

2009 - 2014

Committee on Development

2009/2149(INI)

13.4.2011

# AMENDMENTS 1 - 45

**Draft report Gay Mitchell** (PE462.563v01-00)

Regulation (EC)1905/2006 establishing a financing instrument for development cooperation: lessons learned and perspectives for the future (2009/2149(INI))

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Amendment 1 Patrice Tirolien

Motion for a resolution Citation 22 a (new)

Motion for a resolution

Amendment

 having regard to its resolution of 15 March 2007 on local authorities and development cooperation (2006/2235(INI)),

Or. fr

Amendment 2 Bart Staes, Catherine Grèze

Motion for a resolution Citation 22 b (new)

Motion for a resolution

Amendment

- having regard to the European Parliament Resolution of 15 March 2007 on local authorities and development cooperation,

Or. en

Amendment 3 Patrice Tirolien

Motion for a resolution Citation 22 c (new)

Motion for a resolution

Amendment

- having regard to the Communication from the Commission on 'Local authorities: actors for development' (COM(2008)0626 final),

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Amendment 4 Bart Staes, Catherine Grèze

Motion for a resolution Citation 22 d (new)

Motion for a resolution

Amendment

- having regard to the Communication of the Commission of 8 October 2008 "Local Authorities: actors for development",

Or. en

Amendment 5 Patrice Tirolien, Norbert Neuser

Motion for a resolution Citation 22 e (new)

Motion for a resolution

## Amendment

- having regard to the Structured Dialogue between the Commission, the Council, the European Parliament, non-State actors and local authorities, underway since March 2010,

Or. fr

Amendment 6 Bart Staes, Catherine Grèze

Motion for a resolution Citation 22 f (new)

Motion for a resolution

Amendment

- having regard to the Structured Dialogue launched in 2010 by the European Commission with the aim to

*involve civil society organizations (CSOs)* & Local Authorities (LAs) in EC development cooperation,

Or. en

## Amendment 7 Gay Mitchell

## Motion for a resolution Recital D a (new)

Motion for a resolution

Amendment

Da. whereas, in accordance with the joint Declaration on Democratic Scrutiny and Coherence of External Actions and the Declaration of the Commission on the Democratic Scrutiny and Coherence of External Actions attached to the Interinstitutional Agreement on budgetary discipline and sound financial management, the Commission committed itself 'to take due account of the position of the European Parliament when implementing the strategies',

Or. en

Amendment 8 Bart Staes, Catherine Grèze

Motion for a resolution Recital D b (new)

Motion for a resolution

Amendment

Db. whereas the principles of ownership, participation and good governance call for a multi-stakeholder approach in which the various development partners, i.e. local authorities or non-state actors, act in a complementary and coherent manner; and whereas it is important, however, to

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make a clear distinction between the specific role of local authorities and that of non-state actors, in terms of their sphere of competence, legitimacy and democratic control, experience in the management of local affairs and involvement in the implementation of public policies,

Or. en

Amendment 9 Bart Staes, Catherine Grèze

Motion for a resolution Recital D c (new)

Motion for a resolution

Amendment

Dc. whereas EU's funding for international cooperation with Africa comes from three geographic instruments: the EDF for African-ACP countries, the TDCA for South Africa and the ENPI for five North African states; whereas fragmentation of instruments is detrimental to consistency and policy coherence, as enshrined in Article 208 of the Lisbon Treaty,

Or. en

Amendment 10 Bart Staes, Catherine Grèze

Motion for a resolution Recital F a (new)

Motion for a resolution

Amendment

Fa. whereas the Earth Summit 2012 aims to secure renewed political commitment to sustainable development, to assess progress towards internationally agreed

goals on sustainable development and to address new and emerging challenges,

Or. en

Amendment 11 Gay Mitchell

Motion for a resolution Recital F b (new)

Motion for a resolution

Amendment

Fb. whereas Article 290 of the TFEU states that a legislative act may delegate to the Commission the power to adopt nonlegislative acts of general application to supplement or amend certain nonessential elements of the legislative act,

