## **European Parliament**

2014-2019



## Committee on Regional Development

2015/2278(INI)

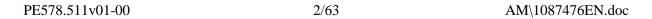
29.2.2016

## AMENDMENTS 1 - 137

**Draft report Ramón Luis Valcárcel Siso**(PE575.283v01-00)

Cohesion Policy and Research and Innovation Strategies for Smart Specialisation (RIS3) (2015/2278(INI))

AM\1087476EN.doc PE578.511v01-00



### Amendment 1 Viorica Dăncilă

Motion for a resolution Citation 10 a (new)

Motion for a resolution

### Amendment

- having regard to the Commission communication of 22 February 2016 entitled 'Investment Plan for Europe: new guidelines on combining European Structural and Investment Funds with the EFSI,

Or. ro

Amendment 2 Lambert van Nistelrooij

Motion for a resolution Citation 13 a (new)

Motion for a resolution

#### Amendment

- having regard to the communication from the Commission of 14 December 2015 entitled 'Investing in jobs and growth - maximising the contribution of European Structural and Investment Funds' (COM(2015)0639 final),

Or. en

Amendment 3 Viorica Dăncilă

Motion for a resolution Citation 15 a (new)

Motion for a resolution

Amendment

- having regard to the Commission communication of 13 September 2013

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entitled 'Measuring innovation output in Europe: towards a new indicator' (COM(2013)0624),

Or. ro

Amendment 4 Ramón Luis Valcárcel Siso

Motion for a resolution Citation 18 a (new)

Motion for a resolution

### Amendment

- having regard to the guidance for policymakers and implementing bodies on "Enabling synergies between European Structural and Investment Funds, Horizon 2020 and other research, innovation and competitiveness-related Union programmes" (SWD (2014)205),

Or. en

Amendment 5 Ramón Luis Valcárcel Siso

Motion for a resolution Citation 19 a (new)

Motion for a resolution

Amendment

- having regard to the European Parliament Preparatory Action in the Region of Eastern Macedonia and Thrace (REMTh),

Or. en

Amendment 6 Viorica Dăncilă

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## Motion for a resolution Citation 21 a (new)

Motion for a resolution

### Amendment

- having regard to the report of the Committee on Regional Development (A7-0462/2013),

Or. ro

Amendment 7 Steeve Briois

Motion for a resolution Recital A

Motion for a resolution

A. whereas during these times of economic crisis the EU must step up its efforts to create smart and sustainable economic growth;

#### Amendment

A. whereas during *a period* of economic crisis the *Member States have limited* budgetary resources to invest in research, development and innovation (RDI);

Or. fr

Amendment 8 Stanislav Polčák

Motion for a resolution Recital A

Motion for a resolution

A. whereas *during these times of economic crisis* the EU must step up its efforts to create smart and sustainable economic growth;

### Amendment

A. whereas, at a time of ever greater global competition, the EU must step up its efforts to create smart and sustainable economic growth, in particular taking account of the current economic crisis;

Or. cs

Amendment 9 Davor Škrlec

## Motion for a resolution Recital A

Motion for a resolution

A. whereas during these times of economic crisis the EU must step up its efforts to create smart *and sustainable economic* growth;

#### Amendment

A. whereas during these times of economic crisis the EU must step up its efforts to create smart, *sustainable and inclusive* growth;

Or. en

Amendment 10 Viorica Dăncilă

## Motion for a resolution Recital A

Motion for a resolution

A. whereas during these times of economic crisis the EU must step up its efforts to create smart and sustainable economic growth;

#### Amendment

A. whereas during these times of economic, *financial and social* crisis the EU must step up its efforts to create smart and sustainable economic growth;

Or. ro

Amendment 11 Steeve Briois

Motion for a resolution Recital A a (new)

Motion for a resolution

#### Amendment

Aa. whereas public investment geared to sustainable and smart growth has been considerably reduced because of the budgetary austerity policies which the Commission is requiring national governments to implement;

Amendment 12 Steeve Briois

Motion for a resolution Recital A b (new)

Motion for a resolution

#### Amendment

Ab. whereas the stagnation of private investment in R&D is due to the current weakness of growth and the uncertainty as to when demand will recover;

Or. fr

Amendment 13 Ramón Luis Valcárcel Siso

Motion for a resolution Recital B

Motion for a resolution

B. whereas *supporting* research, development and innovation (R&D&I) is one of the *objectives of the Cohesion Policy in the framework of the obligatory thematic concentration* under the European Regional Development Fund (ERDF) for 2014-2020; whereas the support for innovation differs widely across the EU and within Member States, especially for the exploitation of knowledge and technology in promoting innovation;

### Amendment

B. whereas *strengthening* research, *technological* development and innovation (R&D&I) is one of the *investment priorities* under the European Regional Development Fund (ERDF) for 2014-2020; whereas the support for innovation differs widely across the EU and within Member States, especially for the exploitation of knowledge and technology in promoting innovation;

Or. en

Amendment 14 Steeve Briois

## Motion for a resolution Recital B a (new)

Motion for a resolution

#### Amendment

Ba. whereas cohesion policy has not made it possible to reduce regional disparities, particularly in terms of RDI;

Or. fr

Amendment 15 Ramón Luis Valcárcel Siso

Motion for a resolution Recital C

Motion for a resolution

C. whereas for the 2014-2020 programming period, Member States are required, for the first time, to design a research and innovation strategy for smart specialisation (RIS3) to boost regional innovative capacity and to coordinate R&D&I more effectively;

#### Amendment

C. whereas for the 2014-2020 programming period, Member States are required, for the first time, to develop national and/or regional smart specialization strategies through involving national and regional managing authorities and stakeholders such as higher education institutions, industry and social partners in an entrepreneurial discovery process;

Or. en

Amendment 16 Davor Škrlec

Motion for a resolution Recital C a (new)

Motion for a resolution

#### Amendment

Ca. whereas smart specialisation combines and brings together different policies including on entrepreneurship, education and innovation for regions to identify and select priority areas for their

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development and related investments through focusing on their strengths and comparative advantages;

Or. en

Amendment 17 Steeve Briois

## Motion for a resolution Recital D

Motion for a resolution

D. whereas RIS3 should help make the European economy more competitive, create more jobs, take on board new experiences, contribute to the dissemination of good practices and develop a new entrepreneurial spirit, combined with a functioning digital single market and smart specialisation that could lead to new skills, knowledge, innovation and employment in order better to exploit research results and take advantage of all forms of innovation;

#### Amendment

D. whereas RIS3 has the aim of making European economies more competitive, contributing to the dissemination of good practices and promoting entrepreneurial activity, all which could lead to the creation of durable jobs and new skills, knowledge and innovation; stresses, however, that the results of these tools are proving disappointing;

Or. fr

Amendment 18 Ramón Luis Valcárcel Siso

## Motion for a resolution Recital D

Motion for a resolution

D. whereas RIS3 should help make the European economy more competitive, create more jobs, take on board new experiences, contribute to the dissemination of good practices and develop a new entrepreneurial spirit, combined with a functioning digital single market and smart specialisation that could

#### **Amendment**

D. whereas RIS3 should help make the European economy more competitive, develop an European added value in innovation, create more better jobs, take on board new experiences, contribute to the dissemination of good practices and develop a new entrepreneurial spirit, combined with a functioning digital single

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lead to new skills, knowledge, innovation and employment in order better to exploit research results and take advantage of all forms of innovation; market and smart specialisation that could lead to new skills, knowledge, innovation and employment in order better to exploit research results and take advantage of all forms of innovation;

