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Committee on Regional Development

2011/0276(COD)

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AMENDMENTS

1438 - 1740

Draft report

Lambert van Nistelrooij, Constanze Angela Krehl

(PE487.740v03-00)

on the proposal for a regulation of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006

Proposal for a regulation

(COM(2011)0615 – C7-0335/2011 – 2011/0276(COD))

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United in diversity

EN

Amendment 1438

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – introductory part

Text proposed by the Commission

Amendment

The Member State or the managing authority shall submit the following information on major projects to the Commission as soon as preparatory work has been completed:

The Member State, ***a group of Member States that have established a macro-regional development fund***, or the managing authority shall submit the following information on major projects to the Commission as soon as preparatory work has been completed:

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1439

Nuno Teixeira

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) a description of and information on the investment and ***its*** location;

(b) ***a strategic analysis that includes*** a description of and information on the investment, location and ***how it will help to boost the economy and regional development;***

Or. pt

Justification

Knowing that the structural funds aim to ensure greater economic, social and territorial cohesion between Member States and their regions, it is important to understand how large projects will help to stimulate economic activity and the development of regions where investment will be made.

Amendment 1440

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point d

Text proposed by the Commission

Amendment

(d) information on the feasibility studies carried out, including the options analysis, the results, and independent quality review;

(d) information on the feasibility studies carried out, including the options analysis, the results, and independent quality review, ***the long-term social impact, the long-term impact for public budgets and coherence with regional und local sustainable development,***

Or. en

Amendment 1441

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point e

Text proposed by the Commission

Amendment

(e) a cost-benefit analysis, including an economic and a financial analysis, and a risk ***assessment***;

(e) a cost-benefit analysis, including an economic and a financial analysis, ***an analysis of the application of the polluter-pays principle and of the internalisation of external environmental and social costs, and a risk assesment,***

Or. en

Amendment 1442

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point f

Text proposed by the Commission

(f) an analysis of the environmental impact, taking into account climate change adaptation and mitigation needs, and disaster resilience;

Amendment

(f) an analysis of the environmental impact ***in accordance with Directives 85/337/EEC and 97/11/EC***, taking into account climate change adaptation and mitigation needs, ***biodiversity protection, resource efficiency*** and disaster resilience;

Or. en

Amendment 1443

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point a a (new)

Text proposed by the Commission

Amendment

(a a) the description of the way, extent and result of the involvement of partners in the preparation of the major projects;

Or. en

Amendment 1444

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point b a (new)

Text proposed by the Commission

Amendment

(b a) a description of the public consultation process proposed for the

development and implementation of the major project;

Or. en

Amendment 1445
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 91 – paragraph 1 – subparagraph 1 – point i a (new)

Text proposed by the Commission

Amendment

(i a) a description of the way, extend and result of the involvement of partners in the decision on the major project

Or. en

Amendment 1446
Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 91 – paragraph 1 – subparagraph 1 – point i a (new)

Text proposed by the Commission

Amendment

(i a) a description of how and to what extent the partners referred to in Article 5 are involved in the decision on major projects, including a list of the partners involved, how they have been selected, their responsibilities and their views on the content of the programme and on the implementation of the partnership principle;

Or. en

Amendment 1447
Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda,

Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point i b (new)

Text proposed by the Commission

Amendment

(i b) a description of how and to what extent the public has been consulted on the preparation and implementation of the major project.

Or. en

Amendment 1448

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 1 – point i b (new)

Text proposed by the Commission

Amendment

(i b) a description of the public consultation process proposed for the development and implementation of the major projects

Or. en

Amendment 1449

Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation

Part 3 – article 91 – paragraph 1 – subparagraph 2

Text proposed by the Commission

Amendment

The Commission shall ***provide indicative guidance*** on the methodology to be used in carrying out the cost-benefit analysis referred to in point (e) above ***in accordance with the advisory procedure referred to in Article 143(2)***.

The Commission shall ***be empowered to adopt delegated acts laying down*** on the methodology to be used in carrying out the cost-benefit analysis referred to in point (e) above.

Justification

The adoption of the methodology is a measure of general scope which intends to supplement certain non-essential elements of the basic legislative act.

Amendment 1450

Francesco De Angelis, Salvatore Caronna, Andrea Cozzolino, Patrizia Toia, Leonardo Domenici, Vincenzo Iovine, Guido Milana

Proposal for a regulation

Part 3 – article 91 – paragraph 2

Text proposed by the Commission

2. Major projects submitted to the Commission for approval shall be contained in the list of major projects in an operational programme. *The list shall be reviewed by the Member State or the managing authority two years following the adoption of an operational programme and may at the request of the Member State be adjusted in accordance with the procedure set out in Article 26(2), in particular to include major projects with an expected completion date by the end of 2022.*

Amendment

2. Major projects submitted to the Commission for approval shall be contained in the list of major projects in an operational programme. *Major projects may also be approved during the programming period.*

Justification

Given the specific nature of major projects, their revision by the Member States should be carried out without excessive constraints.

Amendment 1451

Iosif Matula, Petru Constantin Luhan, Iuliu Winkler, Andrey Kovatchev

Proposal for a regulation

Part 3 – article 91 – paragraph 2

Text proposed by the Commission

2. Major projects submitted to the Commission for approval shall be contained in the list of major projects in an operational programme. The list shall be reviewed by the Member State or the managing authority two years following the adoption of an operational programme and may at the request of the Member State be adjusted in accordance with the procedure set out in Article 26(2), in particular to include major projects with an expected completion date by the end of 2022.

Amendment

2. Major projects submitted to the Commission for approval shall be contained in the ***non-exhaustive*** list of major projects in an operational programme. The list shall be reviewed by the Member State or the managing authority two years following the adoption of an operational programme and may at the request of the Member State be adjusted in accordance with the procedure set out in Article 26(2), in particular to include major projects with an expected completion date by the end of 2022.

Or. en

Amendment 1452
Patrice Tirolien

Proposal for a regulation
Part 3 – article 91 – paragraph 2

Text proposed by the Commission

2. Major projects submitted to the Commission for approval shall be contained in the list of major projects in an operational programme. ***The list shall be reviewed by the Member State or the managing authority two years following the adoption of an operational programme and may at the request of the Member State be adjusted in accordance with the procedure set out in Article 26(2), in particular to include major projects with an expected completion date by the end of 2022.***

Amendment

2. Major projects submitted to the Commission for approval shall be contained in the list of major projects in an operational programme. ***Major projects may also be approved during the programming period. The forecast expenditure for a major project may be declared even before Commission approval for major projects with a completion date by the end of 2022.***

Or. fr

Justification

The approval of major projects during the programming period and the declaration of expenditure before Commission approval therefor, as in the current period, has the virtue of more effective and straightforward implementation.

Amendment 1453

Cornelia Ernst, Younous Omarjee

Proposal for a regulation

Part 3 – article 91 – paragraph 2

Text proposed by the Commission

2. Major projects submitted to the Commission for approval shall be contained in the list of major projects in an operational programme. *The list shall be reviewed by the Member State or the managing authority two years following the adoption of an operational programme and may at the request of the Member State be adjusted in accordance with the procedure set out in Article 26(2), in particular to include major projects with an expected completion date by the end of 2022.*

Amendment

2. Major projects submitted to the Commission for approval shall be contained, *as far as possible*, in the list of major projects in an operational programme. *Major projects may also be approved during the programming period. Expenditure for a major project may be declared before the project is approved by the Commission. Major projects must be completed by end of 2022.*

Or. en

Justification

We would like major projects submitted during the programming period to be able to start without waiting for approval by the Commission, as proposed under the current programming period. We call for the option for expenditure to be declared before the major project is approved by the Commission in order to avoid delaying the start of operations.

Amendment 1454

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 91 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2a. Macro-regional projects submitted to the Governing Council of the macro-regional development fund for approval must be on the list of macro-regional projects contained in the programme for the macro-regional development fund. The group of Member States that has established the macro-regional development fund, or the Governing Council of the macro-regional development fund, shall check the list two years after the adoption of the programme for the macro-regional development fund. At the request of the group of Member States, the list may be revised with the main aim of including macro-regional projects expected to be completed by the end of 2022.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1455

Markus Pieper, Manfred Weber, Angelika Niebler, Herbert Reul, Joachim Zeller

Proposal for a regulation

Part 3 – article 92 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. When evaluating major projects, the Commission shall assess whether the funding would lead to significant job losses at existing locations in the European Union in order to ensure that Community funding does not support the

Amendment 1456
Mojca Kleva

Proposal for a regulation
Part 3 – article 92 – paragraph 2

Text proposed by the Commission

2. The Commission shall adopt a decision, by means of implementing act, no later than three months after the date of submission of the information approving a major project in accordance with Article 91. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, physical and financial indicators for monitoring progress, and the expected contribution of the major project to the objectives of the relevant priority axis or axes. An approval decision shall be conditional on the first works contract being concluded within **two** years of the date of the decision.

Amendment

2. The Commission shall adopt a decision ***on the approval of a major project***, by means of implementing act, no later than three months after the date of submission of the information approving a major project in accordance with Article 91. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, physical and financial indicators for monitoring progress, and the expected contribution of the major project to the objectives of the relevant priority axis or axes. An approval decision ***by the Commission*** shall be conditional on the first works contract being concluded, ***or in the case of operations implemented under PPP structures the signing of the PPP contract between the public body and the private sector body***, within ***three*** years of the date of the ***approval***. ***At the duly motivated request of the Member State, in particular in the case of delays resulting from administrative and legal proceedings related to the implementation of major projects, and made within the three year period, the Commission may adopt a decision, by means of a delegated act, on the extension of the period by not more than two years.***

Amendment 1457

Iosif Matula, Andrey Kovatchev, Petru Constantin Luhan, Iuliu Winkler

Proposal for a regulation

Part 3 – article 92 – paragraph 2

Text proposed by the Commission

2. The Commission shall adopt a decision, by means of implementing act, no later than three months after the date of submission of the information approving a major project in accordance with Article 91. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, physical and financial indicators for monitoring progress, and the expected contribution of the major project to the objectives of the relevant priority axis or axes. *An* approval *decision* shall be conditional on the first works contract being concluded within *two* years of the date of the decision.

Amendment

2. The Commission shall adopt a decision, by means of implementing act, no later than three months after the date of submission of the information approving a major project in accordance with Article 91. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, physical and financial indicators for monitoring progress, and the expected contribution of the major project to the objectives of the relevant priority axis or axes. ***The approval by the Commission under 92(1) and 92(2) shall be conditional on the first works contract being concluded, or in the case of operations implemented under PPP structures the signing of the PPP contract between the public body and the private sector body, within three years of the date of the approval. At the duly motivated request of the Member State, in particular in the case of delays resulting from administrative and legal proceedings related to the implementation of major projects, and made within the three year period, the Commission may adopt a decision, by means of an implementing act, on the extension of the period by not more than two years.***

Or. en

Amendment 1458

Evgeni Kirilov

Proposal for a regulation

Part 3 – article 92 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2a. Expenditure relating to major projects can be included in payment applications after the submission of a major project to the Commission.

Or. en

Amendment 1459
Evgeni Kirilov

Proposal for a regulation
Part 3 – article 92 – paragraph 3

Text proposed by the Commission

Amendment

3. Where the Commission refuses to allow support from the Funds to be given to a major project, it shall notify the Member State of its reasons within the period laid down in paragraph 2.

3. Where the Commission refuses to allow support from the Funds to be given to a major project, it shall notify the Member State of its reasons within the period laid down in paragraph 2. ***The payment applications following the adoption of the Commission decision must be rectified accordingly.***

Or. en

Amendment 1460
Evgeni Kirilov

Proposal for a regulation
Part 3 – article 92 – paragraph 4

Text proposed by the Commission

Amendment

4. Expenditure relating to major projects shall not be included in payment applications before adoption of an approval decision by the Commission.

deleted

Or. en

Amendment 1461

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation

Part 3 – article 92 – paragraph 4

Text proposed by the Commission

4. Expenditure relating to major projects **shall not** be included in payment applications **before adoption** of an approval **decision by** the Commission.

Amendment

4. Expenditure relating to major projects **may** be included in **a request for** payment applications **after the submission** of the **major project for** approval **to** the Commission.

Or. en

Amendment 1462

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 93 – paragraph 1

Text proposed by the Commission

1. A joint action plan is an operation defined and managed in relation to the outputs and results which it will achieve. It comprises a group of projects, not consisting in the provision of infrastructure, carried out under the responsibility of the beneficiary, as part of an operational programme or programmes. The outputs and results of a joint action plan shall be agreed between the Member State and the Commission and shall contribute to specific objectives of the operational programmes and form the basis of support from the Funds. Results shall refer to direct effects of the joint action plan. The beneficiary shall be a public law body. Joint action plans shall not be considered as major projects.

Amendment

1. A joint action plan is an operation defined and managed in relation to the outputs and results which it will achieve. It comprises a group of projects **and macro-regional projects**, not consisting in the provision of infrastructure, carried out under the responsibility of the beneficiary, as part of an operational programme, **operational programmes** or programmes **for macro-regional development funds**. The outputs and results of a joint action plan shall be agreed between the Member State, **or a group of Member States that have established a macro-regional development fund**, and the Commission and shall contribute to specific objectives of the operational programmes and form the basis of support from the Funds. Results shall refer to direct effects of the joint action plan. The beneficiary shall be a

public law body. Joint action plans shall not be considered as major projects.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1463

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Besset, Catherine Grèze

Proposal for a regulation

Part 3 – article 93 – paragraph 2

Text proposed by the Commission

2. The public support allocated to a joint action plan shall be a minimum of EUR 10 000 000 or 20 % of the public support of the operational programme or programmes, whichever is lower.

Amendment

2. The public support allocated to a joint action plan shall be a minimum of EUR 10 000 000 or 20 % of the public support of the operational programme or programmes, whichever is lower. ***The public support allocated to one joint action plan per Member State may be a minimum of EUR 5 000 000.***

Or. en

Amendment 1464

Catherine Bearder

Proposal for a regulation

Part 3 – article 93 – paragraph 1

Text proposed by the Commission

1. A joint action plan is an operation defined and managed in relation to the outputs and results which it will achieve. It

Amendment

1. A joint action plan is an operation defined and managed in relation to the outputs and results which it will achieve. It

comprises a group of projects, *not consisting in the provision of infrastructure*, carried out under the responsibility of the beneficiary, as part of an operational programme or programmes. The outputs and results of a joint action plan shall be agreed between the Member State and the Commission and shall contribute to specific objectives of the operational programmes and form the basis of support from the Funds. Results shall refer to direct effects of the joint action plan. The beneficiary shall be a public law body. Joint action plans shall not be considered as major projects.

comprises a group of projects carried out under the responsibility of the beneficiary, *including local and regional authorities*, as part of an operational programme or programmes. The outputs and results of a joint action plan shall be agreed between the Member State and the Commission and shall contribute to specific objectives of the operational programmes and form the basis of support from the Funds. Results shall refer to direct effects of the joint action plan. The beneficiary shall be a public law body. Joint action plans shall not be considered as major projects.

