



Plenary sitting

A8-0112/2017

29.3.2017

*****I**
REPORT

on the proposal for a decision of the European Parliament and of the Council on the participation of the Union in the Partnership for Research and Innovation in the Mediterranean Area (PRIMA) jointly undertaken by several Member States
(COM(2016)0662 – C8-0421/2016 – 2016/0325(COD))

Committee on Industry, Research and Energy

Rapporteur: Sofia Sakorafa

Symbols for procedures

- * Consultation procedure
- *** Consent procedure
- ***I Ordinary legislative procedure (first reading)
- ***II Ordinary legislative procedure (second reading)
- ***III Ordinary legislative procedure (third reading)

(The type of procedure depends on the legal basis proposed by the draft act.)

Amendments to a draft act

Amendments by Parliament set out in two columns

Deletions are indicated in ***bold italics*** in the left-hand column. Replacements are indicated in ***bold italics*** in both columns. New text is indicated in ***bold italics*** in the right-hand column.

The first and second lines of the header of each amendment identify the relevant part of the draft act under consideration. If an amendment pertains to an existing act that the draft act is seeking to amend, the amendment heading includes a third line identifying the existing act and a fourth line identifying the provision in that act that Parliament wishes to amend.

Amendments by Parliament in the form of a consolidated text

New text is highlighted in ***bold italics***. Deletions are indicated using either the ■ symbol or strikeout. Replacements are indicated by highlighting the new text in ***bold italics*** and by deleting or striking out the text that has been replaced.

By way of exception, purely technical changes made by the drafting departments in preparing the final text are not highlighted.

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DRAFT EUROPEAN PARLIAMENT LEGISLATIVE RESOLUTION

on the proposal for a decision of the European Parliament and of the Council on the participation of the Union in the Partnership for Research and Innovation in the Mediterranean Area (PRIMA) jointly undertaken by several Member States (COM(2016)0662 – C8-0421/2016 – 2016/0325(COD))

(Ordinary legislative procedure: first reading)

The European Parliament,

- having regard to the Commission proposal to Parliament and the Council (COM(2016)0662),
 - having regard to Article 294(2) and Articles 185 and 188 of the Treaty on the Functioning of the European Union, pursuant to which the Commission submitted the proposal to Parliament (C8-0421/2016),
 - having regard to Article 294(3) of the Treaty on the Functioning of the European Union,
 - having regard to the opinion of the European Economic and Social Committee of 26 January 2017¹,
 - having regard to Rule 59 of its Rules of Procedure,
 - having regard to the report of the Committee on Industry, Research and Energy and the opinion of the Committee on the Environment, Public Health and Food Safety (A8-0112/2017),
1. Adopts its position at first reading hereinafter set out;
 2. Calls on the Commission to refer the matter to Parliament again if it replaces, substantially amends or intends to substantially amend its proposal;
 3. Instructs its President to forward its position to the Council, the Commission and the national parliaments.

Amendment 1

Proposal for a decision
Citation 1 a (new)

Text proposed by the Commission

Amendment

Having regard the Charter of United Nations, including Article 73 regarding non self-governing territories,

¹ Not yet published in the Official Journal.

Amendment 2

Proposal for a decision Citation 3 a (new)

Text proposed by the Commission

Amendment

Having regard to Commission Guidelines on the eligibility of Israeli entities and their activities in the territories occupied by Israel since June 1967 for grants, prizes and financial instruments funded by the EU from 2014 onwards^{1a},

^{1a} *O J C 205, 19.7.2013., pg. 9.*

Amendment 3

Proposal for a decision Citation 3 b (new)

Text proposed by the Commission

Amendment

Having regard to the Protocol No 1 on the role of national Parliaments in the European Union,

Amendment 4

Proposal for a decision Citation 3 c (new)

Text proposed by the Commission

Amendment

Having regard to the Protocol No 2 on the application of the principles of subsidiarity and proportionality,

Amendment 5

Proposal for a decision Recital 1 a (new)

Text proposed by the Commission

Amendment

(1a) Access to water is a universal right that needs to be guaranteed for all citizens. Therefore, the full implementation of the human right to water and sanitation, including availability, accessibility, acceptability and quality, as recognised by the United Nations and supported by Member States, is essential and the proper management of water resources plays a crucial role in guaranteeing sustainable water use and safeguarding the world's natural resources. The combined effects of human activity and climate change have resulted in the whole of the Mediterranean region being classified as water-scarce, semi-desert region.

mendment 6

Proposal for a decision Recital 1 b(new)

Text proposed by the Commission

Amendment

(1b) The Mediterranean region is strategically important for the Union from a political, economic, cultural, scientific and environmental point of view;

Amendment 7

Proposal for a decision Recital 2

Text proposed by the Commission

Amendment

(2) Regulation (EU) No 1291/2013 of the European Parliament and of the Council⁴ established Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) ('Horizon 2020'). Horizon 2020 aims at achieving a greater impact on research and innovation by contributing to the strengthening of public-public partnerships, including through

(2) Regulation (EU) No 1291/2013 of the European Parliament and of the Council⁴ established Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) ('Horizon 2020'). Horizon 2020 aims at achieving a greater impact on research and innovation by contributing to the strengthening of public-public partnerships, including through

Union participation in programmes undertaken by several Member States.

Union participation in programmes undertaken by several Member States, ***with a view to the sustainable development of Member States.***

⁴ Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

⁴ Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Amendment 8

Proposal for a decision

Recital 3

Text proposed by the Commission

(3) Public-public partnerships should aim to develop closer synergies, increase coordination and avoid unnecessary duplication with Union, international, national and regional research programmes, and should fully respect the Horizon 2020 general principles, in particular those relating to openness and transparency.

Amendment

(3) Public-public partnerships should aim to develop closer synergies, increase coordination and avoid unnecessary duplication with Union, international, national and regional research programmes, and should fully respect the Horizon 2020 general principles ***with the aim of strengthening research and innovation in order to achieve sustainable development*** in particular those relating to openness, transparency ***and accessibility.***

Amendment 9

Proposal for a decision

Recital 3 a (new)

Text proposed by the Commission

Amendment

(3a) In accordance with Article 19(2) of Regulation (EU) No 1291/2013, research and innovation activities carried out under Horizon 2020, including all possible public-public partnerships such as PRIMA, are to have an exclusive focus

on civil applications.

Amendment 10

Proposal for a decision

Recital 4

Text proposed by the Commission

(4) Regulation (EU) No 1291/2013 has identified 'Climate action, environment, resource efficiency and raw materials' and 'Food security, sustainable agriculture and forestry, marine, maritime and inland water research, and the bioeconomy' as two of the priority societal challenges to be addressed by supporting investment in research and innovation. Moreover, Regulation (EU) No 1291/2013 recognises that research and innovation activities for these challenges should be carried out at the Union level and beyond, given the transnational and global nature of the climate and the environment, their scale and complexity, and the international dimension of the food and agricultural supply chain.

Amendment

(4) Regulation (EU) No 1291/2013 has identified 'Climate action, environment, resource efficiency and raw materials' and 'Food security, sustainable agriculture and forestry, marine, maritime and inland water research, and the bioeconomy' as two of the priority societal challenges to be addressed ***without delay*** by supporting investment in research and innovation. Moreover, Regulation (EU) No 1291/2013 recognises that research and innovation activities for these challenges should be carried out at the Union level and beyond, given the transnational and global nature of the climate and the environment, their scale and complexity, and the international dimension of the food and agricultural supply chain.

Amendment 11

Proposal for a decision

Recital 5

Text proposed by the Commission

(5) Regulation (EU) No 1291/2013 acknowledges that international cooperation with third countries is necessary to address effectively common challenges. International cooperation in research and innovation is a key aspect of the Union's global commitments and has an important role to play in the Union's partnership with neighbourhood countries. This cooperation follows the approach taken in the European neighbourhood policy to differentiate the level of cooperation with each country in the

Amendment

(5) Regulation (EU) No 1291/2013 acknowledges that international cooperation with third countries is necessary to address effectively common challenges. International cooperation in research and innovation is a key aspect of the Union's global commitments and has an important role to play in the Union's partnership with neighbourhood countries ***and with other third countries***. This cooperation follows the approach taken in the European neighbourhood policy to differentiate the level of cooperation with

neighbourhood based on their commitment towards the Union.

each country in the neighbourhood based on their commitment towards the Union.

Amendment 12

Proposal for a decision

Recital 7

Text proposed by the Commission

(7) On 23 December 2014, a group of 19 countries of the Mediterranean Area submitted to the Commission a proposal for a Joint Programme initiative 'Partnership for Research and Innovation in the Mediterranean Area' (PRIMA). Among these countries, 14 countries have agreed to jointly undertake the PRIMA initiative by committing financial contributions: Cyprus, **Czech Republic**, France, Greece, Italy, Luxembourg, Malta, Portugal and Spain, Member States of the Union; Israel and Tunisia, third countries associated to Horizon 2020; Egypt, Lebanon and Morocco, third countries not associated to Horizon 2020.

Amendment

(7) On 23 December 2014, a group of 19 countries of the Mediterranean Area submitted to the Commission a proposal for a Joint Programme initiative 'Partnership for Research and Innovation in the Mediterranean Area' (PRIMA). Since then, 15 countries have agreed to jointly undertake the PRIMA initiative by committing financial contributions: Cyprus, **Germany**, France, Greece, Italy, Luxembourg, Malta, Portugal and Spain, Member States of the Union; Israel and Tunisia, third countries associated to Horizon 2020; Egypt, **Jordan**, Lebanon and Morocco, third countries not associated to Horizon 2020.

Amendment 13

Proposal for a decision

Recital 8

Text proposed by the Commission

(8) PRIMA aims at implementing a joint programme for the development and the adoption of innovative and integrated solutions for improving the efficiency, safety, security and sustainability of **food production and water provision** in the Mediterranean area. PRIMA should contribute to the achievement of the recently-agreed Sustainable Development Goals and to the forthcoming European Sustainable Development Strategy.

Amendment

(8) PRIMA aims at implementing a joint programme for the development and the adoption of innovative and integrated solutions for improving the efficiency, safety, security and sustainability of **agri-food systems and integrated water management** in the Mediterranean area. PRIMA should contribute to the achievement of the recently agreed Sustainable Development Goals and to the forthcoming European Sustainable Development Strategy, **as well as to the**

goals of the Paris Agreement.

Amendment 14

Proposal for a decision

Recital 8 a (new)

Text proposed by the Commission

Amendment

(8a) Integrated water management, including the reuse and treatment of waste water, implies that all uses of water resources are considered together and includes, in order to be environmentally and socially sustainable, public participation, full transparency and democratic accountability in the core of its values.

Amendment 15

Proposal for a decision

Recital 8 b (new)

Text proposed by the Commission

Amendment

(8b) A sustainable agri-food system aims to meet the requirements of citizens and the environment for safe, healthy and affordable food and to make food and feed processing, distribution and consumption more sustainable with aim to minimizing the food losses and agro-food waste. Furthermore, PRIMA activities should focus on developing services, concepts and policies for thriving rural livelihoods and encouraging sustainable consumption.

Amendment 16

Proposal for a decision

Recital 8 c (new)

Text proposed by the Commission

Amendment

(8c) *The water scarcity in the Mediterranean region requires a different energy solution and the introduction of more efficient patterns. Renewable energy should be integrated in the processes to replace fossil fuels.*

Amendment 17

Proposal for a decision Recital 8 d (new)

Text proposed by the Commission

Amendment

(8d) *Open, democratic and participatory governance is crucial to ensure that the most cost-effective solutions regarding water resources management are taken for the benefit of the whole society.*

Amendment 18

Proposal for a decision Recital 9

Text proposed by the Commission

Amendment

(9) For ensuring participation of the third countries not associated to Horizon 2020 in PRIMA, namely Egypt, Lebanon and Morocco, international agreements between the Union and these third countries should be required in order to extend to them the legal regime established by this Decision.

(9) For ensuring participation of the third countries not associated to Horizon 2020 in PRIMA, namely Egypt, **Jordan**, Lebanon and Morocco, **Science and Technology** international agreements between the Union and these third countries should be required in order to extend to them the legal regime established by this Decision.

Amendment 19

Proposal for a decision Recital 10

Text proposed by the Commission

(10) In line with the objectives of Horizon 2020, any other Member State and third country associated to Horizon 2020 should be entitled to participate in PRIMA if it commits to contribute to the financing of PRIMA.

Amendment

(10) In line with the objectives of Horizon 2020 and ***in order to increase employment and growth***, any other Member State and third country associated to Horizon 2020 should be entitled to participate in PRIMA if it commits to contribute to the financing of PRIMA ***with an adequate percentage of the total effort***.

Amendment 20

Proposal for a decision
Recital 11

Text proposed by the Commission

(11) In order to achieve the objectives of PRIMA, participation of any other third country not associated to Horizon 2020, in particular Southern Mediterranean countries, should be possible if it commits to contribute to the financing of PRIMA and if the PRIMA-IS approves its participation. Its participation should also be provided for by the relevant international agreement between such third country and the Union.

Amendment

(11) In order to achieve the objectives of PRIMA, participation of any other third country not associated to Horizon 2020, in particular Southern Mediterranean countries, should be possible if it commits to contribute to the financing of PRIMA and if the PRIMA-IS approves its participation. Its participation should also be provided for by the relevant ***Science and Technology*** international agreement between such third country and the Union.