Or. en

Amendment 19 Davor Škrlec

Motion for a resolution Recital D

Motion for a resolution

D. whereas RIS3 should help make the European economy more competitive, create more jobs, take on board *new* experiences, contribute to the dissemination of good practices and develop a new entrepreneurial spirit, combined with a functioning digital single market and smart specialisation that could lead to new skills, knowledge, innovation and employment in order better to exploit research results and take advantage of all forms of innovation;

#### Amendment

D. whereas RIS3 should help make the European economy more competitive, create more *high-quality* jobs, take on board *a wide range of* experiences, contribute to the dissemination of good practices and develop a new entrepreneurial spirit, combined with a functioning digital single market and smart specialisation that could lead to new skills, knowledge, innovation and employment in order better to exploit research results and take advantage of all forms of innovation;

Or. en

Amendment 20 Ramón Luis Valcárcel Siso

Motion for a resolution Recital D a (new)

Motion for a resolution

#### Amendment

Da. whereas the development of a RIS3 strategy involves a process of developing multi-stakeholder governance mechanisms identifying the place-based areas of greatest strategic potential, setting strategic priorities and designing

efficient support service to enterprises to maximize the knowledge-based development potential of a region;

Or. en

Amendment 21 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto, Andrew Lewer

Motion for a resolution Recital D a (new)

Motion for a resolution

Amendment

Da. whereas the European Union remains confronted with persistent innovation gaps, both internationally and internally where innovation performance differences between Member States are still relatively high and at the global level, the Union is still lagging behind its main competitors;

Or. en

Amendment 22 Lambert van Nistelrooij

Motion for a resolution Recital D a (new)

Motion for a resolution

Amendment

Da. whereas RIS3 contributes to the efficient use of EU funds; whereas RIS3 affects all Member States and regions of the European Union; whereas RIS3 unlocks the potential of all regions in the Union;

Or. en

Amendment 23 Ramón Luis Valcárcel Siso

Motion for a resolution Recital D b (new)

Motion for a resolution

Amendment

Db. whereas the timely and successful development of RIS3 strategies in the Member States is to an important degree dependent on their increasing administrative capacity for programming, budgeting, implementation and evaluation within the policy framework with the aim of enhancing private investment in R&D&I;

Or. en

Amendment 24 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto, Andrew Lewer

Motion for a resolution Recital D b (new)

Motion for a resolution

Amendment

Db. whereas initial assessments of smart specialisation strategies, carried out in early 2015, have so far delivered a mixed picture, notably regarding the choice of priorities, often considered as too generic or insufficiently connected to regional economic and innovation structures;

Or. en

Amendment 25 Ramón Luis Valcárcel Siso

Motion for a resolution Recital D c (new)

### Motion for a resolution

#### Amendment

Dc. whereas the RIS3 platform assists bottom-up and peer-to-peer exchanges and transfer of knowledge among participating regions, this process needs to be prioritized with respect to the future design and practice of smart specialization initiatives;

Or. en

Amendment 26 Steeve Briois

Motion for a resolution Paragraph 1

Motion for a resolution

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

#### Amendment

1. Emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

Or. fr

Amendment 27 Davor Škrlec

Motion for a resolution Paragraph 1

### Motion for a resolution

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

#### Amendment

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth *and high-quality jobs in all* regions;

Or. en

Amendment 28 Ivan Jakovčić

## Motion for a resolution Paragraph 1

#### Motion for a resolution

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

#### Amendment

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth *and harmonised development*, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

Or. hr

## Amendment 29 Louis-Joseph Manscour

## Motion for a resolution Paragraph 1

### Motion for a resolution

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

#### Amendment

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural *and island* regions;

Or. fr

## Amendment 30 Viorica Dăncilă

## Motion for a resolution Paragraph 1

### Motion for a resolution

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

#### Amendment

1. Underlines that smart specialisation strategies (S3s) support thematic concentration and strategic programming of the European Structural and Investment Funds (ESI Funds) and lead to increased performance orientation on the ground, thus contributing to the *achievement of the* Europe 2020 objectives; emphasises that the aim of these strategies is to create knowledge-based and sustainable growth, not only in well-developed areas, but also in regions in transition as well as in less developed and rural regions;

Or. ro

## Amendment 31 Louis-Joseph Manscour

## Motion for a resolution Paragraph 2

Motion for a resolution

2. Requests that the new conditionality provisions for the attribution of ESI Funds are fully respected in order to make smart specialisation strategies work;

Amendment

deleted

Or. fr

Amendment 32 Steeve Briois

Motion for a resolution Paragraph 2

Motion for a resolution

2. Requests that the new conditionality provisions for the attribution of ESI Funds are fully respected in order to make smart specialisation strategies work;

#### **Amendment**

2. Does not accept that the allocation of ESI funds should be conditional on compliance with macroeconomic criteria, because local authorities would unjustly suffer the consequences of policies pursued at national level;

Or. fr

Amendment 33 Davor Škrlec

Motion for a resolution Paragraph 2

Motion for a resolution

2. Requests that the new conditionality provisions for the attribution of ESI Funds are fully respected in order to make smart

#### Amendment

2. Requests that the new *ex-ante* conditionality provisions for the attribution of ESI Funds are fully respected in order to

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Or. en

## Amendment 34 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto, Andrew Lewer

## Motion for a resolution Paragraph 3

#### Motion for a resolution

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's relative strengths and potential, to focus on productive specialisation to detect emerging niches for smart specialisation, and to enhance *a stronger* partnership between the public and private sectors;

#### Amendment

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's relative strengths and potential, to focus on productive specialisation to detect emerging niches for smart specialisation to avoid forced and artificial overspecialisation, and to enhance partnership between the public and private sectors;

Or. en

### Amendment 35 Davor Škrlec

# Motion for a resolution Paragraph 3

#### *Motion for a resolution*

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's *relative strengths and potential*, to focus on productive specialisation to detect emerging niches for smart specialisation, and to enhance a stronger partnership between the public and private sectors:

#### Amendment

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's *existing capabilities, assets and competences*, to focus on productive specialisation to detect emerging niches *or comparative advantages* for smart specialisation, and to enhance a stronger partnership between the public and private sectors;

Or. en

### Amendment 36 Ramón Luis Valcárcel Siso

## Motion for a resolution Paragraph 3

### Motion for a resolution

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's relative strengths and potential, to focus on *productive specialisation* to detect emerging niches for smart specialisation, and to enhance a stronger partnership between the public and private sectors:

#### Amendment

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's relative strengths and potential, to focus on *the entrepreneurial discovery process* to detect emerging niches for smart specialisation, and to enhance a stronger partnership between the public and private sectors;

Or. en

## Amendment 37 Rosa D'Amato, Isabella Adinolfi, Marco Zullo

## Motion for a resolution Paragraph 3

#### Motion for a resolution

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's relative strengths and potential, to focus on productive specialisation to detect emerging niches for smart specialisation, and to enhance a stronger partnership between the public and private sectors;

#### Amendment

3. Calls on all actors involved to develop RIS3 on the basis of analyses of each region's relative strengths and potential, to focus on productive specialisation to detect emerging niches for smart specialisation, and to enhance a stronger partnership between the public and private sectors; stresses however that the cooperative relationship between public actors (governments, agencies and international organisations, or a combination thereof) and private actors, could offer a number of potential benefits but entails a risk of conflict of interests that should be adequately addressed both by hard-law and soft-law tools;

Or. en

Amendment 38 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 3 a (new)