Or. en

Amendment 12 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 3

Motion for a resolution

3. *Regrets* that *several* of Parliament's concerns, raised during the democratic scrutiny process, in particular regarding a lack of poverty and MDG focus have not been sufficiently taken into account by the European Commission;

#### Amendment

3. *Deplores* that *many* of Parliament's concerns *and recommendations*, raised during the democratic scrutiny process, in particular regarding a lack of poverty and MDG focus have not been sufficiently taken into account by the European Commission;

Or. en

## Amendment 13 Patrice Tirolien, Norbert Neuser

## Motion for a resolution Paragraphe 3 a (new)

Motion for a resolution

#### Amendment

3a. Regrets that the Commission has not sufficiently taken into account Parliament's resolutions pointing out that Articles 19, 20 and 33 of Regulation (EC) No 1905/2006, on the right of initiative and the consultation of non-state actors and local authorities, have not been complied with; emphasises, therefore, the importance of the Structured Dialogue started by the Commission with these parties in March 2010;

Or. fr

Amendment 14 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 3 b (new)

Motion for a resolution

## Amendment

3b. Notes that the EU programming leaves generally aside the question of distribution of revenues as an important tool to eradicate poverty but focuses instead on promoting export-oriented growth, achieved i.e. through the liberalisation of trade, on the assumption that it will automatically lead to the reduction of poverty; recalls in this context that a "pro-growth strategy" should not to be confused with a long term development strategy that entails the financing of long-term objectives, such as health, education, access to energy in rural areas, support of small farmers, etc.; Amendment 15 Gay Mitchell

Motion for a resolution Paragraph 4 a (new)

Motion for a resolution

Amendment

4a. Regrets that the committee set up under Article 35 of the DCI did not react to Parliament's resolutions signalling that the Commission exceeded its implementing powers; urges the Member States to assume their responsibilities and to ensure, in close collaboration with Parliament, that the measures proposed by the Commission are in full compliance with the DCI prescriptions;

Or. en

Amendment 16 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 4 b (new)

Motion for a resolution

Amendment

4b. Notes with concern that the substantive scrutiny work carried out by the Parliament did not receive any echo from the representatives of the Member States in the DCI Committee; regrets deeply that contrary to Parliament, Member States did not seem to focus on checking the compliance of Commission proposals with the legal provisions of the DCI;

Or. en

Or. en

Amendment 17 Gay Mitchell

Motion for a resolution Paragraph 5 a (new)

Motion for a resolution

Amendment

5a. Considers that many country and regional strategy papers do not allocate sufficient resources to the DCI's overarching goal of poverty eradication in the context of sustainable development, and that many documents lack clear indications of how far the proposed actions will contribute to the MDGs targets;

Or. en

Amendment 18 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 6 a (new)

Motion for a resolution

Amendment

6a. Recalls that policy coherence for development, development "ownership" and non fragmentation of aid are essential for ensuring aid effectiveness;

Or. en

Amendment 19 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 7 a (new)

## Motion for a resolution

Amendment

7a. Believes that channelling funds to Africa through three different instruments is inefficient and does not respond to Africa's wish to develop as a unified continent; recommends therefore, in line with the principle of development ownership, to develop a single financing instrument for Africa so as to reflect the "treating-Africa-as-one" principle enshrined in the Joint Africa-EU Strategy (JAES) and supporting the continental integration agenda;

Or. en

# Amendment 20 Bart Staes, Catherine Grèze

## Motion for a resolution Paragraph 8

## Motion for a resolution

8. Underlines that full compliance with ODA criteria, and in particular with the OECD/DAC requirement that 'each transaction is administered with the promotion of the economic development and welfare of developing countries as its main objective''<sup>1</sup>, must remain a condition for all measures to be funded under geographical programmes under the new instrument; calls for a more stringent ODA quota for thematic programmes than under the current DCI;

