



COMISIÓN EUROPEA

BRUSELAS 16/06/2010

PRESUPUESTO GENERAL - EJERCICIO 2010  
SECCIÓN III – COMISIÓN, TÍTULOS 19 y 40

TRANSFERENCIA DE CRÉDITOS N° **DEC 24/2010**

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EUR

**PROCEDENCIA DE LOS CRÉDITOS**

**DEL CAPÍTULO** – 40 02 Reservas para intervenciones financieras

PARTIDA – 40 02 41 01 Créditos disociados (gastos no obligatorios)

Compromisos - 20 000 000

**DESTINO**

**AL CAPÍTULO** - 19 08 Política europea de vecindad y relaciones con Rusia

PARTIDA – 19 08 02 03 Estrategia de la UE para la región del Mar Báltico

Compromisos 20 000 000

## I. AMPLIACIÓN

### a) Denominación de la línea

**19 08 02 03 - Estrategia de la UE para la región del Mar Báltico**

### b) Datos en cifras a 04/06/2010

	<b>Compromisos</b>
1A. Créditos del ejercicio (presupuesto inicial + PR)	p.m.
1B. Créditos del ejercicio (AELC)	0
2. Transferencias	0
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3. Créditos definitivos del ejercicio (1A+1B+2)	0
4. Utilización de los créditos definitivos del ejercicio	0
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<b>5. Créditos no utilizados/disponibles (3-4)</b>	<b>0</b>
<b>6. Necesidades hasta el final del ejercicio</b>	<b>20 000 000</b>
<b>7. Ampliación propuesta</b>	<b>20 000 000</b>
8. Porcentaje de la ampliación en relación con los créditos del ejercicio (7/1A)	CND
9. Porcentaje de las ampliaciones acumuladas en aplicación del artículo 23, apartado 1, letras b) y c), del Reglamento financiero, calculado con respecto a los créditos definitivos del ejercicio, de conformidad con el artículo 17 <i>bis</i> de las normas de desarrollo	CND

### c) Ingresos prorrogados procedentes de la recaudación (C5)

	<b>Compromisos</b>
1. Créditos disponibles al comienzo del ejercicio	0
2. Créditos disponibles a 4/6/2010	0
3. Porcentaje de ejecución [(1-2)/1]	CND

### d) Justificación detallada de la ampliación

Basándose en una enmienda introducida por el Parlamento Europeo en el Presupuesto de 2010, la Autoridad Presupuestaria estableció una nueva línea presupuestaria denominada «Estrategia de la UE para la región del Mar Báltico» (19 08 02 03) en la rúbrica 4. Se han transferido 20 millones EUR a una reserva destinada a apoyar la dimensión exterior de la Estrategia (especialmente en relación con Rusia). Con estos fondos se pretende financiar actividades en la región del Mar Báltico no abarcadas actualmente por los programas establecidos en el marco de los Fondos de Cohesión o del Instrumento Europeo de Vecindad y Asociación (IEVA). Se ha reservado el crédito de 20 millones EUR de compromisos y pagos a la espera de que la Comisión presente una propuesta sobre la forma en que se pueden emplear tales fondos (véase el anexo).

Los fondos asignados se ejecutarán en el marco del Reglamento IEVA y a través del marco de la Dimensión Septentrional, como se especifica a continuación:

- a) Financiación adicional de la Asociación Ambiental de la Dimensión Septentrional (NDEP) mediante una contribución al Fondo Medioambiental, permitiendo la ejecución de proyectos medioambientales en Rusia y Belarús.

Por consiguiente, se propondrá una contribución de 12 millones EUR para financiar actividades como las descritas en las propuestas de proyectos y elaboradas posteriormente con los expertos de apoyo de la NDEP.

Podría haber una aportación adicional (unos 2 millones EUR) para Belarús, ya que la Asamblea de los contribuyentes a la NDEP de noviembre de 2009 decidió conferir a este país 5 millones EUR en forma de subvenciones.

- b) Se podría contemplar la posibilidad de llevar a cabo otras acciones (unos 6 millones EUR) en apoyo de las actividades con Rusia del Plan de Acción Estratégico para el Mar Báltico. Entre estas acciones se podrían incluir proyectos en los ámbitos medioambiental, del cambio climático, de la eficiencia energética, de la protección sanitaria y social, y posiblemente en el campo del transporte y la logística, en el marco del concepto de desarrollo sostenible e innovación.

