadmap</a> of October 2017 indicates Q4 2018 as planned completion date. EC planning 2018 indicates Q4 2024 as completion date.	O	EU-B BR	CULT
2019	Evaluation of the European Week of Sport		FR - The evaluation of the European Week of Sport covers 2015 and 2016 as well as the 'live' evaluation of the organisation of the Week in 2017, both at European and national levels. The Financial regulation requires that all activities with resources over 5 million EUR are regularly evaluated.	<a href="#">Roadmap</a> of July 2017 indicates Q2 2018 as planned completion date. <a href="#">Study</a> published in February 2019	FR	EU-B BR	CULT
2019	Evaluation of the European Heritage Label	Interim evaluation	Legal act - Decision No 1194/2011/EU. The aim of this interim evaluation is to assess the implementation of the European Heritage Label (EHL) action in the first six years since its creation (2011-2017). According to the Legal Base an evaluation should be delivered in 2018 for the first time, and every six years thereafter.	<a href="#">Roadmap</a> of July 2017 indicates Q4 2018 as planned completion date. External <a href="#">study</a> published in June 2019.	L	EU-B	CULT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Ex-Post evaluation of the 2018 European Capitals of Culture (Leeuwarden and Valetta)	Ex-post evaluation	Legal act - Article 12 of Decision 1622/2006/EC lays down the obligation to ensure "the external and independent evaluation of the results of the European Capital of Culture event of the previous year". The EC is obliged to present a report on that evaluation by the end of the year following the event; in this case, by end 2019.	<a href="#">Roadmap</a> of April 2018 indicates Q4 2019 as planned completion date. EC planning 2018 indicates Q1 2020 as completion date. External <a href="#">study</a> published in December 2019.	L	EU-B BR	CULT
2019	Validation of non-formal and informal learning – evaluation		Legal act - This evaluation concerns the Council Recommendation of 20 December 2012 on the validation of non-formal and informal learning. It invites Member States to put in place national arrangements for validation by 2018. The act itself requires the Commission assesses and evaluates the action taken and reports to the Council by 31 December 2019 on the experience gained and implications for the future, including if necessary, a possible review and revision of this Recommendation.	<a href="#">Roadmap</a> of October 2018 indicates Q4 2019 as planned completion date.	L	BR	CULT
2020	Digitisation and online access of cultural material and digital preservation		The Commission Recommendation 2011/711/EU is one of the main policy instruments on digitisation, online access and digital preservation of cultural heritage material. The purpose of the evaluation is to assess whether the Recommendation has delivered as expected and if it is still adapted to the current situation in the EU cultural heritage sector. It should also explore options for the way forward, with a view to revise the Recommendation based on lessons learned and relevant new technologies.	<a href="#">Roadmap</a> of July 2019 indicates Q3 2020 as planned completion date.	O	BR CWP 20 II-SDB	CULT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Ex-Post evaluation of the 2019 European Capitals of Culture (Matera and Plovdiv)	Ex-post evaluation	Legal act - Article 12 of Decision 1622/2006/EC of the EP and of the Council lays down the obligation to ensure "the external and independent evaluation of the results of the European Capital of Culture event of the previous year". The Commission is obliged to present a report on that evaluation by the end of the year following the event – in this case, by end 2020.	<a href="#">Roadmap</a> of May 2019 indicates Q4 2020 as planned completion date. EC planning 2018 indicates Q1 2021 as completion date.	L	EU-B BR	CULT
2021	Ex-Post evaluation of the 2020 European Capitals of Culture (Rijeka)	Ex-post evaluation	Legal act - Article 12 of Decision 1622/2006/EC of the EP and of the Council lays down the obligation to ensure "the external and independent evaluation of the results of the European Capital of Culture event of the previous year". The Commission is obliged to present a report on that evaluation by the end of the year following the event – in this case, by end 2021.		L		CULT
2021	Evaluation of European Commission Library and e-Resources Centre		Interim evaluation published in 2015.	EC planning 2018 indicates Q4 2021 as completion date.	O	EC 18	CULT
2021	Evaluation of the Education, Audiovisual and Culture Executive Agency (EACEA) 2018-2020	periodic	Legal act - As required by Article 25(1) of the Framework Regulation for executive agencies of the Commission, an evaluation of the Education, Audiovisual and Culture Executive Agency (EACEA) is performed with a 3-year frequency to assess EACEA's implementation of the parts of the EU funding programmes which have been entrusted to it.	EC planning 2018 indicates Q4 2021 as completion date.	L	EC 18	CULT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of DG EAC communication activities			EC planning 2018 indicates Q4 2021 as completion date.	O	EC 18	CULT
2022	European cooperation in education and training (ET 2020)		Legal act - Evaluation of Strategic policy framework in the field of education and training (Council Conclusions 2009/C 119/02) with a view to inform the European education and training policy beyond 2020. Mid-term evaluation published in 2015.	EC planning 2018 indicates Q4 2022 as completion date.	L	EC 18	CULT
2024	Evaluation of the European Heritage Label	Final evaluation	Legal act - Decision No 1194/2011/EU. The aim of this interim evaluation is to assess the implementation of the European Heritage Label (EHL) action in the first six years since its creation (2011-2017). According to the legal base an evaluation should be delivered in 2018 for the first time, and every six years thereafter.	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	CULT
2024	Evaluation of European Capitals of Culture - initial interim report under new legal basis	Interim evaluation	Legal act - Art. 16: "The Commission shall present to the European Parliament, (...): (a) an initial interim report by 31 December 2024;"	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	CULT
2024	Evaluation of Marie Skłodowska-Curie Actions (final evaluation 2014-2020 and interim evaluation 2021-2027)	Final and interim evaluation	Legal act	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	ITRE
2024	Evaluation of sport policy			EC planning 2018 indicates Q4 2024 as completion date.	O	EC 18	CULT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2024	Evaluation of the EC Traineeship Scheme		The last evaluation dates from 2014.	EC planning 2018 indicates Q4 2024 as completion date.	O	EC 18	CULT
2024	Evaluation of the European Solidarity Corps (final evaluation 2018-2020 and interim evaluation 2021-2027)	Final and interim evaluation	Legal act	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	CULT
2024	Evaluation of the Education, Audiovisual and Culture Executive Agency (EACEA) for the period 2021-2023	periodic	Legal act - Article 25(1) of the Framework Regulation for executive agencies of the Commission	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	CULT
2024	Evaluation of Creative Europe (final evaluation 2014-2020 and interim evaluation 2021-2027)	Final and interim evaluation	Legal act, MFF – review clause 'The Commission shall submit the final evaluation report referred to in paragraph 5 to the European Parliament and the Council by 30 June 2022.'	EC planning 2018 indicates Q4 2024 as completion date.	L MFF	EC 18	CULT
2024	Evaluation of Erasmus + (final evaluation 2014-2020 and interim evaluation 2021-2027)	Final and interim evaluation	Legal act, MFF	EC planning 2018 indicates Q4 2024 as completion date.	L MFF	EC 18	CULT
2024	Evaluation of the European Institute of Innovation Technology (EIT) (final evaluation 2014-2020 and interim evaluation 2021-2027)	Final and interim evaluation	Legal act	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	ITRE
2024	Evaluation of youth policy			EC planning 2018 indicates Q4 2024 as completion date.	O	EC 18	CULT

## 1.9. DG ECFIN

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Ex-post evaluation of macro-financial assistance to Tunisia over the period 2014-2017	Ex-post evaluation	Legal act - The evaluation will assess the first MFA operation to Tunisia detailed in EP and Council Decision No 534/2014/EU. It focuses on the contribution of MFA to structural reform and the macroeconomic performance.  Article 8(2) of the Decision stipulates: 'No later than two years after the expiry of the availability period referred to in Article 1(4), the Commission shall submit to the European Parliament and to the Council an ex-post evaluation report.'	<a href="#">Roadmap</a> of June 2018 indicates Q2 2019 as planned completion date; BR Website suggests Q3 2019	L	EU-B BR	INTA
2019	Business and Consumer Surveys Evaluation		DG ECFIN conducts regular harmonised surveys for different sectors of the economies in the EU and in the applicant countries.	EC planning 2018 indicates October 2020 as completion date.	O	EC 18 II-SDB	IMCO
2020	Evaluation of macro-financial assistance to Ukraine III (2015-2017)	ex-post evaluation	Legal act - The ex-post evaluation will assess the MFA III to Ukraine in line with the requirements of Decision (EU) 2015/601. The MFA aims at alleviating the country's large external financing needs and to support the ambitious reform programme of the authorities. The programme largely builds on the reform agenda pursued by the Ukrainian authorities and covers a broad range of areas, including public finance management, governance and transparency, the energy sector, social safety nets, business environment and the financial sector.	The <a href="#">Roadmap</a> of March 2020 indicates Q3 2020 as planned completion date.	L	BR II-SDB	INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Uniform rounding rules (Follow up of Report on recent developments as regards euro coins COM(2018) 787)		Legal act - Evaluation of the use of one- and two-euro cent coins and of the possibility to introduce common rounding rules. A possible proposal would introduce common rounding rules to address the challenges related to the use of one- and two euro cent coins. This evaluation is mandated by recital 7 of Regulation (EU) 651/2012.	EC CWP 2020 indicates Q42020 as completion date	L	CWP 20	ECON
2021	EU financial aid (macro-financial assistance) – analysis of several evaluations (2010-20); [previous working title: Meta-evaluation of Macro Financial Assistance evaluations]	Meta evaluation	The EU provides non-EU partner countries with loans or grants in the form of macro-financial assistance.  This analysis will: • examine the results of 15 evaluations of financial aid carried out between 2010–2020; • strengthen knowledge management, thereby improving the financial aid instrument; • make the Commission's Directorate-General for Economic and Financial Affairs more transparent and accountable.	<a href="#">Roadmap</a> not yet available as of June 2020. BR website indicates Q2 2021 as completion date.	O	BR II-SDB	INTA
2021	Ex-post evaluation of the Greece economic adjustment programmes		Financial Regulation - This evaluation will assess the EU's 2010-18 financial assistance programmes ('economic adjustment programmes') for Greece, intended to help it recover from its debt crisis. The evaluation will consist of an ex post assessment of the economic objectives, content and results of the programme. The analysis will be primarily economic and based on data analysis and stakeholder interviews. Similar evaluations have already been completed on the IE, ES & PT programmes and CY is planned.	EC planning 2018 indicates June 2020 as starting date. <a href="#">Roadmap</a> not yet published as of June 2020. BR website indicates Q3 2021 as planned completion date.	FR	BR II-SDB	ECON

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Ex-post evaluation of the Pericles 2020 Programme	Ex-post evaluation	Legal act, MFF – Pericles 2020 is the Programme for exchange, assistance and training for the protection of the euro against counterfeiting ('Pericles 2020' Programme). Art. 12 of Regulation 2014/331 requires a final evaluation by end-2021. The mid-term evaluation was published as SWD(2017) 443.	EC planning 2018 indicates Q4 2021 as completion date.	L MFF	EC 18 II-SDB	LIBE
2021	Evaluation of the Quarterly Report on the Euro Area		The evaluation will assess the effectiveness, efficiency and utility of the Quarterly Report on the Euro Area (QREA) publication.	EC planning 2018 indicates June 2020 as starting date	O	EC 18 II-SDB	ECON
2021	Ex-post evaluation of the Jordan II macro-financial assistance (MFA) programme		Legal act	EC planning 2018 indicates June 2020 as starting date	L	EC 18 II-SDB	INTA
2021	Ex-post evaluation of the Tunisia II macro-financial assistance (MFA) programme		Legal act	EC planning 2018 indicates June 2020 as starting date	L	EC 18 II-SDB	INTA
2021	Evaluation of the EU financial assistance to Moldova	geographic	Legal act. EU assistance to Moldova – worth €335-410 million in this period – focuses mainly on helping the country reform its public administration, develop its economy and become more connected with the EU (in terms of both infrastructure and people-to-people contacts). This evaluation will examine how far this aid is helping meet the targets for Moldova's development and closer links with the EU, against the backdrop of the country's association agreement with the EU. Art. 8 of Decision (EU) 2017/1565.	EC planning 2018 indicates September 2021 as starting date. <a href="#">Roadmap</a> not yet available as of June 2020.	L	BR NEAR19 II-SDB	AFET



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			(Also listed in the planning of DG NEAR.)				
<b>2021</b>	Evaluation of DG ECFIN's communication strategy		The evaluation of the Communication Strategy will assess the Commission's communication activities and provide guidance and recommendations towards refining and further developing the next communication strategy. The last evaluation report dates from 2015.	EC planning 2018 indicates June 2019 as starting date	O	EC 18 II-SDB	ECON
<b>2022</b>	Evaluation of EFSI 2.0		Legal act	EC planning 2018 indicates September 2021 as starting date	L	EC 18 II-SDB	BUDG ECON

## 1.10. DG ECHO

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of the European Union's humanitarian interventions in Iraq	geographic	Financial Regulation, legal act – Evaluation based on Art. 30(4) of the Financial Regulation and Art. 18 of the Humanitarian Aid Regulation (Council Regulation (EC) No 1257/96)	SWD(2018) 486 indicates the evaluation will be launched in 2019.	FR L	SWD(2018) 486	DEVE
2020	Evaluation of the European Union's humanitarian assistance in Ukraine, 2014-2018	geographic	Financial Regulation, legal act – Evaluation based on Art. 30(4) of the Financial Regulation and Art. 18 of the Humanitarian Aid Regulation (Council Regulation (EC) No 1257/96)	<a href="#">SWD(2019) 421</a> indicates the evaluation will be completed in 2020.	FR L	SWD(2019) 421	DEVE
2020	Evaluation of the EU humanitarian response to the Rohingya refugee crisis in Myanmar and Bangladesh, 2017-2019	geographic	Financial Regulation, legal act – Evaluation based on Art. 30(4) of the Financial Regulation and Art. 18 of the Humanitarian Aid Regulation (Council Regulation (EC) No 1257/96)	<a href="#">SWD(2019) 421</a> indicates the evaluation will be completed in 2020.	FR L	SWD(2019) 421	DEVE
2020	Evaluation of the European Union's humanitarian assistance in Syria, 2015-2018	geographic	Financial Regulation, legal act – Evaluation based on Art. 30(4) of the Financial Regulation and Art. 18 of the Humanitarian Aid Regulation (Council Regulation (EC) No 1257/96)	<a href="#">SWD(2019) 421</a> indicates the evaluation will be completed in 2020.	FR L	SWD(2019) 421	DEVE
2020	Evaluation of the EU's implementation of the DG ECHO thematic policy document Gender: Different needs, adapted assistance of July 2013, (2014-2018)	thematic		<a href="#">SWD(2019) 421</a> indicates the evaluation will be completed in 2020.	O	SWD(2019) 421	DEVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of the Civil Protection Mechanism	Final evaluation	Legal act, MFF - Under Art. 34 of the Decision No 1313/2013/EU on a Union Civil Protection Mechanism, an ex-post evaluation report is required by no later than 31 December 2021. An interim evaluation (2014-2016) was published in 2017.	EC planning 2018 indicates Q4 2021 as completion date.	L MFF	EC 18	ENVI
2021	Evaluation of the implementation of the EU Volunteers Initiative	Final evaluation	Legal act – Under Art. 27 of the Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative'), an ex post evaluation report for the seven-year financial period of implementation no later than 31 December 2021. The interim evaluation was published in 2018. From 2021, the volunteer scheme will be replaced by the humanitarian aid strand of the European Solidarity corps, which will then be the main vehicle for EU volunteering.	The <a href="#">Roadmap</a> of March 2020 indicates Q3 2021 as planned completion date.  EC planning 2018 indicates Q4 2021 as completion date.	L	BR II-SDB	DEVE
2022	Interim evaluation of the European Union's RescEU instrument	Interim evaluation	Legal act – The Decision (EU) 2019/420 on a Union Civil Protection Mechanism requires an evaluation by end-2023 and every five years thereafter.	EC planning 2018 indicates Q3 2022 as completion date.	L	EC 18 II-SDB	ENVI

## 1.11. DG EMPL

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2018	Evaluation of implementation of Directives 1999/70/EC on Fixed-Term Employment and 1997/81/EC on Part-Time Work		To evaluate ex-post the relevance, effectiveness and efficiency, as well as sustainability of the impact of the directives. The last evaluation of the Part-Time and Fixed-Term Work Directives was put forward in 2013.	The 2018 Management plan announces a communication and a SWD for Q4 2018	O	MP 18	EMPL
2018	Evaluation of the relevant elements of the social acquis in the light of the principles set out in the European Pillar of Social Rights			The 2018 Management plan indicates that the evaluation would be completed in 2018		MP 18	EMPL
2019	Evaluation of the Recommendation on the validation of non-formal and informal learning		Legal act – Council Recommendation of 20 December 2012 on the validation of non-formal and informal learning stipulates that the Commission submits an evaluation to the Council by 31 December 2019 on the experience gained and implications for the future, including if necessary, a possible review and revision of this Recommendation.	The 2019 Management plan announces the SWD for Q4 2019	L	MP 19	EMPL CULT
2019	2019 Evaluation of the support to youth employment by the Youth Employment Initiative and the European Social Fund		The evaluation findings should be used in the implementation of the final stages of the existing Youth Employment Initiative and European Social Fund programmes dedicated to youth employment. They should also feed into the next programming period and pave the way for the ex-post evaluation of the ESF, to be completed by December 2024.	<a href="#">Roadmap</a> of July 2018 indicates Q4 2019 as planned completion date	O	EU-B BR MP 19	EMPL

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	2019 Evaluation of the support to employment and mobility by the European Social Fund (Thematic Objective 8 excluding Youth)		The conclusions of the evaluation should be used in the implementation of the remainder of the period 2014-2020. They could also feed the preparation for the next programming period. It will pave the way for the ex-post evaluation of the ESF, to be completed by December 2024.	<a href="#">Roadmap</a> of July 2018 indicates Q4 2019 as planned completion date	O	EU-B BR MP 19	EMPL
2020	Evaluation of the European Disability Strategy (2010-2020)		The evaluation of the Strategy is part of the 2018 CWP. It is carried out also to respect the “evaluate first” principle as the Strategy comes to an end by 2020, and with the purpose to explore avenues for the period post-2020. The Strategy is the tool for the implementation at EU level of the UNCRPD.	<a href="#">Roadmap</a> of September 2018 indicates Q1 2020 as planned completion date. EC planning 2018 indicates Q4 2019 as completion date.	O	EU-B BR MP 19 II-SDB	EMPL
2020	2020 Evaluation of the ESF support to promoting social inclusion, combatting poverty and any discrimination by the European Social Fund (Thematic objective 9)		Regulation (EU) No 1304/2013 for the programming period 2014-2020 stipulates that the Members states needed to allocate at least 20% of their ESF resources on thematic objective 9 of the European Structural and Investment Fund. At least twice during the programming period, an evaluation shall assess the effectiveness, efficiency and impact of joint support from the ESF. The conclusions of the evaluation will feed into the preparation for the next programming period. It will also pave the way for the ex-post evaluation of the ESF by the Commission to be completed by December 2024.	<a href="#">Roadmap</a> of December 2018 indicates Q4 2020 as planned completion date. EC planning 2018 indicates May 2020 as completion date.	O	EU-B BR MP 19	EMPL

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	2020 evaluation of ESF support to investing in education, training and vocational training for skills and life-long learning (Thematic Objective 10)		Evaluation of European Social Fund support to education. Regulation (EU) No 1304/2013 for the programming period 2014-2020 sets thematic objective 10 'investing in education, training and vocational training for skills and life-long learning'. The conclusions of the evaluation will feed into the preparation for the next programming period 2021-2027. It will also pave the way for the ex-post evaluation of the ESF by the Commission to be completed by December 2024.	<a href="#">Roadmap</a> of December 2018 indicates Q4 2020 as planned completion date	O	EU-B BR MP 19	EMPL
2021	Evaluation on the Council Recommendation on upskilling pathways: new opportunities for adults		Legal act – Council recommendation of 19 December 2016 on Upskilling Pathways: New Opportunities for Adults	EC planning 2018 indicates Q2 2021 as completion date.	L	EC 18	EMPL
2021	European network of employment services (EURES evaluation 2016-2020)	Ex-post evaluation	Legal act – Regulation 2016/589 on a European network of employment services (EURES), workers' access to mobility services and the further integration of labour markets stipulates that an ex post evaluation report of the operation and effects of this Regulation is due by 13 May 2021. EURES is designed to help workers within the EU, Switzerland, Iceland, Liechtenstein and Norway to move countries to work.	<a href="#">Roadmap</a> of July 2019 indicates Q2 2021 as planned evaluation date.	L	BR	EMPL
2021	Ex-post evaluation of the European Globalisation Adjustment Fund (EGF) for the programming period 2014-2020	Final ex-post evaluation	Legal act, MFF - Article 20 of Regulation (EU) No 1309/2013 requires the Commission to carry out an ex-post evaluation of the EGF in order to measure its impact EGF and added value by end of 2021. This evaluation is	<a href="#">Roadmap</a> of May 2019 indicates Q4 2021 as planned completion date	L MFF	EU-B BR	EMPL

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			following up the mid-term evaluation of the EGF 2014-2020 and takes into account the impact assessment accompanying the Proposal for a Regulation on the EGF post-2020.				
<b>2022</b>	EaSI ex-post evaluation - including the final evaluation of Progress MICROFINANCE facility	Ex-post evaluation	Legal act, MFF - Article 13.4 of Regulation 1296/2013, Employment and Social Innovation (EaSI) requires an ex-post evaluation by the end of 2022.	EC planning 2018 indicates Q4 2022 as completion date.	L MFF	EC 18 II–SDB	EMPL
<b>2024</b>	Evaluation of the Fund for European Aid to the Most Deprived (FEAD)	Ex-post evaluation	Legal act, MFF - Art. 18 of Reg. 223/2014 on the Fund for European Aid to the Most Deprived requires an ex post evaluation to be completed by 31 December 2024.	EC planning 2018 indicates Q4 2024 as completion date.	L MFF	EC 18	EMPL
<b>2024</b>	Ex-post evaluation of ESF 2014-2020	Ex-post evaluation	Legal act, MFF - Legal requirement Art. 57 of Reg. 1303/2013.	EC planning 2018 indicates January 2024 as completion date.	L MFF	EC 18	EMPL

## 1.12. DG ENER

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of Regulation 302/2005 on the application of Euratom Safeguards			The 2019 MP announces completion of an internal review for Q3 2019; carried over from 2016		MP 19	ITRE
2019	Evaluation of Directive 2013/30/EU (Offshore Safety Directive) on the safety of offshore oil and gas operations		Legal act - Pursuant to Art. 40 of the Directive, by 19 July 2019, the EC "shall, taking due account of the efforts and experiences of competent authorities, assess the experience of implementing this Directive. The Commission shall submit a report to the European Parliament and to the Council with the result of that assessment. The report shall include any appropriate proposals for amending this Directive". On 1 December 2016 the EP adopted a resolution on liability, compensation and financial security for offshore oil and gas operations (2015/2352(INI), by which it invited the Commission to carry out analysis and to consider legislation for reinforcing and harmonising existing rules.	<a href="#">Roadmap</a> of May 2018 indicates Q2 2019 as planned completion date; 2019 Management plan announces it for Q3 2019. Subject to RSB scrutiny in 2020.	L	EU-B BR MP 19	ITRE
2020	Evaluation of the guidelines for Trans-European Energy (TEN-E) infrastructure	Back-to-back	Legal act - In March 2019, as part of the political agreement between the EP and the Council on the Connecting Europe Facility proposal for the period 2021-2027, the co-legislators agreed that the Commission shall evaluate the effectiveness and policy coherence of	<a href="#">Roadmap</a> of June 2019 indicates Q4 2020 as planned completion date. CWP 2020 lists the Revision of the Trans-European Energy Networks (TEN-E)	L	EU-B BR CWP 20 II-SDB	ITRE