Amendment 21

Proposal for a decision
Recital 12

Text proposed by the Commission

(12) In order to ensure the joint implementation of PRIMA, an implementation structure should be set up ('PRIMA-IS'). The PRIMA-IS should be the recipient of the Union's financial contribution and it should ensure the efficient implementation of PRIMA.

Amendment

(12) In order to ensure the joint implementation of PRIMA, an implementation structure should be set up ('PRIMA-IS'). The PRIMA-IS should be the recipient of the Union's financial contribution and it should ensure the efficient ***and transparent*** implementation of PRIMA.

Amendment 22

Proposal for a decision

Recital 13

Text proposed by the Commission

(13) The Union's financial contribution should be subject to formal commitments from the Participating States to contribute to the financing of PRIMA and to the fulfilment of those commitments in accordance with the terms of this Decision. Flexibility should be provided to the Participating States to optionally contribute financially to the PRIMA-IS in view of funding indirect actions, thus achieving a high degree of financial integration. Furthermore, Participating States should contribute financially or in kind to activities implemented without Union contribution. The period during which the Participating States have to provide their contribution should be clearly defined.

Amendment

(13) The Union's financial contribution should be subject to formal commitments from the Participating States to contribute to the financing of PRIMA and to the fulfilment **and implementation** of those commitments in accordance with the terms of this Decision. Flexibility should be provided to the Participating States to optionally contribute financially to the PRIMA-IS in view of funding indirect actions, thus achieving a high degree of financial integration. Furthermore, Participating States should contribute financially or in kind to activities implemented without Union contribution. The period during which the Participating States have to provide their contribution should be clearly defined.

Amendment 23

Proposal for a decision

Recital 13 a (new)

Text proposed by the Commission

Amendment

(13a) The Board of Trustees and the annual work programme should ensure a fair distribution of resources, including through an appropriate territorial focus;

Amendment 24

Proposal for a decision

Recital 14

Text proposed by the Commission

(14) A ceiling should be established for the Union's contribution in PRIMA with funding from Horizon 2020. Within that ceiling, the Union contribution should be equal to the contribution of the Participating States to PRIMA in order to achieve a high leverage effect and ensure a stronger integration of the Participating States' programmes. It should be possible to use a limited part of the Union contribution to cover administrative costs of the PRIMA-IS.

Amendment 25

**Proposal for a decision
Recital 16**

Text proposed by the Commission

(16) PRIMA activities should be in line with the objectives and research and innovation priorities of Horizon 2020 and with the general principles and conditions laid down in Article 26 of Regulation (EU) No 1291/2013. PRIMA should take into account the OECD definitions regarding Technological Readiness Level in the classification of technological research, product development and demonstration activities.

Amendment 26

**Proposal for a decision
Recital 16 a (new)**

Amendment

(14) A ceiling should be established for the Union's contribution in PRIMA with funding from Horizon 2020. Within that ceiling, the Union contribution should be equal to the contribution of the Participating States to PRIMA in order to achieve a high leverage effect and ensure a stronger integration of the Participating States' programmes. It should be possible to use a limited part of the Union contribution to cover administrative costs of the PRIMA-IS. ***An efficient administration of the programme should be ensured and administrative costs should be kept at minimum.***

Amendment

(16) PRIMA activities should be in line with the objectives and research and innovation priorities of Horizon 2020, ***which operate as key drivers for smart, sustainable and inclusive development,*** and with the general principles and conditions laid down in Article 26 of Regulation (EU) No 1291/2013. PRIMA should take into account the OECD definitions regarding Technological Readiness Level in the classification of technological research, product development and demonstration activities.

Text proposed by the Commission

Amendment

(16a) PRIMA should support a wide range of research and innovation activities, including capacity building, training, awareness and dissemination actions, mobility of researchers, research, development and innovation projects, and innovative demonstrators and pilot plants, addressing a wide range of Technology Readiness Levels and ensuring an appropriate balance between small and large projects.

Amendment 27

**Proposal for a decision
Recital 16 b (new)**

Text proposed by the Commission

Amendment

(16b) PRIMA should be implemented on the basis of an annual work plan setting out the activities to be undertaken in a given year. The PRIMA-IS should monitor the results of calls for proposals and the extent to which scientific topics, expected impacts and oversubscription in terms of proposals above threshold that could not be funded were adequately addressed. In justified cases PRIMA-IS should undertake corrective actions in amended or subsequent annual work plans.

Amendment 28

**Proposal for a decision
Recital 17 a (new)**

Text proposed by the Commission

Amendment

(17a) As regards the nature of the research and innovation entities, participation in the programme should be

non-discriminatory. Barriers preventing the participation of newcomers to the programme should be identified and addressed. In this context, the participation of universities, research centres and SMEs should be promoted.

Amendment 29

Proposal for a decision Recital 17 b (new)

Text proposed by the Commission

Amendment

(17b) In achieving its objectives, and in line with the applicable rules and principles, such as the principle of scientific excellence, PRIMA-IS should aim at an appropriate share of approximately 25% of Union funding reflecting the commitment of Mediterranean partner countries to the programme being provided to legal entities established in targeted third countries considered as Participating States.

Amendment 30

Proposal for a decision Recital 18

Text proposed by the Commission

Amendment

(18) Calls for proposals managed by the PRIMA-IS should also be published on the single portal for participants, as well as through other Horizon 2020 electronic means of dissemination managed by the Commission.

(18) Calls for proposals *and reasoned decisions regarding the selection of projects* managed by the PRIMA-IS should also be published on the single **PRIMA Portal of Horizon 2020**, as well as through other Horizon 2020 electronic means of dissemination managed by the Commission.

Amendment 31

Proposal for a decision Recital 18 a (new)

Text proposed by the Commission

Amendment

(18a) PRIMA-IS should approve a model grant agreement, which should define how entities established in countries not participating in PRIMA should provide appropriate guarantees to the PRIMA-IS to cover the risk of default or mismanagement of Union funding.

Amendment 32

Proposal for a decision Recital 18 b (new)

Text proposed by the Commission

Amendment

(18b) The PRIMA-IS should be continuously measuring the effects of projects implemented.

Amendment 33

Proposal for a decision Recital 18 c (new)

Text proposed by the Commission

Amendment

(18c) The PRIMA-IS may introduce in its annual work plans additional conditions for participation, for example to provide for all projects under a certain call to be coordinated by entities established in Participating States.

Amendment 34

Proposal for a decision Recital 19 a (new)

Text proposed by the Commission

Amendment

(19a) To ensure a sound financial management of Union funds, adequate financial guarantees should be provided to the PRIMA-IS. These guarantees should be sufficient and proportionate. In case they are provided by the Participating States, each one of them should be liable for a maximum amount less or equal to its financial commitment to the PRIMA programme. The total recovery should be limited to the maximum amount of EUR 200 000 000.

Justification

Related to amendment proposing a new paragraph 1a in Article 8.

Amendment 35

Proposal for a decision Recital 20

Text proposed by the Commission

Amendment

(20) In order to protect the Union's financial interests, the Commission should have the right to reduce, ***suspend or terminate*** the Union's financial contribution if PRIMA is implemented inadequately, partially or late, or if the Participating States do not contribute, or contribute partially or late, to the financing of PRIMA.

(20) In order to protect the Union's financial interests, the Commission should have the right to reduce ***or suspend*** the Union's financial contribution if PRIMA is implemented inadequately, partially or late, or if the Participating States do not contribute, or contribute partially or late, to the financing of PRIMA.

Amendment 36

Proposal for a decision Recital 21

Text proposed by the Commission

Amendment

(21) Participation in indirect actions funded by the PRIMA-IS is subject to Regulation (EU) No 1290/2013 of the

(21) With a view to the overall aim of Horizon 2020 of achieving greater simplification and harmonisation of the

European Parliament and of the Council⁷. However, due to the specific operating needs of PRIMA, it is necessary to provide *for* derogations in accordance with Article 1(3) of that Regulation.

European research and innovation funding landscape, public-public partnerships should establish simple governance models and avoid where possible different sets of rules from those of Horizon 2020. Therefore participation in indirect actions funded by the PRIMA-IS is subject to Regulation (EU) No 1290/2013 of the European Parliament and of the Council⁷. However, due to the specific operating needs of PRIMA, it is necessary to provide *limited* derogations in accordance with Article 1(3) of that Regulation.

⁷ Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

⁷ Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Amendment 37

Proposal for a decision

Recital 22

Text proposed by the Commission

(22) Derogations from point (b) of Article 9(1) and from Article 9(3) of Regulation (EU) No 1290/2013 are necessary in order to account for the specificities resulting from the geographical scope of PRIMA, by further adjusting the minimum eligibility conditions for participation in indirect actions. In order to ensure balanced core participation in indirect actions under a north-south configuration, as a derogation from point (b) of Article 9(1) of Regulation (EU) No 1290/2013, the minimum number of participants should be three legal entities

Amendment

(22) Derogations from point (b) of Article 9(1) and from Article 9(3) of Regulation (EU) No 1290/2013 are necessary in order to account for the specificities resulting from the geographical scope of PRIMA, by further adjusting the minimum eligibility conditions for participation in indirect actions. In order to ensure balanced core participation in indirect actions under a north-south configuration, as a derogation from point (b) of Article 9(1) of Regulation (EU) No 1290/2013, the minimum number of participants should be three legal entities

established in three different Participating States *of which one is established in a Member State or in a country associated to Horizon 2020, and one is established in a third country, associated or not to Horizon 2020*. Derogation from Article 9(3) of Regulation (EU) No 1290/2013 is necessary in order to ensure that the minimum eligibility conditions for participation in indirect actions are not discriminatory for entities established in third countries participating in PRIMA as Participating States. In order to ensure that funding conditions are not discriminatory for entities established in third countries participating in PRIMA as Participating States, it should be provided for that legal entities established in the Participating States are eligible for funding in addition to entities referred to in Article 10(1) of Regulation (EU) No 1290/2013. Derogations from Article 12 of Regulation (EU) No 1290/2013 are necessary in order to allow broadening cooperation through joint calls launched by the PRIMA-IS with legal entities other than third countries and international organisations.

established in three different Participating States, *fostering a balanced Euro-Mediterranean cooperation*. Derogation from Article 9(3) of Regulation (EU) No 1290/2013 is necessary in order to ensure that the minimum eligibility conditions for participation in indirect actions are not discriminatory for entities established in third countries participating in PRIMA as Participating States. In order to ensure that funding conditions are not discriminatory for entities established in third countries participating in PRIMA as Participating States, it should be provided for that legal entities established in the Participating States are eligible for funding in addition to entities referred to in Article 10(1) of Regulation (EU) No 1290/2013. *It should be possible to fund, under PRIMA, by way of derogation from Article 10(1) and (2) of Regulation (EU) No 1290/2013, international organisations and entities established in a non-participating state which are not eligible for funding under PRIMA, provided that the specific conditions set out in this Regulation are fulfilled*. Derogations from Article 12 of Regulation (EU) No 1290/2013 are necessary in order to allow broadening cooperation through joint calls launched by the PRIMA-IS with legal entities other than third countries and international organisations.

Amendment 38

Proposal for a decision

Recital 23

Text proposed by the Commission

(23) For the purpose of simplification, administrative burden should be **reduced** for all parties. Double audits and disproportionate documentation and reporting should be avoided. When audits are conducted, the specificities of the national programmes should be taken into

Amendment

(23) For the purpose of simplification, administrative burden should be **strictly proportionate to the foreseen effects** for all parties. Double audits and disproportionate documentation and reporting should be avoided. **Harmonised methodology for data collection from the**

account, as appropriate.

participating states should be set. When audits are conducted, the specificities of the national programmes should be taken into account, as appropriate.

Amendment 39

Proposal for a decision

Recital 26

Text proposed by the Commission

(26) The Commission should conduct an interim evaluation assessing in particular the quality and efficiency of PRIMA and the progress made towards the objectives set, and a final evaluation, and should prepare reports on those evaluations.

Amendment

(26) The Commission *taking into account the views of the Participating States*, should conduct an interim evaluation assessing in particular the quality and efficiency of PRIMA and the progress made towards the objectives set, and a final evaluation, and should prepare reports on those evaluations.

Amendment 40

Proposal for a decision

Recital 27

Text proposed by the Commission

(27) Upon request from the Commission, the PRIMA-IS and the Participating States should submit any information the Commission needs to include in the reports on the evaluation of PRIMA.

Amendment

(27) Upon request from the Commission, the PRIMA-IS and the Participating States should submit, *in a harmonised format*, any information the Commission needs to include in the reports on the evaluation of PRIMA.

