



**2015/2318(INI)**

4.4.2017

# **AMENDMENTS**

## **1 - 64**

**Draft report**

**Martina Dlabajová, Inés Ayala Sender**  
(PE597.439v02-00)

Cost effectiveness of the 7th Research Programme  
(2015/2318(INI))



**Amendment 1**  
**Notis Marias**

**Motion for a resolution**  
**Citation 2 a (new)**

*Motion for a resolution*

*Amendment*

- *having regard to the Protocol (No 1) of the Treaty on the Functioning of the European Union (TFEU) on the role of national parliaments in the European Union,*

Or. el

**Amendment 2**  
**Notis Marias**

**Motion for a resolution**  
**Citation 2 b (new)**

*Motion for a resolution*

*Amendment*

- *having regard to the Protocol (No 2) of the Treaty on the Functioning of the European Union (TFEU) on the application of the principles of subsidiarity and proportionality,*

Or. el

**Amendment 3**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 1**

*Motion for a resolution*

*Amendment*

1. Highlights the fact that FP7 represented a total voted budget of EUR 55 billion, accounting for an estimated 3% of total RTD expenditure in Europe, or 25%

1. Highlights the fact that FP7 represented a total voted budget of EUR 55 billion, accounting for an estimated 3% of total RTD expenditure in Europe, or 25%

of competitive funding; over the seven-year duration of FP7, more than 139 000 research proposals were submitted, from which 25 000 projects of the highest quality were selected and received funding; the main recipients among the 29 000 organisations participating in FP7 were universities (44% of FP7 funding), research and technology organisations (27%), large private companies (11%) and SMEs (13%), while the public sector (3%) and civil society organisations (2%) played a minor role;

of competitive funding; over the seven-year duration of FP7, more than 139 000 research proposals were submitted, from which 25 000 projects of the highest quality were selected and received funding; the main recipients among the 29 000 organisations participating in FP7 were, *inter alia*, universities (44% of FP7 funding), research and technology organisations (27%), large private companies (11%) and SMEs (13%), while the public sector (3%) and civil society organisations (2%) played a minor role;

Or. el

**Amendment 4**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 1 a (new)**

*Motion for a resolution*

*Amendment*

***1a. Is aware that FP7 serves beneficiaries from all the EU Member States, associate and candidate countries such as Switzerland, Israel, Norway, Iceland, Liechtenstein, Turkey, Croatia, former Yugoslav Republic of Macedonia, Serbia, Albania, Montenegro, Bosnia and Herzegovina, the Faeroe Islands and Moldavia, and international cooperation partner countries;***

Or. es

**Amendment 5**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 2 – introductory part**

*Motion for a resolution*

2. ***Welcomes the fact*** that the ex-post evaluation of FP7, undertaken by a high-level expert group<sup>8</sup>, considered FP7 to have been a success; the high-level group underlined in particular that FP7:

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<sup>8</sup>***Commitment and Coherence, ex-post evaluation of the 7th EU Framework Programme, November 2015***  
[https://ec.europa.eu/research/evaluations/pdf/fp7\\_final\\_evaluation\\_expert\\_group\\_report.pdf](https://ec.europa.eu/research/evaluations/pdf/fp7_final_evaluation_expert_group_report.pdf)

*Amendment*

2. ***Notes that despite the repeated error rate and delays in its implementation and closure***, the ex-post evaluation of FP7 ***commissioned by DG RTD, and*** undertaken by a high-level expert group<sup>86</sup>, considered FP7 to have been a success; ***observes that*** the high-level group underlined in particular that FP7:

Or. es

**Amendment 6**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 2 – introductory part**

*Motion for a resolution*

2. ***Welcomes the fact that*** the ex-post evaluation of FP7, undertaken by a high-level expert group<sup>8</sup>, considered FP7 to have been a success; the high-level group underlined in particular that FP7:

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<sup>8</sup>***Commitment and Coherence, ex-post evaluation of the 7th EU Framework Programme, November 2015***  
[https://ec.europa.eu/research/evaluations/pdf/fp7\\_final\\_evaluation\\_expert\\_group\\_report.pdf](https://ec.europa.eu/research/evaluations/pdf/fp7_final_evaluation_expert_group_report.pdf)