Motion for a resolution

Amendment

3a. Supports a broad definition of innovation, as the transformation of an idea into a new or improved product or service introduced on the market, into a new or improved operational process used in industry and commerce or into a new approach to a social service;

Or. en

Amendment 39 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 3 b (new)

Motion for a resolution

Amendment

3b. Asks regions to design innovative support services schemes complementing or replacing existing support services to help enterprises to absorb new knowledge and technology to remain competitive;

Or. en

Amendment 40 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 3 c (new)

Motion for a resolution

Amendment

3c. Asks the European Commission to align the general block exemption regulation to allow the seal of excellence

### conditions to be offered by the ESIF;

Or. en

Amendment 41 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 3 d (new)

Motion for a resolution

#### Amendment

3d. Asks national authorities to invest in regional intelligence and big data mining to demonstrate their unique competitive advantage as well as to understand trends of regional enterprises in the global value chain;

Or. en

Amendment 42 Steeve Briois

Motion for a resolution Paragraph 4

Motion for a resolution

Amendment

4. Is of the opinion that the S3 platform in Seville plays a key role in advising and benchmarking regions on their innovation strategies, helping lagging regions and enhancing multi-level governance and synergies between regions; stresses that the platform should develop mentoring activities in lagging regions, as well as make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities;

deleted

Or. fr

## Amendment 43 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius, Hannu Takkula

## Motion for a resolution Paragraph 4

### Motion for a resolution

4. Is of the opinion that the S3 platform in Seville plays a key role in advising and benchmarking regions on their innovation strategies, helping lagging regions and enhancing multi-level governance and synergies between regions; stresses that the platform should develop mentoring activities in lagging regions, as well as make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities:

#### Amendment

4. Is of the opinion that the S3 platform in Seville plays a key role in advising and benchmarking regions on their innovation strategies, helping lagging regions and enhancing multi-level governance and synergies between regions by providing information, methodologies, expertise and advice to national and regional policy makers; stresses that the platform should make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities;

Or. en

### Amendment 44 Stanislav Polčák

## Motion for a resolution Paragraph 4

### Motion for a resolution

4. Is of the opinion that the S3 platform in Seville plays a key role in advising and benchmarking regions on their innovation strategies, *helping lagging regions* and enhancing multi-level governance and synergies between regions; stresses that the platform should *develop mentoring activities in lagging regions, as well as* make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities;

#### Amendment

4. Is of the opinion that the S3 platform in Seville plays a key role in advising and benchmarking regions on their innovation strategies and *in* enhancing multi-level governance and synergies between regions; stresses that the platform should make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities;

Or. cs

## Amendment 45 Ramón Luis Valcárcel Siso

## Motion for a resolution Paragraph 4

Motion for a resolution

4. Is of the opinion that the S3 platform in Seville plays a key role in advising and benchmarking regions on their innovation strategies, helping lagging regions and enhancing multi-level governance and synergies between regions; stresses that the platform should develop mentoring activities in lagging regions, as well as make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities:

#### Amendment

4. Is of the opinion that the S3 platform, established by DG REGIO and located in the JRC in Seville, plays a key role in advising and benchmarking regions on their innovation strategies, helping lagging regions and enhancing multi-level governance and synergies between regions; stresses that the platform should develop mentoring activities in lagging regions, as well as make a continuous effort to update its database, taking into account the local needs, specificities and priorities of regions and cities;

Or. en

Amendment 46 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto

Motion for a resolution Paragraph 4 a (new)

Motion for a resolution

Amendment

4a. RIS3 should give a region its greatest competitive potential and ensure that research and innovation resources reach critical mass:

Or. en

Amendment 47 Stanislav Polčák

## Motion for a resolution Paragraph 4 a (new)

Motion for a resolution

Amendment

4a. Takes the view that the S3 platform in Seville should pay particular attention to lagging regions and, in particular, should help them to shape and direct their strategies;

Or. cs

Amendment 48 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 4 a (new)

Motion for a resolution

Amendment

4a. Believes that smaller regions have more problems in developing and implementing strategies and calls for the development of proposals to increase the support of these regions in order to enhance the implementation of the S3 strategies and the exchange of best practices;

Or. en

Amendment 49 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 4 b (new)

Motion for a resolution

Amendment

4b. Welcomes the European Commission's latest focus on lagging regions, the latter from a recent pilot project from the European Parliament Preparatory Action in the Region of

Eastern Macedonia and Thrace scaled up to regions from 8 Member States until the end of 2017;

Or. en

Amendment 50 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 4 c (new)

Motion for a resolution

#### Amendment

4c. Welcomes the continuation of the Regional Innovation Monitor Plus platform (RIM Plus), established by DG Growth, the creation of a Research Innovation Observatory (RIO), established by DG RTD and different policy-related Knowledge Centers at DG JRC (EC), providing comprehensive data, indicators and guidelines to national and regional S3 stakeholders;

Or. en

Amendment 51 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 4 d (new)

Motion for a resolution

### Amendment

4d. Looks forward to future details on the European Innovation Council (EIC), aiming to create a 'one stop shop' for innovators, thus bridging the achievements of science with the needs of businesses and public authorities in Europe;

Or. en

## Amendment 52 Louis-Joseph Manscour

## Motion for a resolution Paragraph 5

Motion for a resolution

5. Calls on the authorities concerned to put a stronger focus on financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance;

#### Amendment

5. Recalls that public funding remains a powerful engine for innovation; stresses that it must be based on a balance between grants and the use of alternative means of finance; calls on the authorities concerned to seek to preserve this balance;

Or. fr

Amendment 53 Davor Škrlec

Motion for a resolution Paragraph 5

Motion for a resolution

5. Calls on the authorities concerned to *put* a *stronger focus on* financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance;

#### Amendment

5. Calls on the authorities concerned to *consider with caution the use of* financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance;

Or. en

Amendment 54 Viorica Dăncilă

Motion for a resolution Paragraph 5

Motion for a resolution

5. Calls on the authorities concerned to put

Amendment

5. Calls on the authorities concerned to put

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a stronger focus on financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance; a stronger focus on financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance, whilst at the same time maintaining a balance between grants and alternative means;

Or. ro

Amendment 55 Lambert van Nistelrooij

## Motion for a resolution Paragraph 5

Motion for a resolution

5. Calls on the authorities concerned to put a stronger focus on financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance:

#### Amendment

5. Calls on the authorities concerned to put a stronger focus on financial instruments, as innovation should not only be focused on grants, but also be able to find alternative means of finance, such as loans and guarantees;

Or. en

Amendment 56 Steeve Briois

Motion for a resolution Paragraph 6

Motion for a resolution

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views;

## Amendment

6. *Welcomes the fact* that some Member States have decided to opt for national RIS3:

Or. fr

Amendment 57 Lambert van Nistelrooij

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## Motion for a resolution Paragraph 6

### Motion for a resolution

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views;

#### Amendment

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views; regrets that the partnership principle enshrined in Article 5 of the CPR has not always been respected; calls on Member States to respect the partnership principle at all stages of the preparation and implementation of the Partnership Agreement and Operational Programmes;

Or. en

Amendment 58 Davor Škrlec

## Motion for a resolution Paragraph 6

### Motion for a resolution

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views;

#### Amendment

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views;

Underlines the importance of a regional approach and calls on Member States concerned to reconsider replacing the national RIS3 by regional ones in order not to miss out growth opportunities;

Or. en

Amendment 59 Rosa D'Amato, Isabella Adinolfi, Marco Zullo

Motion for a resolution Paragraph 6

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### Motion for a resolution

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views;

