



2016/2198(DEC)

6.3.2017

AMENDMENTS

1 - 8

Draft report
Miroslav Poche
(PE593.972v01-00)

Discharge 2015: Joint Undertaking - Innovative Medicines Initiative (IMI)
(2016/2198(DEC))

Amendment 1

Ryszard Czarnecki, Raffaele Fitto, Notis Marias

Proposal for a decision 1

Paragraph 1

Proposal for a decision

1. ***Grants the Executive Director of the Innovative Medicines Initiative 2 Joint Undertaking discharge in respect of the implementation of the Joint Undertaking's budget for the financial year 2015*** / Postpones its decision on granting the Executive Director of the Innovative Medicines Initiative 2 Joint Undertaking discharge in respect of the implementation of the Joint Undertaking's budget for the financial year 2015;

Amendment

1. Postpones its decision on granting the Executive Director of the Innovative Medicines Initiative 2 Joint Undertaking discharge in respect of the implementation of the Joint Undertaking's budget for the financial year 2015;

Or. en

Amendment 2

Ryszard Czarnecki, Raffaele Fitto, Notis Marias

Proposal for a decision 2

Paragraph 1

Proposal for a decision

1. ***Approves the closure of the accounts of the Innovative Medicines Initiative 2 Joint Undertaking for the financial year 2015*** / Postpones the closure of the accounts of the Innovative Medicines Initiative 2 Joint Undertaking for the financial year 2015;

Amendment

1. Postpones the closure of the accounts of the Innovative Medicines Initiative 2 Joint Undertaking for the financial year 2015;

Or. en

Amendment 3

Zigmantas Balčytis

Motion for a resolution

Paragraph 10 a (new)

Motion for a resolution

Amendment

10a. *Points out that the final annual accounts issued by the Joint Undertaking do not include the Budget Out-turn Account or the reconciliation table accompanying the Economic Out-turn Account;*

Or. It

Amendment 4
Zigmantas Balčytis

Motion for a resolution
Paragraph 10 b (new)

Motion for a resolution

Amendment

10b. *Considers it unfortunate that the level of information provided by the Joint Undertaking is different from that of other joint undertakings, and calls for that situation to be remedied;*

Or. It

Amendment 5
Petri Sarvamaa, Brian Hayes

Motion for a resolution
Paragraph 12

Motion for a resolution

Amendment

12. Regrets to discover that one case of suspicion of fraud was submitted to the European Anti-Fraud Office (OLAF) for assessment and the latter decided not to open an investigation; notes that the Joint Undertaking launched both a technical and a financial audit, and that the technical audit identified some scientific weaknesses in the work performed by a beneficiary, which resulted in the termination of participation of that beneficiary, with the corresponding costs disallowed and the

12. Regrets to discover that one case of suspicion of fraud was submitted to the European Anti-Fraud Office (OLAF) for assessment and the latter decided not to open an investigation; notes that the Joint Undertaking launched both a technical and a financial audit, and that the technical audit identified some scientific weaknesses in the work performed by a beneficiary, which resulted in the termination of participation of that beneficiary, with the corresponding costs disallowed and the

amount of EUR 398 115,65 reimbursed to the Project Coordinator; notes that the financial audit of the project was concluded without any significant material findings; highlights in this respect the important role of whistle-blowers in detecting **and reporting** irregularities related to Union budgetary expenditure;

amount of EUR 398 115,65 reimbursed to the Project Coordinator; notes that the financial audit of the project was concluded without any significant material findings; highlights in this respect the important role of whistle-blowers **and internal auditing procedures** in detecting, **reporting, and investigating** irregularities related to Union budgetary expenditure, **and furthermore, to the recovery of the misused funds**;

Or. en

Amendment 6
Petri Sarvamaa, Brian Hayes

Motion for a resolution
Paragraph 13

Motion for a resolution

13. Notes that an audit on ex-ante controls for grant management and related processes was conducted by the Internal Audit Service (IAS); **notes** that the **audit resulted in three recommendations and** that the **recommendation** suggested that the Joint Undertaking should make its ex-ante controls more effective by using a more risk-based and balanced approach, it should reinforce control procedures for the certificates on financial statements, and it should enhance management reporting on the results of ex-ante controls; acknowledges from the Joint Undertaking that no critical recommendation was issued and that since March 2015 it has been implementing the IAS audit recommendations;

Amendment

13. Notes that an audit on ex-ante controls for grant management and related processes was conducted by the Internal Audit Service (IAS); **points out** that the **Joint Undertaking has previously had deficiencies in its documentation of ex-ante controls, and notes** that the **audit resulted in three recommendations which** suggested that the Joint Undertaking should make its ex-ante controls more effective by using a more risk-based and balanced approach, it should reinforce control procedures for the certificates on financial statements, and it should enhance management reporting on the results of ex-ante controls; acknowledges from the Joint Undertaking that no critical recommendation was issued and that since March 2015 it has been implementing the IAS audit recommendations;

Or. en

Amendment 7
Zigmantas Balčytis

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PE599.880v01-00

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Motion for a resolution
Paragraph 14 a (new)

Motion for a resolution

Amendment

14a. *Notes that internal control procedures have been established within the Joint Undertaking in order to provide a reasonable assurance that fraud and irregularities will be detected and prevented;*

Or. It

Amendment 8
Zigmantas Balčytis

Motion for a resolution
Paragraph 15

Motion for a resolution

Amendment

15. *Acknowledges* from the Joint Undertaking that two recommendations from the audit conducted in the previous years concerning key performance indicators and reviews of interim project reports were implemented by the management in 2015 and closed by the IAS; notes furthermore that regarding the only outstanding recommendation concerning strengthening the project monitoring process and improving IT systems, the Joint Undertaking completed the agreed actions and the IAS closed the issue in April 2016;

15. *In the light of the information obtained* from the Joint Undertaking, *acknowledges that the Joint Undertaking has made progress in implementing the actions agreed with the IAS and* that two recommendations from the audit conducted in the previous years concerning key performance indicators and reviews of interim project reports were implemented by the management in 2015 and closed by the IAS; notes furthermore that regarding the only outstanding recommendation concerning strengthening the project monitoring process and improving IT systems, the Joint Undertaking completed the agreed actions and the IAS closed the issue in April 2016;

Or. It