## Amendment

8. Underlines that full compliance with ODA criteria, and in particular with the OECD/DAC requirement that 'each transaction is administered with the promotion of the economic development and welfare of developing countries as its main objective"<sup>2</sup>, must remain a condition for all measures to be funded under geographical programmes under the new instrument; calls for a more stringent ODA quota for thematic programmes than under the current DCI, especially regarding thematic programmes on "migration and asylum", where the Commission did not demonstrate clearly how activities funded in the context of border controls are DACable:

<sup>&</sup>lt;sup>1</sup> See OECD/DAC: 'Reporting Directives for the Creditor Reporting System'

<sup>&</sup>lt;sup>2</sup> See OECD/DAC: 'Reporting Directives for the Creditor Reporting System'

Amendment 21 Bart Staes, Catherine Grèze

## Motion for a resolution Paragraph 9

Motion for a resolution

9. Stresses that achievement of the MDGs must remain *the prime* objective of the instrument for the period until 2015; urges the Commission to ensure that EU aid continues to be coherent with the internationally agreed objectives and targets for development which will be adopted by the United Nations and other competent international organisations for the post-2015 period;

#### Amendment

9. Stresses that *the support to sustainable* development in its economic, social and environmental dimension is the only way to reduce poverty, by addressing the causes of impoverishment; accordingly, takes the view that while the achievement of the MDGs should remain an essential objective of the instrument for the period until 2015, the achievement of the objective of poverty eradication requires to reflect the outcomes of the World Summit on Sustainable Development, while implementing fully the principle of policy coherence for development; urges the Commission to ensure that EU aid continues to be coherent with the internationally agreed objectives and targets for development which will be adopted by the United Nations and other competent international organisations for the post-2015 period;

Amendment

10. Acknowledges the need for non-ODA

cooperation with many developing

Or. en

Amendment 22 Kriton Arsenis

# Motion for a resolution Paragraph 10

Motion for a resolution

10. Acknowledges the need for non-ODA cooperation with many developing countries for the provision of global public

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goods; believes that this kind of cooperation should be regulated and funds be channelled via one or more separate instruments, so as to ensure transparency and to protect the distinctive nature of development cooperation as an autonomous policy domain in the area of external relations; insists, in line with the commitment made at the European Council of 29-30 October 2009, that financing for climate change should not undermine or jeopardize the fight against poverty and continued progress towards the MDGs, and that the scarce ODA funds available for poverty reduction should not be diverted for non-development purposes in developing countries;

goods; believes that this kind of cooperation should be regulated and funds be channelled via one or more separate instruments, so as to ensure transparency and to protect the distinctive nature of development cooperation as an autonomous policy domain in the area of external relations; insists, in line with the commitment made at the European Council of 29-30 October 2009, that financing for climate change should not undermine or jeopardize the fight against poverty and continued progress towards the MDGs, and that the scarce ODA funds available for poverty reduction should not be diverted for non-development purposes in developing countries; stresses that the definition of ODA as set by the OECD should not be altered and calls on the Commission to ensure that all development projects financed by the EU do not oppose global climate change mitigation efforts and are climate proof, particularly in the case of large infrastructure projects or projects in small islands which will be the first to suffer the consequences of climate change;

Or. en

Amendment 23 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 10 a (new)

Motion for a resolution

Amendment

10a. Expresses its concern, at a time of serious public budget constraints, about the strong focus placed on private sector investments as a means to leverage more development finance resources; recalls that development cooperation is the only policy of external action (besides humanitarian aid) which has not been

designed to serve EU interests, but to defend the interests of the most marginalised and vulnerable populations in this planet; therefore, urges the Commission to ensure that any public finance used to support private sector investment in the South is not diverted from already under-funded sectors (as in the case of the programmes for non-state actors and local authorities for instance), and that such support will effectively enable the development of the domestic private sector and small and medium enterprises in low-income countries;

Or. en

Amendment 24 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 10 b (new)