#### Amendment

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views, thus undermining the bottom-up entrepreneurial discovery process that should be enshrined in RIS3:

Or. en

Amendment 60 Viorica Dăncilă

Motion for a resolution Paragraph 6

Motion for a resolution

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views;

### Amendment

6. Regrets that some Member States have decided to opt for national RIS3 without giving local and regional authorities a chance to develop their own views, and calls for better coordination between national and regional S3s whenever appropriate;

Or. ro

Amendment 61 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto, Andrew Lewer

Motion for a resolution Paragraph 6 a (new)

Motion for a resolution

**Amendment** 

6a. Believes that smart specialisation strategies should be designed through the entrepreneurial discovery process with the full involvement of regional and local authorities; underlines that the RIS3 process must be interactive, regionally-

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driven and consensus-based, which can be achieved through better coordination between all levels of governance;

Or. en

Amendment 62 Viorica Dăncilă

Motion for a resolution Paragraph 6 a (new)

Motion for a resolution

#### Amendment

6a. Believes that the quality of cooperation between government and the relevant actors in regions will have a decisive influence on the RIS3 strategy, and will markedly reduce the risk of wrong priority choices being made; underscores, in this connection, the importance of consulting with businesses, and with SMEs in particular, since a 'vision of innovation' will only be successful if businesses have the appropriate potential to put it into practice;

Or. ro

Amendment 63 Steeve Briois

Motion for a resolution Paragraph 7

*Motion for a resolution* 

7. Highlights the importance of better coordination between all levels of governance to foster a bottom-up vision of the regional strategies, including all smart specialisation authorities and stakeholders, as well as experts, civil society and end users, in order to break 'silo mentalities';

#### Amendment

7. Considers it important to improve coordination between all levels of governance, including all smart specialisation authorities and stakeholders, as well as experts, civil society and end users, but nonetheless stresses that the formulation and use of RIS3 are matters

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for Member States, out of respect for the subsidiarity principle;

Or. fr

Amendment 64 Daniel Buda

Motion for a resolution Paragraph 7

Motion for a resolution

7. Highlights the importance of better coordination between all levels of governance to foster a bottom-up vision of the regional strategies, including all smart specialisation authorities and stakeholders, as well as experts, civil society and end users, in order to break 'silo mentalities';

### Amendment

7. Highlights the importance of better coordination between all levels of governance to foster a bottom-up vision of the regional strategies, including all smart specialisation authorities and stakeholders, as well as experts, civil society and end users, in order to break 'silo mentalities'; points out that the failure to adapt Member State legislation is creating barriers to the implementation of investments in research and innovation;

Or. ro

Amendment 65 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 7 a (new)

Motion for a resolution

#### Amendment

7a. Reminds the limited role that civil society has played in RIS3 strategies and calls to enhance its participation through platforms and collaborative partnerships as this can help to better shape strategies and enhance cooperation with society and better governance;

Or. en

## Amendment 66 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius

## Motion for a resolution Paragraph 8

Motion for a resolution

8. Highlights the importance of close coordination throughout the whole implementation phase between the *development of the RIS3* and the *management of the operational programmes*;

#### Amendment

8. Highlights the importance of close coordination throughout the whole implementation phase between the *operational programmes* and the *RIS3*;

Or. en

Amendment 67 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 8 a (new)

Motion for a resolution

### Amendment

8a. Asks for a reinforcement of the RIS 3 implementation in the context of the upcoming review of the Multiannual Financial Framework 2014;

Or. en

Amendment 68 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 9

Motion for a resolution

9. Calls for continued efforts to encourage a change of mentality and to promote innovative policy approaches to boost

### Amendment

9. Calls for continued efforts to encourage a change of mentality and to promote innovative policy approaches to boost

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intraregional, inter-regional, extra-regional and transnational collaboration;

intraregional, inter-regional, extra-regional and transnational collaboration through existing tools such as INTERREG in order to continue boosting an European added value in the strategies;

Or. en

Amendment 69 Davor Škrlec

## Motion for a resolution Paragraph 9

### Motion for a resolution

9. Calls for continued efforts to encourage a change of mentality and to promote innovative policy approaches to boost intraregional, inter-regional, extra-regional and transnational collaboration;

#### Amendment

9. Calls for continued efforts to encourage a change of mentality and to promote innovative policy approaches to boost intraregional, inter-regional, extra-regional and transnational collaboration *including for macro-regions*;

Or. en

Amendment 70 Ivan Jakovčić

## Motion for a resolution Paragraph 9

#### *Motion for a resolution*

9. Calls for continued efforts to encourage a change of mentality and to promote innovative policy approaches to boost intraregional, inter-regional, extra-regional and transnational collaboration;

#### Amendment

9. Calls for continued efforts to encourage a change of mentality and to promote innovative policy approaches to boost intraregional, inter-regional, extra-regional, *cross-border* and transnational collaboration:

Or. hr

Amendment 71 Davor Škrlec

Motion for a resolution Paragraph 9 a (new)

Motion for a resolution

Amendment

9a. Recalls the importance of social innovation to be underlined which can help establish new business models and cultures thus providing for an appropriate environment for the implementation of the circular economy;

Or. en

Amendment 72 Lambert van Nistelrooij

Motion for a resolution Paragraph 9 a (new)

Motion for a resolution

Amendment

9a. Calls upon the Commission to come forward with an integrated communication on the added value of the RIS3 strategies and their implementation in the operational programmes, followed by proposals for further steps in the 7th Cohesion report;

Or. en

Amendment 73 Lambert van Nistelrooij

Motion for a resolution Paragraph 9 b (new)

Motion for a resolution

Amendment

9b. Regrets the lack of interregional cooperation on the basis of the smart

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specialisation theme; the Common Strategic Framework offers the possibility to use up to 15 percent of the Funds under the Common Provisions Regulation (European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund) for this cooperation outside the own region; emphasizes that the article 16.3 report 'Investing in jobs and growth maximising the contribution of European Structural and Investment Funds' shows these possibilities have been underused until now; calls upon the Member States and regional authorities to make increased use of the possibilities offered;

Or. en

Amendment 74 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 10

Motion for a resolution

10. Calls for the development of flexibility and coordination mechanisms linking the S3 platform and the Horizon 2020 programme, and encourages regions to use tools such as the Vanguard Initiative, the Seal of Excellence, the Knowledge Exchange Platform (KEP), as well as strategic cluster partnerships, with a view to boosting investment, enhancing coordination, creating synergies and promoting exchanges of views in order to avoid duplication and inefficient spending of public resources;

#### Amendment

10. Calls for the development of flexibility and coordination mechanisms linking the results of the RIS3 process and the H2020 implementation and encourages regions to engage in transnational cooperation like the Vanguard Initiative as well as facilitating the development of strategic cluster partnerships, with a view to boosting investment, enhancing coordination, creating synergies and promoting exchanges of views in order to avoid duplication and inefficient spending of public resources;

Or. en

## Amendment 75 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius, Hannu Takkula

## Motion for a resolution Paragraph 10

### Motion for a resolution

10. Calls for the development of flexibility and coordination mechanisms *linking the S3 platform* and the Horizon 2020 programme, and encourages regions to use tools such as the Vanguard Initiative, the Seal of Excellence, the Knowledge Exchange Platform (KEP), as well as strategic cluster partnerships, with a view to boosting investment, enhancing coordination, creating synergies and promoting exchanges of views in order to avoid duplication and inefficient spending of public resources;

