



EUROPEAN COMMISSION

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2012/0196 (COD)C7-0197/12

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on the protection of species of wild fauna and flora by regulating trade therein**

**(Recast)**

## EXPLANATORY MEMORANDUM

1. On 1 April 1987 the Commission decided<sup>1</sup> to instruct its staff that all acts should be codified after no more than ten amendments, stressing that this is a minimum requirement and that departments should endeavour to codify at even shorter intervals the texts for which they are responsible, to ensure that their provisions are clear and readily understandable.
2. The codification of Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein<sup>2</sup> has been initiated by the Commission. The new Regulation was to have superseded the various acts incorporated in it<sup>3</sup>.
3. In the meantime the Lisbon Treaty entered into force. Article 290 of the Treaty on the Functioning of the European Union (TFEU) allows the legislator to delegate to the Commission the power to adopt non-legislative acts of general application to supplement or amend certain non-essential elements of a legislative act. Article 291 of the TFEU allows the legislator to confer implementing powers on the Commission where uniform conditions for implementing legally binding Union acts are needed. Acts adopted by the Commission in accordance with those Articles are referred to in the terminology used by the TFEU as "delegated acts" (Article 290(3)) and as "implementing acts" (Article 291(4)), respectively.
4. Regulation (EC) No 338/97 contains provisions for which such a delegation of power or such a conferral of implementing powers would be opportune. It is therefore appropriate to transform the codification of Regulation (EC) No 338/97 into a recast in order to incorporate the necessary amendments.
5. The recast proposal was drawn up on the basis of a preliminary consolidation, in 22 official languages, of Regulation (EC) No 338/97 and the instruments amending it, carried out by the Publications Office of the European Union, by means of a data-processing system. Where the Articles have been given new numbers, the correlation between the old and the new numbers is shown in a table set out in Annex III to the recast Regulation.

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<sup>1</sup> COM(87) 868 PV.

<sup>2</sup> Carried out pursuant to the Communication from the Commission to the European Parliament and the Council – Codification of the Acquis communautaire, COM(2001) 645 final.

<sup>3</sup> See Annex II to this proposal.

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on the protection of species of wild fauna and flora by regulating trade therein**

**(Recast)**

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article ☒ 192(1) ☒ thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national Parliaments,

Having regard to the opinion of the European Economic and Social Committee<sup>4</sup>,

Having regard to the opinion of the Committee of the Regions<sup>5</sup>,

Acting in accordance with the ordinary legislative procedure<sup>6</sup>,

Whereas:

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↓ new

(1) Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein<sup>7</sup> has been substantially amended several times<sup>8</sup>. Since further amendments are to be made, it should be recast in the interests of clarity.

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↓ 338/97 recital 1 (adapted)

⇒ new

(2) The purpose of ☒ this Regulation ☒ is to ☒ ensure the protection of ☒ species of ☒ wild ☒ fauna and flora ☒ which are threatened by ☒ trade ⇒ or likely to be so threatened ⇐.

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<sup>4</sup> OJ C [...], [...], p. [...].

<sup>5</sup> OJ C [...], [...], p. [...].

<sup>6</sup> OJ C [...], [...], p. [...].

<sup>7</sup> OJ L 61, 3.3.1997, p. 1.

<sup>8</sup> See Annex II.

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↓ 338/97 recital 3

- (3) The provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation.

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↓ 338/97 recital 4

- (4) It is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation.

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↓ 338/97 recital 5 (adapted)

- (5) The implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to the authorisation of the introduction into the  Union  and the export or re-export from the  Union  of specimens of the species covered by this Regulation. It is necessary to lay down specific provisions relating to the transit of specimens through the  Union .

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↓ 338/97 recital 6 (adapted)

- (6) It is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the  Union .

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↓ 338/97 recital 7 (adapted)

- (7) It is necessary to  provide for  a consultation procedure  in the framework of the provisions on re-export , in order to limit the risk of infringement.

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↓ 338/97 recital 8 (adapted)

- (8) In order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the  Union . With regard to live specimens, these restrictions may be supplemented by restrictions at  Union  level on the holding or movement of such specimens within the  Union .

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↓ 338/97 recital 9

- (9) It is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions.

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↓ 338/97 recital 10 (adapted)

- (10) There is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the  Union , and the conditions for housing specimens. The certificates issued under this Regulation, which contribute to controlling those activities, should be governed by common rules on their issue, validity and use.
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↓ 338/97 recital 11 (adapted)

- (11) Measures should be taken to minimise the adverse effects on live specimens of transport to their destination, from or within the  Union .
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↓ 338/97 recital 12 (adapted)

- (12) To ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the  Union , in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) [No 2913/92 of 12 October 1992] establishing the Community Customs Code<sup>9</sup>, or where they are exported or re-exported from the  Union . There should also be facilities guaranteeing that live specimens are adequately housed and cared for.
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↓ 338/97 recital 13

- (13) The implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States.
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↓ 338/97 recital 14

- (14) Informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions.
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↓ 338/97 recital 15

- (15) In order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission. This requires the communication of information relating to the implementation of this Regulation.
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↓ 338/97 recital 16

- (16) The monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the
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<sup>9</sup> OJ L 302, 19.10.1992, p. 1.

conservation status of species. Detailed annual reports should be drawn up in a common format.

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↓ 338/97 recital 17

- (17) In order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement.
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↓ 338/97 recital 19

- (18) The multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions.
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↓ 398/2009, recital 4 (adapted)

⇒ new

- (19) ⇒ In order to supplement or amend certain non-essential elements of this Regulation, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union should be delegated to ⇐ the Commission ~~should be empowered to adopt~~ ☒ in respect of the adoption of ☒ certain measures regulating trade in species of wild fauna and flora, ☒ of ☒ certain amendments to the Annexes to ☒ this ☒ Regulation and ☒ of ☒ additional measures to implement resolutions of the Conference of the Parties to the Convention on international trade in endangered species of wild fauna and flora (CITES) (hereinafter referred to as ‘the Convention’), decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. ~~Since those measures are of general scope and are designed to amend non-essential elements of Regulation (EC) No 338/97, inter alia by supplementing it with new non-essential elements, they must be adopted in accordance with the regulatory procedure with scrutiny provided for in Article 5a of Decision 1999/468/EC.~~ ⇒ It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing-up delegated acts, should ensure a simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and to the Council. ⇐
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↓ new

- (20) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission’s exercise of implementing powers<sup>10</sup>,

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<sup>10</sup> OJ L 55, 28.2.2011, p. 13.

HAVE ADOPTED THIS REGULATION:

*Article 1*

**Object**

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with Articles 2 to 22 and Annexes A to D as set out in Annex I, hereinafter referred to as "Annex A", "Annex B", "Annex C" and "Annex D".

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2(b).

*Article 2*

**Definitions**

For the purposes of this Regulation, the following definitions shall apply:

- (a) 'Committee' means the Committee referred to in Article 21(1);
- (b) 'Convention' means the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES);
- (c) 'country of origin' means the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' means the notification given by the importer or his agent or representative, at the time of the introduction into the Union of a specimen of a species included in Annexes C or D, on the form provided for in Article 19(2) ~~prescribed by the Commission in accordance with the procedure referred to in Article 18(2)~~;
- (e) 'introduction from the sea' means the introduction into the Union of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' means the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) 'management authority' means a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;

- (h) ‘Member State of destination’ means the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) ‘offering for sale’ means offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ means dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ means the place at which at the time of introduction into the  Union , it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) ‘population’ means a biologically or geographically distinct total number of individuals;
- (m) ‘primarily commercial purposes’ means all purposes the non-commercial aspects of which do not clearly predominate;
- (n) ‘re-export from the  Union ’ means export from the  Union  of any specimen that has previously been introduced;
- (o) ‘re-introduction into the  Union ’ means introduction into the  Union  of any specimen that has previously been exported or re-exported;
- (p) ‘sale’ means any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) ‘scientific authority’ means a scientific authority designated, in the case of a Member State, in accordance with Article 13(2) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) ‘Scientific Review Group’ means the consultative body established under Article 17;
- (s) ‘species’ means a species, subspecies or population thereof;
- (t) ‘specimen’ means any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen shall be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' means the introduction into the  Union , including introduction from the sea, and the export and re-export from the Union, as well as the use, movement and transfer of possession within the  Union , including within a Member State, of specimens subject to the provisions of this Regulation;
- (v) 'transit' means the transport of specimens between two points outside the  Union  through the territory of the  Union  which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' means specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, before 3 March 1947 and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' means documentary checks on the certificates, permits and notifications provided for in this Regulation and, in cases where  Union  provisions so provide or in other cases by representative sampling of the consignments, examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

### *Article 3*

#### **Scope**

1. Annex A shall contain:

- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
  - (i) which is, or may be, in demand for utilisation in the  Union  or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species,

or

- (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in point (a) or (b)(i) and whose listing in that Annex is essential for the effective protection of those taxa.

2. Annex B shall contain:

- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
- (c) any other species not listed in Appendices I or II to the Convention:
  - (i) which is subject to levels of international trade that might not be compatible:
    - with its survival or with the survival of populations in certain countries, or
    - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;or
  - (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the  Union  would constitute an ecological threat to wild species of fauna and flora indigenous to the  Union .

3. Annex C shall contain:

- (a) the species listed in Appendix III to the Convention, other than those listed in Annex A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.

4. Annex D shall contain:

- (a) species not listed in Annexes A, B and C which are imported into the  Union  in such numbers as to warrant monitoring;
- (b) the species listed in Appendix III to the Convention for which a reservation has been entered.

5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

## Article 4

### Introduction into the Union

1. The introduction into the  Union  of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion of the Scientific Review Group, has advised that the introduction into the  Union :
  - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species,
  - (ii) is taking place:
    - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
    - for other purposes which are not detrimental to the survival of the species concerned;
- (b)
  - (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export,
  - (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment.

2. The introduction into the  Union  of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion of the Scientific Review Group, is of the opinion that the introduction into the  Union  would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the  Union  of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the  Union  of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the  Union  and that they are, modified or not, being reintroduced into the  Union ; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

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↓ 398/2009 Art. 1 pt. 1(a)  
(adapted)  
⇒ new

6. In consultation with the countries of origin concerned, ~~in accordance with the regulatory procedure referred to in Article 18(2)~~ and taking account of any opinion of the Scientific Review Group, the Commission may ⇒ , by means of implementing acts, ⇐ establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the ☒ Union ☒:

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↓ 338/97 (adapted)

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
  - (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
  - (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
  - (d) of live specimens of species for which it has been established that their introduction into the natural environment of the ☒ Union ☒ presents an ecological threat to wild species of fauna and flora indigenous to the ☒ Union ☒.
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↓ new

The implementing acts referred to in the first subparagraph shall be adopted in accordance with the examination procedure referred to in Article 21(2).

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↓ 338/97 (adapted)

The Commission shall on a quarterly basis publish a list of restrictions ☒ established in accordance with the first subparagraph ☒, if any, in the *Official Journal of the European Union*.

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↓ 398/2009 Art. 1 pt. 1(b)  
(adapted)  
⇒ new

7. Where special cases of transshipment, air transfer or rail transport occur following the introduction into the ☒ Union ☒, ⇒ the Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning the granting of ⇐ derogations from the completion of the checks and the presentation of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 ☒ of this Article, ☒ shall be granted by the Commission in order to permit such checks and the presentation to be carried out at another customs office designated in accordance with Article 12(1).

~~Those measures, designed to amend non essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

↓ 338/97 (adapted)

## Article 5

### Export or re-export from the Union

1. The export or re-export from the  Union  of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.
  2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:
    - (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
    - (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
    - (c) the management authority is satisfied that:
      - (i) any live specimen will be so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment, and
      - (ii) – the specimens of species not listed in Appendix I to the Convention will not be used for primarily commercial purposes, or
      - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;
- and
- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2(c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the  Union  in accordance with the provisions of this Regulation;
- (b) if introduced into the  Union  before 3 March 1997, were introduced in accordance with the provisions of Council Regulation (EEC) No 3626/82<sup>11</sup>; or  if  introduced into the  Union  before the entry into force of this Regulation but after 3 March 1997, were introduced into the  Union  in accordance with the provisions of Regulation (EC) No 338/97;  or
- (c) if introduced into the  Union  before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the  Union  of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2(a), (b), (c)(i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2(c)(i) and (d) and in paragraph 3(a) to (d) have been met.

↓ 398/2009 Art. 1 pt. 2(a)  
(adapted)  
⇒ new

5. Where an application for a re-export certificate concerns specimens introduced into the  Union  under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. ⇒ The Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning the establishment of  the consultation procedures and  of  the cases in which consultation is necessary. ~~shall be established by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

<sup>11</sup> OJ L 384, 31.12.1982, p. 1.

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↓ 338/97

6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2(a) and (c)(ii) shall not apply to:

(a) worked specimens that were acquired more than 50 years previously; or

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↓ 338/97 (adapted)

(b) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation,  of Regulation (EC) No 338/97  or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

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↓ 338/97

7. The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such a scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3(1)(a) or (b)(i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species.

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↓ 398/2009 Art. 1 pt. 2(b)  
(adapted)  
⇒ new

Whenever a management authority is advised of the measures referred to in the first subparagraph, it shall inform and send comments to the Commission. If appropriate, ⇒ the Commission shall, by means of implementing acts, ⇐ recommend restrictions on exports of the species concerned ~~in accordance with the regulatory procedure referred to in Article 18(2).~~ ⇒ Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2). ⇐

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↓ 338/97

## Article 6

### Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.

2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure the uniform application of this Regulation.

3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.

4. Member States shall recognise the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.

However, the first subparagraph shall not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

## Article 7

### Derogations

#### 1. *Specimens born and bred in captivity or artificially propagated*

Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.

In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions. ~~laid down by the Commission~~

↓ 398/2009 Art. 1 pt. 3(a)  
(adapted)  
⇒ new

⇒ The Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning: ⇐

- (a) the criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes;
- (b) the special conditions referred to in the second subparagraph of this paragraph relating to:
  - (i) the use of phytosanitary certificates;
  - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
  - (iii) trade in hybrids.

~~, shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

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↓ 338/97 (adapted)

2. *Transit*

By way of derogation from Article 4, where a specimen is in transit through the ☒ Union ☒, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.

In the case of species listed in the Annexes in accordance with Article 3(1) and Article 3(2)(a) and (b), the derogation referred to in the first subparagraph of this paragraph shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

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↓ 398/2009 Art. 1 pt. 3(b)

⇒ new

If the document referred to in the second subparagraph has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with ⇒ special ⇐ the conditions specified by the Commission. ~~Those measures, designed to amend non essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

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↓ new

The Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning the special conditions for submitting an export or re-export document retrospectively.

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↓ 398/2009 Art. 1 pt. 3(c)

(adapted)

⇒ new

3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions of those Articles shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the ☒ Union ☒, or exported or re-exported from the Union, in compliance with ⇒ special ⇐ provisions. ~~that shall be specified by the Commission. Those measures, designed to amend non essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

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↓ new

The Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning the special provisions regarding the introduction, export or re-export of personal or household effects.

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↓ 398/2009 Art. 1 pt. 3(c)  
(adapted)

#### 4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model of which has been established in accordance with the ~~regulatory procedure referred to in Article 18(2)~~  second subparagraph of this paragraph  or a similar label issued or approved by a management authority of a third country.

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↓ new

The Commission shall, by means of implementing acts, establish a model for a label for live plant material. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2).

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↓ 338/97 (adapted)

### *Article 8*

#### **Provisions relating to the control of commercial activities**

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.
2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.
3. In accordance with the requirements of other  Union  legislation on the conservation of wild fauna and flora, exemptions from the prohibitions referred to in paragraph 1 may be granted by the issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:
  - (a) were acquired in, or were introduced into, the  Union  before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A  to Regulation (EC) No 338/97 or to this Regulation  became applicable to the specimens; or

- (b) are worked specimens that were acquired more than 50 years previously; or
  - (c) were introduced into the  Union  in compliance with the provisions of  Regulation (EC) No 338/97 or of  this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
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↓ 338/97

- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
  - (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC<sup>12</sup> where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
  - (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
  - (g) are intended for research or education aimed at the preservation or conservation of the species; or
  - (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.
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↓ 398/2009 Art. 1 pt. 4 (adapted)  
⇒ new

4. ⇒ The Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning ⇐ general derogations from the prohibitions referred to in paragraph 1 of this Article based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with point (ii) of Article 3(1)(b) ~~may be defined by the Commission~~. Any such derogations must be in accordance with the requirements of other  Union  legislation on the conservation of wild fauna and flora. ~~Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

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↓ 338/97 (adapted)

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the  Union , were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B, C and D they have confiscated under this Regulation,

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<sup>12</sup> OJ L 358, 18.12.1986, p. 1.

provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

## Article 9

### Movement of live specimens

1. Any movement within the  Union  of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorisation from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorisation shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorisation shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorised location.

4. Where a live specimen of a species listed in Annex B is moved within the  Union , the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the  Union  or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimise the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with  Union  legislation on the protection of animals during transport.

↓ 398/2009 Art. 1 pt. 5 (adapted)  
⇒ new

6. The Commission  shall be empowered to adopt delegated acts in accordance with Article 20 concerning  ~~may establish~~ restrictions on the holding or movement of live specimens of such species in relation to which restrictions on introduction into the  Union  have been established in accordance with Article 4(6). ~~Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

*Article 10*

**Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5(2)(b), Article 5(3) and (4), Article 8(3) and Article 9(2)(b).

*Article 11*

**Validity of and special conditions for permits and certificates**

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1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the ☒ Union ☒.

2. Any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.

Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.

3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the ☒ Union ☒ only when accompanied by the original of the valid export permit or re-export certificate.

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5. The Commission shall ⇒ be empowered to adopt delegated acts in accordance with Article 20 concerning the ⇐ establish time limits for the issuance of permits and certificates. ~~Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

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↓ 338/97 (adapted)

Article 12

**Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the ☒ Union ☒, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant ☒ Union ☒ legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.

3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Union*.

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↓ 398/2009 Art. 1 pt. 7 (adapted)  
⇒ new

4. In exceptional cases and in accordance with ⇒ special ⇐ ~~the criteria defined by the Commission~~, a management authority may authorise the introduction into the ☒ Union ☒ or the export or re-export from the Union at a customs office other than one designated in accordance with paragraph 1. ~~Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

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↓ new

The Commission shall be empowered to adopt delegated acts in accordance with Article 20 concerning the special criteria in accordance with which the introduction, export or re-export at another customs office may be authorised.

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↓ 338/97  
⇒ new

5. Member States shall ensure that at border crossing-points the public are informed of the ~~implementing~~ provisions ⇒ adopted pursuant to ⇐ ~~of~~ this Regulation.

*Article 13*

**Management and scientific authorities and other competent authorities**

1. Each Member State shall designate a management authority with primary responsibility for the implementation of this Regulation and for communication with the Commission.

Each Member State may also designate additional management authorities and other competent authorities to assist in the implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for the correct application of this Regulation.

2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.

3. Not later than ☒ 3 March 1997 ☒ Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Union*.

Each management authority referred to in the first subparagraph of paragraph 1 shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of the persons authorised to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.

Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

*Article 14*

**Monitoring of compliance and investigation of infringements**

1. The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.

If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.

Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the

competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.

2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.

3. An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.

The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the Committee.

The Commission shall convey the opinions expressed in the enforcement group to the Committee.

#### *Article 15*

### **Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

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↓ 338/97  
⇒ new

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding the implementation of the Convention and of this Regulation and of ~~the latter's implementing~~ measures ⇒ adopted pursuant to this Regulation ⇐.

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↓ 338/97

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any opinion of the Scientific Review Group to the management authorities of the Member States concerned.

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↓ 338/97 (adapted)
→ <sub>1</sub> 398/2009 Art. 1 pt. 8(a)(i)
→ <sub>2</sub> 398/2009 Art. 1 pt. 8(a)(ii)
⇒ new

4. The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7(a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the ☒ Union ☒ of specimens of species listed in Annex D. ☒ The Commission shall, ☒ ⇒ by means of implementing acts, ⇐ ☒ specify ☒ →<sub>1</sub> the information to be communicated and the format for its presentation ~~in accordance with the regulatory procedure referred to in Article 18(2).~~ ← ⇒ Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2). ⇐

On the basis of the information referred to in the first subparagraph, the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the ☒ Union ☒ of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.