Or. en

Amendment 1465
Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation
Part 3 – article 93 – paragraph 2

Text proposed by the Commission

2. The public support allocated to a joint action plan shall be a minimum of EUR **10** 000 000 or **20** % of the public support of the operational programme or programmes, whichever is lower.

Amendment

2. The public support allocated to a joint action plan shall be a minimum of EUR **5** 000 000 or **10** % of the public support of the operational programme or programmes, whichever is lower.

Or. en

Amendment 1466
Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation
Part 3 – article 93 – paragraph 2

Text proposed by the Commission

2. The public support allocated to a joint action plan shall be a minimum of

Amendment

2. The public support allocated to a joint action plan shall be a minimum of

EUR **10 000 000** or **20 %** of the public support of the operational programme or programmes, whichever is lower.

EUR **5 000 000** or **10 %** of the public support of the operational programme or programmes, whichever is lower.

Or. es

Amendment 1467
Catherine Bearder

Proposal for a regulation
Part 3 – article 93 – paragraph 2

Text proposed by the Commission

2. The public support allocated to a joint action plan shall be a minimum of EUR **10 000 000** or **20 %** of the public support of the operational programme or programmes, whichever is lower.

Amendment

2. The public support allocated to a joint action plan shall be a minimum of EUR **5 000 000** or **10 %** of the public support of the operational programme or programmes, whichever is lower.

Or. en

Amendment 1468
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 93 a (new)

Text proposed by the Commission

Amendment

Article 93a

Decision on a major project

(1) The Governing Council of the macro-regional development fund shall assess a macro-regional project on the basis of the information referred to in Article 91 with the aim of determining whether the proposed support from the Funds is justified.

(2) The Governing Council of the macro-regional development fund shall take a decision no later than three months after the provision of information on the basis

of which a macro-regional project is to be approved in accordance with Article 91. This decision shall contain a concrete description of the project, the amount covered by the co-financing rate for the priority axis, physical and financial indicators for monitoring progress and the expected contribution of the macro-regional projects to fulfilling the objectives of the relevant priority axis or axes. The decision on approval is conditional on the conclusion of an initial works contract within two years of the date of the decision.

(3) If the Governing Council of the macro-regional development fund refuses to consent to the provision of support from the Funds for a macro-regional project, it shall notify the group of Member States that has established the macro-regional development fund of its reasons for refusal within the period specified in Paragraph 2.

(4) Expenses in relation to macro-regional projects shall not be included in payment requests until the Governing Council of the macro-regional development fund has taken a favourable decision.

(5) The Governing Council of the macro-regional development fund shall inform the Commission of its decision.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund. Approval of macro-regional projects shall be subject to the special scheme.

Amendment 1469

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 94 – paragraph 1

Text proposed by the Commission

1. The Member State, the managing authority or any designated public law body may submit a proposal for a joint action plan at the same time as or subsequent to the submission of the operational programmes concerned. It shall contain all the elements referred to in Article 95.

Amendment

1. The Member State, ***or a group of Member States that have established a macro-regional development fund***, the managing authority or any designated public law body may submit a proposal for a joint action plan at the same time as or subsequent to the submission of the operational programmes ***and programmes for macro-regional development funds*** concerned. It shall contain all the elements referred to in Article 95.

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Or. sk

Amendment 1470

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 94 – paragraph 2

Text proposed by the Commission

2. A joint action plan shall cover part of the period between 1 January 2014 and 31 December 2022. The outputs and results of a joint action plan shall give rise to

Amendment

2. A joint action plan shall cover ***the entire programming period or*** part of the period between 1 January 2014 and 31 December 2022. The outputs and results of a joint

reimbursement only if attained after the date of the decision of approval of the joint action plan and before the end of the implementation period defined.

action plan shall give rise to reimbursement only if attained after the date of the decision of approval of the joint action plan and before the end of the implementation period defined.

Or. en

Amendment 1471

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 1

Text proposed by the Commission

(1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes and, where applicable, the country-specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States shall take into account in their employment policies under Article 148(4) of the Treaty;

Amendment

(1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes and ***programmes for macro-regional development funds and***, where applicable, the country-specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States, ***or a group of Member States that have established macro-regional development funds***, shall take into account in their employment policies under Article 148(4) of the Treaty;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1472
Younous Omarjee, Cornelia Ernst

Proposal for a regulation
Part 3 – article 95 – paragraph 1 – point 1

Text proposed by the Commission

1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes ***and, where applicable, the country-specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States shall take into account in their employment policies under Article 148(4) of the Treaty;***

Amendment

1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes;

Or. fr

Amendment 1473
Alain Cadec, Marie-Thérèse Sanchez-Schmid, Maurice Ponga

Proposal for a regulation
Part 3 – article 95 – paragraph 1 – point 1

Text proposed by the Commission

(1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes and, where applicable, the country-specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States shall take into account in their employment policies under Article 148(4) of the Treaty;

Amendment

(1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes, ***of macro regional strategies and sea basins strategies where they have a significant impact, and,*** where applicable, the country-specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States shall take into account in their employment policies under Article 148(4)

of the Treaty;

Or. en

Justification

The Funds should be closely coordinated with macro regional strategies and sea basins strategies in order to ensure sufficient allocation from the Funds to these strategies.

Amendment 1474

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 2

Text proposed by the Commission

(2) the framework describing the relationship between the general and specific objectives of the joint action plan, the milestones and the targets for outputs and results, and the projects or types of projects envisaged;

Amendment

(2) the framework describing the relationship between the general and specific objectives of the joint action plan, the milestones and the targets for outputs and results, and the projects **and planned macro-regional projects** or types of projects **and types of macro-regional projects** envisaged;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1475

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 6

Text proposed by the Commission

(6) an analysis of the effects of the joint action plan on the promotion of equality between men and women and the prevention of discrimination;

Amendment

(6) an analysis of the effects of the joint action plan on the promotion of equality between men and women and the prevention of discrimination ***referred to in Article 7***

Or. en

Amendment 1476
Richard Seeber

Proposal for a regulation
Part 3 – article 95 – paragraph 1 – point 6

Text proposed by the Commission

(6) an analysis of the effects of the joint action plan on the promotion of equality between men and women and the prevention of discrimination;

Amendment

(6) an analysis of the effects of the joint action plan on the promotion of equality between men and women and the prevention of discrimination, ***where appropriate***;

Or. en

Amendment 1477
Cornelia Ernst, Younous Omarjee

Proposal for a regulation
Part 3 – article 95 – paragraph 1 – point 6 a (new)

Text proposed by the Commission

(6a) an analysis of the effects of the joint action plan on the promotion of inclusion of all groups facing or at risk of poverty and social exclusion;

Amendment

Or. en

Amendment 1478

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 7

Text proposed by the Commission

(7) an analysis of the effects of the joint action plan on the promotion of sustainable development, *where appropriate*;

Amendment

(7) an analysis of the effects of the joint action plan on the promotion of sustainable development *referred to in Article 8*;

Or. en

Amendment 1479

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 9 – point c

Text proposed by the Commission

(c) the financing plan by operational programme and priority axis, including the total eligible amount and the public support.

Amendment

(c) the financing plan by operational programme, *or programme for a macro-regional development fund*, and priority axis, including the total eligible amount and the public support.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1480

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 9 – point c a (new)

Text proposed by the Commission

Amendment

(c a) A state of play of measures taken to associate the partners in the setting up of the common action plan and their role in the implementation, follow-up and evaluation;

Or. en

Amendment 1481

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Besset, Catherine Grèze

Proposal for a regulation

Part 3 – article 95 – paragraph 1 – point 9 a (new)

Text proposed by the Commission

Amendment

(9 a) measures taken or planned in order to involve the partners referred to in Article 5 of this Regulation in the preparation, implementation, evaluation and monitoring of the joint action plan, including in their role as members monitoring committee.

Or. en

Amendment 1482

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 96 – paragraph 1 – subparagraph 2

Text proposed by the Commission

Amendment

Where the Commission, within three months following the submission of a joint action plan proposal, considers that it does not meet the appraisal requirements, it shall make observations to the Member State. The Member State shall provide to the

Where the Commission, within three months following the submission of a joint action plan proposal, considers that it does not meet the appraisal requirements, it shall make observations to the Member State, ***or a group of Member States that have***

Commission all necessary additional information requested and, where appropriate, revise the joint action plan accordingly.

established a macro-regional development fund. The Member State, *or the group of Member States that have established a macro-regional development fund*, shall provide to the Commission all necessary additional information requested and, where appropriate, revise the joint action plan accordingly.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1483

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 96 – paragraph 2

Text proposed by the Commission

2. Provided that any observations have been satisfactorily taken into account, the Commission shall adopt a decision approving the joint action plan no later than 6 months after its submission by the Member State but not before the adoption of the operational programmes concerned.

Amendment

2. Provided that any observations have been satisfactorily taken into account, the Commission shall adopt a decision approving the joint action plan no later than 6 months after its submission by the Member State, *or a group of Member States that have established a macro-regional development fund*, but not before the adoption of the operational programmes, *or programmes for macro-regional development funds*, concerned.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus

between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1484

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 96 – paragraph 3

Text proposed by the Commission

3. The decision referred to in paragraph 2 shall indicate the beneficiary and the objectives of the joint action plan, the milestones and targets for outputs and results, the costs of achieving these milestones, outputs and result targets, and the financing plan by operational programme and priority axis, including the total eligible amount and the public contribution, the implementation period of the joint action plan and, where relevant, the geographical coverage and target groups of the joint action plan.

Amendment

3. The decision referred to in Paragraph 2 shall indicate the beneficiary and the objectives of the joint action plan, the milestones and targets for outputs and results, the costs of achieving these milestones, outputs and result targets, and the financing plan by operational programme *or programme for a macro-regional development fund* and priority axis, including the total eligible amount and the public contribution, the implementation period of the joint action plan and, where relevant, the geographical coverage and target groups of the joint action plan.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1485

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 96 – paragraph 4

Text proposed by the Commission

4. Where the Commission refuses to allow support from the Funds to be given to a joint action plan, it shall notify the Member State of its reasons within the period laid down in paragraph 2.

Amendment

4. Where the Commission refuses to allow support from the Funds to be given to a joint action plan, it shall notify the Member State, ***or the group of Member States that has established a macro-regional development fund***, of its reasons within the period laid down in Paragraph 2.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1486

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 97 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The Member State or the managing authority shall set up a steering committee for the joint action plan, distinct from the monitoring committee of the operational programmes. The steering committee shall meet at least twice a year.

Amendment

The Member State, ***or a group of Member States that have established a macro-regional development fund***, or the managing authority shall set up a steering committee for the joint action plan, distinct from the monitoring committee of the operational programmes, ***or the monitoring committee of the programme for a macro-regional development fund***. The steering committee shall meet at least twice a year.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic

framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1487

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 97 – paragraph 1 – subparagraph 2

Text proposed by the Commission

Its composition shall be decided by the Member State in agreement with the managing authority, respecting the principle of partnership.

Amendment

Its composition shall be decided by the Member State, **or a group of Member States that have established a macro-regional development fund**, in agreement with the managing authority, respecting the principle of partnership.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1488

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda

Proposal for a regulation

Part 3 – article 97 – paragraph 2 – point a

Text proposed by the Commission

(a) review progress towards achieving the milestones, outputs and results of the joint action plan;

Amendment

(a) review progress towards achieving the milestones, outputs and results of the joint action plan **and transmit the results to the monitoring committee;**

Or. en

Amendment 1489

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Besset, Catherine Grèze

Proposal for a regulation

Part 3 – article 97 – paragraph 2 – point b

Text proposed by the Commission

(b) consider and approve any proposal to amend the joint action plan in order to take account of any issues affecting its performance.

Amendment

(b) consider and approve any proposal to amend the joint action plan in order to take account of any issues affecting its performance **and transmit the results to the monitoring committee.**

Or. en

Amendment 1490

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 97 – paragraph 3

Text proposed by the Commission

3. Requests for amendment of joint action plans submitted by a Member State shall be duly substantiated. The Commission shall assess whether the request for amendment is justified, taking account of the information provided by the Member State. The Commission may make observations and the Member State shall provide to the Commission all necessary additional information. The Commission shall adopt a decision on a request for amendment no later than three months after its formal submission by the Member State, provided that any observations made by the Commission have been satisfactorily taken into account. The amendment shall enter into force from the date of the decision, unless otherwise set out in the decision.

Amendment

3. Requests for amendment of joint action plans submitted by a Member State, **or a group of Member States that have established a macro-regional development fund**, shall be duly substantiated. The Commission shall assess whether the request for amendment is justified, taking account of the information provided by the Member State, **or a group of Member States that have established a macro-regional development fund**. The Commission may make observations and the Member State, **or a group of Member States that have established a macro-regional development fund**, shall provide to the Commission all necessary additional information. The Commission shall adopt a decision on a request for amendment no later than three months after its formal submission by the Member State, **or a group of Member States that have**

established a macro-regional development fund, provided that any observations made by the Commission have been satisfactorily taken into account. The amendment shall enter into force from the date of the decision, unless otherwise set out in the decision.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1491

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 98 – paragraph 2

Text proposed by the Commission

2. The financial management, control and audit of the joint action plan shall aim exclusively at verifying that the conditions for payments defined in the decision approving the joint action plan have been fulfilled.