Amendment 41

Proposal for a decision

Recital 28

Text proposed by the Commission

(28) The objective of this Decision is to strengthen the integration and alignment of research and innovation systems and

Amendment

(28) The objective of this Decision is to strengthen the integration and alignment of research and innovation systems and

activities in the Mediterranean countries in the fields of water provision **and food systems**. The scale of the research and innovation necessary to address the challenges in the Mediterranean area is immense due to the systemic character of the major bottlenecks. The scope of research and innovation is complex, multidisciplinary and requires a multi-actor and cross-border approach. A collaborative approach with a wide set of Participating States can help to increase the required scale and scope, by pooling financial and intellectual resources. Since the objective can therefore be better achieved at Union level by integrating national efforts into a consistent Union approach, by bringing together compartmentalised national research programmes, by helping design common research and funding strategies across national borders, and by achieving the critical mass of actors and investments required, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond what is necessary in order to achieve those objectives.

activities in the Mediterranean countries in the fields of **sustainable agro-food systems and integrated water management**. The scale of the research and innovation necessary to address the challenges in the Mediterranean area is immense due to the systemic character of the major bottlenecks. The scope of research and innovation is complex, multidisciplinary and requires a multi-actor and cross-border approach. A collaborative approach with a wide set of Participating States can help to increase the required scale and scope, by pooling financial and intellectual resources. Since the objective can therefore be better achieved at Union level by integrating national efforts into a consistent Union approach, by bringing together compartmentalised national research programmes, by helping design common research and funding strategies across national borders, and by achieving the critical mass of actors and investments required, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond what is necessary in order to achieve those objectives.

Amendment 42

Proposal for a decision Article 1 – paragraph 1

Text proposed by the Commission

1. The Union shall participate in the Partnership for Research and Innovation in the Mediterranean Area ('PRIMA') jointly undertaken by [Cyprus, **Czech Republic**, **France**, Greece, Israel, Italy, Luxembourg, Malta, Portugal, Spain and Tunisia] ('Participating States'), in accordance with

Amendment

1. The Union shall participate in the Partnership for Research and Innovation in the Mediterranean Area ('PRIMA') jointly undertaken by [Cyprus, France, **Germany**, Greece, Israel, Italy, Luxembourg, Malta, Portugal, Spain and Tunisia] ('Participating States'), in accordance with the conditions

the conditions laid down in this Decision.

laid down in this Decision.

Amendment 43

Proposal for a decision Article 1 – paragraph 2

Text proposed by the Commission

2. Egypt, Lebanon and Morocco shall become Participating States subject to the conclusion of international agreements with the Union setting out the terms and conditions of their participation in PRIMA.

Amendment

2. Egypt, **Jordan**, Lebanon and Morocco shall become Participating States subject to the conclusion of **Science and Technology** international agreements with the Union setting out the terms and conditions of their participation in PRIMA

Amendment 44

Proposal for a decision Article 1 – paragraph 3

Text proposed by the Commission

3. Any Member State and any **country** associated to Horizon 2020 other than those listed in paragraph 1 may participate in PRIMA provided it fulfils the condition laid down in point (c) of Article 4(1) of this Decision. If it fulfils **that condition**, it shall be regarded as a Participating State for the purposes of this Decision.

Amendment

3. Any Member State and any country associated to Horizon 2020 other than those listed in paragraph 1 may participate in PRIMA provided it fulfils the condition laid down in point (c) of Article 4(1) of this Decision **and complies in particular with Article 11(5)**. If it fulfils **these conditions**, it shall be regarded as a Participating State for the purposes of this Decision.

Amendment 45

Proposal for a decision Article 1 – paragraph 4

Text proposed by the Commission

4. Any third country not associated to Horizon 2020 other than those listed in paragraph 2 may participate in PRIMA

Amendment

4. Any third country not associated to Horizon 2020 other than those listed in paragraph 2 may participate in PRIMA

provided that:

(a) it fulfils the condition laid down in point (c) of Article 4(1) of this Decision;

(b) the PRIMA-IS approves its participation in PRIMA after examining the relevance of its participation for achieving the objectives of PRIMA; and

(c) it concludes an international agreement with the Union setting out the terms and conditions of its participation in PRIMA.

If the country complies with the requirements set out in the first subparagraph, it shall be regarded as a Participating State for the purposes of this Decision.

Amendment 46

Proposal for a decision Article 2 – paragraph 1

Text proposed by the Commission

1. The general objective of PRIMA is to develop the fully piloted and demonstrated common innovative solutions for water *provision and food* systems in the Mediterranean region, to make them more climate resilient, efficient, cost-effective and sustainable, and to contribute to solving nutrition, health, well-being and migration problems upstream.

provided that:

(a) it fulfils the condition laid down in point (c) of Article 4(1) ***and complies in particular with Article 11(5)*** of this Decision;

(b) the PRIMA-IS approves its participation in PRIMA after examining the relevance of its participation for achieving the objectives of PRIMA; and

(c) it concludes an international agreement with the Union setting out the terms and conditions of its participation in PRIMA.

If the country complies with the requirements set out in the first subparagraph, it shall be regarded as a Participating State for the purposes of this Decision.

Amendment

1. ***In line with the priorities of Horizon 2020***, the general objective of PRIMA is to ***build research and innovation capacities and to develop knowledge and*** common innovative solutions for ***sustainable agri-food*** systems ***and integrated*** water ***management*** in the Mediterranean region, to make them more climate resilient, efficient, cost-effective and ***environmentally and socially*** sustainable, and to contribute to solving ***water scarcity, food security***, nutrition, health, well-being and migration problems upstream.

Amendment 47

Proposal for a decision Article 2 – paragraph 2

Text proposed by the Commission

2. In order to contribute to the general objective, PRIMA shall fulfil the following specific objectives:

(i) the formulation of a stable, long-term, common strategic agenda in the area of water provision and food systems;

(ii) the orientation of all national R&I programmes towards the implementation of the strategic agenda;

(iii) the **structural** involvement of all relevant public and private sector actors in implementing the strategic agenda by pooling knowledge and financial resources to achieve the necessary critical mass;

(iii) the involvement of all relevant public and private sector actors in implementing the strategic agenda by pooling knowledge and financial resources to achieve the necessary critical mass;

Amendment

2. In order to contribute to the general objective, PRIMA shall fulfil the following specific objectives:

(i) the formulation of a stable, long-term, common strategic agenda in the area of **sustainable agri-food** systems and **integrated water management**;

(ii) the orientation of all **relevant** national **research and innovation** programmes towards the implementation of the strategic agenda **and the coordination amongst those programmes**;

(iii) the involvement of all relevant public and private sector actors in implementing the strategic agenda by pooling knowledge and financial resources to achieve the necessary critical mass;

(iv) the strengthening of **the research and innovation capacities** funding and of the implementation capabilities of all actors involved **including SMEs, academia, NGOs and local research centres**.

Amendment 48

Proposal for a decision Article 3 – paragraph 1

Text proposed by the Commission

1. The **maximum** Union's financial contribution, including EFTA appropriations, to PRIMA shall be EUR 200 000 000 **to** equal the contributions of the Participating States.

Amendment

1. The Union's financial contribution, including EFTA appropriations, to PRIMA shall be EUR 200 000 000 **and shall** equal the contributions of the Participating States.

Amendment 49

Proposal for a decision Article 3 – paragraph 2

Text proposed by the Commission

2. The Union's financial contribution shall be paid from the appropriations in the general budget of the Union allocated to the relevant parts of the Specific Programme implementing Horizon 2020, established by Council Decision 2013/743/EU⁸, and in particular from Part II 'Industrial Leadership' and Part III 'Societal challenges', in accordance with point (c)(vi) of Article 58(1) and Articles 60 and 61 of Regulation (EU, Euratom) No 966/2012.

⁸ Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Amendment 50

Proposal for a decision Article 3 – paragraph 3 – introductory part

Text proposed by the Commission

3. The Union's financial contribution shall be used by the implementation structure of PRIMA (the 'PRIMA-IS'):

Amendment

2. The Union's financial contribution ***referred to in paragraph 1*** shall be paid from the appropriations in the general budget of the Union allocated to the relevant parts of the Specific Programme implementing Horizon 2020, established by Council Decision 2013/743/EU⁸, and in particular from Part II 'Industrial Leadership' and Part III 'Societal challenges', in accordance with point (c)(vi) of Article 58(1) and Articles 60 and 61 of Regulation (EU, Euratom) No 966/2012.

⁸ Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Amendment

3. The Union's financial contribution ***referred to in paragraph 1*** shall be used by the implementation structure of PRIMA (the 'PRIMA-IS'):

Amendment 51

Proposal for a decision

Article 3 – paragraph 3 – point b

Text proposed by the Commission

(b) to cover the PRIMA-IS administrative costs, up to a maximum of **5%** of the Union's financial contribution.

Amendment

(b) to cover the PRIMA-IS administrative costs, up to a maximum of **6%** of the Union's financial contribution ***referred to in paragraph 1.***

Justification

Like in EDCTP

Amendment 52

Proposal for a decision

Article 4 – paragraph 1 – introductory part

Text proposed by the Commission

1. The Union's financial contribution shall be conditional upon the following:

Amendment

1. The Union's financial contribution ***referred to in Article 3(1)*** shall be conditional upon the following:

Amendment 53

Proposal for a decision

Article 4 – paragraph 1 – point b

Text proposed by the Commission

(b) the designation by the Participating States or organisations designated by the Participating States of an entity with legal personality, as referred to in Article 58(1)(c)(vi) of Regulation (EU, Euratom) No 966/2012, as the PRIMA-IS. The PRIMA-IS shall be responsible for efficiently implementing PRIMA, for receiving, allocating and monitoring the Union's financial contribution, as well as the Participating States' contribution, where appropriate, and for ensuring that all necessary actions are undertaken to achieve

Amendment

(b) the designation by the Participating States or organisations designated by the Participating States of an entity with legal personality, as referred to in Article 58(1)(c)(vi) of Regulation (EU, Euratom) No 966/2012, as the PRIMA-IS. The PRIMA-IS shall be responsible for efficiently implementing PRIMA, for receiving, allocating and monitoring the Union's financial contribution ***referred to in Article 3(1)***, as well as the Participating States' contribution, where appropriate, and for ensuring that all necessary actions are

the objectives of PRIMA;

undertaken to achieve the objectives of PRIMA;

Amendment 54

Proposal for a decision

Article 4 – paragraph 1 – point c

Text proposed by the Commission

(c) the commitment by each Participating State to contribute to the financing of PRIMA;

Amendment

(c) the commitment by each Participating State to contribute to the financing of PRIMA *with an adequate percentage of the total effort*;

Amendment 55

Proposal for a decision

Article 4 – paragraph 1 – point d

Text proposed by the Commission

(d) the demonstration by the PRIMA-IS of its capacity to implement PRIMA, including receiving, allocating and monitoring the Union's contribution in the framework of indirect management of the Union budget in accordance with Articles 58, 60 and 61 of Regulation (EU, Euratom) No 966/2012;

Amendment

(d) the demonstration by the PRIMA-IS of its capacity to implement PRIMA, including receiving, allocating and monitoring the Union's *financial* contribution *referred to in Article 3(1)* in the framework of indirect management of the Union budget in accordance with Articles 58, 60 and 61 of Regulation (EU, Euratom) No 966/2012;

Amendment 56

Proposal for a decision

Article 4 – paragraph 1 – point e

Text proposed by the Commission

(e) the establishment of *a* governance model for PRIMA in accordance with Article 12;

Amendment

(e) the establishment of *an efficient* governance model for PRIMA in accordance with Article 12;

Amendment 57

Proposal for a decision

Article 4 – paragraph 2 – introductory part

Text proposed by the Commission

2. During the implementation of PRIMA, the Union's financial contribution shall also be conditional upon the following:

Amendment

2. During the implementation of PRIMA, the Union's financial contribution ***referred to in Article 3(1)*** shall also be conditional upon the following:

Amendment 58

Proposal for a decision

Article 4 – paragraph 3

Text proposed by the Commission

3. The Commission shall assess the fulfilment of commitments undertaken by the Participating States, in particular through the first two PRIMA annual work plans. Following that assessment the maximum Union contribution referred to in Article 3(1) shall be reviewed in accordance with Article 9.

Amendment

3. The Commission shall assess the fulfilment of commitments undertaken by the Participating States, in particular through the first two PRIMA annual work plans. Following that assessment the maximum Union ***financial*** contribution referred to in Article 3(1) ***may*** be reviewed in accordance with Article 9.

Amendment 59

Proposal for a decision

Article 5 – paragraph 2 – point c

Text proposed by the Commission

(c) financial contributions to the administrative budget of the PRIMA-IS not covered by the Union contribution as set out in Article 3(3)(b).

Amendment

(c) financial ***or in-kind*** contributions to the administrative budget of the PRIMA-IS not covered by the Union ***financial*** contribution as set out in Article 3(3)(b).

Amendment 60

Proposal for a decision

Article 5 – paragraph 3

Text proposed by the Commission

3. In-kind contributions referred to in point (b) of paragraph 2 of this Article shall consist of costs incurred by the Participating States through their national funding bodies in implementing activities referred to in Article 6(1)(b), less any direct or indirect **Union** financial contribution to those costs.

Amendment

3. In-kind contributions referred to in point (b) of paragraph 2 of this Article shall consist of costs incurred by the Participating States through their national funding bodies in implementing activities referred to in Article 6(1)(b), less any direct or indirect **Union's** financial contribution to those costs.

Amendment 61

**Proposal for a decision
Article 5 – paragraph 5**

Text proposed by the Commission

5. Contributions referred to in points (a) **and (b)** of paragraph 2 counting as contributions from Participating States shall be made after the **entry into force of this Decision for the activities included in the first PRIMA annual work plan, and after adoption of the subsequent annual work plans by the PRIMA-IS.**

Amendment

5. Contributions referred to in points (a), **(b) and (c)** of paragraph 2 counting as contributions from Participating States shall be made after the **adoption of the annual work plan. If the annual work plan is adopted during the reference year referred to in Article 6(2), the contributions referred to in point (c) of paragraph 2 counting as contributions from Participating States included in the annual work plan may comprise contributions made as from 1 January of that year.**

By way of derogation from the first subparagraph, the contributions referred to in point (c) of paragraph 2 counting as contributions from Participating States included in the first annual work plan may comprise contributions made after the entry into force of this Decision.