Without prejudice to Article 22, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7(b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. ☒ The Commission shall, ☒ ⇒ by means of implementing acts, ⇐ ☒ specify ☒ →<sub>2</sub> the information to be communicated and the format for its presentation ~~in accordance with the regulatory procedure referred to in Article 18(2).~~ ← ⇒ Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2). ⇐

On the basis of the information referred to in the third subparagraph, the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

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↓ 398/2009 Art. 1 pt. 8(b)
⇒ new

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall ⇒ , by means of implementing acts, ⇐ specify the information required, ~~in accordance with the regulatory procedure referred to in Article 18(2).~~ ⇒ Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2). ⇐

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↓ 338/97 (adapted) → <sub>1</sub> Corrigendum 338/97 (OJ L 298, 1.11.1997, p. 70)
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6. →<sub>1</sub> Without prejudice to Directive ☒ 2003/4/EC of the European Parliament and of the Council ☒ ←<sup>13</sup>, the Commission shall take appropriate measures to protect the confidentiality of information obtained in the implementation of this Regulation.

## *Article 16*

### **Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the ☒ Union ☒ of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorisation by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorisation as a basis for obtaining a ☒ Union ☒ permit or certificate or for any other official purpose in connection with this Regulation;
- (e) failure to make an import notification or making a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimise the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorisation given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with the second subparagraph of Article 7(1);
- (i) shipment of specimens into or out of or in transit through the territory of the ☒ Union ☒ without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;

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<sup>13</sup> OJ L 41, 14.2.2003, p. 26.

- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in breach of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose the rejection of an application for a  Union  import, export or re-export permit or certificate, in accordance with Article 6(3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the  Union , may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the  Union  without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

#### *Article 17*

### **The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.

2. The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4(1)(a), (2)(a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.

3. The Commission shall convey the opinions of the Scientific Review Group to the Committee.

*Article 18*

⊗ Further delegated powers ⊗

~~1. In accordance with the regulatory procedure referred to in Article 18(2), the Commission shall adopt the measures referred to in Article 4(6), Article 5(7)(b), Article 7(4), the first and the third subparagraphs of Article 15(4), Article 15(5) and Article 21(3).~~

~~2. The Commission shall adopt the measures referred to in Article 4(7), Article 5(5), the third subparagraph of Article 7(1), the third subparagraph of Article 7(2), Article 7(3), Article 8(4), Article 9(6), Article 11(5) and Article 12(4). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

1. The Commission shall ⇒ be empowered to adopt delegated acts in accordance with Article 20 concerning the ⇐ uniform conditions and criteria for:

- (a) the issue, validity and use of the documents referred to in Article 4, Article 5, Article 7(4) and Article 10;
- (b) the use of phytosanitary certificates referred to in point (a) of the second subparagraph of Article 7(1);
- (c) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions of this Regulation.

~~Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

2. The Commission shall ⇒ be empowered to ⇐ adopt, where necessary, ⇒ delegated acts in accordance with Article 20 concerning ⇐ additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. ~~Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).~~

3. The Commission shall ⇒ be empowered to adopt delegated acts in accordance with Article 20 for the purpose of ⇐ ⊗ amending ⊗ Annexes A to D, except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention. ~~Those measures, designed to amend non-essential elements of this Regulation, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(4).~~

## Article 19

### ⊗ Further implementing powers ⊗

1. The Commission shall ⇨ , by means of implementing acts, ⇩ determine the design of the documents referred to in Article 4, Article 5, Article 7(4) and Article 10 ~~in accordance with the regulatory procedure referred to in Article 18(2)~~. ⇨ Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2). ⇩

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⇩ new

2. The Commission shall, by means of implementing acts, prescribe a form for the presentation of the import notification. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 21(2).

## Article 20

### Exercise of the delegation

1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.

2. The power to adopt delegated acts referred to in Article 4(7), Article 5(5), Article 7(1), (2) and (3), Article 8(4), Article 9(6), Article 11(5), Article 12(4) and Article 18(1), (2) and (3) shall be conferred on the Commission for an indeterminate period of time from [the date of entry into force of the basic legislative act or from any other date set by the legislator].

3. The delegation of powers referred to in Article 4(7), Article 5(5), Article 7(1), (2) and (3), Article 8(4), Article 9(6), Article 11(5), Article 12(4) and Article 18(1), (2) and (3) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the *Official Journal of the European Union* or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.

5. A delegated act adopted pursuant to Article 4(7), Article 5(5), Article 7(1), (2) and (3), Article 8(4), Article 9(6), Article 11(5), Article 12(4) and Article 18(1), (2) and (3) shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of [two months] of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by [two months] at the initiative of the European Parliament or the Council.

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↓ 1882/2003 Art. 3 and Annex III  
pt. 66 (adapted)

*Article 21*

⊗ **Committee procedure** ⊗

1. The Commission shall be assisted by ⊗ a Committee which shall be referred to as the Committee on Trade in Wild Fauna and Flora ⊗. ⊗ That committee shall be a committee within the meaning of Regulation (EU) No 182/2011. ⊗

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↓ 1882/2003 Art. 3 and Annex III  
pt. 66

~~2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.~~

~~The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.~~

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↓ 398/2009 Art. 1 pt. 9(a)

~~3. Where reference is made to this paragraph, Article 5a(1) to (4) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.~~

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↓ 398/2009 Art. 1 pt. 9(b)

~~4. Where reference is made to this paragraph, Article 5a(1) to (4) and (5)(b) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.~~

~~The time limits laid down in Article 5a(3)(e) and (4)(b) and (e) of Decision 1999/468/EC shall be set at one month, one month and two months respectively.~~

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↓ new

2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.

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↓ 338/97

*Article 22*

**Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate that information to the other Member States.

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*Article 23*

**Repeal**

Regulation (EC) No 338/97 is repealed.

References to the repealed Regulation shall be construed as references to this Regulation and shall be read in accordance with the correlation table in Annex III.

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↓ 338/97 (adapted)

*Article 24*

⊠ **Entry into force** ⊠

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

*For the European Parliament*  
*The President*

*For the Council*  
*The President*

## ANNEX I

### Notes on interpretation of Annexes A, B, C and D

1. Species included in these Annexes A, B, C and D are referred to:
  - (a) by the name of the species; or
  - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation “spp.” is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 2009/147/EC<sup>14</sup> or Council Directive 92/43/EEC<sup>15</sup>.
5. The following abbreviations are used for plant taxa below the level of species:
  - (a) “ssp.” is used to denote subspecies;
  - (b) “var(s).” is used to denote variety (varieties); and
  - (c) “fa.” is used to denote forma.
6. The symbols “(I)”, “(II)” and “(III)” placed against the name of a species or higher taxon refer to the Appendices to the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. “Cultivar” means, following the definition of the 8th edition of the *International Code of Nomenclature for Cultivated Plants*, an assemblage of plants that (a) has been selected for a particular character or combination of characters, (b) is distinct, uniform, and stable in these characters, and (c) when propagated by appropriate

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<sup>14</sup> OJ L 20, 26.1.2010, p. 7.

<sup>15</sup> OJ L 206, 22.7.1992, p. 7.

means, retains those characters. No new taxon of a cultivar can be regarded as such until its category name and circumscription has been formally published in the latest edition of the International Code of Nomenclature for Cultivated Plants.

11. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
12. When a species is included in Annex A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t) of this Regulation, the symbol “#” followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:

#1	Designates all parts and derivatives, except: (a) seeds, spores and pollen (including pollinia); (b) seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; (c) cut flowers of artificially propagated plants; and (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus <i>Vanilla</i> .
#2	Designates all parts and derivatives, except: (a) seeds and pollen; and (b) finished products packaged and ready for retail trade.
#3	Designates whole and sliced roots and parts of roots.
#4	Designates all parts and derivatives, except: (a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from <i>Beccariophoenix madagascariensis</i> and <i>Neodypsis decaryi</i> exported from Madagascar; (b) seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; (c) cut flowers of artificially propagated plants; (d) fruits and parts and derivatives thereof of naturalized or artificially

	<p>propagated plants of the genus <i>Vanilla</i> (Orchidaceae) and of the family Cactaceae;</p> <p>(e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera <i>Opuntia</i> subgenus <i>Opuntia</i> and <i>Selenicereus</i> (Cactaceae); and</p> <p>(f) finished products of <i>Euphorbia antisyphilitica</i> packaged and ready for retail trade.</p>
#5	Designates logs, sawn wood and veneer sheets.
#6	Designates logs, sawn wood, veneer sheets and plywood.
#7	Designates logs, wood-chips, powder and extracts.
#8	Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
#9	Designates all parts and derivatives, except those bearing a label “Produced from <i>Hoodia</i> spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement no. BW/NA/ZA xxxxxxx”.
#10	Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
#11	Designates logs, sawn wood, veneer sheets, plywood, powder and extracts.
#12	Designates logs, sawn wood, veneer sheets, plywood and essential oil, excluding finished products packaged and ready for retail trade.
#13	Designates the kernel (also known as “endosperm”, “pulp” or “copra”) and any derivative thereof.

13. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
14. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
15. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

§ 1	Any whole, or substantially whole, skins, raw or tanned.
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§ 2	Any feathers or any skin or other part with feathers on it.
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16. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

§ 3	Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.
§ 4	Logs, sawn wood and veneer sheets.

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>FAUNA</i>				
CHORDATA (CHORDATES)				
<i>MAMMALIA</i>				<i>Mammals</i>
ARTIODACTYLA				
<i>Antilocapridae</i>				<i>Pronghorn</i>
	<i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Mexican pronghorn
<i>Bovidae</i>				<i>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</i>
	<i>Addax nasomaculatus</i> (I)			Addax
		<i>Ammotragus lervia</i> (II)		Barbary sheep
			<i>Antilope cervicapra</i> (III Nepal)	Blackbuck
		<i>Bison bison athabasca</i> (II)		Wood bison
	<i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to the provisions of this Regulation)			Gaur
	<i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to the provisions of this Regulation)			Wild yak
	<i>Bos sauveli</i> (I)			Kouprey
			<i>Bubalus arnee</i> (III Nepal) (Excludes the	Wild Asiatic buffalo

		domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to the provisions of this Regulation)	
<i>Bubalus depressicornis</i> (I)			Lowland anoa
<i>Bubalus mindorensis</i> (I)			Tamarau
<i>Bubalus quarlesi</i> (I)			Mountain anoa
	<i>Budorcas taxicolor</i> (II)		Takin
<i>Capra falconeri</i> (I)			Markhor
<i>Capricornis milneedwardsii</i> (I)			Chinese serow
<i>Capricornis rubidus</i> (I)			Red serow
<i>Capricornis sumatraensis</i> (I)			Sumatran serow
<i>Capricornis thar</i> (I)			Himalayan serow
	<i>Cephalophus brookei</i> (II)		Brooke's duiker
	<i>Cephalophus dorsalis</i> (II)		Bay duiker
<i>Cephalophus jentinki</i> (I)			Jentink's duiker
	<i>Cephalophus ogilbyi</i> (II)		Ogilby's duiker
	<i>Cephalophus silvicultor</i> (II)		Yellow-backed duiker
	<i>Cephalophus zebra</i> (II)		Zebra duiker
	<i>Damaliscus pygargus pygargus</i> (II)		Bontebok
<i>Gazella cuvieri</i> (I)			Cuvier's gazelle
		<i>Gazella dorcas</i> (III Algeria / Tunisia)	Dorcas gazelle
<i>Gazella leptoceros</i> (I)			Slender-horned gazelle
<i>Hippotragus niger variani</i> (I)			Giant sable antelope
	<i>Kobus leche</i> (II)		Lechwe
<i>Naemorhedus baileyi</i> (I)			Red goral
<i>Naemorhedus caudatus</i> (I)			Long-tailed goral
<i>Naemorhedus goral</i> (I)			Himalayan goral

	<i>Naemorhedus griseus</i> (I)			Chinese goral
	<i>Nanger dama</i> (I)			Dama gazelle
	<i>Oryx dammah</i> (I)			Scimitar-horned oryx
	<i>Oryx leucoryx</i> (I)			Arabian oryx
		<i>Ovis ammon</i> (II) (Except for the subspecies included in Annex A)		Argali
	<i>Ovis ammon hodgsonii</i> (I)			Tibetan argali
	<i>Ovis ammon nigrimontana</i> (I)			Kara Tau argali
		<i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Mexican bighorn sheep
	<i>Ovis orientalis ophion</i> (I)			Cyprus mouflon
		<i>Ovis vignei</i> (II) (Except for the subspecies included in Annex A)		Urial
	<i>Ovis vignei vignei</i> (I)			Ladakh urial
	<i>Pantholops hodgsonii</i> (I)			Chiru
		<i>Philantomba monticola</i> (II)		Blue duiker
	<i>Pseudoryx nghetinhensis</i> (I)			Siola
	<i>Rupicapra pyrenaica ornata</i> (I)			Abruzzo chamois
		<i>Saiga borealis</i> (II)		Mongolian saiga
		<i>Saiga tatarica</i> (II)		Steppe saiga
			<i>Tetracerus quadricornis</i> (III) Nepal)	Four-horned antelope
<i>Camelidae</i>				<i>Camels, guanaco, vicuña</i>
		<i>Lama guanicoe</i> (II)		Guanaco
	<i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina	<i>Vicugna vicugna</i> (II) (Only the populations of Argentina <sup>16</sup> [the		Vicuña

<sup>16</sup> Population of Argentina (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the

	[the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Annex B)	populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; <i>Bolivia</i> <sup>17</sup> [the whole population]; <i>Chile</i> <sup>18</sup> [population of the Primera Región]; <i>Peru</i> <sup>19</sup> [the whole population]; all other populations are included in Annex A)		
<i>Cervidae</i>				<i>Deer, huemuls, muntjacs, pudus</i>
	<i>Axis calamianensis</i> (I)			Calamian deer
	<i>Axis kuhlii</i> (I)			Bawean deer
	<i>Axis porcinus annamiticus</i> (I)			Indochina hog deer
	<i>Blastocerus dichotomus</i> (I)			Marsh deer
		<i>Cervus elaphus bactrianus</i> (II)		Bactrian deer
			<i>Cervus elaphus barbarus</i> (III Algeria / Tunisia)	Barbary deer

logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUÑA-ARGENTINA”. Other products must bear a label including the logotype and the designation “VICUÑA-ARGENTINA-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

<sup>17</sup> Population of Bolivia (listed in Annex B):For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUÑA-BOLIVIA”. Other products must bear a label including the logotype and the designation “VICUÑA-BOLIVIA-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

<sup>18</sup> Population of Chile (listed in Annex B):For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUÑA-CHILE”. Other products must bear a label including the logotype and the designation “VICUÑA-CHILE-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

<sup>19</sup> Population of Peru (listed in Annex B):For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUÑA-PERU”. Other products must bear a label including the logotype and the designation “VICUÑA-PERU-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

	<i>Cervus elaphus hanglu</i> (I)			Hangul
	<i>Dama dama mesopotamica</i> (I)			Persian fallow deer
	<i>Hippocamelus</i> spp. (I)			Huemuls
			<i>Mazama temama cerasina</i> (III Guatemala)	Central American red brocket
	<i>Muntiacus crinifrons</i> (I)			Black muntjac
	<i>Muntiacus vuquangensis</i> (I)			Giant muntjac
			<i>Odocoileus virginianus mayensis</i> (III Guatemala)	Guatemalan white-tailed deer
	<i>Ozotoceros bezoarticus</i> (I)			Pampas deer
		<i>Pudu mephistophiles</i> (II)		Northern pudu
	<i>Pudu puda</i> (I)			Southern pudu
	<i>Rucervus duvaucelii</i> (I)			Barasingha
	<i>Rucervus eldii</i> (I)			Eld's deer
<i>Hippopotamidae</i>				<i>Hippopotamuses</i>
		<i>Hexaprotodon liberiensis</i> (II)		Pygmy hippopotamus
		<i>Hippopotamus amphibius</i> (II)		Common hippopotamus
<i>Moschidae</i>				<i>Musk deer</i>
	<i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	<i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer
<i>Suidae</i>				<i>Babirusa, hogs, pigs</i>
	<i>Babyrousa babyrussa</i> (I)			Buru babirusa
	<i>Babyrousa bolabatuensis</i> (I)			Bola Batu babirusa
	<i>Babyrousa celebensis</i> (I)			North Sulawesi babirusa
	<i>Babyrousa togeanensis</i> (I)			Malenge babirusa
	<i>Sus salvanius</i> (I)			Pygmy hog
<i>Tayassuidae</i>				<i>Peccaries</i>
		Tayassuidae spp. (II)		Peccaries

		(Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)		
	<i>Catagonus wagneri</i> (I)			Chacoan peccary
CARNIVORA				
<i>Ailuridae</i>				
	<i>Ailurus fulgens</i> (I)			Red panda
<i>Canidae</i>				<i>Dogs, foxes, wolves</i>
			<i>Canis aureus</i> (III India)	Golden jackal
	<i>Canis lupus</i> (I/II)  (All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i> )	<i>Canis lupus</i> (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i> )		Grey wolf
	<i>Canis simensis</i>			Ethiopian wolf
		<i>Cerdocyon thous</i> (II)		Crab-eating fox
		<i>Chrysocyon brachyurus</i> (II)		Maned wolf
		<i>Cuon alpinus</i> (II)		Dhole
		<i>Lycalopex culpaeus</i> (II)		Culpeo
		<i>Lycalopex fulvipes</i> (II)		Darwin's fox
		<i>Lycalopex griseus</i> (II)		South American grey fox
		<i>Lycalopex gymnocercus</i> (II)		Pampas fox
	<i>Speothos venaticus</i> (I)			Bush dog
			<i>Vulpes bengalensis</i> (III India)	Bengal fox
		<i>Vulpes cana</i> (II)		Blanford's fox
		<i>Vulpes zerda</i> (II)		Fennec fox

<i>Eupleridae</i>		<i>Cryptoprocta ferox</i> (II)		Fossa
		<i>Eupleres goudotii</i> (II)		Falanouc
		<i>Fossa fossana</i> (II)		Malagasy civet
<i>Felidae</i>				<i>Cats, cheetahs, leopards, lions, tigers etc.</i>
		Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)		Cats
		<i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1 of this Regulation)		Cheetah
		<i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B)		Asian Caracal
		<i>Catopuma temminckii</i> (I)		Asian golden cat
		<i>Felis nigripes</i> (I)		Black-footed cat
		<i>Felis silvestris</i> (II)		Wild cat
		<i>Leopardus geoffroyi</i> (I)		Geoffroy's cat
		<i>Leopardus jacobitus</i> (I)		Andean mountain cat
		<i>Leopardus pardalis</i> (I)		Ocelot
		<i>Leopardus tigrinus</i> (I)		Oncilla
		<i>Leopardus wiedii</i> (I)		Margay
		<i>Lynx lynx</i> (II)		Eurasian lynx
		<i>Lynx pardinus</i> (I)		Iberian lynx
		<i>Neofelis nebulosa</i> (I)		Clouded leopard
		<i>Panthera leo persica</i> (I)		Asiatic lion
		<i>Panthera onca</i> (I)		Jaguar
	<i>Panthera pardus</i> (I)		Leopard	
	<i>Panthera tigris</i> (I)		Tiger	

	<i>Pardofelis marmorata</i> (I)			Marbled cat
	<i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B)			Bengal leopard cat
	<i>Prionailurus iriomotensis</i> (II)			Iriomote cat
	<i>Prionailurus planiceps</i> (I)			Flat-headed cat
	<i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B)			Rusty-spotted cat
	<i>Puma concolor coryi</i> (I)			Florida cougar
	<i>Puma concolor costaricensis</i> (I)			Costa Rican cougar
	<i>Puma concolor couguar</i> (I)			Eastern cougar
	<i>Puma yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B)			Jaguarundi
	<i>Uncia uncia</i> (I)			Snow leopard
<i>Herpestidae</i>				<i>Mongoose</i>
			<i>Herpestes fuscus</i> (III India)	Indian brown mongoose
			<i>Herpestes edwardsi</i> (III India)	Indian grey mongoose
			<i>Herpestes javanicus auropunctatus</i> (III India)	Small Indian mongoose
			<i>Herpestes smithii</i> (III India)	Ruddy mongoose
			<i>Herpestes urva</i> (III India)	Crab-eating mongoose
			<i>Herpestes vitticollis</i> (III India)	Stripe-necked mongoose
<i>Hyaenidae</i>				<i>Aardwolf, hyenas</i>
			<i>Proteles cristata</i> (III Botswana)	Aardwolf
<i>Mephitidae</i>				<i>Skunks</i>
		<i>Conepatus humboldtii</i> (II)		Humboldt's hog-nosed skunk