Amendment

2. The financial management, control and audit of the joint action plan shall aim exclusively at verifying that the conditions for payments defined in the decision approving the joint action plan have been fulfilled. ***Besides that, concerned Member States or regions concerned may decide about stages of fulfillments of the requirements of a joint action plan and an annual or multiannual period for payments.***

Or. en

Amendment 1492

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation
Part 3 – article 99 – paragraph 1

Text proposed by the Commission

Amendment

1. Where an urban development strategy or other territorial strategy or pact as defined in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI'). **deleted**

Or. en

Amendment 1493

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation
Part 3 – chapter 4 – title

Text proposed by the Commission

Amendment

Territorial development

Territorial development ¹

¹ *The whole chapter IV "Territorial development" shall be moved to Part One of the Regulation.*

Or. en

Amendment 1494

Markus Pieper, Herbert Reul, Joachim Zeller

Proposal for a regulation
Part 3 – article 99 – paragraph 1

Text proposed by the Commission

Amendment

1. Where an urban development strategy or other territorial strategy or pact as defined

1. Where an urban development strategy, ***an infrastructural strategy***, or other

in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

territorial strategy or pact as defined in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

Or. de

Amendment 1495
Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation
Part 3 – article 99 – paragraph 1

Text proposed by the Commission

1. Where an urban development strategy or other territorial strategy or pact as **defined** in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

Amendment

1. Where an **a sustainable** urban development strategy **as referred to in Article 7 of Regulation ...[ERDF]** or other territorial strategy or pact as **referred to** in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

Or. en

Justification

This amendment clarifies that Member States have the possibility to use ITI for sustainable urban development strategies as referred to in the ERDF proposed regulation and for other type of territorial strategies as referred to in the ESF proposed regulation.

Amendment 1496
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 99 – paragraph 1

Text proposed by the Commission

1. Where an urban development strategy or other territorial strategy or pact as defined in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

Amendment

1. Where an urban development strategy or other territorial strategy or pact as defined in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, **or programmes for macro-regional development funds**, the action shall be carried out as an integrated territorial investment (an 'ITI').

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1497

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 99 – paragraph 1

Text proposed by the Commission

1. Where an urban development strategy or other territorial strategy or pact as defined in Article 12(1) of Regulation...[ESF] requires an integrated approach involving investments under more **than one** priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

Amendment

1. Where an urban development strategy or other territorial strategy or pact as defined in Article 12(1) of Regulation...[ESF] requires an integrated approach **as referred to in Art. 87 paragraphs 1 (b), (c) and (d)**, involving investments under **one or more** priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

Amendment 1498
Alain Cadec, Maurice Ponga

Proposal for a regulation
Part 3 – article 99 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. Where Member States and regions participate in macro regional strategies or sea basins strategies, ITIs are consistent with these strategies.

Or. en

Justification

The Funds should be closely coordinated with macro regional strategies and sea basins strategies in order to ensure sufficient allocation from the Funds to these strategies.

Amendment 1499
Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation
Part 3 – article 99 – paragraph 2

Text proposed by the Commission

Amendment

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation from each priority axis to each ITI. ***deleted***

Or. en

Amendment 1500
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 99 – paragraph 2

Text proposed by the Commission

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation from each priority axis to each ITI.

Amendment

2. The relevant operational programmes, ***or programmes for macro-regional development funds***, shall identify the ITIs planned and shall set out the indicative financial allocation from each priority axis to each ITI.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1501

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 99 – paragraph 2

Text proposed by the Commission

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation ***from*** each priority axis to ***each*** ITI.

Amendment

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation ***within*** each priority axis ***or within the priority axes involved to the planned*** ITI.

Or. en

Amendment 1502

Peter Simon

Proposal for a regulation

Part 3 – article 99 – paragraph 2

Text proposed by the Commission

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation **from each priority axis** to each ITI.

Amendment

2. The relevant operational programmes shall identify the ITIs planned **on the basis of the indicative list of cities, functional urban areas or other functional territorial areas where ITI is to take place**, and shall set out the indicative financial allocation to each ITI. **Investment priorities for all thematic objectives according to Article 9 can be combined and implemented within an ITI, irrespective of the priority axes of the operational programmes.**

Or. de

Justification

In order to take account of an integrated ,sustainable urban, regional or territorial development strategy insofar as possible using the new ITI instrument and to enable a flexible response to development requirements at local level, the urban areas for development should be defined on this basis and the resources should be allocated to the ITI without reference to the priority axes of the operational programmes.

Amendment 1503
Michael Theurer

Proposal for a regulation
Part 3 – article 99 – paragraph 2

Text proposed by the Commission

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation from each priority axis to each ITI.

Amendment

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation from each priority axis to each ITI. **In order to implement ITI, thematic objectives can also be used that are not used outside of ITI.**

Or. de

Justification

The offices with responsibility for urban development have signalled that it is difficult to implement ITI in the three thematic areas of the ERDF to be handled with priority as an integrated concept. Several priority axes are required in order to achieve effective integrated development. Because up to 80 % of resources are reserved for priority thematic objectives in the ERDF and a further around 15 % is allocated to other purposes, it is almost impossible to establish more than one priority axis.

Amendment 1504

Manfred Weber

Proposal for a regulation

Part 3 – article 99 – paragraph 2

Text proposed by the Commission

2. The relevant ***operational programmes*** shall ***identify*** the ITIs planned and shall set out the indicative financial allocation ***from each priority axis*** to each ITI.

Amendment

2. The relevant ***Operational Programmes*** shall ***outline the selection procedure regarding the*** ITIs planned and shall set out the indicative financial allocation to each ITI.

Or. en

Justification

In alignment with the amendment regarding Article 87(2)(c)(iii) a successful implementation of the EU Cohesion Policy post 2013 on the local level requires sufficient time on the ground for drafting the development strategies the ITIs are based on.

Amendment 1505

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation

Part 3 – article 99 – paragraph 3

Text proposed by the Commission

3. The Member State or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies or non-governmental organisations, to

Amendment

Deleted

carry out the management and implementation of an ITI.

Or. en

Amendment 1506
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 99 – paragraph 3

Text proposed by the Commission

3. The Member State or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies or non-governmental organisations, to carry out the management and implementation of an ITI.

Amendment

3. *The relevant operational programmes shall identify the ITIs planned and shall set out the indicative allocation from each priority axis to each ITI.* The Member State, ***a group of Member States that has established a macro-regional fund,*** or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies or non-governmental organisations, to carry out the management and implementation of an ITI.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1507
Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 99 – paragraph 3

Text proposed by the Commission

3. The Member State or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies or non-governmental organisations, to carry out the management and implementation of an ITI.

Amendment

3. The Member State or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies, or non-governmental organisations **or local action groups referred to in Article 30**, to carry out the management and implementation of an ITI. **The coherence of the ITI with regional sustainable development strategies at regional level has to be ensured.**

Or. en

Amendment 1508

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation

Part 3 – article 99 – paragraph 4

Text proposed by the Commission

4. The Member State or the relevant managing authorities shall ensure that the monitoring system for the operational programme provides for the identification of operations and outputs of a priority axis contributing to an ITI.

Amendment

deleted

Or. en

Amendment 1509

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 99 – paragraph 4

Text proposed by the Commission

4. The Member State or the relevant managing authorities shall ensure that the

Amendment

4. The Member State, **a group of Member States that have established a macro-**

monitoring system for the operational programme provides for the identification of operations and outputs of a priority axis contributing to an ITI.

regional development fund, or the relevant managing authorities shall ensure that the monitoring system for the operational programme, *or the programme for a macro-regional development fund*, provides for the identification of operations and outputs of a priority axis contributing to an ITI.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1510

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 99 – paragraph 4

Text proposed by the Commission

4. The Member State or the relevant managing authorities shall ensure that the monitoring system for the operational programme provides for the identification of operations and outputs of *a priority axis contributing to* an ITI.

Amendment

4. The Member State or the relevant managing authorities shall ensure that the monitoring system for the operational programme provides for the identification of operations and outputs of an ITI.

Or. en

Amendment 1511

Richard Seeber

Proposal for a regulation

Part 3 – article 99 – paragraph 4

Text proposed by the Commission

4. The Member State or the relevant managing authorities shall ensure that the monitoring system for the operational programme provides for the identification of operations and outputs of a priority axis contributing to an ITI.

Amendment

4. The Member State or the relevant managing authorities shall ensure that the monitoring system for the operational programme provides for the identification of operations and outputs of a priority axis contributing to an ITI. ***If an ITI is supported by more than one programme or priority axes the operations and results may be allocated on the level of the ITI without being assigned to a certain priority.***

Or. en

Amendment 1512

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 100 – paragraph 1 – point a

Text proposed by the Commission

(a) any issues that affect the performance of the operational programme;

Amendment

(a) any issues that affect the performance of the operational programme, ***or the programme for a macro-regional development fund;***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1513

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 100 – paragraph 1 – point d

Text proposed by the Commission

Amendment

(d) implementation of major projects;

(d) implementation of major projects ***and macro-regional projects***;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1514

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 100 – paragraph 1 – point f

Text proposed by the Commission

Amendment

(f) actions to ***promote*** equality between men and women, equal opportunities, and non-discrimination, including accessibility for disabled persons;

(f) actions to ***ensure*** equality between men and women, equal opportunities, and non-discrimination, including accessibility for disabled persons;

Or. en

Amendment 1515

Richard Seeber

Proposal for a regulation

Part 3 – article 100 – paragraph 1 – point f

Text proposed by the Commission

Amendment

(f) actions to ***promote*** equality between men and women, equal opportunities, and non-discrimination, including accessibility for disabled persons;

(f) actions to ***take into account*** equality between men and women, equal opportunities, and non-discrimination, including accessibility for disabled persons;

Amendment 1516
Giommaria Uggias

Proposal for a regulation
Part 3 – article 100 – paragraph 1 – point f bis (new)

Text proposed by the Commission

Amendment

*(fa) actions aimed at combating poverty
and promoting social inclusion of
disadvantaged groups of people;*

Or. it

Amendment 1517
Richard Seeber

Proposal for a regulation
Part 3 – article 100 – paragraph 1 – point g

Text proposed by the Commission

Amendment

(g) actions to *promote* sustainable
development;

(g) actions to *take into account* sustainable
development;

Or. en

Amendment 1518
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 100 – paragraph 1 – point h

Text proposed by the Commission

Amendment

(h) actions in the operational programme
relating to the fulfilment of ex ante
conditionalities;

(h) actions in the operational programme,
*or the programme for a macro-regional
development fund*, relating to the
fulfilment of *ex ante* conditionalities;

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1519

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 100 – paragraph 1 – point i

Text proposed by the Commission

Amendment

(i) financial instruments.

(i) ***the implementation of*** financial instruments.

Or. en

Amendment 1520

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 100 – paragraph 2 – point b

Text proposed by the Commission

Amendment

(b) the annual and final implementation reports;

(b) the annual and final implementation reports ***and the progress reports in 2017 and 2019;***

Or. en

Amendment 1521

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 100 – paragraph 2 – point c

Text proposed by the Commission

Amendment

(c) the evaluation plan for the operational programme and any amendment of the plan;

(c) the evaluation plan for the operational programme, **or the programme for a macro-regional development fund**, and any amendment of the plan;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1522

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 100 – paragraph 2 – point d

Text proposed by the Commission

Amendment

(d) the communication strategy for the operational programme and any amendment of the strategy;

(d) the communication strategy for the operational programme, **or the programme for a macro-regional development fund**, and any amendment of the strategy;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1523

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 100 – paragraph 2 – point e

Text proposed by the Commission

(e) any proposal by the managing authority for any amendment to the operational programme.

Amendment

(e) any proposal by the managing authority for any amendment to the operational programme, ***or programme for a macro-regional development fund.***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1524

Iosif Matula, Iuliu Winkler, Petru Constantin Luhan, Andrey Kovatchev

Proposal for a regulation

Part 3 – article 101 – paragraph 1

Text proposed by the Commission

1. By 30 ***April*** 2016 and by 30 ***April*** of each subsequent year until and including 2022 the Member State shall submit to the Commission an annual report in accordance with Article 44(1). The report submitted in 2016 shall cover the financial years 2014 and 2015, as well as the period between the starting date for eligibility of expenditure and 31 December 2013.

Amendment

1. By 30 ***June*** 2016 and by 30 ***June*** of each subsequent year until and including 2022 the Member State shall submit to the Commission an annual ***implementation*** report in accordance with Article 44(1). The report submitted in 2016 shall cover the financial years 2014 and 2015, as well as the period between the starting date for eligibility of expenditure and 31 December 2013.

Or. en

Amendment 1525

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 101 – paragraph 3 – point a

Text proposed by the Commission

(a) progress in implementation of the integrated approach to territorial development, including sustainable urban development, and community-led local development under the operational programme;

Amendment

(a) progress in implementation of the integrated approach to territorial development, including ***development of territories facing permanent or severe demographic and natural handicaps***, sustainable urban development, and community-led local development under the operational programme;

Or. en

Amendment 1526
Fiorello Provera

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point a

Text proposed by the Commission

a) progress in implementation of the integrated approach to territorial development, including sustainable urban development, and community-led local development under the operational programme;

Amendment

a) progress in implementation of the integrated approach to territorial development, including sustainable urban development, ***development of territories with permanent and severe natural or demographic handicaps***, and community-led local development under the operational programme;

Or. fr

Amendment 1527
Bogusław Sonik

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point a

Text proposed by the Commission

(a) progress in implementation of the integrated approach to territorial development, including sustainable urban

Amendment

(a) progress in implementation of the integrated approach to territorial development, including ***development of***

development, and community-led local development under the operational programme;

territories facing permanent or severe demographic and natural handicaps, sustainable urban development, and community-led local development under the operational programme;

Or. en

Amendment 1528
Richard Seeber

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point b

Text proposed by the Commission

Amendment

(b) progress in implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds;

deleted

Or. en

Amendment 1529
Alain Cadec, Marie-Thérèse Sanchez-Schmid, Maurice Ponga

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point c

Text proposed by the Commission

Amendment

(c) progress in implementation of any interregional and transnational actions;

(c) *where appropriate*, progress in implementation of *macro regional strategies and sea basins strategies and of any other* interregional and transnational actions;

Or. en

Justification

The Funds should be closely coordinated with macro regional strategies and sea basins strategies in order to ensure sufficient allocation from the Funds to these strategies.