### Amendment

10. Calls for the development of flexibility and coordination mechanisms to facilitate an integrated use of different funds and the Horizon 2020 programme, and encourages regions to use tools such as the Vanguard Initiative, the Seal of Excellence, the Knowledge Exchange Platform (KEP), the S3 platform and the European Institute of Innovation and Technology (EIT), as well as strategic cluster partnerships, with a view to boosting investment, enhancing coordination, creating synergies and promoting exchanges of views in order to avoid duplication and inefficient spending of public resources;

Or. en

## Amendment 76 Lambert van Nistelrooij

## Motion for a resolution Paragraph 10

### Motion for a resolution

10. Calls for the development of flexibility and coordination mechanisms linking the S3 platform and the Horizon 2020 programme, and encourages regions to use tools such as the Vanguard Initiative, the Seal of Excellence, the Knowledge Exchange Platform (KEP), as well as strategic cluster partnerships, with a view to boosting investment, enhancing coordination, creating synergies and promoting exchanges of views in order to avoid duplication and inefficient spending

#### Amendment

10. Calls for the development of flexibility and coordination mechanisms linking the S3 platform and the Horizon 2020 programme, and encourages regions to use tools such as the Vanguard Initiative, the Seal of Excellence, the Knowledge Exchange Platform (KEP), the Stairway to Excellence, the regional innovation schemes for the Co-location centres of the European Institute of Innovation and Technology (EIT), as well as strategic cluster partnerships, with a view to

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of public resources;

boosting investment, enhancing coordination, creating synergies and promoting exchanges of views in order to avoid duplication and inefficient spending of public resources;

Or. en

Amendment 77 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 10 a (new)

Motion for a resolution

Amendment

10a. Encourages national and European institutions to continue monitoring the "Innovation divide" not only among EU Member States and across NUTS 2 regions, but also and increasingly so within Member States;

Or. en

Amendment 78 Viorica Dăncilă

Motion for a resolution Paragraph 10 a (new)

Motion for a resolution

Amendment

10a. Suggests that a favourable framework for innovation and research needs to be created for the new programming period, by guaranteeing synergy in their funding; stresses in this connection the importance of intensifying dialogue and cooperation between the EU institutions (EP and Council), as well as at executive level (Commission and the national implementing authorities);

Or. ro

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# Amendment 79 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius

# Motion for a resolution Paragraph 11

## Motion for a resolution

11. Calls on authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully;

### Amendment

11. Calls on authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages *efforts to manage, implement and monitor* the RIS3 process successfully;

Or. en

# Amendment 80 Viorica Dăncilă

# Motion for a resolution Paragraph 11

## Motion for a resolution

11. Calls on authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully;

### Amendment

11. Calls on authorities *at all levels* to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully;

Or. ro

# Amendment 81 Stanislav Polčák

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# Motion for a resolution Paragraph 11

Motion for a resolution

11. Calls on authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully;

#### Amendment

11. Calls on *the relevant* authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully;

Or. cs

Amendment 82 Daniel Buda

Motion for a resolution Paragraph 11

Motion for a resolution

11. Calls on authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully;

### Amendment

11. Calls on authorities to simplify procedures and reduce bottlenecks in the administrative process of the strategies; encourages investments in human capital, including via EU interregional partnerships, to increase administrative capacities and to manage and implement the RIS3 process successfully; encourages authorities to give priority to research and innovation in regions that have the corresponding potential but where investment in this area is sparse;

Or. ro

**Amendment 83 Steeve Briois** 

Motion for a resolution Paragraph 12

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12. *Urges regions and* Member States *to intensify the use of* the budget available for technical assistance to ensure effective and efficient implementation of the RIS3;

#### Amendment

12. **Reminds** Member States **of the desirability of using** the budget available for technical assistance to ensure effective and efficient implementation of the RIS3;

Or. fr

Amendment 84 Ivan Jakovčić

Motion for a resolution Paragraph 12 a (new)

Motion for a resolution

### Amendment

12a. Calls on the Commission and the existing bodies to provide additional assistance to those Member States in need of it for the implementation of the RIS3 strategy;

Or. hr

Amendment 85 Rosa D'Amato, Isabella Adinolfi, Marco Zullo

Motion for a resolution Paragraph 12 a (new)

Motion for a resolution

Amendment

12a. Recalls that smart specialisation strategies should also be a powerful instrument to tackle social, environmental, climate and energy challenges, to promote knowledge spill over and technological diversification;

Or. en

# Amendment 86 Viorica Dăncilă

# Motion for a resolution Paragraph 13

Motion for a resolution

13. Criticises the lack of synergies across ESI Funds and other EU financing instruments, which hinders coordination, coherence and integration of EU funding, and reduces their results and impact;

#### Amendment

13. Calls for measures to take advantage of the complementarity between the European Structural and Investment Funds (ESIF) and the European Fund for Strategic Investments (EFSI) and the possibility of combining funds, so as to order to make full use of the EU guarantees to finance investment platforms;

Or. ro

Amendment 87 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 13

Motion for a resolution

13. Criticises the lack of synergies across ESI Funds and other EU financing instruments, which hinders coordination, coherence and integration of EU funding, and reduces their results and impact;

### Amendment

13. Criticises the lack of synergies across ESI Funds and other EU financing instruments, which hinders coordination, coherence and integration of EU funding, and reduces their results and impact; calls to draw more attention and research on how to improve both a strategic approach to synergies and on the combination of funding instruments;

Or. en

Amendment 88 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 13 a (new)

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Amendment

13a. Emphasizes the need to continue and deepen the triple and quadruple helix approaches to smart specialization at the regional level, involving public administrations, businesses, universities and citizens. The role of the latter two sets of participants (i.e. high education/research institutions and citizens' organizations) should be reinforced in the new EU programming and types of financing;

Or. en

Amendment 89 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 13 b (new)

Motion for a resolution

Amendment

13b. Calls for an increased support for SMEs and startup companies, as the vast majority of them are being at the forefront of disruptive innovation, as well as contributing significantly to identifying local talents in a variety of fields and employing young people;

Or. en

Amendment 90 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 13 c (new)

Motion for a resolution

Amendment

13c. Encourages the continuous search of reliable indicators monitoring innovation

performance at all levels of governance by better mobilizing and coordinating the resources of Eurostat and other European Commission DGs, as well as of the achievements of OECD, ESPON and other players in this field such as national statistical offices;

Or. en

Amendment 91 Viorica Dăncilă

Motion for a resolution Paragraph 14

Motion for a resolution

14. Underlines that the integrated use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level;

### Amendment

14. Underlines that the integrated use of ESI Funds with Horizon 2020 provides excellent options to boost innovation at regional, national and EU level;

Or. ro

Amendment 92 Rosa D'Amato, Isabella Adinolfi, Marco Zullo

Motion for a resolution Paragraph 14

Motion for a resolution

14. Underlines that the integrated use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level;

# Amendment

14. Underlines that the integrated use of ESI Funds with Horizon 2020 provides excellent options to boost innovation at regional, national and EU level; takes note of the guidelines on the European Structural and Investment Funds and European Fund for Strategic Investment complementarities, issued by the European Commission in February 2016;