<i>Mustelidae</i>				<i>Badgers, martens, weasels etc.</i>
<i>Lutrinae</i>				<i>Otters</i>
		Lutrinae spp. (II) (Except for the species included in Annex A)		Otters
	<i>Aonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)			Cameroon clawless otter
	<i>Enhydra lutris nereis</i> (I)			Southern sea otter
	<i>Lontra felina</i> (I)			Marine otter
	<i>Lontra longicaudis</i> (I)			Neotropical otter
	<i>Lontra provocax</i> (I)			Southern river otter
	<i>Lutra lutra</i> (I)			European otter
	<i>Lutra nippon</i> (I)			Japanese otter
<i>Pteronura brasiliensis</i> (I)			Giant otter	
<i>Mustelinae</i>				<i>Grisons, martens, tayra, weasels</i>
			<i>Eira barbara</i> (III Honduras)	Tayra
			<i>Galictis vittata</i> (III Costa Rica)	Greater grison
			<i>Martes flavigula</i> (III India)	Yellow-throated marten
			<i>Martes foina intermedia</i> (III India)	Stone marten
			<i>Martes gwatkinsii</i> (III India)	Nilgiri marten
			<i>Mellivora capensis</i> (III Botswana)	Honey badger
	<i>Mustela nigripes</i> (I)			Black-footed ferret
<i>Odobenidae</i>				<i>Walrus</i>
		<i>Odobenus rosmarus</i> (III Canada)		Walrus
<i>Otariidae</i>				<i>Fur seals, sealions</i>
		<i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A)		Fur seals
	<i>Arctocephalus philippii</i> (II)			Juan Fernández fur seal

	<i>Arctocephalus townsendi</i> (I)			Guadalupe fur seal
<i>Phocidae</i>				<i>Seals</i>
		<i>Mirounga leonina</i> (II)		Southern elephant seal
	<i>Monachus</i> spp. (I)			Monk seals
<i>Procyonidae</i>				<i>Coatis, olingos</i>
			<i>Bassaricyon gabbii</i> (III Costa Rica)	Olingo
			<i>Bassariscus sumichrasti</i> (III Costa Rica)	Cacomistle
			<i>Nasua narica</i> (III Honduras)	White-nosed coati
			<i>Nasua nasua solitaria</i> (III Uruguay)	South Brazilian coati
			<i>Potos flavus</i> (III Honduras)	Kinkajou
<i>Ursidae</i>				<i>Bears</i>
		Ursidae spp. (II) (Except for the species included in Annex A)		Bears
	<i>Ailuropoda melanoleuca</i> (I)			Giant panda
	<i>Helarctos malayanus</i> (I)			Sun bear
	<i>Melursus ursinus</i> (I)			Sloth bear
	<i>Tremarctos ornatus</i> (I)			Spectacled bear
	<i>Ursus arctos</i> (I/II)  (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II)			Brown bear
	<i>Ursus thibetanus</i> (I)			Asian black bear
<i>Viverridae</i>				<i>Binturong, civets, linsangs, otter-civet, palm civet</i>
			<i>Arctictis binturong</i> (III India)	Binturong
			<i>Civettictis civetta</i> (III Botswana)	African civet

		<i>Cynogale bennettii</i> (II)		Otter civet
		<i>Hemigalus derbyanus</i> (II)		Banded palm civet
			<i>Paguma larvata</i> (III India)	Masked palm civet
			<i>Paradoxurus hermaphroditus</i> (III India)	Asian palm civet
			<i>Paradoxurus jerdoni</i> (III India)	Jerdon's palm civet
		<i>Prionodon linsang</i> (II)		Banded linsang
	<i>Prionodon pardicolor</i> (I)			Spotted linsang
			<i>Viverra civettina</i> (III India)	Malabar large-spotted civet
			<i>Viverra zibetha</i> (III India)	Large Indian civet
			<i>Viverricula indica</i> (III India)	Small Indian civet
CETACEA				<i>Cetaceans (dolphins, porpoises, whales)</i>
	<i>CETACEA spp. (I/II)</i> <sup>20</sup>			Cetaceans
CHIROPTERA				
<i>Phyllostomidae</i>				<i>Broad-nosed bats</i>
			<i>Platyrrhinus lineatus</i> (III Uruguay)	White-lined bat
<i>Pteropodidae</i>				<i>Fruit bats, flying foxes</i>
		<i>Acerodon</i> spp. (II) (Except for the species included in Annex A)		Flying foxes
	<i>Acerodon jubatus</i> (I)			Golden-capped fruit bat

<sup>20</sup>

All species are listed in Appendix II except *Balaena mysticetus*, *Eubalaena* spp., *Balaenoptera acutorostrata* (except population of West Greenland), *Balaenoptera bonaerensis*, *Balaenoptera borealis*, *Balaenoptera edeni*, *Balaenoptera musculus*, *Balaenoptera omurai*, *Balaenoptera physalus*, *Megaptera novaeangliae*, *Orcaella brevirostris*, *Orcaella heinsohni*, *Sotalia* spp., *Sousa* spp., *Eschrichtius robustus*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena phocaenoides*, *Phocoena sinus*, *Physeter macrocephalus*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

		<i>Pteropus</i> spp. (II) (Except for the species included in Annex A)		Flying foxes
		<i>Pteropus insularis</i> (I)		Ruck flying fox
		<i>Pteropus livingstonii</i> (II)		Comoro flying fox
		<i>Pteropus loochoensis</i> (I)		Japanese flying fox
		<i>Pteropus mariannus</i> (I)		Marianas flying fox
		<i>Pteropus molossinus</i> (I)		Caroline flying fox
		<i>Pteropus pelewensis</i> (I)		Pelew flying fox
		<i>Pteropus pilosus</i> (I)		Large Pelew flying fox
		<i>Pteropus rodricensis</i> (II)		Rodrigues flying fox
		<i>Pteropus samoensis</i> (I)		Samoan flying fox
		<i>Pteropus tonganus</i> (I)		Pacific flying fox
		<i>Pteropus ualanus</i> (I)		Kosrae flying fox
		<i>Pteropus voeltzkowi</i> (II)		Pemba flying fox
		<i>Pteropus yapensis</i> (I)		Yap flying fox
CINGULATA				
<i>Dasypodidae</i>				<i>Armadillos</i>
			<i>Cabassous centralis</i> (III Costa Rica)	Northern naked-tailed armadillo
			<i>Cabassous tatouay</i> (III Uruguay)	Greater naked-tailed armadillo
		<i>Chaetophractus nationi</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)		Andean hairy armadillo
		<i>Priodontes maximus</i> (I)		Giant armadillo
DASYUROMORPHIA				
<i>Dasyuridae</i>				<i>Dunnarts, marsupial mice, planigales</i>
		<i>Sminthopsis longicaudata</i> (I)		Long-tailed dunnart
		<i>Sminthopsis psammophila</i> (I)		Sandhill dunnart

<i>Thylacinidae</i>				<i>Tasmanian wolf, thylacine</i>
	<i>Thylacinus cynocephalus</i> (possibly extinct) (I)			Thylacine
DIPROTODONTIA				
<i>Macropodidae</i>				<i>Kangaroos, wallabies</i>
		<i>Dendrolagus inustus</i> (II)		Grizzled tree-kangaroo
		<i>Dendrolagus ursinus</i> (II)		Ursine tree-kangaroo
	<i>Lagorchestes hirsutus</i> (I)			Rufous hare-wallaby
	<i>Lagostrophus fasciatus</i> (I)			Banded hare-wallaby
	<i>Onychogalea fraenata</i> (I)			Bridled nail-tail wallaby
	<i>Onychogalea lunata</i> (I)			Crescent nail-tail wallaby
<i>Phalangeridae</i>				<i>Cuscus</i>
		<i>Phalanger intercastellanus</i> (II)		Eastern common cuscus
		<i>Phalanger mimicus</i> (II)		Southern common cuscus
		<i>Phalanger orientalis</i> (II)		Northern common cuscus
		<i>Spilocuscus kraemeri</i> (II)		Admiralty Island cuscus
		<i>Spilocuscus maculatus</i> (II)		Common spotted cuscus
		<i>Spilocuscus papuensis</i> (II)		Waigeou cuscus
<i>Potoroidae</i>				<i>Rat-kangaroos</i>
	<i>Bettongia</i> spp. (I)			Bettongs
	<i>Caloprymnus campestris</i> (possibly extinct) (I)			Desert rat-kangaroo
<i>Vombatidae</i>				<i>Wombats</i>
	<i>Lasiorhinus krefftii</i> (I)			Northern hairy-nosed wombat
LAGOMORPHA				
<i>Leporidae</i>				<i>Hares, rabbits</i>
	<i>Caprolagus hispidus</i> (I)			Hispid hare

	<i>Romerolagus diazi</i> (I)			Volcano rabbit
MONOTREMATA				
<i>Tachyglossidae</i>				<i>Echidnas, spiny anteaters</i>
		<i>Zaglossus</i> spp. (II)		Long-beaked echidnas
PERAMELEMORPHIA				
<i>Chaeropodidae</i>				<i>Bandicoots</i>
	<i>Chaeropus ecaudatus</i> (possibly extinct) (I)			Pig-footed bandicoot
<i>Peramelidae</i>				
	<i>Perameles bougainville</i> (I)			Western barred bandicoot
<i>Thylacomyidae</i>				
	<i>Macrotis lagotis</i> (I)			Greater bilby
	<i>Macrotis leucura</i> (I)			Lesser bilby
PERISSODACTYLA				
<i>Equidae</i>				<i>Horses, wild asses, zebras</i>
	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to the provisions of this Regulation)			African ass
	<i>Equus grevyi</i> (I)			Grévy's zebra
	<i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> and <i>Equus hemionus khur</i> are listed in Appendix I)			Asiatic wild ass
	<i>Equus kiang</i> (II)			Kiang
	<i>Equus przewalskii</i> (I)			Przewalski's horse
		<i>Equus zebra hartmannae</i> (II)		Hartmann's mountain zebra
	<i>Equus zebra zebra</i> (I)			Cape mountain zebra
<i>Rhinocerotidae</i>				<i>Rhinoceroses</i>
	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)			Rhinoceroses
		<i>Ceratotherium simum simum</i> (II) (Only the		Southern white

		populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		rhinoceros
<i>Tapiridae</i>				<i>Tapirs</i>
	Tapiridae spp. (I) (Except for the species included in Annex B)			Tapirs
		<i>Tapirus terrestris</i> (II)		South American tapir
PHOLIDOTA				
<i>Manidae</i>				<i>Pangolins</i>
		<i>Manis</i> spp. (II)  (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>Manis culionensis</i> , <i>Manis javanica</i> and <i>Manis pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes)		Pangolins
PILOSA				
<i>Bradypodidae</i>				<i>Three-toed sloths</i>
		<i>Bradypus variegatus</i> (II)		Brown-throated sloth
<i>Megalonychidae</i>				<i>Two-toed sloth</i>
			<i>Choloepus hoffmanni</i> (III Costa Rica)	Hoffmann's two-toed sloth
<i>Myrmecophagidae</i>				<i>American anteaters</i>
		<i>Myrmecophaga tridactyla</i> (II)		Giant anteater
			<i>Tamandua mexicana</i> (III Guatemala)	Northern tamandua
PRIMATES				<i>Primates (apes and monkeys)</i>

		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates
<i>Atelidae</i>				<i>Howlers, spider monkeys</i>
	<i>Alouatta coibensis</i> (I)			Coiba Island howler
	<i>Alouatta palliata</i> (I)			Mantled howler
	<i>Alouatta pigra</i> (I)			Guatemalan black howler
	<i>Ateles geoffroyi frontatus</i> (I)			Black-browed spider monkey
	<i>Ateles geoffroyi panamensis</i> (I)			Red spider monkey
	<i>Brachyteles arachnoides</i> (I)			Southern muriqui
	<i>Brachyteles hypoxanthus</i> (I)			
	<i>Oreonax flavicauda</i> (I)			Yellow-tailed woolly monkey
<i>Cebidae</i>				<i>Marmosets, tamarins, New-world monkeys</i>
	<i>Callimico goeldii</i> (I)			Goeldi's marmoset
	<i>Callithrix aurita</i> (I)			Buffy-tufted marmoset
	<i>Callithrix flaviceps</i> (I)			Buffy-headed marmoset
	<i>Leontopithecus</i> spp. (I)			Lion tamarins
	<i>Saguinus bicolor</i> (I)			Pied tamarin
	<i>Saguinus geoffroyi</i> (I)			Geoffroy's tamarin
	<i>Saguinus leucopus</i> (I)			White-footed tamarin
	<i>Saguinus martinsi</i> (I)			
	<i>Saguinus oedipus</i> (I)			Cottontop tamarin
	<i>Saimiri oerstedii</i> (I)			Central American squirrel monkey
<i>Cercopithecidae</i>				<i>Old-world monkeys</i>
	<i>Cercocebus galeritus</i> (I)			Tana River mangabey
	<i>Cercopithecus diana</i> (I)			Diana monkey
	<i>Cercopithecus roloway</i> (I)			Roloway monkey

	<i>Cercopithecus solatus</i> (II)			Sun-tailed monkey
	<i>Colobus satanas</i> (II)			Black colobus
	<i>Macaca silenus</i> (I)			Lion-tailed macaque
	<i>Mandrillus leucophaeus</i> (I)			Drill
	<i>Mandrillus sphinx</i> (I)			Mandrill
	<i>Nasalis larvatus</i> (I)			Proboscis monkey
	<i>Ptilocolobus foai</i> (II)			Central African red colobus
	<i>Ptilocolobus gordonorum</i> (II)			Uzungwa red colobus
	<i>Ptilocolobus kirkii</i> (I)			Zanzibar red colobus
	<i>Ptilocolobus pennantii</i> (II)			Pennant's red colobus
	<i>Ptilocolobus preussi</i> (II)			Preuss's red colobus
	<i>Ptilocolobus rufomitratu</i> (I)			Tana River red colobus
	<i>Ptilocolobus tephrosceles</i> (II)			Ugandan red colobus
	<i>Ptilocolobus tholloni</i> (II)			Thollon's red colobus
	<i>Presbytis potenziani</i> (I)			Mentawai langur
	<i>Pygathrix</i> spp. (I)			Douc langurs
	<i>Rhinopithecus</i> spp. (I)			Snub-nosed monkeys
	<i>Semnopithecus ajax</i> (I)			Kashmir grey langur
	<i>Semnopithecus dussumieri</i> (I)			Southern Plains grey langur
	<i>Semnopithecus entellus</i> (I)			Northern Plains grey langur
	<i>Semnopithecus hector</i> (I)			Tarai grey langur
	<i>Semnopithecus hypoleucos</i> (I)			Black-footed grey langur
	<i>Semnopithecus priam</i> (I)			Tufted grey langur
	<i>Semnopithecus schistaceus</i> (I)			Nepal grey langur
	<i>Simias concolor</i> (I)			Simakobou
	<i>Trachypithecus delacouri</i> (II)			Delacour's langur
	<i>Trachypithecus francoisi</i>			François's langur

	(II)			
	<i>Trachypithecus geei</i> (I)			Gee's golden langur
	<i>Trachypithecus hatinhensis</i> (II)			Hatinh langur
	<i>Trachypithecus johnii</i> (II)			Nilgiri langur
	<i>Trachypithecus laotum</i> (II)			Laotian langur
	<i>Trachypithecus pileatus</i> (I)			Capped langur
	<i>Trachypithecus poliocephalus</i> (II)			White-headed langur
	<i>Trachypithecus shortridgei</i> (I)			Shortridge's langur
<i>Cheirogaleidae</i>				<i>Dwarf lemurs and mouse-lemurs</i>
	<i>Cheirogaleidae</i> spp. (I)			Dwarf lemurs and mouse lemurs
<i>Daubentoniidae</i>				<i>Aye-aye</i>
	<i>Daubentonia madagascariensis</i> (I)			Aye-aye
<i>Hominidae</i>				<i>Chimpanzees, gorillas, orang-utan</i>
	<i>Gorilla beringei</i> (I)			Eastern gorilla
	<i>Gorilla gorilla</i> (I)			Western gorilla
	<i>Pan</i> spp. (I)			Chimpanzee and bonobo
	<i>Pongo abelii</i> (I)			Sumatran orangutan
	<i>Pongo pygmaeus</i> (I)			Bornean orangutan
<i>Hylobatidae</i>				<i>Gibbons</i>
	<i>Hylobatidae</i> spp. (I)			Gibbons
<i>Indriidae</i>				<i>Indri, sifakas and woolly lemurs</i>
	<i>Indriidae</i> spp. (I)			Indri, sifakas and woolly lemurs
<i>Lemuridae</i>				<i>Large lemurs</i>
	<i>Lemuridae</i> spp. (I)			Large lemurs
<i>Lepilemuridae</i>				<i>Sportive lemurs</i>
	<i>Lepilemuridae</i> spp. (I)			Sportive lemurs
<i>Lorisidae</i>				<i>Lorises</i>
	<i>Nycticebus</i> spp. (I)			Slow lorises

<i>Pitheciidae</i>				<i>Uacaris, titis, sakis</i>
	<i>Cacajao</i> spp. (I)			Uacaris
	<i>Callicebus barbarabrownae</i> (II)			Barbara Brown's Titi
	<i>Callicebus melanochir</i> (II)			Coastal Black-handed Titi
	<i>Callicebus nigrifrons</i> (II)			Black-fronted Titi
	<i>Callicebus personatus</i> (II)			Atlantic titi
	<i>Chiropotes albinasus</i> (I)			White-nosed saki
<i>Tarsiidae</i>				<i>Tarsiers</i>
	<i>Tarsius</i> spp. (II)			Tarsiers
PROBOSCIDEA				
<i>Elephantidae</i>				<i>Elephants</i>
	<i>Elephas maximus</i> (I)			Asian elephant
	<i>Loxodonta africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<i>Loxodonta africana</i> (II)  (Only the populations of Botswana, Namibia, South Africa and Zimbabwe <sup>21</sup> ; all		African elephant

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Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B): For the exclusive purpose of allowing: (a) trade in hunting trophies for non-commercial purposes; (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20 for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa; (c) trade in hides; (d) trade in hair; (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; (f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; (iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at COP12 which are 20000 kg (Botswana), 10000 kg (Namibia), 30000 kg (South Africa); (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Zimbabwe, Namibia and South Africa registered by the 31st of January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in (g)(iv) in a single sale per destination under strict supervision of the Secretariat; (vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and (vii) the additional quantities specified in (g)(v) shall be traded only after the Standing Committee has agreed that the above conditions have been met; (h) no further proposals to allow trade in elephant ivory from populations already on Annex B shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraph (g)(i), (g)(ii), (g)(iii), (g)(vi), and (g)(vii). In addition, such further proposals shall be dealt with in accordance

		other populations are included in Annex A)		
RODENTIA				
<i>Chinchillidae</i>				<i>Chinchillas</i>
	<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation)			Chinchillas
<i>Cuniculidae</i>				<i>Pacas</i>
			<i>Cuniculus paca</i> (III Honduras)	Lowland paca
<i>Dasyproctidae</i>				<i>Agoutis</i>
			<i>Dasyprocta punctata</i> (III Honduras)	Central American agouti
<i>Erethizontidae</i>				<i>New-world porcupines</i>
			<i>Sphiggurus mexicanus</i> (III Honduras)	Mexican hairy dwarf porcupine
			<i>Sphiggurus spinosus</i> (III Uruguay)	Paraguayan hairy dwarf porcupine
<i>Hystricidae</i>				<i>Old-world porcupines</i>
	<i>Hystrix cristata</i>			Crested porcupine
<i>Muridae</i>				<i>Mice, rats</i>
	<i>Leporillus conditor</i> (I)			Greater stick-nest rat
	<i>Pseudomys fieldi praeconis</i> (I)			Shark Bay mouse
	<i>Xeromys myoides</i> (I)			False water rat
	<i>Zyomys pedunculatus</i> (I)			Central Australian rock rat
<i>Sciuridae</i>				<i>Ground squirrels, tree squirrels</i>
	<i>Cynomys mexicanus</i> (I)			Mexican prairie dog
			<i>Marmota caudata</i> (III India)	Long-tailed marmot
			<i>Marmota himalayana</i> (III India)	Himalayan marmot

with Decisions 14.77 and 14.78. On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