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			the TEN-E Regulation and submit an evaluation by 31 December 2020. This initiative will ensure that the TEN-E Regulation is fully in line with the European Green Deal and the Union's long-term decarbonisation objectives while contributing to sector and market integration, security of supply and competition. (legislative, incl. impact assessment, Articles 170-171 TFEU, Q4 2020)	Regulation under REFIT measures.			
2020	Assistance with the verification of compliance of national legislative measures implementing the Articles of Directive (EU) 2015/1513 (ILUC Directive) relevant for Directive 2009/28/EC (Renewable Energy Directive)		Legal act	EC planning 2018 indicates Q4 2020 as completion date.	L	EC 18	ENVI
2024	Evaluation and review studies in support of the required review of the Energy Performance of Buildings Directive		Legal act	EC planning 2018 indicates Q4 2024 as completion date.	L	EC 18	ITRE
n/a	Interim evaluation on the implementation of the Decision establishing the European Joint Undertaking for ITER and the Development of Fusion Energy in the period 2021-2027	Interim evaluation	Legal act	EC planning 2018 indicates October 2023 as starting date.	L	EC 18	ITRE
n/a	Evaluation in order to recast the Gas Directive			EC planning 2018 indicates June 2019 as starting date.	O	EC 18	ITRE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
n/a	Final evaluation of the Nuclear Decommissioning Assistance Programme in the period 2014-2020	Final evaluation	Legal act	EC planning 2018 indicates July 2021 as starting date.	L	EC 18	ITRE
n/a	Assessment on implementation of Council Directive 2011/70/Euratom on safe and responsible long-term management of spent fuel and radioactive waste			EC planning 2018 indicates July 2020 as starting date.	O	EC 18	ITRE

## 1.13. DG ENV

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2018	Evaluation of the Environmental Technology Verification (ETV) Pilot Programme		Legal act. The Environmental Technology Verification (ETV) Pilot programme was launched on an experimental basis under the framework of the Eco-innovation Action Plan (Eco-AP) in 2011; the EC has committed to evaluate it after two to three years of actual operation.	The <a href="#">Roadmap</a> of February 2017 indicates Q4 2017 as planned completion date. External <a href="#">study</a> published in November 2018.	L	BR EU-B	ENVI
2018	Evaluation of Directive 2013/39 amending Directives 2000/60 and 2008/105 as regards priority substances in the field of water policy (not clear whether covered by the Water fitness check 2019)	N/A	Legal act. Art.14. The Commission shall review the adopted list of priority substances at the latest four years after the date of entry into force of this Directive and at least every six years thereafter, and come forward with proposals as appropriate. Art. 8 Review of Annex X to Directive 2000/60 (i.e. at the latest four years after the date of entry into force of Directive 2000/60 (22/12/2003) and at least every four years thereafter, and come forward with proposals as appropriate).	Listed in the 2017; Single Evaluation Plan; JRC <a href="#">study</a> of 2018	L	SEP 17	ENVI
2019	Evaluation of Directive 2001/42/EC on Strategic Environmental Assessment (SEA)		Legal act. The evaluation of the SEA Directive is included in the CWP 2015. The implementation report (due on the basis of Article 12(3) of the SEA Directive) will be followed by an evaluation.	<a href="#">Roadmap</a> of July 2017 indicates Q4 2019 as planned completion date; 2019 Management plan announces completion for Q3 2019. External <a href="#">study</a> published in 2018.	L	BR MP 19	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of Directive 2000/53/EU on end-of-life vehicles		Legal act - The Commission undertook an ex-post evaluation of five waste streams to assess if the legislation is “fit for purpose” as part of the 2010 CWP, including the ELV Directive in 2014. The Commission has a legal obligation to review the ELV Directive, by 31 December 2020. The evaluation will assess its effectiveness, efficiency, coherence with other legislation and relevance in light of the wider policy objectives on circular economy, plastics, resource efficiency, raw materials etc.	<a href="#">Roadmap</a> of October 2018 indicates Q4 2019 as planned completion date. Part of CWP 2020.	L	EU-B BR CWP 20	ENVI
2020	Evaluation of the Directive 2010/75/EU on industrial emissions		Legal act - By 2020 most requirements for emission reduction under the IED will have been reviewed or elaborated through BREFs, most of the BAT Conclusions will have been adopted and well over half the IED installations will have had their permits reviewed as a result. The 2019 Commission report on implementation of the IED will feed into the evaluation. The evaluation will address important (agro) industrial sources of air, water and soil pollution.	<a href="#">Roadmap</a> of November 2018 indicates Q1 2020 as planned completion date	L	EU-B BR CWP 20	ENVI
2020	Evaluation of Directive 2011/65/EU on the restriction of the use of certain hazardous substances in electrical and electronic equipment (RoHS)		Legal act - By July 2021, the Commission shall evaluate the Directive and report on its results to the European Parliament and the Council. The evaluation of the RoHS Directive will assess its effectiveness and efficiency in terms of the procedure for adopting substance restrictions and granting exemptions to restrictions. It will also assess its coherence with and	<a href="#">Roadmap</a> of September 2018 indicates Q4 2020 as planned completion date.	L	EU-B BR CWP 20	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			relevance to other EU legislation, in particular, in light of the evaluations of REACH and Ecodesign Directive.				
2020	Revision of the Guidelines on certain State aid measures in the context of the greenhouse gas emission allowance trading scheme post-2020		Member States can compensate some electro-intensive users for part of the higher electricity costs resulting from the EU Emissions Trading Scheme. The objective of such compensation is to minimise carbon leakage risk, which materialises when emission costs cause relocation from the EU to third countries without comparable constraints. The existing rules allowing for compensation will be revised to ensure that they are adapted to the new Emissions Trading Scheme for the period 2021-2030	EC CWP 2020 indicates Q4 2020 as planned completion date.	O	CWP 20	ENVI
2020	Fitness check of EU rules against illegal logging (EU Timber Regulation (EU) No 995/2010 and EU FLEGT Regulation (EC) No 2173/200)	Fitness check	Legal act - The EU has two key legal acts to fight illegal logging: the forest law enforcement, governance and trade regulation (FLEGT) and the EU timber regulation. Although the fitness check will cover the whole period since the instruments entered into force, the focus will be on the last three years, given that in 2016 evaluations of the EUTR and of the FLEGT Action Plan were published. Article 20(3) of the EUTR provides that by 3 December 2021 and every five years thereafter, the Commission shall review the functioning and effectiveness of the regulation. The lessons learnt from the fitness check will be useful for the assessment of demand side measures for other commodities.	<a href="#">Roadmap</a> of January 2020 indicates Q4 2020 as planned completion date. Included in CWP 2020.	L O	BR CWP 20	INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Final evaluation of the EU Biodiversity Strategy to 2020	Final evaluation	The Commission undertook a mid-term review of the strategy implementation in 2015. The findings of this evaluation (including preliminary findings) will inform the reflections on post 2020 biodiversity policy in the EU and globally.	<a href="#">Roadmap</a> of June 2018 indicates Q4 2020 as planned completion date	O	EU-B	ENVI
2020	Wildlife trafficking – EU action plan (evaluation)		The EU Action Plan on Wildlife trafficking covers a five-year period from 2016 to 2020. It calls on the Commission to evaluate progress made in 2020.	<a href="#">Roadmap</a> of February 2020 indicates Q4 2020 as planned completion date.	O	EU-B	ENVI INTA
2021	Sewage sludge use in farming – evaluation		Directive 86/278 aims to ensure that the use of sewage sludge in farming does not harm the environment, animals and humans. Adopted 30 years ago, the Directive no longer matches current needs and expectations, such as properly regulating pollutants found in sludge ('emerging contaminants' like pharmaceuticals and microplastics).	<a href="#">Roadmap</a> of June 2020 indicates Q3 2021 as planned completion date.	O	BR	ENVI AGRI
2021	Sharing geospatial data on the environment – evaluation of the INSPIRE Directive		The INSPIRE Directive 2007/2/EC created an online portal to enable geospatial data on the environment to be shared among public authorities in Europe. This includes common standards for collecting data on e.g. groundwater, transport networks, land use, air temperatures. This initiative will assess whether the Directive is effective in protecting the environment; still relevant for its stakeholders; in line with other EU legislation, specifically the new Green Deal data space initiative	<a href="#">Roadmap</a> not yet available as of June 2020. Consultation on roadmap planned for Q3 2020.	O	BR	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2023	Directive 2006/7/EC concerning the management of bathing water quality		Legal act. Article 14(3) requires a review of the directive "with particular regard to the parameters for bathing water quality, including whether it would be appropriate to phase out the 'sufficient' classification or modify the applicable standards" by 2020.	Announced in SEP 2017. EC planning 2018 indicates Q4 2023 as completion date. <a href="#">Roadmap</a> not yet published as of June 2020. Consultation on roadmap planned for Q3 2020.	L	BR SEP 2017	ENVI
2023	Evaluation of Regulation (EU) No 511/2014 on compliance measures for users from the Nagoya Protocol on ABS		Legal act - The report is a legal requirement under Article 20 of the Regulation and should review the functioning and effectiveness of the Regulation.	EC planning 2018 indicates Q1 2023 as completion date.	L	EC 18	ENVI
2023	Evaluation of the Directive on the Protection of Animals used for Scientific Purposes			Implementation report published 2020.		EC 18	AGRI
2023	Analysis and summary of the Seveso Directive implementation reports		Legal act	EC planning 2018 indicates July 2019 as starting date	L	EC 18	ENVI
2023	Directive 2008/56/EC establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive)		Legal act - Evaluation according to Article 20 and 23: the Commission is required to evaluate the Directive by 2019 the latest and to review it by 15 July 2023.	Announced in SEP 2017. EC planning 2018 indicates Q4 2023 as completion date.	L	SEP 2017	ENVI
2023	Ex post evaluation of the LIFE 2014-2020 Regulation (EC) No 1293/2013	Ex-post evaluation	Legal act, MFF – review clause in the act requires no later than 31 December 2023, an external and independent ex-post evaluation report covering the implementation and results of the LIFE Programme (and its sub programmes)	EC planning 2018 indicates March 2021 as starting date.	L MFF	EC 18 II-SDB	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
n/a	Assessment on implementation of Council Directive 2011/70/Euratom on safe and responsible long-term management of spent fuel and radioactive waste			EC planning 2018 indicates June 2020 as starting date.	O	EC 18	ITRE



## 1.14. DG ESTAT

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Final evaluation of the extended European Statistical Programme 2013-2020	Final evaluation	Legal act, MFF – review clause: '3. By 31 December 2021, the Commission shall, after consulting the ESSC and the European Statistical Advisory Committee, submit a final evaluation report on the implementation of the programme to the European Parliament and to the Council. The report shall in particular evaluate: (a) the outcome of the reprioritisation and cost evaluation of statistical products; (b) the actions taken by the ESS to reduce the implementation and production costs for Member States and to limit the overall burden stemming from the statistical projects and fields covered by the programme; (c) the progress on rendering access to official statistics easier and more user-friendly, including the provision of data on the Eurostat website; and (d) the progress on the improvement of data availability, including on social economy activities and on the Europe 2020 indicators.'	<a href="#">Roadmap</a> of July 2020 indicates Q4 2021 as planned completion date	L MFF	BR	ECON
2021	Evaluation for the amendment of Regulation (EU) 691/2011 on European environmental accounts - 2021		Legal act – the regulation stipulates an implementation report every three years.	EC planning 2018 indicates Q4 2021 as completion date	L	EC 18	ENVI

## 1.15. DG FISMA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of the Payment Accounts Directive		Legal act - Full Report on the application of the Directive to be prepared by the Commission and presented to EP and Council by 18/09/2019 according to Article 28 (review) and 27 (evaluation).	2019 MP announces completion for Q4 2019; jointly with DG JUST	L	MP 19	ECON
2020	Fitness check on public reporting by companies (Accounting Directive, Transparency Directive, Non-financial reporting Directive, Bank Accounts Directive et Insurance Accounts Directive and IAS Regulation)	Fitness check	The Fitness Check on corporate reporting requirements will evaluate whether the current corpus of accounting and reporting legislation is still fit for purpose and whether it could be modernised. It includes the Accounting Directive 2013/34, the Non-financial reporting Directive 2014/95/EC, the Transparency Directive 2013/50, and the International Accounting Standards Regulation 1606/2002. These acts underwent continuous changes over the years, with the latest improvements in 2013. The FC builds on hindsight after implementation in the Member States, assesses the performance and consistency of the different developments of EU legislation in the last 10 years, and responds to a number of specific review clauses.	<a href="#">Roadmap</a> of February 2018 indicates Q2 2019 as planned completion date; listed in CWP 2019 and again in 2020.	O	EU-B BR CWP 19 CWP 20 MP 19	JURI

## 1.16. FPI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Review of EU Anti-Torture Regulation (2016-20) (alternative title: Torture-free trade)		Legal act - Regulation (EU) 2019/125 ('Anti-torture Regulation') concerning trade in certain goods, which could be used for capital punishment, torture or other cruel, inhuman or degrading treatment or punishment. Under Article 32 of the 'Anti-torture Regulation', the Commission shall review its implementation by 31 July 2020, and every five years thereafter. On the basis of a review report to be adopted by July 2020, the Commission will decide whether any amendments to the Regulation should be proposed. Without prejudice to the outcome of the review and while ensuring that relevant goods remain subject to effective restrictions, potential areas of simplification on for instance reporting requirements or exchanging information could be considered.	<a href="#">Roadmap</a> of July 2019 indicates Q4 2018 as planned completion date. Included in CWP 2020.	L	BR CWP 2020	JURI
2021	Final evaluation of the Instrument contributing to Stability and Peace (IcSP)	Final evaluation	FR, MFF - Midterm evaluation published in 2017	EC Planning 2018 indicates that the evaluation should be completed by Q3 2021	FR MFF	EC 18	AFET
2021	Final evaluation of the Partnership Instrument (PI)	Final evaluation	FR, MFF – Mid-term evaluation published in 2017	EC Planning 2018 indicates that the evaluation should be completed by Q3 2021	FR MFF	EC 18	AFET

## 1.17. DG GROW

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of the Toy Safety Directive		Legal act - This evaluation concerns Directive 2009/48/EC on the safety of toys since its entry into force.  "By 20 July 2014 and every five years thereafter, Member States shall send the Commission a report on the application of this Directive. That report shall contain an evaluation of the situation concerning the safety of toys and of the effectiveness of this Directive, as well as a presentation of the market surveillance activities performed by that Member State. The Commission shall draw up and publish a summary of the national reports."	<a href="#">Roadmap</a> of July 2018 indicates Q2 2019 as planned completion date	L	EU-B BR	IMCO
2019	Evaluation of Regulation 386/2012 - European Observatory on Infringements of Intellectual Property Rights		The Observatory is managed by the European Union Intellectual Property Office (EUIPO). Its aim is to improve the fight against counterfeiting and piracy by sharing information and best practice, raising public awareness, strengthening cooperation, and developing better tools.	No roadmap published; consultation held in 2018. MP 19 indicates June 2019 as planned evaluation completion date. A supporting <a href="#">study</a> was published in 2018.	O	MP 19	JURI
2019	Evaluation of Directive 2000/14/EC on noise emission by outdoor equipment	Back-to-back	The Outdoor Noise Directive 2000/14/EU (OND) - amended by Directive 2005/88/EC and by Regulation (EC) No 219/2009 - concerns noise emissions by equipment and machines for use outdoors such as those used in construction sites, road maintenance, gardening and forestry activities. It needs to be adjusted to the	The <a href="#">Inception Impact Assessment</a> of November 2017 indicates that the Impact Assessment will be carried out 'back to back' with an evaluation of the current Directive. According to the 2019 Management plan, the evaluation is planned to be	O	BR MP 19	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			market needs and to technological progress.	finalised by Q3 2019. See also the dedicated <a href="#">website</a> . External <a href="#">study</a> published in November 2018.			
2019	Fitness Check of the construction sector  (not clear whether the evaluation of Regulation No 305/2011, SWD(2019) 1770, replaces the fitness check)	Fitness check	The Commission Work Programme 2015 foresees amongst the REFIT actions a 'Sectoral Fitness Check of the most relevant EU legislation impacting on the construction sector in the area of internal market and energy efficiency, to be started in 2015'.	The <a href="#">Roadmap</a> of 2015 indicates Q1 2017 as planned completion date. The 2019 Management Plan indicates 01/04/2019 as completion date.	O	BR MP 19	IMCO
2019	Evaluation of the Entrepreneurship and Innovation programme (EIP)		The Entrepreneurship and Innovation programme (2007-13) was part of a broader package of EU measures designed to help small firms across the bloc remain competitive in the face of challenges from globalisation. In particular it helped these firms access finance to start up and grow, and provided support for them to innovate.	<a href="#">BR website</a> indicates Q4 2019 as planned completion date; roadmap not yet available as per June 2020	O	EU-B BR	EMPL
2020	EU incentives for the pharmaceutical sector – evaluation of the supplementary protection certificate scheme - Evaluation of the EU Supplementary Protection Certificate (SPC) system and the patent research exemption	Back-to-back	Pharmaceutical research plays a key role in protecting human & plant health, and EU rules on medicines and pesticides aim to encourage research & innovation (R&I). The supplementary protection certificate (SPC) grants extended intellectual property rights for specific products, making the efforts of producers worthwhile and helping keep them competitive. This initiative assesses the SPC scheme, particularly its ability to encourage and attract R&I and how consistently it is applied across the EU.	<a href="#">Roadmap</a> not yet available as of June 2020. Public consultation planned for Q2 2020  The <a href="#">Inception Impact Assessment</a> of February 2017 states that the 'Commission will conduct a back-to-back evaluation and impact assessment'. Q4 2017 is indicated as planned completion date. The 2019 Management	O	BR MP 19	JURI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			The relevant EU legislation is Regulation (EC) No 469/2009 and Regulation (EC) No 1610/96 on SPC covering pharmaceutical and plant protection products respectively. The Bolar patent exemption aims at speeding up the entry of generic medicines into the market. The EC conducts a back-to-back evaluation and IA of all relevant provisions and options for modernising the SPC Regulations and the provisions of the Directives dealing with the Bolar exemption. This will be the first EC evaluation of this legislation.	plan states December 2019 as planned completion date.			
2020	Ex-Post evaluation of the CIP programme (Competitiveness and Innovation Programme)			The 2019 Management Plan announces the completion of the evaluation for Q1 2020. March 2020 is confirmed by EC planning 2018.	O	MP 19	ITRE
2020	Evaluation of the Low Voltage Directive 2014/35/EU		The low voltage directive (LVD) ensures that electrical equipment within certain voltage limits provides a high level of protection for European citizens, and benefits fully from the single market. It has been applicable since 20 April 2016. On the basis of the conclusions on the performance of the Directive, the Commission will assess which next steps may be necessary to improve the performance of the Directive.	<a href="#">Roadmap</a> of October 2017 indicates Q4 2018 as planned completion date; BR website suggests Q2 2019. EC planning 2018 indicates September 2019 as completion date. Included in CWP 2020. External <a href="#">study</a> published February 2020.	O	EU-B BR CWP 20	IMCO
2020	Evaluation of EU legislation on design protection		No overall evaluation of Directive 98/71/EC and Regulation (EC) 6/2002 has taken place since their adoption. This evaluation will provide an in-depth assessment of the overall functioning of	<a href="#">Roadmap</a> of July 2018 indicates Q2 2019 as planned completion date; listed in CWP 2019 and again in CWP 2020.	O	EU-B BR	JURI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			the design protection system in the EU including both Union and national levels, in particular in view of the recent EU trademark revision, the development of new technologies such as 3D-printing and the spare parts market. It shall identify opportunities for streamlining registration procedures with a view to facilitating the simple uptake of design protection in the EU with potential reduction of costs and administrative burdens to the benefit of businesses, designers and SMEs.			CWP 19 CWP 20	
2020	Evaluation of legislation on trade in drug precursors		Legal act – Both, Regulation (EC) No 273/2004 on intra-EU trade and Council Regulation (EC) No 111/2005 on trade between the EU and the rest of the world, contain legal obligation for the Commission to report by 31.12.2019 on the implementation and functioning of the Regulations, and in particular on the possible need for additional action to monitor and control suspicious transactions with non-scheduled substances. The 2005 regulation was amended by Regulation 1259/2013. The regulations will be evaluated in the light of their objective, which is to set up a monitoring and control system of the trade in drug precursors to prevent their diversion from the legal supply chain into the illicit drug production. In addition to those two Regulations, the evaluation will cover their associated acts, Delegated	Evaluation undertaken jointly with DG TAXUD. The <a href="#">Roadmap</a> of August 2017 indicates Q4 2019 as planned completion date. Included in CWP 2020.	L	BR MP 19 CWP 20	LIBE INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			Regulation 2015/1011 and Implementing Regulation 2015/1013.				
2020	Evaluation of the EU SME definition	Back-to-back	The SME Definition as provided in Recommendation 2003/361/EC is the structural tool to identify those enterprises which are confronted with market failures and particular challenges (e.g. access to finance) due to their size, and therefore are allowed to receive preferential treatment in public support. Currently, around 100 EU legal acts contain a reference to the SME definition. Following rulings by the ECJ, the SME definition needs to be revised to create legal certainty on which company qualifies as a small and medium-sized enterprise.	The <a href="#">Inception Impact Assessment</a> of June 2017 indicates that 'The Commission has opted for a 'back to back' evaluation and impact assessment in support of this initiative and to inform its decision'. The expected completion date is Q1 2019. Included in the CWP 2020.	O	BR CWP 20 II-SDB	EMPL ECON
2021	Evaluation of the Postal Services Directive 97/67/EC		The postal sector is going through substantial changes due to digitalisation. The Postal Services Directive (97/67/EC) dates from 1997 and was revised in 2002 and 2008. The report on the application of the Directive will be accompanied by an evaluation to assess if the Directive is still fit for purpose and future-proof.	The <a href="#">Roadmap</a> of March 2020 indicates Q4 2020 as planned completion date. BR website updated forecast to Q2 2021. 2019 Management plan indicates September 2020 as completion date. Date confirmed by EC planning 2018.	O	BR CWP 20	TRAN
2021	Evaluation of the Electromagnetic Compatibility Directive (2014/30/EU)		The Electromagnetic Compatibility Directive 2014/30/EU (EMCD) addresses electrical equipment placed on the EU market. Its scope covers products for consumer and professional use, both	The <a href="#">Roadmap</a> of January 2020 indicates Q2 2021 as planned completion date.	O	BR MP 19	IMCO



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			apparatus and fixed installations. After almost 30 years without any major modifications, it is necessary to assess, in the context of regular evaluation of the acquis, if the Directive has achieved its objectives. The areas subject to evaluation are the scope, the essential requirements and the interaction with other EU acts, such as the Low Voltage Directive (LVD) 2014/35/EU, the Radio Equipment Directive (RED) 2014/53/EU or the Machinery Directive 2006/42/EC.				
<b>2021</b>	Evaluation of Regulation (EU)2015/78 of the eCall in-vehicle system		Legal act - Art. 12 of the Directive stipulates that by March 2021, the Commission shall prepare an evaluation report on the achievements of the 112-based eCall in-vehicle system, including its penetration rate.	The BR website indicates Q2 2021 as planned completion date. Date confirmed by EC planning 2018. Roadmap not yet available as per April 2020.	L	MP 19	IMCO
<b>2022</b>	Final evaluation of the COSME programme	Final evaluation		2019 Management Plan announces completion for July 2022. Date confirmed by EC planning 2018.	L MFF	MP 19	ITRE