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# Amendment 93 Davor Škrlec

# Motion for a resolution Paragraph 14

## Motion for a resolution

14. Underlines that the *integrated* use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level;

## Amendment

14. Underlines that the *complementary* use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level:

Or. en

Amendment 94 Ramón Luis Valcárcel Siso

# Motion for a resolution Paragraph 14

# Motion for a resolution

14. Underlines that the *integrated* use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level;

### Amendment

14. Underlines that the *coordinated* use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level;

Or. en

Amendment 95 Daniel Buda

Motion for a resolution Paragraph 14

14. Underlines that the integrated use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level;

#### Amendment

14. Underlines that the integrated use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) provides excellent options to boost innovation at regional, national and EU level by enhancing the attractiveness of investments in research and innovation in order to attract private capital to complement public funding;

Or. ro

Amendment 96 Steeve Briois

Motion for a resolution Paragraph 14

Motion for a resolution

14. Underlines that the integrated use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) *provides excellent options* to boost innovation at regional, national and EU level;

### Amendment

14. Underlines that the integrated use of ESI Funds with Horizon 2020 and the European Fund for Strategic Investments (EFSI) *is supposed* to boost innovation at regional, national and EU level;

Or. fr

Amendment 97 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 14 a (new)

Motion for a resolution

## Amendment

14a. Calls on the Commission to take into account RIS3 strategies and other programmes of innovation, with special regards to the Integrated Territorial Investments, when developing the

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European Urban Agenda to create synergies and strong links for an efficient use of resources;

Or. en

Amendment 98 Viorica Dăncilă

Motion for a resolution Paragraph 14 a (new)

Motion for a resolution

## Amendment

14a. Welcomes the communication from the Commission of 22 February 2016 entitled 'An Investment Plan for Europe: new guidelines on combining European Structural and Investment Funds with the European Fund for Strategic Investments (EFSI)'; calls on local and regional authorities to make the most of the possibilities for combining EFSI and ESI Funds, given that, although the two instruments were differently designed, while complementary in terms of motivation, conception and legislative framework, they are mutually sustaining;

Or. ro

Amendment 99 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 15 a (new)

Motion for a resolution

Amendment

15a. Encourages regions in implementing their RIS3 to strengthen the open innovation mentality and ecosystem collaboration based on the quadruple helix model;

Amendment 100 Krzysztof Hetman

Motion for a resolution Paragraph 15 a (new)

Motion for a resolution

Amendment

15a. Stresses the importance of tailoring education and research to the real needs of the market in an effort to make sure that new innovations meet demand and lead to economic growth;

Or. pl

Amendment 101 Steeve Briois

Motion for a resolution Paragraph 16

Motion for a resolution

Amendment

16. Reiterates the key role that EU urban areas have to play in the economic and social development of the EU by acting as hubs for various actors and sectors, combining the challenges and opportunities of smart, sustainable and inclusive growth, and by serving as frontrunners in the integrated and placebased policy approach;

deleted

Or. fr

Amendment 102 Daniel Buda

Motion for a resolution Paragraph 16

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16. Reiterates the key role that EU urban areas have to play in the economic and social development of the EU by acting as hubs for various actors and sectors, combining the challenges and opportunities of smart, sustainable and inclusive growth, and by serving as frontrunners in the integrated and place-based policy approach;

#### Amendment

16. Reiterates the key role that EU urban areas have to play in the economic and social development of the EU by acting as hubs for various actors and sectors, combining the challenges and opportunities of smart, sustainable and inclusive growth, and by serving as frontrunners in the integrated and place-based policy approach; emphasises the importance of urban areas in catalysing human resources, infrastructure and investment potential for the development of innovation clusters;

Or. ro

Amendment 103 Lambert van Nistelrooij

Motion for a resolution Paragraph 16 a (new)

Motion for a resolution

#### Amendment

16a. Underlines the importance of facilitating innovative Cross-sectoral, triple helix and cross-border cooperation related to European challenges to make the regions and cities smarter, greener and more enjoyable to live and work in;

Or. en

Amendment 104 Viorica Dăncilă

Motion for a resolution Paragraph 16 a (new)

Motion for a resolution

Amendment

16a. Stresses the need for the further

development and extension throughout Europe of the concept of 'smart and connected cities';

Or. ro

Amendment 105 Steeve Briois

# Motion for a resolution Paragraph 17

Motion for a resolution

17. Welcomes the intention of the Dutch EU Presidency to create a bottom-up approach, empowering cities, in coordination with regional authorities, to develop the EU Urban Agenda, and to evolve from smart to excellent cities; supports, in this context, the preparation of the 'Pact of Amsterdam', with its focus on growth and job creation, on fostering connections between all parties, citizens and social organisations, and on promoting sustainable and socially inclusive development;

#### Amendment

17. Notes the intention of the Dutch EU Presidency to create a bottom-up approach, but stresses nonetheless that urban policies are not among the competences of the European Union, and calls on the Commission to cease constantly assigning itself new prerogatives;

Or. fr

Amendment 106 Viorica Dăncilă

Motion for a resolution Paragraph 17

*Motion for a resolution* 

17. Welcomes the intention of the Dutch EU Presidency to create a bottom-up approach, empowering cities, in coordination with regional authorities, to develop the EU Urban Agenda, and to evolve from smart to excellent cities; supports, in this context, the preparation of

# Amendment

17. Welcomes the intention of the Dutch EU Presidency to create a bottom-up approach, empowering cities, in coordination with regional authorities, to develop the EU Urban Agenda, and to evolve from smart to excellent cities; supports, in this context, the preparation of

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the 'Pact of Amsterdam', with its focus on growth and job creation, on fostering connections between all parties, citizens and social organisations, and on promoting sustainable and socially inclusive development; the 'Pact of Amsterdam', with its focus on *sustainable* growth and job creation, on fostering connections between all parties, citizens and social organisations, and on promoting sustainable and socially inclusive development;

Or. ro

Amendment 107 Lambert van Nistelrooij

Motion for a resolution Paragraph 17 a (new)

Motion for a resolution

## Amendment

17a. Supports the Commission and the Council initiatives for EU Urban Agenda in the Pact of Amsterdam; calls on the Commission to foster policy coherence between urban and regional policies; asks the Commission to come forward with proposals to align the initiatives and methodology of "Smart Cities" and RIS3 in the 7th Cohesion report;

Or. en

Amendment 108 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 17 a (new)

Motion for a resolution

### **Amendment**

17a. Draws the attention to promoting different city-to-city cooperation and knowledge exchange schemes in the field of smart specialization and innovation, such as the "Open and Agile Smart Cities" supported by the European Commission;

## Amendment 109 Steeve Briois

# Motion for a resolution Paragraph 18

Motion for a resolution

18. Notes that, although most regions have adopted a RIS3, a considerable number of them still *need to work on complying with the ex-ante conditionality requirements*, the main challenges being the monitoring mechanism, the budgetary framework and the measures to stimulate private research and innovation investments;

### Amendment

18. Notes that, although most regions have adopted a RIS3, a considerable number of them still *have progress to make*, the main challenges being the monitoring mechanism, the budgetary framework and the measures to stimulate private research and innovation investments;

Or. fr

Amendment 110 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 18 a (new)

Motion for a resolution

## Amendment

18a. Reminds local and regional decision makers on the importance of their commitment to use the RIS3 as an economic transformation instrument within their own region and thus influencing also the EU policy;

Or. en

Amendment 111 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 19

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19. Welcomes the concentration of these regional strategies on energy, health, information and communications technology, advanced food materials, services, tourism, sustainable innovation, manufacturing systems and cultural and creative industries; regrets that only a few regions have created clusters in fields reflecting popular priorities, such as renewable energy, sustainability, the digital agenda, KETs and public health, and encourages more regions to do so;