Amendment 1530
Richard Seeber

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point c

Text proposed by the Commission

(c) progress in implementation of any interregional and transnational actions;

Amendment

(c) progress in implementation of any interregional and transnational actions, *where appropriate*;

Or. en

Amendment 1531
Giommaria Uggias

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point e

Text proposed by the Commission

e) the specific actions taken to promote equality between men and women and to prevent discrimination, including accessibility for disabled persons, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations;

Amendment

e) the specific actions taken to promote equality between men and women and to prevent discrimination, including accessibility for disabled persons, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations, *as well as specific measures adopted to combat poverty and promote social inclusion of vulnerable groups of people*;

Or. it

Amendment 1532
Younous Omarjee, Cornelia Ernst

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point e bis (new)

Text proposed by the Commission

Amendment

ea) actions to promote equal opportunities for young people to succeed, to promote access to cultural learning for all young people and to promote equal access to sports, recreational activities and travel for young people

Or. fr

Amendment 1533

Younous Omarjee, Cornelia Ernst

Proposal for a regulation

Part 3 – article 101 – paragraph 3 – point f

Text proposed by the Commission

Amendment

f) actions taken to promote sustainable development in accordance with Article 8;

f) actions taken to promote sustainable development in accordance with Article 8, ***actions taken for adapting to and combating climate change, and actions taken to promote respect for and preservation of the environment, biodiversity and ecosystems;***

Or. fr

Amendment 1534

Younous Omarjee, Cornelia Ernst

Proposal for a regulation

Part 3 – article 101 – paragraph 3 – point h

Text proposed by the Commission

Amendment

h) progress in the implementation of actions in the field of social innovation, where appropriate;

h) progress in the implementation of actions in the field of social innovation ***and social economy***, where appropriate;

Or. fr

Amendment 1535
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point i

Text proposed by the Commission

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Amendment

(i) progress in the implementation of measures to address the **regional demographic challenges and the** specific needs of geographical areas most affected by **permanent or severe demographic and natural handicaps**, poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Or. en

Amendment 1536
Fiorello Provera

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point i

Text proposed by the Commission

i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Amendment

i) progress in the implementation of measures to address the specific needs of **areas affected by permanent and severe natural or demographic handicaps**, geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Or. fr

Amendment 1537

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 101 – paragraph 3 – point i

Text proposed by the Commission

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, *where appropriate*, the financial resources used;

Amendment

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or *social* exclusion, with special regard to marginalised communities including the financial resources used;

Or. en

Amendment 1538

Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation

Part 3 – article 101 – paragraph 3 – point i

Text proposed by the Commission

i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Amendment

i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities *and persons with disabilities* including, where appropriate, the financial resources used;

Or. xm

Justification

The inclusion of persons with disabilities reinforces the paragraph while remaining consistent with other provisions in the Regulation.

Amendment 1539
Marian Harkin, Catherine Bearder

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point i

Text proposed by the Commission

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Amendment

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities **and persons with disabilities** including, where appropriate, the financial resources used;

Or. en

Amendment 1540
Mojca Kleva

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point i

Text proposed by the Commission

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

Amendment

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to **women**, marginalised communities, **and persons with disabilities**, including, where appropriate, the financial resources used;

Or. en

Amendment 1541
Richard Seeber

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point j

Text proposed by the Commission

Amendment

(j) the involvement of the partners in the implementation, monitoring and evaluation of the operational programme.

deleted

Or. en

Amendment 1542
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 101 – paragraph 3 – point j a (new)

Text proposed by the Commission

Amendment

(j a) Of the implementation of the priorities and the principles of the Small Business Act for Europe;

Or. en

Amendment 1543
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 101 a (new)

Text proposed by the Commission

Amendment

Article 101a

Implementation reports for the Macro-Regional Cooperation goal

(1) By 30 April 2016 and by 30 April of each subsequent year until and including 2022, the group of Member States that have established a macro-regional development fund shall submit to the Commission an annual report in accordance with Article 44(1). The report submitted in 2016 shall cover the financial years 2014 and 2015, as well as

the period between the starting date for eligibility of expenditure and 31 December 2013.

(2) Annual implementation reports shall set out information on:

(a) implementation of the programme for the macro-regional development fund in accordance with Article 44(2);

(b) progress in preparation and implementation of macro-regional projects and joint action plans.

(3) The annual implementation reports submitted in 2017 and 2019 shall set out and assess the information required under Articles 44(3) and (4) respectively, the information set out in Paragraph 2, together with:

(c) progress in implementation of the integrated approach to macro-regional development under the programme for the macro-regional development fund;

(d) progress in implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds;

(e) progress in implementation of any interregional and transnational actions;

(f) progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations;

(g) the specific actions taken to promote equality between men and women and to prevent discrimination, including accessibility for disabled persons, and the arrangements implemented to ensure the integration of the gender perspective in the programme for the macro-regional development fund and operations;

(h) actions taken to promote sustainable development in accordance with Article 8;

(i) the results of the information and publicity measures of the Funds carried out under the communication strategy;

(j) progress in the implementation of actions in the field of social innovation, where appropriate;

(k) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

(l) the involvement of the partners in the implementation, monitoring and evaluation of the programme for the macro-regional development fund.

(4) The annual and final implementation reports shall be drawn up following models adopted by the Commission by means of implementing acts. These implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 143(2).

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework and on real macro-regional cooperation based on dialogue and consensus between Member States agreeing to establish a macro-regional development fund or States acceding to an agreement on establishing a macro-regional development fund.

Amendment 1544
Hermann Winkler

Proposal for a regulation
Part 3 – article 102

Text proposed by the Commission

Amendment

Article 102

deleted

Transmission of financial data

1. By 31 January, 30 April, 31 July and

31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis::

(a) the total and public eligible cost of the operations and the number of operations selected for support;

(b) the total and public eligible cost of contracts or other legal commitments entered into by beneficiaries in implementation of operations selected for support;

(c) the total eligible expenditure declared by beneficiaries to the managing authority.

2. In addition, the transmission on 31 January shall contain the above data broken down by category of intervention. This transmission shall be considered to fulfil the requirement for the submission of financial data referred to in Article 44(2).

3. A forecast of the amount for which Member States expect to submit payment applications for the current financial year and the subsequent financial year shall accompany the transmissions to be made by 31 January and 31 July.

4. The cut-off date for the data submitted under this Article shall be the end of the month preceding the month of submission..

Or. de

Justification

Reporting obligations would be significantly tightened in comparison with the current period, in terms of both scope and frequency. This ties up a lot of capacity and hampers implementation.

**Amendment 1545
Richard Seeber**

Proposal for a regulation
Part 3 – article 102 – paragraph 1

Text proposed by the Commission

Amendment

1. By 31 January, 30 April, 31 July and 31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

deleted

(a) the total and public eligible cost of the operations and the number of operations selected for support;

(b) the total and public eligible cost of contracts or other legal commitments entered into by beneficiaries in implementation of operations selected for support;

(c) the total eligible expenditure declared by beneficiaries to the managing authority.

Or. en

Amendment 1546
Oldřich Vlasák, James Nicholson

Proposal for a regulation
Part 3 – article 102 – paragraph 1 – introductory part

Text proposed by the Commission

Amendment

1. By 31 January, 30 April, 31 July and 31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

1. By 31 January and 31 July, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

Or. en

Amendment 1547

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 102 – paragraph 1 – introductory part

Text proposed by the Commission

1. By 31 January, 30 April, 31 July and 31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

Amendment

1. By 31 January, 30 April, 31 July and 31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme, **or programme for a macro-regional development fund**, and by priority axis:

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1548

Peter Simon

Proposal for a regulation

Part 3 – article 102 – paragraph 1 – introductory part

Text proposed by the Commission

1. By **31 January**, 30 April, **31 July** and 31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

Amendment

1. By 30 April and 31 October, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

Or. de

Justification

In line with the objective of simplifying administrative procedures and focusing on the implementation of the projects, the transfer of the financial data should be based more closely on the principle of proportionality.

Amendment 1549
Younous Omarjee, Cornelia Ernst

Proposal for a regulation
Part 3 – article 102 – paragraph 1 – introductory part

Text proposed by the Commission

Amendment

1. By 31 January, **30 April**, 31 July **and 31 October**, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

1. By 31 January and 31 July, the managing authority shall transmit electronically to the Commission for monitoring purposes, for each operational programme and by priority axis:

Or. fr

Amendment 1550
Oldřich Vlasák, James Nicholson

Proposal for a regulation
Part 3 – article 102 – paragraph 1 – point b

Text proposed by the Commission

Amendment

(b) the total and public eligible cost of contracts or other legal commitments entered into by beneficiaries in implementation of operations selected for support;

deleted

Or. en

Amendment 1551
Richard Seeber

Proposal for a regulation
Part 3 – article 102 – paragraph 2

Text proposed by the Commission

Amendment

2. In addition, the transmission on 31

deleted

January shall contain the above data broken down by category of intervention. This transmission shall be considered to fulfil the requirement for the submission of financial data referred to in Article 44(2).

Or. en

Amendment 1552
Peter Simon

Proposal for a regulation
Part 3 – article 102 – paragraph 2

Text proposed by the Commission

Amendment

2. In addition, the transmission on **31 January** shall contain the above data broken down by category of intervention. This transmission shall be considered to fulfil the requirement for the submission of financial data referred to in Article 44(2).

2. In addition, the transmission on **30 April** shall contain the above data broken down by category of intervention. This transmission shall be considered to fulfil the requirement for the submission of financial data referred to in Article 44(2).

Or. de

Justification

In line with the objective of simplifying administrative procedures and focusing on the implementation of the projects, the transfer of the financial data should be based more closely on the principle of proportionality.

Amendment 1553
Oldřich Vlasák, James Nicholson

Proposal for a regulation
Part 3 – article 102 – paragraph 3

Text proposed by the Commission

Amendment

3. A forecast of the amount for which Member States expect to submit payment applications for the current financial year

deleted

and the subsequent financial year shall accompany the transmissions to be made by 31 January and 31 July.

Or. en

Amendment 1554
Peter Simon

Proposal for a regulation
Part 3 – article 102 – paragraph 3

Text proposed by the Commission

Amendment

3. A forecast of the amount for which Member States expect to submit payment applications for the current financial year and the subsequent financial year shall accompany the transmissions to be made by *31 January and 31 July*.

3. A forecast of the amount for which Member States expect to submit payment applications for the current financial year and the subsequent financial year shall accompany the transmissions to be made by *30 April*.

Or. de

Justification

In line with the objective of simplifying administrative procedures and focusing on the implementation of the projects, the transfer of the financial data should be based more closely on the principle of proportionality.

Amendment 1555
Richard Seeber

Proposal for a regulation
Part 3 – article 102 – paragraph 4

Text proposed by the Commission

Amendment

4. The cut-off date for the data submitted under this Article shall be the end of the month preceding the month of submission.

deleted

Or. en

Amendment 1556
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 102 – paragraph 4

Text proposed by the Commission

4. A forecast of the amount for which Member States expect to submit payment applications for the current financial year and the subsequent financial year shall accompany the transmissions to be made by 31 January and 31 July.

Amendment

4. A forecast of the amount for which Member States, ***or a group of Member States that have established a macro-regional development fund***, expect to submit payment applications for the current financial year and the subsequent financial year shall accompany the transmissions to be made by 31 January and 31 July.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1557
Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation
Part 3 – article 103 – paragraph 1 – introductory part

Text proposed by the Commission

The report of the Commission referred to in Article 175 of the Treaty shall include:

Amendment

1. The report of the Commission referred to in Article 175 of the Treaty shall include ***in particular***:

Or. en

Amendment 1558
Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation
Part 3 – article 103 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

The report shall also contain, if necessary any proposals on measures and policies which should be adopted in order to strengthen economic, social and territorial cohesion, as well as to deliver the Union's priorities.

Or. en

Amendment 1559
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 104 – paragraph 1

Text proposed by the Commission

Amendment

1. An evaluation plan shall be drawn up by the managing authority for each operational programme. The evaluation plan shall be submitted to the first meeting of the monitoring committee. Where a single monitoring committee covers more than one operational programme, an evaluation plan may cover all the operational programmes concerned.

1. An evaluation plan shall be drawn up by the managing authority for each operational programme, *or programme for a macro-regional development fund*. The evaluation plan shall be submitted to the first meeting of the monitoring committee. Where a single monitoring committee covers more than one operational programme, an evaluation plan may cover all the operational programmes concerned.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1560
Richard Seeber

Proposal for a regulation
Part 3 – article 104 – paragraph 1

Text proposed by the Commission

1. An evaluation plan shall be drawn up by the managing authority for each operational programme. The evaluation plan shall be submitted **to** the first **meeting of the monitoring committee**. Where a single monitoring committee covers more than one operational programme, an evaluation plan may cover all the operational programmes concerned.

Amendment

1. An evaluation plan shall be drawn up by the managing authority for each operational programme. The evaluation plan shall be submitted **with** the first **implementation report**. Where a single monitoring committee covers more than one operational programme, an evaluation plan may cover all the operational programmes concerned.

Or. en

Amendment 1561
Richard Seeber

Proposal for a regulation
Part 3 – article 104 – paragraph 2

Text proposed by the Commission

2. By 31 December 2020, managing authorities shall submit to the Commission, for each programme, a report summarising the findings of evaluations carried out during the programming period, including an assessment of the main outputs and results of the programme.

Amendment

deleted

Or. en

Amendment 1562
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 104 – paragraph 2

Text proposed by the Commission

2. By 31 December 2020, managing authorities shall submit to the Commission, for each programme, a report summarising the findings of evaluations carried out during the programming period, including an assessment of the main outputs and results of the programme.

Amendment

2. By 31 December 2020, managing authorities shall submit to the Commission, for each programme, **or programme for a macro-regional development fund**, a report summarising the findings of evaluations carried out during the programming period, including an assessment of the main outputs and results of the programme, **or programme for a macro-regional development fund**.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1563

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 104 – paragraph 3

Text proposed by the Commission

3. The Commission shall carry out ex post evaluations in close cooperation with the Member States and managing authorities.

Amendment

3. The Commission shall carry out *ex post* evaluations in close cooperation with the Member States, **or with a group of Member States that have established a macro-regional development fund**, and managing authorities.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1564

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 105 – paragraph 1 – introductory part

Text proposed by the Commission

1. Member States and managing authorities shall be responsible for:

Amendment

1. Member States, ***or a group of Member States that have established a macro-regional development fund***, and managing authorities shall be responsible for:

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1565

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 105 – paragraph 1 – point a

Text proposed by the Commission

(a) ensuring the establishment of a single website or a single website portal providing information on, and access to, all operational programmes in that Member State;

Amendment

(a) ensuring the establishment of a single website or a single website portal providing information on, and access to, all operational programmes in that Member State, ***or programmes for macro-regional development funds in those macro-regions***;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1566
Andrey Kovatchev, Iosif Matula, Petru Constantin Luhan

Proposal for a regulation
Part 3 – article 105 – paragraph 1 – point a

Text proposed by the Commission

(a) ensuring the establishment of a single website or a single website portal providing information on, and access to, all operational programmes in that Member State;

Amendment

(a) ensuring the establishment of a single website or a single website portal providing information on, and access to, all operational programmes in that Member State, ***including programming documents, observations received from the public and partners and how they have been taken into account (including a justification in the case rejection)***;

Or. en

Amendment 1567
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 105 – paragraph 1 – point b

Text proposed by the Commission

(b) informing potential beneficiaries about funding opportunities under operational programmes;

Amendment

(b) informing potential beneficiaries about funding opportunities under operational programmes, ***or programmes for macro-regional development funds***;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1568
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 105 – paragraph 1 – point c

Text proposed by the Commission

(c) publicising to Union citizens the role and achievements of cohesion policy and of the Funds through information and communication actions on the results and impact of Partnership Contracts, operational programmes and operations.