(Véase el anexo técnico detallado que se adjunta)

## II. DETRACCIÓN

### a) Denominación de la línea

**Partida – 40 02 41 01 Créditos disociados (gastos no obligatorios)**

### b) Datos en cifras a 04/06/2010

	<b>Compromisos</b>
1A. Créditos del ejercicio (presupuesto inicial + PR)	209 320 000
1B. Créditos del ejercicio (AELC)	0
2. Transferencias	-64 820 000
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3. Créditos definitivos del ejercicio (1A+1B+2)	144 500 000
4. Utilización de los créditos definitivos del ejercicio	0
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<b>5. Créditos no utilizados/disponibles (3-4)</b>	<b>144 500 000</b>
<b>6. Necesidades hasta el final del ejercicio</b>	<b>124 500 000</b>
<b>7. Detracción propuesta</b>	<b>20 000 000</b>
8. Porcentaje de la detracción en relación con los créditos del ejercicio (7/1A)	9,55%
9. Porcentaje de las detracciones acumuladas en aplicación del artículo 23, apartado 1, letras b) y c), del Reglamento financiero, calculado con respecto a los créditos definitivos del ejercicio, de conformidad con el artículo 17 <i>bis</i> de las normas de desarrollo	CND

### c) Ingresos prorrogados procedentes de la recaudación (C5)

	<b>Compromisos</b>
1. Créditos disponibles al comienzo del ejercicio	0
2. Créditos disponibles a 04/06/2010	0
3. Porcentaje de ejecución [(1-2)/1]	CND

### d) Justificación detallada de la transferencia

Véase la justificación del punto I d).

**Proposal for action in follow-up to the European Parliament Amendment concerning cooperation with Third Countries in the framework of the Baltic Sea Strategy**

The purpose of this document is to outline a Commission proposal on how to use the 20 million euro in the 2010 Budget for the 'EU Baltic Sea Strategy' cooperation with third countries, in order to lift the current reserve on the appropriation.

**1. Background**

Based on an amendment by the European Parliament to the 2010 budget the Budgetary Authority introduced a new budget line "EU Baltic Sea Strategy" (19 08 02 03) under Heading 4. EUR 20 million have been placed in reserve for support of the external dimension of the Strategy. These funds are intended to finance activities in the Baltic Sea Region not covered currently by the programmes under cohesion funds or the ENPI instrument<sup>1</sup>. The appropriation of EUR 20 million in commitments and payments has been put in reserve pending a proposal by the Commission on how the funds can be used.

The Baltic Sea Programme is an ERDF-funded transnational programme with a special ENPI Cross-Border Cooperation (CBC) element. However, the Russian Federation has not signed the related Financing Agreement, and thus was not included in the programme, which creates a drawback in its functioning. The other option for channelling the funds is the contribution through the Northern Dimension, identified by the EU Baltic Sea Strategy Action Plan as a platform that provides the basis for external aspects of the Strategy. Also other actions involving third countries and supporting the objectives of the EU Baltic Sea Strategy Action Plan can be envisaged.

However, there is a need to ensure that the funds can be mobilised within the commitment deadline of end 2010 and contracting deadline end 2011.

Given

- a) the delays experienced in agreeing with Russia on previous Financing Agreements and their entry into force<sup>2</sup>,
- b) the requests by the Russian authorities for reciprocity related to financial audit and control (presenting challenges for Member States) and equal co-financing, and
- c) indications that the Russian government would not support Russia's inclusion in the Cross-Border Cooperation (CBC) Baltic Sea Region programme,

it is recommended not to use the new funds for this ongoing regional CBC programme.

Having examined the above options, the Commission concludes that, *it is preferable to channel the funds through other existing mechanisms, particularly the Northern Dimension Environmental Partnership (NDEP) and the Baltic Sea Strategy Action Plan which do not depend on a Financing Agreement with the Russian Government.*

**2. The Northern Dimension as the framework for action**

The EU Baltic Sea Strategy Action Plan identified the **Northern Dimension** (ND) as a platform for external aspects of the Strategy. Against the background described above, the Commission thus suggest using the Northern Dimension as the framework for activities which can absorb the additional budget provided through the Budget Authority in a targeted and timely manner.

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1 The idea is to "fund coordination and information, as well as pilot projects in any of the four pillars of the EU Strategy for the Baltic Sea Region Action Plan, with emphasis on reducing nutrient inputs and maintaining a natural biodiversity, promoting research, innovation, and maritime safety and security".

2 For example the CBC Financing Agreements signed at the EU-Russia Summit in Stockholm in November 2009 are not yet ratified by the Russian Duma.

The main objectives of the policy are to provide a common framework for the promotion of dialogue and concrete cooperation, strengthen stability and well-being, intensify economic cooperation, promote economic integration, competitiveness and sustainable development in Northern Europe. Geographically the ND focuses increasingly on northwest Russia, Kaliningrad, the Baltic and the Barents Seas, the Arctic and Sub-Arctic areas. Thematically, the environment and nuclear waste management are two of the focal points.