Motion for a resolution

Amendment

10b. Recalls furthermore that certain conditions must be put in place to ensure that private investments have a positive impact on the poor; accordingly, urges the Commission to conduct a thorough assessment of how publicly-supported private investments in the South have contributed to positive development outcomes (notably through creation of sustainable jobs), while establishing a strict and binding set of standards to ensure responsible financing (as a way to fight against illicit financial flows) and the deliverance of positive development outcomes;

Or. en

## Amendment 25 Bart Staes, Catherine Grèze

## Motion for a resolution Paragraph 11

## Motion for a resolution

11. Agrees that a differentiated approach to the diverse group of developing countries is needed, and that traditional financial aid may become less relevant for emerging countries; considers that aid for emerging countries, while promoting sustainable economic growth, should still focus on reinforcing the partner country's fiscal policy and promoting mobilisation of domestic revenue which should lead to the reduction of poverty and of aid dependency;

# Amendment

11. Agrees that a differentiated approach to the diverse group of developing countries is needed; considers that emerging countries have the potential to finance their own development and fight against poverty through transparent tax collection systems and fair redistribution of wealth; calls therefore on the Commission to phase out traditional financial aid through the DCI, while combating against tax havens, tax evasion and illicit capital flight so as to enable countries to raise domestic revenues which should lead to the reduction of poverty and of aid dependency;

Or. en

Amendment 26 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 11 a (new)

Motion for a resolution

Amendment

11a. Urges the Commission to provide enhanced support for assisting developing and emerging countries in tax reforms with the aim to support effective, efficient, fair and sustainable tax systems; calls on the Commission to effectively integrate the principles of good governance in tax matters into the programming, implementation and monitoring of country and regional strategy papers, while taking the necessary measures to enforce country-by-country reporting of

Or. en

## Amendment 27 Gay Mitchell

# Motion for a resolution Paragraph 13

Motion for a resolution

13. Calls for closer coordination between the Commission and Members States, so as to achieve the Treaty prescription of Article 210 TFEU, and supports the development of joint European strategy papers;

## Amendment

13. Calls for closer coordination between the Commission and Members States, so as to achieve the Treaty prescription of Article 210 TFEU, and supports the development of joint European strategy papers; *believes that all EU programming documents for each country and region should include detailed and up-to-date donor matrices, as well as a special chapter on EU aid effectiveness, specifying actions taken to increase donor coordination, harmonisation and complementarity and improve division of labour amongst donors and particularly amongst EU Member States;* 

Or. en

## Amendment 28 Kriton Arsenis

## Motion for a resolution Paragraph 14

Motion for a resolution

14. Reiterates its call for the European Development Fund (EDF) to be brought into the EU budget, which would simplify procedures and increase the effectiveness and efficiency of EC aid; insists that this should not lead to any reduction in the

## Amendment

14. Reiterates its call for the European Development Fund (EDF) to be brought into the EU budget, which would simplify procedures and increase the effectiveness and efficiency of EC aid; insists that this should not lead to any reduction in the

global amounts of funding at EU level for the DCI and for the EDF;

global amounts of funding at EU level for the DCI and for the EDF *and in the total amount of funds made available at EU level for ODA*;

Or. en

Amendment 29 Gay Mitchell

## Motion for a resolution Paragraph 14 a (new)

Motion for a resolution

Amendment

14a. Believes that support for vulnerable groups (women, people with disabilities, young and unemployed people, indigenous people), as well as for gender mainstreaming and for other 'crosscutting issues' must be enhanced; insists that the DCI successor instrument requires clear benchmarks in programming documents to ensure that the impact of EU interventions in these areas can be measured;

Or. en

Amendment 30 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 14 b (new)

Motion for a resolution

Amendment

14b. Recalls that, according to the "European Consensus on Development" (2005), developing countries should be responsible for their own national strategies to achieve these goals; in this context, regrets that while the DCI emphasises the importance of ownership

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of the Country Strategy Papers, the involvement of national parliaments, as in the case of civil society, has been extremely poor in practice;