*Amendment*

2. ***Points to*** the ex-post evaluation of FP7, undertaken by a high-level expert group<sup>8</sup>, ***which*** considered FP7 to have been a success; the high-level group underlined in particular that FP7:

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<sup>8</sup>***Commitment and Coherence, ex-post evaluation of the 7th EU Framework Programme, November 2015***  
[https://ec.europa.eu/research/evaluations/pdf/fp7\\_final\\_evaluation\\_expert\\_group\\_report.pdf](https://ec.europa.eu/research/evaluations/pdf/fp7_final_evaluation_expert_group_report.pdf)

Or. el

**Amendment 7**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 2 – indent 5**

*Motion for a resolution*

– ***strengthened*** the European Research Area ***by catalysing a culture of cooperation and constructing comprehensive networks fit to address thematic challenges,***

*Amendment*

– ***sought to strengthen*** the European Research Area,

Or. el

**Amendment 8**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 3 – introductory part**

*Motion for a resolution*

3. ***Notes*** that the public stakeholder consultation in the context of the FP7 evaluation, held between February and May 2015, pointed to the following weaknesses:

*Amendment*

3. ***Stresses*** that the public stakeholder consultation in the context of the FP7 evaluation, held between February and May 2015, pointed to the following weaknesses:

Or. el

**Amendment 9**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 3 – indent 6**

*Motion for a resolution*

– high threshold for newcomers;

*Amendment*

– high threshold for newcomers; ***low average success rate for proposals and***

*applicants of 19% and 22% respectively;*

Or. en

**Amendment 10**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 3 – indent 6 a (new)**

*Motion for a resolution*

*Amendment*

– *weak communication;*

Or. en

**Amendment 11**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 3 a (new)**

*Motion for a resolution*

*Amendment*

**3a. Questions why the director general of DG R&I issued again, as in previous years, a horizontal reservation concerning all cost claims under FP7 (EUR 1.47 billion); is of the opinion that horizontal reservations in general cannot be considered as an instrument of sound financial management; acknowledges however that certain parts of FP7 expenditure were not covered by a reserve where there was evidence that the risks (and so the residual error rates) were significantly lower than for all expenditure; within RTD this applies to expenditure by given joint undertakings; outside DG RTD this also applies to expenditure by the Research Executive Agency under the Marie Curie programme, and all expenditure from the European Research Council Executive**

*Agency;*

Or. en

**Amendment 12**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 3 b (new)**

*Motion for a resolution*

*Amendment*

***3b. Is concerned that FP7, according to the Commissioner, will not be fully executed and evaluated before 2020, which could cause delays in future follow-up programmes; urges the Commission to publish the evaluation report as soon as possible and at the latest before it presents the post Horizon 2020 research programme.***

Or. en

**Amendment 13**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 4**

*Motion for a resolution*

*Amendment*

4. Emphasises that the Court considers the supervisory and control systems for research and other internal policies to be ‘partially effective’;

4. Emphasises ***with concern*** that the Court considers the supervisory and control systems for research and other internal policies to be ‘partially effective’;

Or. es

**Amendment 14**  
**Notis Marias**



**Motion for a resolution**  
**Paragraph 4**

*Motion for a resolution*

4. Emphasises that the Court considers the supervisory and control systems for research and other internal policies to be ‘partially effective’;

*Amendment*

*(Does not affect the English version.)*

Or. el

**Amendment 15**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 4 a (new)**

*Motion for a resolution*

*Amendment*

**4a. Calls on the Commission to inform its competent committee in detail about the 10 transactions that accounted for 77% of the errors and the remedial measures taken;**

Or. en

**Amendment 16**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 4 a (new)**

*Motion for a resolution*

*Amendment*

**4a. Notes with concern that the RDI error percentage in discharge for recent financial years has always been higher than 5 %;**

Or. es

**Amendment 17**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 5**

*Motion for a resolution*

5. *Notes* that, in 2015, of the 150 transactions that the Court audited, 72 (48 %) were affected by error; on the basis of the 38 errors which the Court had quantified, it estimated the level of error to be 4.4 %; furthermore, in 16 cases of quantifiable errors, the Commission, national authorities or independent auditors had sufficient information to prevent or detect and correct the errors before accepting the expenditure; if all this information had been used to correct errors, the estimated level of error for this chapter would have been 0.6 % lower;

