



EVROPSKA KOMISIJA

BRUSELJ, 16/06/2010

SPLOŠNI PRORAČUN – 2010
ODDELEK III – KOMISIJA, NASLOVA 19, 40

PRERAZPOREDITEV ODOBRITEV ŠT. DEC 24/2010

v EUR

IZVOR ODOBRITEV

POGLAVJE – 40 02 Rezerve za finančne intervencije

POSTAVKA – 40 02 41 01 Diferencirana sredstva (neobvezni odhodki)

OPO – 20 000 000

NAMEN ODOBRITEV

POGLAVJE – 19 08 08 Evropska sosedstva politika in odnosi z Rusijo

POSTAVKA – 19 08 02 03 Strategija EU za Baltsko morje

OPO 20 000 000

I. POVEČANJE

a) Postavka

19 08 02 03 – Strategija EU za Baltsko morje

b) Podatki na dan 4. 6. 2010

	OPO
1A. Odobritve v proračunu (začetni proračun + SP)	p.m.
1B. Odobritve v proračunu (EFTA)	0
2. Prerazporeditve	0
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3. Končne odobritve za to leto (1A+1B+2)	0
4. Poraba končnih odobritev	0
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5. Neporabljene/razpoložljive odobritve (3–4)	0
6. Potrebe do konca leta	20 000 000
7. Predlagano povečanje	20 000 000
8. Povečanje kot odstotek odobritev v proračunu (7/1A)	se ne uporablja
9. Povečanje skupnih prerazporeditev iz člena 23(1)(b) in (c) finančne uredbe kot odstotek končnih odobritev za to leto, izračunano v skladu s členom 17a izvedbenih določb	se ne uporablja

c) Prejemki iz izterjanih sredstev (prenos) (C5)

	OPO
1. Razpoložljive odobritve v začetku leta	0
2. Razpoložljive odobritve na dan 04.06.2010	0
3. Stopnja porabe [(1–2)/1]	se ne uporablja

d) Podrobni razlogi za povečanje

Na podlagi spremembe Evropskega parlamenta za proračun 2010 je proračunski organ uvedel novo proračunsko vrstico „Strategija za regijo Baltskega morja“ (19 08 02 03) v postavki 4. V rezervo za podporo zunanje razsežnosti strategije (predvsem kar zadeva Rusijo) je bilo prenesenih 20 milijonov EUR. Ta sredstva so namenjena financiranju dejavnosti v regiji Baltskega morja, ki trenutno niso zajete v programih v okviru kohezijskih skladov ali instrumenta ENPI. Odobritev v znesku 20 milijonov EUR v obveznostih in plačilih je bila prenesena v rezervo do predloga Komisije o možni uporabi sredstev (glej prilogo).

Dodeljena sredstva se bodo izplačevala v skladu z Uredbo ENPI in v okviru severne dimenzije, zlasti:

- a) nadaljnje financiranje okoljskega partnerstva v okviru Severne dimenzije (NDEP) s prispevki v okoljski sklad, kar bo omogočilo izvajanje okoljskih projektov v Rusiji in Belorusiji.

Prispevek v višini 12 milijonov EUR bo torej na voljo za financiranje ukrepov, kakor bodo opredeljeni v pripravljenih predlogih projektov in podrobno obravnavani s podpornimi strokovnjaki NEDP.

Namenjen bi bil lahko dodaten prispevek (približno 2 milijona EUR) v korist Belorusije, saj je Belorusija na podlagi odločitve skupščine donatorjev NEDP iz novembra 2009 upravičena do 5 milijonov EUR v obliki subvencij;

- b) predvideni bi bili lahko drugi ukrepi (približno 6 milijonov EUR) za podporo dejavnosti akcijskega načrta Strategije za regijo Baltskega morja. Ti bi lahko zajemali okolje, podnebne spremembe, energetska učinkovitost, zdravje in socialno zaščito ter morebiti projekte na področjih prometa in logistike v smislu trajnostnega razvoja in inovativnosti.

(Glej priloženo tehnično prilogo s podrobnostmi.)