Or. en

Amendment 31 Bart Staes, Catherine Grèze

## Motion for a resolution Paragraph 14 c (new)

Motion for a resolution

Amendment

14c. Stresses that the involvement of local authorities in development policies is essential for achieving the Millennium Development Goals (MDGs) and ensuring good governance; in particular, recalls that local authorities have a critical role to play in areas such as education, hunger, health, water, sanitation, social cohesion and local economic development, etc.; deems therefore essential to upgrade their role in the next financial instrument, in line with the principle of development ownership;

Or. en

Amendment 32 Patrice Tirolien, Norbert Neuser

# Motion for a resolution Paragraphe 15

Motion for a resolution

15. Underlines the need for a regular and structured dialogue between the Commission and the EEAS, and non-state actors (NSAs) and local authorities (LAs) on the programming, implementation and

# Amendment

15. Underlines the need for a regular and structured dialogue between the Commission and the EEAS, and non-state actors (NSAs) and local authorities (LAs) on the programming, implementation and

evaluation of strategy papers;

evaluation of strategy papers; *emphasises* therefore the necessity of taking the conclusions of the Structured Dialogue into account in future financial instruments;

Or. fr

Amendment 33 Kriton Arsenis

Motion for a resolution Paragraph 18 a (new)

Motion for a resolution

Amendment

18a. Supports the further establishment of specific targets and benchmarks which require stringent monitoring and reporting by the Commission and will increase the effectiveness of the DCI;

Or. en

Amendment 34 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 19

Motion for a resolution

19. Insists on strict eligibility criteria for budget support; insists that the Commission must refrain from using this modality in countries where transparency in public spending cannot be assured, that budget support must always be accompanied by actions to develop the receiving country's parliamentary control and audit capacities and to increase transparency and public access to information, and that civil society should be involved in its monitoring; Amendment

19. Insists on the leading role that national parliaments of recipient countries and civil society organisations should play as they are best placed to identify priority sector, prepare Country Strategy Papers and monitor budget allocation; demands that national parliaments adopt Country Strategy Papers and multiannual budgets in consultation with civil society, prior to policy dialogue with donors on Budget Support, in order to empower

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parliamentary scrutiny; thereafter, calls on the Commission to specify in the country strategy papers the involvement of the local authorities, their representative organisations and civil society partners in all phases of the cooperation process (dialogues and formulation of strategy papers, programming, implementation, reviews, evaluations);

Or. en

Amendment 35 Kriton Arsenis

Motion for a resolution Paragraph 19 a (new)

Motion for a resolution

Amendment

19a. Recognizes the important role that civil society plays in development, such as acting as a government watchdog that ensures accountability, and calls for adequate funding to be directed towards civil society in developing countries;

Or. en

Amendment 36 Patrice Tirolien

Motion for a resolution Paragraphe 19 b (new)

Motion for a resolution

Amendment

19b. Repeats its call for the Commission to produce a comprehensive financial analysis covering general budget support, support by sector, support by project and support of any other kind granted to local government; stresses the fact that this global picture would result in greater

consistency in support granted to local government and improve governance in partner countries;

Or. fr

Amendment 37 Patrice Tirolien

Motion for a resolution Paragraphe 19 c (new)

Motion for a resolution

Amendment

19c. Calls on the Commission to make decentralisation a priority funding sector under the DCI Regulation's geographic programmes, as a response to the fact that decentralisation laws are on the increase in the majority of the developing countries<sup>1</sup>;

Or. fr

Amendment 38 Kriton Arsenis

Motion for a resolution Paragraph 20

## Motion for a resolution

20. Notes that all thematic programmes under the DCI have proven their relevance, but calls for some refocusing in the light of new challenges, such as the global financial and economic crisis, the global food crisis, climate change and special needs of fragile states and states in transition;

## Amendment

20. Notes that all thematic programmes under the DCI have proven their relevance *and emphasizes that it is imperative to maintain both thematic and geographic programmes*, but calls for some refocusing in the light of new challenges, such as the global financial and economic crisis, the global food crisis, climate change and special needs of fragile states and states in