Amendment 62

**Proposal for a decision
Article 6 – paragraph 1**

1. PRIMA shall support the following activities:

(a) indirect actions within the meaning of Regulations (EU) No 1290/2013 and (EU) No 1291/2013 funded by the PRIMA-IS in accordance with Article 7, mainly in the form of grants following transnational open and competitive calls for proposals organised by the PRIMA-IS, including

(i) research and innovation actions, as well as innovation actions, **including demonstrators, pilot plants, testing, pre-commercial deployment, addressing in particular the higher Technology Readiness Levels;**

(ii) coordination and support actions focussing on dissemination and outreach to promote PRIMA and maximise its impacts;

(b) activities funded by the Participating States **through their national funding bodies** without Union contribution, **addressing increasingly also the higher Technology Readiness Levels and** consisting in:

(i) activities selected following transnational open and competitive calls for proposals organised by the PRIMA-IS, managed by the national funding bodies under the national programmes of the Participating States, providing financial support mainly in the form of grants;

(ii) activities under the national programmes of the Participating States.

1. PRIMA shall support **a wide range of research and innovation activities and actions as established in the PRIMA annual work plan, addressing a wide range of Technology Readiness Levels and ensuring an appropriate balance between small and large projects, by means of:**

(a) indirect actions within the meaning of Regulations (EU) No 1290/2013 and (EU) No 1291/2013 funded by the PRIMA-IS in accordance with Article 7, mainly in the form of grants following transnational open and competitive calls for proposals organised by the PRIMA-IS, including

(i) research and innovation actions;

(ii) coordination and support actions focussing on dissemination and outreach to promote PRIMA and maximise its impacts;

(b) activities funded by the Participating States without Union contribution, consisting in:

(i) activities selected following transnational open and competitive calls for proposals organised by the PRIMA-IS, managed by the national funding bodies under the national programmes of the Participating States, providing financial support mainly in the form of grants;

(ii) activities under the national programmes of the Participating States **including transnational projects.**

Amendment 63

Proposal for a decision Article 6 – paragraph 2

Text proposed by the Commission

2. PRIMA shall be implemented on the basis of annual work plans, to be adopted by the PRIMA-IS, after obtaining approval by the Commission, ***by the end of the previous year. As an exception, the PRIMA annual work plan for 2018 may be adopted by 31 March 2018.*** The PRIMA-IS shall make the annual work plan publicly available.

Amendment

2. PRIMA shall be implemented on the basis of annual work plans, ***covering activities to be launched in the period from 1 January to 31 December of a given year ("reference year"). The annual plan shall be adopted by 31 March of the reference year,*** after obtaining approval by the Commission ***without undue delay. If the Commission does not respond to the request for approval of the annual work plan proposal in one month, the plan shall be deemed approved,*** The PRIMA-IS shall make the annual work plan publicly available.

Amendment 64

Proposal for a decision Article 6 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2a. The annual work plans shall be made available to the relevant programme committees for the specific programme implementing Horizon 2020.

Amendment 65

Proposal for a decision Article 6 – paragraph 2 b (new)

Text proposed by the Commission

Amendment

2b. All activities supported by PRIMA shall respect international law and Union law and shall be implemented under an

authority recognised by the Union as competent for that purpose.

Amendment 66

Proposal for a decision

Article 6 – paragraph 2 c (new)

Text proposed by the Commission

Amendment

2c. Activities referred to in points (a) and (b) of paragraph 1 may only be launched in the reference year after the adoption of the annual work plan.

Amendment 67

Proposal for a decision

Article 6 – paragraph 2 c (new)

Text proposed by the Commission

Amendment

2d. If the annual work plan is adopted during the reference year, the Union's financial contribution referred to in Article 3(1) may reimburse administrative costs of the PRIMA-IS incurred as from 1 January of that reference year in line with the annual work plan.

By way of derogation from the first subparagraph, the Union's financial contribution referred to in Article 3(1) may reimburse administrative costs of the PRIMA-IS incurred as from the entry into force of this Decision in line with the first annual work plan.

Amendment 68

Proposal for a decision

Article 6 – paragraph 3

Text proposed by the Commission

3. Activities may only be funded under PRIMA if they are set out in the PRIMA annual work plan. The PRIMA annual work plan shall distinguish between the activities referred to in point (a) of paragraph 1, the activities referred to in point (b) of that paragraph, as well as the administrative costs of the PRIMA-IS. It shall provide for their corresponding expenditure estimates as well as for the budget allocation to activities funded with **Union** contribution and to activities funded by the Participating States ***through their national funding bodies without Union contribution***. The PRIMA annual work plan shall also include the estimated value of the Participating States' in kind contributions referred to in Article 5(2)(b).

Amendment

3. Activities may only be funded under PRIMA if they are set out in the PRIMA annual work plan. The PRIMA annual work plan shall distinguish between the activities referred to in point (a) of paragraph 1, the activities referred to in point (b) of that paragraph, as well as the administrative costs of the PRIMA-IS. It shall provide for their corresponding expenditure estimates as well as for the budget allocation to activities funded with ***Union's financial contribution referred to in Article 3(1)*** and to activities funded by the Participating States ***without Union's financial contribution referred to in Article 3(1)***. The PRIMA annual work plan shall also include the estimated value of the Participating States' in kind contributions referred to in Article 5(2)(b).

Amendment 69

**Proposal for a decision
Article 6 – paragraph 3 a (new)**

Text proposed by the Commission

Amendment

3a. Amended annual work plans of a given year and annual work plans of subsequent years shall take into account the results of previous calls for proposals. They shall endeavour to address insufficient coverage of scientific topics in particular those initially addressed in activities under paragraph 1(b) that could not be adequately funded.

Amendment 70

**Proposal for a decision
Article 6 – paragraph 5**

Text proposed by the Commission

5. Activities to be funded by the Participating States ***through their national funding bodies without Union contribution*** may only be included in the PRIMA annual work plan following the positive outcome of their external independent evaluation by international peer review with regard to the objectives of PRIMA, as organised by the PRIMA-IS.

Amendment

5. Activities to be funded by the Participating States ***without Union's financial contribution referred to in Article 3(1)*** may only be included in the PRIMA annual work plan following the positive outcome of their external independent evaluation by international peer review with regard to the objectives of PRIMA, as organised by the PRIMA-IS.

Amendment 71

**Proposal for a decision
Article 6 – paragraph 6**

Text proposed by the Commission

6. Activities included in the PRIMA annual work plan that are funded by the Participating States ***through their national funding bodies without Union contribution*** shall be implemented in compliance with common principles to be adopted by the PRIMA-IS, after obtaining approval by the Commission. The common principles shall take into account the principles set out in this Decision, in Title VI of Regulation (EU, Euratom) No 966/2012 and in Regulation (EU) No 1290/2013, in particular the principles of equal treatment, transparency, independent peer review evaluation and selection. The PRIMA-IS shall also adopt, after obtaining approval by the Commission, the reporting requirements of the Participating States to the PRIMA-IS, including with regard to indicators inserted into each of those activities.

Amendment

6. Activities included in the PRIMA annual work plan that are funded by the Participating States ***without Union's financial contribution referred to in Article 3(1)*** shall be implemented in compliance with common principles to be adopted by the PRIMA-IS, after obtaining approval by the Commission. The common principles shall take into account the principles set out in this Decision, in Title VI of Regulation (EU, Euratom) No 966/2012 and in Regulation (EU) No 1290/2013, in particular the principles of equal treatment, transparency, independent peer review evaluation and selection. The PRIMA-IS shall also adopt, after obtaining approval by the Commission, the reporting requirements of the Participating States to the PRIMA-IS, including with regard to indicators inserted into each of those activities.

Amendment 72

Proposal for a decision Article 6 – paragraph 7

Text proposed by the Commission

7. The activities referred to in point (b)(i) of paragraph 1 shall, in addition to the common principles referred to in paragraph 6, comply with the following conditions:

(a) The proposals shall be for transnational projects, with minimum participation of at least three independent legal entities established in three different Participating States, of which:

(i) one established in a Member State or in a country associated to Horizon 2020, and

(ii) one established in a third country ***considered as a Participating State in accordance with this Decision by the submission deadline under the relevant call for proposal***

(b) The proposals shall be selected following transnational calls for proposals and ***through a two-step procedure. Step 1 shall consist of a review at national or transnational level of proposals submitted by legal entities eligible for funding under the relevant national programmes. Step 2 shall consist of a single independent international peer review organised by the PRIMA-IS. Under step 2, the proposals shall be evaluated with the assistance of at least three independent experts, on the basis of the following award criteria: excellence, impact, quality and efficiency of the implementation.***

(c) Proposals shall be ranked according to the evaluation results. The selection

Amendment

7. The activities referred to in point (b)(i) of paragraph 1 shall, in addition to the common principles referred to in paragraph 6, comply with the following conditions:

(a) The proposals shall be for transnational projects, with minimum participation of at least three independent legal entities established in three different ***countries considered as Participating States in accordance with this Decision by the submission deadline under the relevant call for proposals***, of which:

(i) one established in a Member State or in a country associated to Horizon 2020 ***not covered by point (ii)*** and

(ii) one established in a third country ***listed in Article 1(2) or bordering the Mediterranean Sea.***

(b) The proposals shall be selected following transnational calls for proposals and shall be evaluated with the assistance of at least three independent experts, on the basis of the following award criteria: excellence, impact, quality, ***sustainability*** and efficiency of the implementation.

(c) Proposals shall be ranked according to the evaluation results. The selection

shall be made by the PRIMA-IS *on the basis of* this ranking. The Participating States shall agree on an adequate funding mode that allows maximising the number of proposals to be funded on the basis of this ranking, in particular by providing reserve amounts to the national contributions for calls for proposals.

shall be made by the PRIMA-IS *and shall follow* this ranking. The Participating States shall agree on an adequate funding mode that allows maximising the number of proposals to be funded on the basis of this ranking, in particular by providing reserve amounts to the national contributions for calls for proposals. *In case one or more projects cannot be funded, the projects following directly in the ranking list may be selected*

Amendment 73

Proposal for a decision Article 6 – paragraph 8 a (new)

Text proposed by the Commission

Amendment

8a. All calls, proposals and projects shall be immediately made available on the Horizon 2020 database eCORDA.

Amendment 74

Proposal for a decision Article 6 – paragraph 9 a (new)

Text proposed by the Commission

Amendment

9a. In order to have a greater impact, coordination between PRIMA and other research and innovation projects under Horizon 2020, such as the EIT Food KIC, or other Union instruments, such as the European Neighbourhood and Partnership Instrument (ENPI), shall be sought and possible overlaps shall be avoided.

Amendment 75 Proposal for a decision Article 7 – paragraph 2

Text proposed by the Commission

2. By way of derogation from point (b) of Article 9(1) of Regulation (EU) No 1290/2013, the minimum number of participants shall be three legal entities established in three different Participating States of which:

- (a) one established in a Member State or in a country associated to Horizon 2020 and
- (b) one established in a third country *considered as a Participating State in accordance with this Decision by the submission deadline under the relevant call for proposals.*

Amendment

2. By way of derogation from point (b) of Article 9(1) of Regulation (EU) No 1290/2013, the minimum number of participants shall be three legal entities established in three different *countries considered as Participating States in accordance with this Decision by the submission deadline under the relevant call for proposals*, of which:

- (a) one established in a Member State or in a country associated to Horizon 2020 *not covered by point (b)*, and
- (b) one established in a third country *listed in Article 1(2) or bordering the Mediterranean Sea.*

Amendment 76

**Proposal for a decision
Article 7 – paragraph 4**

Text proposed by the Commission

4. *In addition to the* participants eligible for funding under *Article 10(1) of Regulation (EU) No 1290/2013, any* legal entity established in a Participating State shall be eligible for funding.

Amendment

4. *By way of derogation from Article 10(1) and (2) of Regulation (EU) No 1290/2013, the following* participants shall be eligible for funding *by the PRIMA-IS:*

- (a) *any legal entity created under Union law and established in a Participating State;*
- (b) *any international European interest organisation, as defined in point (12) of Article 2(1) of Regulation (EU) No 1290/2013*

Amendment 77

Proposal for a decision Article 7 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. In the case of an international organisation which is not eligible for funding by the PRIMA-IS, funding may be granted provided that at least one of the following conditions is fulfilled:

(a) participation is deemed to be essential by the PRIMA-IS for carrying out the action

(b) such funding is provided for under a bilateral scientific and technological agreement or any other arrangement between the Union and the international organisation.

Amendment 78

Proposal for a decision Article 7 – paragraph 4 b (new)

Text proposed by the Commission

Amendment

4b. In the case of a legal entity created under Union law and established in a country which is not a Participating State, funding by the PRIMA-IS may be granted provided that at least one of the following conditions is fulfilled:

(a) participation is deemed to be essential by the PRIMA-IS for carrying out the action;

(b) an ad hoc funding arrangement is found between PRIMA-IS and the third country in which the legal entity is established.