		<i>Ratufa</i> spp. (II)		Giant squirrels
		<i>Callosciurus erythraeus</i>		Pallas's Squirrel
		<i>Sciurus carolinensis</i>		Grey squirrel
			<i>Sciurus deppei</i> (III Costa Rica)	Deppe's squirrel
		<i>Sciurus niger</i>		Eastern Fox Squirrel
SCANDENTIA				
		SCANDENTIA spp. (II)		Treeshrews
SIRENIA				
<i>Dugongidae</i>				<i>Dugong</i>
		<i>Dugong dugon</i> (I)		Dugong
<i>Trichechidae</i>				<i>Manatees</i>
		Trichechidae spp. (I/II) ( <i>Trichechus inunguis</i> and <i>Trichechus manatus</i> are listed in Appendix I. <i>Trichechus senegalensis</i> is listed in Appendix II)		Manatees
AVES				<i>Birds</i>
ANSERIFORMES				
<i>Anatidae</i>				<i>Ducks, geese, swans etc.</i>
		<i>Anas aucklandica</i> (I)		Auckland Islands teal
		<i>Anas bernieri</i> (II)		Madagascar teal
		<i>Anas chlorotis</i> (I)		Brown teal
		<i>Anas formosa</i> (II)		Baikal teal
		<i>Anas laysanensis</i> (I)		Laysan duck
		<i>Anas nesiotis</i> (I)		Campbell Island teal
		<i>Anas querquedula</i>		Garganey
		<i>Asarcornis scutulata</i> (I)		White-winged duck
		<i>Aythya innotata</i>		Madagascar pochard
		<i>Aythya nyroca</i>		Ferruginous duck
		<i>Branta canadensis leucopareia</i> (I)		Aleutian goose
		<i>Branta ruficollis</i> (II)		Red-breasted goose
		<i>Branta sandvicensis</i> (I)		Nene

			<i>Cairina moschata</i> (III Honduras)	Muscovy duck
		<i>Coscoroba coscoroba</i> (II)		Coscoroba swan
		<i>Cygnus melancoryphus</i> (II)		Black-necked swan
		<i>Dendrocygna arborea</i> (II)		West Indian whistling-duck
			<i>Dendrocygna autumnalis</i> (III Honduras)	Black-bellied whistling-duck
			<i>Dendrocygna bicolor</i> (III Honduras)	Fulvous whistling-duck
	<i>Mergus octosetaceus</i>			Brazilian merganser
		<i>Oxyura jamaicensis</i>		Ruddy duck
	<i>Oxyura leucocephala</i> (II)			White-headed duck
	<i>Rhodonessa caryophyllacea</i> (possibly extinct) (I)			Pink-headed duck
		<i>Sarkidiornis melanotos</i> (II)		Comb duck
	<i>Tadorna cristata</i>			Crested shelduck
APODIFORMES				
<i>Trochilidae</i>				<i>Hummingbirds</i>
		Trochilidae spp. (II) (Except for the species included in Annex A)		Hummingbirds
	<i>Glaucis dohrnii</i> (I)			Hook-billed hermit
CHARADRIIFORMES				
<i>Burhinidae</i>				<i>Thick-knees</i>
			<i>Burhinus bistriatus</i> (III Guatemala)	Double-striped thick-knee
<i>Laridae</i>				<i>Gulls, terns</i>
	<i>Larus relictus</i> (I)			Relict gull
<i>Scolopacidae</i>				<i>Curlews, greenshanks</i>
	<i>Numenius borealis</i> (I)			Eskimo curlew
	<i>Numenius tenuirostris</i> (I)			Slender-billed curlew
	<i>Tringa guttifer</i> (I)			Nordmann's greenshank

CICONIIFORMES				
<i>Ardeidae</i>				<i>Egrets, herons</i>
	<i>Ardea alba</i>			Great egret
	<i>Bubulcus ibis</i>			Cattle egret
	<i>Egretta garzetta</i>			Little egret
<i>Balaenicipitidae</i>				<i>Shoebill, whale-headed stork</i>
		<i>Balaeniceps rex</i> (II)		Shoebill
<i>Ciconiidae</i>				<i>Storks</i>
	<i>Ciconia boyciana</i> (I)			Oriental stork
	<i>Ciconia nigra</i> (II)			Black stork
	<i>Ciconia stormi</i>			Storm's stork
	<i>Jabiru mycteria</i> (I)			Jabiru
	<i>Leptoptilos dubius</i>			Greater adjutant stork
	<i>Mycteria cinerea</i> (I)			Milky stork
<i>Phoenicopteridae</i>				<i>Flamingos</i>
		Phoenicopteridae spp. (II) (Except for the species included in Annex A)		Flamingos
	<i>Phoenicopterus ruber</i> (II)			Greater flamingo
<i>Threskiornithidae</i>				<i>Ibises, spoonbills</i>
		<i>Eudocimus ruber</i> (II)		Scarlet ibis
	<i>Geronticus calvus</i> (II)			Bald ibis
	<i>Geronticus eremita</i> (I)			Waldrapp
	<i>Nipponia nippon</i> (I)			Crested ibis
	<i>Platalea leucorodia</i> (II)			Eurasian spoonbill
	<i>Pseudibis gigantea</i>			Giant ibis
COLUMBIFORMES				
<i>Columbidae</i>				<i>Doves, pigeons</i>
	<i>Caloenas nicobarica</i> (I)			Nicobar pigeon
	<i>Claravis godefrida</i>			Purple-winged ground-dove
	<i>Columba livia</i>			Rock pigeon
	<i>Ducula mindorensis</i> (I)			Mindoro zone-tailed

				pigeon
		<i>Gallicolumba luzonica</i> (II)		Luzon bleeding-heart
		<i>Goura</i> spp. (II)		Crowned-pigeons
	<i>Leptotila wellsi</i>			Grenada dove
			<i>Nesoenas mayeri</i> (III Mauritius)	Pink pigeon
	<i>Streptopelia turtur</i>			European turtle-dove
CORACIIFORMES				
<i>Bucerotidae</i>				<i>Hornbills</i>
		<i>Aceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
		<i>Aceros nipalensis</i> (I)		Rufous-necked hornbill
		<i>Anorrhinus</i> spp. (II)		Hornbills
		<i>Anthracoceros</i> spp. (II)		Hornbills
		<i>Berenicornis</i> spp. (II)		Hornbills
		<i>Buceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
		<i>Buceros bicornis</i> (I)		Great hornbill
		<i>Penelopides</i> spp. (II)		Hornbills
		<i>Rhinoplax vigil</i> (I)		Helmeted hornbill
		<i>Rhyticeros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
		<i>Rhyticeros subruficollis</i> (I)		Plain-pouched hornbill
CUCULIFORMES				
<i>Musophagidae</i>				<i>Turacos</i>
		<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)		Turacos
		<i>Tauraco bannermani</i> (II)		Bannerman's turaco
FALCONIFORMES				
				<i>Diurnal birds of prey (eagles, falcons, hawks, vultures)</i>

		FALCONIFORMES spp. (II)		Diurnal birds of prey
		(Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation)		
<i>Accipitridae</i>				<i>Hawks, eagles</i>
	<i>Accipiter brevipes</i> (II)			Levant sparrowhawk
	<i>Accipiter gentilis</i> (II)			Northern goshawk
	<i>Accipiter nisus</i> (II)			Eurasian sparrowhawk
	<i>Aegypius monachus</i> (II)			Cinereous vulture
	<i>Aquila adalberti</i> (I)			Adalbert's eagle
	<i>Aquila chrysaetos</i> (II)			Golden eagle
	<i>Aquila clanga</i> (II)			Greater spotted eagle
	<i>Aquila heliaca</i> (I)			Imperial eagle
	<i>Aquila pomarina</i> (II)			Lesser spotted eagle
	<i>Buteo buteo</i> (II)			Common buzzard
	<i>Buteo lagopus</i> (II)			Rough-legged buzzard
	<i>Buteo rufinus</i> (II)			Long-legged buzzard
	<i>Chondrohierax uncinatus wilsonii</i> (I)			Cuban hook-billed kite
	<i>Circaetus gallicus</i> (II)			Short-toed snake-eagle
	<i>Circus aeruginosus</i> (II)			Western marsh-harrier
	<i>Circus cyaneus</i> (II)			Northern harrier
	<i>Circus macrourus</i> (II)			Pallid harrier
	<i>Circus pygargus</i> (II)			Montagu's harrier
	<i>Elanus caeruleus</i> (II)			Black-winged kite
	<i>Eutriorchis astur</i> (II)			Madagascar serpent-eagle
	<i>Gypaetus barbatus</i> (II)			Lammergeier
	<i>Gyps fulvus</i> (II)			Eurasian griffon

	<i>Haliaeetus</i> spp. (I/II) ( <i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II)			Sea-eagles
	<i>Harpia harpyja</i> (I)			Harpy eagle
	<i>Hieraaetus fasciatus</i> (II)			Bonelli's eagle
	<i>Hieraaetus pennatus</i> (II)			Booted eagle
	<i>Leucopternis occidentalis</i> (II)			Grey-backed hawk
	<i>Milvus migrans</i> (II) (Except for <i>Milvus migrans lineatus</i> which is included in Annex B)			Black kite
	<i>Milvus milvus</i> (II)			Red kite
	<i>Neophron percnopterus</i> (II)			Egyptian vulture
	<i>Pernis apivorus</i> (II)			European honey-buzzard
	<i>Pithecophaga jefferyi</i> (I)			Great Philippine eagle
<i>Cathartidae</i>				<i>New world vultures</i>
	<i>Gymnogyps californianus</i> (I)			California condor
			<i>Sarcoramphus papa</i> (III Honduras)	King vulture
	<i>Vultur gryphus</i> (I)			Andean condor
<i>Falconidae</i>				<i>Falcons</i>
	<i>Falco araeus</i> (I)			Seychelles kestrel
	<i>Falco biarmicus</i> (II)			Lanner falcon
	<i>Falco cherrug</i> (II)			Saker falcon
	<i>Falco columbarius</i> (II)			Merlin
	<i>Falco eleonora</i> (II)			Eleonora's falcon
	<i>Falco jugger</i> (I)			Laggar falcon
	<i>Falco naumanni</i> (II)			Lesser kestrel
	<i>Falco newtoni</i> (I) (Only the population of the Seychelles)			Newton's kestrel
	<i>Falco pelegrinoides</i> (I)			Barbary falcon
	<i>Falco peregrinus</i> (I)			Peregrine falcon
	<i>Falco punctatus</i> (I)			Mauritius kestrel

	<i>Falco rusticolus</i> (I)			Gyr Falcon
	<i>Falco subbuteo</i> (II)			Eurasian hobby
	<i>Falco tinnunculus</i> (II)			Common kestrel
	<i>Falco vespertinus</i> (II)			Red-footed falcon
<i>Pandionidae</i>				<i>Ospreys</i>
	<i>Pandion haliaetus</i> (II)			Osprey
GALLIFORMES				
<i>Cracidae</i>				
	<i>Crax alberti</i> (III Colombia)			Blue-knobbed curassow
	<i>Crax blumenbachii</i> (I)			Red-billed curassow
			<i>Crax daubentoni</i> (III Colombia)	Yellow-knobbed curassow
		<i>Crax fasciolata</i>		Bare-faced Curassow
			<i>Crax globulosa</i> (III Colombia)	Wattled curassow
			<i>Crax rubra</i> (III Colombia, Costa Rica, Guatemala and Honduras)	Great curassow
	<i>Mitu mitu</i> (I)			Alagoas curassow
	<i>Oreophasis derbianus</i> (I)			Horned guan
			<i>Ortalis vetula</i> (III Guatemala/Honduras)	Plain chachalaca
			<i>Pauxi pauxi</i> (III Colombia)	Helmeted curassow
	<i>Penelope albipennis</i> (I)			White-winged guan
			<i>Penelope purpurascens</i> (III Honduras)	Crested guan
			<i>Penelopina nigra</i> (III Guatemala)	Highland guan
	<i>Pipile jacutinga</i> (I)			Black-fronted piping guan
	<i>Pipile pipile</i> (I)			Trinidad piping guan
<i>Megapodiidae</i>				<i>Megapodes, scrubfowl</i>
	<i>Macrocephalon maleo</i> (I)			Maleo
<i>Phasianidae</i>				<i>Grouse, guineafowl, partridges, pheasants,</i>

			<i>tragopans</i>
	<i>Argusianus argus</i> (II)		Great argus
<i>Catreus wallichii</i> (I)			Cheer pheasant
<i>Colinus virginianus ridgwayi</i> (I)			Masked bobwhite
<i>Crossoptilon crossoptilon</i> (I)			White eared-pheasant
<i>Crossoptilon mantchuricum</i> (I)			Brown eared-pheasant
	<i>Gallus sonneratii</i> (II)		Grey junglefowl
	<i>Ithaginis cruentus</i> (II)		Blood pheasant
<i>Lophophorus impejanus</i> (I)			Himalayan monal
<i>Lophophorus lhuysii</i> (I)			Chinese monal
<i>Lophophorus sclateri</i> (I)			Sclater's monal
<i>Lophura edwardsi</i> (I)			Edwards' pheasant
	<i>Lophura hatinhensis</i>		Vietnamese fireback
<i>Lophura imperialis</i> (I)			Imperial pheasant
<i>Lophura swinhoii</i> (I)			Swinhoe's pheasant
		<i>Meleagris ocellata</i> (III Guatemala)	Ocellated turkey
<i>Odontophorus strophium</i>			Gorgeted wood-quail
<i>Ophrysia superciliosa</i>			Himalayan quail
	<i>Pavo muticus</i> (II)		Green peafowl
	<i>Polyplectron bicalcaratum</i> (II)		Grey peacock-pheasant
	<i>Polyplectron germaini</i> (II)		Germain's peacock-pheasant
	<i>Polyplectron malacense</i> (II)		Malayan peacock-pheasant
<i>Polyplectron napoleonis</i> (I)			Palawan peacock-pheasant
	<i>Polyplectron schleiermacheri</i> (II)		Bornean peacock-pheasant
<i>Rheinardia ocellata</i> (I)			Crested argus
<i>Syrmaticus ellioti</i> (I)			Elliot's pheasant
<i>Syrmaticus humiae</i> (I)			Hume's pheasant
<i>Syrmaticus mikado</i> (I)			Mikado pheasant

	<i>Tetraogallus caspius</i> (I)			Caspian snowcock
	<i>Tetraogallus tibetanus</i> (I)			Tibetan snowcock
	<i>Tragopan blythii</i> (I)			Blyth's tragopan
	<i>Tragopan caboti</i> (I)			Cabot's tragopan
	<i>Tragopan melanocephalus</i> (I)			Western tragopan
			<i>Tragopan satyra</i> (III Nepal)	Satyr tragopan
	<i>Tympanuchus cupido attwateri</i> (I)			Attwater's prairie-chicken
GRUIFORMES				
<i>Gruidae</i>				<i>Cranes</i>
		Gruidae spp. (II) (Except for the species included in Annex A)		Cranes
		<i>Grus americana</i> (I)		Whooping crane
		<i>Grus canadensis</i> (I/II) (The species is listed in Appendix II but subspecies <i>Grus canadensis nesiotetes</i> and <i>Grus canadensis pulla</i> are listed in Appendix I)		Sandhill crane
		<i>Grus grus</i> (II)		Common crane
		<i>Grus japonensis</i> (I)		Red-crowned crane
		<i>Grus leucogeranus</i> (I)		Siberian crane
		<i>Grus monacha</i> (I)		Hooded crane
		<i>Grus nigricollis</i> (I)		Black-necked crane
		<i>Grus vipio</i> (I)		White-necked crane
<i>Otididae</i>				<i>Bustards</i>
		Otididae spp. (II) (Except for the species included in Annex A)		Bustards
		<i>Ardeotis nigriceps</i> (I)		Indian bustard
		<i>Chlamydotis macqueenii</i> (I)		Macqueen's bustard
		<i>Chlamydotis undulata</i> (I)		Houbara bustard
		<i>Houbaropsis bengalensis</i> (I)		Bengal florican
		<i>Otis tarda</i> (II)		Great bustard

	<i>Sypheotides indicus</i> (II)			Lesser florican
	<i>Tetrax tetrax</i> (II)			Little bustard
<i>Rallidae</i>				<i>Coots, rails</i>
	<i>Gallirallus sylvestris</i> (I)			Lord Howe rail
<i>Rhynochetidae</i>				<i>Kagu</i>
	<i>Rhynochetos jubatus</i> (I)			Kagu
PASSERIFORMES				
<i>Atrichornithidae</i>				<i>Scrub-birds</i>
	<i>Atrichornis clamosus</i> (I)			Noisy scrub-bird
<i>Cotingidae</i>				<i>Cotingas</i>
			<i>Cephalopterus ornatus</i> (III Colombia)	Amazonian umbrella bird
			<i>Cephalopterus penduliger</i> (III Colombia)	Long-wattled umbrella bird
	<i>Cotinga maculata</i> (I)			Banded cotinga
		<i>Rupicola</i> spp. (II)		Cocks-of-the-rock
	<i>Xipholena atropurpurea</i> (I)			White-winged cotinga
<i>Emberizidae</i>				<i>Cardinals, tanagers</i>
		<i>Gubernatrix cristata</i> (II)		Yellow cardinal
		<i>Paroaria capitata</i> (II)		Yellow-billed cardinal
		<i>Paroaria coronata</i> (II)		Red-crested cardinal
		<i>Tangara fastuosa</i> (II)		Seven-coloured tanager
<i>Estrildidae</i>				<i>Mannikins, waxbills</i>
		<i>Amandava formosa</i> (II)		Green avadavat
		<i>Lonchura fuscata</i>		Timor sparrow
		<i>Lonchura oryzivora</i> (II)		Java sparrow
		<i>Poephila cincta cincta</i> (II)		Southern black-throated finch
<i>Fringillidae</i>				<i>Finches</i>
	<i>Carduelis cucullata</i> (I)			Red siskin
		<i>Carduelis yarrellii</i> (II)		Yellow-faced siskin

<i>Hirundinidae</i>				<i>Martins</i>
	<i>Pseudochelidon sirintarae</i> (I)			White-eyed river-martin
<i>Icteridae</i>				<i>New-world blackbirds</i>
	<i>Xanthopsar flavus</i> (I)			Saffron-cowled blackbird
<i>Meliphagidae</i>				<i>Honey-eaters</i>
	<i>Lichenostomus melanops cassidix</i> (I)			Helmeted honeyeater
<i>Muscicapidae</i>				<i>Old-world flycatchers, babblers, etc.</i>
	<i>Acrocephalus rodericanus</i> (III Mauritius)			Rodrigues brush-warbler
		<i>Cyornis ruckii</i> (II)		Rueck's blue-flycatcher
	<i>Dasyornis broadbenti litoralis</i> (possibly extinct) (I)			Western rufous bristlebird
	<i>Dasyornis longirostris</i> (I)			Western bristlebird
		<i>Garrulax canorus</i> (II)		Chinese Hwamei
		<i>Garrulax taewanus</i> (II)		Taiwan Hwamei
		<i>Leiothrix argenteauris</i> (II)		Silver-eared mesia
		<i>Leiothrix lutea</i> (II)		Red-billed leiothrix
		<i>Liocichla omeiensis</i> (II)		Omei Shan liocichla
		<i>Picathartes gymnocephalus</i> (I)		White-necked rockfowl
		<i>Picathartes oreas</i> (I)		Grey-necked rockfowl
			<i>Terpsiphone bourbonensis</i> (III Mauritius)	Mascarene paradise-flycatcher
<i>Paradisaeidae</i>				<i>Birds of paradise</i>
		Paradisaeidae spp. (II)		Birds of paradise
<i>Pittidae</i>				<i>Pittas</i>
		<i>Pitta guajana</i> (II)		Banded pitta
	<i>Pitta gurneyi</i> (I)			Gurney's pitta
	<i>Pitta kochi</i> (I)			Whiskered pitta

		<i>Pitta nympha</i> (II)		Fairy pitta
<i>Pycnonotidae</i>				<i>Bulbuls</i>
		<i>Pycnonotus zeylanicus</i> (II)		Straw-headed bulbul
<i>Sturnidae</i>				<i>Mynas</i>
		<i>Gracula religiosa</i> (II)		Hill myna
		<i>Leucopsar rothschildi</i> (I)		Bali myna
<i>Zosteropidae</i>				<i>White-eyes</i>
		<i>Zosterops albogularis</i> (I)		White-chested white-eye
PELECANIFORMES				
<i>Fregatidae</i>				<i>Frigatebirds</i>
		<i>Fregata andrewsi</i> (I)		Christmas frigatebird
<i>Pelecanidae</i>				<i>Pelicans</i>
		<i>Pelecanus crispus</i> (I)		Dalmatian pelican
<i>Sulidae</i>				<i>Boobies</i>
		<i>Papasula abbotti</i> (I)		Abbott's booby
PICIFORMES				
<i>Capitonidae</i>				<i>Barbets</i>
			<i>Semnornis ramphastinus</i> (III Colombia)	Toucan barbet
<i>Picidae</i>				<i>Woodpeckers</i>
		<i>Campephilus imperialis</i> (I)		Imperial woodpecker
		<i>Dryocopus javensis richardsi</i> (I)		Tristram's woodpecker
<i>Ramphastidae</i>				<i>Toucans</i>
			<i>Bailloni bailloni</i> (III Argentina)	Saffron toucanet
		<i>Pteroglossus aracari</i> (II)		Black-necked aracari
			<i>Pteroglossus castanotis</i> (III Argentina)	Chestnut-eared aracari
		<i>Pteroglossus viridis</i> (II)		Green aracari
			<i>Ramphastos dicolorus</i> (III Argentina)	Red-breasted toucan