*Amendment*

5. *Emphasises* that, in 2015, of the 150 transactions that the Court audited, 72 (48 %) were affected by error; on the basis of the 38 errors which the Court had quantified, it estimated the level of error to be 4.4 %; furthermore, in 16 cases of quantifiable errors, the Commission, national authorities or independent auditors had sufficient information to prevent or detect and correct the errors before accepting the expenditure; if all this information had been used to correct errors, the estimated level of error for this chapter would have been 0.6 % lower;

Or. el

**Amendment 18**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 5 a (new)**

*Motion for a resolution*

5a. *Deplores that in 10 out of 38 transactions subject to quantified error, the Court reported errors exceeding 20% of the examined items; these 10 cases (9 from the Seventh Research Framework Programme and one from the 2007-2013 Competitiveness and Innovation Programme) account for 77% of the overall estimated level of error for "Competitiveness for growth and jobs" in 2015;*

Or. en

**Amendment 19**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 5 b (new)**

*Motion for a resolution*

*Amendment*

**5b.     *Regrets that most of the quantified errors which the Court found (33 out of 38) concerned the reimbursement of ineligible personnel and indirect costs declared by beneficiaries and that almost all of the errors found by the Court in cost statements were due to beneficiaries misinterpreting the complex eligibility rules or incorrectly calculating their eligible costs which leads to the obvious conclusion that those rules need to be simplified;***

Or. en

**Amendment 20**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 7**

*Motion for a resolution*

*Amendment*

7.     Recalls its position in the 2012 and 2014 Commission discharge: ‘Remains convinced that the Commission ***must*** continue to strive for an acceptable balance between the attractiveness of programmes to participants and the legitimate necessity of accountability and financial control; recalls, in this connection, the statement of the Director-General in 2012 that a procedure designed to attain a residual error rate of 2 % under all circumstances is not a viable option’;

7.     Recalls its position in the 2012 and 2014 Commission discharge: ‘Remains convinced that the Commission ***should*** continue to strive for an acceptable balance between the attractiveness of programmes to participants and the legitimate necessity of accountability and financial control; recalls, in this connection, the statement of the Director-General in 2012 that a procedure designed to attain a residual error rate of 2 % under all circumstances is not a viable option’;

**Amendment 21**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 8**

*Motion for a resolution*

8. *Notes* that the primary sources of error were incorrectly calculated personnel costs and ineligible direct and indirect costs;

*Amendment*

8. *Deplores the fact* that the primary sources of error were incorrectly calculated personnel costs and ineligible direct and indirect costs;

Or. es

**Amendment 22**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 9 – introductory part**

*Motion for a resolution*

9. Points to the findings of ECA Special Report no 2/2013, in which the Court concludes that the Commission's processes are geared to ensuring that funding is invested in high-quality research; however, there has been less focus on efficiency;

*Amendment*

9. Points to *and is concerned about* the findings of ECA Special Report no 2/2013, in which the Court concludes that the Commission's processes are geared to ensuring that funding is invested in high-quality research; however, there has been less focus on efficiency;

Or. es

**Amendment 23**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 12**

*Motion for a resolution*

*Amendment*

12. **Recognises** that the implementation of research framework programmes was shared among different directorates-general, executive agencies, joint undertakings, so-called Article-185 bodies, the European Investment Bank (EIB) and the European Institute for Innovation and Technology (EIT);

12. **Notes** that the implementation of research framework programmes was shared among different directorates-general, executive agencies, joint undertakings, so-called Article-185 bodies, the European Investment Bank (EIB) and the European Institute for Innovation and Technology (EIT);

Or. es

#### **Amendment 24**

**José Ignacio Salafranca Sánchez-Neyra**

#### **Motion for a resolution**

##### **Paragraph 14**

##### *Motion for a resolution*

14. Notes that, according to the 2015 DG RTD Annual Activity report<sup>10</sup>, the European Union contributed EUR 44.56 billion to the FP7 programme, of which 58 % went to **Germany (16 %), the United Kingdom (16 %), France (11 %), Italy (8 %) and Spain (7 %)**;

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[http://ec.europa.eu/atwork/synthesis/aar/doc/rtd\\_aar\\_2015.pdf](http://ec.europa.eu/atwork/synthesis/aar/doc/rtd_aar_2015.pdf), p. 33.