### Amendment

19. Welcomes the *focus of* regional strategies on energy, health, information and communications technology, advanced materials, *food*, services, tourism, sustainable innovation, manufacturing systems and cultural and creative industries; *however* regrets a lack of granularity in many of the strategies and calls to refine the prioritization process and thus avoid the risk of focusing all strategies in the same topics; calls to develop strategies not only in high technology, but also in low technology and social innovation:

Or. en

Amendment 112 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius, Hannu Takkula

Motion for a resolution Paragraph 19

Motion for a resolution

19. Welcomes the concentration of these regional strategies on energy, health, information and communications technology, advanced food materials, services, tourism, sustainable innovation, manufacturing systems and cultural and creative industries; regrets that only a few regions have created clusters in fields reflecting popular priorities, such as renewable energy, sustainability, the digital agenda, KETs and public health, and encourages more regions to do so;

### Amendment

19. Welcomes the concentration of strategies on the region's specific specialisations and most competitive sectors; encourages the development of clusters in fields as renewable energy, sustainability, transport, the digital agenda, KETs and public health, and encourages all stakeholders to seek for cross-overs between sectors, as these cross-overs can foster innovations;

Or. en

Amendment 113 Lambert van Nistelrooij

# Motion for a resolution Paragraph 19

Motion for a resolution

19. Welcomes the concentration of these regional strategies on energy, health, information and communications technology, advanced food materials, services, tourism, sustainable innovation, manufacturing systems and cultural and creative industries; regrets that only a few regions have created clusters in fields reflecting popular priorities, such as renewable energy, sustainability, the digital agenda, KETs and public health, and encourages more regions to do so;

### Amendment

19. Welcomes the concentration of these regional strategies on energy, health, information and communications technology, advanced food materials, services, tourism, sustainable innovation, biobased economy, manufacturing systems and cultural and creative industries; regrets that only a few regions have created clusters in fields reflecting popular priorities, such as renewable energy, sustainability, the digital agenda, KETs and public health, and encourages more regions to do so;

Or. en

Amendment 114 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 19 a (new)

Motion for a resolution

### Amendment

19a. Believes that the promotion of national observatories of smart specialization strategies can help to build stronger indicator systems for monitoring RIS3, especially regarding methodology and training;

Or. en

Amendment 115 Davor Škrlec

Motion for a resolution Paragraph 19 a (new)

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#### Amendment

19a. Considers it beneficial to address future-oriented sectors of the economy in smart specialisation strategies; Maintains that the implementation of those strategies can only be successful if based on local and regional assets; Underlines, therefore, the need to strengthen local and regional resources and adapt them, if necessary, to future needs and requirements for sustainable development, in particular in the food and energy sector;

Or. en

Amendment 116 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 19 b (new)

Motion for a resolution

### Amendment

19b. Observes that some RIS3 are poorly documented when it comes to demonstrate their unique competitive advantages of the region, while others do not provide evidence regarding the strength of stakeholders to support enterprises for innovation or researchers to provide applied research and to find commercial applications of their results; notes also that some regions have wide strategies and simplistic monitoring indicators; urges to that end an increase of capacity of public authorities to collect and assess the relevant information received, as well as to boost a coordinated effort by regions and central authorities to identify and standardise existing databases, making them accessible to stakeholders;

Or. en

# Amendment 117 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius

# Motion for a resolution Paragraph 20

Motion for a resolution

20. Calls for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies; criticises the fact that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative measures, and to publish regular reports on achievements of their objectives, in order to better analyse the impact of RIS3;

#### Amendment

20. Calls for the use of existing tools like the Community Innovation Survey (CIS) for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies in order to better analyse the impact of RIS3;

Or. en

# Amendment 118 Ramón Luis Valcárcel Siso

# Motion for a resolution Paragraph 20

Motion for a resolution

20. Calls for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies; criticises the fact that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative measures, and to publish regular reports on achievements of their objectives, in order to better analyse the impact of RIS3;

## Amendment

20. Calls for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies; criticises the fact that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative measures and to publish regular reports on achievements of their objectives, in order to better analyse the impact of RIS3 and to guarantee transparency and public access to monitoring information; is however aware that strategies will take many years to bear fruit and thus early monitoring

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# should be tailored to reasonable expectations;

Or. en

# Amendment 119 Viorica Dăncilă

# Motion for a resolution Paragraph 20

# Motion for a resolution

20. Calls for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies; *criticises the fact* that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative measures, and to publish regular reports on achievements of their objectives, in order to better analyse the impact of RIS3;

#### Amendment

20. Calls on the EU and the Member States to carry out periodic (annual and mid-term) monitoring – both quantitative and qualitative – of the implementation of the strategies; notes that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative measures, and to publish regular reports on achievements of their objectives, in order to better analyse the impact of RIS3;

Or. ro

# Amendment 120 Davor Škrlec

# Motion for a resolution Paragraph 20

## Motion for a resolution

20. Calls for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies; criticises the fact that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative measures, and to publish regular reports on achievements of their

### Amendment

20. Calls for periodic monitoring – both quantitative and qualitative – of the implementation of the strategies *and to involve stakeholders including from civil society in this process*; criticises the fact that both regions and Member States face similar problems in terms of evaluation of monitoring, and calls on regions to develop strong qualitative and quantitative

objectives, in order to better analyse the impact of RIS3;

measures, and to publish regular reports on achievements of their objectives, in order to better analyse the impact of RIS3;

Or. en

Amendment 121 Steeve Briois

Motion for a resolution Paragraph 21

Motion for a resolution

21. Encourages regions and Member States to be proactive in the framework of the action plans, established with the Commission, in view of the target date of December 2016; asks them to set and implement their monitoring mechanism in a continuous review of the RIS3, focused on specifying priorities and strengthening the links between priorities and concrete economic, regional structure and the future development perspectives;

Amendment

deleted

Or. fr

Amendment 122 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 21

Motion for a resolution

21. Encourages regions and Member States to be proactive in the framework of the action plans, established with the Commission, in view of the target date of December 2016; asks them to set and implement their monitoring mechanism in a continuous review of the RIS3, focused on specifying priorities and strengthening the links between priorities and concrete

## Amendment

21. Encourages regions and Member States to be proactive with the timely implementation of the actions plans, in view of the target date of December 2016 for compliance with the ex-ante conditionality; asks them to set and implement their monitoring mechanism in a continuous review of the RIS3, focused on specifying investment niches where the

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economic, regional structure and the future development perspectives;

regional innovation actors can gain or sustain a competitive advantage;

Or. en

Amendment 123 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius

Motion for a resolution Paragraph 21

Motion for a resolution

21. Encourages regions and Member States to be proactive in the framework of the action plans, established with the Commission, in view of the target date of December 2016; asks them to set and implement their monitoring mechanism *in a continuous review of the RIS3*, focused on specifying priorities and strengthening the links between priorities and concrete economic, regional structure and the future development perspectives;

#### Amendment

21. Encourages regions and Member States to be proactive in the framework of the action plans, established with the Commission, in view of the target date of December 2016; asks them to set and implement their monitoring mechanism, focused on specifying priorities and strengthening the links between priorities and concrete economic, regional structure and the future development perspectives;

Or. en

Amendment 124 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 21 a (new)

Motion for a resolution

# Amendment

21a. Is of the opinion that a joint participation in the monitoring and evaluation of relevant instruments under the RIS3 as well as an alignment of monitoring and evaluation for reporting of different instruments can help a lot in this field; calls therefore all stakeholders and decision-makers to create synergies between them and develop arrangements that collect and synthesise data from