		<i>Ramphastos sulfuratus</i> (II)		Keel-billed toucan
		<i>Ramphastos toco</i> (II)		Toco toucan
		<i>Ramphastos tucanus</i> (II)		Red-billed toucan
		<i>Ramphastos vitellinus</i> (II)		Channel-billed toucan
			<i>Selenidera maculirostris</i> (III Argentina)	Spot-billed toucanet
PODICIPEDIFORMES				
<i>Podicipedidae</i>				<i>Grebes</i>
		<i>Podilymbus gigas</i> (I)		Atitlan Grebe
PROCELLARIIFORMES				
<i>Diomedidae</i>				<i>Albatrosses</i>
		<i>Phoebastria albatrus</i> (I)		Short-tailed albatross
PSITTACIFORMES				<i>Cockatoos, lories, macaws, parakeets, parrots etc.</i>
		PSITTACIFORMES spp. (II)  (Except for the species included in Annex A and excluding <i>Agapornis roseicollis</i> , <i>Melopsittacus undulatus</i> , <i>Nymphicus hollandicus</i> and <i>Psittacula krameri</i> , which are not included in the Annexes to this Regulation)		<i>Parrots, etc.</i>
<i>Cacatuidae</i>				<i>Cockatoos</i>
		<i>Cacatua goffiniana</i> (I)		Tanimbar cockatoo
		<i>Cacatua haematuropygia</i> (I)		Philippine cockatoo
		<i>Cacatua moluccensis</i> (I)		Salmon-crested cockatoo
		<i>Cacatua sulphurea</i> (I)		Yellow-crested cockatoo
		<i>Probosciger aterrimus</i> (I)		Palm cockatoo
<i>Loriidae</i>				<i>Lories, lorikeets</i>
		<i>Eos histrio</i> (I)		Red and blue lory

	<i>Vini</i> spp. (I/II) ( <i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)			Blue lorikeets
<i>Psittacidae</i>				<i>Amazons, macaws, parakeets, parrots</i>
	<i>Amazona arausiaca</i> (I)			Red-necked parrot
	<i>Amazona auropalliata</i> (I)			Yellow-naped parrot
	<i>Amazona barbadensis</i> (I)			Yellow-shouldered parrot
	<i>Amazona brasiliensis</i> (I)			Red-tailed parrot
	<i>Amazona finschi</i> (I)			Lilac-crowned parrot
	<i>Amazona guildingii</i> (I)			St Vincent parrot
	<i>Amazona imperialis</i> (I)			Imperial parrot
	<i>Amazona leucocephala</i> (I)			Cuban parrot
	<i>Amazona oratrix</i> (I)			Yellow-headed parrot
	<i>Amazona pretrei</i> (I)			Red-spectacled parrot
	<i>Amazona rhodocorytha</i> (I)			Red-browed parrot
	<i>Amazona tucumana</i> (I)			Tucuman parrot
	<i>Amazona versicolor</i> (I)			Saint Lucia parrot
	<i>Amazona vinacea</i> (I)			Vinaceous parrot
	<i>Amazona viridigenalis</i> (I)			Green-cheeked parrot
	<i>Amazona vittata</i> (I)			Puerto Rican parrot
	<i>Anodorhynchus</i> spp. (I)			Blue macaws
	<i>Ara ambiguus</i> (I)			Great green macaw
	<i>Ara glaucogularis</i> (I)			Blue-throated macaw
	<i>Ara macao</i> (I)			Scarlet macaw
	<i>Ara militaris</i> (I)			Military macaw
	<i>Ara rubrogenys</i> (I)			Red-fronted macaw
	<i>Cyanopsitta spixii</i> (I)			Spix's macaw
	<i>Cyanoramphus cookii</i> (I)			Norfolk Island parakeet
<i>Cyanoramphus forbesi</i> (I)			Chatham Island yellow-fronted parakeet	

	<i>Cyanoramphus novaezelandiae</i> (I)			Red-fronted parakeet
	<i>Cyanoramphus saisseti</i> (I)			Red-crowned parakeet
	<i>Cyclopsitta diophthalma coxeni</i> (I)			Coxen's double-eyed fig parrot
	<i>Eunymphicus cornutus</i> (I)			Horned parakeet
	<i>Guarouba guarouba</i> (I)			Golden parakeet
	<i>Neophema chrysogaster</i> (I)			Orange-bellied parrot
	<i>Ognorhynchus icterotis</i> (I)			Yellow-eared parrot
	<i>Pezoporus occidentalis</i> (possibly extinct) (I)			Night parrot
	<i>Pezoporus wallicus</i> (I)			Ground parrot
	<i>Pionopsitta pileata</i> (I)			Pileated parrot
	<i>Primolius couloni</i> (I)			Blue-headed macaw
	<i>Primolius maracana</i> (I)			Blue-winged macaw
	<i>Psephotus chrysopterygius</i> (I)			Golden-shouldered parrot
	<i>Psephotus dissimilis</i> (I)			Hooded parrot
	<i>Psephotus pulcherrimus</i> (possibly extinct) (I)			Paradise parrot
	<i>Psittacula echo</i> (I)			Mauritius parakeet
	<i>Pyrrhura cruentata</i> (I)			Blue-throated parakeet
	<i>Rhynchopsitta</i> spp. (I)			Thick-billed parrots
	<i>Strigops habroptilus</i> (I)			Kakapo
RHEIFORMES				
<i>Rheidae</i>				<i>Rheas</i>
	<i>Pterocnemia pennata</i> (I) (Except <i>Pterocnemia pennata pennata</i> which is included in Annex B)			Lesser rhea
		<i>Pterocnemia pennata pennata</i> (II)		Lesser rhea
		<i>Rhea americana</i> (II)		Greater rhea
SPHENISCIFORMES				
<i>Spheniscidae</i>				<i>Penguins</i>
		<i>Spheniscus demersus</i> (II)		Jackass penguin

	<i>Spheniscus humboldti</i> (I)			Humboldt penguin
STRIGIFORMES				<i>Owls</i>
		STRIGIFORMES spp. (II) (Except for the species included in Annex A)		Owls
<i>Strigidae</i>				<i>Owls</i>
		<i>Aegolius funereus</i> (II)		Boreal owl
		<i>Asio flammeus</i> (II)		Short-eared owl
		<i>Asio otus</i> (II)		Long-eared owl
		<i>Athene noctua</i> (II)		Little owl
		<i>Bubo bubo</i> (II) (Except for <i>Bubo bubo bengalensis</i> which is included in Annex B)		Eurasian eagle-owl
		<i>Glaucidium passerinum</i> (II)		Eurasian pygmy-owl
		<i>Heteroglaux blewitti</i> (I)		Forest owlet
		<i>Mimizuku gurneyi</i> (I)		Lesser eagle-owl
		<i>Ninox natalis</i> (I)		Christmas hawk-owl
		<i>Ninox novaeseelandiae undulata</i> (I)		Norfolk boobook
		<i>Nyctea scandiaca</i> (II)		Snowy owl
		<i>Otus ireneae</i> (II)		Sokoke scops-owl
		<i>Otus scops</i> (II)		Eurasian scops-owl
		<i>Strix aluco</i> (II)		Tawny owl
		<i>Strix nebulosa</i> (II)		Great grey owl
		<i>Strix uralensis</i> (II) (Except for <i>Strix uralensis davidi</i> which is included in Annex B)		Ural owl
		<i>Surnia ulula</i> (II)		Northern hawk owl
<i>Tytonidae</i>				<i>Barn owls</i>
		<i>Tyto alba</i> (II)		Barn owl
		<i>Tyto soumagnei</i> (I)		Soumagne's owl
STRUTHIONIFORMES				
<i>Struthionidae</i>				<i>Ostrich</i>
		<i>Struthio camelus</i> (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African		Ostrich

	Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			
TINAMIFORMES				
<i>Tinamidae</i>				<i>Tinamous</i>
	<i>Tinamus solitarius</i> (I)			Solitary tinamou
TROGONIFORMES				
<i>Trogonidae</i>				<i>Quetzals</i>
	<i>Pharomachrus mocinno</i> (I)			Resplendent quetzal
REPTILIA				<i>Reptiles</i>
CROCODYLIA				<i>Alligators, caimans, crocodiles</i>
		CROCODYLIA spp. (II) (Except for the species included in Annex A)		Alligators, caimans, crocodiles
<i>Alligatoridae</i>				<i>Alligators, caimans</i>
	<i>Alligator sinensis</i> (I)			Chinese alligator
	<i>Caiman crocodilus apaporiensis</i> (I)			Rio Apaporis spectacled caiman
	<i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B)			Broad-nosed caiman
	<i>Melanosuchus niger</i> (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman
<i>Crocodylidae</i>				<i>Crocodiles</i>
	<i>Crocodylus acutus</i> (I) (Except for the population of Cuba, which is included in Annex B)			American crocodile
	<i>Crocodylus cataphractus</i> (I)			African slender-nouted crocodile
	<i>Crocodylus intermedius</i> (I)			Orinoco crocodile

	<i>Crocodylus mindorensis</i> (I)			Philippine crocodile
	<i>Crocodylus moreletii</i> (I) (Except for the populations of Belize and Mexico, which are included in Annex B, with a zero quota for wild specimens traded for commercial purposes)			Morelet's crocodile
	<i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Egypt [subject to a zero quota for wild specimens traded for commercial purposes], Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranches specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Nile crocodile
	<i>Crocodylus palustris</i> (I)			Mugger crocodile
	<i>Crocodylus porosus</i> (I) (Except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Annex B)			Estuarine crocodile
	<i>Crocodylus rhombifer</i> (I)			Cuban crocodile
	<i>Crocodylus siamensis</i> (I)			Siamese crocodile
	<i>Osteolaemus tetraspis</i> (I)			West African dwarf crocodile
	<i>Tomistoma schlegelii</i> (I)			False gharial
<i>Gavialidae</i>				<i>Gavial or gharial</i>
	<i>Gavialis gangeticus</i> (I)			Gharial
RHYNCHOCEPHALIA				
<i>Sphenodontidae</i>				<i>Tuataras</i>
	<i>Sphenodon</i> spp. (I)			Tuataras
SAURIA				
<i>Agamidae</i>				<i>Spiny-tailed lizards (Agamas, mastigures)</i>
		<i>Uromastyx</i> spp. (II)		Spiny-tailed lizards

<i>Chamaeleonidae</i>				<i>Chameleons</i>
		<i>Bradypodion</i> spp. (II)		Dwarf chameleons
		<i>Brookesia</i> spp. (II) (Except for the species included in Annex A)		Dwarf chameleons
	<i>Brookesia perarmata</i> (I)			Dwarf spiny chameleon
		<i>Calumma</i> spp. (II)		Madagascar chameleons
		<i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)		Chameleons
	<i>Chamaeleo chamaeleon</i> (II)			European chameleon
		<i>Furcifer</i> spp. (II)		Madagascar chameleons
		<i>Kinyongia</i> spp. (II)		Dwarf chameleons
		<i>Nadzikambia</i> spp. (II)		Dwarf chameleons
<i>Cordylidae</i>				<i>Spiny-tailed lizards</i>
		<i>Cordylus</i> spp. (II)		Girdled lizards
<i>Gekkonidae</i>				<i>Geckos</i>
		<i>Cyrtodactylus serpensinsula</i> (II)		Serpent Island gecko
			<i>Hoplodactylus</i> spp. (III New Zealand)	Sticky-toed geckos
			<i>Naultinus</i> spp. (III New Zealand)	New Zealand tree geckos
		<i>Phelsuma</i> spp. (II) (Except for the species included in Annex A)		Day geckos
	<i>Phelsuma guentheri</i> (II)			Round Island day gecko
		<i>Uroplatus</i> spp. (II)		Flat-tailed geckos
<i>Helodermatidae</i>				<i>Gila monster and beaded lizard</i>
		<i>Heloderma</i> spp. (II) (Except for the subspecies included in Annex A)		Gila monster and beaded lizard
	<i>Heloderma horridum charlesbogerti</i> (I)			Guatemalan beaded lizard
<i>Iguanidae</i>				<i>Iguanas</i>

		<i>Amblyrhynchus cristatus</i> (II)		Galapagos marine iguana
	<i>Brachylophus</i> spp. (I)			Fiji iguanas
		<i>Conolophus</i> spp. (II)		Galapagos land iguanas
		<i>Ctenosaura bakeri</i> (II)		Utila Island spiny-tailed iguana
		<i>Ctenosaura oedirhina</i> (II)		Roatan spiny-tailed iguana
		<i>Ctenosaura melanosterna</i> (II)		Rio Aguan Valley spiny-tailed iguana
		<i>Ctenosaura palearis</i> (II)		Guatemalan Spiny-tailed iguana
	<i>Cyclura</i> spp. (I)			Ground iguanas
		<i>Iguana</i> spp. (II)		Iguanas
		<i>Phrynosoma blainvillii</i> (II)		
		<i>Phrynosoma cerroense</i> (II)		
		<i>Phrynosoma coronatum</i> (II)		Coast horned lizard
		<i>Phrynosoma wigginsi</i> (II)		
	<i>Sauromalus varius</i> (I)			San Esteban Island chuckwalla
<i>Lacertidae</i>				<i>Lizards</i>
	<i>Gallotia simonyi</i> (I)			Hierro giant lizard
	<i>Podarcis lilfordi</i> (II)			Lilford's wall lizard
	<i>Podarcis pityusensis</i> (II)			Ibiza wall lizard
<i>Scincidae</i>				<i>Skinks</i>
		<i>Corucia zebrata</i> (II)		Prehensile-tailed skink
<i>Teiidae</i>				<i>Caiman lizards, tegu lizards</i>
		<i>Crocodilurus amazonicus</i> (II)		Dragon lizard
		<i>Dracaena</i> spp. (II)		Caiman lizards
		<i>Tupinambis</i> spp.(II)		Tegus
<i>Varanidae</i>				<i>Monitor lizards</i>
		<i>Varanus</i> spp. (II) (Except for the species included in		Monitor lizards

		Annex A)		
	<i>Varanus bengalensis</i> (I)			Indian monitor
	<i>Varanus flavescens</i> (I)			Yellow monitor
	<i>Varanus griseus</i> (I)			Desert monitor
	<i>Varanus komodoensis</i> (I)			Komodo dragon
	<i>Varanus nebulosus</i> (I)			Clouded monitor
	<i>Varanus olivaceus</i> (II)			Gray's monitor
<i>Xenosauridae</i>				<i>Chinese crocodile lizard</i>
		<i>Shinisaurus crocodilurus</i> (II)		Chinese crocodile lizard
SERPENTES				<i>Snakes</i>
<i>Boidae</i>				<i>Boas</i>
		Boidae spp. (II) (Except for the species included in Annex A)		Boas
	<i>Acrantophis</i> spp. (I)			Madagascar ground boas
	<i>Boa constrictor occidentalis</i> (I)			Argentine boa constrictor
	<i>Epicrates inornatus</i> (I)			Puerto Rican boa
	<i>Epicrates monensis</i> (I)			Virgin Island tree boa
	<i>Epicrates subflavus</i> (I)			Jamaican boa
	<i>Eryx jaculus</i> (II)			Spotted sand boa
	<i>Sanzinia madagascariensis</i> (I)			Madagascar tree boa
<i>Bolyeriidae</i>				<i>Round Island boas</i>
		Bolyeriidae spp. (II) (Except for the species included in Annex A)		Round Island boas
	<i>Bolyeria multocarinata</i> (I)			Round Island boa
	<i>Casarea dussumieri</i> (I)			Round Island keel-scaled boa
<i>Colubridae</i>				<i>Typical snakes, water snakes, whip snakes</i>
			<i>Atretium schistosum</i> (III India)	Olive keel-back
			<i>Cerberus rynchops</i> (III)	Dog-faced water

			India)	snake
		<i>Clelia clelia</i> (II)		Mussurana
		<i>Cyclagras gigas</i> (II)		False cobra
		<i>Elachistodon westermanni</i> (II)		Indian egg-eating snake
		<i>Ptyas mucosus</i> (II)		Common rat snake
			<i>Xenochrophis piscator</i> (III India)	Checked keel-back
<i>Elapidae</i>				<i>Cobras, coral snakes</i>
		<i>Hoplocephalus bungaroides</i> (II)		Broad-headed snake
			<i>Micrurus diastema</i> (III Honduras)	Atlantic coral snake
			<i>Micrurus nigrocinctus</i> (III Honduras)	Central American coral snake
		<i>Naja atra</i> (II)		Chinese spitting cobra
		<i>Naja kaouthia</i> (II)		Monocellate cobra
		<i>Naja mandalayensis</i> (II)		Burmese spitting cobra
		<i>Naja naja</i> (II)		Indian cobra
		<i>Naja oxiana</i> (II)		Central Asian cobra
		<i>Naja philippinensis</i> (II)		North Philippine spitting cobra
		<i>Naja sagittifera</i> (II)		Andaman cobra
		<i>Naja samarensis</i> (II)		South-east Philippine spitting cobra
		<i>Naja siamensis</i> (II)		Indochinese spitting cobra
		<i>Naja sputatrix</i> (II)		South Indonesian spitting cobra
		<i>Naja sumatrana</i> (II)		Golden spitting cobra
		<i>Ophiophagus hannah</i> (II)		King cobra
<i>Loxocemidae</i>				<i>Mexican dwarf boa</i>
		Loxocemidae spp. (II)		Mexican dwarf boa
<i>Pythonidae</i>				<i>Pythons</i>
		Pythonidae spp. (II) (Except for the subspecies included in		Pythons

		Annex A)		
	<i>Python molurus molurus</i> (I)			Indian python
<i>Tropidophiidae</i>				<i>Wood boas</i>
		Tropidophiidae spp. (II)		Wood boas
<i>Viperidae</i>				<i>Vipers</i>
			<i>Crotalus durissus</i> (III Honduras)	Neotropical rattlesnake
		<i>Crotalus durissus</i> <i>unicolor</i>		Aruba rattlesnake
			<i>Daboia russelii</i> (III India)	Russell's viper
		<i>Vipera latifii</i>		Latifi's viper
		<i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)		Orsini's viper
		<i>Vipera wagneri</i> (II)		Wagner's viper
TESTUDINES				
<i>Carettochelyidae</i>				<i>Pig-nosed turtles</i>
		<i>Carettochelys</i> <i>insculpta</i> (II)		Pig-nosed turtle
<i>Chelidae</i>				<i>Austro-American</i> <i>sideneck turtles</i>
		<i>Chelodina mccordi</i> (II)		Roti snake-necked turtle
		<i>Pseudemydura umbrina</i> (I)		Western swamp turtle
<i>Cheloniidae</i>				<i>Sea turtles</i>
		Cheloniidae spp. (I)		Sea turtles
<i>Chelydridae</i>				<i>Snapping turtles</i>
			<i>Macrochelys</i> <i>temminckii</i> (III United States of America)	Alligator snapping turtle
<i>Dermatemydidae</i>				<i>Central American</i> <i>river turtle</i>
		<i>Dermatemys mawii</i> (II)		Central American river turtle
<i>Dermochelyidae</i>				<i>Leatherback turtle</i>