##### *Amendment*

14. Notes that, according to the 2015 DG RTD Annual Activity report<sup>10</sup>, the European Union contributed EUR 44.56 billion to the FP7 programme, of which 58 % went to **five Member States**;

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[http://ec.europa.eu/atwork/synthesis/aar/doc/rtd\\_aar\\_2015.pdf](http://ec.europa.eu/atwork/synthesis/aar/doc/rtd_aar_2015.pdf), p. 33.

Or. es

#### **Amendment 25**

**José Ignacio Salafranca Sánchez-Neyra**

#### **Motion for a resolution**

##### **Paragraph 16**

##### *Motion for a resolution*

16. Welcomes the fact that DG RTD had completed and closed, by the end of

##### *Amendment*

16. Welcomes the fact that DG RTD had completed and closed, by the end of

2015, 3 035 grant agreements out of 4 950, and 1 915 projects, with EUR 1.6 billion still to be paid; DG RTD made 826 final payments in 2015;

2015, 3 035 grant agreements out of 4 950, and 1 915 projects, with EUR 1.6 billion still to be paid; DG RTD made 826 final payments in 2015; *encourages the DG to develop these statistics in subsequent financial years;*

Or. es

**Amendment 26**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 16**

*Motion for a resolution*

16. *Welcomes the fact* that DG RTD had completed and closed, by the end of 2015, 3 035 grant agreements out of 4 950, and 1 915 projects, with EUR 1.6 billion still to be paid; DG RTD made 826 final payments in 2015;

*Amendment*

16. *Notes in regard to FP7 2007-2013* that DG RTD had completed and closed, by the end of 2015, 3 035 grant agreements out of 4 950, and 1 915 projects, with EUR 1.6 billion still to be paid; DG RTD made 826 final payments in 2015;

Or. es

**Amendment 27**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 17**

*Motion for a resolution*

17. *Welcomes* in particular the fact that indicators such as time-to-grant, time-to-inform and time-to pay showed a positive trend and were considered to be satisfactory (93-100 % compliance);

*Amendment*

17. *Points* in particular *to* the fact that indicators such as time-to-grant, time-to-inform and time-to pay showed a positive trend and were considered to be satisfactory (93-100 % compliance);

Or. es

**Amendment 28**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 18**

*Motion for a resolution*

18. **Acknowledges** that DG RTD undertook 1 550 audits, covering 1 404 beneficiaries and 58.7 % of the budget during the FP7 programming period;

*Amendment*

18. **Notes** that DG RTD undertook 1 550 audits, covering 1 404 beneficiaries and 58.7 % of the budget during the FP7 programming period;

Or. es

**Amendment 29**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 20**

*Motion for a resolution*

20. Observes that DG RTD incurred costs of EUR 1.67 million or 0.35 % of the EUR 479.9 million paid to joint undertakings for supervising their activities;

*Amendment*

20. Observes that DG RTD incurred costs of EUR 1.67 million or 0.35 % of the EUR 479.9 million paid to joint undertakings for supervising their activities; ***observes furthermore that DG RTD incurred costs of EUR 0.7 million or 0.78 % of the payments made to Article-185 bodies for supervising their activities;***

Or. es

**Amendment 30**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 21**

*Motion for a resolution*

21. ***Observes furthermore that DG RTD incurred costs of EUR 0.7 million or 0.78 % of the payments made to Article-***

*Amendment*

***deleted***

*185 bodies for supervising their activities;*

Or. es

**Amendment 31**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 23**

*Motion for a resolution*

23. *Takes note* that DG RTD estimated the overall detected error rate to be 4.35 %; at the same time, the directorate-general believed the residual error rate (at the end of the programme and after corrections) to be 2.88 %;

*Amendment*

23. *Notes with concern* that DG RTD estimated the overall detected error rate to be 4.35 %; at the same time, the directorate-general believed the residual error rate (at the end of the programme and after corrections) to be 2.88 %;

Or. es

**Amendment 32**  
**José Ignacio Salafranca Sánchez-Neyra**

**Motion for a resolution**  
**Paragraph 24**

*Motion for a resolution*

24. Notes that by the end of 2015 the amount to be recovered was EUR 49.4 million, of which EUR 31.5 million was effectively collected;