## 1.18. DG HOME

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of Council Directive 2004/82 on the obligation of carriers to communicate passenger data (Advance Passenger Information (API) Directive)		The functioning of the API Directive will be evaluated in the light of its objectives, which are to combat irregular immigration and to improve border control. It will also assess to what extent the API Directive has been effective in supporting law enforcement authorities in EU Member States and Schengen associated countries.	<a href="#">Roadmap</a> of December 2018 indicates Q3 2019 as planned completion date. External <a href="#">study</a> published in March 2020.	O	EU-B BR	LIBE
2019	Evaluation of the European Migration Network		Legal act	EC planning 2018 indicates December 2019 as completion date. External <a href="#">study</a> published in 2018.	L	EC 18	LIBE
2019	Evaluation of the Mobility Partnership Facility	Final evaluation	MFF - To assess effectiveness and impact of the instrument and provide recommendations for the fine-tuning of phase II of the Facility.	EC planning 2018 indicates December 2019 as completion date.	MFF	EC 18	LIBE
2020	Evaluation of the EU Drugs Strategy 2013-2020 and of the EU Action Plan on Drugs 2017-2020		The outcomes of the evaluation will support the reflection process on the way forward in this policy area, to be decided by the next Commission.	<a href="#">Roadmap</a> of November 2018 indicates January 2020 as planned completion date.	O	EU-B BR	LIBE
2020	Evaluation of the European Crime Prevention Network		The scope of the evaluation covers the work of the network since the last evaluation (2012) with a focus on its current multiannual strategy for 2016-2020. The evaluation will assess the network's performance against the framework set by Council Decision 2009/902/JHA, in particular its relevance in terms of political and operational priorities and activities.	<a href="#">Roadmap</a> of February 2019 indicates Q1 2020 as planned completion date.	O	EU-B BR	LIBE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			Conducting the evaluation in 2019/2020 allows its results to feed into the discussions of EUCPN's next multi-annual strategy (2021-2025).				
2020	Joint Evaluation of the Agreement between the EU and the US on the use and transfer of Passenger Name Record (PNR) data		Adoption of a report on the joint evaluation. DG HOME is preparing for the joint evaluation of the EU-US PNR Agreement and the joint review and joint evaluation of the EU-Australia PNR Agreement in 2019. The Court's Opinion has no direct implications on Member States' obligation to implement Directive (EU) 2016/681 on the use of PNR data for the prevention, detection, investigation and prosecution of terrorist offences and serious crime. An assessment of the completeness and conformity of the transposition process of the Directive will be conducted in 2019.	The 2019 Management Plan states that the 'planning depends on the timing of the joint evaluation (still to be agreed with US)'. EC planning 2018 indicates June 2020 as completion date.	O	MP 19 EC 18 II-SDB	LIBE
2020	Joint Evaluation of the Agreement between the EU and Australia on the processing and transfer of Passenger Name Record (PNR) data		Adoption of a report on the joint review. DG HOME is preparing for the joint evaluation of the EU-US PNR Agreement and the joint review and joint evaluation of the EU-Australia PNR Agreement in 2019. The Court's Opinion has no direct implications on Member States' obligation to implement Directive (EU) 2016/681 on the use of PNR data for the prevention, detection, investigation and prosecution of terrorist offences and serious crime. An assessment of the completeness and conformity of the transposition process of the Directive will be conducted in 2019.	The 2019 Management Plan states that the 'planning depends on the timing of the joint evaluation (still to be agreed with AUS)'. EC planning 2018 indicates June 2020 as completion date.	O	MP 19 EC 18	LIBE
2020	Evaluation of Directive 2011/36 on preventing and combating trafficking in human beings and protecting its victims		Legal act - Based on the information provided by Member States as per Article 20 of Directive 2011/36	EC planning 2018 indicates September 2020 as completion date	L	EC 18	LIBE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of the Visa Information System			EC planning 2018 indicates September 2020 as completion date		EC 18	LIBE
2020	Evaluation of the Schengen Information System (SIS II)		Legal act	EC planning 2018 indicates September 2020 as completion date	L	EC 18	LIBE
2021	Evaluation of the European Border and Coast Guard Agency		Legal act	EC planning 2018 indicates December 2020 as completion date	L	EC 18	LIBE
2021	Evaluation of Directive 2017/541 on combating terrorism		Legal act – As per Art. 29(2) of the directive 'The Commission shall, by 8 September 2021, submit a report to the European Parliament and to the Council, assessing the added value of this Directive with regard to combating terrorism. The report shall also cover the impact of this Directive on fundamental rights and freedoms, including on non-discrimination, on the rule of law, and on the level of protection and assistance provided to victims of terrorism.'	EC planning 2018 indicates September 2021 as completion date	L	EC 18 II-SDB	LIBE
2021	Evaluation of the EU Agency for law enforcement training (CEPOL)		Legal act – As per Art. 32 of the Regulation 2015/2219 on CEPOL an evaluation is due by 1 July 2021 and every five years thereafter. CEPOL develops, implements and coordinates training for law enforcement officers tackling serious and organised crime across the EU. This initiative will assess whether CEPOL is working as intended; provide input on the way forward in this policy area; assess how CEPOL cooperates with relevant international organisations and EU institutions.	Roadmap of July 2020  indicates Q3 2021 as planned completion date	L	BR	LIBE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of the EU Agency for law enforcement cooperation (Europol)		Legal act – As per Art. 68 of the Regulation 2016/794 on Europol an evaluation is due by 1 May 2022 and every five years thereafter.	EC planning 2018 indicates December 2021 as completion date	L	EC 18	LIBE
2022	Ex-post evaluation of the "Europe for Citizens" Programme 2014-2020		Legal act, MFF – A review clause in the act stipulates that an ex-post evaluation report is due by 1 July 2023.	EC planning 2018 indicates June 2022 as completion date	L MFF	EC 18	CULT
2023	Evaluation of the European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (EU-LISA)	periodic	Legal act – As per Regulation 1077/2011 an evaluation is required every four years.	EC planning 2018 indicates January 2023 as completion date	L	EC 18	LIBE
2024	Ex-post evaluation of the Asylum, Migration and Integration Fund	Ex-post evaluation	Legal act, MFF – ex-post evaluation report on the effects of the Asylum, Migration and Integration Fund, following the closure of the national programmes, by 30 June 2024		L MFF	SWD(2014) 200	LIBE
2024	Ex-post evaluation of the Internal Security Fund	Ex-post evaluation	Legal act, MFF – ex-post evaluation report on the effects of the Internal Security Fund, following the closure of the national programmes, by 30 June 2024		L MFF	SWD(2014) 200	LIBE

## 1.19. DG JRC

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Fitness Check on the relevant EU legislation on endocrine disruptors	Fitness check	Endocrine disruptors are substances that alter the functioning of the endocrine (hormonal) system and negatively affect the health of humans or animals. A variety of EU measures regulates these substances. The fitness check will assess whether these measures deliver the overall objective to protect human health and the environment. It will assess the coherence, effectiveness, efficiency, relevance and EU added-value of EU legislation, with emphasis on coherence across the chemicals acquis. In 2018, the Commission adopted its Communication "Towards a comprehensive EU framework on endocrine disruptors", updating the Strategy of 1999. It announces the launch of a cross-cutting Fitness Check on endocrine disruptors. While evaluations or Fitness Checks of relevance for endocrine disruptors have already been carried out or are under way, a systematic analysis of the coherence of relevant provisions on endocrine disruptors across the EU legal measures has not yet been completed.	<a href="#">Roadmap</a> of June 2019 indicates Q2 2020 as planned completion date.  Included in CWP 2020.	O	EU-B BR CWP 20 II-SDB	ENVI

## 1.20. DG JUST

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Ex-post evaluation of the 2018 Pilot Awareness Raising Campaign on energy efficiency			The 2019 Management Plan announces the evaluation for Q2 2019	O	MP 19	ITRE
2019	Evaluation of the Consumer Credit Directive (Directive 2008/48/EC)		The evaluation will look into the functioning of the consumer credit market. The REFIT Platform recommended that the Commission assess the relevance, effectiveness and efficiency of the standard information requirements triggered by Article 4 of the Directive, regarding the standard information to be included in advertising.	<a href="#">Roadmap</a> of June 2018 indicates Q4 2019 as planned completion date; listed in CWP 2019; 2019 Management plan suggests Q4 2019; CWP 2020 suggests Q4 2020. Subject to RSB scrutiny in 2020.	O	EU-B CWP 19 MP 19 CWP 20	IMCO
2019	Evaluation of Directive 2002/65/EC on the distance marketing of consumer financial services		As announced in the 2019 CWP, the Commission will carry out an evaluation of the Distance Marketing of Financial Services Directive, in line with the Better Regulation Principles. The evaluation is planned to be concluded in 2019.	<a href="#">Roadmap</a> of December 2018 indicates Q4 2019 as planned completion date; listed in CWP 2019; 2019 Management Plan announces it for Q4 2019 / Q1 2020. CWP 2020 suggest completion in Q4 2020. Subject to RSB scrutiny in 2020.	O	EU-B BR CWP 2019 MP 19 CWP 20	JURI
2019	Directive 2008/99/EC on the Protection of the Environment through Criminal law		The Directive criminalises serious violations of over 70 legal instruments in the field of environment and requires effective sanctions. The evaluation will assess if the Directive meets its specific objectives and contributes to effective environmental protection. In 2016, the Council in its Conclusions invited the EC to monitor the effectiveness of EU legislation	<a href="#">Roadmap</a> of March 2019 indicates Q1 2020 as planned completion date; 2019 Management Plan announces it for Q4 2019.	O	EU-B BR MP 19	JURI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			in the field of countering environmental crime. The result of this evaluation will be used to determine whether to review the current EU policy of using criminal law to protect the environment.				
2020	Evaluation of the application of the Takeover Bids Directive (Directive 2004/25/EC)			Evaluation listed in the 2019 Management Plan; expected completion date: 2020. EC Planning 2018 announces completion for Q4 2021.	O	MP 19 EC 18	JURI
2020	Evaluation of the application of the General Data Protection Regulation		Mid-2019, the EC is taking stock of the application of the GDPR; it will feed into the evaluation to be issued in 2020.	Evaluation listed in the 2019 Management Plan, with planned completion date 2020.	O	MP 19	LIBE
2021	Fitness check of the EU legislation on violence against women and domestic violence	Fitness check	To initiate the implementation of the Gender Equality Strategy as regards the prevention and combatting of violence against women and domestic violence, the Commission intends to evaluate the existing legislative EU framework by means of this fitness check to gather evidence in support of its policy and legislative work envisaged on the topic.	<a href="#">Roadmap</a> of July 2020 indicates Q2 2021 as planned completion date.	O	BR	FEMM
2021	Evaluation of the European Enforcement Order (EEO) for uncontested claims Regulation		Regulation (EC) 805/2004. This evaluation aims to assess whether or not to propose a repeal of this instrument.	Evaluation listed in the 2019 Management Plan; expected to be completed in 2021; EC Planning 2018.	O	MP 19	JURI
2021	Final/ex-post evaluation report of the Justice Programme 2014-2020	Final evaluation	Legal act, MFF - The ex-post evaluation report shall assess the long-term impacts of the Programme and the sustainability	EC Planning 2018 indicates Q4 2021 as completion date.	L MFF	EC 18	JURI LIBE



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			of its effects, with a view to feeding into a decision on a subsequent programme.				
<b>2021</b>	Final/Ex-post evaluation report of the Rights, Equality and Citizenship Programme 2014 -2020	Final evaluation	Legal act, MFF - The ex-post evaluation report shall assess the long-term impacts of the Programme and the sustainability of its effects.	EC Planning 2018 indicates Q4 2021 as completion date.	L MFF	EC 18	LIBE
<b>2021</b>	Ex-post evaluation of the Consumer Programme 2014-2020	Ex-post evaluation	Legal act, MFF - The ex-post evaluation report shall assess the long-term impacts of the Programme and the sustainability of its effects. Due by 2021.		L MFF	EC18	IMCO

## 1.21. DG MARE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2018	Evaluation of the European Marine Observation and Data Network (EMODnet)		This evaluation looks into the performance and functioning of EMODnet. The findings will be used to guide activities undertaken in support of marine knowledge in the remaining years 2019-2020 of the European Maritime and Fisheries Fund (EMFF) and to feed into the preparatory process for a follow-up post 2020. The EC announced its commitment to carry out an evaluation of the second phase of EMODnet in its Marine Knowledge 2020 (roadmap). The budget allocated to EMODnet is a significant proportion of the EMFF and in view of the preparation of the post-2020 Multiannual Financial Framework, there is a need to verify the approach chosen.	<a href="#">Roadmap</a> of January 2018 indicates August 2018 as planned completion date; 2019 Management plan announces it for Q1 2019. External <a href="#">study</a> published in May 2020.	O	EU-B BR MP 19	PECH
2018	Protecting the seabed from bottom trawling by fishing vessels - evaluation		This evaluation will identify how to improve the EU rules on protecting marine ecosystems, especially as regards the impact of vessels using fishing gear that can damage the seabed. It will assess how well the relevant Regulation is working, to provide evidence for the changes needed to make it more effective.	<a href="#">BR Website</a> announces completion for Q4 2018; Roadmap not yet out as of June 2020	O	BR	PECH
2019	Evaluation of the EU-Liberia Fisheries Partnership Agreement	Back-to-back	Ahead of renewal negotiations, the EU will assess how well its Fisheries Partnership Agreement with Liberia has been working (as set out in the protocol governing its operation). These agreements enable EU vessels to access local fish stocks, in return for financial and technical help to the host	<a href="#">BR Website</a> does not yet announce a completion date; Roadmap not yet out as of June 2020. EC Planning 2018 indicates Q4 2019 as	O	BR EC 18	PECH

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			country. They require EU fishing in these waters to be sustainable, complying with scientific advice on the health of local stocks as well as local social and economic interests.	completion date. External <a href="#">study</a> published in March 2020.			
2019	Evaluation of the European Fisheries Statistics		Legal act - The Commission collects data on catches and landings of fisheries products, aquaculture and the EU fishing fleet. The following statistical legal acts govern these data collections: the landings Regulation (EC) No 1921/2006, three catches regulations for areas in the north-east Atlantic, north-west Atlantic, and certain other areas (Regulations (EC) No 216/2009, (EC) No 217/2009 and (EC) No 218/2009), and the aquaculture Regulation (EC) No 762/2008. The EU has a legal obligation to deliver statistics to the Northwest Atlantic Fisheries Organization (NAFO) (Council Regulation on Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (EEC No 3179/78), Catch regulation (Regulation (EC) No 217/2009), to the North East Atlantic Fisheries Commission (NEAFC) (Council Regulation on Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (EEC No 81/608, Catch Regulation (EC) No 218/2009) and to the FAO (Catch Regulation (EC) No 216/2009).	<a href="#">Roadmap</a> of May 2019 indicates Q3 2019 as planned completion date	L	EU-B BR	PECH
2019	Interim evaluation of the direct management under the European Maritime and Fisheries Fund (EMFF)	Interim evaluation	Legal act, MFF - The purpose of the evaluation is to assess the performance of and fine-tune the implementation of the direct management component of the EMFF for the programming period 2014-2020. The establishment and objectives of the EMFF are	<a href="#">Roadmap</a> of February 2018 indicates Q4 2018 as planned completion date; 2019 Management plan announces SWD	L MFF	EU-B MP 19 BR	PECH

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			set out in Regulation 508/2014. Direct management operations under the EMFF shall facilitate the implementation of the CFP and the IMP. Article 15 of the EMFF Regulation 508/2014 stipulates that the Commission shall review the implementation of Chapter I and II of Title VI, incl. the need for adjustments of the indicative distribution of funds as laid down in Annex III, and shall by 30 June 2017 submit an interim evaluation on the results obtained and the qualitative and quantitative aspects of the EMFF. Council and EP have been informed that, owing to the late adoption of the Regulation, the report will be delivered later.	for Q2 2019; external <a href="#">study</a> published in 2018.			
2019	Retrospective evaluation of the Mediterranean Regulation		DG MARE “will launch the results of the Mediterranean Regulation once the Technical Measures Regulation has been adopted (MP 18)”.	2019 Management plan announces completion of the evaluation by Q4 2019 (“carry-over from 2018”). External <a href="#">study</a> published in October 2019.	O	MP 19 MP 18	PECH
2020	Evaluation of the marketing standards framework for fishery and aquaculture products		This evaluation will assess the extent to which the existing marketing standards framework for fishery and aquaculture products set out in Regulation 1379/2013 is fit for purpose. Its results will inform a possible future impact assessment and legislative proposal to revise the current standards framework.  CWP 20: This revision will simplify the legal set-up: one (EU) Regulation will replace three regulations currently covering also aquaculture products, transparency.	<a href="#">Roadmap</a> of January 2018 indicates Q1 2019 as planned completion date. Included in CWP 2020 under REFIT.	O	EU-B BR CWP 20	PECH

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of state aid guidelines for fisheries	Back-to-back	Review of the framework of rules on State aid in the fishery and aquaculture sector, in view of adopting new/revised De minimis Regulation, Block Exemption Regulation (FIBER), and State aid Guidelines for the period 2021 to 2027 (post-2020 EMFF Regulation). The evaluation will assess to what extent the State aid framework achieved its objectives. Its conclusions will feed into the impact assessment of the reviewed State aid framework to be applicable as of 2021.	<a href="#">Inception impact assessment</a> of May 2019 indicates Q4 2020 as planned completion date.	O	BR EU-B	PECH
2020	Evaluation of EU rules on deep-sea fishing in the north-east Atlantic		Legal act - EU vessels fishing for deep-sea stocks in this region are governed by Regulation (EU) 2016/2336. The regulation helps ensure stocks are fished sustainably and with minimal impact on vulnerable marine ecosystems, and also broadens the information base for scientific assessment. This evaluation examines whether the regulation is working as it should. It is mandated by the Regulation itself, and due for completion by January 2021.	<a href="#">Roadmap</a> of September 2019 announces completion for Q4 2020; EC Planning 2018 indicates January 2021 as completion date.	L	BR EC 18	PECH
2020	Evaluation of Council Regulation (EC) No 734/2008 on the protection of vulnerable marine ecosystems			2018 Management plan indicates 2018 as launch date and 2019 as completion date. EC Planning 2018 indicates Q4 2020 as completion date.	O	MP 18 EC 18	PECH
2020	Evaluation of Action Plan for reducing incidental catches of seabirds in fishing gears		Legal act. According to Art. 3.5 of COM(2012) 665 the EC carries out 'a full review and evaluation of the EU-PoA after the fourth report (eight years) of implementation and	EC Planning 2018 indicates Q4 2020 as completion date.	L	EC 18	PECH

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			update the EU-PoA accordingly. This review would be timed to coincide with the obligation under the MSFD to reach GES for marine ecosystems by 2020'.				
2020	Evaluation of the framework of the Sustainable Fisheries Partnership Agreements (SFPAS)		Evaluation of the overall policy framework of bilateral Sustainable Fisheries Partnership Agreements with third countries. It will be carried out in parallel to the evaluation of the CFP and focus on the latter's external dimension with regard to SFPAs. It will draw on and complement individual and regional evaluations as well as the ex-post evaluation of the 2nd Financial Instrument (providing funding for SFPAs) and the Court of Auditors 2015 Special Report on SFPAs.	EC Planning 2018 indicates Q4 2020 as completion date.	O	EC 18	PECH
2020	Ocean energy — evaluation of EU renewable power generation & policy		In 2014, the Commission set out an action plan to harness the potential of oceans and seas to generate clean, sustainable energy. Part of that plan, this evaluation takes stock of ocean energy projects, investment and capacity in Europe. The evaluation aims to determine to what extent the EU's policy actions on ocean energy have helped industry and public authorities to create a more facilitating context for the development and deployment of ocean energy devices/projects. It is also part of a review on the future direction of EU policy on renewable energy.	<a href="#">Roadmap</a> of May 2020 indicates Q4 2020 as planned completion date.	O	BR	ITRE
2020	Evaluation of the marketing standards framework for fishery and aquaculture products		The purpose of this evaluation is to assess the extent to which the existing marketing standards framework for fishery and aquaculture products set out in Regulation (EU) No 1379/2013 is fit for purpose. Its results	<a href="#">Roadmap</a> of January 2018 indicates Q1 2019 as planned completion date.	O	EU-B BR	PECH

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			will inform a possible future impact assessment and legislative proposal to revise the current standards framework.  CWP 20: This revision will simplify the legal set-up: one (EU) Regulation will replace three regulations currently covering also aquaculture products, transparency	Included in CWP 2020 under REFIT.		CWP 20	
2021	Ex-post evaluation of the EMFF measures financed under direct management (European Maritime and Fisheries Fund)	Final evaluation	MFF	EC Planning 2018 indicates Q4 2021 as completion date.	MFF	EC 18	PECH
2022	Evaluation of the consequences of the application of the Directive 2014/89/EU of the European Parliament and of the Council of 23 July 2014 establishing a framework for maritime spatial planning			EC Planning 2018 indicates Q4 2022 as completion date.	O	EC 18	TRAN
2022	Evaluation of the CFP (incl. discards)			EC Planning 2018 indicates Q4 2022 as completion date.	O	EC 18	PECH
2024	Ex-post evaluation of the EMFF measures financed under shared management	Ex-post evaluation	Legal act, MFF - According to Article 117 to the Regulation (EU) No 508/2014 on the European Maritime and Fisheries Fund (EMFF) 'In accordance with Article 57 of Regulation (EU) No 1303/2013, an ex post evaluation report shall be prepared by the Commission in close cooperation with Member States'.	EC Planning 2018 indicates Q4 2024 as completion date.	L MFF	EC 18	PECH

## 1.22. DG MOVE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2018	Evaluation of noise differentiated track access charges schemes		Legal act - Directive 2012/34/EU on a single European railway area empowered the Commission to develop rules for charging for rail noise. On this basis the EC adopted Implementing Regulation (EU) 2015/429 that provides the legal framework for noise differentiated track access charges (NDTAC) schemes within the EU. The legislation obliges the Commission to assess the effects of the implementation of the noise differentiated track access charges schemes by 31 December 2018.	<a href="#">Roadmap</a> of October 2018 indicates Q4 2018 as planned completion date	L	EU-B BR	TRAN
2018	Ex-post evaluation of the Trans-European Transport Network (TEN-T) programme 2007-2013	ex-post evaluation	Legal act, MFF - The present evaluation is an ex-post evaluation, in line with Article 30(4) of Regulation (EU, Euratom) No 966/2012. The Commission will assess the implementation of projects funded under the TEN-T Programme for the period 2007-2013.	<a href="#">Roadmap</a> of February 2018 indicates Q4 2018 as planned completion date; 2019 Management Plan announces SWD for Q3 2019.	L MFF	EU-B BR MP 19	BUDG
2019	Evaluation of the impacts on growth and jobs of the investments into trans-European transport infrastructure		Desk research to identify and assess existing materials on the question of effects on growth and jobs from investments into transport infrastructure and transport.	No roadmap available it seems; external <a href="#">study</a> conducted in 2018	O	SEP 17  MOVE website	TRAN
2019	Evaluation of the EU Urban Mobility Package of 2013		The purpose of this evaluation is to review – after 5 years – the UMP 2013 to validate the appropriateness of the proposed approach and measures, as well as their effectiveness in delivering the projected results. The package includes guidance for cycling projects in EU cities, a guidance document on urban vehicle access regulations (UVARs) and revised guidelines on sustainable urban mobility planning (SUMP).	<a href="#">Roadmap</a> of February 2019 indicates Q4 2019 as planned completion date	O	EU-B BR MP 19	TRAN



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of the Council Directive 2012/34/EC on access to the ground handling market at Community airports		In the context of the Commission's Aviation Strategy for Europe (SWD(2015) 261) stakeholders highlighted the need for more choice and better value among ground-handling providers. The evaluation will assess the performance of all provisions of the Directive across EEA countries. The evaluation was also announced in the 2015 Aviation Strategy Plan.	<a href="#">Roadmap</a> of February 2019 indicates Q1 2020 as planned completion date. EC Planning 2018 indicates September 2020 as completion date.	O	EU-B B	TRAN
2020	Evaluation of the Regulation (EU) N° 1315/2013 on Union Guidelines for the development of a trans-European transport network		Legal act - Regulation (EU) N° 1315/2013 on Union Guidelines for the development of the trans-European transport network (TEN-T) governs the EU policy on transport infrastructure. The Regulation and its implementation also need to be evaluated in the light of new developments in transport policy (e.g. the further development of transport infrastructure policy) within the context of quickly changing mobility patterns as well as technological, societal and social conditions.	<a href="#">Roadmap</a> of September 2018 indicates Q1 2020 as planned completion date. EC Planning 2018 indicates June 2020 as completion date.	L	EU-B BR EC 18	TRAN
2020	Evaluation of Directive 2014/94/EU on the deployment of alternative fuels infrastructure		Legal act - The Directive requires the Commission to assess the effects of its implementation (Articles 10(3) and 10(5)). Moreover, the EP has called upon the EC to revise the Directive (October 2018 resolution). The Graz Declaration of the Informal Environment and Transport Council of October 2018 reiterated the need for stepping up policy actions to accelerate the market introduction of low- and zero-emission vehicles, including the development of appropriate charging infrastructure.	<a href="#">Roadmap</a> of February 2019 indicates Q2 2020 as planned completion date.	L	EU-B BR	TRAN
2020	Evaluation of Regulation (EU) 913/2010 concerning a European rail network for competitive freight (Rail Freight Corridor Regulation)		Legal act - In 2015, the Commission launched the evaluation to assess the implementation and effects of Regulation (EU) 913/2010 concerning a European rail network for competitive freight, in force since 2010. However, after the completion of an initial internal analysis, the EC concluded that some impacts had not yet materialised to a degree allowing a reliable	<a href="#">Roadmap</a> of February 2019 indicates Q2 2020 as planned completion date. Included in CWP 2020 under REFIT.	L	EU-B BR CWP 20	TRAN