	<i>Dermochelys coriacea</i> (I)			Leatherback turtle
<i>Emydidae</i>				<i>Box turtles, freshwater turtles</i>
		<i>Chrysemys picta</i>		Painted turtle
		<i>Glyptemys insculpta</i> (II)		Wood turtle
	<i>Glyptemys muhlenbergii</i> (I)			Bog turtle
			<i>Graptemys</i> spp. (III United States of America)	Map turtles
		<i>Terrapene</i> spp. (II) (Except for the species included in Annex A)		Box turtles
	<i>Terrapene coahuila</i> (I)			Aquatic box turtle
		<i>Trachemys scripta elegans</i>		Red-eared terrapin
<i>Geoemydidae</i>				
		<i>Batagur affinis</i> (I)		Southern river terrapin
		<i>Batagur baska</i> (I)		Batagur
		<i>Batagur</i> spp. (Except for the species included in Annex A)		
		<i>Cuora</i> spp. (II)		Asian box turtles
		<i>Geoclemys hamiltonii</i> (I)		Black pond turtle
			<i>Geoemyda spengleri</i> (III China)	Black-breasted leaf turtle
		<i>Heosemys annandalii</i> (II)		Yellow-headed temple turtle
		<i>Heosemys depressa</i> (II)		Arakan forest turtle
		<i>Heosemys grandis</i> (II)		Giant Asian turtle
		<i>Heosemys spinosa</i> (II)		Spiny turtle
		<i>Leucocephalon yuwonoi</i> (II)		Sulawesi forest turtle
		<i>Malayemys macrocephala</i> (II)		Snail-eating turtle
		<i>Malayemys subtrijuga</i> (II)		Ricefield turtle
		<i>Mauremys annamensis</i> (II)		Annam pond turtle

			<i>Mauremys iversoni</i> (III China)	Fujian pond turtle
			<i>Mauremys megaloccephala</i> (III China)	Big-headed pond turtle
		<i>Mauremys mutica</i> (II)		Yellow pond turtle
			<i>Mauremys nigricans</i> (III China)	Red-necked turtle
			<i>Mauremys pritchardi</i> (III China)	Pritchard's pond turtle
			<i>Mauremys reevesii</i> (III China)	Reeves's turtle
			<i>Mauremys sinensis</i> (III China)	Chinese stripe-necked turtle
	<i>Melanochelys tricarinata</i> (I)			Three-keeled land tortoise
	<i>Morenia ocellata</i> (I)			Burmese swamp turtle
		<i>Notochelys platynota</i> (II)		Malayan flat-shelled turtle
			<i>Ocadia glyphistoma</i> (III China)	Notch-mouthed stripe-necked turtle
			<i>Ocadia philippeni</i> (III China)	Philippine's stripe-necked turtle
		<i>Orlitia borneensis</i> (II)		Malayan giant turtle
		<i>Pangshura</i> spp. (II) (Except for the species included in Annex A)		Roofed turtles
	<i>Pangshura tecta</i> (I)			Indian roofed turtle
			<i>Sacalia bealei</i> (III China)	Beal's eyed turtle
			<i>Sacalia pseudocellata</i> (III China)	Chinese false-eyed turtle
			<i>Sacalia quadriocellata</i> (III China)	Four-eyed turtle
		<i>Siebenrockiella crassicollis</i> (II)		Black marsh turtle
		<i>Siebenrockiella leytenensis</i> (II)		Philippine pond turtle
<i>Platysternidae</i>				<i>Big-headed turtle</i>
		<i>Platysternon megacephalum</i> (II)		Big-headed turtle
<i>Podocnemididae</i>				<i>Afro-American sideneck turtles</i>

		<i>Erymnochelys madagascariensis</i> (II)		Madagascar sideneck turtle
		<i>Peltocephalus dumerilianus</i> (II)		Big-headed sideneck turtle
		<i>Podocnemis</i> spp. (II)		Sideneck turtles
<i>Testudinidae</i>				<i>Tortoises</i>
		Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelone sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes)		Tortoises
		<i>Astrochelys radiata</i> (I)		Radiated tortoise
		<i>Astrochelys yniphora</i> (I)		Angonoka
		<i>Chelonoidis nigra</i> (I)		Galapagos giant tortoise
		<i>Gopherus flavomarginatus</i> (I)		Bolson tortoise
		<i>Malacochersus tornieri</i> (II)		Pancake tortoise
		<i>Psammobates geometricus</i> (I)		Geometric tortoise
		<i>Pyxis arachnoides</i> (I)		Madagascar spider tortoise
		<i>Pyxis planicauda</i> (I)		Madagascar flat-shelled tortoise
		<i>Testudo graeca</i> (II)		Spur-thighed tortoise
		<i>Testudo hermanni</i> (II)		Hermann's tortoise
		<i>Testudo kleinmanni</i> (I)		Egyptian tortoise
		<i>Testudo marginata</i> (II)		Marginated tortoise
<i>Trionychidae</i>				<i>Softshell turtles, terrapins</i>
		<i>Amyda cartilaginea</i> (II)		Southeast Asian soft-shelled turtle
		<i>Apalone spinifera atra</i> (I)		Cuatro Cienagas soft-shell turtle
		<i>Aspideretes gangeticus</i> (I)		Indian soft-shell turtle
		<i>Aspideretes hurum</i> (I)		Peacock soft-shell turtle

	<i>Aspideretes nigricans</i> (I)			Black soft-shell turtle
		<i>Chitra</i> spp. (II)		Narrow-headed softshell turtles
		<i>Lissemys punctata</i> (II)		Indo-Gangetic flapshell turtle
		<i>Lissemys scutata</i> (II)		Burmese flapshell turtle
			<i>Palea steindachneri</i> (III China)	Wattle-necked softshell turtle
		<i>Pelochelys</i> spp. (II)		Giant softshell turtles
			<i>Pelodiscus axenaria</i> (III China)	Hunan softshell turtle
			<i>Pelodiscus maackii</i> (III China)	Amur softshell turtle
			<i>Pelodiscus parviformis</i> (III China)	Chinese softshell turtle
			<i>Rafetus swinhoei</i> (III China)	Yangtze softshell turtle
AMPHIBIA				Amphibians
ANURA				Frogs and toads
<i>Bufonidae</i>				Toads
	<i>Altiphrynoidea</i> spp. (I)			Malcolm's Ethiopian toad
	<i>Atelopus zeteki</i> (I)			Golden frog
	<i>Bufo periglenes</i> (I)			Golden toad
	<i>Bufo superciliaris</i> (I)			Cameroon toad
	<i>Nectophrynoidea</i> spp. (I)			African viviparous toads
	<i>Nimbaphrynoidea</i> spp. (I)			Nimba toads
	<i>Spinophrynoidea</i> spp. (I)			Osgood's Ethiopian toad
<i>Calyptocephalellidae</i>				
			<i>Calyptocephalella gayi</i> (III Chile)	
<i>Dendrobatidae</i>				Poison frogs
		<i>Allobates femoralis</i> (II)		Brilliant-thighed poison frog
		<i>Allobates zaparo</i> (II)		Sanguine poison frog

		<i>Cryptophyllobates azureiventris</i> (II)		Sky-blue poison frog
		<i>Dendrobates</i> spp. (II)		Poison-arrow frogs
		<i>Epipedobates</i> spp. (II)		Poison-arrow frogs
		<i>Phyllobates</i> spp. (II)		Poison-arrow frogs
<i>Hylidae</i>				
		<i>Agalychnis</i> spp. (II)		
<i>Mantellidae</i>				<i>Mantella</i> frogs
		<i>Mantella</i> spp. (II)		Mantella frogs
<i>Microhylidae</i>				<i>Tomato</i> frogs
		<i>Dyscophus antongilii</i> (I)		Tomato frog
		<i>Scaphiophryne gottlebei</i> (II)		Red rain frog
<i>Ranidae</i>				<i>Frogs</i>
		<i>Conraua goliath</i>		Goliath frog
		<i>Euphlyctis hexadactylus</i> (II)		Six-fingered frog
		<i>Hoplobatrachus tigerinus</i> (II)		Tiger frog
		<i>Rana catesbeiana</i>		American bullfrog
<i>Rheobatrachidae</i>				<i>Gastric brooding frogs</i>
		<i>Rheobatrachus</i> spp. (II) (Except for the species included in Annex A)		Gastric brooding frog
		<i>Rheobatrachus silus</i> (II)		Platypus frog
CAUDATA				
<i>Ambystomatidae</i>				<i>Axolotls</i>
		<i>Ambystoma dumerilii</i> (II)		Lake Patzcuaro salamander
		<i>Ambystoma mexicanum</i> (II)		Axolotl
<i>Cryptobranchidae</i>				<i>Giant salamanders</i>
		<i>Andrias</i> spp. (I)		Giant salamanders
<i>Salamandridae</i>				<i>Salamanders and newts</i>
		<i>Neurergus kaiseri</i> (I)		Kaiser's spotted newt

<i>ELASMOBRANCHII</i>				<i>Sharks and rays</i>
LAMNIFORMES				
<i>Cetorhinidae</i>				<i>Basking sharks</i>
		<i>Cetorhinus maximus</i> (II)		Basking shark
<i>Lamnidae</i>				<i>Great white shark</i>
		<i>Carcharodon carcharias</i> (II)		Great white shark
			<i>Lamna nasus</i> (III 27 Member States) <sup>22</sup>	Porbeagle
ORECTOLOBIFORMES				
<i>Rhincodontidae</i>				<i>Whale sharks</i>
		<i>Rhincodon typus</i> (II)		Whale shark
RAJIFORMES				
<i>Pristidae</i>				<i>Sawfishes</i>
	Pristidae spp. (I) (Except for the species included in Annex B)			Sawfishes
		<i>Pristis microdon</i> (II) (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)		Freshwater sawfish
<i>ACTINOPTERYGII</i>				<i>Fish</i>
ACIPENSERIFORMES				
		ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish
<i>Acipenseridae</i>				<i>Sturgeons</i>
	<i>Acipenser brevirostrum</i> (I)			Shortnose sturgeon
	<i>Acipenser sturio</i> (I)			Common sturgeon

<sup>22</sup>

The inclusion of *Lamna nasus* into Annex C applies as soon as the inclusion of this species in Appendix III to the Convention takes effect, i.e. 90 days after the Convention Secretariat communicates to all Parties that the species is included in Appendix III to the Convention.

ANGUILLIFORMES				
<i>Anguillidae</i>				<i>Freshwater eels</i>
		<i>Anguilla anguilla</i> (II)		European eel
CYPRINIFORMES				
<i>Catostomidae</i>				<i>Cui-ui</i>
	<i>Chasmistes cujus</i> (I)			Cui-ui
<i>Cyprinidae</i>				<i>Blind carps, plaeesok</i>
		<i>Caecobarbus geertsi</i> (II)		African blind barb fish
	<i>Probarbus jullieni</i> (I)			Ikan temoleh
OSTEOGLOSSIFORMES				
<i>Osteoglossidae</i>				<i>Arapaimas, bonytongues</i>
		<i>Arapaima gigas</i> (II)		Arapaima
	<i>Scleropages formosus</i> (I)			Asian arowana
PERCIFORMES				
<i>Labridae</i>				<i>Wrasses</i>
		<i>Cheilinus undulatus</i> (II)		Humphead wrasse
<i>Sciaenidae</i>				<i>Totoabas</i>
	<i>Totoaba macdonaldi</i> (I)			Totoaba
SILURIFORMES				
<i>Pangasiidae</i>				<i>Pangasid catfish</i>
	<i>Pangasianodon gigas</i> (I)			Giant catfish
SYNGNATHIFORMES				
<i>Syngnathidae</i>				<i>Pipefishes, seahorses</i>
		<i>Hippocampus</i> spp. (II)		Seahorses
<i>SARCOPTERYGII</i>				<i>Lungfishes</i>
CERATODONTIFORMES				
<i>Ceratodontidae</i>				<i>Australian lungfishes</i>
		<i>Neoceratodus forsteri</i> (II)		Australian lungfish
COELACANTHIFORMES				

<i>Latimeriidae</i>				<i>Coelacanth</i>
	<i>Latimeria</i> spp. (I)			Coelacanth
ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)				
HOLOTHUROIDEA				<i>Sea cucumbers</i>
ASPIDOCHEIROTIDA				
<i>Stichopodidae</i>				<i>Sea cucumbers</i>
			<i>Isostichopus fuscus</i> (III Ecuador)	<i>Brown sea cucumber</i>
ARTHROPODA (ARTHROPODS)				
ARACHNIDA				<i>Spiders and scorpions</i>
ARANEAE				
<i>Theraphosidae</i>				<i>Red-kneed tarantulas, tarantulas</i>
		<i>Aphonopelma albiceps</i> (II)		
		<i>Aphonopelma pallidum</i> (II)		Chihuahua rose-grey tarantula
		<i>Brachypelma</i> spp. (II)		Central American tarantulas
SCORPIONES				
<i>Scorpionidae</i>				<i>Scorpions</i>
		<i>Pandinus dictator</i> (II)		
		<i>Pandinus gambiensis</i> (II)		Giant Senegalese scorpion
		<i>Pandinus imperator</i> (II)		Emperor scorpion
INSECTA				<i>Insects</i>
COLEOPTERA				Beetles
<i>Lucanidae</i>				<i>Stag beetles</i>
			<i>Colophon</i> spp. (III South Africa)	Cape stag beetles
<i>Scarabaeidae</i>				<i>Scarab beetles</i>
		<i>Dynastes satanas</i> (II)		Satanas beetle
LEPIDOPTERA				Butterflies

<i>Nymphalidae</i>				
			<i>Agrias amydon boliviensis</i> (III Bolivia)	
			<i>Morpho godartii lachaumei</i> (III Bolivia)	
			<i>Prepona praeneste buckleyana</i> (III Bolivia)	
<i>Papilionidae</i>				<i>Birdwing and swallowtail butterflies</i>
		<i>Atrophaneura jophon</i> (II)		Sri Lankan rose
		<i>Atrophaneura palu</i>		Palu swallowtail butterfly
		<i>Atrophaneura pandiyana</i> (II)		Malabar rose
		<i>Bhutanitis</i> spp. (II)		Swallowtail butterflies
		<i>Graphium sandawanum</i>		Apo swallowtail butterfly
		<i>Graphium stresemanni</i>		Seram swallowtail
		<i>Ornithoptera</i> spp. (II) (except for the species included in Annex A)		Birdwing butterflies
	<i>Ornithoptera alexandrae</i> (I)			Queen Alexandra's birdwing
		<i>Papilio benguetanus</i>		
	<i>Papilio chikae</i> (I)			Luzon peacock swallowtail
		<i>Papilio esperanza</i>		
	<i>Papilio homerus</i> (I)			Homerus swallowtail
	<i>Papilio hospiton</i> (I)			Corsican swallowtail
		<i>Papilio morondavana</i>		Madagascan emperor swallowtail
		<i>Papilio neuwoegeni</i>		
		<i>Parides ascanius</i>		Fluminense swallowtail butterfly
		<i>Parides hahneli</i>		Hahnel's amazonian swallowtail butterfly
	<i>Parnassius apollo</i> (II)			Mountain apollo
		<i>Teinopalpus</i> spp. (II)		Kaiser-I-Hind butterflies

		<i>Trogonoptera</i> spp. (II)		Birdwing butterflies
		<i>Troides</i> spp. (II)		Birdwing butterflies
ANNELIDA (SEGMENTED WORMS AND LEECHES)				
<i>HIRUDINOIDEA</i>				<i>Leeches</i>
ARHYNCHOBDELLIDA				
<i>Hirudinidae</i>				<i>Leeches</i>
		<i>Hirudo medicinalis</i> (II)		Northern medicinal leech
		<i>Hirudo verbana</i> (II)		Southern medicinal leech
MOLLUSCA (MOLLUSCS)				
<i>BIVALVIA</i>				<i>Bivalve molluscs (clams, mussels etc.)</i>
MYTILOIDA				
<i>Mytilidae</i>				<i>Marine mussels</i>
		<i>Lithophaga lithophaga</i> (II)		European date mussel
UNIONOIDA				
<i>Unionidae</i>				<i>Freshwater mussels, pearly mussels</i>
		<i>Conradilla caelata</i> (I)		Birdwing pearly mussel
		<i>Cyprogenia aberti</i> (II)		Western fanshell mussel
		<i>Dromus dromas</i> (I)		Dromedary pearly mussel
		<i>Epioblasma curtisii</i> (I)		Curtis' pearly mussel
		<i>Epioblasma florentina</i> (I)		Yellow-blossom pearly mussel
		<i>Epioblasma sampsonii</i> (I)		Wabash riffleshell
		<i>Epioblasma sulcata perobliqua</i> (I)		White catspaw mussel
		<i>Epioblasma torulosa gubernaculum</i> (I)		Green-blossom pearly mussel
		<i>Epioblasma torulosa rangiana</i> (II)		Northern riffleshell
		<i>Epioblasma torulosa torulosa</i> (I)		Turbercled-blossom pearly mussel
		<i>Epioblasma turgidula</i> (I)		Turgid-blossom

				pearly mussel
	<i>Epioblasma walkeri</i> (I)			Tan ruffleshell
	<i>Fusconaia cuneolus</i> (I)			Fine-rayed pigtoe pearly mussel
	<i>Fusconaia edgariana</i> (I)			Shiny pigtoe pearly mussel
	<i>Lampsilis higginsii</i> (I)			Higgins' eye pearly mussel
	<i>Lampsilis orbiculata orbiculata</i> (I)			Pink mucket pearly mussel
	<i>Lampsilis satur</i> (I)			Sandback pocketbook mussel
	<i>Lampsilis virescens</i> (I)			Alabama lamp pearly mussel
	<i>Plethobasus cicatricosus</i> (I)			White warty-back pearly mussel
	<i>Plethobasus cooperianus</i> (I)			Orange-footed pimpleback mussel
		<i>Pleurobema clava</i> (II)		Clubshell pearly mussel
	<i>Pleurobema plenum</i> (I)			Rough pigtoe pearly mussel
	<i>Potamilus capax</i> (I)			Fat pocketbook pearly mussel
	<i>Quadrula intermedia</i> (I)			Cumberland monkey-face pearly mussel
	<i>Quadrula sparsa</i> (I)			Appalachian monkey-face pearly mussel
	<i>Toxolasma cylindrella</i> (I)			Pale lilliput pearly mussel
	<i>Unio nickliniana</i> (I)			Nicklin's pearly mussel
	<i>Unio tampicoensis tecomatensis</i> (I)			Tampico pearly mussel
	<i>Villosa trabalis</i> (I)			Cumberland bean pearly mussel
VENEROIDA				
<i>Tridacnidae</i>				<i>Giant clams</i>
		Tridacnidae spp. (II)		Giant clams
GASTROPODA				<i>Slugs, snails and conches</i>
MESOGASTROPODA				

<i>Strombidae</i>				<i>Conches</i>
		<i>Strombus gigas</i> (II)		Queen conch
STYLOMMATOPHORA				
<i>Achatinellidae</i>				<i>Agate snails, oahu tree snails</i>
	<i>Achatinella</i> spp. (I)			Little agate shells
<i>Camaenidae</i>				<i>Green tree snail</i>
		<i>Papustyla pulcherrima</i> (II)		Manus green tree snail
CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)				
ANTHOZOA				<i>Corals, sea anemones</i>
ANTIPATHARIA				
		ANTIPATHARIA spp. (II)		Black corals
GORGONACEAE				
<i>Coralliidae</i>				
			<i>Corallium elatius</i> (III China)	
			<i>Corallium japonicum</i> (III China)	
			<i>Corallium konjoi</i> (III China)	
			<i>Corallium secundum</i> (III China)	
HELIOPORACEA				
<i>Helioporidae</i>				<i>Blue coral</i>
		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i> ) <sup>23</sup>		Blue coral

<sup>23</sup>

The following are not subject to the provisions of this Regulation:

Fossils;

Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;

Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction.

SCLERACTINIA				
		SCLERACTINIA spp. (II) <sup>24</sup>		Stony corals
STOLONIFERA				
<i>Tubiporidae</i>				<i>Organpipe corals</i>
		Tubiporidae spp. (II) <sup>25</sup>		Organpipe corals
<i>HYDROZOA</i>				<i>Sea ferns, fire corals, stinging medusas</i>
MILLEPORINA				
<i>Milleporidae</i>				<i>Wello fire corals</i>
		Milleporidae spp. (II) <sup>26</sup>		Wello fire corals
STYLASTERINA				
<i>Stylasteridae</i>				<i>Lace corals</i>
		Stylasteridae spp. (II) <sup>27</sup>		Lace corals
<i>FLORA</i>				
AGAVACEAE				<i>Agaves</i>

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Fossils;  
Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;  
Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction.
- <sup>25</sup> The following are not subject to the provisions of this Regulation:  
Fossils;  
Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;  
Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction.
- <sup>26</sup> The following are not subject to the provisions of this Regulation:  
Fossils;  
Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;  
Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction.
- <sup>27</sup> The following are not subject to the provisions of this Regulation:  
Fossils;  
Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;  
Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction.