*Amendment*

24. Notes that by the end of 2015 the amount to be recovered was EUR 49.4 million, of which EUR 31.5 million was effectively collected, *meaning that 36.24 % of appropriations were not recovered; presses for an improvement in these figures and for new mechanisms or improvements to be examined that would cut figures for resources not recovered;*

Or. es

**Amendment 33**



**Inés Ayala Sender**

**Motion for a resolution  
Paragraph 24**

*Motion for a resolution*

24. *Notes* that by the end of 2015 the amount to be recovered was EUR 49.4 million, of which EUR 31.5 million was effectively collected;

*Amendment*

24. *Notes with concern* that by the end of 2015 the amount to be recovered was EUR 49.4 million, of which EUR 31.5 million was effectively collected, **and that 40 % therefore was not recovered**;

Or. es

**Amendment 34  
Andrey Novakov, Martina Dlabajová**

**Motion for a resolution  
Paragraph 24 a (new)**

*Motion for a resolution*

*Amendment*

**24a. Observes however, that FP7 rules were not sufficiently compatible with general business practices; that the control system needed to have better balance between risk and control, that beneficiaries needed better guidance to cope with complexity of the scheme and reimbursement methods needed to be more efficient;**

Or. en

**Amendment 35  
Inés Ayala Sender**

**Motion for a resolution  
Paragraph 24 a (new)**

*Motion for a resolution*

*Amendment*

**24a. Is concerned that the annual activity report of DG R&I indicated that**

*by the end of 2015 1915 FP7 projects worth EUR 1.63 billion were still not completed; this could delay the implementation of Horizon 2020.*

Or. en

**Amendment 36**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 25**

*Motion for a resolution*

25. *Underlines* that *the European Union should strive for* establishing synergies between the research and innovation sector on the one hand, and the structural funds on the other;

*Amendment*

25. *Notes* that establishing synergies between the research and innovation sector on the one hand, and the structural funds on the other, *is in the European Union's interest*;

Or. es

**Amendment 37**  
**Notis Marias**

**Motion for a resolution**  
**Paragraph 25**

*Motion for a resolution*

25. Underlines that the European Union should strive for establishing synergies between the research and innovation sector on the one hand, and the structural funds on the other;

*Amendment*

*(Does not affect the English version.)*

Or. el

**Amendment 38**  
**Andrey Novakov, Martina Dlabajová**

**Motion for a resolution**  
**Paragraph 25 a (new)**

*Motion for a resolution*

*Amendment*

**25a.** *Notes that the Commission should ensure that FP7 and national research funding is coherent with Common rules on state aid to avoid inconsistencies and duplications of funding; specific national characteristics should be taken into account.*

Or. en

**Amendment 39**  
**Martina Dlabajová**

**Motion for a resolution**  
**Paragraph 26**

*Motion for a resolution*

*Amendment*

26. Emphasises the importance of financial instruments in the area of research and innovation; points, in this context, to the fact that ‘The Risk-Sharing Finance Facility (RSFF 2007-2013) offers loans and hybrid or mezzanine finance to improve access to risk finance for R&I projects. The Union’s 2007-2015 RSFF contribution of EUR 961 million supported activity accounting for over EUR 10.22 billion of an expected EUR 11.31 billion. (...)’; notes that the Risk-Sharing Instrument (RSI) for SMIs provided financing of over EUR 2.3 billion, to which the Union contributed EUR 270 million<sup>11</sup>; is of the opinion that these figures underscore the interest of companies and other beneficiaries in risk finance;

26. Emphasises the importance of financial instruments in the area of research and innovation **for the competitiveness of this research; highlights that the use of financial instruments for projects at higher Technology Readiness Levels can ensure sufficient return on public investment**; points, in this context, to the fact that ‘The Risk-Sharing Finance Facility (RSFF 2007-2013) offers loans and hybrid or mezzanine finance to improve access to risk finance for R&I projects. The Union’s 2007-2015 RSFF contribution of EUR 961 million supported activity accounting for over EUR 10.22 billion of an expected EUR 11.31 billion. (...)’; notes that the Risk-Sharing Instrument (RSI) for SMIs provided financing of over EUR 2.3 billion, to which the Union contributed EUR 270 million; is of the opinion that these figures underscore the interest of companies and other beneficiaries in risk

finance;

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<sup>11</sup> COM(2016)0675, p. 18 and 19.