Amendment

(c) publicising to Union citizens the role and achievements of cohesion policy and of the Funds through information and communication actions on the results and impact of Partnership Contracts, operational programmes, *or programmes for macro-regional development funds*, and operations.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1569

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 105 – paragraph 1 – point c a (new)

Text proposed by the Commission

Amendment

(c a) informing the public about the timelines for programming and about the expected timelines of all related public consultation processes at all stages of the preparation of the Partnership Contract and the programmes;

Or. en

Amendment 1570

Andrey Kovatchev, Iosif Matula, Petru Constantin Luhan

Proposal for a regulation
Part 3 – article 105 – paragraph 1 – point c a (new)

Text proposed by the Commission

Amendment

(c a) informing the public about the timeline of programming and the expected timing and form of all related public consultation processes from the start of the drafting of Partnership Contracts and updating this timeline at least every three months.

Or. en

Amendment 1571

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 105 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. The EU institutions and the advisory bodies shall organise raising awareness actions in order to explain how cohesion policy works.

Or. en

Amendment 1572

Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 105 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. informing the public about the timeline of programming and the expected timing and form of related public consultation processes from the start of the drafting of national reform strategies

*forming the basis of Partnership
Contracts and for updating this timeline
at least every two months.*

Or. en

Amendment 1573
Giommaria Uggias

Proposal for a regulation
Part 3 – article 105 – paragraph 1 bis (new)

Text proposed by the Commission

Amendment

1a. Awareness-raising actions can also be organised by European institutions and advisory bodies in order to mirror the way in which cohesion policy functions, as well as its added value for the EU

Or. it

Amendment 1574
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 105 – paragraph 2 – subparagraph 1

Text proposed by the Commission

Amendment

Member States shall in order to ensure transparency in the support of the Funds maintain a list of operations by operational programme and by Fund in CSV or XML format which shall be accessible through the single website or the single website portal providing a list and summary of all operational programmes in that Member State.

Member States, *or a group of Member States that have established a macro-regional development fund*, shall in order to ensure transparency in the support of the Funds maintain a list of operations by operational programme, *or programme for a macro-regional development fund*, and by Fund in CSV or XML format which shall be accessible through the single website or the single website portal providing a list and summary of all operational programmes in that Member State, *or programmes for macro-regional development funds in macro-regions*.

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1575
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 105 – paragraph 2 – subparagraph 1 – indent 1 (new)

Text proposed by the Commission

Amendment

- Member States shall, on the same website, publish programming documents for public consultation, as well as the observations and comments received from the public, including partners, and the feedback on the observations and comments, including a justification in the case of rejection.

Or. en

Amendment 1576
Cornelia Ernst, Younous Omarjee

Proposal for a regulation
Part 3 – article 105 – paragraph 2 – subparagraph 2

Text proposed by the Commission

Amendment

The list of operations shall be updated at least every **three** months.

The list of operations shall be updated at least every **six** months.

Or. en

Amendment 1577
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 106 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The managing authority shall draw up a communication strategy for each operational programme. A common communication strategy may be drawn up for several operational programmes.

Amendment

The managing authority shall draw up a communication strategy for each operational programme, ***or programme for a macro-regional development fund***. A common communication strategy may be drawn up for several operational programmes.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1578
Richard Seeber

Proposal for a regulation
Part 3 – article 106 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The communication strategy shall include the elements set out in Annex V ***and annual updates*** with details of the planned information and publicity activities to be carried out.

Amendment

The communication strategy shall include the elements set out in Annex V with details of the planned information and publicity activities to be carried out.

Or. en

Amendment 1579
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 106 – paragraph 2 – subparagraph 1

Text proposed by the Commission

The communication strategy shall be discussed and approved by the first monitoring committee following the adoption of the operational programme.

Amendment

The communication strategy shall be discussed and approved by the first monitoring committee following the adoption of the operational programme, ***or programme for a macro-regional development fund.***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1580

Richard Seeber

Proposal for a regulation

Part 3 – article 106 – paragraph 2 – subparagraph 2

Text proposed by the Commission

Any revision of the communication strategy shall be discussed in, ***and approved by,*** the monitoring committee.

Amendment

Any revision of the communication strategy shall be discussed in the monitoring committee.

Or. en

Amendment 1581

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 106 – paragraph 3

Text proposed by the Commission

3. The managing authority shall inform the monitoring committee for each operational programme at least once a year of progress in the implementation of the communication strategy and its assessment

Amendment

3. The managing authority shall inform the monitoring committee for each operational programme, ***or programme for a macro-regional development fund,*** at least once a year of progress in the implementation of

of the results.

the communication strategy and its
assessment of the results.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1582

Richard Seeber

Proposal for a regulation

Part 3 – article 106 – paragraph 3

Text proposed by the Commission

3. The managing authority shall inform the monitoring committee for each operational programme at least once a year of progress in the implementation of the communication strategy ***and its assessment of the results.***

Amendment

3. The managing authority shall inform the monitoring committee for each operational programme at least once a year of progress in the implementation of the communication strategy.

Or. en

Amendment 1583

Richard Seeber

Proposal for a regulation

Part 3 – article 107

Text proposed by the Commission

Article 107

Information and communication officers and their networks

1. Each Member State shall designate an information and communication officer to coordinate information and communication actions in relation to one or several Funds and shall inform the

Amendment

deleted

Commission accordingly.

2. The information and communication officer shall coordinate and chair meetings of a national network of Funds' communicators, including relevant European territorial cooperation programmes, the creation and maintenance of the website or website portal referred to in Annex V and the obligation to provide an overview about communication measures undertaken at national level.

3. Each managing authority shall designate one person to be responsible for information and communication at operational programme level and shall inform the Commission of those designated.

4. Union networks comprising the members designated by the Member States and the managing authorities shall be set up by the Commission to ensure exchange on the results of the implementation of the communication strategies, the exchange of experience in implementing the information and communication measures, and the exchange of good practices.

Or. en

Amendment 1584

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 107 – paragraph 1

Text proposed by the Commission

1. Each Member State shall designate an information and communication officer to coordinate information and communication actions in relation to one or several Funds and shall inform the Commission

Amendment

1. Each Member State shall designate an information and communication officer to coordinate ***together with the partners at the relevant level*** information and communication actions in relation to one or several Funds and shall inform the

accordingly.

Commission accordingly.

Or. en

Amendment 1585

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 107 – paragraph 1

Text proposed by the Commission

1. Each Member State shall designate an information and communication officer to coordinate information and communication actions in relation to one or several Funds and shall inform the Commission accordingly.

Amendment

1. Each Member State, ***or each group of Member States that has established a macro-regional development fund***, shall designate an information and communication officer to coordinate information and communication actions in relation to one or several Funds and shall inform the Commission accordingly.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1586

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 107 – paragraph 2

Text proposed by the Commission

2. The information and communication officer shall coordinate and chair meetings of a national network of Funds' communicators, including relevant European territorial cooperation programmes, the creation and maintenance of the website or website portal referred to

Amendment

2. The information and communication officer shall coordinate and chair meetings of a national network of Funds' communicators, including relevant European territorial cooperation programmes, the creation and maintenance of the website or website portal referred to

in Annex V and the obligation to provide an overview about communication measures undertaken at national level.

in Annex V and the obligation to provide an overview about communication measures undertaken at national level. ***The information and communication officer shall coordinate and chair meetings of a macro-regional network of Funds' communicators, the creation and maintenance of the website or website portal referred to in Annex V and the obligation to provide an overview about communication measures undertaken at macro-regional level.***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1587

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 107 – paragraph 3

Text proposed by the Commission

3. Each managing authority shall designate one person to be responsible for information and communication at operational programme level and shall inform the Commission of those designated.

Amendment

3. Each managing authority shall designate one person to be responsible for information and communication at operational programme level, ***or at the level of the programme for the macro-regional development fund***, and shall inform the Commission of those designated.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1588

**Salvatore Caronna, Erminia Mazzoni, Oreste Rossi, Patrizia Toia, Sergio Gaetano
Cofferati, Francesca Balzani, Guido Milana**

Proposal for a regulation

Part 3 – article 107 – paragraph 4

Text proposed by the Commission

4. Union networks comprising the members designated by the Member States and the managing authorities shall be set up by the Commission to ensure exchange on the results of the implementation of the communication strategies, the exchange of experience in implementing the information and communication measures, and the exchange of good practices.

Amendment

4. Union networks comprising the members designated by the Member States and the managing authorities shall be set up by the Commission to ensure exchange on the results of the implementation of the communication strategies, the exchange of experience in implementing the information and communication measures, and the exchange of good practices.

Each management authority will use the EU information network's Europe Direct Centres effectively in the implementation of information and communication activities at a local and regional level.

Or. it

Justification

The importance of the Europe Direct Centres is reiterated as a useful instrument for the managing authorities to convey the information and communication activity to structural funds.

Amendment 1589

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 107 – paragraph 4

Text proposed by the Commission

4. Union networks comprising the

Amendment

4. Union networks comprising the

members designated by the Member States and the managing authorities shall be set up by the Commission to ensure exchange on the results of the implementation of the communication strategies, the exchange of experience in implementing the information and communication measures, and the exchange of good practices.

members designated by the Member States, ***groups of Member States that have established macro-regional development funds***, and the managing authorities shall be set up by the Commission to ensure exchange on the results of the implementation of the communication strategies, the exchange of experience in implementing the information and communication measures, and the exchange of good practices.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1590

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 109 – paragraph 2

Text proposed by the Commission

2. Technical assistance shall take the form of a ***mono-fund*** priority axis within an operational programme or of a specific operational programme.

Amendment

2. Technical assistance shall take the form of a ***part of a*** priority axis ***or a priority axis*** within an operational programme or of a specific operational programme.

Or. en

Amendment 1591

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 109 a (new)

Article 109a

Technical assistance of a group of Member States that have established a macro-regional development fund

(1) Each of the Funds may finance technical assistance operations eligible under any of the other Funds. The amount of the Funds allocated to technical assistance shall be limited to 4 % of the total amount of the Funds allocated to programmes for macro-regional development funds under each category of region of the Macro-Regional Cooperation goal. For the purposes of the grant scheme to support competitiveness and employment, the amount of the Funds allocated to technical assistance shall be increased by a further 6 % of the total Funds allocated to programmes for macro-regional development funds falling within each category of region of the Macro-Regional Cooperation goal.

(2) Technical assistance shall take the form of a mono-fund priority axis within a programme for a macro-regional development fund.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework. In accordance with the European Council of 30 January 2012, the Union and the Member States shall modernise their economies and enhance competitiveness in order to ensure sustainable growth. The Union and the Member States shall apply a consistent and comprehensive approach that combines intelligent investment maintaining fiscal consolidation and structural measures for future growth and employment.

Amendment 1592
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 110 – paragraph 1

Text proposed by the Commission

1. The Commission decision adopting an operational programme shall fix the co-financing rate and the maximum amount of support from Funds for each priority axis.

Amendment

1. The Commission decision adopting an operational programme, **or programme for a macro-regional development fund**, shall fix the co-financing rate and the maximum amount of support from Funds for each priority axis.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1593

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 110 – paragraph 1

Text proposed by the Commission

1. The Commission decision adopting an operational programme shall fix the co-financing rate and the maximum amount of support from Funds for each priority axis.

Amendment

1. The Commission decision adopting an operational programme shall fix the co-financing rate and the maximum amount of support from **each** Funds for each priority axis.

Or. en

Amendment 1594
Michael Theurer

Proposal for a regulation
Part 3 – article 110 – paragraph 1 – point 1 (new)

Text proposed by the Commission

Amendment

(1) When state co-financing is required, this can also be provided by private investors.

Or. de

Amendment 1595
Marie-Thérèse Sanchez-Schmid

Proposal for a regulation
Part 3 – article 110 – paragraph 2 bis (new)

Text proposed by the Commission

Amendment

2a. For programmes under the European Territorial Cooperation objective, the Commission's decisions listed in Paragraphs 1 and 2 are carried out under an operational programme and not for each priority axis.

Or. fr

Amendment 1596
Hermann Winkler

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 1 – introductory part

Text proposed by the Commission

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the Investment for growth and jobs goal shall be no higher than

On principle, in other words except in well-founded special cases agreed with the European Commission, the co-financing rate at the level of each priority axis of operational programmes under the Investment for growth and jobs goal shall be no higher than

Or. de

Justification

In many cases, a funding project fails because individual local governments are unable to afford their financial contribution. For this reason it would seem that greater flexibility is required on an individual basis in consultation with the European Commission.

Amendment 1597

Michael Theurer

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – introductory part

Text proposed by the Commission

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the Investment for growth and jobs goal shall be **no** higher than

The co-financing rate at the level of each priority axis of operational programmes under the Investment for growth and jobs goal shall **never** be higher than

Or. de

Amendment 1598

Anneli Jäätteenmäki

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point a

Text proposed by the Commission

Amendment

(a) **85** % for the Cohesion Fund;

(a) **75** % for the Cohesion Fund;

Or. en

Justification

The maximum co-financing rate should be limited to 75 % for the Cohesion Fund. A genuine own financing is the best guarantee for the commitment of the regions and the Member State to the quality and performance of the cohesion policy and also for the sound financial management.

Amendment 1599

Anneli Jäätteenmäki

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) **85** % for the less developed regions of Member States whose average GDP per capita for the period 2007 to 2009 was below 85 % of the EU-27 average during the same period and for the outermost regions;

(b) **75** % for the less developed regions of Member States whose average GDP per capita for the period 2007 to 2009 was below 85 % of the EU-27 average during the same period and for the outermost regions;

Or. en

Justification

The maximum co-financing rate should be limited to 75 %. A genuine own financing is the best guarantee for the commitment of the regions and the Member State to the quality and performance of the cohesion policy and also for the sound financial management.

Amendment 1600

Anneli Jäätteenmäki

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

(c) **80**% for the less developed regions of Member States other than those referred to in point (b) eligible for the transitional regime of the Cohesion Fund on 1 January 2014;

(c) **75**% for the less developed regions of Member States other than those referred to in point (b) eligible for the transitional regime of the Cohesion Fund on 1 January 2014;

Or. en

Justification

The maximum co-financing rate should be limited to 75 %. A genuine own financing is the best guarantee for the commitment of the regions and the Member State to the quality and performance of the cohesion policy and also for the sound financial management.