	<i>Agave parviflora</i> (I)			Santa Cruz striped agave
		<i>Agave victoriae-reginae</i> (II) #4		Queen Victoria agave
		<i>Nolina interrata</i> (II)		Dehesa bear-grass
AMARYLLIDACEAE				<i>Amaryllids</i>
		<i>Galanthus</i> spp. (II) #4		Snowdrops
		<i>Sternbergia</i> spp. (II) #4		Sternbergias
ANACARDIACEAE				
		<i>Operculicarya hyphaenoides</i> (II)		Jabihy
		<i>Operculicarya pachypus</i> (II)		Tabily
APOCYNACEAE				
		<i>Hoodia</i> spp. (II) #9		Hoodia
		<i>Pachypodium</i> spp. (II) (Except for the species included in Annex A) #4		Elephant trunks
		<i>Pachypodium ambongense</i> (I)		
		<i>Pachypodium baronii</i> (I)		
		<i>Pachypodium decaryi</i> (I)		
		<i>Rauvolfia serpentina</i> (II) #2		Snake-root devil-pepper
ARALIACEAE				<i>Aralias</i>
		<i>Panax ginseng</i> (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3		Asian ginseng
		<i>Panax quinquefolius</i> (II) #3		American ginseng
ARAUCARIACEAE				<i>Araucarias</i>
		<i>Araucaria araucana</i> (I)		Monkey-puzzle tree
BERBERIDACEAE				<i>Barberries</i>
		<i>Podophyllum hexandrum</i> (II) #2		Himalayan may-apple
BROMELIACEAE				<i>Air plants, bromelias</i>
		<i>Tillandsia harrisii</i> (II)		Harris' tillandsia

		#4		
		<i>Tillandsia kammii</i> (II) #4		Kamm's tillandsia
		<i>Tillandsia kautskyi</i> (II) #4		Kautsky's tillandsia
		<i>Tillandsia mauryana</i> (II) #4		Maury's tillandsia
		<i>Tillandsia sprengeliana</i> (II) #4		Sprengel's tillandsia
		<i>Tillandsia sucrei</i> (II) #4		Sucre tillandsia
		<i>Tillandsia xerographica</i> (II) <sup>28</sup> #4		Xerographic tillandsia
CACTACEAE				<i>Cacti</i>
		CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskopsis</i> spp. and <i>Quiabentia</i> spp.) <sup>29</sup> #4		Cacti
		<i>Ariocarpus</i> spp. (I)		Living rock cacti
		<i>Astrophytum asterias</i> (I)		Star cactus
		<i>Aztekium ritteri</i> (I)		Aztec cactus
		<i>Coryphantha werdermannii</i> (I)		Jobali pincushion cactus
		<i>Discocactus</i> spp. (I)		Discocacti
		<i>Echinocereus ferreirianus</i> ssp. <i>lindsayi</i> (I)		Lindsay's hedgehog cacti
		<i>Echinocereus schmollii</i> (I)		Lamb's-tail cactus
		<i>Escobaria minima</i> (I)		Nelle's cactus
		<i>Escobaria sneedii</i> (I)		Sneed's pincushion cactus
		<i>Mammillaria pectinifera</i>		Conchilique

<sup>28</sup>

Trade of specimens with source code A is allowed only if specimens traded possess cataphylls.

<sup>29</sup>

Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

*Hatiora x graeseri*

*Schlumbergera x buckleyi*

*Schlumbergera russelliana x Schlumbergera truncata*

*Schlumbergera orssichiana x Schlumbergera truncata*

*Schlumbergera opuntioides x Schlumbergera truncata*

*Schlumbergera truncata* (cultivars)

Cactaceae spp. colour mutants grafted on the following grafting stocks: *Harrisia* "Jusbertii", *Hylocereus trigonus* or *Hylocereus undatus*

*Opuntia microdasys* (cultivars)

(I)			
<i>Mammillaria solisioides</i> (I)			Pitayita
<i>Melocactus conoideus</i> (I)			Conelike Turk's-cap cactus
<i>Melocactus deinacanthus</i> (I)			Wonderfully-bristled Turk's cap cactus
<i>Melocactus glaucescens</i> (I)			Woolly waxy-stemmed Turk's-cap cactus
<i>Melocactus paucispinus</i> (I)			Few-spined Turk's-cap cactus
<i>Obregonia denegrii</i> (I)			Artichoke cactus
<i>Pachycereus militaris</i> (I)			Grenadier's cap
<i>Pediocactus bradyi</i> (I)			Brady's pincushion cactus
<i>Pediocactus knowltonii</i> (I)			Knowlton's cactus
<i>Pediocactus paradinei</i> (I)			Houserock valley cactus
<i>Pediocactus peeblesianus</i> (I)			Peebles's Navajo cactus
<i>Pediocactus sileri</i> (I)			Siler's pincushion cactus
<i>Pelecypora</i> spp. (I)			Pine cane cactus
<i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (I)			Tobusch fishhook cactus
<i>Sclerocactus erectocentrus</i> (I)			Needle-spined pineapple cactus
<i>Sclerocactus glaucus</i> (I)			Uinta Basin hookless cactus
<i>Sclerocactus mariposensis</i> (I)			Mariposa cactus
<i>Sclerocactus mesae-verdae</i> (I)			Mesa Verde cactus
<i>Sclerocactus nyensis</i> (I)			Tonopah fishhook cactus
<i>Sclerocactus papyracanthus</i> (I)			Grama-grass cactus
<i>Sclerocactus pubispinus</i> (I)			Great-Basin fishhook cactus
<i>Sclerocactus wrightiae</i> (I)			Wright's fishhook cactus
<i>Strombocactus</i> spp. (I)			Peyote

	<i>Turbinicarpus</i> spp. (I)			Turbinicarps
	<i>Uebelmannia</i> spp. (I)			Uebelmann cacti
CARYOCARACEAE				<i>Ajos</i>
		<i>Caryocar costaricense</i> (II) #4		Ajillo
COMPOSITAE (ASTERACEAE)				<i>Asters, daisies, costus</i>
		<i>Saussurea costus</i> (I) (also known as <i>S. lappa</i> , <i>Aucklandia lappa</i> or <i>A. costus</i> )		Costus
CRASSULACEAE				<i>Dudleyas, crassulas</i>
		<i>Dudleya stolonifera</i> (II)		Laguna beach dudleya
		<i>Dudleya traskiae</i> (II)		Santa Barbara Island dudleya
CUCURBITACEAE				
		<i>Zygosicyos pubescens</i> (II) (also known as <i>Xerosicyos pubescens</i> )		Tobory
		<i>Zygosicyos tripartitus</i> (II)		Betoboky
CUPRESSACEAE				<i>Cypresses</i>
		<i>Fitzroya cupressoides</i> (I)		Alerce
		<i>Pilgerodendron uviferum</i> (I)		Pilgerodendron
CYATHEACEAE				<i>Tree ferns</i>
		<i>Cyathea</i> spp. (II) #4		Tree ferns
CYCADACEAE				<i>Cycads</i>
		CYCADACEAE spp. (II) (Except for the species included in Annex A) #4		Cycads
		<i>Cycas beddomei</i> (I)		Beddome's cycad
DICKSONIACEAE				<i>Tree ferns</i>
		<i>Cibotium barometz</i> (II) #4		
		<i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation. This includes the synonyms <i>Dicksonia</i> )		Tree ferns

		<i>berteriana</i> , <i>D. externa</i> , <i>D. sellowiana</i> and <i>D. stuebelii</i> ) #4		
DIDIEREACEAE				<i>Didiereas</i>
		DIDIEREACEAE spp. (II) #4		Alluaudias, didiereas
DIOSCOREACEAE				<i>Yams</i>
		<i>Dioscorea deltoidea</i> (II) #4		Elephant's foot
DROSERACEAE				<i>Sundews</i>
		<i>Dionaea muscipula</i> (II) #4		Venus fly-trap
EUPHORBIACEAE				<i>Spurges</i>
		<p><i>Euphorbia</i> spp. (II) #4</p> <p>(Succulent species only except for:</p> <p>1) <i>Euphorbia misera</i>;</p> <p>2) artificially propagated specimens of cultivars of <i>Euphorbia trigona</i>;</p> <p>3) artificially propagated specimens of <i>Euphorbia lactea</i> grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i>, when they are:</p> <ul style="list-style-type: none"> <li>- crested, or</li> <li>- fan-shaped, or</li> <li>- colour mutants;</li> </ul> <p>4) artificially propagated specimens of cultivars of <i>Euphorbia</i> "Mili" when they are:</p> <ul style="list-style-type: none"> <li>- readily recognisable as artificially propagated specimens, and</li> <li>- introduced into or (re-)exported from the Union in shipments of 100 or more plants;</li> </ul> <p>which are not subject to the provisions of this Regulation, and</p>		Euphorbias

		5) the species included in Annex A)		
	<i>Euphorbia ambovombensis</i> (I)			
	<i>Euphorbia capsaintemariensis</i> (I)			
	<i>Euphorbia cremersii</i> (I) (Includes the forma <i>viridifolia</i> and the var. <i>rakotozafyi</i> )			
	<i>Euphorbia cylindrifolia</i> (I) (Includes the ssp. <i>tuberifera</i> )			
	<i>Euphorbia decaryi</i> (I) (Includes the vars. <i>ampanihyensis</i> , <i>robinsonii</i> and <i>sprirosticha</i> )			
	<i>Euphorbia francoisii</i> (I)			
	<i>Euphorbia handiensis</i> (II)			
	<i>Euphorbia lambii</i> (II)			
	<i>Euphorbia moratii</i> (I) (Includes the vars. <i>antsingiensis</i> , <i>bemarahensis</i> and <i>multiflora</i> )			
	<i>Euphorbia parvicyathophora</i> (I)			
	<i>Euphorbia quartziticola</i> (I)			
	<i>Euphorbia stygiana</i> (II)			
	<i>Euphorbia tulearensis</i> (I)			
FOUQUIERIACEAE				<i>Ocotillos, boojums</i>
		<i>Fouquieria columnaris</i> (II) #4		Boojum tree
	<i>Fouquieria fasciculata</i> (I)			Arbol del barril
	<i>Fouquieria purpusii</i> (I)			
GNETACEAE				<i>Joint firs</i>
			<i>Gnetum montanum</i> (III Nepal) #1	
JUGLANDACEAE				<i>Walnuts, gavilan</i>
		<i>Oreomunnea pterocarpa</i> (II) #4		Gavilán
LAURACEAE				
		<i>Aniba rosaeodora</i> (II) (also known as <i>A.</i> )		Brazilian rosewood

		<i>duckeii</i> #12		
LEGUMINOSAE				<i>Legumes</i>
(FABACEAE)		<i>Caesalpinia echinata</i> (II) #10		Brazil wood
	<i>Dalbergia nigra</i> (I)			Brazilian rosewood
			<i>Dalbergia retusa</i> (III Guatemala) (Only the population of Guatemala; all other populations are included in Annex D) #5	Black rosewood
			<i>Dalbergia stevensonii</i> (III Guatemala) (Only the population of Guatemala; all other populations are included in Annex D) #5	Honduras rosewood
			<i>Dipteryx panamensis</i> (III Costa Rica / Nicaragua)	Almendro
		<i>Pericopsis elata</i> (II) #5		Afromosia
		<i>Platymiscium pleiostachyum</i> (II) #4		Quira macawood
		<i>Pterocarpus santalinus</i> (II) #7		Red sandalwood
LILIACEAE				<i>Lilies</i>
		<i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i> , also known as <i>Aloe barbadensis</i> , which is not included in the Annexes to this Regulation) #4		Aloes
	<i>Aloe albida</i> (I)			
	<i>Aloe albiflora</i> (I)			
	<i>Aloe alfredii</i> (I)			
	<i>Aloe bakeri</i> (I)			
	<i>Aloe bellatula</i> (I)			
	<i>Aloe calcairophila</i> (I)			
	<i>Aloe compressa</i> (I) (Includes the vars. <i>paucituberculata</i> , <i>rugosquamosa</i> and <i>schistophila</i> )			
	<i>Aloe delphinensis</i> (I)			

	<i>Aloe descoingsii</i> (I)			
	<i>Aloe fragilis</i> (I)			
	<i>Aloe haworthioides</i> (I) (Includes the var. <i>aurantiaca</i> )			
	<i>Aloe helenae</i> (I)			
	<i>Aloe laeta</i> (I) (Includes the var. <i>maniaensis</i> )			
	<i>Aloe paralleifolia</i> (I)			
	<i>Aloe parvula</i> (I)			
	<i>Aloe pillansii</i> (I)			
	<i>Aloe polyphylla</i> (I)			
	<i>Aloe rauhii</i> (I)			
	<i>Aloe suzannae</i> (I)			
	<i>Aloe versicolor</i> (I)			
	<i>Aloe vossii</i> (I)			
MAGNOLIACEAE				<i>Magnolias</i>
			<i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1	Safan
MELIACEAE				<i>Mahoganies, cedars</i>
			<i>Cedrela fissilis</i> (III Bolivia) (Only the population of Bolivia; all other populations are included in Annex D) #5	
			<i>Cedrela lilloi</i> (III Bolivia) (Only the population of Bolivia; all other populations are included in Annex D) #5	
			<i>Cedrela odorata</i> (III Bolivia / Brazil / Colombia / Guatemala / Peru) (Only the populations of the countries that listed the species in Appendix III; all other populations are included in Annex D) #5	Spanish cedar
		<i>Swietenia humilis</i> (II) #4		Honduras mahogany
		<i>Swietenia macrophylla</i> (II) (Population of the Neotropics - includes Central and South		Big-leaf mahogany

		America and the Caribbean) #6		
		<i>Swietenia mahagoni</i> (II) #5		Caribbean mahogany
NEPENTHACEAE				<i>Pitcher plants (old-world)</i>
		<i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #4		Tropical pitcher plants
		<i>Nepenthes khasiana</i> (I)		Indian pitcher plant
		<i>Nepenthes rajah</i> (I)		Giant tropical pitcher plant
ORCHIDACEAE				<i>Orchids</i>
		ORCHIDACEAE spp. (II) (Except for the species included in Annex A) <sup>30</sup> #4		Orchids
		For all of the following Annex A orchid species, seedling or tissue cultures are not subject to the provisions of this Regulation, when: <ul style="list-style-type: none"> <li>- they are obtained <i>in vitro</i>, in solid or liquid media, and</li> <li>- meet the definition of “artificially propagated” in accordance with Article 56 of Commission Regulation (EC) No 865/2006, and</li> <li>- when introduced into or (re-)exported from the Union are transported</li> </ul>		

<sup>30</sup>

Artificially propagated hybrids of *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda* are not subject to the provisions of this Regulation, when specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and

(a) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or

(b) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

	in sterile containers			
	<i>Aerangis ellisii</i> (I)			
	<i>Cephalanthera cucullata</i> (II)			Hooded helleborine
	<i>Cypripedium calceolus</i> (II)			Lady's slipper orchid
	<i>Dendrobium cruentum</i> (I)			
	<i>Goodyera macrophylla</i> (II)			Madeiran lady's-tresses
	<i>Laelia jongheana</i> (I)			
	<i>Laelia lobata</i> (I)			
	<i>Liparis loeselii</i> (II)			Fen orchid
	<i>Ophrys argolica</i> (II)			Eyed bee orchid
	<i>Ophrys lunulata</i> (II)			Crescent ophrys
	<i>Orchis scopulorum</i> (II)			Madeiran orchid
	<i>Paphiopedilum</i> spp. (I)			Asian slipper orchids
	<i>Peristeria elata</i> (I)			Holy ghost orchid
	<i>Phragmipedium</i> spp. (I)			South American slipper orchids
	<i>Renanthera imschootiana</i> (I)			Red vanda
	<i>Spiranthes aestivalis</i> (II)			Summer lady's-tresses
OROBANCHACEAE				<i>Broomrapae</i>
		<i>Cistanche deserticola</i> (II) #4		Desert cistanche
PALMAE (ARECACEAE)				<i>Palms</i>
		<i>Beccariophoenix madagascariensis</i> (II) #4		Manarano
	<i>Chrysalidocarpus decipiens</i> (I)			Butterfly palm
		<i>Lemurophoenix halleuxii</i> (II)		Hovitra varimena
			<i>Lodoicea maldivica</i> (III Seychelles) #13	Coco de Mer
		<i>Marojejya darianii</i> (II)		Ravimbe
		<i>Neodypsis decaryi</i> (II) #4		Triangle palm
		<i>Ravenea louvelii</i> (II)		Lakamarefo

		<i>Ravena rivularis</i> (II)		Gora
		<i>Satranala decussilvae</i> (II)		Satranabe
		<i>Voanioala gerardii</i> (II)		Voanioala
PAPAVERACEAE				<i>Poppies</i>
			<i>Meconopsis regia</i> (III Nepal) #1	Himalayan poppy
PASSIFLORACEAE				
		<i>Adenia olaboensis</i> (II)		Vahisasety
PINACEAE				<i>Pine family</i>
		<i>Abies guatemalensis</i> (I)		Guatemalan fir
			<i>Pinus koraiensis</i> (III Russian Federation) #5	
PODOCARPACEAE				<i>Podocarps</i>
			<i>Podocarpus neriifolius</i> (III Nepal) #1	Yellow wood
		<i>Podocarpus parlatoarei</i> (I)		Parlatore's podocarp
PORTULACACEAE				<i>Portulacas, purslanes</i>
		<i>Anacampseros</i> spp. (II) #4		Purslanes
		<i>Avonia</i> spp. (II) #4		
		<i>Lewisia serrata</i> (II) #4		Saw-toothed lewisia
PRIMULACEAE				<i>Primulas, cyclamens</i>
		<i>Cyclamen</i> spp. (II) <sup>31</sup> #4		Cyclamens
RANUNCULACEAE				<i>Buttercups</i>
		<i>Adonis vernalis</i> (II) #2		Yellow adonis
		<i>Hydrastis canadensis</i> (II) #8		Golden seal
ROSACEAE				<i>Roses, cherries</i>
		<i>Prunus africana</i> (II) #4		African cherry
RUBIACEAE				Ayugue
		<i>Balmea stormiae</i> (I)		Ayugue

<sup>31</sup> Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

SARRACENIACEAE				<i>Pitcher plants (new world)</i>
		<i>Sarracenia</i> spp. (II) (Except for the species included in Annex A) #4		Pitcher plants
	<i>Sarracenia oreophila</i> (I)			Green pitcher plant
	<i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I)			Alabama canebrake pitcher plant
	<i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I)			Mountain sweet pitcher plant
SCROPHULARIACEAE				<i>Figworts</i>
		<i>Picrorhiza kurrooa</i> (II) (excludes <i>Picrorhiza scrophulariiflora</i> ) #2		Indian gentian
STANGERIACEAE				<i>Stangerias (cycads)</i>
		<i>Bowenia</i> spp. (II) #4		Cycads
	<i>Stangeria eriopus</i> (I)			Stangeria
TAXACEAE				<i>Yews</i>
		<i>Taxus chinensis</i> and infraspecific taxa of this species (II) #2		Chinese yew
		<i>Taxus cuspidata</i> and infraspecific taxa of this species (II) <sup>32</sup> #2		Japanese yew
		<i>Taxus fuana</i> and infraspecific taxa of this species (II) #2		Tibetan yew
		<i>Taxus sumatrana</i> and infraspecific taxa of this species (II) #2		Sumatran yew
		<i>Taxus wallichiana</i> (II) #2		Himalayan yew
THYMELAEACEAE (AQUILARIACEAE)				<i>Agarwood, ramin</i>
		<i>Aquilaria</i> spp. (II) #4		Agarwood
		<i>Gonystylus</i> spp. (II) #4		Ramin
		<i>Gyrinops</i> spp. (II) #4		Agarwood
TROCHODENDRACEAE				<i>Tetracentrons</i>

<sup>32</sup>

Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text “artificially propagated”, are not subject to the provisions of this Regulation.

