

Amendment 124**David McAllister**

on behalf of the Committee on Foreign Affairs

Report**A8-0174/2019****José Ignacio Salafranca Sánchez-Neyra**

Instrument for Pre-accession Assistance (IPA III)

(COM(2018)0465 – C8-0274/2018 – 2018/0247(COD))

Proposal for a regulation**Article 7 a (new)***Text proposed by the Commission**Amendment**Article 7 a**Mid-term review and evaluation*

1. The Commission shall adopt a new IPA programming framework based on the mid-term evaluation. No later than 30 June 2024, the Commission shall submit a mid-term evaluation report on the application of this Regulation. The mid-term evaluation report shall cover the period from 1 January 2021 to 31 December 2023 and shall examine the Union contribution to the achievement of the objectives of this Regulation, by means of indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.

The European Parliament may provide input to that evaluation. The Commission and the EEAS shall organise a consultation with key stakeholders and beneficiaries, including civil society organisations. The Commission and EEAS shall give particular attention to ensure that the most marginalised are represented.

The Commission shall also evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, by means of external

evaluations. The Commission and the EEAS shall take into account proposals and views of the European Parliament and the Council on independent external evaluations. The interim evaluation shall assess how the Union performed on targets established by this Regulation.

2. The mid-term evaluation report shall also address efficiency, the added value, the functioning of the simplified and streamlined external financing architecture, internal and external coherence, and the continued relevance of the objectives of this Regulation, the complementarity and synergies between the actions funded, the contribution of the measures to consistent Union external action, and the degree to which the public in recipient countries are aware of Union financial support, where appropriate.

3. The mid-term evaluation report shall be undertaken for the specific purpose of improving the application of Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under this Regulation.

4. The mid-term evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments.

5. The Commission shall communicate the conclusions of the evaluations, accompanied by its observations, to the European Parliament, to the Council and to Member States. The results shall feed into programme design and resource allocation.

6. The Commission shall associate all relevant stakeholders, including civil society organisations, in the evaluation

process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States with close involvement of the beneficiaries.

7. The Commission shall submit the mid-term evaluation report referred to in this Article to the European Parliament and to the Council, accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.

8. At the end of the period of application of this Regulation, but no later than three years after the end of the period specified in Article 1, the Commission shall carry out a final evaluation of the Regulation on the same terms as the mid-term evaluation referred to in this Article.

Or. en

Amendment 125**David McAllister**

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Proposal for a regulation**Article 7 b (new)***Text proposed by the Commission**Amendment**Article 7 b**Suspension of assistance*

1. Where a beneficiary fails to respect the principle of democracy, the rule of law, good governance, respect for human rights and fundamental freedoms, or nuclear safety standards, or violates the commitments taken in the relevant agreements concluded with the Union or consistently backslides on one or more of the Copenhagen criteria, the Commission shall be empowered, in accordance with Article 14, to adopt delegated acts to amend Annex I to this Regulation in order to suspend or partially suspend Union assistance. In the event of a partial suspension, the programmes for which the suspension applies shall be indicated.

2. Where the Commission finds that the reasons justifying the suspension of assistance no longer apply, it shall be empowered to adopt delegated acts, in accordance with Article 14, to amend Annex I in order to reinstate Union assistance.

3. In cases of partial suspension, Union assistance shall primarily be used to support civil society organisations and non-state actors for measures aimed at promoting human rights and fundamental

*freedoms and supporting democratisation
and dialogue processes in partner
countries.*

*4. The Commission shall take due account
of relevant European Parliament
resolutions in its decision-making.*

Or. en

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Proposal for a regulation**Article 7 c (new)***Text proposed by the Commission**Amendment**Article 7 c****Governance***

A horizontal steering group composed of all relevant Commission and EEAS services and chaired by the VP/HR or a representative of that office shall be responsible for the steering, coordination and management of this instrument throughout the management cycle in order to ensure consistency, efficiency, transparency and accountability of all Union external financing. The VP/HR shall ensure overall political coordination of the Union's external action. Throughout the whole cycle of programming, planning and application of the instrument, the VP/HR and the EEAS shall work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. The VP/HR, the EEAS and the Commission shall prepare all proposals for decisions in accordance with the Commission's procedures and shall submit them for adoption.

The European Parliament shall be fully involved in the design, programming, monitoring and evaluation phases of the external financing instruments in order to

*guarantee political control and
democratic scrutiny and accountability of
Union funding in the field of external
action.*

Or. en

Amendment 127**David McAllister**

on behalf of the Committee on Foreign Affairs

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Instrument for Pre-accession Assistance (IPA III)

(COM(2018)0465 – C8-0274/2018 – 2018/0247(COD))

Proposal for a regulation**Article 8 a (new)***Text proposed by the Commission**Amendment**Article 8 a**Article 8 c**Adoption of action plans and measures*

1. The Commission shall adopt action plans and measures by means of a Commission decision in accordance with the Financial Regulation.

2. The Commission shall take account of the relevant policy approach of the Council and the European Parliament for the planning and subsequent application of such action plans and measures, in the interests of consistency of the Union's external action.

The Commission shall immediately inform the European Parliament about the planning of action plans and measures pursuant to this Article, including the financial amounts envisaged, and shall also inform the European Parliament when making substantial changes or extensions to that assistance. As soon as possible following the adoption or substantial modification of a measure, and in any case within one month thereof, the Commission shall report to the European Parliament and to the Council and give an overview of the nature and the rationale of the measure adopted, its duration, budget and its

context, including the complementarity of that measure with other ongoing and planned Union assistance. For exceptional assistance measures, the Commission shall also indicate whether to what extent and how it will ensure the continuity of the policy executed through the exceptional assistance by medium- and long-term assistance under this Regulation.

3. Before adopting action plans and measures not based on programming documents pursuant to Article 8a(2), other than for cases referred to in Article 8a (3) and (4), the Commission shall adopt a delegated act in accordance with Article 14 in order to supplement this Regulation by setting out the specific objectives to be pursued, the results expected, the instruments to be used, the main activities and the indicative financial allocations of these action plans and measures.

4. Appropriate human rights, social and environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU^{1a} of the European Parliament and of the Council and Council Directive 85/337/EEC^{1b}, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.

Additionally, ex-ante human rights, gender, social and labour impact assessments, as well as conflict analysis and risk assessment shall be conducted.

Where relevant, human rights, social and strategic environmental assessments shall be used in the execution of sectoral programmes. The Commission shall ensure the involvement of interested

stakeholders in these assessments and public access to the results of such assessments.

^{1a} Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

^{1b} Council Directive 85/337/EEC of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 5.07.1985. p. 40).

Or. en

21.3.2019

A8-0174/128

Amendment 128

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Instrument for Pre-accession Assistance (IPA III)

(COM(2018)0465 – C8-0274/2018 – 2018/0247(COD))

Proposal for a regulation

Article 14 – paragraph 2

Text proposed by the Commission

Amendment

2. The power to adopt delegated acts as referred to in Article **13** shall be conferred on the Commission.

2. The power to adopt delegated acts as referred to in Article **7(3)**, **Article 7a**, **Articles 7b (1) and (2)**, **Article 8c (3)**, and **Articles 13 and 15** shall be conferred on the Commission.

Or. en

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A8-0174/129

Amendment 129

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A8-0174/2019

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Proposal for a regulation

Annex I – paragraph 8

Text proposed by the Commission

Amendment

The *former Yugoslav* Republic of
Macedonia

The Republic of *North* Macedonia

Or. en