Amendment 79

Proposal for a decision

Article 7 – paragraph 4 c (new)

Text proposed by the Commission

Amendment

4c. Without prejudice to Article 18 of Regulation (EU) No 1290/2013, the applicable model grant agreement may provide that legal entities established in countries which are not Participating States and which receive funding from the PRIMA-IS, must also provide appropriate financial guarantees in order to ensure full recovery of any amounts due to the Union in accordance with Regulation (EU, Euratom) No 966/2012 and Delegated Regulation (EU) No 1268/2012.

Amendment 80

Proposal for a decision

Article 7 – paragraph 4 d (new)

Text proposed by the Commission

Amendment

4d. Without prejudice to Regulation (EU) No 1290/2013, and taking into account the specificities of PRIMA, the PRIMA-IS may introduce in its annual work plans additional conditions for participation in order to address the type of entities that can be coordinators of indirect actions.

Amendment 81

Proposal for a decision

Article 7 – paragraph 5

Text proposed by the Commission

Amendment

5. By way of derogation from Article 12 of Regulation (EU) No 1290/2013, where such an activity is included in the PRIMA annual work plan, the PRIMA-IS may launch joint calls with third countries other than the Participating States or their scientific and technological organisations and agencies, with international organisations or with other third parties, in particular non-governmental organisations, in accordance with the rules set out in Article 12 of Regulation (EU) No 1290/2013.

deleted

Amendment 82

Proposal for a decision Article 8 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. Where the financial guarantees are provided by the Participating States, each State shall be liable for a maximum amount less than or equal to its financial commitment to the PRIMA programme. The total recovery shall be limited to the maximum amount of EUR 200 000 000.

Justification

Guarantees provided by Participating States must be adequate, that is, sufficient and proportionate.

Amendment 83

Proposal for a decision Article 9 – paragraph 1

Text proposed by the Commission

Amendment

1. If PRIMA is not implemented or is implemented inadequately, partially or late,

1. If PRIMA is not implemented or is implemented inadequately, partially or late,

the Commission may terminate, proportionally reduce or suspend the Union's financial contribution in line with actual implementation of PRIMA.

the Commission may terminate, proportionally reduce or suspend the Union's financial contribution *referred to in Article 3(1)* in line with *the* actual implementation of PRIMA.

Amendment 84

Proposal for a decision Article 9 – paragraph 2

Text proposed by the Commission

2. If the Participating States do not contribute, contribute partially or late to the financing of PRIMA the Commission may terminate, proportionally reduce or suspend the Union's financial contribution, taking into account the amount of funding allocated by the Participating States to implement PRIMA.

Amendment

2. If the Participating States do not contribute, contribute partially or late to the financing of PRIMA the Commission may terminate, proportionally reduce or suspend the Union's financial contribution *referred to Article 3(1)*, taking into account the amount of funding allocated by the Participating States to implement PRIMA.

Amendment 85

Proposal for a decision Article 12 – paragraph 1 – point a

Text proposed by the Commission

(a) *the General Assembly;*

Amendment

(a) *the Board of Trustees, which will have a Chair and a Co-chair;*

Amendment 86

Proposal for a decision Article 12 – paragraph 1 – point b

Text proposed by the Commission

(b) *the Management Board;*

Amendment

(b) *the Steering Committee*

Amendment 87

Proposal for a decision Article 12 – paragraph 1 – point c

Text proposed by the Commission

Amendment

(c) the Secretariat;

(c) the Secretariat, **headed by the Director**;

Amendment 88

Proposal for a decision

Article 12 – paragraph 1 – point d

Text proposed by the Commission

Amendment

(d) the Scientific Advisory **Board**.

(d) the Scientific Advisory **Committee**.

Amendment 89

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 1

Text proposed by the Commission

Amendment

The PRIMA-IS shall be governed by the **General Assembly**, in which all Participating States are represented. The **General Assembly** shall be the decision-making body of PRIMA-IS.

The PRIMA-IS shall be governed by the **Board of Trustees**, in which all Participating States are represented. The **Board of Trustees** shall be the decision-making body of PRIMA-IS. **The Board of Trustees shall ensure that the European Parliament is regularly informed and updated on the PRIMA Programme.**

Amendment 90

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 2 – introductory part

Text proposed by the Commission

Amendment

The **General Assembly** shall adopt, after obtaining approval from the Commission:

The **Board of Trustees** shall adopt, after obtaining approval from the Commission:

Amendment 91

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 2 – point c a (new)

PE595.480v02-00

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Text proposed by the Commission

Amendment

(ca) The Board of Trustees shall verify that the conditions set out in Article 1(3) are fulfilled and shall inform the Commission accordingly.

Amendment 92

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 3

Text proposed by the Commission

Amendment

The **General Assembly** shall approve the participation in PRIMA of any third country not associated to Horizon 2020 other than those listed in Article 1(2) after examining the relevance of its participation for achieving the objectives of PRIMA.

The **Board of Trustees** shall approve the participation in PRIMA of any third country not associated to Horizon 2020 other than those listed in Article 1(2) after examining the relevance of its participation for achieving the objectives of PRIMA.

Amendment 93

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 3 a (new)

Text proposed by the Commission

Amendment

The Board of Trustees shall decide what is an adequate percentage of the total effort pursuant to point (c) of Article 4(1) of this Decision.

Amendment 94

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 4

Text proposed by the Commission

Amendment

Each Participating State shall have one vote in the **General Assembly**. The decisions shall be taken by consensus. Failing that, the **General Assembly** shall take its decisions by a majority of at least

Each Participating State shall have one vote in the **Board of Trustees**. The decisions shall be taken by consensus. Failing that, the **Board of Trustees** shall take its decisions by a majority of at least

75% of the *votes*. *Approval of the participation in PRIMA of any third country not associated to Horizon 2020 other than those listed in Article 1(2) shall be by unanimity.*

75% of the *valid cast votes*.

Amendment 95

Proposal for a decision Article 12 – paragraph 2 – subparagraph 5

Text proposed by the Commission

The Union, represented by the Commission, shall be invited to all the meetings of the *General Assembly* as an observer, and may take part in the discussions. It shall receive all necessary documents.

Amendment

The Union, represented by the Commission, shall be invited to all the meetings of the *Board of Trustees* as an observer, and may take part in the discussions. It shall receive all necessary documents.

Amendment 96

Proposal for a decision Article 12 – paragraph 2 – subparagraph 5 a (new)

Text proposed by the Commission

Amendment

PRIMA-IS shall, when pursuing its own institutional objectives, ensure there is appropriate communication with the European Parliament.

Amendment 97

Proposal for a decision Article 12 – paragraph 3

Text proposed by the Commission

3. The *General Assembly* shall determine the number of *Management Board* members, which shall not be less than five, and shall appoint them. The *Management Board* shall supervise the Secretariat of the PRIMA-IS.

Amendment

3. The *Board of Trustees* shall determine the number of *Steering Committee* members, which shall not be less than five, and shall appoint them. The *Steering Committee* shall supervise the Secretariat of the PRIMA-IS.

Amendment 98

Proposal for a decision

Article 12 – paragraph 4 – subparagraph 1

Text proposed by the Commission

The General Assembly shall establish the Secretariat *of the PRIMA-IS as* the executive body of PRIMA.

Amendment

The Secretariat *shall be* the executive body of PRIMA.

Amendment 99

Proposal for a decision

Article 12 – paragraph 4 – subparagraph 2 – point d

Text proposed by the Commission

(d) manage the financial *contributions from the Union and* the Participating States and report on their use;

Amendment

(d) manage the *Union's* financial *contribution referred to in Article 3(1) and financial contributions from* the Participating States and report on their use;

Amendment 100

Proposal for a decision

Article 12 – paragraph 4 – subparagraph 2 – point f a (new)

Text proposed by the Commission

Amendment

(fa) ensure the transparency of PRIMA activities.

Amendment 101

Proposal for a decision

Article 12 – paragraph 5 – subparagraph 1

Text proposed by the Commission

The *General Assembly* shall appoint a Scientific Advisory Board consisting of independent *experts from the Participating States*, competent in areas relevant to PRIMA. The *General Assembly*

Amendment

The *Board of Trustees* shall appoint a Scientific Advisory Board *Committee* consisting of independent *e*, competent in areas relevant to PRIMA. The *Board of Trustees* shall establish the number of

shall establish the number of Scientific Advisory **Board** members, **their voting rights** and the arrangements for their appointment in accordance with Article 40 of Regulation (EU) No 1290/2013.

Scientific Advisory **Committee** members, and the arrangements for their appointment in accordance with Article 40 of Regulation (EU) No 1290/2013.

Amendment 102

Proposal for a decision

Article 12 – paragraph 5 – subparagraph 2

Text proposed by the Commission

Amendment

The General Assembly may set up specialised working groups under the Scientific Advisory Board with additional independent experts for specific tasks.

deleted

Justification

For the shake of transparency and accountability the proliferation of groups should be avoided.

Amendment 103

Proposal for a decision

Article 12 – paragraph 5 – subparagraph 3 – introductory part

Text proposed by the Commission

Amendment

The Scientific Advisory **Board** shall:

The Scientific Advisory **Committee** shall

Amendment 104

Proposal for a decision

Article 12 – paragraph 5 – subparagraph 3 – point a

Text proposed by the Commission

Amendment

(a) advise the **General Assembly** on strategic priorities and needs;

(a) advise the **Board of Trustees** on strategic priorities and needs;

Amendment 105

Proposal for a decision

Article 12 – paragraph 5 – subparagraph 3 – point b

Text proposed by the Commission

(b) advise the **General Assembly** on the content and scope of the draft PRIMA annual work plan from a scientific and technical standpoint;

Amendment

(b) advise the **Board of Trustees** on the content and scope of the draft PRIMA annual work plan from a scientific and technical standpoint;

Amendment 106

Proposal for a decision

Article 12 – paragraph 5 – subparagraph 3 – point d

Text proposed by the Commission

(d) *where appropriate, advise the General Assembly to set up scientific subcommittees, task forces and specialised working groups.*

Amendment

deleted

Justification

For the shake of transparency and accountability the proliferation of groups should be avoided.

Amendment 107

Proposal for a decision

Article 14 – paragraph 1

Text proposed by the Commission

1. By 30 June 2022 the Commission shall conduct an interim evaluation of PRIMA. The Commission shall prepare a report on that evaluation which includes conclusions of the evaluation and observations by the Commission. The Commission shall send that report to the European Parliament and to the Council by 31 December 2022.

Amendment

1. By 30 June 2022 the Commission shall, **with the assistance of independent experts**, conduct an interim evaluation of PRIMA. The Commission shall prepare a report on that evaluation which includes conclusions of the evaluation and observations by the Commission. The Commission shall send that report to the European Parliament and to the Council by 31 December 2022.

EXPLANATORY STATEMENT

In 1995, the Barcelona Process, with its Declaration, initiated an ambitious programme for Euro-Mediterranean partnership aiming at establishing an area of peace, stability and prosperity. Since the outset of this process, cooperation in research and innovation has been considered of significant importance.

In 2007, 12 years after the initiation of the Barcelona Process, which was meanwhile supported by a newer instrument, the European and Neighbourhood Policy Instrument (ENPI), the Euro-Mediterranean Ministerial Conference on Higher Education and Research issued an ambitious paper, the Cairo Declaration, where they placed Research and Innovation (R&I) as a priority sector in the Euro-Mediterranean cooperation.

During the Euro-Mediterranean Conference on Research and Innovation held in Barcelona in April 2012, the EU, its Member States and the Mediterranean Partner Countries recognized that cooperation in higher education, research and innovation are the only means to create social and economic development on both sides of the Mediterranean Sea.

In December 2014 both EU co-legislators, the Council and the European Parliament endorsed the proposal for the establishment of '**Partnership for research and innovation in the Mediterranean area**' (PRIMA), asking the Commission to prepare a proposal for the implementation of the initiative while expressing support for Article 185 TFEU as the appropriate legal base.

On 18 October 2016, the European Commission adopted a proposal to establish a new public-public Partnership for Research and Innovation in the Mediterranean Area (PRIMA) under Article 185 TFEU, focusing on two key socioeconomic issues that are important for the region: agro-food systems and water management.

The Rapporteur underlines that the current situation of social and political turmoil in the Mediterranean Basin should lead us to reflect upon the challenges that economies are facing in EU's bordering countries and the potential leverage for sustainable development in the region. An improvement of living conditions is among the main demands of Mediterranean citizens, facing a multidimensional insecurity that plunges them in a highly vulnerable situation on a daily basis. The access to food and water are determining interconnected challenges in this area and securing food and water availability is of paramount –political, social, economic - importance in the region.

In the same context, the Rapporteur stresses the fact that armed conflicts, political instability, climate change, unsustainable resource management and the rapidly growing population are the most critical factors that contribute to migration. In this sense, the Rapporteur acknowledges the partnership framework approach to seek short-term solutions to immediate migration pressure, as well as deeper investment to address the root causes of migration and forced displacement.

The Rapporteur is supportive of the overall aim of PRIMA that is, implementing a joint programme under Horizon 2020 rules, for the development and the adoption of innovative and integrated solutions for improving the efficiency, safety, security and sustainability of agro-food systems and water management in the Mediterranean area. PRIMA rationale is in

line with the recently agreed Sustainable Development Goals (SDGs) and with the forthcoming European Sustainable Development Strategy (EU SDS). In this context, the Rapporteur points out that water is a public good and that access to water and sanitation constitutes a fundamental human right.