Or. en

#### **Amendment 40**

**José Ignacio Salafranca Sánchez-Neyra**

#### **Motion for a resolution**

##### **Paragraph 26**

##### *Motion for a resolution*

26. Emphasises the importance of financial instruments in the area of research and innovation; points, in this context, to the fact that ‘The Risk-Sharing Finance Facility (RSFF 2007-2013) offers loans and hybrid or mezzanine finance to improve access to risk finance for R&I projects. The Union’s 2007-2015 RSFF contribution of EUR 961 million supported activity accounting for over EUR 10.22 billion of an expected EUR 11.31 billion. (...)’; notes that the Risk-Sharing Instrument (RSI) for SMIs provided financing of over EUR 2.3 billion, to which the Union contributed EUR 270 million<sup>11</sup>; is of the opinion that these figures underscore the interest of companies and other beneficiaries in risk finance;

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<sup>11</sup> COM(2016)0675, pp. 18 and 19.

##### *Amendment*

26. Emphasises the importance of financial instruments in the area of research and innovation; points, in this context, to the fact that ‘The Risk-Sharing Finance Facility (RSFF 2007-2013) offers loans and hybrid or mezzanine finance to improve access to risk finance for R&I projects. The Union’s 2007-2015 RSFF contribution of EUR 961 million supported activity accounting for over EUR 10.22 billion of an expected EUR 11.31 billion. (...)’; notes that the Risk-Sharing Instrument (RSI) for SMIs provided financing of over EUR 2.3 billion, to which the Union contributed EUR 270 million<sup>11</sup>; is of the opinion that these figures underscore the **high** interest of companies and other beneficiaries in risk finance;

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<sup>11</sup> COM(2016)0675, pp. 18 and 19.

Or. es

#### **Amendment 41**

**Andrey Novakov, Martina Dlabajová**

#### **Motion for a resolution**

## Paragraph 26 a (new)

*Motion for a resolution*

*Amendment*

**26a.** *Observes the need of better targeting of FP7 financial instruments to ensure that new comers with limited access for financing in the research and innovation field are supported;*

Or. en

## Amendment 42

José Ignacio Salafranca Sánchez-Neyra

**Motion for a resolution**

## Paragraph 27

*Motion for a resolution*

*Amendment*

**27.** *Regrets that the following measures recommended by the external auditor or the internal audit service of the Commission are not completed:* two measures concerning the control systems for the supervision of external bodies, and three measures for the Participants Guarantee Fund;

**27.** *Notes that certain measures recommended by the external auditor and/or the internal audit service of the Commission, namely two measures concerning the control systems for the supervision of external bodies, and three measures for the Participants Guarantee Fund, have not been included;*

Or. es

## Amendment 43

Andrey Novakov, Martina Dlabajová

**Motion for a resolution**

## Paragraph 27 a (new)

*Motion for a resolution*

*Amendment*

**27a.** *Suggests a better communication of results in the Member States and information campaigns for the programme;*

**Amendment 44**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 27 a (new)**

*Motion for a resolution*

*Amendment*

***27a. Regrets that the payment policy for researchers is applied with minor adjustments in the framework of the Horizon 2020 programme and thus it allows different payments for the same work within the same project based only on the nationality of the researcher, which stimulates the brain-drain of scientists from central and eastern Europe;***

Or. es

**Amendment 45**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 28 a (new)**

*Motion for a resolution*

*Amendment*

***28a. Notes with concern that only a limited number of territories are represented in the 20 most important Horizon 2020 projects, which are spread as follows; Netherlands 5, United Kingdom 4, Germany 3, France 2, Belgium 2, Sweden 1, Switzerland 1, Norway 1, Spain 1;***

Or. es

**Amendment 46**

**Inés Ayala Sender**

**Motion for a resolution  
Paragraph 28 b (new)**

*Motion for a resolution*

*Amendment*

**28b. Notes with concern, given the repeated error level, that a decision has been made to cut substantially the number of H2020 audits;**