Amendment 1601

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point d

Text proposed by the Commission

Amendment

(d) 75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the GDP average of the EU-27;

deleted

Or. en

Amendment 1602

Markus Pieper, Hermann Winkler, Herbert Reul, Joachim Zeller

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point d

Text proposed by the Commission

Amendment

(d) 75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the GDP average of the EU-27;

*(d) 75 % for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions whose GDP per capita for the 2007–2013 period was less than 75 % of the average of the EU-25 for the reference period but whose GDP per capita is above 75 % of the GDP average of the EU-27 **and for the regions funded as so-called Phasing Out Regions under the convergence objective in the period 2007–2013;***

Or. de

Amendment 1603

Hermann Winkler

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point d

Text proposed by the Commission

(d) 75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions **whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period** but whose GDP per capita is above 75% of the GDP average of the EU-27;

Amendment

(d) 75 % for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions , **that receive funding in the 2007–2013 period under the convergence objective, including the regions that receive funding in this period as so-called Phasing Out Regions according to Article 8(1) of Regulation No 1083/2006** but whose GDP per capita is above 75 % of the GDP average of the EU-27;

Or. de

Justification

To avoid a fragmented approach to the funding issue involving different co-financing rates within an operational programme, it is necessary to standardise the co-financing rates. Accordingly, the Phasing Out Regions should be treated in the same way as the other regions receiving support under the convergence objective. The safety net will be adjusted in line with the amendments in relation to Recital 54 and Article 84. If the wording is retained, different regions within a federal state or operational programme would have different co-financing rates.

Amendment 1604

Cornelia Ernst

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point d

Text proposed by the Commission

(d) 75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the

Amendment

(d) 75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the

GDP average of the EU-27;

GDP average of the EU-27, *and for regions which were eligible for funding under Transitional support according to Article 8 (1) Council regulation (EC) No 1083/2006*

Or. en

Amendment 1605

Michael Theurer

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point d

Text proposed by the Commission

Amendment

(d) 75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions *whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the GDP average of the EU-27;*

(d) 75 % for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions *that received funding in the 2007–2013 period under the convergence objective and whose GDP per capita is above 75 % of the GDP average of the EU-27;*

Or. de

Justification

A transitional arrangement should be found to avoid undue hardship and statistical distortions.

Amendment 1606

Markus Pieper, Oldřich Vlasák, Joachim Zeller, Tamás Deutsch, Michael Theurer

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 1 – point e

Text proposed by the Commission

Amendment

(e) 60 % for the transition regions other than those referred to in point (d);

deleted

Amendment 1607
Michael Theurer

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

In substantiated cases, the rate of EU co-financing for the individual priority axes of the operational programmes are to be increased by the regions in consultation with the Commission in the context of the objective of ‘investing in growth and employment’.

Or. de

Amendment 1608
Luis Paulo Alves

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Amendment

The co-financing rate *at the level of each priority axis* of operational programmes under the European territorial cooperation goal shall be no higher than **75 %**.

The co-financing rate of operational programmes under the European territorial cooperation goal shall be no higher than **85 %**. *For operational programmes under the European territorial cooperation goal where at least one participant belongs to a Member State whose average GDP per capita for the 2001–2003 period was less than 85 % of the average of the EU-25 during the same period, the ERDF contribution shall not exceed 85 % of eligible expenditure. For all other operational programmes, the ERDF contribution shall not exceed 75 % of eligible expenditure co-financed by the ERDF. The support from funds at the*

level of each priority axis is fixed by ensuring compliance with the maximum amount of support from funds and the maximum contribution rate of each fund established at the level of each operational programme.

Or. pt

Amendment 1609
Oldřich Vlasák, James Nicholson

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 85%.

Or. en

Amendment 1610
Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate *at the level of each priority axis of* operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate of operational programmes under the European territorial cooperation goal shall be no higher than 75%. *For programmes with the participation of less developed regions the co-financing rate may be increased by additional ten percentage points (up to 85%).*

Or. en

Amendment 1611
Victor Boştinaru

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 85%.

Or. en

Amendment 1612
Iosif Matula, Petru Constantin Luhan, Iuliu Winkler, Andrey Kovatchev

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 85%.

Or. en

Amendment 1613
Evgeni Kirilov

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 85%.

Or. en

Amendment 1614

Younous Omarjee, Cornelia Ernst, Patrice Tirolien, Juan Fernando López Aguilar, María Irigoyen Pérez

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75 %, ***except for less-developed regions as referred to in Article 82.2(a) and the outermost regions as referred to in Article 349 of the Treaty, for which a maximum rate of 85 % is set.***

Or. fr

Amendment 1615

Rosa Estaràs Ferragut, Gabriel Mato Adrover, Veronica Lope Fontagné

Proposal for a regulation

Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75 %.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75 %. ***For the outermost regions it shall be no higher than 85 %.***

Or. es

Amendment 1616

Marie-Thérèse Sanchez-Schmid

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate **at the level of each priority axis** of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

Amendment

The co-financing rate of operational programmes under the European territorial cooperation goal shall be no higher than 75 %.

Or. fr

Amendment 1617
Nuno Teixeira

Proposal for a regulation
Part 3 – article 110 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75 %.

Amendment

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75 %. **For programmes involving the outermost regions, the co-financing rate shall be no higher than 85 %.**

Or. pt

Justification

Due to their economic and social conditions, clearly laid down in Article 349 of the Treaty, the outermost regions should benefit from 10 % more funding than other EU regions.

Amendment 1618
Alain Cadec, Brice Hortefeux, Marie-Thérèse Sanchez-Schmid, Maurice Ponga

Proposal for a regulation
Part 3 – article 110 – paragraph 4

Text proposed by the Commission

Amendment

deleted

4. The co-financing rate of the additional allocation in accordance with Article 84(1)(e) shall be no higher than 50%.

The same co-financing rate shall apply to the additional allocation under Article 4(2) of Regulation (EU) No [...]/2012 [ETC Regulation].

Or. fr

Amendment 1619

Rosa Estaràs Ferragut, Gabriel Mato Adrover, Veronica Lope Fontagné

Proposal for a regulation

Part 3 – article 110 – paragraph 4 – subparagraph 1

Text proposed by the Commission

Amendment

The co-financing rate of the additional allocation in accordance with Article 84(1)(e) shall be **no higher than 50 %**.

The co-financing rate of the additional allocation in accordance with Article 84(1)(e) shall be **85 %**.

Or. es

Amendment 1620

Nuno Teixeira

Proposal for a regulation

Part 3 – article 110 – paragraph 4 – subparagraph 1

Text proposed by the Commission

Amendment

The co-financing rate of the additional allocation in accordance with Article 84(1)(e) shall be no higher than **50 %**.

The co-financing rate of the additional allocation in accordance with Article 84(1)(e) shall be no higher than **85 %**.

Justification

It is fair to standardise the co-financing rate of the specific additional allocation received by the less developed regions, transition regions and more developed regions. Furthermore, Article 110(3)(b) already states that the co-financing rate of the outermost regions should be 85 %, and there should be uniformity between the normal allocations for the regions and the specific additional allocation.

Amendment 1621**Oldřich Vlasák, James Nicholson****Proposal for a regulation****Part 3 – article 110 – paragraph 5***Text proposed by the Commission*

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where the whole of a priority axis is delivered through financial instruments, or through *community-led* local development.

Amendment

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where the whole of a priority axis is delivered through financial instruments or through *territorial instruments supporting* local development (*ITI, JAP or CLLD*).

Or. en

Amendment 1622**Ramon Tremosa i Balcells, Salvador Sedó i Alabart, Santiago Fisas Ayxela, Maria Badia i Cutchet, Raimon Obiols****Proposal for a regulation****Part 3 – article 110 – paragraph 5***Text proposed by the Commission*

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where *the whole of a priority axis is* delivered through financial instruments, or through community-led local development.

Amendment

5. The maximum co-financing rate under Paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where *50 % of the resources that contribute to an investment priority are* delivered through financial instruments, or

through community-led local development.

Or. es

Justification

Delivering an entire priority axis through financial instruments, to enjoy an increase of ten percentage points in the co-financing rate, can be very restrictive and should be eased by establishing a more lenient percentage at the level of investment priority.

Amendment 1623

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 110 – paragraph 5

Text proposed by the Commission

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where the whole of a priority axis is delivered ***through financial instruments***, ***or*** through community-led local development.

Amendment

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where the whole of a priority axis is delivered through community-led local development.

Or. en

Amendment 1624

Markus Pieper, Joachim Zeller

Proposal for a regulation

Part 3 – article 110 – paragraph 7

Text proposed by the Commission

7. A separate priority axis with a co-financing rate of up to 100% may be established within an operational programme to support operations implemented through financial instruments set up at Union level and managed directly

Amendment

7. A separate priority axis with a co-financing rate of up to 100 % may be established within an operational programme to support operations implemented through financial instruments set up at Union level and managed directly

or indirectly by the Commission. Where a separate priority is established for this purpose, the support under this axis may not be implemented by any other means.

or indirectly by the Commission *or the bodies mentioned in Article 33(4)(b)(ii)*. Where a separate priority is established for this purpose, the support under this axis may not be implemented by any other means.

Or. de

Justification

Equality of treatment, non-discrimination of financial instruments established by Member States.

Amendment 1625

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 110 – paragraph 7

Text proposed by the Commission

7. A separate priority axis with a co-financing rate of up to 100% may be established within an operational programme to support operations implemented through financial instruments set up at Union level and managed directly or indirectly by the Commission. Where a separate priority is established for this purpose, the support under this axis may not be implemented by any other means.

Amendment

7. **Only in cases referring to Article 22** a separate priority axis with a co-financing rate of up to 100% may be established within an operational programme to support operations implemented through financial instruments set up at Union level and managed directly or indirectly by the Commission. Where a separate priority is established for this purpose, the support under this axis may not be implemented by any other means.

Or. en

Amendment 1626

Anneli Jäätteenmäki

Proposal for a regulation

Part 3 – article 110 – paragraph 7

Text proposed by the Commission

7. A separate priority axis with a co-financing rate of up to **100%** may be established within an operational programme to support operations implemented through financial instruments set up at Union level and managed directly or indirectly by the Commission. Where a separate priority is established for this purpose, the support under this axis may not be implemented by any other means.

Amendment

7. A separate priority axis with a co-financing rate of up to **75%** may be established within an operational programme to support operations implemented through financial instruments set up at Union level and managed directly or indirectly by the Commission. Where a separate priority is established for this purpose, the support under this axis may not be implemented by any other means.

Or. en

Justification

The maximum co-financing rate should be limited to 75 %. A genuine own financing is the best guarantee for the commitment of the regions and the Member State to the quality and performance of the cohesion policy and also for the sound financial management.

Amendment 1627

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 110 – paragraph 7 a (new)

Text proposed by the Commission

Amendment

7 a. The co-financing rate at the level of each priority axis of programmes for macro-regional development funds under the Macro-Regional Cooperation goal may be 100 %.

Or. sk

Justification

Because of a combination of various strategic objectives of the Union (European integration, competitiveness, fiscal consolidation maintaining growth and employment), it is necessary to be able to finance macro-regional projects implemented under the priority programmes for macro-regional development funds as major projects, on the territory of at least four Member

States of the approved macro-regional strategy, and together with the grant scheme to promote competitiveness and employment, at 100 %.

Amendment 1628

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 1

Text proposed by the Commission

(1) the importance of the priority axis for the delivery of the Union strategy for smart, sustainable and inclusive growth, having regard to the specific gaps *to be addressed*;

Amendment

(1) the importance of the priority axis for the delivery of the Union strategy for smart, sustainable and inclusive growth, having regard to the specific gaps *in achieving the targets of the flagship initiatives of the Union strategy for smart, sustainable and inclusive growth*;

Or. en

Amendment 1629

Younous Omarjee, Cornelia Ernst, Patrice Tirolien, Juan Fernando López Aguilar

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 3 bis (new)

Text proposed by the Commission

Amendment

3a) the inclusion of the outermost regions as referred to in Article 349 of the Treaty

Or. fr

Amendment 1630

Joachim Zeller

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 3 a (new)

Text proposed by the Commission

Amendment

(3a) The independent sustainability of the project in economic and financial terms, where projects with higher co-financing rates that generate income should be favoured over projects that do not generate income;

Or. de

Justification

Some transport companies are always required to generate income, while others are not. The application of this rule would lead to a situation where the same EU co-financing rate would lead to higher overall funding from the EU for projects that are already fully or almost fully publicly funded, while projects that generate income would receive comparatively less EU funding.

Amendment 1631
Giommaria Uggias

Proposal for a regulation
Part 3 – article 111 – paragraph 1 – point 3 bis (new)

Text proposed by the Commission

Amendment

3 a) reducing poverty and promoting social inclusion of vulnerable groups of people, especially through integrated approaches to active inclusion;

Or. it

Amendment 1632
Giommaria Uggias, Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 111 – paragraph 1 – point 4 – point a

Text proposed by the Commission

Amendment

(a) island Member States ***eligible under***

(a) ***Small*** island Member States and other

the Cohesion Fund, and other islands except those on which the capital of a Member State is situated or which have a fixed link to the mainland;

islands except those on which the capital of a Member State is situated or which have a fixed link to the mainland;

Or. en

Amendment 1633

Giommaria Uggias, Ramona Nicole Mănescu, Vilja Savisaar-Toomast

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 – point c – indent 1 (new)

Text proposed by the Commission

Amendment

- In such areas, the ceiling of co-financing rates set in Article 110.3 may be increased by 10% up to a maximum ceiling of 80%.

Or. en

Amendment 1634

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Besset, Catherine Grèze

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 – point c a (new)

Text proposed by the Commission

Amendment

(c a) other areas with severe demographic handicaps.

Or. en

Amendment 1635

María Irigoyen Pérez

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 – point c bis (new)

Text proposed by the Commission

Amendment

c a) remote border towns;

Or. es

Justification

Remote border towns should also be included in regions with severe and permanent natural or demographic handicaps.

Amendment 1636

Luis Paulo Alves

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 – point c A (new)

Text proposed by the Commission

Amendment

(c A) other areas with severe and permanent demographic handicaps.

Or. pt

Amendment 1637

Agustín Díaz de Mera García Consuegra

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 – point c bis (new)

Text proposed by the Commission

Amendment

ca) other areas affected by severe demographic challenges.