According to Rapporteur's view, PRIMA as a Public-Public Partnership in research and innovation shall have an exclusive focus on civil application, as stipulated in Article 19(2) of Regulation No 1291/2013. In the same context, all PRIMA research and innovation actions should respect fundamental rights and principles acknowledged in particular by the Charter of Fundamental rights of the European Union. Such actions should be in conformity with any legal obligation including international law and UN Charter, in particular its Article 73 regarding non self-governing territories.

The Rapporteur also fully agrees with the overall objective of PRIMA, namely to contribute to a sustainable and inclusive growth in the framework of the Euro-Mediterranean Partnership and its specific objective to coordinate, strengthen, intensify, widen and align the currently fragmented research and innovation national programmes on agro-food system and water management within a multi-actor, multidimensional comprehensive and integrated approach.

She is of the opinion that PRIMA presents potentialities in terms of Science diplomacy that can produce added value in promoting cooperation and conflict prevention, rebuilding trust and fostering shared understanding across countries. In the same way, the Rapporteur also believes that other socio-economic and political impacts could result from the forthcoming projects in terms of promoting local development in rural areas for ensuring food and water security in an ecologically sustainable way, job creation, business opportunities and support to small farmers.

The Rapporteur also notes that since PRIMA is designed as an Open Innovation initiative, a widest dissemination for PRIMA results would be possible, thereby bringing greater concrete advantages to Euro-Mediterranean end-user communities and enterprises and fostering dialogue among different Euro-Mediterranean countries.

The Rapporteur welcomes the Commission proposal under Article 185 TFEU aiming to establish the partnership, for a period of 10 years (until 31 December 2028). PRIMA will be funded with €200 million under the Horizon 2020 framework programme for research. The EU contribution will be managed by the PRIMA - IS (implementation structure) and would match the €200 million contribution committed by the Participating States. The Rapporteur also notes that it is necessary to introduce derogations to the rules concerning participation in Horizon 2020, in order to allow third countries and international organisations to join the partnerships.

According to the current developments and expressed national commitments that were taken under consideration by the Rapporteur, PRIMA will be jointly undertaken by 14 countries from both shores of the Mediterranean region with varying status depending on their participation in Horizon 2020: nine Member States (Cyprus, France, Germany, Greece, Italy, Luxembourg, Malta, Portugal and Spain), two associated countries (Israel and Tunisia) and four third Countries (Jordan, Morocco, Egypt and Lebanon).

The Rapporteur does not share the Commission's opinion that projects to be funded by PRIMA should address in particular the higher Technology Readiness Levels (TRLs). She

believes that this should be decided according to the needs of the different calls/projects included in the annual work plan. Furthermore, although she believes that not only large projects are more promising in terms of quick delivery of solutions and can contribute to the objectives of PRIMA, an appropriate balance between small and large projects must be ensured.

Needless to say, transparency in the dissemination of information, of results and of the financial management of both EU and national funds is a prerequisite for the success of PRIMA.

10.3.2017

OPINION OF THE COMMITTEE ON THE ENVIRONMENT, PUBLIC HEALTH AND FOOD SAFETY

for the Committee on Industry, Research and Energy

on the proposal for a decision of the European Parliament and of the Council on the participation of the European Union in a Partnership for Research and Innovation in the Mediterranean Area (PRIMA) jointly undertaken by several Member States (COM(2016)0662 – C8-0421/2016 – 2016/0325(COD))

Rapporteur: Francesc Gambús

SHORT JUSTIFICATION

Water management, and the attendant task of ensuring food security, is a challenge that the European Union needs to address. Water poverty, defined as ‘the condition of not having access to sufficient water, or water of an adequate quality, to meet one’s basic needs,’ affects, according to the European Commission, some 180 million people in the Mediterranean region, something that harms the health and stability of the populations on both sides. The EU therefore needs to promote the PRIMA initiative and begin its implementation as soon as possible.

Pressures on water resources have grown in many areas and river basins, as a result of both demand from populations and an increase in irrigated areas, threatening some small-scale local economies and conservation areas.

This is why a holistic approach should be taken in deploying PRIMA, to ensure the efficiency of irrigated areas, crop cultivations and urban pipelines and protect natural areas, wetlands and conservation, in accordance with both with European legislation in general and the Water Framework Directive in particular.

As part of the PRIMA initiative, dialogue between the north and south shores of the Mediterranean can be used as a way to mitigate the impact of climate change and to address parallel challenges, such as desertification. To this end, the involvement of the Union for the Mediterranean, which already has a wealth of experience, should be stressed, both in developing and managing the management of the partnership.

Nevertheless, a few aspects of the decision establishing PRIMA need to be altered to ensure

best possible governance and better and more efficient use of funding allocated for research and development projects under the programme, as well as to pave the way for economic growth and the creation of decent jobs in the Mediterranean countries, which have been hardest hit by the economic crisis, and suffer high levels of unemployment, particularly among young people.

The debate in plenary in Strasbourg on 15 December 2014 removed any doubts as to the importance of EU involvement in PRIMA, given the economic boost and added value it would bring. It also stressed the significance of water resources in developing countries and the need for projects to impart the necessary know-how to ensure the proper use of renewable energies in water infrastructure, water pumping equipment for instance, to develop more sustainable crops.

Ensuring food security and water resources is also one of the EU's obligations, and should be a key element of neighbourhood policy so as to ensure, among other objectives, the stability of, and development in, its neighbouring countries. And the partnership forged at the Euro-Mediterranean Conference on Research and Innovation in Barcelona in April 2012 may be a pillar on which to build it.

AMENDMENTS

The Committee on the Environment, Public Health and Food Safety calls on the Committee on Industry, Research and Energy, as the committee responsible, to take into account the following amendments:

Amendment 1

Proposal for a decision Recital 3

Text proposed by the Commission

(3) Public-public partnerships *should* aim to develop closer synergies, increase coordination and avoid unnecessary duplication with Union, international, national and regional research programmes, and should fully respect the Horizon 2020 general principles, in particular those relating to openness and transparency.

Amendment

(3) Public-public partnerships *must* aim to develop closer synergies, increase coordination and avoid unnecessary duplication *and fragmentation* with Union, international, national and regional research programmes, and should fully respect the Horizon 2020 general principles, in particular those relating to openness and transparency. *A good, timely dissemination of comprehensive information together with the transparency of results and of the financial management are crucial for the success of PRIMA.*

Amendment 2

Proposal for a decision

Recital 4 a (new)

Text proposed by the Commission

Amendment

(4a) Full implementation of the human right to water and sanitation, as recognised by the United Nations and supported by the Member States, is essential for life, and the proper management of water resources plays a vital role in guaranteeing sustainable water use and safeguarding the world's natural resources.

Amendment 3

Proposal for a decision

Recital 5

Text proposed by the Commission

Amendment

(5) Regulation (EU) No 1291/2013 acknowledges that international cooperation with third countries is necessary to address effectively common challenges. International cooperation in research and innovation is a key aspect of the Union's global commitments and has an important role to play in the Union's partnership with neighbourhood countries. This cooperation follows the approach taken in the European neighbourhood policy to differentiate the level of cooperation with each country in the neighbourhood based on their commitment towards the Union.

(5) Regulation (EU) No 1291/2013 acknowledges that international cooperation with third countries is necessary to address effectively common challenges. International cooperation in research, ***science*** and innovation is a key aspect of the Union's global commitments and has an important role to play in the Union's partnership with neighbourhood countries. This cooperation follows the approach taken in the European neighbourhood policy to differentiate the level of cooperation with each country in the neighbourhood based on their commitment towards the Union.

Amendment 4

Proposal for a decision

Recital 8

Text proposed by the Commission

(8) PRIMA aims at implementing a joint programme for the development and the adoption of innovative and integrated solutions for improving the efficiency, safety, security and sustainability of **food** production and water provision in the Mediterranean area. PRIMA **should** contribute to the achievement of the recently agreed Sustainable Development Goals and to the forthcoming European Sustainable Development Strategy.

Amendment

(8) ***The lack of clean water and nutritious food has adverse effects on the health and stability of the populations, and*** PRIMA aims at implementing a joint programme for the development and the adoption of innovative ***multi-dimensional*** and integrated ***common*** solutions for improving the efficiency, safety, security and sustainability of ***agri-food*** production and water provision, ***treatment and reuse*** in the Mediterranean area ***based on principles of co-ownership, mutual interest and shared benefit. Taking into account that access to water and sanitation constitutes a fundamental human right and since securing food and water availability is of paramount importance in the region, PRIMA must*** contribute to the achievement of the recently agreed Sustainable Development Goals and to the forthcoming European Sustainable Development Strategy, ***as well as to contribute to the goals of the Paris Agreement.***

Amendment 5

Proposal for a decision

Recital 8 a (new)

Text proposed by the Commission

Amendment

(8a) ***Sustainable water provision and food production in the Mediterranean require the protection of natural freshwater ecosystems, a shift to more efficient consumption patterns and the integration of renewable energy sources. Open, democratic and participatory governance of water provision is essential to ensure cost-efficiency and benefit to the whole society.***

Amendment 6

Proposal for a decision Recital 8 b (new)

Text proposed by the Commission

Amendment

(8b) The sustainable protection of natural areas such as freshwater ecosystems is key for the development and decisive to provide drinking water supplies.

Amendment 7

Proposal for a decision Recital 8 c (new)

Text proposed by the Commission

Amendment

(8c) The water scarcity in the Mediterranean area requires a different energy solutions and the introduction of more efficient patterns. Renewable energy should be integrated in the processes to replace fossil fuels.

Amendment 8

Proposal for a decision Recital 8 d (new)

Text proposed by the Commission

Amendment

(8d) The importance of open, democratic and participatory governance is crucial to ensure that the most cost-effective solutions regarding water resources management are taken for the benefit of the whole society.

Amendment 9

Proposal for a decision Recital 10

Text proposed by the Commission

(10) In line with the objectives of Horizon 2020, any other Member State and third country associated to Horizon 2020 should be entitled to participate in PRIMA if it commits to contribute to the financing of PRIMA.

Amendment

(10) In line with the objectives of Horizon 2020, any other Member State and third country associated to Horizon 2020 should be entitled to participate in PRIMA if it commits to contribute to the financing of PRIMA ***with an adequate percentage of the total effort.***

Amendment 10

**Proposal for a decision
Recital 11 a (new)**

Text proposed by the Commission

Amendment

(11a) The PRIMA partnership should cover the whole chain from research to innovation, mobilising universities, research and technology institutions, industry, and innovation-driven SMEs.

Amendment 11

**Proposal for a decision
Recital 16**

Text proposed by the Commission

Amendment

(16) PRIMA activities should be in line with the objectives and research and innovation priorities of Horizon 2020 and with the general principles and conditions laid down in Article 26 of Regulation (EU) No 1291/2013. PRIMA should take into account the OECD definitions regarding Technological Readiness Level in the classification of technological research, product development and demonstration activities.

(16) PRIMA activities should be in line with the objectives and research and innovation priorities of Horizon 2020 and with the general principles and conditions laid down in Article 26 of Regulation (EU) No 1291/2013. PRIMA should take into account the OECD definitions regarding Technological Readiness Level in the classification of technological research, product development and demonstration activities, ***as well as addressing low to high technology readiness levels, including forms of ‘curiosity-driven’ and ‘practice-based’ research.***

Amendment 12

Proposal for a decision
Recital 18

Text proposed by the Commission

(18) Calls for proposals managed by the PRIMA-IS should also be published on the single portal for participants, as well as through other Horizon 2020 electronic means of dissemination managed by the Commission.

Amendment

(18) Calls for proposals managed by the PRIMA-IS should also be published on the single portal for participants *in the PRIMA-IS*, as well as through other Horizon 2020 electronic means of dissemination managed by the Commission.

Amendment 13

Proposal for a decision
Recital 27

Text proposed by the Commission

(27) Upon request from the Commission, the PRIMA-IS and the Participating States should submit any information the Commission needs to include in the reports on the evaluation of PRIMA.

Amendment

(27) Upon request from the Commission, the PRIMA-IS and the Participating States should submit any information the Commission needs to include in the reports on the evaluation of PRIMA. *At the same time, such information should be transferred to the competent committees of the Parliament.*

Amendment 14

Proposal for a decision
Recital 28

Text proposed by the Commission

(28) The objective of this Decision is to strengthen the integration and alignment of research and innovation systems and activities in the Mediterranean countries in the fields of water provision and *food* systems. The scale of the research and innovation necessary to address the challenges in the Mediterranean area is immense due to the systemic character of the major bottlenecks. The scope of research and innovation is complex,

Amendment

(28) The objective of this Decision is to strengthen the integration and alignment of research and innovation systems and activities in the Mediterranean countries in the fields of water provision, *its treatment, reuse, and sustainable use, and agri-food* systems. The scale of the research and innovation necessary to address the challenges in the Mediterranean area is immense due to the systemic character of the major bottlenecks. *Meanwhile,*

multidisciplinary and requires a multi-actor and cross-border approach. A collaborative approach with a wide set of Participating States can help to increase the required scale and scope, by pooling financial and intellectual resources. Since the objective can therefore be better achieved at Union level by integrating national efforts into a consistent Union approach, by bringing together compartmentalised national research programmes, by helping design common research and funding strategies across national borders, and by achieving the critical mass of actors and investments required, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond what is necessary in order to achieve those objectives.

relevant results should be able to serve as a model in other, drought-hit areas of the Union. The scope of research and innovation is complex, multidisciplinary and requires a multi-actor and cross-border approach. A collaborative approach with a wide set of Participating States can help to increase the required scale and scope, by pooling financial and intellectual resources. Since the objective can therefore be better achieved at Union level by integrating national efforts into a consistent Union approach, by bringing together compartmentalised national research programmes, by helping design common research and funding strategies across national borders, and by achieving the critical mass of actors and investments required, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond what is necessary in order to achieve those objectives.