II. ZMANJŠANJE

a) Postavka

40 02 41 01 – Diferencirana sredstva (neobvezni odhodki)

b) Podatki na dan 4. 6. 2010

	OPO
1A. Odobritve v proračunu (začetni proračun + SP)	209 320 000
1B. Odobritve v proračunu (EFTA)	0
2. Prerazporeditve	- 64 820 000
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3. Končne odobritve za to leto (1A+1B+2)	144 500 000
4. Poraba končnih odobritev	0
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5. Neporabljene/razpoložljive odobritve (3–4)	144 500 000
6. Potrebe do konca leta	124 500 000
7. Predlagano zmanjšanje	20 000 000
8. Zmanjšanje kot odstotek odobritev v proračunu (7/1A)	9,55 %
9. Zmanjšanje skupnih prerazporeditev iz člena 23(1)(b) in (c) finančne uredbe kot odstotek končnih odobritev za to leto, izračunano v skladu s členom 17a izvedbenih določb	se ne uporablja

c) Prejemki iz izterjanih sredstev (prenos) (C5)

	OPO
1. Razpoložljive odobritve v začetku leta	0
2. Razpoložljive odobritve na dan 4. 6. 2010	0
3. Stopnja porabe [(1–2)/1]	se ne uporablja

d) Podrobni razlogi za zmanjšanje

Glej obrazložitev v točki I d).

Proposal for action in follow-up to the European Parliament Amendment concerning cooperation with Third Countries in the framework of the Baltic Sea Strategy

The purpose of this document is to outline a Commission proposal on how to use the 20 million euro in the 2010 Budget for the 'EU Baltic Sea Strategy' cooperation with third countries, in order to lift the current reserve on the appropriation.

1. Background

Based on an amendment by the European Parliament to the 2010 budget the Budgetary Authority introduced a new budget line "EU Baltic Sea Strategy" (19 08 02 03) under Heading 4. EUR 20 million have been placed in reserve for support of the external dimension of the Strategy. These funds are intended to finance activities in the Baltic Sea Region not covered currently by the programmes under cohesion funds or the ENPI instrument¹. The appropriation of EUR 20 million in commitments and payments has been put in reserve pending a proposal by the Commission on how the funds can be used.

The Baltic Sea Programme is an ERDF-funded transnational programme with a special ENPI Cross-Border Cooperation (CBC) element. However, the Russian Federation has not signed the related Financing Agreement, and thus was not included in the programme, which creates a drawback in its functioning. The other option for channelling the funds is the contribution through the Northern Dimension, identified by the EU Baltic Sea Strategy Action Plan as a platform that provides the basis for external aspects of the Strategy. Also other actions involving third countries and supporting the objectives of the EU Baltic Sea Strategy Action Plan can be envisaged.

However, there is a need to ensure that the funds can be mobilised within the commitment deadline of end 2010 and contracting deadline end 2011.

Given

- a) the delays experienced in agreeing with Russia on previous Financing Agreements and their entry into force²,
- b) the requests by the Russian authorities for reciprocity related to financial audit and control (presenting challenges for Member States) and equal co-financing, and
- c) indications that the Russian government would not support Russia's inclusion in the Cross-Border Cooperation (CBC) Baltic Sea Region programme,

it is recommended not to use the new funds for this ongoing regional CBC programme.

Having examined the above options, the Commission concludes that, *it is preferable to channel the funds through other existing mechanisms, particularly the Northern Dimension Environmental Partnership (NDEP) and the Baltic Sea Strategy Action Plan which do not depend on a Financing Agreement with the Russian Government.*

2. The Northern Dimension as the framework for action

The EU Baltic Sea Strategy Action Plan identified the **Northern Dimension** (ND) as a platform for external aspects of the Strategy. Against the background described above, the Commission thus suggest using the Northern Dimension as the framework for activities which can absorb the additional budget provided through the Budget Authority in a targeted and timely manner.

1 The idea is to "fund coordination and information, as well as pilot projects in any of the four pillars of the EU Strategy for the Baltic Sea Region Action Plan, with emphasis on reducing nutrient inputs and maintaining a natural biodiversity, promoting research, innovation, and maritime safety and security".

2 For example the CBC Financing Agreements signed at the EU-Russia Summit in Stockholm in November 2009 are not yet ratified by the Russian Duma.

The main objectives of the policy are to provide a common framework for the promotion of dialogue and concrete cooperation, strengthen stability and well-being, intensify economic cooperation, promote economic integration, competitiveness and sustainable development in Northern Europe. Geographically the ND focuses increasingly on northwest Russia, Kaliningrad, the Baltic and the Barents Seas, the Arctic and Sub-Arctic areas. Thematically, the environment and nuclear waste management are two of the focal points.

The **Northern Dimension Environmental Partnership (NDEP)** is a result-focused initiative responding to calls from the international community - including Russia and Belarus - for concerted action to tackle some of the most pressing environmental problems in the Northern Dimension Area covering the Baltic and Barents Seas region.