# policies and instruments included in Specific RIS3 strategies;

Or. en

Amendment 125 Davor Škrlec

# Motion for a resolution Paragraph 22

Motion for a resolution

22. Regrets that RIS3 often recognise the need to help enterprises exploit all forms of innovation, but then only support innovation based on technology knowledge;

#### Amendment

22. Regrets that RIS3 often recognise the need to help enterprises exploit all forms of innovation, but then only support innovation based on technology knowledge; Recalls the importance of all kinds of innovation systems and institutions regardless their size and of their linkage to local and regional clusters;

Or. en

Amendment 126 Viorica Dăncilă

# Motion for a resolution Paragraph 22

Motion for a resolution

22. Regrets that RIS3 often recognise the need to help enterprises exploit all forms of innovation, but then only support innovation based on technology knowledge;

### Amendment

22. Regrets that RIS3 often recognise the need to help enterprises exploit all forms of innovation, but then only support innovation based on technology knowledge; suggests in this regard that the RIS3 consider innovation in other areas also, such as services and the creative sector;

Or. ro

# Amendment 127 Steeve Briois

# Motion for a resolution Paragraph 24

Motion for a resolution

24. Points out that RIS3 have to be well implemented if they are to tackle the innovation gap and boost jobs and growth in Europe; stresses that, to this end, it is essential to *promote bottom-up strategies* and to enhance scrutiny regarding the potential of RIS3 at all governance levels; notes, in this regard, that Member States should involve their office(s) for national statistics to help regions in developing their evaluation and monitoring mechanisms;

#### Amendment

24. Points out that RIS3 have to be well implemented if they are to tackle the innovation gap and boost jobs and growth in Europe; stresses that, to this end, it is essential to enhance scrutiny regarding the potential of RIS3 at all governance levels; notes, in this regard, that Member States *have the option of involving* their office(s) for national statistics to help regions in developing their evaluation and monitoring mechanisms;

Or. fr

Amendment 128 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 24 a (new)

Motion for a resolution

## Amendment

24a. Believes that the participatory approach in the strategies has to be included in all the processes, including the monitoring and evaluation process, as this will increase the scope for cooperation in achieving RIS3 objectives;

Or. en

Amendment 129 Viorica Dăncilă

Motion for a resolution Paragraph 24 a (new)

#### Amendment

24a. Urges the EU and Member States not to forget that this instrument must be viable, operational and efficient so as to avoid burdening to beneficiaries with bureaucracy;

Or. ro

Amendment 130 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 25

Motion for a resolution

25. Calls on the Commission to push for a review of the strategies *after* 2017 in order to boost their efficiency and effectiveness, taking into account the lessons learned from the first years of their implementation, and to organise a European-wide conference, prior to the 7<sup>th</sup> Cohesion Report, with Parliament, the Committee of the Regions and other stakeholders;

#### Amendment

25. Calls on the Commission to push for a review of the strategies *in* 2017 in order to boost their efficiency and effectiveness *and to inform about its contribution to both future Cohesion Policy and Research and Innovation policy after 2020*, taking into account the lessons learned from the first years of their implementation, and to organise a European-wide conference, prior to the 7<sup>th</sup> Cohesion Report, with Parliament, the Committee of the Regions and other stakeholders:

Or. en

Amendment 131 Lambert van Nistelrooij

Motion for a resolution Paragraph 25

Motion for a resolution

25. Calls on the Commission to push for a review of the strategies after 2017 in order to boost their efficiency and effectiveness,

#### Amendment

25. Calls on the Commission to push for a review of the strategies after 2017 in order to boost their efficiency and effectiveness,

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taking into account the lessons learned from the first years of their implementation, *and to* organise a European-wide conference, prior to the 7<sup>th</sup> Cohesion Report, with Parliament, the Committee of the Regions and other stakeholders:

taking into account the lessons learned from the first years of their implementation, *to launch a public consultation and* organise a Europeanwide conference, prior to the 7<sup>th</sup> Cohesion Report, with Parliament, the Committee of the Regions and other stakeholders;

Or. en

Amendment 132 Viorica Dăncilă

Motion for a resolution Paragraph 25

Motion for a resolution

25. Calls on the Commission to push for a review of the strategies after 2017 in order to boost their efficiency and effectiveness, taking into account the *lessons learned* from the first years of their implementation, and to organise a European-wide conference, prior to the 7th Cohesion Report, with Parliament, the Committee of the Regions and other stakeholders;

### Amendment

25. For this, a review of the strategies after 2017 seems mandatory in order to boost the efficiency and effectiveness of RIS3 strategies, taking into account the *experience acquired* from the initial years of their implementation, and to organise a European-wide conference, prior to the 7th Cohesion Report, with Parliament, the Committee of the Regions and other stakeholders;

Or. ro

Amendment 133 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto

Motion for a resolution Paragraph 25 a (new)

Motion for a resolution

Amendment

25a. Recognises that smart specialisation strategies could be powerful instruments to tackle energy challenges, resource efficiency and energy security;

Or. en

Amendment 134 Ramón Luis Valcárcel Siso

Motion for a resolution Paragraph 25 a (new)

Motion for a resolution

Amendment

25a. Calls on the European Commission to keep supporting the role of the S3 platform, to help to increase the granularity of the strategies and to remain focus on the importance of leverage of private investments;

Or. en

Amendment 135 Matthijs van Miltenburg, Iskra Mihaylova, Petras Auštrevičius

Motion for a resolution Paragraph 26

Motion for a resolution

26. Asks DG Regio and the S3 platform to draft, and widely disseminate, a short policy paper on the past RIS3 experience, focused on the following areas: a SWOT analysis of the experience; lessons learned by regions and main pitfalls observed for each of the six steps described in the RIS3 guide; recommendations and standardised templates for a continuous improvement of the RIS3 to better design the strategies after 2020; human capacity needed to successfully design and implement a RIS3;

Amendment

26. Asks DG Regio and the S3 platform to draft, and widely disseminate, a short policy paper on the past RIS3 experience, focused on the following areas: a SWOT analysis of the experience; lessons learned by regions and main pitfalls observed for each of the six steps described in the RIS3 guide; human capacity needed to successfully design and implement a RIS3;

Or. en

Amendment 136 Ramón Luis Valcárcel Siso

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# Motion for a resolution Paragraph 26

Motion for a resolution

26. Asks DG Regio and the S3 platform to draft, and widely disseminate, a short policy paper on the past RIS3 experience, focused on the following areas: a SWOT analysis of the experience; lessons learned by regions and main pitfalls observed for each of the six steps described in the RIS3 guide; recommendations and standardised templates for a continuous improvement of the RIS3 to better design the strategies after 2020; human capacity needed to successfully design and implement a RIS3;

#### Amendment

26. Asks DG Regio and the S3 platform to draft, and widely disseminate, a short policy paper on the past RIS3 experience, focused on the following areas: a SWOT analysis of the experience; lessons learned by regions and main pitfalls observed for each of the six steps described in the RIS3 guide: recommendations and standardised templates for a continuous improvement of the RIS3 to better design the strategies after 2020; human capacity needed to successfully design and implement a RIS3; draws the attention that regional networks interested in research and innovation should be encouraged and supported in promoting the successes and lessons learned in order to embed the thinking in the regions at all levels;

Or. en

Amendment 137 Tomasz Piotr Poręba, Ruža Tomašić, Remo Sernagiotto, Andrew Lewer

Motion for a resolution Paragraph 26 a (new)

Motion for a resolution

Amendment

26a. Believes that procedures should be simplified and bottlenecks in the administrative process of the strategies reduced.

Or. en