(TETRACENTRACEAE)			<i>Tetracentron sinense</i> (III Nepal) #1	
VALERIANACEAE				<i>Valerians</i>
		<i>Nardostachys grandiflora</i> (II) #2		
VITACEAE				
		<i>Cyphostemma elephantopus</i> (II)		Lazampasika
		<i>Cyphostemma montagnacii</i> (II)		Lazambohitra
WELWITSCHIACEAE				<i>Welwitschias</i>
		<i>Welwitschia mirabilis</i> (II) #4		Welwitschia
ZAMIACEAE				<i>Cycads</i>
		ZAMIACEAE spp. (II) (Except for the species included in Annex A) #4		Cycads
		<i>Ceratozamia</i> spp. (I)		Horncones
		<i>Chigua</i> spp. (I)		
		<i>Encephalartos</i> spp. (I)		Bread palms
		<i>Microcycas calocoma</i> (I)		Palm corcho
ZINGIBERACEAE				<i>Ginger lilies</i>
		<i>Hedychium philippinense</i> (II) #4		Philippine garland-flower
ZYGOPHYLLACEAE				<i>Lignum-vitae</i>
		<i>Bulnesia sarmientoi</i> (II) #11		Holy wood
		<i>Guaiacum</i> spp. (II) #2		Lignum-vitae

	<i>Annex D</i>	<i>Common name</i>
<i>FAUNA</i>		
CHORDATA (CHORDATES)		
<i>MAMMALIA</i>		<i>Mammals</i>
CARNIVORA		
<i>Canidae</i>		<i>Dogs, foxes, wolves</i>
	<i>Vulpes vulpes griffithi</i> (III India) §1	Red fox
	<i>Vulpes vulpes montana</i> (III India) §1	Red fox

	<i>Vulpes vulpes pusilla</i> (III India) §1	Red fox
<i>Mustelidae</i>		<i>Badgers, martens, weasels etc.</i>
	<i>Mustela altaica</i> (III India) §1	Mountain weasel
	<i>Mustela erminea ferghanae</i> (III India) §1	Stoat
	<i>Mustela kathiah</i> (III India) §1	Yellow-bellied weasel
	<i>Mustela sibirica</i> (III India) §1	Siberian weasel
DIPROTODONTIA		
<i>Macropodidae</i>		<i>Kangaroos, wallabies</i>
	<i>Dendrolagus dorianus</i>	Doria's tree-kangaroo
	<i>Dendrolagus goodfellowi</i>	Goodfellow's tree-kangaroo
	<i>Dendrolagus matschiei</i>	Huon tree-kangaroo
	<i>Dendrolagus pulcherrimus</i>	Golden-mantled tree-kangaroo
	<i>Dendrolagus stellarum</i>	Seri's tree-kangaroo
<i>AVES</i>		<i>Birds</i>
ANSERIFORMES		
<i>Anatidae</i>		<i>Ducks, geese, swans</i>
	<i>Anas melleri</i>	Meller's duck
COLUMBIFORMES		
<i>Columbidae</i>		<i>Doves, pigeons</i>
	<i>Columba oenops</i>	Peruvian pigeon
	<i>Didunculus strigirostris</i>	Tooth-billed pigeon
	<i>Ducula pickeringii</i>	Grey imperial-pigeon
	<i>Gallicolumba crinigera</i>	Mindanao bleeding-heart
	<i>Ptilinopus marchei</i>	Flame-breasted fruit-dove
	<i>Turacoena modesta</i>	Black cuckoo-dove
GALLIFORMES		
<i>Cracidae</i>		<i>Chachalacas, curassows, guans</i>
	<i>Crax alector</i>	Black curassow
	<i>Pauxi unicornis</i>	Horned curassow
	<i>Penelope pileata</i>	White-crested guan
<i>Megapodiidae</i>		<i>Megapodes, scrubfowl</i>
	<i>Eulipoa wallacei</i>	Moluccan scrubfowl

<i>Phasianidae</i>		<i>Grouse, guineafowl, partridges, pheasants, tragopans</i>
	<i>Arborophila gingica</i>	White-necklaced partridge
	<i>Lophura bulweri</i>	Bulwer's pheasant
	<i>Lophura diardi</i>	Siamese fireback
	<i>Lophura inornata</i>	Salvadori's pheasant
	<i>Lophura leucomelanos</i>	Kalij pheasant
	<i>Syrnaticus reevesii</i> §2	Reeves's pheasant
PASSERIFORMES		
<i>Bombycillidae</i>		<i>Waxwings</i>
	<i>Bombycilla japonica</i>	Japanese waxwing
<i>Corvidae</i>		<i>Crows, magpies, jays</i>
	<i>Cyanocorax caeruleus</i>	Azure jay
	<i>Cyanocorax dickeyi</i>	Tufted jay
<i>Cotingidae</i>		<i>Cotingas</i>
	<i>Procnias nudicollis</i>	Bare-throated bellbird
<i>Emberizidae</i>		<i>Cardinals, seedeaters, tanagers</i>
	<i>Dacnis nigripes</i>	Black-legged dacnis
	<i>Sporophila falcirostris</i>	Temminck's seedeater
	<i>Sporophila frontalis</i>	Buffy-throated seedeater
	<i>Sporophila hypochroma</i>	Grey-and-chestnut seedeater
	<i>Sporophila palustris</i>	Marsh seedeater
<i>Estrildidae</i>		<i>Mannikins, waxbills</i>
	<i>Amandava amandava</i>	Red avadavat
	<i>Cryptospiza reichenovii</i>	Red-faced crimson-wing
	<i>Erythrura coloria</i>	Red-eared parrotfinch
	<i>Erythrura viridifacies</i>	Green-faced parrotfinch
	<i>Estrilda quartinia</i> (Frequently traded as <i>Estrilda melanotis</i> )	Yellow-bellied waxbill
	<i>Hypargos niveoguttatus</i>	Peters's twispot
	<i>Lonchura griseicapilla</i>	Grey-headed silverbill
	<i>Lonchura punctulata</i>	Scaly-breasted munia
	<i>Lonchura stygia</i>	Black munia

<i>Fringillidae</i>		<i>Finches</i>
	<i>Carduelis ambigua</i>	Black-headed greenfinch
	<i>Carduelis atrata</i>	Black siskin
	<i>Kozlowia roborowskii</i>	Tibetan rosefinch
	<i>Pyrrhula erythaca</i>	Grey-headed bullfinch
	<i>Serinus canicollis</i>	Cape canary
	<i>Serinus citrinelloides hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i> )	East African citril
<i>Icteridae</i>		<i>New-world blackbirds</i>
	<i>Sturnella militaris</i>	Pampas meadowlark
<i>Muscicapidae</i>		<i>Old-world flycatchers, thrushes</i>
	<i>Cochoa azurea</i>	Javan cochoa
	<i>Cochoa purpurea</i>	Purple cochoa
	<i>Garrulax formosus</i>	Red-winged laughingthrush
	<i>Garrulax galbanus</i>	Yellow-throated laughingthrush
	<i>Garrulax milnei</i>	Red-tailed laughing thrush
	<i>Niltava davidi</i>	Fujian niltava
	<i>Stachyris whiteheadi</i>	Chestnut-faced babbler
	<i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i> )	Swynnerton's robin
	<i>Turdus dissimilis</i>	Black-breasted thrush
<i>Pittidae</i>		<i>Pittas</i>
	<i>Pitta nipalensis</i>	Blue-naped pitta
	<i>Pitta steerii</i>	Azure-breasted pitta
<i>Sittidae</i>		<i>Nuthatches</i>
	<i>Sitta magna</i>	Giant nuthatch
	<i>Sitta yunnanensis</i>	Yunnan nuthatch
<i>Sturnidae</i>		<i>Mynas, starlings</i>
	<i>Cosmopsarus regius</i>	Golden-breasted starling
	<i>Mino dumontii</i>	Yellow-faced myna
	<i>Sturnus erythropygius</i>	White-headed starling
<i>REPTILIA</i>		<i>Reptiles</i>
TESTUDINES		

<i>Geoemydidae</i>		<i>Freshwater turtles</i>
	<i>Melanochelys trijuga</i>	Indian black turtle
SAURIA		
<i>Agamidae</i>		
	<i>Physignathus cocincinus</i>	<i>Chinese water dragon</i>
<i>Anguidae</i>		
	<i>Abronia graminea</i>	Arboreal alligator lizard
<i>Gekkonidae</i>		<i>Geckos</i>
	<i>Rhacodactylus auriculatus</i>	New Caledonia bumpy gecko
	<i>Rhacodactylus ciliatus</i>	Guichenot's giant gecko
	<i>Rhacodactylus leachianus</i>	New Caledonia giant gecko
	<i>Teratoscincus microlepis</i>	Small-scaled wonder gecko
	<i>Teratoscincus scincus</i>	Common wonder gecko
<i>Gerrhosauridae</i>		<i>Spiny-tailed lizards</i>
	<i>Zonosaurus karsteni</i>	Karsten's girdled lizard
	<i>Zonosaurus quadrilineatus</i>	Four-lined girdled lizard
<i>Iguanidae</i>		
	<i>Ctenosaura quinquecarinata</i>	Club-tail iguana
<i>Scincidae</i>		<i>Skinks</i>
	<i>Tribolonotus gracilis</i>	Crocodile skink
	<i>Tribolonotus novaeguineae</i>	New Guinea helmet skink
SERPENTES		
<i>Colubridae</i>		<i>Typical snakes, water snakes, whip snakes</i>
	<i>Elaphe carinata</i> §1	Taiwan stink snake
	<i>Elaphe radiata</i> §1	Radiated rat snake
	<i>Elaphe taeniura</i> §1	Taiwan beauty snake
	<i>Enhydris bocourti</i> §1	Bocourt's water snake
	<i>Homalopsis buccata</i> §1	Masked water snake
	<i>Langaha nasuta</i>	Northern leafnose snake
	<i>Leioheterodon madagascariensis</i>	Madagascar menarana snake
	<i>Ptyas korros</i> §1	Indochinese rat snake
	<i>Rhabdophis subminiatus</i> §1	Redneck keelback

<i>Hydrophiidae</i>		<i>Sea snakes</i>
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i> ) §1	Shaw's sea snake
<i>Viperidae</i>		<i>Vipers</i>
	<i>Calloselasma rhodostoma</i> §1	Malayan pit viper
<i>AMPHIBIA</i>		
ANURA		Frogs and toads
<i>Hylidae</i>		<i>Tree frogs</i>
	<i>Phyllomedusa sauvagii</i>	Waxy monkey tree frog
<i>Leptodactylidae</i>		<i>Neotropical frogs</i>
	<i>Leptodactylus laticeps</i>	Red spotted burrow frog
<i>Ranidae</i>		<i>True frogs</i>
	<i>Limnonectes macrodon</i>	Fanged River Frog or Javan Giant Frog
	<i>Rana shqipERICA</i>	Albanian pool frog
CAUDATA		
<i>Hynobiidae</i>		<i>Asiatic salamanders</i>
	<i>Ranodon sibiricus</i>	Semirechensk salamander / Central Asian salamander / Siberian salamander
<i>Plethodontidae</i>		<i>Lungless salamanders</i>
	<i>Bolitoglossa dofleini</i>	Giant palm salamander
<i>Salamandridae</i>		<i>Newts and salamanders</i>
	<i>Cynops ensicauda</i>	Sword-tailed newt
	<i>Echinotriton andersoni</i>	Anderson's salamander
	<i>Pachytriton labiatus</i>	Paddletail newt
	<i>Paramesotriton</i> spp.	Warty newt
	<i>Salamandra algira</i>	North African fire salamander
	<i>Tylotriton</i> spp.	Crocodile newts
<i>ACTINOPTERYGII</i>		<i>Fish</i>
PERCIFORMES		
<i>Apogonidae</i>		
	<i>Pterapogon kauderni</i>	Banggai cardinalfish
ARTHROPODA (ARTHROPODS)		
<i>INSECTA</i>		<i>Insects</i>

LEPIDOPTERA		Butterflies
<i>Papilionidae</i>		<i>Birdwing and swallow-tail butterflies</i>
	<i>Baronia brevicornis</i>	Short-horned baronia
	<i>Papilio grose-smithi</i>	
	<i>Papilio maraho</i>	Broad-tailed swallowtail
MOLLUSCA (MOLLUSCS)		
<i>GASTROPODA</i>		
<i>Haliotidae</i>		
	<i>Haliotis midae</i>	Midas ear abalone
<i>FLORA</i>		
AGAVACEAE		<i>Agaves</i>
	<i>Calibanus hookeri</i>	
	<i>Dasyilirion longissimum</i>	Beargrass
ARACEAE		<i>Arums</i>
	<i>Arisaema dracontium</i>	Green dragon
	<i>Arisaema erubescens</i>	
	<i>Arisaema galeatum</i>	
	<i>Arisaema nepenthoides</i>	
	<i>Arisaema sikokianum</i>	
	<i>Arisaema thunbergii</i> var. <i>urashima</i>	
	<i>Arisaema tortuosum</i>	
	<i>Biarum davisii</i> ssp. <i>marmarisense</i>	
	<i>Biarum ditschianum</i>	
	COMPOSITAE (ASTERACEAE)	
<i>Arnica montana</i> §3		Mountain tobacco
<i>Othonna cacalioides</i>		
<i>Othonna clavifolia</i>		
<i>Othonna hallii</i>		
<i>Othonna herrei</i>		
<i>Othonna lepidocaulis</i>		
<i>Othonna retrorsa</i>		
ERICACEAE		<i>Heathers, rhododendrons</i>

	<i>Arctostaphylos uva-ursi</i> §3	Bearberry
GENTIANACEAE		<i>Gentians</i>
	<i>Gentiana lutea</i> §3	Great yellow gentian
LEGUMINOSAE (FABACEAE)		<i>Legumes</i>
	<i>Dalbergia granadillo</i> §4	Black rosewood
	<i>Dalbergia retusa</i> (Except for the population which is included in Annex C) §4	Black rosewood
	<i>Dalbergia stevensonii</i> (Except for the population which is included in Annex C) §4	Honduras rosewood
LILIACEAE		<i>Wakerobins</i>
	<i>Trillium pusillum</i>	Dwarf wakerobin
	<i>Trillium rugelii</i>	Ill-scented wakerobin
	<i>Trillium sessile</i>	Sessile-flowered wakerobin wood-lily
LYCOPODIACEAE		<i>Clubmosses</i>
	<i>Lycopodium clavatum</i> §3	Stagshorn clubmoss
MELIACEAE		<i>Mahoganies, cedars</i>
	<i>Cedrela fissilis</i> (Except for the population which is included in Annex C) §4	
	<i>Cedrela lilloi</i> ( <i>C. angustifolia</i> ) (Except for the population which is included in Annex C) §4	
	<i>Cedrela montana</i> §4	
	<i>Cedrela oaxacensis</i> §4	
	<i>Cedrela odorata</i> (Except for the populations which are included in Annex C) §4	Spanish cedar
	<i>Cedrela salvadorensis</i> §4	
	<i>Cedrela tonduzii</i> §4	
MENYANTHACEAE		<i>Bogbeans</i>
	<i>Menyanthes trifoliata</i> §3	Bogbean
PARMELIACEAE		<i>Parmelioid lichens</i>
	<i>Cetraria islandica</i> §3	Icelandic moss
PASSIFLORACEAE		<i>Desert roses</i>
	<i>Adenia glauca</i>	Desert rose
	<i>Adenia pechuelli</i>	Desert rose
PEDALIACEAE		<i>Sesame, devil's claw</i>
	<i>Harpagophytum</i> spp. §3	Devil's claw

PORTULACACEAE		<i>Portulas, purslanes</i>
	<i>Ceraria carrissoana</i>	
	<i>Ceraria fruticulosa</i>	
SELAGINELLACEAE		<i>Clubmosses, spikemosses</i>
	<i>Selaginella lepidophylla</i>	Rose of Jericho

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## ANNEX II

### **Repealed Regulation with list of its successive amendments**

Council Regulation (EC) No 338/97  
(OJ L 61, 3.3.1997, p. 1)

Commission Regulation (EC) No 938/97  
(OJ L 140, 30.5.1997, p. 1)

Commission Regulation (EC) No 2307/97  
(OJ L 325, 27.11.1997, p. 1)

Commission Regulation (EC) No 2214/98  
(OJ L 279, 16.10.1998, p. 3)

Commission Regulation (EC) No 1476/1999  
(OJ L 171, 7.7.1999, p. 5)

Commission Regulation (EC) No 2724/2000  
(OJ L 320, 18.12.2000, p. 1)

Commission Regulation (EC) No 1579/2001  
(OJ L 209, 2.8.2001, p. 14)

Commission Regulation (EC) No 2476/2001  
(OJ L 334, 18.12.2001, p. 3)

Commission Regulation (EC) No 1497/2003  
(OJ L 215, 27.8.2003, p. 3)

Regulation (EC) No 1882/2003 of the European  
Parliament and of the Council  
(OJ L 284, 31.10.2003, p. 1)

only Article 3 and Annex III, pt. 66

Commission Regulation (EC) No 834/2004  
(OJ L 127, 29.4.2004, p. 40)

Commission Regulation (EC) No 1332/2005  
(OJ L 215, 19.8.2005, p. 1)

Commission Regulation (EC) No 318/2008  
(OJ L 95, 8.4.2008, p. 3)

Commission Regulation (EC) No 407/2009  
(OJ L 123, 19.5.2009, p. 3)

Regulation (EC) No 398/2009 of the European  
Parliament and of the Council  
(OJ L 126, 21.5.2009, p. 5)

Commission Regulation (EU) No 709/2010  
(OJ L 212, 12.8.2010, p. 1)

Commission Regulation (EU) No 101/2012  
(OJ L 39, 11.2.2012, p. 133)

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### ANNEX III

#### CORRELATION TABLE

Regulation (EC) No 338/97	This Regulation
Article 1	Article 1
Article 2	Article 2
Article 3	Article 3
Article 4	Article 4
Article 5(1) to (5)	Article 5(1) to (5)
Article 5(6), introductory words	Article 5(6), introductory words
Article 5(6)(i)	Article 5(6)(a)
Article 5(6)(ii)	Article 5(6)(b)
Article 5(7)(a)	Article 5(7), first subparagraph
Article 5(7)(b)	Article 5(7), second subparagraph
Article 6(1), (2) and (3)	Article 6(1), (2) and (3)
Article 6(4)(a)	Article 6(4), first subparagraph
Article 6(4)(b)	Article 6(4), second subparagraph
Article 7(1)(a)	Article 7(1), first subparagraph
Article 7(1)(b), introductory words	Article 7(1), second subparagraph
Article 7(1)(b)(i)	Article 7(1), third subparagraph, point (b)(i)
Article 7(1)(b)(ii)	Article 7(1), third subparagraph, point (b)(ii)
Article 7(1)(b)(iii)	Article 7(1), third subparagraph, point (b)(iii)
Article 7(1)(c)	Article 7(1), third subparagraph
Article 7(2)(a)	Article 7(2), first subparagraph
Article 7(2)(b)	Article 7(2), second subparagraph
Article 7(2)(c)	Article 7(2), third subparagraph
–	Article 7(2), fourth subparagraph

Article 7(3)	Article 7(3), first subparagraph
–	Article 7(3), second subparagraph
Article 7(4)	Article 7(4), first subparagraph
–	Article 7(4), second subparagraph
Article 8	Article 8
Article 9	Article 9
Article 10	Article 10
Article 11(1)	Article 11(1)
Article 11(2)(a)	Article 11(2), first subparagraph
Article 11(2)(b)	Article 11(2), second subparagraph
Article 11(3), (4) and (5)	Article 11(3), (4) and (5)
Article 12(1), (2) and (3)	Article 12(1), (2) and (3)
Article 12(4)	Article 12(4), first subparagraph
–	Article 12(4), second subparagraph
Article 12(5)	Article 12(5)
Article 13(1)(a)	Article 13(1), first subparagraph
Article 13(1)(b)	Article 13(1), second subparagraph
Article 13(2)	Article 13(2)
Article 13(3)(a)	Article 13(3), first subparagraph
Article 13(3)(b)	Article 13(3), second subparagraph
Article 13(3)(c)	Article 13(3), third subparagraph
Article 14(1)(a)	Article 14(1), first subparagraph
Article 14(1)(b)	Article 14(1), second subparagraph
Article 14(1)(c)	Article 14(1), third subparagraph
Article 14(2)	Article 14(2)
Article 14(3)(a)	Article 14(3), first subparagraph
Article 14(3)(b)	Article 14(3), second subparagraph

Article 14(3)(c)	Article 14(3), third subparagraph
Article 15(1), (2) and (3)	Article 15(1), (2) and (3)
Article 15(4)(a)	Article 15(4), first subparagraph
Article 15(4)(b)	Article 15(4), second subparagraph
Article 15(4)(c)	Article 15(4), third subparagraph
Article 15(4)(d)	Article 15(4), fourth subparagraph
Article 15(5) and (6)	Article 15(5) and (6)
Article 16	Article 16
Article 17(1)	Article 17(1)
Article 17(2)(a)	Article 17(2)
Article 17(2)(b)	Article 17(3)
Article 18	Article 21
Article 19(1), first subparagraph	–
Article 19(1), second subparagraph	Article 19(1)
Article 19(2)	–
Article 19(3)	Article 18(1)
Article 19(4)	Article 18(2)
Article 19(5)	Article 18(3)
–	Article 19(2)
–	Article 20
Article 20	Article 22
Article 21	–
–	Article 23
Article 22	Article 24

Annex

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Annex I

Annex II

Annex III