<sup>&</sup>lt;sup>1</sup> Second World Report on Decentralization and Local Democracy in the World, published by United Cities and Local Governments in November 2010.

transition;

# Amendment 39 Patrice Tirolien

# Motion for a resolution Paragraphe 20 a (new)

Motion for a resolution

Amendment

20a. Calls on the Commission, when the DCI Regulation is recast, to split the nonstate actors and local authorities thematic programme into two different instruments, as this would avoid futile competition between two complementary but at the same time different categories of stakeholders;

Or. fr

Amendment 40 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 21

Motion for a resolution

21. *Insists* that any future thematic programme on migration must be fully aligned with the EU's development objectives and that the core funding under this programme must fulfil the ODA eligibility criteria; Amendment

21. Points out that migration is an area where there is a clear need to prioritize policy coherence for development over short-term EU migration considerations, mostly aimed at fighting illegal immigration; highlights that development funds on migration should not be used for strengthening border management and combating illegal immigration; insists that any future thematic programme on migration must be fully aligned with the EU's development objectives and that the core funding under this programme must

fulfil the ODA eligibility criteria; *in particular, stresses that projects dealing with South-South migration should be given priority within the Thematic programme;* 

Or. en

## Amendment 41 Bart Staes, Catherine Grèze

# Motion for a resolution Paragraph 22

Motion for a resolution

22. *Stresses* that a new thematic programme on '**Investing in People'** must put a focus on the achievement of those MDGs which are most off track and in countries with critical MDG indicators;

# Amendment

22. Underlines that basic education and alphabetization is the starting point of people's awareness raising process and development ownership; stresses that a new thematic programme on 'Investing in People' must put a focus on culture as a tool for emancipation and participation, as well as on the achievement of those MDGs which are most off track and in countries with critical MDG indicators;

Or. en

# Amendment 42 Gay Mitchell

# Motion for a resolution Paragraph 22

Motion for a resolution

22. Stresses that a new thematic programme on '**Investing in People**' must put a focus on the achievement of those MDGs which are most off track and in countries with critical MDG indicators;

# Amendment

22. Stresses that a new thematic programme on '**Investing in People**' must put a focus on, *but not be limited to*, the achievement of those MDGs which are most off track, and *with particular attention* to countries *and regions* with critical MDG indicators;

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Amendment 43 Gay Mitchell

Motion for a resolution Paragraph 22 a (new)

Motion for a resolution

Amendment

22a. Believes that the new instrument should provide for a differentiated approach to funding for civil society organisations and local authorities; stresses the need to address the problem of over-subscription of the current programme and to respect the right of initiative of the organisations themselves; calls for the results of the Structured Dialogue to be fully reflected in the definition of the role of non-state actors and local authorities in the future instrument and the proposed aid modalities;

Or. en

Amendment 44 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 22 b (new)

Motion for a resolution

Amendment

22b. Recalls that one of the reasons why the MDGs are not fulfilled is the failure to recognise the contributions of the environment, natural resources and ecosystems to human development and poverty elimination; notes with concern that while current European Official Development Assistance (ODA) allocates only 3% of the total spending to environmental issues, an additional

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problem is that a part of the EU and Member States' funding to developing countries is invested in projects that foster climate change, rather than mitigate it; underlines that there is a need for improvement in policy coherence for development in the area of climate change, especially regarding climate funding and mainstreaming of climate change concerns into EU development cooperation;

Or. en

Amendment 45 Bart Staes, Catherine Grèze

Motion for a resolution Paragraph 22 c (new)

Motion for a resolution

Amendment

22c. Points out that the Court of Auditors concluded in its 'Special Report 6/2006' that the EU made only limited progress since 2001 in mainstreaming the environment in its development cooperation, as Country Strategy Papers did not take sufficient account of environmental issues; accordingly, urges the Commission to ensure that environmental issues are better mainstreamed and systematically monitored throughout all external policies and financial instruments, especially in the face of the current challenge of climate change and biodiversity loss;

Or. en