

Categories of codecision files at the end of the legislature on the basis of comments by committees (10.06.2014)

		Number of files
A	Early stage of first reading Commission proposal attributed to a committee but no report adopted by committee	5
B	Mandate adopted to start negotiations at committee stage under old legislature (Rule 73¹)	5
i	Committee decision to enter into inter-institutional negotiations (Rule 73)	(0)
ii	Mandate adopted at plenary stage - referral back to committee (Rule 61(2))	(5)
C	First reading position adopted by plenary without agreement (Rule 63²) Covers both simple first reading (no mandate) and first reading by plenary as a mandate for early second reading (Rule 73)	3
D	First reading agreement with the Council adopted in plenary With corrigendum procedure (Rule 231) legal-linguistic verification during/after election break	6
E	Blocked/Obsolete files (on which more information should be provided)	1
	Codifications (separate list)	0
		Total 20

¹ Formerly Rule 70

² Formerly Rule 59

Comm	Title	Rapporteur + Group	Reading	Comments
A. EARLY STAGE OF FIRST READING				
Commission proposal attributed to a committee but no report adopted by committee				
ECON	Money Market Funds (MMFs) COD 2013/0306 (Regulation)	El Khadraoui (S&D)	1st reading EP	<u>Aim</u> : To ensure uniform prudential requirements that apply to money market funds throughout the Union. ▫ <u>EP</u> : Announced in September 2013 plenary. Exchange of views 4 Nov 2013. Consideration of the draft report on 2 Dec 2013; consideration of AMs 23 Jan 2014.
ECON	Indices used as benchmarks in financial instruments and financial contracts COD 2013/0314 (Regulation)	Bowles (ALDE)	1st reading EP	<u>Aim</u> : To establish a regulatory framework at Union level for indices used as benchmarks in financial instruments and financial contracts whilst ensuring a high level of consumer and investor protection. ▫ <u>EP</u> : Announced in October I 2013 plenary. Consideration of the draft report on 5 Dec 2013; consideration of AMs 23 Jan 2014.
ECON	Reporting and transparency of securities financing transactions COD 2014/0017 (Regulation)	not yet appointed	1st reading EP	<u>Aim</u> : To improve transparency in securities financing transactions (SFT) and therefore in the financial system. ▫ <u>EP</u> : Announced in Feb II 2014 plenary.
ECON	Structural measures improving the resilience of EU credit institutions COD 2014/0020 (Regulation)	not yet appointed	1st reading EP	<u>Aim</u> : To strengthen financial stability in the Union through structural reforms of large banks. ▫ <u>EP</u> : Announced in Feb II 2014 plenary.
ECON	Activities and supervision of institutions for occupational retirement provision. Recast COD 2014/0091 (Directive)	not yet appointed	1st reading EP	<u>Aim</u> : To facilitate the development of occupational retirement savings. ▫ <u>EP</u> : Announced in April II 2014 plenary.

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B. MANDATE ADOPTED TO START NEGOTIATIONS AT COMMITTEE STAGE UNDER OLD LEGISLATURE (Rule 73)				
Bi - Committee decision to enter into inter-institutional negotiations (Rule 73)				
Bii - Mandate adopted at plenary stage - referral back to committee (Rule 61(2))				
ECON	Insurance mediation (IMD2). Recast COD 2012/0175 (Directive)	Langen (EPP)	1st reading EP	<u>Aim:</u> To recast Directive 2002/92/EC on insurance mediation (IMD1) in order to improve policyholder protection in the aftermath of the financial crisis. ◦ <u>EP:</u> Announced in September plenary 2012. First exchange of views 6 Nov 2012, consideration of draft report 21 Jan 2013. Report adopted in committee 22 Jan 2014. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 26 Feb 2014 and file was referred back to committee.
ECON	Statistics for the macroeconomic imbalances procedure COD 2013/0181 (Regulation)	Eppink (ECR)	1st reading EP	<u>Aim:</u> to set in place a common and reliable procedure for the provision of relevant data for the macroeconomic imbalances procedure (MIP). ◦ <u>EP:</u> Announced in June 2013 plenary. Consideration of the draft report on 5 Dec 2013; deadline of Ams 12 Dec 2013; consideration of AMs 23 Jan 2014, report adopted in committee 13 Feb 2014. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 11 March 2014 and file was referred back to committee.
ECON	European long-term investment funds (ELTIFs) COD 2013/0214 (Regulation)	Kratsa-Tsagaropoulou (EPP)	1st reading EP	<u>Aim:</u> to stimulate long-term investment in the real economy through EU Long Term Investment Funds or ELTIFs. ◦ <u>EP:</u> Announced in July 2013 plenary. Consideration of draft report 25 Nov 2013; consideration of Ams 23 Jan 2014. Report adopted in committee 10 March 2014. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 17 April 2014 and file was referred back to committee.
ECON	Payment services in the internal market COD 2013/0264 (Regulation)	Feio (EPP)	1st reading EP	<u>Aim:</u> To help develop further an EU-wide market for electronic payments. ◦ <u>EP:</u> Announced in October I 2013 plenary. Exchange of views 4 Nov 2013. Consideration of the draft report on 17 Dec 2013, report adopted in committee 20 Feb 2014. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 3 April 2014 and file was referred back to committee.
ECON	Interchange fees for card-based payment transactions (IF) COD 2013/0265 (Regulation)	Zalba Bidegain (EPP)	1st reading EP	<u>Aim:</u> To lay down uniform technical and business requirements on interchange fees for card-based payment transactions. ◦ <u>EP:</u> Announced in October I 2013 plenary. Exchange of views 4 Nov 2013. Consideration of the draft report on 17 Dec 2013. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 3 April 2014 and file was referred back to committee.