Amendment 15

Proposal for a decision

Article 1 – paragraph 4 – subparagraph 2

Text proposed by the Commission

If the country complies with the requirements set out in *the first subparagraph*, it shall be regarded as a Participating State for the purposes of this Decision.

Amendment

If the country complies with the requirements set out in *this paragraph*, it shall be regarded as a Participating State for the purposes of this Decision.

Amendment 16

Proposal for a decision Article 2 – paragraph 1

Text proposed by the Commission

1. The general objective of PRIMA is to ***develop the fully piloted and demonstrated*** common innovative solutions for water ***provision*** and food systems in the Mediterranean region, to ***make them more climate resilient, efficient, cost-effective and sustainable***, and to contribute to solving nutrition, health, well-being and migration problems upstream.

Amendment

1. The general objective of PRIMA is to ***create social and economic development on both sides of the Mediterranean Sea by building research and development capacities and develop*** common innovative ***agri-food and water-management*** solutions for water, ***including treatment and reuse***, and food systems in the Mediterranean region, to ***increase the efficiency, also by reducing losses and waste***, climate ***resiliency and sustainability of food productions and water provision*** and to contribute to solving ***water-scarcity, food-security***, nutrition, health, well-being, ***environmental*** and migration problems upstream.

Amendment 17

Proposal for a decision Article 2 – paragraph 2 – point i

Text proposed by the Commission

(i) the formulation of a ***stable***, long-term, common strategic agenda in the area of ***water provision and food*** systems;

Amendment

(i) the formulation of a long-term, ***sustainable***, common strategic agenda ***in line with the Sustainable Development Goals and with the European Sustainable Development Strategy in order to safeguards natural resources***, in the area of ***the management, production and supply of water, including treatment and reuse, and in the area of agri-food products***;

Amendment 18

Proposal for a decision Article 2 – paragraph 2 – point i a (new)

Text proposed by the Commission

Amendment

(ia) the growth of innovative and sustainable solutions in agriculture, food production and water provision, encouraging application by communities, enterprises and citizens; the sustainable management of water for arid and semi-arid areas; the sustainable farming systems under Mediterranean environmental constraints to maintain natural resources;

Amendment 19

Proposal for a decision

Article 2 – paragraph 2 – point ii

Text proposed by the Commission

(ii) the orientation of **all** national R&I programmes towards the implementation of the strategic agenda;

Amendment

(ii) the orientation of **relevant** national R&I programmes towards the implementation of the strategic agenda, **and the coordination of those programmes;**

Amendment 20

Proposal for a decision

Article 2 – paragraph 2 – point iii

Text proposed by the Commission

(iii) the **structural** involvement of all relevant public and private sector actors in implementing the strategic agenda by pooling knowledge and financial resources to achieve the necessary critical mass;

Amendment

(iii) the involvement of all relevant public and private sector actors in implementing the strategic agenda by pooling knowledge and financial resources to achieve the necessary critical mass;

Amendment 21

Proposal for a decision

Article 2 – paragraph 2 – point iv

Text proposed by the Commission

Amendment

(iv) the strengthening of funding and of the implementation capabilities of all actors involved.

(iv) the strengthening of funding and of the implementation capabilities of all actors involved ***including SMEs, academia, NGOs and local research centres.***

Amendment 22

Proposal for a decision

Article 4 – paragraph 1 – point c

Text proposed by the Commission

Amendment

(c) the commitment by each Participating State to contribute to the financing of PRIMA;

(c) the commitment by each Participating State to contribute to the financing of PRIMA ***with an adequate percentage of the total effort;***

Amendment 23

Proposal for a decision

Article 4 – paragraph 1 – point e

Text proposed by the Commission

Amendment

(e) the establishment of ***a*** governance model for PRIMA in accordance with Article 12;

(e) the establishment of ***an efficient*** governance model for PRIMA in accordance with Article 12;

Amendment 24

Proposal for a decision

Article 4 – paragraph 3

Text proposed by the Commission

Amendment

3. The Commission shall assess the fulfilment of commitments undertaken by the Participating States, in particular through the first two PRIMA annual work plans. Following that assessment the maximum Union contribution referred to in Article 3(1) ***shall*** be reviewed in

3. The Commission shall assess the fulfilment of commitments undertaken by the Participating States, in particular through the first two PRIMA annual work plans. Following that assessment the maximum Union contribution referred to in Article 3(1) ***may*** be reviewed in

accordance with Article 9.

accordance with Article 9.

Amendment 25

Proposal for a decision

Article 5 – paragraph 2 – point a

Text proposed by the Commission

(a) where appropriate, financial contributions to the PRIMA-IS in view of funding indirect actions referred to in Article 6(1)(a);

Amendment

(a) where appropriate, financial ***or in kind*** contributions to the PRIMA-IS in view of funding indirect actions referred to in Article 6(1)(a);

Amendment 26

Proposal for a decision

Article 5 – paragraph 2 – point b

Text proposed by the Commission

(b) financial or in kind contributions in implementing activities referred to in Article 6(1)(b); and

Amendment

(b) financial or in kind contributions in implementing activities referred to in Article 6(1)(a) ***and*** (b); and

Amendment 27

Proposal for a decision

Article 5 – paragraph 2 – point c

Text proposed by the Commission

(c) financial contributions to the administrative budget of the PRIMA-IS not covered by the Union contribution as set out in Article 3(3)(b).

Amendment

(c) financial ***or in kind*** contributions to the administrative budget of the PRIMA-IS not covered by the Union contribution as set out in Article 3(3)(b).

Amendment 28

Proposal for a decision

Article 5 – paragraph 3

Text proposed by the Commission

3. In-kind contributions referred to in point (b) of paragraph 2 of this Article shall consist of costs incurred by the Participating States through their national funding bodies in implementing activities referred to in Article 6(1)(b), less any direct or indirect Union financial contribution to those costs.

Amendment

3. In-kind contributions referred to in point (b) of paragraph 2 of this Article shall consist of costs incurred by the Participating States through their national funding bodies in implementing activities referred to in Article 6 ***or pertaining to the administrative budget of the PRIMA-IS***, less any direct or indirect Union financial contribution to those costs.

Amendment 29

**Proposal for a decision
Article 5 – paragraph 5**

Text proposed by the Commission

5. Contributions referred to in points (a) and (b) of paragraph 2 counting as contributions from Participating States shall be made after the entry into force of this Decision for the activities included in the first PRIMA annual work plan, and ***after adoption*** of the subsequent annual work plans by the PRIMA-IS.

Amendment

5. Contributions referred to in points (a) and (b) of paragraph 2 counting as contributions from Participating States shall be made after the entry into force of this Decision for the activities included in the first PRIMA annual work plan, and ***during the annual time frame (1 January-31 December)*** of the subsequent annual work plans by the PRIMA-IS.

Amendment 30

**Proposal for a decision
Article 6 – paragraph 1 – introductory part**

Text proposed by the Commission

1. PRIMA shall support ***the following activities***:

Amendment

1. PRIMA shall support ***the following activities funded by the participating Member States, via their national funding bodies***:

Amendment 31

Proposal for a decision
Article 6 – paragraph 1 – point a – point i

Text proposed by the Commission

(i) research and innovation actions, as well as innovation actions, including demonstrators, pilot plants, testing, pre-commercial deployment, addressing *in particular the higher* Technology Readiness Levels;

Amendment

(i) research and innovation actions, as well as innovation actions, including demonstrators, pilot plants, testing, pre-commercial deployment, addressing **low to high** Technology Readiness Levels;

Amendment 32

Proposal for a decision
Article 6 – paragraph 1 – point b – introductory part

Text proposed by the Commission

(b) activities funded by the Participating States **through their national funding bodies** without Union contribution, addressing **increasingly also the higher** Technology Readiness Levels **and consisting in:**

Amendment

(b) activities funded by the Participating States, without Union contribution, addressing **low to high** Technology Readiness Levels.

Amendment 33

Proposal for a decision
Article 6 – paragraph 1 – point b – point ii

Text proposed by the Commission

(ii) activities under the national programmes of the Participating States.

Amendment

(ii) activities under the national programmes of the Participating States, **including research programmes for a strategic management of water resources and a long-term mitigation and adaptation plans, in order to incorporate a more coherent and climate resilient water approach.**

Amendment 34

Proposal for a decision Article 6 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. *The activities shall include research and innovation actions, as well as innovation actions, including innovative demonstrators, pilot plants, testing, pre-commercial deployment, addressing in particular the higher technology readiness levels, by means of small and medium-sized projects, in terms of budget and number of participants.*

They shall also include coordination and support actions focusing on dissemination and outreach to promote PRIMA and maximise its impacts;

Amendment 35

Proposal for a decision Article 6 – paragraph 2

Text proposed by the Commission

Amendment

2. PRIMA shall be implemented on the basis of annual work plans, to be adopted by the PRIMA-IS, after obtaining approval by the Commission, by the end of the previous year. As an exception, the PRIMA annual work plan for 2018 *may* be **adopted** by 31 March 2018. The PRIMA-IS shall make the annual work plan publicly available.

2. PRIMA shall be implemented on the basis of annual work plans, to be adopted by the PRIMA-IS, after obtaining approval by the Commission. **The PRIMA-IS shall forward these plans to the Commission** by the end of the previous year. As an exception, the PRIMA annual work plan for 2018 **must** be **sent** by 31 March 2018. The PRIMA-IS shall make the annual work plan publicly available.

Amendment 36

Proposal for a decision Article 6 – paragraph 5

Text proposed by the Commission

5. Activities to be funded by the Participating States through their national funding bodies without Union contribution may only be included in the PRIMA annual work plan following *the positive outcome of their* external independent *evaluation by international* peer review *with regard to the objectives of PRIMA, as organised by the PRIMA-IS.*

Amendment

5. Activities to be funded by the Participating States through their national funding bodies without Union contribution may only be included in the PRIMA annual work plan following *a positive assessment by the PRIMA-IS of their contribution with regard to the objectives of PRIMA; in the event of doubt, the PRIMA-IS may request an* external independent peer review.

Amendment 37

Proposal for a decision Article 6 – paragraph 6

Text proposed by the Commission

6. Activities included in the PRIMA annual work plan *that are funded by the Participating States through their national funding bodies without Union contribution* shall be implemented in compliance with common principles to be adopted by the PRIMA-IS, after obtaining approval by the Commission. The common principles shall take into account the principles set out in this Decision, in Title VI of Regulation (EU, Euratom) No 966/2012 and in Regulation (EU) No 1290/2013, in particular the principles of equal treatment, transparency, independent peer review evaluation and selection. The PRIMA-IS shall also adopt, after obtaining approval by the Commission, the reporting requirements of the Participating States to the PRIMA-IS, including with regard to indicators inserted into each of those activities.

Amendment

6. Activities included in the PRIMA annual work plan shall be implemented in compliance with common principles to be adopted by the PRIMA-IS, after obtaining approval by the Commission. The common principles shall take into account *the balance between the interests of the northern and southern shores of the Mediterranean and* the principles set out in this Decision, in Title VI of Regulation (EU, Euratom) No 966/2012 and in Regulation (EU) No 1290/2013, in particular the principles of equal treatment, transparency, independent peer review evaluation and selection. The PRIMA-IS shall also adopt, after obtaining approval by the Commission, the reporting requirements of the Participating States to the PRIMA-IS, including with regard to indicators inserted into each of those activities.

Amendment 38

Proposal for a decision

Article 6 – paragraph 7 – point b

Text proposed by the Commission

(b) The proposals shall be selected following transnational calls for proposals ***and through a two-step procedure. Step 1 shall consist of a review at national or transnational level of proposals submitted by legal entities eligible for funding under the relevant national programmes. Step 2 shall consist of a single independent international peer review organised by the PRIMA-IS. Under step 2, the*** proposals shall be evaluated with the assistance of at least three independent experts, on the basis of the following award criteria: excellence, impact, quality and efficiency of the implementation.

Amendment

(b) The proposals shall be selected following transnational calls for proposals. ***The*** proposals shall be evaluated with the assistance of at least three independent experts, on the basis of the following ***selection and*** award criteria: ***a fair balance between small and larger projects, relevance, scientific and technological excellence, impact, scientific quality (of management and partners)*** and efficiency of the implementation.

Amendment 39

Proposal for a decision

Article 6 – paragraph 7 – point c

Text proposed by the Commission

(c) Proposals shall be ranked according to the evaluation results. The selection shall be made by the PRIMA-IS on the basis of this ranking. The Participating States shall agree on an adequate ***funding*** mode that allows maximising the number of proposals to be funded on the basis of this ranking, in particular by providing reserve amounts to the national contributions for calls for proposals.