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			<p>assessment. In accordance with Art. 23 of the Regulation, the EC issued an implementation report and decided to postpone the evaluation until 2019.</p> <p>CWP 2020: Increasing freight transport by rail is a key element of EU policy to reduce transport CO<sub>2</sub> emissions. The Regulation on rail freight corridors aims to improve cooperation and coordination along a number of corridors with particular potential for developing international rail freight. To date, eleven rail freight corridors have been established. The aim of this evaluation is to provide a complete overview of the implementation of the Regulation as well as an assessment of its effects.</p>				
2020	Evaluation of Directive 2005/44/EC on harmonised river information services on inland waterways (RIS Directive)		River information services (RIS) make use of information and communication technology (ICT) to support safety, efficiency and environmental friendliness of inland waterway transport. The RIS Directive provides a framework for minimum requirements and technical specifications for the provision and implementation of RIS to assure harmonisation, interoperability and cross-border compatibility of Member States' RIS systems for waterways of class IV or above. The evaluation looks at the Directive's implementation and at the sector's recent organisational and technological developments, particularly of digital technologies. It also assesses potential areas for simplification in the process.	<a href="#">Roadmap</a> of October 2017 indicates Q4 2018 as planned completion date; 2019 Management plan announces SWD for Q4 2019. Included in CWP 2020. External <a href="#">study</a> published in April 2020.	O	EU-B BR MP 19 CWP 20	TRAN
2020	Rights of passengers in bus and coach transport (Regulation (EU) N° 181/2011)		The purpose of this evaluation is to review, 6 years after its date of application, how effectively the Regulation is applied. A report on the application of the Regulation was published in 2016. The Court of Auditors published a special report in 2018 on passenger rights.	<a href="#">Roadmap</a> of July 2019 indicates Q3 2020 as planned completion date.	O	EU-B BR	CODE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Rights of passengers when travelling by sea and inland waterway (Regulation (EU) N° 1177/2010)		The purpose of this evaluation is to review, more than 6 years after its date of application, how effectively the Regulation is applied. A report on the application of the Regulation was published in 2016. The Court of Auditors published a special report in 2018 on passenger rights.	<a href="#">Roadmap</a> of July 2019 indicates Q3 2020 as planned completion date.	O	EU-B BR	TRAN
2020	Rights of persons with disabilities and with reduced mobility (PRM) when travelling by air (Regulation (EC) N° 1107/2006)  (Alternative title: Air passenger rights – people with disabilities/reduced mobility)		Regulation (EC) N° 1107/2006 became fully applicable in 2008. The Commission's last report on the functioning of the Regulation (April 2011), found that the Regulation was not yet applied efficiently and uniformly and recommended focusing on better enforcement. The evaluation covers the period between from 2008 until mid-2019; geographical scope: European Economic Area.	<a href="#">Roadmap</a> of July 2019 indicates Q3 2020 as planned completion date.	O	BR	TRAN
2020	Evaluation of Regulation (EU) No 376/2014 on the reporting, analysis and follow-up of occurrences in civil aviation		Legal act - Article 24 of Regulation (EU) No 376/2014 establishes the legal obligation to submit, by 16 November 2020, an evaluation report on the implementation. The evaluation, whilst examining the technical relationship between Regulation (EU) 376/2014 and its implementing regulation, will also examine implementation issues and potential inconsistencies with other regulations (e.g. EASA Basic Regulation, Accidents Investigation Regulation, ATM Performance Scheme) in order to mark the areas for improvement, resolve the inconsistencies and identify possible gaps.	<a href="#">Roadmap</a> of April 2019 indicates Q4 2020 as planned completion date; BR website indicates Q3 2020	L	EU-B BR	TRAN
2020	Evaluation of the driving licence directive 2006/126/EC		Legal act - Evaluation of Directive 2006/126/EC on driving licences, which introduced a standard format for all driving licences issued in the EU and standardised administrative validity periods. It will assess how well the Directive has performed, e.g. in terms of improved road safety and driving licence fraud. The Directive has never been evaluated; its	<a href="#">Roadmap</a> of August 2019 indicates Q4 2020 as planned completion date.	L	BR CWP 20	TRAN

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			Article 14 calls for a review of its impact on road safety. The ex-post evaluation will assess how well the Directive has performed in improving road safety, facilitating free movement and reducing the possibility of fraud. It will also examine technical progress e.g. as regards vehicles and digitalisation (digital licences).				
2021	Fitness check on market access in inland waterway transport	Fitness check	<p>The following seven pieces of legislation are covered by the assessment:</p> <p>Regulation N° 11/1960 concerning the abolition of discrimination in transport rates and conditions; Council Directive 87/540/EEC on access to the occupation of carrier of goods by waterway in national and international transport and on the mutual recognition of diplomas; Council Regulation (EEC) No 2919/85 laying down the conditions for access to the arrangements under the Revised Convention for the navigation of the Rhine relating to vessels belonging to the Rhine Navigation; Council Regulation (EEC) No 3921/91 laying down the conditions under which non-resident carriers may transport goods or passengers by inland waterway within a Member State; Council Regulation (EC) No 1356/96 on common rules applicable to the transport of goods or passengers by inland waterway between Member States with a view to establishing freedom to provide such transport services; Council Directive 96/75/EC on the systems of chartering and pricing in national and international inland waterway transport; Council Regulation (EC) No 718/1999 on a Community fleet capacity to promote inland waterway transport.</p>	<p><a href="#">Roadmap</a> of July 2019 indicates Q3 2020 as planned completion date. BR website updated forecast to Q1 2021.</p>	O	BR	TRAN
2021	Evaluation of Directive 97/70/EC on fishing vessel safety			EC Planning 2018 indicates Q3 2021 as completion date.	O	EC 18	TRAN

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Evaluation of the White Paper 'Roadmap to a Single European Transport Area – Towards a competitive and resource efficient transport system COM(2011) 144 final'		The evaluation will cover all intervention areas of the 2011 White Paper. It will look at the identified needs for transport policy, the objectives and goals set, the proposed action points, the outcomes achieved and where applicable their preliminary impacts, as well as the overall political impact of the strategy since it was adopted in 2011 until the end of 2018. This will be the first time that such a comprehensive evaluation of the 2011 White Paper is carried out.	<a href="#">Roadmap</a> of February 2019 indicates Q4 2020 as planned completion date. EC Planning 2018 indicates Q4 2021 as completion date.	O	EU-B BR EC 18	TRAN

## 1.23. DG NEAR

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2017	Thematic evaluation on support to Public Administration Reform in enlargement and neighbourhood countries	thematic	FR - The focus will be enlargement countries.	The <a href="#">Roadmap</a> of December 2015 indicates Q1 2017 as planned completion date.	FR	BR  NEAR website	AFET
2019	Evaluation of performance of EU Info Centres in the enlargement and neighbourhood regions (2012-2017)		The evaluation aims at providing an assessment and evidence on the scope and performance of the EU Info Centres in the enlargement region during 2011-2017. It will provide recommendations for the improvement of the relevance, efficiency, effectiveness and impact of the EU Info Centres activities in the region and explore ways for the potential establishment of EU Info Centres in the neighbourhood region.	<a href="#">Roadmap</a> of August 2019 indicates Q1 2018 as planned starting date; the BR website suggests Q4 2019 as completion date. External <a href="#">study</a> published in February 2020.	O	BR  NEAR website	AFET
2019	Evaluation of the European Union's co-operation with Armenia (2010-2017) - Country Level Evaluation	geographic	FR - This evaluation will serve as one source of information for the implementation of the new Single Support Framework (2017-2020). It assesses to what extent the various projects and programmes financed by the EU under the European Neighbourhood and Partnership Instrument (ENPI), the European Neighbourhood Instrument (ENI), and the EU thematic programmes (human rights and civil society) are contributing to the achievement of the strategic objectives of the EU Cooperation with Armenia.	<a href="#">Roadmap</a> of January 2018 indicates Q2 2019 as planned completion date	FR	EU-B  BR	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of the Twinning instrument in the period 2010-2017	thematic	Institution Building Twinning projects bring together public sector expertise from EU Member States and Partner countries with the aim of achieving specific mandatory results. Twinning Projects cover finance and internal market, environment, justice and home affairs, energy, transport, trade and industry, agriculture, employment, social affairs, health & consumer protection, etc.	<a href="#">Roadmap</a> of August 2019 indicates Q2 2019 as planned completion date; <a href="#">study</a> published in March 2019	FR	BR  NEAR website	AFET
2019	Thematic Evaluation of EU support for Rule of Law in neighbourhood countries and candidates and potential candidates of enlargement (2010-2017)	thematic	FR - The purpose of this evaluation is to provide an assessment and evidence on the scope and performance of the implemented and on-going EU support for Rule of Law in beneficiaries of the IPA and ENPI/ENI instruments.	<a href="#">Roadmap</a> of January 2018 indicates Q2 2019 as planned completion date; <a href="#">study</a> published in 2019. EC Planning 2018 indicates Q4 2021 as completion date.	FR	EU-B BR  NEAR website  EC 18	AFET
2019	Thematic Evaluation of the EU's support to Civil Society in the enlargement and neighbourhood regions over the period 2007-2018	thematic	FR - The evaluation is to cover the period from 2007-2018 (in terms of Decision years). The geographical scope will be current IPA II beneficiaries and ENI partner countries. Support to civil society in the Neighbourhood East region has not been the subject of any specific evaluations in recent years, unlike Western Balkans and Turkey and Neighbourhood South.	<a href="#">Roadmap</a> of April 2018 indicates Q3 2019 as planned completion date	FR	EU-B BR	AFET
2020	Strategic, country-level evaluation of the EU's cooperation with Serbia over the period 2012-2018	geographic	FR - This evaluation should determine the extent to which the EU's cooperation with Serbia has contributed to the country's reform efforts and progress towards the	<a href="#">Roadmap</a> of October 2018 indicates Q1 2020 as planned completion date	FR	EU-B BR	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			strategic objective of preparing it to take on the obligations of EU membership. Considering that the European Council granted Serbia candidate status in 2012, this evaluation will assess the performance of the EU's cooperation with Serbia covering the period 2012 end 2018.				
2020	EU-Tunisia cooperation – evaluation	geographic	FR; evaluation report covers 2011-18	<a href="#">Roadmap</a> not yet published as of June 2020	FR	BR	AFET DEVE
2020	Evaluation of EU support to local authorities in enlargement and neighbourhood regions (2010-2018)	thematic	The purpose of the evaluation is to provide an independent assessment and evidence on the contribution of EU external action to the achievement of the objectives and intended impacts of its policy towards local authorities in enlargement and neighbourhood regions (2010-2018). The geographical scope will be current IPA II beneficiaries and ENI partner countries.	<a href="#">Roadmap</a> of October 2018 indicates Q1 2020 as planned completion date	FR	EU-B BR II-SDB	AFET
2020	Strategic Mid-term Evaluation of the EU Facility for Refugees in Turkey (2016-2019/2020)	Mid-term evaluation	Legal act - This evaluation is foreseen as per the Commission Decision C(2015) 9500 establishing the EU Facility for Refugees in Turkey, which specifies that 'The Commission, in full coordination with Member States, shall carry out an evaluation of the first tranche of the Facility by 31 December 2021.' The implementation period of the first tranche of the Facility ends in 2021.	<a href="#">Roadmap</a> of September 2018 indicates Q3 2020 as planned completion date.	FR	EU-B BR	AFET



Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of the EU's external action in the policy area of irregular migration, in particular on combating trafficking in human beings and migrant smuggling	thematic	This independent evaluation will cover EU activities in many of the major source countries for migration into the EU. It seeks to show how effective these activities are in achieving the bloc's goals in this policy area, especially in combatting migrant smuggling and people trafficking. DG DEVCO is associated to this evaluation.	<a href="#">Roadmap</a> of September 2018 indicates Q3 2020 as planned completion date.	O	BR EC 18	AFET
2020	Evaluation of the EU's external action support in the area of gender equality and women empowerment  (alternative title: Gender equality outside the EU – evaluation of EU support)	thematic	Horizontal assessment of gender equality issues and mainstreaming in NEAR region. This evaluation seeks to be a lesson-learning and forward-looking exercise, as well as an accurate assessment of achieved results in line with the objectives of GAP II and other relevant overall policy frameworks for gender equality. The evaluation will be co-led by DG NEAR and DG DEVCO.	<a href="#">Roadmap</a> of 2019 indicates Q3 2020 as planned completion date. DG NEAR's evaluation planning (2019-2023) indicates Q4 2020 as completion date.	O	BR NEAR 19	FEMM DEVE
2020	Mid-term evaluation of cross border cooperation programmes between IPA II beneficiaries  (alternative title: Cross-border cooperation programmes for pre-accession countries – mid-term evaluation (2014-2019))	Mid-term evaluation	The purpose of the mid-term evaluation is to provide an assessment and evidence on the performance of IPA CBC Programmes (implemented under direct and indirect management) between IPA II Beneficiaries from their launch in 2014 until 2019.	<a href="#">Roadmap</a> of August 2019 indicates Q3 2020 as planned completion date.  Date confirmed by DG NEAR's evaluation planning (2019-2023)	FR	BR	AFET
2020	Evaluation of EU cooperation with Montenegro	geographic	This evaluation is a strategic country level evaluation of EU's financial assistance and policy dialogue in Montenegro. Its goal is to determine the extent to which the financial assistance and related policy dialogue have contributed to the	<a href="#">Roadmap</a> of August 2019 indicates Q4 2020 as planned completion date. Date confirmed by DG	FR	BR	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			country's reform efforts and progress towards the goal of EU membership. The evaluation will focus at programming level, rather than the project level, although project data can be used to inform the strategic level if appropriate. The evaluation will cover the period 2012–2019.	NEAR's evaluation planning (2019-2023)			
2021	EU assistance to Morocco 2013-2019 – evaluation	geographic	<p>The EU supports Morocco mainly through its European Neighbourhood Policy, which promotes peace, stability and economic prosperity in Europe's neighbouring countries. Since 2015, the focus has included support on economic development, security and migration.</p> <p>This evaluation looks at how far EU assistance has helped the Moroccan government to achieve sustainable development; reduce poverty; achieve sustainable and inclusive economic growth.</p>	<a href="#">Roadmap</a> not yet available as of June 2020.	FR	BR	AFET
2021	Evaluation of sector budget support in IPA beneficiaries	thematic	General study. Assessment of sector budget support in the Western Balkans	DG NEAR's evaluation planning (2019-2023) indicates Q2 2021 as completion date.	FR	NEAR19	AFET
2021	Country evaluation in Egypt	geographic	General study. Assessment of the policy dialogue and the financial assistance provided to the country to achieve the objectives of the Single Support Framework.	DG NEAR's evaluation planning (2019-2023) indicates Q2 2021 as completion date.	FR	NEAR19	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2021	Country evaluation Bosnia and Herzegovina	geographic	General study. Assessment of the policy dialogue and the financial assistance provided to the country to achieve the objectives of the Indicative Strategy Paper.	DG NEAR's evaluation planning (2019-2023) indicates Q3 2021 as completion date.	FR	NEAR19	AFET
2022	Sector evaluation of environment and climate action	thematic	General study. Assessment of the policy dialogue and assistance provided in NEAR region in the sector of environment and climate action.	DG NEAR's evaluation planning (2019-2023) indicates Q2 2022 as completion date.	FR	NEAR19	AFET
2022	Country evaluation Ukraine	geographic	General study. Assessment of the policy dialogue and the financial assistance provided to the country to achieve the objectives of the Single Support Framework.	DG NEAR's evaluation planning (2019-2023) indicates Q2 2022 as completion date.	FR	NEAR19	AFET
2022	Evaluation of Cooperation with the UN	thematic	General study. Cooperation with UN agencies under different management modes and lessons learned. The evaluation will cover the partnership between the EU and the UN in terms of channelling development cooperation funds through the UN. The evaluation will build upon the previous evaluation (2008) 'Evaluation of Commission's external cooperation with partner countries through the organizations' (with DEVCO).	DG NEAR's evaluation planning (2019-2023) indicates Q2 2022 as completion date.	O	DEVCO19 NEAR19 II-SDB	AFET
2022	Ex-post evaluation of ENPI	Ex-post evaluation	MFF - Provide information on the accountability with respect to the value for money and the use of funds and lessons learned on financial assistance.	DG NEAR's evaluation planning (2019-2023) indicates Q3 2022 as completion date.	MFF	EC 18 NEAR 19	AFET
2022	Ex-post evaluation of IPA I	Ex-post evaluation	MFF - Provide information on the accountability with respect to the value	DG NEAR's evaluation planning (2019-2023)	MFF	EC 18	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			for money and the use of funds and lessons learned on financial assistance.	indicates Q3 2022 as completion date.		NEAR 19	
2023	Strategic evaluation of EU support to Kosovo	geographic	General study. Assessment of the policy dialogue and the financial assistance provided to achieve the objectives of the Indicative Strategy Paper.	DG NEAR's evaluation planning (2019-2023) indicates Q3 2023 as completion date.	FR	NEAR 19	AFET
2023	Country evaluation on Lebanon	geographic	General study. Assessment of the policy dialogue and the financial assistance for Lebanon to achieve the objectives of the Single Support Framework.	DG NEAR's evaluation planning (2019-2023) indicates Q3 2023 as completion date.	FR	NEAR 19	AFET
2023	Evaluation on energy	thematic	General study. Assessment of the policy dialogue and assistance provided in the sector of energy in NEAR region.	DG NEAR's evaluation planning (2019-2023) indicates Q3 2023 as completion date.	FR	NEAR 19	AFET
2023	Thematic evaluation of the blending instruments	thematic	General study. Performance assessment of blending instruments in the NEAR region.	DG NEAR's evaluation planning (2019-2023) indicates Q3 2023 as completion date.	FR	NEAR 19	AFET
2023	Sector evaluation of Public Financial Management	thematic	General study. Assessment of the policy dialogue and assistance provided in the sector of Public Financial Management in NEAR region	DG NEAR's evaluation planning (2019-2023) indicates Q3 2023 as completion date.	FR	NEAR 19	AFET
2024	Evaluation of the cooperation with the Council of Europe	thematic	General study. Cooperation with international stakeholders under different management modes, lessons learned. Cooperation with the Council of Europe stems from the 2014 Statement of Intent.	DG NEAR's evaluation planning (2019-2023) indicates Q2 2024 as completion date.	O	NEAR 19 II-SDB	LIBE
2024	Sector evaluation on connectivity (transport)	thematic	General study. Assessment of the policy dialogue and assistance provided in the sector in NEAR region.	DG NEAR's evaluation planning (2019-2023)	FR	NEAR 19	AFET

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
				indicates Q2 2024 as completion date.			
2024	Evaluation of SIGMA	thematic	General study. Performance assessment of the programme in NEAR region. SIGMA (Support for Improvement in Governance and Management) is a joint European Commission and OECD initiative, principally financed by the EU. It focuses on strengthening public management in areas such as administrative reform, public procurement, public sector ethics, anti-corruption, and financial control.	DG NEAR's evaluation planning (2019-2023) indicates Q2 2024 as completion date.	FR	NEAR 19	AFET
2024	Strategic evaluation of the EU cooperation with the occupied Palestinian Territory and support to the Palestinian people	geographic	General study. Assessment of the policy dialogue and financial assistance provided to achieve the objectives of the Single Support Framework and EU Joint Strategy.	DG NEAR's evaluation planning (2019-2023) indicates Q2 2024 as completion date.	FR	NEAR 19	AFET
2024	Country evaluation in Turkey	geographic	General study. Assessment of the policy dialogue and the financial assistance provided to the country to achieve the objectives of the Indicative Strategy Paper	DG NEAR's evaluation planning (2019-2023) indicates Q3 2024 as completion date.	FR	NEAR 19	AFET

## 1.24. OLAF

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Preventing fraud on agricultural goods movements in the EU – evaluation of the rules		The evaluation will assess the performance of Regulation 515/97, which provides a framework for EU governments to cooperate to ensure that EU customs and agricultural rules are properly applied. It will also look at relations with countries outside the EU, the databases used, and the extent to which the objectives of the Regulation have been met and remain relevant. It will also assess the data protection regime in the light of new rules in this field.	<a href="#">Roadmap</a> of May 2019 indicates Q4 2019 as planned completion date	O	BR	CONT
2020	Evaluation of Regulation on mutual assistance in customs matters		The evaluation will assess the overall functioning of Regulation 515/97. Regulation 515/97 ensures the correct application of the EU customs legislation. Since the 2015 revision of Regulation 515/97, new developments (such as new data protection regime, new fraud risks) have been recorded. New fraud risks in the customs sector are e.g. low-value consignments sent to the EU via e-commerce platforms and the strong increase of containers reaching the EU by rail.	<a href="#">Roadmap</a> of May 2019 indicates Q2 2019 as planned completion date. Forecast on BR Website updated to Q4 2019. Included in CWP 2020.	O	BR EU-B CWP 20	CONT
2021	Evaluation of Hercule III Programme (Regulation 250/2014)		Legal act, MFF – Art. 13 of Regulation 250/2014 on the Hercule III programme to promote activities in the field of the protection of the EU's financial interests requires a final evaluation report on the achievement of the objectives of the Programme by December by 2021.	<a href="#">Roadmap</a> of June 2020 indicates Q4 2021 as completion date.	L MFF	BR	CONT
2025	Evaluation report on the implementation and impact of the EPPO Regulation	periodic	Legal act – Council Regulation (EU) 2017/1939 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ('the EPPO' Regulation). A review clause in the act itself requires periodic evaluation of the Regulation.	EC Planning 2018 indicates Q4 2025 as completion date.	L	EC 18	LIBE

## 1.25. DG REGIO

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Mid-term evaluation of the JASPERS initiative in 2014-2020 with outlook to post-2020	Mid-term evaluation	MFF - JASPERS (Joint Assistance to Support Projects in European Regions) is a joint technical assistance initiative of the Commission and the EIB, created in the context of EU enlargement in 2004. The evaluation covers all JASPERS activities foreseen by the Framework Partnership Agreement for 2014-2020 (its advisory role, review assignments and capacity building activities). It examines activities in all three JASPERS mandates (ESIF, CEF and IPA II) with emphasis on the major projects under the ESIF mandate. Geographically, it covers all 23 countries in which JASPERS is active.	<a href="#">Roadmap</a> of December 2017 indicates May 2019 as planned completion date	MFF	EU-B BR II-SDB	REGI
2020	Evaluation of investments in RTD infrastructures and activities supported by Cohesion Policy Programmes financed by the European Regional Development Fund (ERDF) in the period 2007-2013		MFF - This evaluation follows and complements the work packages of the ex post evaluation of the period 2007-2013. It will focus on 55 Operational Programmes that cover 85% of the total expenditure on RTD in 2007-2013, comprising national and regional programmes from Convergence and Regional Competitiveness and Employment objectives across 18 Member States. The selected programmes include 27 major projects on RTD infrastructures and activities.	<a href="#">Roadmap</a> of November 2018 indicates Q4 2020 as planned completion date	MFF	EU-B BR II-SDB	REGI
2021	ICT – EU funding of information and communication technologies during 2007-2013 (evaluation)		MFF - This evaluation takes stock of EU funding of information & communication technologies across the EU under the European Regional Development Fund between 2007 and 2013. It covers 57 operational Programmes. They represent 85% of the overall expenditure (amount EUR 9.66 billion) in the categories telephone infrastructures (including broadband networks), information and communication technologies, trans-European ICT networks (TEN-ICT) and Services & apps for citizens (e-health, e-government, etc.)	<a href="#">Roadmap</a> of July 2019 indicates Q3 2021 as planned completion date.	MFF	BR	REGI ITRE