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C. FIRST READING POSITION ADOPTED BY PLENARY WITHOUT AGREEMENT (Rule 63)				
Covers both simple first reading and first reading by plenary as a mandate for early second reading (Rule 73)				
ECON	European statistics: professional independence of national statistical authorities COD 2012/0084 (Regulation)	Hoang Ngoc (S&D)	1st reading Council	<u>Aim:</u> To strengthen governance in the European Statistical System in order to safeguard its high credibility and to respond adequately to data needs resulting from the enhanced economic-policy coordination in the European Union. <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in April 2012 plenary. Consideration of draft report 6 Nov, adoption of report in ECON on 17 Dec 2012. Committee agreed on the compromise text reached during negotiations with Council and Commission. 1st reading adopted in Nov 2013 plenary. ▫ EP Negotiating mandate (with report) / negotiating team: Committee decision adopted (38/1/0) on 17 Dec 2012 / Chair, rapporteur and shadow rapporteurs ▫ <u>Negotiation process:</u> Trilogues 6 May and 3 June 2013. Provisional early 2nd reading agreement reached but Council failed to obtain the unanimity.
ECON / LIBE	Information accompanying transfers of funds COD 2013/0024 (Regulation)	Kleva Kekuš (S&D) / Kirkhope (ECR)	1st reading Council	<u>Aim:</u> To improve traceability of payments and to prevent and detect money laundering and terrorist financing when transferring funds. <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in March 2013 plenary. Joint committee procedure (Rule 55). Consideration of draft report 28 Nov 2013. Deadline for AMs 5 Dec 2013. Report adopted 13 Feb 2014. 1st reading adopted 11 March 2014.
ECON / LIBE	Prevention of the use of the financial system for the purpose of money laundering and terrorist financing COD 2013/0025 (Directive)	Kariņš (EPP) / Sargentini (Greens/EFA)	1st reading Council	<u>Aim:</u> To protect the financial system against money laundering and terrorist financing through measures on prevention, investigation, and detection. <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in March 2013 plenary. Joint committee procedure (Rule 55). Consideration of draft report 28 Nov 2013. Deadline for AMs 5 Dec 2013. Report adopted in committee 20 Feb 2014. 1st reading adopted 11 March 2014.

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<p>D. FIRST READING AGREEMENT WITH THE COUNCIL ADOPTED IN PLENARY</p> <p>With corrigendum procedure (Rule 231) legal-linguistic verification during/after election break</p>				
ECON	<p>Single Resolution Mechanism and Single Bank Resolution Fund: uniform rules and procedure for the resolution of credit institutions and certain investment firms (SRM)</p> <p>COD 2013/0253 (Regulation)</p>	Ferreira (S&D)	1st reading EP	<p><u>Aim:</u> To establish a single European framework of resolution rules for credit establishments and to ensure the consistent application of these resolution rules.</p> <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in September 2013 plenary. First exchange of views on 17 September. Consideration of AMs 18 Nov 2013, vote in committee on 17 Dec 2013. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 6 Feb 2014 and file was referred back to committee. 1st reading adopted April II 2014 plenary. ▫ <u>EP Negotiating mandate (with report) / negotiating team:</u> Committee decision adopted (38/5/2) on 17 Dec 2013 / Chair, rapporteur and shadow rapporteurs ▫ <u>Negotiation process:</u> Trilogues 8, 15 and 29 Jan, 5, 19, 25 Feb, 4, 12, 20 and 26 March 2014. PROVISIONAL AGREEMENT REACHED. ECON endorsed the agreed text on 1 April 2014.
ECON	<p>Investment products: key information documents (PRIPs)</p> <p>COD 2012/0169 (Regulation)</p>	Berès (S&D)	1st reading EP	<p><u>Aim:</u> To improve transparency in the investment market for retail investors.</p> <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in September plenary 2012. First exchange of views 6 Nov 2012, consideration of draft report 21 Jan 2013. Draft report adopted in committee 21 Oct 2013; the negotiating mandate was not adopted because it did not meet the required majority under Rule 70. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 20 Nov 2013 and file was referred back to committee. 1st reading adopted in April II 2014 plenary. ▫ <u>EP Negotiating mandate (with AMs adopted by plenary) / negotiating team:</u> Committee decision adopted 21 Oct 2013 and confirmation in plenary on 20 Nov 2013 / Rapporteur and shadow rapporteurs ▫ <u>Negotiation process:</u> Trilogue 29 Jan 2014. PROVISIONAL AGREEMENT REACHED. ECON vote 7 April 2014.
ECON	<p>Payment accounts: comparability of fees, account switching and access to accounts with basic features</p> <p>COD 2013/0139 (Directive)</p>	Klute (EUL/NGL)	1st reading EP	<p><u>Aim:</u> To remove the remaining barriers to the free movement of payment services and, more broadly, to the free movement of goods, persons, services and capital.</p> <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in May 2013 plenary. Consideration of draft report on 8-9 July 2013, adoption of draft report 18 Nov 2013. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 12 Dec 2013 and file was referred back to committee. 1st reading adopted in April II 2014 plenary. ▫ <u>EP Negotiating mandate (with AMs adopted by plenary) / negotiating team:</u> Committee decision adopted (42/3/0) 18 Nov 2013 and confirmation in plenary on 12 Dec 2013 / Rapporteur and shadow rapporteurs ▫ <u>Negotiation process:</u> Trilogues 16 Jan, 11 and 26 Feb 2014. PROVISIONAL AGREEMENT REACHED. ECON vote 7 April 2014.
ECON	<p>Rules governing actions for damages under national law for infringements of the competition law provisions of the Member States and of the EU</p> <p>COD 2013/0185 (Regulation)</p>	Schwab (EPP)	1st reading EP	<p><u>Aim:</u> To facilitate the introduction of damage and interest claims by victims of antitrust violations.</p> <ul style="list-style-type: none"> ▫ <u>EP:</u> Announced in July 2013 plenary. JURI associated committee (Rule 54). Deadline of AMs 6 Nov, consideration of AMs 25 Nov 2013. 1st reading adopted in April II 2014 plenary. ▫ <u>EP Negotiating mandate (with report) / negotiating team:</u> Committee decision adopted (31/12/4) 27 Jan 2014 / Chair, rapporteur, shadow rapporteurs and JURI rapporteur (associated committee) ▫ <u>Negotiation process:</u> Trilogues 10 and 27 Feb 2014. PROVISIONAL AGREEMENT REACHED. Coreper approval/letter 26 March 2014. ECON endorsed the agreed text on 1 April 2014.