The NDEP promotes coordination between the European Commission, partner governments (Russia, France, Canada, Germany, Sweden, Finland, UK, Denmark, Norway, Netherlands, Belgium, Belarus) and international financial institutions (EBRD, Nordic Investment Bank, EIB, Nordic Environment Finance Corporation and World Bank) to facilitate optimal financing structures combining loans, grants and local budget funding for implementation of its priority projects. The grants offer an extra incentive for environmental projects that may not be otherwise financially viable and unable to achieve satisfactory environmental targets without that intervention.

The present EU funding for the environmental window totals EUR 30 million in addition to a contribution of EUR 40 million by the Russian Federation as well as EUR 57.6 million from other Partner Countries (Germany, Sweden, Finland, Denmark, Norway and Belarus). The projects being proposed consist of environmental projects in water and wastewater treatment, management of municipal and agricultural waste, energy efficiency. The NDEP thus delivers real benefits to the environment – and the people – of the Baltic Sea Region.

3. Proposal for action

For 2010, the Commission suggests to allocate the funds currently in reserve through the Northern Dimension framework, in particular:

- a) **Further financing of the Northern Dimension Environmental Partnership (NDEP)** through a contribution to its Environmental Support Fund managed by the European Bank for Reconstruction and Development, enabling the implementation of environmental projects in Russia and Belarus. This form of cooperation would be entirely in line with the Amendment's objectives to strengthen the Baltic Sea Strategy. The fund manager, EBRD, confirms that a number of high quality projects to contract a significant part of the proposed EUR 20 million has already been identified or can be created. These projects include waste water and solid waste treatment, ultimately reducing nutrient inputs in the Baltic Sea. The Commission has a longstanding cooperation with the EBRD in the form of Contribution Agreements.

A contribution at the level of EUR 12 million will therefore be proposed for financing several proposed projects and further elaborated with the NDEP support experts. An additional contribution (approximately EUR 2 million) for the benefit of **Belarus** would follow as the decision by the Assembly of the NDEP Contributors from November 2009 entitled Belarus to receive EUR 5 million in the form of grants.

- b) Also **other actions** (approximately 6 million euro) supporting the Baltic Sea Strategy Action Plan activities with Russia and Belarus could be envisaged. They could entail environment, climate change, energy efficiency, health and social protection, and possibly projects in Transport and Logistics, under the concept of sustainable development and innovation. This support can take the form of Service contracts or grants (Technical Assistance, exchange of good practices, studies, seminars, study visits etc.) At this moment the process of identifying the projects is in a drafting phase.

4. Legal Base and budget line

The ENPI offers a solid political and regulatory framework with established dialogue between the EU and Partner Countries. It provides a coherent multiannual programming platform and ensures that the activities will be treated in harmony within the ENPI and with other programming instruments.

The legal basis for the use of funds would therefore be the ENPI Regulation. The Country Strategies 2007 – 2013 and the multiannual Indicative Programmes for Russia (2007 – 2010) and Belarus (2007 – 2011) already identify sectoral priorities that are in line with the Baltic Sea Strategy Action Plan. Moreover, the ENPI Regional East Country Strategy 2007 – 2013 and its Indicative Programme (2010 – 2013) identify the Northern Dimension under *Sub-Priority: Support the implementation of the Black Sea Synergy and the Northern Dimension (Priority Area 4: Advancing integration with the EU and promoting regional cooperation)*.

In order to implement these actions under the ENPI regulation, the Commission will transfer the appropriations from budget line 19 08 02 03 EU Baltic Sea Strategy to budget line 19 08 01 03 European Neighbourhood Policy and relations with Russia by means of internal transfer immediately after the release of the reserve. This budget line provides an unambiguous legal base linked to well-established decision making procedures.

The above projects will require time-intensive preparations with the concerned authorities of the third countries and possibly some Member States. First disbursements will only take place in 2011. No Payment Appropriations are therefore required in 2010.

5. Conclusion

Based upon the existing legal framework, this proposal fulfils the objectives of the Amendment, presenting a politically and environmentally sound solution for the sustainable cooperation with Third States in the region. It substantiates the further development of the EU Baltic Sea Strategy and its integration into the overall policy for the Baltic Sea region. As extensive negotiations with third parties will have to precede the Financing Decision, the Budget Authority is requested to lift the reserve so that the corresponding commitment appropriations will be available on the operational budget line in due time.