## 1.26. DG RTD

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2023	Ex-post evaluation of Horizon 2020	Ex-post evaluation	Legal act - Art. 32 of the Regulation (EU) No 1291/2013 -By 31 December 2023, the Commission shall carry out, with the assistance of independent experts, selected on the basis of a transparent process, an ex-post evaluation of Horizon 2020, its specific programme and the activities of the EIT. That evaluation shall cover the rationale, implementation and achievements, as well as the longer-term impacts and sustainability of the measures, to feed into a decision on a possible renewal, modification or suspension of any subsequent measure. The evaluation shall take into consideration aspects relating to the dissemination and exploitation of research results.		L		ITRE



## 1.27. DG SANTE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation of Regulation (EC) No 1831/2003 on additives for use in animal nutrition		Legal act - The evaluation aims to assess whether the objectives of the Regulation have been achieved properly and, more importantly, whether it is still fit for purpose (as regards both safety and innovation) and in tune with the priorities of the Commission. In particular the evaluation should address the issue of the development of new feed additives as this is one element in the fight against Antimicrobial Resistance (AMR).	The <a href="#">Roadmap</a> indicates September 2018 as planned completion date. The 2019 Management plan moves it to Q4 2019.	L	BR MP 19 CWP 19	AGRI
2020	Evaluation of legislation on Food Contact Materials - Regulation (EC) No 1935/2004		Regulation (EC) No 1935/2004 (FCM Regulation) provides that FCM shall not transfer their components into food in quantities that could endanger human health, or change the composition or organoleptic properties of the food. The evaluation covers the functioning of the FCM Regulation in its entirety and the rules and tools provided for by it. It scrutinises all aspects of the current EU FCM framework, incl. the effectiveness of the declaration of compliance, which is required for specific measures at EU level. Based on this evaluation, the EC will consider if further measures are necessary at EU level, incl. the recommendation by the REFIT Platform regarding a common European requirement for a compliance declaration for all FCM types.	<a href="#">Roadmap</a> of November 2017 indicates Q2 2019 as planned completion date; the 2019 Management plan pushes the date to early 2020. Included in CWP 2020.	O	EU-B BR MP 19 CWP 20	ENVI
2020	Evaluation of the legislation on medicines for children and rare diseases (medicines for special populations)		The EU Regulation on medicines for rare diseases ('orphan medicines', 141/2000) and the Paediatric Medicines Regulation (1901/2006) especially for children are intertwined and partly complementary, as they both intend to redirect investment in previously neglected therapeutic areas through a scheme of incentives, rewards and obligations. The purpose of this evaluation is	<a href="#">Roadmap</a> of December 2017 indicates Q3 2019 as planned completion date. The 2019	O	EU-B BR MP 19 CWP 20	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			1., to assess whether the Orphan Regulation has catered for innovative medicines for real unmet medical needs, and whether it can accommodate scientific progress and changing business models; and 2., to gain insight into how the various legislative incentives have been used in practice and the financial consequences for companies and society. This evaluation will also consider how such incentives could be used to promote wider aims related to antimicrobial resistance, specifically whether they can be used for novel antimicrobials and innovative alternative medicinal products that currently do not generate sufficient returns on investment.	Management plan indicates Q3 2019 as completion date. Included in the CWP 2020. Subject to RSB scrutiny in 2020.			
2020	Evaluation of the EU legal framework on food irradiation		Examining the legislation to check if it is achieving its objective and if it is still adapted to an evolving environment with new technologies emerging. Subject of the evaluation: Directive 1999/2/EC on the approximation of the laws of the Member States concerning foods and food ingredients treated with ionising radiation and Directive 1999/3/EC: Implementing – EU list of irradiated food and food ingredients.	The <a href="#">Roadmap</a> of March 2017 indicates Q4 2018 as planned completion date; the 2018 Management plan announced the SWD for Q4 2019. The EC Planning 2018 pushes the date to Q2 2020.	O	BR MP 18 EC 18	ENVI
2020	Evaluation on authorisation of plant protection products and maximum residue levels for pesticides		Legal act- This evaluation covers the implementation and functioning of Regulation 1107/2009 on plant protection products and Regulation 396/2005 on maximum residue levels in all Member States since their applicability in June 2011 and September 2008, respectively. It proposes possible ways to enhance the implementation of the Regulations in order to simplify or strengthen the current	<a href="#">Roadmap</a> of November 2016 indicates November 2018 as planned completion	L	BR CWP 19 MP 19 CWP 20	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			regulatory framework, e.g. to address delays and increase transparency, improve the zonal system for authorisation and mutual recognition of authorisations, promote sustainable plant protection, low risk solutions and efficient risk mitigation, as well as to increase coherence and consistency between the Regulations and other EU legislation. The evaluation looks also into the issues raised in the REFIT Platform opinion XI.10.a on Multiple use/Multiple source substances – Chlorate adopted on 7/6/2017 and in the REFIT Platform opinion XI.22.a on the registration of plant protection products – adopted on 14/03/2019.	date; listed in CWP 2019 (carried over from CWP 2016); the 2019 Management plan envisages the SWD for 2019; Additional information is available <a href="#">here</a> .			
2020	Evaluation of Directive 2009/128/EC on the Sustainable Use of Pesticides	Back-to-back	This evaluation will look, inter alia, at the progress achieved by the Directive in reducing dependency on pesticides and stimulating the take-up of low-risk and non-chemical alternatives to pesticides. Simplification potential will also be considered, for example concerning the rules for inspection of pesticides application equipment and new official control rules.	<a href="#">Roadmap</a> of May 2020 indicates Q1 2022 as planned date for the legislative proposal. EC Planning 2018 indicates Q4 2020 as completion date.	O	BR MP 19 EC 18 CWP 20	ENVI
2020	Revision of the General Product Safety Directive 2001/95/EC (Regulation)		The initiative will tackle the safety issues of products brought about by new technologies; address the need for more concrete actions on online selling; update the general legal framework on product safety; and in the light of the new Regulation (EU) 2019/1020 on market surveillance and compliance of products, fill the existing gap between harmonised and non-harmonised products as far as market surveillance is concerned. The revision would also improve the effectiveness of product recalls	CWP 20 indicates Q4 2020 as completion date	O	CWP 20	IMCO

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			and the coverage of new product safety risks. It should strengthen the enforcement powers of Member States in particular in relation to import controls. (legislative, incl. impact assessment, Article 114 TFEU, Q4 2020)				
2021	Evaluation of the EU Animal Welfare Strategy (2012-2015)		The need for an evaluation of the EU Strategy for the Protection and Welfare of Animals 2012-2015 was expressed in a recommendation made by the European Court of Auditors in its Special Audit Report no 31/2018.	New <a href="#">Roadmap</a> of May 2020 indicates Q4 2021 as planned completion date. <a href="#">Roadmap</a> of May 2019 indicates Q4 2020.	O	EU-B BR MP 19 CWP 20	AGRI
2021	Evaluation of the feed hygiene regulation			EC Planning 2018 indicates Q1 2021 as starting date.	O	EC 18	ENVI
2021	Evaluation of Cross-border Health Threats Decision 1082/2013/EU		Legal act	EC Planning 2018 indicates Q1 2021 as completion date.	L	EC 18	ENVI
2021	Ex-post evaluation of the 3rd Health Programme	Ex-post evaluation	MFF	EC Planning 2018 indicates Q2 2021 as completion date	MFF	EC 18	ENVI
2021	Fitness check of the EU legislation on animal welfare of farmed animals	Fitness check	The results of the Fitness Check will be part of the follow up to the Farm to Fork Strategy and inform the reflection on what further action (legislative and non-legislative) might be necessary to align the EU's animal welfare	<a href="#">Roadmap</a> of May 2020 indicates Q4 2021 as	O	BR	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			objectives to the sustainability goals of the Green Deal and of the Farm to Fork Strategy. It will cover the following acts: Council Directives 98/58/EC, 1999/74/EC, 2007/43/EC, 2008/120/EC, 2008/119/EC, No 1/2005 and Council Directive No 1099/2009.	planned completion date.			
2021	Evaluation of the Regulation (EU) No. 528/2012 on Biocide		Legal act - Regulation (EU) No 528/2012 concerning the making available on the market and use of biocidal products	EC Planning 2018 indicates Q4 2021 as starting date.	L	EC 18	ENVI
2022	Evaluation of the European Centre for Disease Prevention and Control (ECDC)		Legal act	EC Planning 2018 indicates Q1 2022 as completion date.	L	EC 18	ENVI
2022	Evaluation of European Reference Networks in accordance with the Article 12 of the Directive on patients' rights (Directive 2011/24)		Legal act - The aim of the evaluation will be to assess the performance, achievement of objectives and outcomes of the Network and the contribution of its members.	EC Planning 2018 indicates Q1 2022 as completion date.	L	EC 18	ENVI
2022	Evaluation of Cross-Border Healthcare Directive 2011/24/EU		Legal act	EC Planning 2018 indicates Q4 2022 as completion date.	L	EC 18	ENVI
2022	Ex-post evaluation on the achievement of the objectives set out in the frame of the Common Financial Framework (CFF) for food and feed	Ex-post evaluation	Legal act, MFF – Ex-post evaluation due by 30 June 2022. Measure the effectiveness and efficiency of the measures implemented under the food safety expenditure in the period 2014-2020. Proposal for a regulation establishing a CFF for food and feed.	EC Planning 2018 indicates Q2 2022 as completion date.	L MFF	EC 18	ENVI
2022	Evaluation of Directive 2014/40/EU on the approximation of the laws,		Legal act - The aim of the evaluation is to assess whether the regulation resulted in the expected changes, its	EC Planning 2018 indicates	L	EC 18	ENVI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
	regulations and administrative provisions of the Member States concerning the manufacture, presentation and sale of tobacco and related products and repealing Directive 2001/37/EC		effectiveness, efficiency, relevance, coherence, EU added value.	2022 as starting date.			
<b>2023</b>	Evaluation of Falsified Medicines Directive 2011/62/EU		Legal act - Directive 2011/62/EU - The report should be submitted to the Parliament and Council 5 years after the application of the delegated acts on safety features. Evaluation of the contribution of the measures provided for in this Directive regarding the prevention of the entry of falsified medicinal products in the legal supply chain / a description, where possible including quantitative data, of the trends in the falsification of medicinal products.	EC Planning 2018 indicates Q4 2023 as completion date.	L	EC 18	ENVI
<b>2024</b>	Evaluation of Regulation (EU) No 1169/2011 on the provision of food information to consumers		Legal act	EC Planning 2018 indicates Q1 2024 as completion date.	L	EC 18	ENVI
<b>2024</b>	Evaluation of Better Training for Safer food Programme		Legal act - The aim of this evaluation will be to assess the impact of this BTSF Programme.	EC Planning 2018 indicates Q2 2024 as completion date.	L	EC 18	AGRI
<b>2026</b>	Evaluation of Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases ('Animal Health Law')		Legal act - Art. 282 Evaluation 'The Commission shall evaluate this Regulation together with the delegated acts referred to in Article 264 and submit the results of the evaluation in a report to the Parliament and Council no later than 22 April 2026.'	EC Planning 2018 indicates Q1 2026 as completion date.	L	EC 18	AGRI

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
<b>2027</b>	Evaluation of in vitro diagnostic medical devices		Legal act - Article 111 of Regulation (EU) 2017/746 on in vitro diagnostic medical devices stipulates that by 27 May 2027, the Commission assess the application of this Regulation and produces an evaluation report on the progress towards achievement of the objectives contained herein incl. an assessment of the resources required to implement this Regulation. Special attention shall be given to the traceability of devices through the storage, pursuant to Article 24, of the UDI by economic operators, health institutions and health professionals. The evaluation shall include a review on the functioning of Article 4.		L	EC 18	ENVI
<b>2029</b>	Evaluation of the impact of the Regulation on Clinical Trials on scientific and technological progress in Europe		Legal act - Every 5 years in accordance with Art. 97 of Regulation (EU) 536/2014.	EC Planning 2018 indicates Q2 2029 as completion date.	L	EC 18	ENVI

## 1.28. SG incl. Structural Reform Support Service

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Mid-term evaluation of the Structural Reform Support Programme (SRSP) 2017-2020	Mid-term evaluation	Legal act, FR, MFF - The Structural Reform Support Programme for the period 2017-2020 was established by EU Regulation 2017/825. Article 16 (2) states that the Commission shall provide the Parliament and the Council with a mid-term evaluation report by mid-2019 and an ex-post evaluation by 31 December 2021. In addition, article 18 of the Rules of Application of the Financial Regulation establishes that spending programmes, which entail significant overall spending, shall be subject to an interim and/or an ex post evaluation.	<a href="#">Roadmap</a> of June 2018 indicates Q2 2019 as planned completion date. The 2019 Management Plan foresees completion for Q3 2019.	L FR MFF	EU-B BR MP 19 II-SDB	REGI
2021	Evaluation of the Aid Programme to the Turkish Cypriot community provided during the period 2013-2018		FR - The Aid Programme addressed to the Turkish Cypriot community was set up by Council Regulation 389/2006. The assistance provided under this Regulation has to be evaluated in compliance with the Financial Regulation. Recommendation 6 of the Special Report no 19/20115 of the European Court of Auditors.	<a href="#">Roadmap</a> of November 2018 indicates Q4 2019 as planned completion date. BR website updated forecast to Q4 2021.	FR O	EU-B BR MP 19 II-SDB	AFET
2021	Ex-post evaluation of the Structural Reform Support Programme (SRSP) 2017-2020	Ex-post evaluation	Legal act, FR, MFF - The Structural Reform Support Programme for the period 2017-2020 was established by EU Regulation 2017/825. Article 16(2) states that the Commission shall provide Parliament and Council with an ex-post evaluation by 31 December 2021. In addition, the Financial Regulation establishes that spending programmes entailing significant overall spending, shall be subject to an interim and/or an ex post evaluation.	The EC Planning 2018 announces completion for Q4 2021.	L FR MFF	EC 18 II-SDB	REGI
n/a	Evaluation of the implementation of Regulation 1141/2014 on the statute and funding of European political parties and European political foundations		Legal act	The EC Planning 2018 announces the start of the evaluation for December 2020.	L	EC 18	AFCO



## 1.29. DG TAXUD

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2019	Evaluation soft law Tax Competition		The aim is to evaluate the developments in the last 15 years in tax competition between Member States in the area of corporate taxation. Of particular interest is to analyse whether agreed soft law measures have had an effect on tax competition. Such effects could be to reduce tax competition or to push Member States to use new strategies.	The EC Planning 2018 announces completion for April 2019.	O	EC 18 II-SDB	ECON
2019	Economic evaluation of the costs and benefits of the development of a One Stop Shop for excise goods, including Wholesale to Retail transactions		There are harmonised arrangements for the cross-border distance selling of excise goods in the EU. Cross border B2B sales of excise goods currently require both the buyer and seller to complete excise formalities (registration, use of EMCS) which may deter retailers from sourcing goods from wholesalers based in other Member States. This market distortion may be a significant factor to growth in this sector.	The EC Planning 2018 announces completion for May 2019.	O	EC 18 II-SDB	ECON
2019	Rum produced in French overseas territories – evaluation of tax breaks	Back-to-back	The evaluation will refer to Art. 4 of Council Decision 189/2014. It will show to what extent the current system of reduced excise duties is fit for purpose and delivers at minimum costs the desired impacts. The time period to cover is 2014–2017, subject to availability of data.	The <a href="#">Roadmap</a> of July 2019 indicates Q4 2019 as planned completion date.	O	BR	REGI
2020	Evaluation of legislation on trade in drug precursors		Legal act – Both Regulation (EC) No 273/2004 on intra-EU trade and Council Regulation (EC) No 111/2005 (amended by Reg. 1259/2013) on trade between the EU and the rest of the world require the Commission to report by 31.12.2019 on the implementation and functioning of the regulations, and in particular on the possible	Evaluation undertaken jointly with DG GROW. The <a href="#">Roadmap</a> of August 2017 indicates Q4 2019 as planned	L	BR MP 19 CWP 20	LIBE INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			need for additional action to monitor and control suspicious transactions with non-scheduled substances. The regulations will be evaluated in the light of their objective to set up a monitoring and control system of the trade in drug precursors to prevent their diversion from the legal supply chain into the illicit drug production. The evaluation will also cover Delegated Regulation 2015/1011 and Implementing Regulation 2015/1013.	completion date. Included in CWP 2020.			
2020	Evaluation of tax information dissemination tools  (alternative title: EU tax policy – evaluation of communication channels)		DG TAXUD works with a range of different dissemination tools to make the data collected accessible to the public. These are: 'Taxes in Europe Data Base'; report 'Taxation Trends in the European Union'; survey on 'Tax Policies in the European Union'; Taxation Papers. These tools will be assessed for the first time.	<a href="#">Roadmap</a> of May 2019 indicates Q1 2020 as planned completion date.	O	EU-B BR II-SDB	ECON
2020	Evaluation of the Customs Cooperation and Mutual Administrative Assistance Agreement with China		Cooperation in customs matters between the EU and China is based on the Customs Cooperation and Mutual Administrative Assistance Agreement (CCMAA), which entered into force in April 2005. The 2018–2020 Strategic Framework foresees a review of the CCMAA, so as to render it a better tool to achieve the objective of strengthening the effectiveness of controls coupled with facilitation for legitimate trade. Before such a review could be considered, the functioning of the CCMAA should be evaluated.	The <a href="#">Roadmap</a> of March 2020 indicates Q3 2020 as planned completion date. The 2019 Management plan announces completion for Q4 2019.	O	BR MP 19	INTA
2020	Evaluation of the special VAT scheme for travel agents		The special VAT scheme for travel agents and tour operators are set out in Art. 306 to 310 of Council Directive 2006/112/EC, put in place by the Sixth Council Directive 77/388/EEC as a simplification measure to avoid multiple registration obligations for businesses and to allocate VAT	<a href="#">Roadmap</a> of February 2020 indicates Q3 2020 as planned completion date.	O	BR	ECON

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
			revenues to the Member State of consumption. The rules have never been amended, but they have been interpreted by the CJEU.				
2021	Final evaluation of the Customs 2020 programme (Regulation 1294/2013)	Final evaluation	Legal act, MFF – 'The Commission shall, by 31 December 2021, draw up a final evaluation report.' Mid-term evaluation published 2019. Customs 2020 is the EU's programme for cooperation between national customs administrations. By enabling these administrations to cooperate and exchange information and expertise, the programme aims to ensure that EU customs law is implemented correctly and the customs union is modernised and works effectively.	<a href="#">Roadmap</a> not yet available as of June 2020. The 2019 Management plan announces completion for Q2 2021. BR website indicates Q4 2021 as planned completion date.	L MFF	MP 19	IMCO
2021	Final evaluation of Fiscalis 2020 (Regulation 1286/2013)	Final evaluation	Legal act, MFF – 'The Commission shall, by 31 December 2021, draw up a final evaluation'. Mid-term evaluation published 2019.	The 2019 Management plan announces completion for Q2 2021.	L MFF	MP 19	ECON
2021	Union Customs Code – mid-term evaluation	Mid-term evaluation	The evaluation will assess to what extent the Union Customs Code is efficient and has achieved its objectives. These include: making it easier to trade in the EU; ensuring proper checks; streamlining customs legislation; creating a paperless environment.	<a href="#">Roadmap</a> of June 2020 indicates Q4 2021 as planned completion date.		BR	IMCO

## 1.30. DG TRADE

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
2020	Evaluation of the trade component of the EU's Euro-Mediterranean Association Agreements with six Mediterranean partner countries (Algeria, Egypt, Jordan, Lebanon, Morocco and Tunisia).	Ex-post evaluation	The EU Association Agreements with six Euromed countries (Tunisia, Morocco, Egypt, Jordan, Algeria and Lebanon) are traditional style agreements focused on reciprocal but asymmetric liberalisation of tariffs on trade in goods, incorporating lengthy transition periods. As the transition periods draw to a close, it is appropriate to conduct an ex post examination of the impact of the agreements. This evaluation may identify opportunities for streamlining procedures with potential costs and burden reduction. The evaluation will uncover strengths and weaknesses of these first-generation agreements and provide guidance on improving the effectiveness of their implementation. Benefits may be used in any future re-negotiation of the agreements or in the negotiation of agreements with other countries.	<a href="#">Roadmap</a> of November 2017 indicates Q4 2019 as planned completion date. The EC Planning 2018 indicates July 2020 as completion date.  Included in the CWP 2020.	O	EU-B BR MP 19 EC 18 CWP 20	INTA
2020	Evaluation of the CARIFORUM Economic Partnership Agreement		Legal act - The EPA between the EU and 14 Member States of the Caribbean Forum (CARIFORUM) has been provisionally applied since 2008. Parties of the agreement have a legal requirement to evaluate the EPA at five-yearly intervals. The proposed evaluation for 2019/2020 follows the first review of the agreement conducted in 2014/2015.	<a href="#">Roadmap</a> of July 2018 indicates Q1 2020 as planned completion date. The 2019 Management plan indicates end of 2019 as planned completion date. Included in the CWP 2020.	L	EU-B BR MP 19 CWP 20	INTA
2020	Ex post evaluation on the implementation of the EU-	Ex-post evaluation	Legal act - The EU's trade agreements with Peru and Colombia entered into force in March and August 2013, respectively. The rationale for the present evaluation stems in part from various provisions in the Agreement	<a href="#">Roadmap</a> of February 2019 indicates Q3-4	L	EU-B BR	INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
	Colombia/Ecuador/Peru Trade Agreement		which invite the Parties to evaluate its impact on trade on certain stakeholders (in particular SMEs); or on certain areas (such as labour and environment) (Articles 13(1)bis, Article 13(3), Article 279, Article 280(6)(d) and Article 286(a)). The scope of this evaluation is, however, broader covering the whole scope of the Agreement that is currently provisionally applied.	2020 as planned completion date.		MP 19	
2021	Ex post evaluation on the implementation of the Trade Part of the EU-Central America Association Agreement	Ex-post evaluation	Legal act – The evaluation will examine the impact of the trade-related rules in the EU-Central America Association Agreement, signed in 2013 with all 6 countries in the region (Costa Rica, Guatemala, Honduras, Nicaragua, Panama and Salvador). It will focus on economic, social, environmental and human rights impacts, aiming to draw lessons for the future implementation of the agreement.	<a href="#">Roadmap</a> of May 2019 indicates Q1 2021 as planned completion date.	L	EU-B BR MP 19	INTA
2021	Ex post evaluation of the trade pillar of the EU's Association Agreements with Georgia and Moldova	Ex-post evaluation	The evaluation is set to provide an evidence-based assessment of the impact of the implementation of the DCFTAs with Georgia and Moldova 5 years after their initial provisional application. The evaluation will also feed into any future discussions with Moldova and Georgia regarding a possible upgrade of the DCFTAs. Thematically, the evaluation will cover all areas of the agreements. It will assess the level of liberalisation achieved and the efficiency of setting up the necessary institutions by Georgia and Moldova, and look at the impact on SMEs.	The <a href="#">Roadmap</a> of Feb 2020 indicates Q2 2021 as planned completion date.	O	BR	INTA
2022	Ex post evaluation of the trade pillar of the EU's Association Agreement with Ukraine	Ex-post evaluation		The EC Planning 2018 indicates Sept. 2022 as completion date.		EC 18	INTA
2023	Ex post evaluation of the SADC EPA	Ex-post evaluation		The EC Planning 2018 indicates		EC 18	INTA

Year	Title	Type	Purpose/Reason and scope	Status	Trigger	Info source	EP Committee
				Sept. 2023 as completion date.			
<b>2023</b>	Ex post evaluation of the interim EPA with Cameroon	Ex-post evaluation		The EC Planning 2018 indicates Dec. 2023 as completion date.		EC 18	INTA
<b>2023</b>	Ex post evaluation of the EU's Comprehensive Economic and Trade Agreement (CETA) with Canada	Ex-post evaluation		The EC Planning 2018 indicates Dec. 2023 as completion date.		EC 18	INTA
<b>2024</b>	Ex post evaluation of the EU's trade defence instruments	Ex-post evaluation		The EC Planning 2018 indicates March 2024 as completion date.		EC 18	INTA

## 2. Completed evaluations published as Staff Working Documents (SWD)

The following table lists all evaluations and fitness checks the European Commission completed and subsequently published as staff working documents (SWDs) between 1 October 2017 and 30 June 2020. Their total number is 183. Data are sorted by Commission DG, and within a DG in descending chronological order. For each record, the hyperlink to the SWD is provided to enable access to the full-text by simple mouse-click, and, if applicable, also to the executive summary, the COM report and the opinion of the Regulatory Scrutiny Board. In a few isolated cases, the full-text of the SWD and/or the executive summary could not be retrieved on the Commission server.