Or. es

Amendment 1638

María Irigoyen Pérez

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 – point c ter (new)

Text proposed by the Commission

Amendment

cb) other areas affected by severe demographic challenges.

Or. es

Justification

Regions with a gradual or sudden population decrease, an aging population, demographic dispersion or a falling birth rate should be included in areas affected by severe demographic challenges.

Amendment 1639

Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation

Part 3 – article 111 – paragraph 1 – point 4 a (new)

Text proposed by the Commission

Amendment

(4a) regions that suffer from severe demographic vulnerability

Or. en

Justification

There are many other demographic handicaps than sparsely populated areas, islands and mountainous areas. Many regions have to cope with other important demographic handicaps like a declining or shrinking population, an ageing population or a dejuvenation of the population. Thus, the definition for ‘areas with severe and permanent natural or demographic handicaps’ in Article 111(4) of the proposed Common Provisions Regulation for the Structural Funds should be expanded, adding areas affected by severe demographic challenges. As an indicator for these severe demographic challenges a referral could be made to the demographic vulnerability index 2020, as mentioned in the Regions 2020 assessment.

Amendment 1640

Teresa Riera Madurell

Proposal for a regulation
Part 3 – article 111 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

In such areas, the ceiling of co-financing rates set in Article 110.3 may be increased by 10% up to a maximum ceiling of 80%.

Or. en

Justification

Increasing the co-financing rate shall help these regions to overcome the limitations attached to their particular permanent geographic and demographic condition and at the same time will allow them to exploit their development potential.

Amendment 1641
Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation
Part 3 – article 111 – paragraph 1 bis (new)

Text proposed by the Commission

Amendment

In these areas, the maximum co-financing rates laid down in Article 110(3) can be increased by 10 % to a maximum of 80 %.

Or. es

Amendment 1642
Hermann Winkler

Proposal for a regulation
Part 3 – article 111 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

Areas with other serious and permanent demographic challenges, such as a sustained deficit in terms of emigration or a reduction in the entire population of at

least 15 % by 2025.

Or. de

Justification

A demographic vulnerability index 2020 was used for measurement purposes..

Amendment 1643

Victor Boştinaru

Proposal for a regulation

Part 3 – article 111 a (new)

Text proposed by the Commission

Amendment

Article 111a

*Maximum level of transfers from the
Funds*

In order to contribute to the objectives of adequately concentrating cohesion funding on the least developed regions and Member States and reducing disparities in average per capita aid intensities resulting from capping, the maximum level of transfer from the Funds to each individual Member State pursuant to this Regulation will be as follows:

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is under 40 % of the EU-27 average: 3,7893 % of their GDP

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is equal to or above 40 % and below 50 % of the EU-27 average: 3,7135 % of their GDP

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is equal to or above 50 % and below 55 % of the EU-27 average: 3,6188 % of their GDP

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is equal to or above 55 % and below 60 % of the EU-27 average: 3,5240 % of their GDP

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is equal to or above 60 % and below 65 % of the EU-27 average: 3,4293 % of their GDP

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is equal to or above 65 % and below 70 % of the EU-27 average: 3,3346 % of their GDP

— for Member States whose average XXXX to XXXX GNI per capita (PPS) is equal to or above 70 % and below 75 % of the EU-27 average: 3,2398 % of their GDP

— thereafter, the maximum level of transfer is reduced by 0,09 percentage points of GDP for each increment of 5 percentage points of average XXXX to XXXX per capita GNI (PPS) as compared to the EU-27 average.

Or. en

Justification

The maximum level of support from the Funds should be differentiated according to the level of development of Member States. The provision for the current programming period should continue to apply. The three-years reference period (for example 2007 to 2009) should be determined on the basis of the latest available data.

Amendment 1644

Markus Pieper, Joachim Zeller, Hermann Winkler

Proposal for a regulation

Part 3 – article 112 – paragraph 1

Text proposed by the Commission

1. Member States shall ensure that management and control systems for operational programmes are set up in accordance with Articles 62 and 63.

Amendment

1. Member States shall ensure that management and control systems for operational programmes are set up in accordance with Articles 62 and 63. ***The Member States should ensure that the general principles of proportionality (Article 4.5) and reducing administrative costs (Article 4.10) are observed.***

Or. de

**Amendment 1645
Hermann Winkler**

**Proposal for a regulation
Part 3 – article 112 – paragraph 2 – subparagraph 1**

Text proposed by the Commission

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

Amendment

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. ***Incorrectly allocated funds do not need to be returned and such cases will not be pursued provided the amount in question does not exceed EUR 400. The same applies to the relevant interest. These amounts may not be deducted from the declaration of expenditure.*** They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

Or. de

Justification

A lower limit for minor discrepancies seems desirable in order to reduce the administrative burden.

Amendment 1646
Peter Simon

Proposal for a regulation
Part 3 – article 112 – paragraph 2 – subparagraph 1

Text proposed by the Commission

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

Amendment

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. ***If, in individual cases, funds are incorrectly allocated, as a result of rounding or accounting errors or because expenditure is ineligible for funding, it is not necessary to return this money and such cases will not be pursued provided the funds do not exceed EUR 250. This limit for small claims also applies to the relevant interest if the expected interest is not greater than EUR 250. This expenditure may not be deducted from the expenses declared in the declarations of expenditure.*** They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

Or. de

Justification

In the interests of simplifying regulations and administration and in order to observe the principle of proportionality, it makes sense to introduce a lower limit for reclaiming funding allocations.

Amendment 1647
Manfred Weber

Proposal for a regulation
Part 3 – article 112 – paragraph 2 – subparagraph 1

Text proposed by the Commission

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

Amendment

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. ***Incorrectly allocated funds (for example because expenditure is ineligible for funding or as a result of rounding or accounting errors) do not need to be returned and such cases will not be pursued provided the amount in question does not exceed EUR 250. The same applies to the relevant interest if the expected interest is not greater than EUR 250. These amounts may not be deducted from the expenses declared in the declarations of expenditure.*** They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

Or. de

Justification

It is desirable that a lower limit should be set for small discrepancies, as this would significantly reduce the administrative effort, the cost of which would be entirely disproportionate to the reclaimed amount.

Amendment 1648

Richard Seeber

Proposal for a regulation

Part 3 – article 112 – paragraph 3

Text proposed by the Commission

3. Member States shall ensure that no later than 31 December 2014, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities

Amendment

deleted

and intermediate bodies can be carried out solely by means of electronic data exchange systems.

The systems shall facilitate interoperability with national and Union frameworks and allow for the beneficiaries to submit all information referred to in the first sub-paragraph only once.

The Commission shall adopt, by means of implementing acts, detailed rules concerning the exchanges of information under this paragraph. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 143(3).

Or. en

Amendment 1649
Peter Simon

Proposal for a regulation
Part 3 – article 112 – paragraph 3 – subparagraph 1

Text proposed by the Commission

Amendment

Member States shall ensure that no later than **31 December 2014**, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

Member States shall ensure that no later than **31 December 2016**, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

Or. de

Justification

Because it will be necessary to clarify and resolve not just technical issues, but also a whole host of legal questions within the Member States as part of the changeover to electronic data exchange systems, the deadline for the introduction of these systems should be extended moderately.

Amendment 1650
Hermann Winkler

Proposal for a regulation
Part 3 – article 112 – paragraph 3 – subparagraph 1

Text proposed by the Commission

Member States shall ensure that no later than **31 December 2014**, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

Amendment

Member States shall ensure that no later than **31 December 2015**, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

Or. de

Amendment 1651
Michael Theurer

Proposal for a regulation
Part 3 – article 112 – paragraph 3 – subparagraph 1

Text proposed by the Commission

Member States shall ensure that no later than **31 December 2014**, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

Amendment

Member States shall ensure that no later than **31 December 2015**, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

Or. de

Amendment 1652
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 112 – paragraph 3 – subparagraph 3 a (new)

Text proposed by the Commission

Amendment

As a general rule, by virtue of the principle "Only once" of the SBA, the beneficiary can be asked to supply only once the necessary information, whatever is the technical support, the authorities of management at the regional, national and European level, whatever is the Fund of the concerned CSC. It is the task of the various management authorities to exchange information between them;

Or. en

Amendment 1653
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 112 – paragraph 3 – subparagraph 3 b (new)

Text proposed by the Commission

Amendment

The Commission shall adopt, by means of implementing acts and after consultation of member states and European representatives of the partners art 5, the precise and not exhaustive list of the due technical, administrative and accounting parts for all the funds, as well as the specific parts for every fund.

Or. en

Amendment 1654
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 112 – paragraph 3 – subparagraph 3 c (new)

Text proposed by the Commission

Amendment

A Member State or regional authority may, for reasons of national specificities, request additional pieces with authorisation of the Monitoring Committee. For the information of the recipient, the list of additional parts must be attached to the Agreement of National Partnership Contract and in some cases to the operational programmes concerned. This list is subject to an assessment by the Commission as part of the approval process of the Partnership Agreement and, where applicable, of the operational programmes.

Or. en

Amendment 1655
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 112 a (new)

Text proposed by the Commission

Amendment

Article 112a

Responsibilities of a group of Member States that have established a macro-regional development fund

(1) The group of Member States that have established a macro-regional development fund shall ensure that management and control systems for operational programmes are set up in accordance with Articles 62 and 63.

(2) The group of Member States that have established a macro-regional development fund shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. They shall notify these irregularities to the Commission and shall

keep the Commission informed of the progress of related administrative and legal proceedings.

When amounts unduly paid to a beneficiary cannot be recovered and this is as a result of fault or negligence on the part of one of a group of Member States that have established a macro-regional development fund, the group of Member States that established the macro-regional development fund shall be responsible for reimbursing the amounts concerned to the general budget of the Union.

The Commission shall be empowered to adopt delegated acts in accordance with Article 142 laying down detailed rules concerning the obligations of the group of Member States that have established a macro-regional development fund specified in this paragraph.

(3) The group of Member States that have established a macro-regional development fund shall ensure that no later than 31 December 2014, all exchanges of information between beneficiaries and managing authorities, certifying authorities, audit authorities and intermediate bodies can be carried out solely by means of electronic data exchange systems.

The systems shall facilitate interoperability with national and Union frameworks and allow for the beneficiaries to submit all information referred to in the first sub-paragraph only once.

The Commission shall adopt, by means of implementing acts, detailed rules concerning the exchanges of information under this paragraph. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 143(3).

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1656

Ramon Tremosa i Balcells, Salvador Sedó i Alabart, Santiago Fisas Ayxela, Maria Badia i Cutchet, Raimon Obiols, Ana Miranda, Raúl Romeva i Rueda, Izaskun Bilbao Barandica

Proposal for a regulation

Part 3 – article 113 – paragraph 1

Text proposed by the Commission

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme.

Amendment

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme. ***In those States with sufficient administrative organisation, when a representative proportion of the funds involved in an operational programme is managed by a regional authority, this authority shall be designated as managing authority.***

Or. es

Justification

Alignment with simplification proposed by the Commission, in accordance with the principle of subsidiarity, which aims to make decisions as closely as possible to the citizen.

Amendment 1657

Fiorello Provera

Proposal for a regulation

Part 3 – article 113 – paragraph 1

Text proposed by the Commission

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme.

Amendment

1. The Member State shall designate, for each operational programme, a national, **multiregional**, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme.

Or. fr

Amendment 1658
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 113 – paragraph 1

Text proposed by the Commission

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme.

Amendment

1. The Member State shall designate, for each operational programme, a national, **multi-regional**, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme.

Or. en

Amendment 1659
Bogusław Sonik

Proposal for a regulation
Part 3 – article 113 – paragraph 1

Text proposed by the Commission

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a

Amendment

1. The Member State shall designate, for each operational programme, a national, **multiregional**, regional or local public authority or body as managing authority. The same public authority or body may be

managing authority for more than one operational programme.

designated as a managing authority for more than one operational programme.

Or. en

Amendment 1660
Elżbieta Katarzyna Łukacijewska

Proposal for a regulation
Part 3 – article 113 – paragraph 1

Text proposed by the Commission

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme.

Amendment

1. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as managing authority. The same public authority or body may be designated as a managing authority for more than one operational programme. ***In order to guarantee the administrative capacity of the managing authorities, the possession of verifiable Project and Programming Management credentials is to be made a mandatory condition of employment for staff within them and the necessary training provided if a deficit is detected.***

Or. en

Amendment 1661
Michael Theurer

Proposal for a regulation
Part 3 – article 113 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. The Member States are called upon to nominate a one stop agency for applicants.

Or. de

Amendment 1662

Ramon Tremosa i Balcells, Salvador Sedó i Alabart, Santiago Fisas Ayxela, Maria Badia i Cutchet, Raimon Obiols, Ana Miranda, Raül Romeva i Rueda, Izaskun Bilbao Barandica

Proposal for a regulation

Part 3 – article 113 – paragraph 2

Text proposed by the Commission

2. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as a certifying authority, without prejudice to paragraph 3. The same certifying authority may be designated for more than one operational programme.

Amendment

2. The Member State shall designate, for each operational programme, a national, regional or local public authority or body as a certifying authority, without prejudice to Paragraph 3. The same certifying authority may be designated for more than one operational programme. ***In those States with sufficient administrative organisation, when a representative proportion of the funds involved in an operational programme is managed by a regional authority, this authority shall be designated as certifying authority.***

Or. es

Justification

Alignment with simplification proposed by the Commission, in accordance with the principle of subsidiarity, which aims to make decisions as closely as possible to the citizen.

Amendment 1663

Ramon Tremosa i Balcells, Salvador Sedó i Alabart, Santiago Fisas Ayxela, Maria Badia i Cutchet, Raimon Obiols, Raül Romeva i Rueda, Izaskun Bilbao Barandica

Proposal for a regulation

Part 3 – article 113 – paragraph 4

Text proposed by the Commission

4. The Member State shall designate, for each operational programme, a national, regional or local public authority or body, functionally independent from the

Amendment

4. The Member State shall designate, for each operational programme, a national, regional or local public authority or body, functionally independent from the

managing authority and the certifying authority, as audit authority. The same audit authority may be designated for more than one operational programme.

managing authority and the certifying authority, as audit authority. The same audit authority may be designated for more than one operational programme. ***In those States with sufficient administrative organisation, when a representative proportion of the funds involved in an operational programme is managed by a regional authority, this authority shall be designated as audit authority.***

Or. es

Amendment 1664

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 113 – paragraph 7

Text proposed by the Commission

7. The Member State or the managing authority may entrust the management of part of an operational programme to an intermediate body by way of an agreement in writing between the intermediate body and the Member State or managing authority (a 'global grant'). The intermediate body shall provide guarantees of its solvency and competence in the domain concerned, as well as its administrative and financial management.