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ECON	Undertakings for collective investment in transferable securities (UCITS): depositary functions, remuneration policies and sanctions COD 2012/0168 (Directive)	Giegold (Greens/EFA)	1st reading EP	<p><u>Aim:</u> To improve investors' confidence in undertakings of collective investment in transferable securities (UCITS).</p> <p>▫ <u>EP:</u> Announced in September plenary 2012. First exchange of views 19.09.2012. Consideration of draft report 28 Nov 2012, consideration of amendments 22 Jan 2013. Report adopted 21 March 2013. Vote on the draft legislative resolution was postponed under Rule 61(2) by the plenary on 3 July 2013 and file was referred back to committee. 1st reading adopted in April II 2014 plenary.</p> <p>▫ <u>EP Negotiating mandate (with AMs adopted by plenary) / negotiating team:</u> Following adoption of AMs in plenary on 3 July 2013 the committee unanimously endorsed the decision (29/0/0) to open negotiations on 9 July 2013 / Rapporteur and shadow rapporteurs</p> <p>▫ <u>Negotiation process / Result:</u> Trilogues 7 Jan and 4 Feb 2014. PROVISIONAL AGREEMENT REACHED by written exchange.</p>
ECON	Financial markets: improving securities settlement in the EU; central securities depositories (CSDs) COD 2012/0029 (Regulation)	Swinburne (ECR)	1st reading EP	<p><u>Aim:</u> To address the lack of safety and efficiency of securities settlement and the resulting obstacles to the functioning of the internal market resulting from the divergent national rules regulating securities settlement and the activities of the CSDs as well as common prudential requirements addressing the resilience of and access to CSDs.</p> <p>▫ <u>EP:</u> Announced in March I plenary 2012. Report adopted 4 Feb 2013. 1st reading adopted in April II 2014 plenary.</p> <p>▫ <u>Negotiating mandate (with report) / negotiating team:</u> Committee decision adopted (45/0/0) on 4 Feb 2013 / Chair, rapporteur and shadow rapporteurs</p> <p>▫ <u>Negotiation process / Result:</u> Trilogue 6, 28 Nov and 18 Dec 2013. PROVISIONAL AGREEMENT REACHED. Coreper letter/approval 26 Feb 2014. ECON endorsed the agreed text 3 March 2014.</p>

Comm	Title	Rapporteur + Group	Reading	Comments
E. BLOCKED / OBSOLETE FILES				
ECON	Investor-Compensation Schemes COD 2010/0199 (Directive)	Schmidt (ALDE)	1st reading Council	<p><u>Aim</u>: To extend the scope of compensation under the Investor-Compensation schemes and to improve its practical functioning. It amends Directive 97/9/EC.</p> <ul style="list-style-type: none"> ▫ <u>EP</u>: Report adopted in ECON 13 April. 1st reading in July 2011 plenary. ▫ <u>Council</u>: General approach not adopted. File blocked in Council. ▫ <u>Negotiating team</u>: Chair, rapporteur and shadows rapporteurs. ▫ <u>Negotiation process</u>: Trilogue 14 Dec 2011 cancelled.