In addition, to increase the data's usability within Parliament, efforts were made to identify the competent parliamentary committee for every completed evaluation. Where possible, the attribution is based on Parliament's Legislative Observatory (OEL); in the other cases, the committee designation is based on the general subject field covered by specific committees, as set out in Parliament's Rules of Procedure (Annex VI). It was carried out with great care, but it goes without saying that any such exercise is prone to inaccuracies. Therefore, it is worth noting that the committee attribution is purely indicative.

For an analysis of this dataset see chapter 4.2.

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
	<b>DG AGRI</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 concerning the forestry measures under Rural Development	DG AGRI	AGRI	18/10/2019	<a href="#">SWD(2019) 389</a> <a href="#">SWD(2019) 391</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 concerning the greening in direct payments	DG AGRI	AGRI	23/11/2018	<a href="#">SWD(2018) 478</a> <a href="#">SWD(2018) 479</a> (summary) <a href="#">RSB opinion</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
	<b>DG CLIMA</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EC) No 1005/2009 of the European Parliament and of the Council of 16 September 2009 on substances that deplete the ozone layer COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EC) No 1005/2009 of the European Parliament and of the Council of 16 September 2009 on substances that deplete the ozone layer	DG CLIMA	ENVI	26/11/2019	<a href="#">SWD(2019) 406</a> <a href="#">SWD(2019) 407</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the EU Strategy on adaptation to climate change Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL on the implementation of the EU Strategy on adaptation to climate change	DG CLIMA	ENVI	12/11/2018	<a href="#">SWD(2018) 461</a> <a href="#">COM(2018) 738</a> <a href="#">RSB opinion</a>
	<b>DG CONNECT</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION REPORT on the Commission's 2009 Recommendation on Termination Rates (Recommendation 2009/396/EC)	DG CONNECT	ITRE	12/11/2018	<a href="#">SWD(2018) 463</a> <a href="#">SWD(2018) 464</a> (summary)
COMMISSION STAFF WORKING DOCUMENT on the evaluation of Europeana Accompanying the document Report from the Commission to the European Parliament and the Council on the evaluation of Europeana and the way forward	DG CONNECT	CULT	06/09/2018	<a href="#">SWD(2018) 398</a> <a href="#">COM(2018) 612</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the REFIT Review of Regulation EC 733/2002 establishing the '.eu' top-level domain (TLD) and Regulation EC 874/2004 laying down public policy rules concerning the implementation and functions of the .eu TLD Accompanying the document Proposal for a Regulation of the European Parliament and of the Council on the implementation and functioning of the .eu Top Level Domain name and repealing Regulation (EC) No 733/2002 and Commission Regulation (EC) No 874/2004	DG CONNECT	ITRE	27/04/2018	<a href="#">SWD(2018) 120</a> <a href="#">SWD(2018) 122</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Evaluation of Directive 96/9/EC on the legal protection of databases	DG CONNECT	JURI	26/04/2018	<a href="#">SWD(2018) 146</a> <a href="#">SWD(2018) 147</a> (summary)



TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Proposal for a Directive of the European Parliament and of the Council on the re-use of public sector information	DG CONNECT	ITRE	25/04/2018	<a href="#">SWD(2018) 145</a> <a href="#">SWD(2018) 129</a> (summary)
COMMISSION STAFF WORKING DOCUMENT on the findings of the review of the rules on roaming fair use policy and the sustainability derogation laid down in the Commission Implementing Regulation (EU) 2016/2286 of 15 December 2016 [note: was flagged as evaluation in the 2019 Management Plan]	DG CONNECT	ITRE	28/06/2019	<a href="#">SWD(2019) 288</a>
	<b>DG COMM</b>			
COMMISSION STAFF WORKING DOCUMENT OF THE EVALUATION of the Europe Direct Information Centres (2013-2017)	DG COMM	CULT	12/09/2018	<a href="#">SWD(2018) 411</a> <a href="#">SWD(2018) 412</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the European Commission Visitors' Centre	DG COMM	CULT	05/07/2018	<a href="#">SWD(2018) 374</a> <a href="#">SWD(2018) 373</a> (summary)
	<b>DG COMP</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Commission Regulation (EC) No 906/2009 of 28 September 2009 on the application of Article 81(3) of the Treaty to certain categories of agreements, decisions and concerted practices between liner shipping companies (consortia)	DG COMP	ECON	20/11/2019	<a href="#">SWD(2019) 411</a> <a href="#">SWD(2019) 412</a> (summary)
	<b>DG DEVCO</b>			
COMMISSION STAFF WORKING DOCUMENT Evaluation of the EU Policy Coherence for Development	DG DEVCO	DEVE	27/02/2019	<a href="#">SWD(2019) 88</a> <a href="#">SWD(2019) 93</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Instrument for Pre-accession Assistance (IPA II) Accompanying the document Report from the Commission	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 463</a> <a href="#">COM(2017) 720</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
to the European Parliament and the Council Mid-term Review Report on the External Financing Instruments				
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Development Cooperation Instrument Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Mid-term review report of External Financing Instruments	DG DEVCO	AFET DEVE	15/12/2017	<a href="#">SWD(2017) 600</a> <a href="#">COM(2017) 720</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the 11th European Development Fund Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Midterm Review Report on the External Financing Instruments	DG DEVCO	AFET DEVE	15/12/2017	<a href="#">SWD(2017) 601</a> <a href="#">COM(2017) 720</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the European Neighbourhood Instrument Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Mid-term review report of the External Financing Instruments	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 602</a> <a href="#">COM(2017) 720</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the European Instrument for Democracy and Human Rights (EIDHR) Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Mid-term review report of the External Financing Instruments	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 604</a> <a href="#">COM(2017) 720</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Instrument for Nuclear Safety Cooperation Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Midterm Review Report on the External Financing Instruments	DG DEVCO	ITRE	15/12/2017	<a href="#">SWD(2017) 605</a> <a href="#">COM(2017) 720</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Common Implementing Regulation Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Midterm Review Report of the External Financing Instruments	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 606</a> <a href="#">COM(2017) 720</a>
COMMISSION STAFF WORKING DOCUMENT Midterm evaluation of the Instrument contributing to Stability and Peace Accompanying the document REPORT FROM THE	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 607</a> <a href="#">COM(2017) 720</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Midterm Review Report on the External Financing Instruments				
COMMISSION STAFF WORKING DOCUMENT Mid-term evaluation of the Partnership Instrument for cooperation with third countries Accompanying the document REPORT OF THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Mid-term review report of the External Financing Instruments	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 608</a> <a href="#">COM(2017) 720</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Council Decision on relations between the European Union, on the one hand, and Greenland and the Kingdom of Denmark on the other (Greenland Decision) Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Midterm Review Report on the External Financing Instruments	DG DEVCO	AFET	15/12/2017	<a href="#">SWD(2017) 609</a> <a href="#">COM(2017) 720</a>
	<b>DG DGIT</b>			
COMMISSION STAFF WORKING DOCUMENT Interim evaluation of the ISA <sup>2</sup> (ISA2) programme Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Results of the interim evaluation of the ISA <sup>2</sup> programme	DG DGIT	ITRE	23/09/2019	<a href="#">SWD(2019) 1615</a> <a href="#">COM(2019) 615</a>
	<b>DG EAC</b>			
COMMISSION STAFF WORKING DOCUMENT Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Ex Post evaluation of the 2017 European Capitals of Culture (Pafos and Aarhus)	DG EAC	CULT	11/06/2019	<a href="#">SWD(2019) 203</a> <a href="#">COM(2019) 266</a>
COMMISSION STAFF WORKING DOCUMENT Mid-term evaluation of Creative Europe Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Mid-term evaluation of the Creative Europe programme (2014-2020)	DG EAC	CULT	30/04/2018	<a href="#">SWD(2018) 159</a> <a href="#">COM(2018) 248</a>
COMMISSION STAFF WORKING DOCUMENT Mid-term evaluation of the Erasmus+ programme (2014-2020) Accompanying the document REPORT FROM THE COMMISSION	DG EAC	CULT	31/01/2018	<a href="#">SWD(2018) 40</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF REGIONS Mid-term evaluation of the Erasmus+ programme (2014-2020)				<a href="#">COM(2018) 50</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Ex Post evaluation of the 2016 European Capitals of Culture (Donostia San Sebastian and Wroclaw)	DG EAC	CULT	25/04/2018	<a href="#">SWD(2018) 140</a> <a href="#">COM(2018) 235</a>
COMMISSION STAFF WORKING DOCUMENT on the Interim evaluation of the European Institute of Innovation and Technology (EIT)	DG EAC	ITRE	18/10/2017	<a href="#">SWD(2017) 351</a> <a href="#">SWD(2017) 352</a> (summary)
	<b>DG ECFIN</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic	DG ECFIN	INTA	17/12/2019	<a href="#">SWD(2019) 446</a> <a href="#">SWD(2019) 448</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia	DG ECFIN	INTA	17/12/2019	<a href="#">SWD(2019) 447</a> <a href="#">SWD(2019) 449</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EX-POST EVALUATION of the Economic Adjustment Programme for Cyprus 2013-2016	DG ECFIN	ECON	18/10/2019	<a href="#">SWD(2019) 387</a> <a href="#">SWD(2019) 388</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union	DG ECFIN	BUDG	13/09/2019 12/03/2020	<a href="#">SWD(2019) 333</a> <a href="#">SWD(2019) 334</a> (summary) <a href="#">COM(2020) 96</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Council Decision of 12 July 2002 providing supplementary macro-financial assistance to Ukraine Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing	DG ECFIN	INTA	23/06/2018	<a href="#">SWD(2018) 390</a> <a href="#">SWD(2018) 391</a> (summary)

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macro-financial assistance to Ukraine Council Decision of 14 April 2014 providing macro-financial assistance to Ukraine				
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan	DG ECFIN	INTA	23/06/2018	<a href="#">SWD(2018) 388</a> <a href="#">SWD(2018) 389</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the European Fund for Strategic Investments, of the European Investment Advisory Hub, and of the European Investment Project Portal Accompanying the document Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the InvestEU Programme	DG ECFIN	BUDG ECON	06/06/2018	<a href="#">SWD(2018) 316</a>  <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Accompanying the document COMMUNICATION FROM THE COMMISSION TO THE COUNCIL AND THE EUROPEAN PARLIAMENT on the mid-term evaluation of the Programme for exchange, assistance and training for the protection of the euro against counterfeiting ('Pericles 2020' Programme)	DG ECFIN	LIBE	06/12/2017	<a href="#">SWD(2017) 443</a> <a href="#">SWD(2017) 444</a> (summary) <a href="#">COM(2017) 741</a>
	<b>DG ECHO</b>			
COMMISSION STAFF WORKING DOCUMENT on the Evaluation of the operation of Council Regulation (EU) 2016/369 on the provision of emergency support within the Union Accompanying the document Report from the Commission to the Council on the Evaluation of the Regulation (EU) 2016/369 on the provision of emergency support within the Union	DG ECHO	BUDG	12/03/2019	<a href="#">SWD(2019) 97</a> <a href="#">COM(2019) 133</a> <a href="#">RSB opinion 1, 2</a>
COMMISSION STAFF WORKING DOCUMENT COMPREHENSIVE EVALUATION of EU Humanitarian Aid, 2012-2016	DG ECHO	DEVE	10/01/2019	<a href="#">SWD(2019) 3</a> <a href="#">SWD(2019) 4</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Interim Evaluation of the EU Aid Volunteers initiative Accompanying the document Report from the Commission to the European Parliament and the Council on the Interim Evaluation of the EU Aid Volunteers initiative for the period mid-2014 to mid-2017	DG ECHO	DEVE	28/06/2018	<a href="#">SWD(2018) 353</a> <a href="#">COM(2018) 496</a>

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	<b>DG EMPL</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Proposal for a DECISION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Decision No 573/2014/EU on enhanced cooperation between Public Employment Services (PES)	DG EMPL	EMPL	16/09/2019	<a href="#">SWD(2019) 1350</a> <a href="#">SWD(2019) 319</a> (summary)
COMMISSION STAFF WORKING DOCUMENT MID-TERM EVALUATION of the European Union Programme for Employment and Social Innovation (EaSI) Accompanying the document REPORT FROM THE COMMISSION Report from the Commission on the mid-term evaluation of the European Union Programme for Employment and Social Innovation (EaSI)	DG EMPL	EMPL	20/05/2019	<a href="#">SWD(2019) 182</a> <a href="#">COM(2019) 234</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document REPORT FROM THE COMMISSION TO THE COUNCIL on the evaluation of the Council Recommendation on the integration of the long-term unemployed into the labour market	DG EMPL	EMPL	11/04/2019	<a href="#">SWD(2019) 154</a> <a href="#">COM(2019) 169</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the EU Commission Agencies working in the employment and social affairs policy field: EUROFOUND, CEDEFOP, ETF and EU-OSHA	DG EMPL	EMPL	09/04/2019	<a href="#">SWD(2019) 159</a> <a href="#">SWD(2019) 160</a> (summary) <a href="#">RSB opinion 1, 2</a>
COMMISSION STAFF WORKING DOCUMENT MID-TERM EVALUATION of the Fund for European Aid to the Most Deprived (FEAD)	DG EMPL	EMPL	27/03/2019	<a href="#">SWD(2019) 148</a> <a href="#">SWD(2019) 149</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Ex-post evaluation of the preparatory action "Your first EURES job"	DG EMPL	EMPL	26/06/2018	<a href="#">SWD(2018) 364</a> SWD(2018) 365 (summary)
COMMISSION STAFF WORKING DOCUMENT MID-TERM EVALUATION of the European Globalisation Adjustment Fund (EGF) Accompanying the document REPORT FROM THE COMMISSION Report from the Commission on the mid-term evaluation of the European Globalisation Adjustment Fund (EGF)	DG EMPL	EMPL	16/05/2018	<a href="#">SWD(2018) 192</a> <a href="#">COM(2018) 297</a> <a href="#">RSB opinion</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT Evaluation Accompanying the document Report from the Commission to the European Parliament, the Council and the European Economic and Social Committee Report on the implementation by Member States of Directive 2009/38/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (Recast)	DG EMPL	EMPL	14/05/2018	<a href="#">SWD(2018) 187</a> <a href="#">COM(2018) 292</a>
	<b>DG ENER</b>			
COMMISSION STAFF WORKING DOCUMENT IMPACT ASSESSMENT Accompanying the document Proposal for a Regulation of the European Parliament and of the Council on the labelling of tyres with respect to fuel efficiency and other essential parameters and repealing Regulation (EC) No 1222/2009  (back-to-back: Evaluation = Annex 5)	DG ENER	ITRE	17/05/2018	<a href="#">SWD(2018) 189</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Report from the Commission to the European Parliament and the Council on the evaluation and implementation of the EU nuclear decommissioning assistance programmes in Bulgaria, Slovakia and Lithuania	DG ENER	ITRE	13/06/2018	<a href="#">SWD(2018) 344</a> <a href="#">COM(2018) 468</a>
COMMISSION STAFF WORKING DOCUMENT Mid-term evaluation of Council Directive 2009/119/EC imposing an obligation on Member States to maintain minimum stocks of crude oil and/or petroleum products	DG ENER	ITRE	24/11/2017	<a href="#">SWD(2017) 438</a> SWD(2017) 439 (summary)
	<b>DG ENV</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EC) No 1013 /2006 of the European Parliament and of the Council of 14 June 2006 on shipments of waste	DG ENV	ENVI	31/01/2020	<a href="#">SWD(2020) 26</a> <a href="#">SWD(2020) 27</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Council Directive 91/271/EEC of 21 May 1991, concerning urban waste-water treatment	DG ENV	ENVI	13/12/2019	<a href="#">SWD(2019) 700</a> <a href="#">SWD(2019) 701</a> (summary) <a href="#">RSB opinion</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT FITNESS CHECK of the Water Framework Directive, Groundwater Directive, Environmental Quality Standards Directive and Floods Directive Directive 2000/60/EC of the European Parliament and of the Council establishing a framework for the Community action in the field of water policy Directive 2006/118/EC of the European Parliament and of the Council on the protection of groundwater against pollution and deterioration Directive 2008/105/EC of the European Parliament and of the Council on environmental quality standards in the field of water policy, amending and subsequently repealing Council Directives 82/176/EEC, 83/513/EEC, 84/156/EEC, 84/491/EEC, 86/280/EEC and amending Directive 2000/60/EC of the European Parliament and of the Council Directive 2007/60/EC on the assessment and management of flood risks	DG ENV	ENVI	10/12/2019	<a href="#">SWD(2019) 439</a> <a href="#">SWD(2019) 440</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT FITNESS CHECK of the Ambient Air Quality Directives Directive 2004/107/EC relating to arsenic, cadmium, mercury, nickel and polycyclic aromatic hydrocarbons in ambient air and Directive 2008/50/EC on ambient air quality and cleaner air for Europe	DG ENV	ENVI	28/11/2019	<a href="#">SWD(2019) 427</a> <a href="#">SWD(2019) 428</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Directive 2001/42/EC on the assessment of the effects of certain plans and programmes on the environment	DG ENV	ENVI	22/11/2019	<a href="#">SWD(2019) 413</a> <a href="#">SWD(2019) 414</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Evaluation of the 7th Environment Action Programme to 2020 "Living well, within the limits of our planet" Accompanying the document Report from the Commission to the European Parliament, the Council, the Europe Economic and Social Committee and the Committee of the Regions on the evaluation of the 7th Environment Action Programme	DG ENV	ENVI	15/05/2019	<a href="#">SWD(2019) 181</a> <a href="#">COM(2019) 233</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT on the evaluation of the Directive 2006/66/EC on batteries and accumulators and waste batteries and accumulators and repealing Directive 91/157/EEC	DG ENV	ENVI	09/04/2019	<a href="#">SWD(2019)1300</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the European Environment Agency (EEA) and its European Environment Information and Observation Network (EIONET)	DG ENV	ENVI	20/11/2018	<a href="#">SWD(2018) 470</a> <a href="#">SWD(2018) 471</a> (summary)



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COMMISSION STAFF WORKING DOCUMENT EVALUATION of Council Directive 1999/22/EC of 29 March 1999 relating to the keeping of wild animals in zoos (Zoos Directive)	DG ENV	AGRI ENVI	06/11/2018	<a href="#">SWD(2018) 455</a> <a href="#">SWD(2018) 456</a> (summary)
COMMISSION STAFF WORKING DOCUMENT MID-TERM EVALUATION Accompanying the document Report on the Mid-term Evaluation of the Programme for Environment and Climate Action (LIFE)	DG ENV	ENVI	06/11/2017	<a href="#">SWD(2017) 355</a> <a href="#">SWD(2017) 356</a> (summary) <a href="#">COM(2017) 642</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT REFIT evaluation of Regulation (EC) No 166/2006 concerning the establishment of a European Pollutant Release and Transfer Register (E-PRTR) Accompanying the document Report from the Commission to the European Parliament and the Council on progress in implementing Regulation (EC) No 166/2006 concerning the establishment of a European Pollutant Release and Transfer Register (E-PRTR)	DG ENV	ENVI	13/12/2017	<a href="#">SWD(2017) 710</a> <a href="#">SWD(2017) 711</a> (summary) <a href="#">COM(2017) 810</a>
	<b>DG ESTAT</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the European Fishery Statistics	DG ESTAT	PECH	28/11/2019	<a href="#">SWD(2019) 425</a> SWD(2019) 426 (summary)
REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL on the functioning of Regulation (EU) No 1337/2011 of the European Parliament and of the Council of 13 December 2011 concerning European statistics on permanent crops	DG ESTAT	AGRI	05/02/2019	<a href="#">COM(2019) 50</a> [no SWD available]
Commission staff working document on the second mid-term evaluation of the European statistical programme 2013-17	DG ESTAT	ECON	2018	<a href="#">SWD</a> (reference not provided) <a href="#">summary</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
	<b>DG FISMA</b>			
COMMISSION STAFF WORKING DOCUMENT FITNESS CHECK of EU Supervisory Reporting Requirements	DG FISMA	ECON	06/11/2019	<a href="#">SWD(2019) 402</a> <a href="#">SWD(2019) 403</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT IMPACT ASSESSMENT Accompanying the document Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2009/103/EC of the European Parliament and the Council of 16 September 2009 relating to insurance against civil liability in respect of the use of motor vehicles, and the enforcement of the obligation to insure against such liability (back-to-back)	DG FISMA	IMCO JURI	24/05/2018	<a href="#">SWD(2018) 247</a>
COMMISSION STAFF WORKING DOCUMENT IMPACT ASSESSMENT Accompanying the document Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 924/2009 as regards certain charges on cross-border payments in the Union and currency conversion charges  (Note: back-to-back; the evaluation report is attached to the IA as annex 6)	DG FISMA	ECON	28/03/2018	<a href="#">SWD(2018) 84</a>
COMMISSION STAFF WORKING DOCUMENT on Directive 2002/87/EU on the supplementary supervision of credit institutions, insurance undertakings and investment firms in a financial conglomerate (FICOD)  (Note: back-to-back; the evaluation report is attached to the IA as annex 7)	DG FISMA	ECON	13/07/2017	<a href="#">SWD(2017) 272</a> <a href="#">SWD(2017) 273</a> (summary) <a href="#">RSB opinion</a>
	<b>DG GROW</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EU) No 305/2011 of the European Parliament and of the Council of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC	DG GROW	IMCO	24/10/2019	<a href="#">SWD(2019) 1770</a> <a href="#">SWD(2019) 1771</a> (summary) <a href="#">COM(2019) 800</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
				<a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Report from the Commission to the Council and to the European Parliament for the interim evaluation of the Programme for the Competitiveness of Enterprises and Small and Medium-sized enterprises (COSME)	DG GROW	ITRE	14/10/2019	<a href="#">SWD(2019) 374</a> <a href="#">COM(2019) 468</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of Regulation (EC) 2679/98 on the functioning of the internal market in relation to the free movement of goods among the Member States (Strawberry regulation)	DG GROW	IMCO	08/10/2019	SWD(2019) 371 SWD(2019) 372 (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EC) No 648/2004 of the European Parliament and of the Council of 31 March 2004 on detergents	DG GROW	ENVI	10/07/2019	SWD(2019) 298 <a href="#">SWD(2019) 299</a> (summary)
COMMISSION STAFF WORKING DOCUMENT FITNESS CHECK of the most relevant chemicals legislation (excluding REACH), as well as related aspects of legislation applied to downstream industries Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS Findings of the Fitness Check of the most relevant chemicals legislation (excluding REACH) and identified challenges, gaps and weaknesses	DG GROW	ENVI	25/06/2019	<a href="#">SWD(2019) 199</a> <a href="#">COM(2019) 264</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Lifts Directive 2014/33/EU Accompanying the document Report from the Commission to the Council and the European Parliament on the implementation and functioning of Directive 2014/33/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to lifts and safety components for lifts	DG GROW	IMCO	22/02/2019	<a href="#">SWD(2019) 26</a> <a href="#">COM(2019) 87</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Aerosol Dispensers Directive	DG GROW	ENVI	30/05/2018	<a href="#">SWD(2018) 266</a> <a href="#">SWD(2018) 267</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Evaluation of Council Directive 85/374/EEC of 25 July 1985 on the approximation of the laws, regulations and administrative provisions of the Member States concerning liability for defective products Accompanying the document Report from the Commission to the European Parliament, the Council and the	DG GROW	IMCO	07/05/2018	<a href="#">SWD(2018) 157</a> <a href="#">COM(2018) 246</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
European Economic and Social Committee on the Application of the Council Directive on the approximation of the laws, regulations, and administrative provisions of the Member States concerning liability for defective products (85/374/EEC)				
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Machinery Directive	DG GROW	IMCO	07/05/2018	<a href="#">SWD(2018) 160</a> <a href="#">SWD(2018) 161</a> (summary)
COMMISSION STAFF WORKING DOCUMENT INTERIM EVALUATION of Galileo and EGNOS programmes and evaluation of the European GNSS Agency Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL on the implementation of the Galileo and EGNOS programmes and on the performance of the European GNSS Agency	DG GROW	ITRE	23/10/2017	<a href="#">SWD(2017) 346</a> <a href="#">COM(2017) 616</a>
COMMISSION STAFF WORKING DOCUMENT REFIT EVALUATION Accompanying the document Proposal for a Regulation of the European Parliament and of the Council laying down rules and procedures for compliance with and enforcement of Union harmonisation legislation on products and amending Regulations (EU) No 305/2011, (EU) No 528/2012, (EU) 2016/424, (EU) 2016/425, (EU) 2016/426 and (EU) 2017/1369 of the European Parliament and of the Council, and Directives 2004/42/EC, 2009/48/EC, 2010/35/EU, 2013/29/EU, 2013/53/EU, 2014/28/EU, 2014/29/EU, 2014/30/EU, 2014/31/EU, 2014/32/EU, 2014/33/EU, 2014/34/EU, 2014/35/EU, 2014/53/EU, 2014/68/EU and 2014/90/EU of the European Parliament and of the Council	DG GROW	IMCO	19/12/2017	<a href="#">SWD(2017) 469</a> <a href="#">SWD(2017) 470</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT REFIT EVALUATION Accompanying the document Proposal for a Regulation of the European Parliament and of the Council on the mutual recognition on goods lawfully marketed in another Member State	DG GROW	IMCO	19/12/2017	<a href="#">SWD(2017) 475</a> <a href="#">SWD(2017) 476</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL AND THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE Guidance on certain aspects of Directive 2004/48/EC of the European Parliament and of the Council on the enforcement of intellectual property rights	DG GROW	JURI	29/11/2017	<a href="#">SWD(2017) 431</a> <a href="#">SWD(2017) 432</a> (summary) <a href="#">COM(2017) 708</a>