The **Northern Dimension Environmental Partnership (NDEP)** is a result-focused initiative responding to calls from the international community - including Russia and Belarus - for concerted action to tackle some of the most pressing environmental problems in the Northern Dimension Area covering the Baltic and Barents Seas region.

The NDEP promotes coordination between the European Commission, partner governments (Russia, France, Canada, Germany, Sweden, Finland, UK, Denmark, Norway, Netherlands, Belgium, Belarus) and international financial institutions (EBRD, Nordic Investment Bank, EIB, Nordic Environment Finance Corporation and World Bank) to facilitate optimal financing structures combining loans, grants and local budget funding for implementation of its priority projects. The grants offer an extra incentive for environmental projects that may not be otherwise financially viable and unable to achieve satisfactory environmental targets without that intervention.

The present EU funding for the environmental window totals EUR 30 million in addition to a contribution of EUR 40 million by the Russian Federation as well as EUR 57.6 million from other Partner Countries (Germany, Sweden, Finland, Denmark, Norway and Belarus). The projects being proposed consist of environmental projects in water and wastewater treatment, management of municipal and agricultural waste, energy efficiency. The NDEP thus delivers real benefits to the environment – and the people – of the Baltic Sea Region.

### 3. Proposal for action

**For 2010**, the Commission suggests to allocate the funds currently in reserve through the Northern Dimension framework, in particular:

- a) **Further financing of the Northern Dimension Environmental Partnership (NDEP)** through a contribution to its Environmental Support Fund managed by the European Bank for Reconstruction and Development, enabling the implementation of environmental projects in Russia and Belarus. This form of cooperation would be entirely in line with the Amendment's objectives to strengthen the Baltic Sea Strategy. The fund manager, EBRD, confirms that a number of high quality projects to contract a significant part of the proposed EUR 20 million has already been identified or can be created. These projects include waste water and solid waste treatment, ultimately reducing nutrient inputs in the Baltic Sea. The Commission has a longstanding cooperation with the EBRD in the form of Contribution Agreements.

A contribution at the level of EUR 12 million will therefore be proposed for financing several proposed projects and further elaborated with the NDEP support experts. An additional contribution (approximately EUR 2 million) for the benefit of **Belarus** would follow as the decision by the Assembly of the NDEP Contributors from November 2009 entitled Belarus to receive EUR 5 million in the form of grants.

- b) Also **other actions** (approximately 6 million euro) supporting the Baltic Sea Strategy Action Plan activities with Russia and Belarus could be envisaged. They could entail environment, climate change, energy efficiency, health and social protection, and possibly projects in Transport and Logistics, under the concept of sustainable development and innovation. This support can take the form of Service contracts or grants (Technical Assistance, exchange of good practices, studies, seminars, study visits etc.) At this moment the process of identifying the projects is in a drafting phase.

#### **4. Legal Base and budget line**

The ENPI offers a solid political and regulatory framework with established dialogue between the EU and Partner Countries. It provides a coherent multiannual programming platform and ensures that the activities will be treated in harmony within the ENPI and with other programming instruments.

The legal basis for the use of funds would therefore be the ENPI Regulation. The Country Strategies 2007 – 2013 and the multiannual Indicative Programmes for Russia (2007 – 2010) and Belarus (2007 – 2011) already identify sectoral priorities that are in line with the Baltic Sea Strategy Action Plan. Moreover, the ENPI Regional East Country Strategy 2007 – 2013 and its Indicative Programme (2010 – 2013) identify the Northern Dimension under *Sub-Priority: Support the implementation of the Black Sea Synergy and the Northern Dimension (Priority Area 4: Advancing integration with the EU and promoting regional cooperation)*.

In order to implement these actions under the ENPI regulation, the Commission will transfer the appropriations from budget line 19 08 02 03 EU Baltic Sea Strategy to budget line 19 08 01 03 European Neighbourhood Policy and relations with Russia by means of internal transfer immediately after the release of the reserve. This budget line provides an unambiguous legal base linked to well-established decision making procedures.

The above projects will require time-intensive preparations with the concerned authorities of the third countries and possibly some Member States. First disbursements will only take place in 2011. No Payment Appropriations are therefore required in 2010.

#### **5. Conclusion**

Based upon the existing legal framework, this proposal fulfils the objectives of the Amendment, presenting a politically and environmentally sound solution for the sustainable cooperation with Third States in the region. It substantiates the further development of the EU Baltic Sea Strategy and its integration into the overall policy for the Baltic Sea region. As extensive negotiations with third parties will have to precede the Financing Decision, the Budget Authority is requested to lift the reserve so that the corresponding commitment appropriations will be available on the operational budget line in due time.