Or. es

**Amendment 47**

**Andrey Novakov, Martina Dlabajová**

**Motion for a resolution  
Paragraph 29**

*Motion for a resolution*

*Amendment*

29. **Notes** that the Commission endeavoured to further simplify the implementation of Horizon 2020 compared with FP7; emphasises, however, that all policy areas, including structural funds, should benefit from simplification with a view to maintaining equal treatment of beneficiaries of European financial assistance;

29. **Recognises that there were EUR 551 mln of cost savings in FP7 comparing to FP6 and** that the Commission endeavoured to further simplify the implementation of Horizon 2020 compared with FP7; emphasises, however, that all policy areas, including structural funds, should benefit from simplification with a view to maintaining equal treatment of beneficiaries of European financial assistance;

Or. en

**Amendment 48**

**Notis Marias**

**Motion for a resolution  
Paragraph 29**

*Motion for a resolution*

*Amendment*

29. Notes that the Commission endeavoured to further simplify the implementation of Horizon 2020 compared with FP7; emphasises, however, that all policy areas, including structural funds, should benefit from simplification with a view to maintaining equal treatment of beneficiaries of European financial assistance;

*(Does not affect the English version.)*

Or. el

#### **Amendment 49**

**José Ignacio Salafranca Sánchez-Neyra**

#### **Motion for a resolution**

##### **Paragraph 29**

##### *Motion for a resolution*

29. Notes that the Commission endeavoured to further simplify the implementation of Horizon 2020 compared with FP7; emphasises, ***however, that*** all policy areas, including structural funds, ***should benefit*** from simplification with a view to maintaining equal treatment of beneficiaries of European financial assistance;

##### *Amendment*

29. Notes that the Commission endeavoured to further simplify the implementation of Horizon 2020 compared with FP7; emphasises ***the importance of*** all policy areas, including structural funds, ***benefiting*** from simplification with a view to maintaining equal treatment of beneficiaries of European financial assistance;

Or. es

#### **Amendment 50**

**José Ignacio Salafranca Sánchez-Neyra**

#### **Motion for a resolution**

##### **Paragraph 30**

##### *Motion for a resolution*

30. ***Recognises*** that DG RTD is trying to further reduce overhead costs by outsourcing contract management to executive agencies and other bodies;

##### *Amendment*

30. ***Is pleased to note*** that DG RTD is trying to further reduce overhead costs by outsourcing contract management to executive agencies and other bodies;



stresses in the context that, under Horizon 2020, 55 % of the budget will be managed by executive agencies;

stresses in the context that, under Horizon 2020, 55 % of the budget will be managed by executive agencies;

Or. es

#### **Amendment 51**

**Andrey Novakov, Martina Dlabajová**

#### **Motion for a resolution**

#### **Paragraph 34 a (new)**

*Motion for a resolution*

*Amendment*

***34a. Suggests that the role for the National Contact Points (NCP) should be increased in order to provide quality technical support on the ground; Annual assessment of results, trainings and stimulation of NCPs that perform effectively will increase the success rate of Horizon 2020 programme.***

Or. en

#### **Amendment 52**

**Inés Ayala Sender**

#### **Motion for a resolution**

#### **Paragraph 34 a (new)**

*Motion for a resolution*

*Amendment*

***34a. Welcomes that the share of Horizon 2020 funds allocated to small and medium-sized enterprises increased from 19.4% in 2014 to 23.4% in 2015 and recommends that this trend should be proactively encouraged;***

Or. en

**Amendment 53**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 34 b (new)**

*Motion for a resolution*

*Amendment*

**34b. Considers it unacceptable that the DG R&I has not complied with its request that the Commission's directorates general should publish all their country specific recommendations in their annual activity reports; notes with concern that only a limited number of territories are represented in the 20 most important Horizon 2020 projects.**

Or. en

**Amendment 54**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 34 c (new)**

*Motion for a resolution*

*Amendment*

**34c. Requests the Commission to take measures ensuring the same pay for researchers doing the same work within the same project and to provide a list, by nationality, of all the enterprises quoted on the stock-exchange and/or which show a profit in their annual statement of accounts and which receive funds from Horizon 2020.**