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COMMISSION STAFF WORKING DOCUMENT Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Mid-term evaluation of the Copernicus programme (2014-2020)	DG GROW	ITRE	23/10/2017	<a href="#">SWD(2017) 347</a> <a href="#">COM(2017) 617</a>
	<b>DG HOME</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the temporary Schengen facility for Croatia	DG HOME	LIBE	12/05/2020	<a href="#">SWD(2020) 86</a> <a href="#">SWD(2020) 84</a> (summary)
COMMISSION STAFF WORKING DOCUMENT FITNESS CHECK on EU Legislation on legal migration	DG HOME	LIBE	29/03/2019	SWD(2019) 1055 <a href="#">part 1 part 2</a> <a href="#">SWD(2019) 1056</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Council Directive 2008/114 on the identification and designation of European critical infrastructures and the assessment of the need to improve their protection	DG HOME	LIBE	23/07/2019	<a href="#">SWD(2019) 308</a> <a href="#">SWD(2019) 310</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Accompanying the document Report from the Commission to the European Parliament and the Council Evaluation of the 2015-2019 action plan on firearms trafficking between the EU and the South-East Europe region	DG HOME	LIBE	18/09/2019	<a href="#">SWD(2019) 282</a> <a href="#">COM(2019) 293</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) 2018 Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Evaluation of the European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) 2018	DG HOME	LIBE	14/05/2019	<a href="#">SWD(2019) 174</a> <a href="#">COM(2019) 228</a>
COMMISSION STAFF WORKING DOCUMENT FITNESS CHECK on EU Legislation on legal migration	DG HOME	LIBE	29/03/2019	<a href="#">SWD(2019) 1055/1</a> <a href="#">SWD(2019) 1055/2</a> <a href="#">SWD(2019) 1056</a> (summary)

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COMMISSION STAFF WORKING DOCUMENT Evaluation of the Regulation (EU) No 1052/2013 of the European Parliament and of the Council of 22 October 2013 establishing the European Border Surveillance System (Eurosir)	DG HOME	LIBE	12/09/2018	<a href="#">SWD(2018) 410</a> <a href="#">COM(2018) 632</a>
COMMISSION STAFF WORKING DOCUMENT Interim Evaluation of the Asylum, Migration and Integration Fund 2014-2017 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on interim evaluation of the Asylum, Migration and Integration Fund and the Internal Security Fund	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 339</a> <a href="#">COM(2018) 464</a>
COMMISSION STAFF WORKING DOCUMENT Interim Evaluation of the Internal Security Fund - Police Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on interim evaluation of the Asylum, Migration and Integration Fund and the Internal Security Fund	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 341</a> <a href="#">COM(2018) 464</a>
COMMISSION STAFF WORKING DOCUMENT Interim Evaluation of the Internal Security Fund - Borders and Visa 2014-2017 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on interim evaluation of the Asylum, Migration and Integration Fund and the Internal Security Fund	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 340</a> <a href="#">COM(2018) 464</a>
COMMISSION STAFF WORKING DOCUMENT on the Ex Post Evaluation of the External Borders Fund 2011-2013 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Ex post evaluation reports for the period 2011 to 2013 of actions co-financed by the four Funds under the framework programme 'Solidarity and Management of Migration Flows'	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 336</a> <a href="#">COM(2018) 456</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT on the Ex Post Evaluation of the European Return Fund 2011-2013 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Ex post evaluation reports for the period 2011 to 2013 of actions co-financed by the four Funds under the framework programme 'Solidarity and Management of Migration Flows'	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 335</a> <a href="#">COM(2018) 456</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT on the Ex Post Evaluation of the European Refugee Fund 2011-2013 and 2007-2010 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Ex post evaluation reports for the period 2011 to 2013 of actions co-financed by the four Funds under the framework programme 'Solidarity and Management of Migration Flows'	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 334</a> <a href="#">COM(2018) 456</a> <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT on the Ex Post Evaluation of the European Integration Fund 2011-2013 and 2007-2010 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions Ex post evaluation reports for the period 2011 to 2013 of actions co-financed by the four Funds under the framework programme 'Solidarity and Management of Migration Flows'	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 333</a> <a href="#">COM(2018) 456</a>
COMMISSION STAFF WORKING DOCUMENT on the ex post evaluation of the "Prevention and fight against crime" 2007-2013 programme (ISEC) Accompanying the document Report from the European Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions ex post evaluation for the period 2007 to 2013 of the "Prevention and fight against crime" programme (ISEC) and the "Prevention, preparedness and consequence management of terrorism and other security related risks" programme (CIPS)	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 332</a> <a href="#">COM(2018) 455</a>
COMMISSION STAFF WORKING DOCUMENT on the ex post evaluation of the "Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks" 2007-2013 Programme (CIPS) Accompanying the document Report from the European Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions ex post evaluation for the period 2007 to 2013 of actions financed by the "Prevention and fight against crime" programme (ISEC) and the "Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks" programme (CIPS)	DG HOME	LIBE	12/06/2018	<a href="#">SWD(2018) 331</a> <a href="#">COM(2018) 455</a>
COMMISSION STAFF WORKING DOCUMENT Report on the mid-term evaluation of the implementation of the Europe for Citizens programme 2014-2020 Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on the	DG HOME	LIBE	06/04/2018	<a href="#">SWD(2018) 86</a> <a href="#">COM(2018) 170</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
mid-term evaluation of the implementation of the Europe for Citizens programme 2014-2020				
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Council Regulation (EC) 377/2004 on the creation of an immigration liaison officers network Accompanying the document Commission Proposal for a Regulation of the European Parliament and of the Council on the creation of a European network of immigration liaison officers (recast)	DG HOME	LIBE	16/05/2018	<a href="#">SWD(2018) 197</a>
COMMISSION STAFF WORKING DOCUMENT accompanying the REPORT FROM THE COMMISSION TO THE COUNCIL AND THE EUROPEAN PARLIAMENT in accordance with Article 21(3) of Regulation (EU) No 258/2012 of the European Parliament and of the Council of 14 March 2012 implementing Article 10 of the United Nations' Protocol against the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, supplementing the United Nations Convention against Transnational Organised Crime (UN Firearms Protocol), and establishing export authorisation, and import and transit measures for firearms, their parts and components and ammunition	DG HOME	INTA	12/12/2017	<a href="#">SWD(2017) 442</a> (FR) <a href="#">COM(2017) 737</a>
	<b>DG JUST</b>			
COMMISSION STAFF WORKING DOCUMENT EXECUTIVE SUMMARY OF THE EVALUATION of the relevant provisions in the Directive 2006/54/EC implementing the Treaty principle on 'equal pay for equal work or work of equal value'	DG JUST	FEMM	05/03/2020	<a href="#">SWD(2020) 50</a> <a href="#">SWD(2020) 51 (summary)</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Council Directive 79/7/EEC on the progressive implementation of the principle of equal treatment for men and women in matters of social security	DG JUST	FEMM	20/12/2019	SWD(2019) 450 SWD(2019) 451 (summary)
COMMISSION STAFF WORKING DOCUMENT Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS on the mid-term evaluation of the Consumer Programme 2014-2020	DG JUST	IMCO	07/11/2019	<a href="#">SWD(2019) 382</a> <a href="#">COM(2019) 490</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the 2011-2020 European judicial training strategy	DG JUST	LIBE	25/10/2019	<a href="#">SWD(2019) 380</a> <a href="#">SWD(2019) 381</a> (summary)



TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT ANALYSIS OF THE RECOMMENDATIONS TO THE COMMISSION FOLLOWING THE SECOND EXTERNAL EVALUATION OF THE EU AGENCY FOR FUNDAMENTAL RIGHTS	DG JUST	LIBE	26/07/2019	<a href="#">SWD(2019) 313</a>
COMMISSION STAFF WORKING DOCUMENT IMPACT ASSESSMENT Accompanying the document Proposal for a COUNCIL DIRECTIVE establishing an EU Emergency Travel Document and repealing Decision 96/409/CFSP  (note: this impact assessment contains the findings of the evaluation, which was undertaken together with the impact assessment as a “back-to-back” exercise)	DG JUST	LIBE	30/04/2019	<a href="#">SWD(2018) 273</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the EU Framework for National Roma Integration Strategies up to 2020 Accompanying the document COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Report on the evaluation of the EU Framework for National Roma Integration Strategies up to 2020	DG JUST	LIBE	04/12/2018	<a href="#">SWD(2018) 480</a> <a href="#">COM(2018) 785</a>
COMMISSION STAFF WORKING DOCUMENT Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS on the interim evaluation of the implementation of the Rights, Equality and Citizenship Programme 2014-2020	DG JUST	LIBE	29/06/2018	<a href="#">SWD(2018) 358</a> <a href="#">SWD(2018) 359</a> (summary) <a href="#">COM(2018) 508</a>
COMMISSION STAFF WORKING DOCUMENT Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS on the interim evaluation of the implementation of the Justice Programme 2014-2020	DG JUST	LIBE JURI	29/06/2018	<a href="#">SWD(2018) 356</a> <a href="#">SWD(2018) 357</a> (summary) <a href="#">COM(2018) 507</a>
COMMISSION STAFF WORKING DOCUMENT IMPACT ASSESSMENT Accompanying the document Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 1393/2007 of the European Parliament and of the Council on the service in the Member States of judicial and extrajudicial documents in civil or commercial matters (service of documents)  (Note: back-to-back; the evaluation report is attached to the IA as annex 8)	DG JUST	JURI	31/05/2018	<a href="#">SWD(2018) 287</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT IMPACT ASSESSMENT Accompanying the document Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Council Regulation (EC) No 1206/2001 of 28 May 2001 on cooperation between the courts of the Member States in the taking of evidence in civil or commercial matters  (Note: back-to-back; the evaluation report is attached to the IA as annex 9)	DG JUST	JURI	31/05/2018	<a href="#">SWD(2018) 285</a>
	<b>DG MARE</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Recommendation for a Council Decision authorising the opening of negotiations on behalf of the European Union for the conclusion of a protocol to the Sustainable Fisheries Partnership Agreement with the Government of Cook Islands	DG MARE	PECH	09/06/2020	<a href="#">SWD(2020) 102</a> <a href="#">SWD(2020) 103</a> summary <a href="#">COM(2020) 227</a> annex
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Council Regulation (EC) No 1100/2007 of 18 September 2007 establishing measures for the recovery of the stock of European eel	DG MARE	PECH	17/02/2020	<a href="#">SWD(2020) 35</a> <a href="#">SWD(2020) 36</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION Interim Evaluation of the Open Method of Coordination (OMC) for the Sustainable Development of EU Aquaculture	DG MARE	PECH	14/01/2020	<a href="#">SWD(2020) 6</a> <a href="#">SWD(2020) 7</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION Marketing standards framework for fishery and aquaculture products	DG MARE	PECH	20/12/2019	<a href="#">SWD(2019) 453</a> <a href="#">SWD(2019) 455</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Evaluation Accompanying the document Recommendation for a COUNCIL DECISION authorising the opening of negotiations on behalf of the European Union for the conclusion of a Sustainable Fisheries Partnership Agreement and a Protocol with the Government of Denmark and the Home Rule Government of Greenland	DG MARE	PECH	23/10/2019	<a href="#">SWD (2019) 386</a> <a href="#">COM(2019) 491</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Entry/Exit scheme in accordance with Article 23(3) of Regulation (EU) No 1380/2013 of the European Parliament and of the Council on the Common Fisheries Policy	DG MARE	PECH	25/07/2019	<a href="#">SWD(2019) 311</a> <a href="#">SWD(2019) 312</a> (summary)

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT Evaluation Accompanying the document Recommendation for a COUNCIL DECISION authorising the opening of negotiations on behalf of the European Union for the conclusion of a Sustainable Fisheries Partnership Agreement and a protocol with the Republic of Seychelles	DG MARE	PECH	18/06/2019	<a href="#">SWD(2019) 210</a> <a href="#">SWD(2019) 209</a> (summary)
DOCUMENT DE TRAVAIL DES SERVICES DE LA COMMISSION EVALUATION accompagnant le document: Recommandation de décision du Conseil autorisant la Commission à ouvrir des négociations, au nom de l'Union européenne, en vue de la conclusion d'un Protocole à l'accord de partenariat dans le domaine de la pêche entre l'Union européenne et la République du Sénégal	DG MARE	PECH	18/06/2019	<a href="#">SWD(2019) 207</a> <a href="#">SWD(2019) 208</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Accompanying the document Recommendation for a Council Decision to authorise the Commission to open negotiations on behalf of the European Union for the conclusion of a Sustainable Fisheries Partnership Agreement and protocol with the Islamic Republic of Mauritania (ex-ante and ex-post evaluation)	DG MARE	PECH	04/06/2019	<a href="#">SWD(2019) 196</a> <a href="#">SWD(2019) 195</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Evaluation Accompanying the document Recommendation for a COUNCIL DECISION authorising the opening of negotiations with Cabo Verde for the conclusion of a Protocol implementing the Fisheries Partnership Agreement between the European Communities and Cabo Verde	DG MARE	PECH	16/05/2018	<a href="#">SWD(2018) 194</a> <a href="#">SWD(2018) 193</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Recommendation for the COUNCIL DECISION authorising the opening of negotiations with Madagascar for the conclusion of a Sustainable Fisheries Partnership Agreement and a Protocol between the European Communities and Madagascar	DG MARE	PECH	25/04/2018	<a href="#">SWD(2018) 143</a> <a href="#">SWD(2018) 144</a> (summary)
COMMISSION STAFF WORKING DOCUMENT on the mid-term review of the Atlantic action plan	DG MARE	PECH	23/02/2018	<a href="#">SWD(2018) 49</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document Recommendation for a Council decision to authorise the Commission to open negotiations on behalf of the European Union for the renewal of the Protocol to the Fisheries Partnership Agreement with the Kingdom of Morocco	DG MARE	PECH	08/01/2018	<a href="#">SWD(2018) 1</a> (FR) <a href="#">SWD(2018) 2</a> (summary)

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
DOCUMENT DE TRAVAIL DES SERVICES DE LA COMMISSION EVALUATION Document de travail des services de la Commission - évaluation du Protocole dans le cadre de l'accord de partenariat de pêche durable entre l'Union européenne et São Tomé e Príncipe	DG MARE	PECH	01/12/2017	SWD(2017) 434 SWD(2017) 437 (summary)
	<b>DG MOVE</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Regulation 80/2009 of the European Parliament and the Council of 14 January 2009 on a Code of Conduct for computerised reservation systems	DG MOVE	TRAN	23/01/2020	<a href="#">SWD(2020) 9</a> <a href="#">SWD(2020) 11</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Ex post evaluation of the Intelligent Transport Systems Directive 2010/40/EU	DG MOVE	TRAN	09/10/2019	<a href="#">SWD(2019) 368</a> <a href="#">SWD(2019) 369</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Directive 2009/12/EC of the European Parliament and of the Council of 11 March 2009 on airport charges	DG MOVE	TRAN	09/07/2019	<a href="#">SWD(2019) 289</a> <a href="#">SWD(2019) 291</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Regulation (EC) No 1008/2008 on common rules for the operation of air services in the Community	DG MOVE	TRAN	09/07/2019	<a href="#">SWD(2019) 295</a> <a href="#">SWD(2019) 296</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EC) No 2111/2005 on the establishment of a Community list of air carriers subject to an operating ban within the Community and on informing air transport passengers of the identity of the operating carrier	DG MOVE	TRAN	07/05/2019	<a href="#">SWD(2019) 179</a> <a href="#">SWD(2019) 180</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of Regulation (EU) No 996/2010 on the investigation and prevention of accidents and incidents in civil aviation	DG MOVE	TRAN	07/05/2019	<a href="#">SWD(2019) 177</a> <a href="#">SWD(2019) 178</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL on multiannual funding for the action of the European Maritime Safety Agency in the field of	DG MOVE	TRAN	31/07/2018	<a href="#">SWD(2018) 394</a> <a href="#">COM(2018) 564</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
response to marine pollution caused by ships and oil and gas installations Mid-term evaluation of Regulation (EU) No 911/2014				
COMMISSION STAFF WORKING DOCUMENT Maritime Transport Fitness Check of the legislation on flag State responsibilities, accident investigation, port State control, the vessel traffic monitoring and information system and, the reporting formalities for ships arriving in and/or departing from ports of Member States	DG MOVE	TRAN	16/05/2018	<a href="#">SWD(2018) 228</a> <a href="#">SWD(2018) 229</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Ex-post Evaluation of Directive 2009/16/EC on port state control	DG MOVE	TRAN	16/05/2018	<a href="#">SWD(2018) 230</a> <a href="#">SWD(2018) 231</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Ex-post evaluation of Directive 2009/21/EC on Compliance with Flag State requirements and Directive 2009/18/EC establishing the fundamental principles governing the investigation of accidents in the maritime transport sector	DG MOVE	TRAN	16/05/2018	<a href="#">SWD(2018) 232</a> <a href="#">SWD(2018) 233</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Directive 2010/65/EU on reporting formalities for ships arriving in and/or departing from ports of the Member States	DG MOVE	TRAN	16/05/2018	<a href="#">SWD(2018) 234</a> <a href="#">SWD(2018) 235</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Ex-post evaluation of Directive 2002/59/EC establishing a Community vessel traffic monitoring and information system	DG MOVE	TRAN	16/05/2018	<a href="#">SWD(2018) 199</a> <a href="#">SWD(2018) 227</a> (summary)
COMMISSION STAFF WORKING DOCUMENT on the ex-post evaluation of the Single European Sky Performance and Charging Schemes in Reference Period 1 and first year of Reference Period 2.	DG MOVE	TRAN	01/03/2018	<a href="#">SWD(2018 ) 62</a> <a href="#">SWD(2018 ) 63</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Accompanying the document Report from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on the mid-term evaluation of the Connecting Europe Facility (CEF)	DG MOVE	ITRE TRAN	14/02/2018	<a href="#">SWD(2018) 44/1</a> <a href="#">SWD(2018) 44/2</a> <a href="#">COM(2018) 66</a> <a href="#">RSB opinion</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Directive 2008/106/EC on the minimum level of training of seafarers, as amended and Directive 2005/45/EC on the mutual recognition of seafarers' certificates issued by the Member States	DG MOVE	TRAN	10/01/2018	<a href="#">SWD(2018) 19</a> <a href="#">SWD(2018) 18</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Ex-post evaluation of Regulation (EC) No 1073/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international market for coach and bus services FINAL REPORT Accompanying the document Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 1073/2009 on common rules for access to the international market for coach and bus services	DG MOVE	TRAN	08/11/2017	<a href="#">SWD(2017) 361</a> <a href="#">SWD(2017) 360</a> (summary)
	<b>DG NEAR</b>			
COMMISSION STAFF WORKING DOCUMENT Thematic Evaluation on Support to Economic Governance in Enlargement and Neighbourhood Countries	DG NEAR	AFET	21/11/2019	SWD(2019) 417 SWD(2019) 418 (summary)
	<b>DG OLAF</b>			
COMMISSION STAFF WORKING DOCUMENT Statistical evaluation of irregularities reported for 2018: own resources, agriculture, cohesion and fisheries policies, pre-accession and direct expenditure Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL 30th Annual Report on the Protection of the European Union's financial interests - Fight against fraud - 2018	DG OLAF	CONT	11/10/2019	<a href="#">SWD(2019) 365</a> <a href="#">COM(2019) 444</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Commission's Anti-Fraud Strategy	DG OLAF	CONT	29/04/2019	SWD(2019) 500 SWD(2019) 501 (summary)
COMMISSION STAFF WORKING DOCUMENT Statistical evaluation of irregularities reported for 2017: own resources, agriculture, cohesion and fisheries policies, pre-accession and direct expenditure Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL 29th Annual Report on the Protection of the European Union's financial interests - Fight against fraud - 2017	DG OLAF	CONT	03/09/2018	<a href="#">SWD(2018) 386</a> <a href="#">COM(2018) 553</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT MID-TERM EVALUATION of the Regulation (EU) No 250/2014 of the European Parliament and of the Council of 26 February 2014 establishing a programme to promote activities in the field of the protection of the financial interests of the European Union (Hercule III programme) and repealing Decision No 804/2004/EC Accompanying the document Commission report to the European Parliament and the Council	DG OLAF	CONT	10/01/2018	<a href="#">SWD(2018) 3</a> <a href="#">COM(2018) 3</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the application of Regulation (EU, EURATOM) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 Accompanying the document Commission report to the European Parliament and the Council	DG OLAF	CONT	02/10/2017	<a href="#">SWD(2017) 332</a> <a href="#">COM(2017) 589</a>
	<b>DG REFORM</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION Ex-post evaluation of the Technical Assistance provided by the Task Force for Greece (TFGR) 2011-2015	DG REFORM	ECON	25/02/2020	SWD(2020) 37 SWD(2020) 38 (summary)
	<b>DG REGIO</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION Ex post evaluation of major projects in transport financed by the European Regional Development Fund and the Cohesion Fund between 2000 and 2013	DG REGIO	REGI TRAN	21/02/2020	<a href="#">SWD(2020) 41</a> <a href="#">SWD(2020) 42</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION Ex post evaluation of major projects in environment financed by the European Regional Development Fund and the Cohesion Fund between 2000 and 2013	DG REGIO	REGI ENVI	21/02/2020	<a href="#">SWD(2020) 43</a> <a href="#">SWD(2020) 44</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Synthesis of the findings of the evaluations of European Structural and Investment Funds Programmes	DG REGIO	REGI	17/12/2019	<a href="#">SWD(2019) 445</a> (synthesis)

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the European Union Solidarity Fund 2002-2017	DG REGIO	REGI	15/05/2019	<a href="#">SWD(2019)186</a> <a href="#">SWD(2019)187</a> (summary)
	<b>DG RTD</b>			
Commission Staff Working Document accompanying the COM(2018) 116 Communication on Commission General Report on the operation of REACH and review of certain elements - Conclusions and Actions  (labelled evaluation in the Roadmap of May 2016)	DG RTD	ENVI	05/03/2018	<a href="#">SWD(2018) 58</a> <a href="#">COM(2018) 116</a> <a href="#">RSB opinion</a>
COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS Horizon 2020 interim evaluation: maximising the impact of EU research and innovation Lessons learnt from the Horizon 2020 Interim Evaluation and response to the recommendations of the High Level Group on maximising the impact of EU DG RTD Research and Innovation programmes	DG RTD	ITRE	11/01/2018	<a href="#">COM(2018) 2</a> [no SWD available]
COMMISSION STAFF WORKING DOCUMENT INTERIM EVALUATION OF DIRECT ACTIONS OF THE EURATOM RESEARCH AND TRAINING PROGRAMME 2014-2018 Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL AND THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE Interim evaluation of the Euratom Research and Training Programme 2014-2018	DG RTD	ITRE	01/12/2017	<a href="#">SWD(2017) 426</a> <a href="#">SWD(2017) 427</a> <a href="#">SWD(2017) 440</a> (summary) <a href="#">SWD(2017) 441</a> (summary) <a href="#">COM(2017) 697</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Participation of the EU in research and development programmes undertaken by several Member States based on Article 185 of the TFEU	DG RTD		06/10/2017	<a href="#">SWD(2017) 340</a> SWD(2017) 341 (summary)
COMMISSION STAFF WORKING DOCUMENT INTERIM EVALUATION of the Joint Undertakings operating under Horizon 2020	DG RTD		06/10/2017	<a href="#">SWD(2017) 338</a> <a href="#">SWD(2017) 339</a> (summary)



TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
	<b>DG SANTE</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Regulation (EC) No 1924/2006 on nutrition and health claims made on foods with regard to nutrient profiles and health claims made on plants and their preparations and of the general regulatory framework for their use in foods	DG SANTE	ENVI	20/05/2020	SWD(2020) 95 <a href="#">Part 1, part 2</a> <a href="#">SWD(2020) 96</a> (summary)
REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL Evaluation of Regulation (EC) No 1107/2009 on the placing of plant protection products on the market and of Regulation (EC) No 396/2005 on maximum residue levels of pesticides	DG SANTE	ENVI	20/05/2020	<a href="#">SWD(2020) 87</a> <a href="#">COM(2020) 208</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Union legislation on blood, tissues and cells	DG SANTE	ENVI	10/10/2019	<a href="#">SWD(2019) 375</a> <a href="#">SWD(2019) 376</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the European Medicines Agency's fee system	DG SANTE	ENVI	18/09/2019	<a href="#">SWD(2019) 335</a> <a href="#">SWD(2019) 336</a> (summary)
COMMISSION STAFF WORKING DOCUMENT THE REFIT EVALUATION of the General Food Law (Regulation (EC) No 178/2002)	DG SANTE	ENVI	15/01/2018	<a href="#">SWD(2018) 38/1</a> <a href="#">SWD(2018) 38/2</a> <a href="#">SWD(2018) 37</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS Mid-term evaluation of the third Health Programme Regulation No 282/2014 on the establishment of a third Programme for the Union's action in the field of health (2014-2020)	DG SANTE		11/10/2017	<a href="#">SWD(2017) 331</a> <a href="#">SWD(2017) 333</a> (summary) <a href="#">COM(2017) 586</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
	SG			
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Education, Audiovisual and Culture Executive Agency (EACEA) Accompanying the document Report from the Commission to the European Parliament, the Council and the Court of Auditors Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audiovisual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency	SG	CULT	29/04/2020	<a href="#">SWD(2020) 78</a> <a href="#">COM(2020)184</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Research Executive Agency (REA) Accompanying the document Report from the Commission to the European Parliament, the Council and the Court of Auditors Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audiovisual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency	SG	ITRE	29/04/2020	<a href="#">SWD(2020) 77</a> <a href="#">COM(2020)184</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the the Executive Agency for Small and Medium-Size Enterprises (EASME) Accompanying the document Report from the Commission to the European Parliament, the Council and the Court of Auditors Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audiovisual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency	SG	EMPL ECON	29/04/2020	<a href="#">SWD(2020) 76</a> <a href="#">COM(2020)184</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Consumers, Health, Agriculture and Food Executive Agency (CHAFEA) Accompanying the document Report from the Commission to the European Parliament, the Council and the Court of Auditors Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audiovisual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency	SG	ENVI AGRI	29/04/2020	<a href="#">SWD(2020) 75</a> <a href="#">COM(2020)184</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT Evaluation of the European Research Council Executive Agency (ERCEA) Accompanying the document Report from the Commission to the European Parliament, the Council and the Court of Auditors Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audiovisual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency	SG	ITRE	29/04/2020	<a href="#">SWD(2020) 74</a> <a href="#">COM(2020)184</a>
COMMISSION STAFF WORKING DOCUMENT Evaluation of the Innovation and Networks Executive Agency (INEA) Accompanying the document Report from the Commission to the European Parliament, the Council and the Court of Auditors Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audiovisual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency	SG	ITRE	29/04/2020	<a href="#">SWD(2020) 73</a> <a href="#">COM(2020)184</a>
	<b>DG TAXUD</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Council Directive 2011/64/EU of 21 June 2011 on the structure and rates of excise duty applied to manufactured tobacco	DG TAXUD	JURI	10/02/2020	<a href="#">SWD(2020) 32</a> <a href="#">SWD(2020) 33</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION Accompanying the document REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL assessing the invoicing rules of Directive 2006/112/EC on the common system of value added tax	DG TAXUD	ECON	10/02/2020	<a href="#">SWD(2019) 29</a> <a href="#">COM(2020) 47</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Council Directive 95/60/EC of 27 November 1995 on fiscal marking of gas oil and kerosene	DG TAXUD	ECON	12/07/2019	<a href="#">SWD(2019) 303</a> <a href="#">SWD(2019) 304</a> (summary)
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the COUNCIL DIRECTIVE 2011/16/EU on administrative cooperation in the field of taxation and repealing Directive 77/799/EEC	DG TAXUD	ECON	12/09/2019	<a href="#">SWD(2019) 327</a> <a href="#">SWD(2019) 328</a> (summary) <a href="#">RSB opinion</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Council Directive 2003/96/EC of 27 October 2003 restructuring the Community framework for the taxation of energy products and electricity	DG TAXUD	ECON	11/09/2019	<a href="#">SWD(2019) 329</a> <a href="#">SWD(2019) 332</a> (summary) <a href="#">RSB opinion</a>
COMMISSION STAFF WORKING DOCUMENT Mid-term evaluation of Regulation (EU) No 1294/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC Accompanying the document Report from the Commission to the European Parliament and the Council Mid-term evaluation of the Customs 2020 programme	DG TAXUD	IMCO	07/02/2019	<a href="#">SWD(2019) 14</a> <a href="#">COM(2019) 57</a>
COMMISSION STAFF WORKING DOCUMENT Mid-term evaluation of Regulation (EU) No 1286/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme to improve the operation of taxation systems in the European Union for the period 2014-2020 (Fiscalis 2020) and repealing Decision No 1482/2007/EC Accompanying the document Report from the Commission to the European Parliament and the Council Mid-term evaluation of the Fiscalis 2020 programme	DG TAXUD	ECON	07/02/2019	<a href="#">SWD(2019) 15</a> <a href="#">COM(2019) 59</a>
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the European Customs Inventory of Chemical Substances (ECICS)	DG TAXUD	ITRE	12/10/2018	SWD(2018) 446 SWD(2018) 447 (summary)
COMMISSION STAFF WORKING DOCUMENT for the evaluation of the use of mutual tax recovery assistance on the basis of Directive 2010/24/EU by the EU Member States Accompanying the document Report from the Commission to the European Parliament and the Council on the operation of the arrangements established by Council Directive 2010/24/EU of 16 March 2010 concerning mutual assistance for the recovery of claims relating to taxes, duties and other measures	DG TAXUD	ECON	18/12/2017	<a href="#">SWD(2017) 461</a> <a href="#">COM(2017) 778</a>

TITLE OF THE SWD	RESPONSIBLE COMMISSION DG	EP COMMITTEE	PUBLICATION DATE	COMMISSION REFERENCE
	<b>DG TRADE</b>			
COMMISSION STAFF WORKING DOCUMENT EVALUATION of the Implementation of the Free Trade Agreement between the European Union and its Member States, of the one part, and the Republic of Korea, of the other part	DG TRADE	INTA	07/03/2019	<a href="#">SWD(2019) 102</a> <a href="#">SWD(2019) 103</a> (summary)
COMMISSION STAFF WORKING DOCUMENT Midterm Evaluation of the Generalised Scheme of Preferences Accompanying the document Report from the European Commission to the European Parliament and the Council on the application of Regulation (EU) No 978/2012 applying a Scheme of Generalised Tariff Preferences and repealing Council Regulation (EC) No 732/2008	DG TRADE	INTA	04/10/2018	<a href="#">SWD(2018) 430</a> <a href="#">COM(2018) 665</a>

### 3. Completed evaluations published as external studies (without SWD)

The following table lists all evaluations the European Commission published as external studies (without an associated SWD) between 1 October 2017 and 30 June 2020. 43 such files could be identified. The data are sorted by Commission DG, and within each DG in descending chronological order. For each record, a hyperlink is provided to the study.

For an analysis of this dataset see chapter 4.3.

TITLE	RESPONSIBLE DG	DATE	PUBLICATION
	<b>DG CONNECT</b>		
Evaluation of the implementation of the alliance to better protect minors online	DG CONNECT	January 2019	<a href="#">Study</a> , EU Bookshop
Breaking the mould. A new model for EU innovation programmes : final evaluation of the future Internet public-private partnership (PPP)	DG CONNECT	January 2018	<a href="#">Study</a> , EU Bookshop
Interim Evaluation of the Active and Assisted Living Programme (AAL Programme): final report	DG CONNECT	October 2017	<a href="#">Study</a> , EU Bookshop
	<b>DG COMM</b>		
Evaluation of cooperation in communication between the European Parliament and European Commission in the Member States	DG COMM	2017	Study, Interinstitutional studies database
	<b>DG DEVCO</b>		
Evaluation of the European Union's Regional Development Cooperation with Latin America (2009-2017)	DG DEVCO	May 2019	<a href="#">Study</a> , DEVCO Website
Strategic Evaluation of EU Budget Support to El Salvador (2009-2017)	DG DEVCO	March 2019	<a href="#">Study</a> , DEVCO Website
External evaluation of the European Union's Cooperation with the Central Africa Region (2008-2016)	DG DEVCO	January 2019	<a href="#">Study</a> , DEVCO Website

TITLE	RESPONSIBLE DG	DATE	PUBLICATION
External Evaluation of the European Union's Cooperation with the West Africa Region (2008-2016)	DG DEVC	November 2018	<a href="#">Study</a> , DEVC Website
Evaluation of EU Budget Support to Cambodia (2011-2016)	DG DEVC	June 2018	<a href="#">Study</a> , DEVC Website
Independent Evaluation of the European Union's Cooperation with Afghanistan (2007-2018)	DG DEVC	June 2018	<a href="#">Study</a> , DEVC Website
External Evaluation of the EU's Sustainable Energy Cooperation (2011-2016)	DG DEVC	June 2018	<a href="#">Study</a> , DEVC Website
Evaluation of the implementation of the African Peace Facility as an instrument supporting African efforts to manage conflicts on the continent	DG DEVC	March 2018	<a href="#">Study</a> , DEVC Website
Evaluation externe de la coopération de l'Union européenne avec la République de Côte d'Ivoire (2007-2015)	DG DEVC	November 2017	<a href="#">Study</a> , DEVC Website
Strategic Evaluation of EU cooperation with the Eastern Africa, Southern Africa and Indian Ocean region 2008-2015	DG DEVC	September 2017	<a href="#">Study</a> , DEVC Website
Evaluation of EU development cooperation support to higher education in partner countries (2007-2014)	DG DEVC	September 2017	<a href="#">Study</a> , DEVC Website
Joint Evaluation of Budget Support to Ghana (2005-2015)	DG DEVC	June 2017	<a href="#">Study</a> , DEVC Website
Strategic Evaluation of the EU approach to resilience to withstand food crises in African Drylands (Sahel and Horn of Africa) 2007-2015	DG DEVC	June 2017	<a href="#">Study</a> , DEVC Website
	<b>DG DIGIT</b>		
Study on open source software governance at the European Commission	DG DIGIT	February 2020	<a href="#">Study</a> , EU Bookshop

TITLE	RESPONSIBLE DG	DATE	PUBLICATION
	<b>DG EAC</b>		
FP7 ex post and H2020 interim evaluation of Marie Skłodowska-Curie actions (MSCA)	DG EAC	November 2017	<a href="#">Study</a> , EU Bookshop
	<b>DG ECFIN</b>		
Evaluation of DG ECFIN Forecasting Services	DG ECFIN	December 2017	<a href="#">Study</a> , EFCIN Website
	<b>DG ECHO</b>		
Evaluation of the European Union's humanitarian response to the refugee crisis in Turkey (2016-2017)	DG ECHO	January 2020	<a href="#">Study</a> , EU Bookshop
Evaluation of the European Union's humanitarian assistance in the Central Africa region, including humanitarian coordination, 2014-2018	DG ECHO	January 2020	<a href="#">Study</a> , EU Bookshop
Combined evaluation of the European Union's humanitarian interventions in Afghanistan 2014-2018 and DG ECHO's partnership with Norwegian Refugee Council	DG ECHO	September 2019	<a href="#">Study</a> , EU Bookshop
Evaluation of Humanitarian Logistics within EU Civil Protection and Humanitarian Action, 2013-2017	DG ECHO	January 2019	<a href="#">Study</a> , ECHO Website
Evaluation of the EU humanitarian aid intervention in the African Great Lakes region, 2013-2017	DG ECHO	January 2019	<a href="#">Study</a> , ECHO Website
Evaluation of the European Union's humanitarian interventions in India and Nepal, 2013-2017	DG ECHO	February 2018	<a href="#">Study</a> , ECHO Website
Study of the European Commission's cooperation with the Network on Humanitarian Action (NOHA)	DG ECHO	December 2017	<a href="#">Study</a> , ECHO Website
Evaluation of the European Commission's interventions in the Humanitarian Health sector, 2014-2016	DG ECHO	December 2017	<a href="#">Study</a> , ECHO Website



TITLE	RESPONSIBLE DG	DATE	PUBLICATION
Evaluation of the ECHO assistance in the Southern Africa and Indian Ocean Region, 2012-2016	DG ECHO	November 2017	<a href="#">Study</a> , ECHO Website
	<b>DG GROW</b>		
Support study for the evaluation of the International Intellectual Property Rights SME Helpdesks	DG GROW	February 2020	<a href="#">Study</a> , EU Bookshop
Evaluation of regulatory tools for enforcing online gambling rules and channelling demand towards controlled offers	DG GROW	January 2019	<a href="#">Study</a> , EU Bookshop
Evaluation of the application of Regulation 386/2012 (concerning the European Union Intellectual Property Office (EUIPO), former OHIM)	DG GROW	March 2018	<a href="#">Study</a> , EU Bookshop (unclear if SWD will follow)
Ex-post evaluation of the application of the market surveillance provisions of Regulation (EC) No 765/2008	DG GROW	February 2018	<a href="#">Study</a> , EU Bookshop (unclear if SWD will follow)
	<b>DG JUST</b>		
Evaluation study on the outcome of the e-justice action plan 2014-2018 and the way forward	DG JUST	September 2018	<a href="#">Study</a> , EU Bookshop
	<b>DG MARE</b>		
Evaluation of implementation of Common Information Sharing Environment (CISE)	DG MARE	July 2018	<a href="#">Study</a> , EU Bookshop
Évaluation rétrospective et prospective du protocole à l'accord de partenariat dans le domaine de la pêche durable entre l'Union européenne et la République de Côte d'Ivoire (not clear whether this study was followed by a SWD)	DG MARE	October 2018	<a href="#">Study</a> , EU Bookshop

TITLE	RESPONSIBLE DG	DATE	PUBLICATION
	<b>DG MOVE</b>		
Final evaluation of the SESAR Joint Undertaking (2014-2016) operating under the SESAR 1 Programme (FP7)	DG MOVE	October 2017	<a href="#">Study</a> , EU Bookshop
	<b>DG NEAR</b>		
Ex-post evaluation of EU assistance to Croatia in the period 2007-2013	DG NEAR	May 2019	<a href="#">Study</a> Website DG NEAR
Ex-post Evaluation of 2007-2013 ENPI CBC Programmes	DG NEAR	January 2018	<a href="#">Study</a> Website DG NEAR
Evaluation of the European Union's co-operation with Azerbaijan	DG NEAR	April 2018	<a href="#">Study</a> Website DG NEAR
Evaluation on support to SME Competitiveness in Enlargement and Neighbourhood Countries	DG NEAR	2018	<a href="#">Final report</a> Website DG NEAR
	<b>DG RTD</b>		
Evaluating the uptake and impact of participation in the European Framework Programmes for Research in Member States	DG RTD	April 2020	<a href="#">Study</a> , EU Bookshop
Horizon 2020 Commission Expert Group for the evaluation of the Horizon 2020 Policy Support Facility	DG RTD	April 2019	<a href="#">Study</a> , Website JRC

## Annex: Opinions of the Regulatory Scrutiny Board (RSB) on Evaluations and Fitness Checks

The following table lists all opinions the Regulatory Scrutiny Board issued on evaluations or fitness checks relating to Commission evaluations published between 1 October 2017 and 30 June 2020. They correspond thus to the dataset of table 2 (evaluations published as SWDs).

32 such files could be identified on the [RSB website](#). The data is presented in the order they appear on the RSB website. For each record, a hyperlink is provided to the RSB opinion(s) and to the associated Staff Working Document (SWD).

For an analysis of this dataset see chapter 4.2.1.

TITLE	LINKED SWD	RESPONSIBLE DG	DATE OF OPINION	RSB OPINION	OPINION
Fitness Check of the EU Ambient Air Quality Directives	<a href="#">SWD(2019) 427</a>	DG ENV	06/11/2019 19/07/2019	<a href="#">opinion</a>	positive negative
Evaluation of the External Lending Mandate of the European Investment Bank	<a href="#">SWD(2019) 333</a>	DG ECFIN	17/07/2019	<a href="#">opinion</a>	positive
Evaluation of Regulation (EC) No 1005/2009 on substances that deplete the ozone layer	<a href="#">SWD(2019) 406</a>	DG CLIMA	21/06/2019	<a href="#">opinion</a>	positive
Evaluation of Energy Taxation Directive	<a href="#">SWD(2019) 329</a>	DG TAXUD	23/07/2019 21/06/2019	<a href="#">opinion</a>	positive negative
Evaluation of Regulation (EU) No 305/2011 laying down harmonised conditions for the marketing of construction products	<a href="#">SWD(2019) 1770</a>	DG GROW	04/06/2019	<a href="#">opinion</a>	positive
Fitness check of the Water Framework Directive and the Floods Directive	<a href="#">SWD(2019) 439</a>	DG ENV	15/11/2019 19/07/2019	<a href="#">opinion</a>	positive negative
Evaluation of the Urban Waste Water Treatment Directive	<a href="#">SWD(2019) 700</a>	DG ENV	19/07/2019	<a href="#">opinion</a>	positive
Evaluation of the Administrative Cooperation in the Field of Direct Taxation	<a href="#">SWD(2019) 327</a>	DG TAXUD	03/07/2019	<a href="#">opinion</a>	positive

TITLE	LINKED SWD	RESPONSIBLE DG	DATE OF OPINION	RSB OPINION	OPINION
Fitness check of EU Supervisory Reporting Requirements	<a href="#">SWD(2019) 402</a>	DG FISMA	03/07/2019	<a href="#">opinion</a>	positive
Evaluation of Major Projects in Transport Financed by the ERDF and the Cohesion Fund (2000-2013)	<a href="#">SWD(2020) 41</a>	DG REGIO	07/06/2019	<a href="#">opinion</a>	negative
Evaluation of the 7th Environment Action Programme	<a href="#">SWD(2019) 181</a>	DG ENV	30/01/2019	<a href="#">opinion</a>	positive
Evaluation of the Air Services Regulation 1008/2008	<a href="#">SWD(2019) 295</a>	DG MOVE	15/02/2019 24/10/2018	<a href="#">opinion</a>	positive negative
Fitness Check of the most relevant chemical legislation (excluding REACH)	<a href="#">SWD(2019) 199</a>	DG GROW	12/09/2018	<a href="#">opinion</a>	positive
Evaluation of EU agencies	<a href="#">SWD(2019) 159</a>	DG EMPL	27/07/2018 30/05/2018	<a href="#">2<sup>nd</sup> opinion</a> <a href="#">1<sup>st</sup> opinion</a>	positive negative
Evaluation Emergency Support Instrument	<a href="#">SWD(2019) 97</a>	DG ECHO	n/a 14/12/2018	<a href="#">2<sup>nd</sup> opinion</a> <a href="#">1<sup>st</sup> opinion</a>	n/a negative
Evaluation of the greening in direct payments - Regulation (EU) No 1307/2013	<a href="#">SWD(2018) 478</a>	DG AGRI	28/03/2018	<a href="#">opinion</a>	positive
Evaluation of the European Fund for Strategic Investments	<a href="#">SWD(2018) 316</a>	DG ECFIN	16/05/2018	<a href="#">opinion</a>	positive
Evaluation of the EU Strategy on adaptation to climate change	<a href="#">SWD(2018) 461</a>	DG CLIMA	27/06/2018	<a href="#">opinion</a>	positive
Fitness check of part of maritime transport legislation	<a href="#">SWD(2018) 228</a>	DG MOVE	31/05/2017	<a href="#">opinion</a>	positive
Comprehensive evaluation of the European Union's Humanitarian Aid actions, 2011-2016	<a href="#">SWD(2019) 3</a>	DG ECHO	16/05/2018	<a href="#">opinion</a>	positive

TITLE	LINKED SWD	RESPONSIBLE DG	DATE OF OPINION	RSB OPINION	OPINION
Ex-post evaluation of the External Borders Fund 2011-2013	<a href="#">SWD(2018) 336</a>	DG HOME	01/03/2017	<a href="#">opinion</a>	negative
Ex-post evaluation of the European Refugee Fund 2011 - 2013	<a href="#">SWD(2018) 334</a>	DG HOME	06/06/2017	<a href="#">opinion</a>	positive
Mid-term evaluation of the European Globalisation Adjustment Fund	<a href="#">SWD(2018) 192</a>	DG EMPL	06/06/2017	<a href="#">opinion</a>	negative
Fitness Check of the General Food Law Regulation (EC) No 178/2002	<a href="#">SWD(2018) 38/1</a> <a href="#">SWD(2018) 38/2</a>	DG SANTE	11/10/2017	<a href="#">opinion</a>	positive
REACH Evaluation	<a href="#">SWD(2018) 58</a>	DG ENV	27/09/2017	<a href="#">opinion</a>	positive
Evaluation of the application of the market surveillance provisions relating to the marketing of products	<a href="#">SWD(2017) 469</a>	DG GROW	05/04/2017 n/a	<a href="#">2nd opinion</a> <a href="#">1st opinion</a>	positive negative
Mid-term evaluation of the Erasmus+ Programme	<a href="#">SWD(2018) 40</a>	DG EAC	15/11/2017	<a href="#">opinion</a>	positive
Mutual recognition of goods (back-to-back)	<a href="#">SWD(2017) 475</a>	DG GROW	05/04/2017	<a href="#">opinion</a>	positive
Mid-term evaluation of the Connecting Europe Facility (CEF)	<a href="#">SWD(2018) 44/1</a>	DG MOVE	05/07/2017	<a href="#">opinion</a>	negative
Evaluation of European Neighbourhood Instrument 2014-2017	<a href="#">SWD(2017) 602</a>	DG NEAR	13/09/2017	<a href="#">2nd opinion</a> <a href="#">1st opinion</a>	positive negative
Evaluation of the Financial Conglomerates Directive (FICOD), Directive 2002/87/EU	<a href="#">SWD(2017) 272</a>	DG FISMA	15/02/2017	<a href="#">opinion</a>	negative
Mid-Term Evaluation of the LIFE Programme for Environment and Climate Action	<a href="#">SWD(2017) 355</a>	DG ENV	15/02/2017	<a href="#">opinion</a>	positive









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Ex-post evaluation provides an evidence-based assessment of the performance of policies and legislation. Its findings support political decision-making and inform the design of new interventions. For this reason, and notably under the EU's Better Regulation agenda, evaluation has become a key policy-making tool at EU level. At the same time, evaluation is an aid for legislators, in particular at the policy review stage. The European Parliament therefore has a keen interest in obtaining a complete picture of ongoing Commission evaluations and in having timely access to evaluation results.

This fourth edition of the EPRS rolling check-list 'Evaluation in the European Commission' is designed to provide a comprehensive overview of planned, ongoing and recently completed Commission evaluations. Compiled from a range of sources in the public domain, it seeks to fill a gap by granting a single access point to the Commission's evaluation planning and output, as of 30 June 2020. The dataset is preceded by an analysis of how the evaluation process has evolved since the 2015 Better Regulation reform, with particular regard to the transparency of the European Commission's ex post evaluation process.

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