Amendment

(c) Proposals shall be ranked according to the evaluation results. The selection shall be made by the PRIMA-IS on the basis of this ranking. The Participating States shall agree on an adequate ***selection*** mode that allows maximising the number of proposals to be funded on the basis of this ranking, in particular by providing reserve amounts to the national contributions for calls for proposals. ***In the event that one or more projects cannot be financed owing to a lack of budgetary resources, the following projects may be***

selected;

Amendment 40 Proposal for a decision
Article 6 – paragraph 7 – point c a (new)

Text proposed by the Commission

Amendment

(ca) Activities shall fulfil sustainability criteria adopted by the PRIMA-IS, after obtaining approval by the Commission, to ensure that activities do not have negative environmental, social or public health impacts.

Amendment 41

Proposal for a decision
Article 7 – paragraph 2 – introductory part

Text proposed by the Commission

Amendment

2. By way of derogation from point (b) of Article 9(1) of Regulation (EU) No 1290/2013, the minimum number of participants shall be three legal entities established in three different Participating States of which:

2. By way of derogation from point (b) of Article 9(1) of Regulation (EU) No 1290/2013, the minimum number of participants shall be three legal entities established in three different **countries considered to be** Participating States **in accordance with this decision, before the submission deadline under the relevant call for proposals**, of which:

Amendment 42

Proposal for a decision
Article 7 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. Notwithstanding the above provisions, calls for PRIMA proposals may be open to entities established in a

Member State of the Union for the Mediterranean or in a country associated to Horizon 2020, provided that cofinancing to the tune of at least 50% is provided by its country or national funding body. In this event, these bodies shall be eligible for Union funding.

Amendment 43

Proposal for a decision Article 11 – paragraph 5

Text proposed by the Commission

5. In implementing PRIMA, the Participating States shall take the legislative, regulatory, administrative and other measures necessary for protecting the Union's financial interests, in particular, to ensure full recovery of any amounts due to the Union in accordance with Regulation (EU, Euratom) No 966/2012 and Delegated Regulation (EU) No 1268/2012.

Amendment

5. In implementing PRIMA, the Participating States shall take the legislative, regulatory, administrative and other measures necessary for protecting the Union's financial interests, in particular, to ensure full recovery of any amounts due to the Union in accordance with Regulation (EU, Euratom) No 966/2012 and Delegated Regulation (EU) No 1268/2012. ***No more than EUR 200 million may be recovered in this manner. Each Participating State shall be liable only for the amount of funds received by entities established on their territory and for no more than its total contribution to the PRIMA programme. Participating State shall not be liable for funding received by any entity established in countries not participating in PRIMA.***

Amendment 44

Proposal for a decision Article 12 – paragraph 1 – introductory part

Text proposed by the Commission

1. The bodies of the PRIMA-IS shall

Amendment

1. The bodies of the PRIMA-IS ***specifically geared to efficiency*** shall

include:

include:

Amendment 45

Proposal for a decision

Article 12 – paragraph 1 – point b

Text proposed by the Commission

(b) the **Management** Board;

Amendment

(b) the **Governing** Board;

Amendment 46

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 1

Text proposed by the Commission

The PRIMA-IS shall be governed by the **General Assembly**, in which all Participating States are represented. The **General Assembly** shall be the decision-making body of PRIMA-IS.

Amendment

The PRIMA-IS shall be governed by the **Steering Committee**, in which all Participating States are represented. The **Steering Committee** shall be the decision-making body of PRIMA-IS. ***The Steering Committee will hold ordinary and extraordinary general meetings. Ordinary Steering Committee meetings shall be called at least once a year, within four months from the end of the financial year, while extraordinary Steering Committee meetings shall be called whenever necessary.***

Amendment 47

Proposal for a decision

Article 12 – paragraph 2 – subparagraph 3

Text proposed by the Commission

The **General Assembly** shall approve the participation in PRIMA of any **third**

Amendment

The **Steering Committee** shall approve the participation in PRIMA of any country

country *not* associated to Horizon 2020 *other than those listed in Article 1(2)* after examining the relevance of its participation for achieving the objectives of PRIMA.

neither a Member State of Union for the Mediterranean nor associated to Horizon 2020, after examining the relevance of its participation for achieving the objectives of PRIMA. *The Steering Committee decides what is an adequate percentage of the total effort pursuant to point (c) of Article 4(1).*

Amendment 48

Proposal for a decision Article 12 – paragraph 3

Text proposed by the Commission

3. The *General Assembly* shall determine the number of *Management* Board members, which shall not be less than five, and shall appoint them. The *Management* Board shall supervise the Secretariat of the PRIMA-IS.

Amendment

3. The *Steering Committee* shall determine the number of *Governing* Board members, which shall not be less than five, and shall appoint them *for a four-year renewable term. The Governing Board shall meet at least every three months or whenever deemed necessary.* The *Governing* Board shall supervise the Secretariat of the PRIMA-IS.

Amendment 49

Proposal for a decision Article 12 – paragraph 4 – subparagraph 2 – point f a (new)

Text proposed by the Commission

Amendment

(fa) ensure the transparency of PRIMA activities.

PROCEDURE – COMMITTEE ASKED FOR OPINION

Title	Participation of the Union in the Partnership for Research and Innovation in the Mediterranean Area (PRIMA) jointly undertaken by several Member States
References	COM(2016)0662 – C8-0421/2016 – 2016/0325(COD)
Committee responsible Date announced in plenary	ITRE 27.10.2016
Opinion by Date announced in plenary	ENVI 27.10.2016
Rapporteur Date appointed	Francesc Gambús 21.11.2016
Discussed in committee	30.1.2017
Date adopted	9.3.2017
Result of final vote	+: 56 –: 1 0: 3
Members present for the final vote	Marco Affronte, Margrete Auken, Pilar Ayuso, Zoltán Balczó, Ivo Belet, Simona Bonafè, Biljana Borzan, Lynn Boylan, Paul Brannen, Soledad Cabezón Ruiz, Nessa Childers, Alberto Cirio, Birgit Collin-Langen, Mireille D’Ornano, Seb Dance, Angélique Delahaye, Mark Demesmaeker, Ian Duncan, Stefan Eck, Bas Eickhout, José Inácio Faria, Karl-Heinz Florenz, Francesc Gambús, Gerben-Jan Gerbrandy, Arne Gericke, Jens Gieseke, Julie Girling, Sylvie Goddyn, Françoise Grossetête, Jytte Guteland, Benedek Jávor, Karin Kadenbach, Urszula Krupa, Peter Liese, Valentinas Mazuronis, Gilles Pargneaux, Bolesław G. Piecha, Pavel Poc, Julia Reid, Frédérique Ries, Annie Schreijer-Pierik, Davor Škrlec, Renate Sommer, Claudiu Ciprian Tănăsescu, Ivica Tolić, Estefanía Torres Martínez, Nils Torvalds, Adina-Ioana Vălean
Substitutes present for the final vote	Clara Eugenia Aguilera García, Nicola Caputo, Albert Deß, Eleonora Evi, Merja Kyllönen, James Nicholson, Gabriele Preuß, Christel Schaldemose, Bart Staes, Carlos Zorrinho
Substitutes under Rule 200(2) present for the final vote	Edouard Martin, Lieve Wierinck

FINAL VOTE BY ROLL CALL IN COMMITTEE ASKED FOR OPINION

56	+
ALDE	Gerben-Jan Gerbrandy, Valentinas Mazuronis, Frédérique Ries, Nils Torvalds, Lieve Wierinck
ECR	Mark Demesmaecker, Ian Duncan, Arne Gericke, Julie Girling, Urszula Krupa, James Nicholson, Boleslaw G. Piecha
EFDD	Eleonora Evi
GUE/NGL	Lynn Boylan, Stefan Eck, Merja Kyllönen, Estefanía Torres Martínez
PPE	Pilar Ayuso, Ivo Belet, Alberto Cirio, Birgit Collin-Langen, Angélique Delahaye, Albert Deß, José Inácio Faria, Karl-Heinz Florenz, Francisc Gambús, Jens Gieseke, Françoise Grossetête, Peter Liese, Annie Schreijer-Pierik, Renate Sommer, Ivica Tolić, Adina-Ioana Vălean
S&D	Clara Eugenia Aguilera García, Simona Bonafè, Biljana Borzan, Paul Brannen, Soledad Cabezón Ruiz, Nicola Caputo, Nessa Childers, Seb Dance, Jytte Guteland, Karin Kadenbach, Edouard Martin, Gilles Pargneaux, Pavel Poc, Gabriele Preuß, Christel Schaldemose, Claudiu Ciprian Tănăsescu, Carlos Zorrinho
VERTS/ALE	Marco Affronte, Margrete Auken, Bas Eickhout, Benedek Jávor, Davor Škrlec, Bart Staes

1	-
EFDD	Julia Reid

3	0
ENF	Mireille D'Ornano, Sylvie Goddyn
NI	Zoltán Balczó

Key to symbols:

+ : in favour

- : against

0 : abstention

PROCEDURE – COMMITTEE RESPONSIBLE

Title	Participation of the Union in the Partnership for Research and Innovation in the Mediterranean Area (PRIMA) jointly undertaken by several Member States			
References	COM(2016)0662 – C8-0421/2016 – 2016/0325(COD)			
Date submitted to Parliament	18.10.2016			
Committee responsible Date announced in plenary	ITRE 27.10.2016			
Committees asked for opinions Date announced in plenary	AFET 27.10.2016	DEVE 27.10.2016	BUDG 27.10.2016	ENVI 27.10.2016
	LIBE 27.10.2016			
Not delivering opinions Date of decision	AFET 30.1.2017	DEVE 25.1.2017	BUDG 24.11.2016	LIBE 14.11.2016
Rapporteurs Date appointed	Sofia Sakorafa 17.11.2016			
Discussed in committee	25.1.2017			
Date adopted	22.3.2017			
Result of final vote	+: 55 -: 7 0: 0			
Members present for the final vote	Nikolay Barekov, Bendt Bendtsen, Xabier Benito Ziluaga, José Blanco López, Cristian-Silviu Buşoi, Reinhard Bütikofer, Jerzy Buzek, Angelo Ciocca, Edward Czesak, Christian Ehler, Fredrick Federley, Ashley Fox, Adam Gierak, András Gyürk, Rebecca Harms, Eva Kaili, Kaja Kallas, Krišjānis Kariņš, Seán Kelly, Peter Kouroumbashev, Zdzisław Krasnodębski, Miapetra Kumpula-Natri, Janusz Lewandowski, Paloma López Bermejo, Edouard Martin, Angelika Mlinar, Nadine Morano, Dan Nica, Angelika Niebler, Morten Helveg Petersen, Michel Reimon, Herbert Reul, Paul Rübig, Massimiliano Salini, Algirdas Saudargas, Jean-Luc Schaffhauser, Neoklis Sylikiotis, Dario Tamburrano, Evžen Tošenovský, Claude Turmes, Vladimir Urutchev, Kathleen Van Brempt, Henna Virkkunen, Martina Werner, Anna Záborská, Flavio Zanonato, Carlos Zorrinho			
Substitutes present for the final vote	Pilar Ayuso, Mario Borghezio, Soledad Cabezón Ruiz, Jens Geier, Françoise Grossetête, Benedek Jávor, Olle Ludvigsson, Notis Marias, Piernicola Pedicini, Sofia Sakorafa, Marco Zullo			
Substitutes under Rule 200(2) present for the final vote	Isabella Adinolfi, Arndt Kohn, Maria Noichl, Pavel Poc			
Date tabled	29.3.2017			

FINAL VOTE BY ROLL CALL IN COMMITTEE RESPONSIBLE

55	+
ALDE	Fredrick Federley, Kaja Kallas, Angelika Mlinar, Morten Helveg Petersen
ECR	Nikolay Barekov, Edward Czesak, Ashley Fox, Zdzisław Krasnodębski, Evžen Tošenovský
EFDD	Isabella Adinolfi, Piernicola Pedicini, Dario Tamburrano, Marco Zullo
ENF	Angelo Ciocca, Jean-Luc Schaffhauser
GUE/NGL	Xabier Benito Ziluaga, Paloma López Bermejo, Sofia Sakorafa, Neoklis Sylikiotis
PPE	Pilar Ayuso, Bendt Bendtsen, Cristian-Silviu Buşoi, Jerzy Buzek, Christian Ehler, Françoise Grossetête, András Gyürk, Krišjānis Kariņš, Seán Kelly, Janusz Lewandowski, Nadine Morano, Angelika Niebler, Herbert Reul, Paul Rübig, Massimiliano Salini, Algirdas Saudargas, Vladimir Urutchev, Henna Virkkunen, Anna Záborská
S&D	José Blanco López, Soledad Cabezón Ruiz, Jens Geier, Adam Gierek, Eva Kaili, Arndt Kohn, Peter Kouroumbashev, Miapetra Kumpula-Natri, Olle Ludvigsson, Edouard Martin, Dan Nica, Maria Noichl, Pavel Poc, Kathleen Van Brempt, Martina Werner, Flavio Zanonato, Carlos Zorrinho

7	-
ECR	Notis Marias
ENF	Mario Borghezio
VERTS/ALE	Reinhard Bütikofer, Rebecca Harms, Benedek Jávor, Michel Reimon, Claude Turmes

0	0

Key to symbols:

+ : in favour

- : against

0 : abstention