Or. en

**Amendment 55**  
**Andrey Novakov, Martina Dlabajová**

**Motion for a resolution**

## Paragraph 35 a (new)

*Motion for a resolution*

*Amendment*

**35a.** *Recalls, that a 9th Research Framework Programme is under preparation; Underlines the need to ensure that Horizon 2020's best practices are used in defining the programme, suggests more funding for innovation, which is economically efficient for the business sector and greater flexibility between budgets of the different sub-programs to avoid lack of funding for those qualified as "excellent".;*

Or. en

## Amendment 56

José Ignacio Salafranca Sánchez-Neyra

### Motion for a resolution

#### Subheading 5

*Motion for a resolution*

*Amendment*

The United Kingdom leaving the European Union

***Repercussions for FP7 of the*** United Kingdom leaving the European Union

Or. es

## Amendment 57

Notis Marias

### Motion for a resolution

#### Paragraph 36

*Motion for a resolution*

*Amendment*

36. Notes with respect the vote of the citizens of the United Kingdom of 23 June 2016, in which they expressed the political will to leave the European Union;

36. Notes with ***absolute*** respect the vote of the citizens of the United Kingdom of 23 June 2016, in which they expressed the political will to leave the European Union;

**Amendment 58**

**José Ignacio Salafranca Sánchez-Neyra**

**Motion for a resolution**

**Paragraph 36**

*Motion for a resolution*

36. Notes ***with respect*** the vote of the citizens of the United Kingdom of 23 June 2016, in which they expressed the political will to leave the European Union;

*Amendment*

36. Notes the vote of the citizens of the United Kingdom of 23 June 2016, in which they expressed the political will to leave the European Union;

**Amendment 59**

**Jonathan Arnott**

**Motion for a resolution**

**Paragraph 37**

*Motion for a resolution*

37. Welcomes the work of the UK House of Commons in evaluating ***the repercussions*** of this vote on the area of science and research<sup>12</sup>, and in seeking to ***keep the negative impact on*** European ***competitiveness to a minimum***;

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<sup>12</sup> See footnote 5.

*Amendment*

37. Welcomes the work of the UK House of Commons in evaluating ***a possible outcome*** of this vote on the area of science and research<sup>12</sup>, and in seeking to ***safeguard and improve*** European ***and British competitiveness***;

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<sup>12</sup> See footnote 5.

**Amendment 60**

**José Ignacio Salafranca Sánchez-Neyra**

**Motion for a resolution**

**Paragraph 38 – subparagraph 1 (new)**

*Motion for a resolution*

*Amendment*

*Urges the DG, owing to the United Kingdom suddenly leaving the European Union, to examine strategies to facilitate the allocation of resources available so they are not left as unallocated appropriations;*

Or. es

**Amendment 61**  
**Inés Ayala Sender**

**Motion for a resolution**  
**Paragraph 38 a (new)**

*Motion for a resolution*

*Amendment*

*38a. Calls on DG RTD to present a proposal setting out possible ways of safeguarding the rights and obligations of the United Kingdom after Brexit actually takes place, taking account of the fact that there will be delays;*

Or. es

**Amendment 62**  
**Jonathan Arnott**

**Motion for a resolution**  
**Paragraph 39**

*Motion for a resolution*

*Amendment*

*39. Concludes that the Commission – overall – managed the FP7 cost effectively;* *deleted*

Or. en

**Amendment 63**  
**Inés Ayala Sender**

**Motion for a resolution**

## Paragraph 39

### *Motion for a resolution*

39. Concludes that the Commission – overall – ***managed*** the FP7 ***cost effectively***;

### *Amendment*

39. Concludes that the Commission ***has*** – overall – ***learnt from*** the ***recommendations of the Court of Auditors and of the European Parliament how to make implementation of FP7 more efficient; repeats, however, its concern over delays and repeated error rates in its implementation***;

Or. es

## Amendment 64 Inés Ayala Sender

### Motion for a resolution Paragraph 41 a (new)

### *Motion for a resolution*

### *Amendment*

***41a. Calls on the Member States to make an extra effort in order to meet the target of 3 % of GDP being invested in research; considers this would boost excellence and innovation; calls on the Commission therefore to examine the possibility of proposing a Science Covenant at local, regional and national level, building on the dynamic already created by the Covenant of Mayors;***

Or. es