Amendment

7. The Member State or the managing authority may entrust the management of part of an operational programme to an intermediate body by way of an agreement in writing between the intermediate body and the Member State or managing authority (a 'global grant'), ***including local authorities, regional development bodies or non-governmental organisations. With the view of facilitating access to Structural Funds for small non-governmental organisations, the management authority shall promote the use of small and tailor-made global grants.*** The intermediate body shall provide guarantees of its solvency and competence in the domain concerned, as well as its administrative and financial management.

Or. en

Amendment 1665
Richard Seeber

Proposal for a regulation
Part 3 – article 113 – paragraph 7

Text proposed by the Commission

7. The Member State or the managing authority may entrust the management of part of an operational programme to an intermediate body by way of an agreement in writing between the intermediate body and the Member State or managing authority (a ‘global grant’). ***The intermediate body shall provide guarantees of its solvency and competence in the domain concerned, as well as its administrative and financial management.***

Amendment

7. The Member State or the managing authority may entrust the management of part of an operational programme to an intermediate body by way of an agreement in writing between the intermediate body and the Member State or managing authority (a ‘global grant’).

Or. en

Amendment 1666
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 113 a (new)

Text proposed by the Commission

Amendment

Article 113a

Designation of authorities for a macro-regional development fund

(1) The group of Member States, in the agreement establishing the macro-regional development fund, shall agree to establish a programme for the macro-regional development fund. In this agreement, they shall also agree to establish a Governing Council, which will be the governing authority for the programme for the macro-regional development fund. The Governors shall be nominated by the Member States that

have established the macro-regional development fund, and countries that have acceded to the agreement establishing the macro-regional development fund shall nominate a Governor and Deputy Governor. In the case of a Member State, the relevant member of the European Council shall be the representing Governor. In the case of a non-Member State of the European Union, the relevant Prime Minister shall be the representing Governor. Two representatives of the Commission and one representative of the European Investment Bank shall also be members of the Governing Council. In the absence of the Governor, the Deputy Governor shall represent the Governor at meetings of the Governing Council. Decisions of the Governing Council shall be determined by a vote. A Governor representing a non-Member State of the European Union shall not have the right to vote. Decisions shall be approved by a simple majority of voting members of the Governing Council present, or their Deputies. The Governing Council shall be a public partner of the Executive Board. The tasks of the Governing Council shall be performed by the Secretariat-General of the Governing Council.

(2) The group of Member States, in the agreement establishing the macro-regional development fund, shall agree to establish a Finance Committee, which shall be the certifying authority for the programme for the macro-regional development fund. The Financial Council shall consist of the finance ministers of the participating countries and a representative of the Commission. Each member of the Finance Committee shall have a Deputy appointed who shall represent that member at meetings of the Finance Committee in his/her absence. Decisions of the Finance Committee shall be determined by a vote. Decisions shall

be approved by a simple majority of members of the Finance Committee present, or their Deputies. The tasks of the Executive Board shall be performed by the Secretariat-General of the Executive Board.

(3) The European Court of Auditors shall be the auditing authority for the programme for the macro-regional development fund.

(4) The group of Member States, in the agreement establishing the macro-regional development fund, shall agree to establish an Executive Board, which shall be the mediating authority for the macro-regional development fund. The relevant agreements between the governing authority and the mediating authority must be recorded officially in writing, in the form of a written agreement concluded between the mediating authority and the governing authority ('the global grant'). The mediating authority must provide guarantees of its solvency and its competence in the relevant area, as well as in administrative and financial management. The Executive Board shall be a private partner of the Governing Council. The Executive Board shall be governed by a Director-General and four Executive Directors. The Director-General shall be appointed by the Governing Council. The Executive Directors shall be nominated by the private partner. The tasks of the Executive Board shall be performed by the private partner, which shall be a consortium of at least four legal entities. In this agreement, they shall also agree to establish a Governing Council, which will be the governing authority for the programme for the macro-regional development fund. The Governors shall be nominated by the Member States that have established the macro-regional development fund, and countries that have acceded to the agreement establishing the macro-

regional development fund shall nominate a Governor and Deputy Governor. In the case of a Member State, the relevant member of the European Council shall be the representing Governor. In the case of a non-Member State of the European Union, the relevant Prime Minister shall be the representing Governor. Two representatives of the Commission and one representative of the European Investment Bank shall also be members of the Governing Council. In the absence of the Governor, the Deputy Governor shall represent the Governor at meetings of the Governing Council. Decisions of the Governing Council shall be determined by a vote. A Governor representing a non-Member State of the European Union shall not have the right to vote. Decisions shall be approved by a simple majority of voting members of the Governing Council present, or their Deputies. The Governing Council shall be a public partner of the Executive Board. The tasks of the Governing Council shall be performed by the Secretariat-General of the Governing Council.

(5) The group of Member States, in the agreement establishing the macro-regional development fund, shall establish written regulations governing the relations between the governing authority, the certifying authority and the auditing authority and the relations between these authorities and the Commission.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework. For the authorities of the macro-regional development fund, the governing model used was that applied by the International Monetary Fund; in addition, the executive and operating principles of public-private partnership were applied to it. This model takes into account the interests of the Member States affected.

Amendment 1667

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 114 – paragraph 1

Text proposed by the Commission

1. The managing authority shall be responsible for managing the operational programme in accordance with the principle of sound financial management.

Amendment

1. The managing authority shall be responsible for managing the operational programme, ***or the programme for a macro-regional development fund***, in accordance with the principle of sound financial management.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1668

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 114 – paragraph 2 – introductory part

Text proposed by the Commission

2. As regards the programme management of the operational programme, the managing authority shall:

Amendment

2. As regards the programme management of the operational programme, ***or the programme for a macro-regional development fund***, the managing authority shall:

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1669

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 114 – paragraph 2 – point a

Text proposed by the Commission

(a) support the work of the monitoring committee and provide it with the information it requires to carry out its tasks, in particular data relating to the progress of the operational programme in achieving its objectives, financial data and data relating to indicators and milestones;

Amendment

(a) support the work of the monitoring committee and provide it with the information it requires to carry out its tasks, in particular data relating to the progress of the operational programme, ***or the programme for a macro-regional development fund***, in achieving its objectives, financial data and data relating to indicators and milestones;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1670

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Besset, Catherine Grèze

Proposal for a regulation

Part 3 – article 114 – paragraph 2 – point a

Text proposed by the Commission

(a) support the work of the monitoring committee and provide ***it*** with the information it requires to carry out its tasks, in particular data relating to the progress of the operational programme in achieving its objectives, financial data and data relating to indicators and milestones;

Amendment

(a) support the work of the monitoring committee and ***in particular ensure that the partners referred to in Article 5 of this Regulation, in accordance with the European code of conduct, have the necessary capacity to participate in the preparation, implementation, monitoring and evaluation of the Partnership Contract and the programmes, and provide the monitoring committee*** with the information it requires to carry out its

tasks, in particular data relating to the progress of the operational programme in achieving its objectives, financial data and data relating to indicators and milestones;

Or. en

Amendment 1671

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 114 – paragraph 2 – point e

Text proposed by the Commission

(e) ensure that the data referred to in point (d) is collected, entered and stored in the system, and that data on indicators is broken down by gender *where required by Annex I of the ESF Regulation*.

Amendment

(e) ensure that the data referred to in point (d) is collected, entered and stored in the system, and that data on indicators is broken down by gender.

Or. en

Amendment 1672

Ramona Nicole Mănescu

Proposal for a regulation

Part 3 – article 114 – paragraph 2 – point e a (new)

Text proposed by the Commission

Amendment

(e a) Take active measures to ensure that its own staff as well as those working inside the intermediate bodies are fully competent in Programme and Project Management terms and provide the necessary training according to international standards to correct and deficiency identified by the managing authority;

Or. en

Amendment 1673

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 114 – paragraph 3 – point a – introductory part

Text proposed by the Commission

Amendment

(a) draw up and, once approved, apply appropriate selection procedures and criteria that:

(a) **together with the monitoring committee**, draw up and, once approved, apply appropriate selection procedures and criteria that:

Or. en

Amendment 1674

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 114 – paragraph 4 – introductory part

Text proposed by the Commission

Amendment

4. As regards the financial management and control of the operational programme, the managing authority shall:

4. As regards the financial management and control of the operational programme, **or the programme for a macro-regional development fund**, the managing authority shall:

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1675

Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 114 – paragraph 4 – point a

Text proposed by the Commission

(a) verify that the co-financed products and services have been delivered and that expenditure declared by the beneficiaries has been paid by them and that it complies with applicable Union and national law, the operational programme and the conditions for support of the operation;

Amendment

(a) verify that the co-financed products and services have been delivered and that expenditure declared by the beneficiaries has been paid by them and that it complies with applicable Union and national law, the operational programme, ***or the programme for a macro-regional development fund,*** and the conditions for support of the operation;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1676

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation
Part 3 – article 114 – paragraph 4 – point c

Text proposed by the Commission

(c) put in place effective and proportionate anti-fraud measures taking into account the risks identified;

Amendment

(c) put in place effective and proportionate anti-fraud measures taking into account the risks identified, ***such as an independent office receiving information by whistle blowers;***

Or. en

Amendment 1677

Richard Seeber

Proposal for a regulation
Part 3 – article 114 – paragraph 4 – point e

Text proposed by the Commission

Amendment

(e) draw up the management declaration of assurance on the functioning of the management and control system, the legality and regularity of underlying transactions and the respect of the principle of sound financial management, together with a report setting out the results of management controls carried out, any weaknesses identified in the management and control system and any corrective action taken. ***deleted***

Or. en

Amendment 1678
Richard Seeber

Proposal for a regulation
Part 3 – article 114 – paragraph 5 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) on-the-spot verifications of operations. ***(b) on-the-spot verifications of investment projects above 500.000 EUR public expenditure.***

Or. en

Amendment 1679
Vasilica Viorica Dăncilă

Proposal for a regulation
Part 3 – article 114 – paragraph 7

Text proposed by the Commission

Amendment

7. Where the managing authority is also a beneficiary under the operational programme, arrangements for the ***7. The Member State or the managing authority may entrust the management of part of an operational programme to an***

verifications referred to in paragraph 4(a) shall ensure adequate separation of functions.

intermediate body by way of an agreement in writing between the intermediate body and the Member State or managing authority (a 'global grant'), including local authorities, regional development bodies, or non-governmental organizations. With the view of facilitating access to Structural Funds for small NGOs, Member States shall promote the use of small and tailor-made global grants.

Or. en

Justification

Global grants are a powerful and well-proven financial tool that facilitate the good delivery on social inclusion outcomes through well-targeted projects engaging social inclusion NGOs that really meet the needs of vulnerable groups of people on the ground. The European Platform against Poverty

Amendment 1680
Richard Seeber

Proposal for a regulation
Part 3 – article 114 – paragraph 10

Text proposed by the Commission

Amendment

10. The Commission shall adopt, by means of implementing acts, the model for the management declaration referred to in paragraph 4(e). Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 143(2).

Deleted

Or. en

Amendment 1681
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 115 – paragraph 1 – point c

Text proposed by the Commission

(c) certifying the completeness, accuracy and veracity of the annual accounts and that the expenditure entered in the accounts complies with applicable Union and national rules and has been incurred in respect of operations selected for funding in accordance with the criteria applicable to the operational programme and complying with Union and national rules;

Amendment

(c) certifying the completeness, accuracy and veracity of the annual accounts and that the expenditure entered in the accounts complies with applicable Union and national rules and has been incurred in respect of operations selected for funding in accordance with the criteria applicable to the operational programme, ***or the programme for a macro-regional development fund***, and complying with Union and national rules;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1682

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 115 – paragraph 1 – point d

Text proposed by the Commission

(d) ensuring that there is a system which records and stores, in computerised form, accounting records for each operation, and which supports all the data required for drawing up payment applications and annual accounts, including records of amounts recoverable, amounts recovered and amounts withdrawn following cancellation of all or part of the contribution for an operation or operational programme;

Amendment

(d) ensuring that there is a system which records and stores, in computerised form, accounting records for each operation, and which supports all the data required for drawing up payment applications and annual accounts, including records of amounts recoverable, amounts recovered and amounts withdrawn following cancellation of all or part of the contribution for an operation or operational programme, ***or the programme for a macro-regional development fund***;

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1683

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 115 – paragraph 1 – point h

Text proposed by the Commission

(h) keeping an account of amounts recoverable and of amounts withdrawn following cancellation of all or part of the contribution for an operation. Amounts recovered shall be repaid to the general budget of the Union prior to the closure of the operational programme by deducting them from the next statement of expenditure.

Amendment

(h) keeping an account of amounts recoverable and of amounts withdrawn following cancellation of all or part of the contribution for an operation. Amounts recovered shall be repaid to the general budget of the Union prior to the closure of the operational programme, ***or the programme for a macro-regional development fund***, by deducting them from the next statement of expenditure.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1684

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 116 – paragraph 4

Text proposed by the Commission

4. The audit authority shall, within six months of adoption of an operational programme, prepare an audit strategy for performance of audits. The audit strategy shall set out the audit methodology, the

Amendment

4. The audit authority shall, within six months of adoption of an operational programme, prepare an audit strategy for performance of audits. The audit strategy shall set out the audit methodology, the

sampling method for audits on operations and the planning of audits in relation to the current accounting year and the two subsequent accounting years. The audit strategy shall be updated annually from 2016 until and including 2022. Where a common management and control system applies to more than one operational programme, a single audit strategy may be prepared for the operational programmes concerned. The audit authority shall submit the audit strategy to the Commission upon request.

sampling method for audits on operations and the planning of audits in relation to the current accounting year and the two subsequent accounting years. The audit strategy shall be updated annually from 2016 until and including 2022. Where a common management and control system applies to more than one operational programme, *or programme for a macro-regional development fund*, a single audit strategy may be prepared for the operational programmes, *or programmes for macro-regional development funds*, concerned. The audit authority shall submit the audit strategy to the Commission upon request.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1685
Hermann Winkler

Proposal for a regulation
Part 3 – article 117

Text proposed by the Commission

Amendment

[...]

deleted

Or. de

Amendment 1686
Cornelia Ernst, Younous Omarjee

Proposal for a regulation
Part 3 – article 117

Text proposed by the Commission

Amendment

[...]

deleted

Or. en

Amendment 1687

Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation

Part 3 – article 117

Text proposed by the Commission

Amendment

[...]

deleted

Or. es

Amendment 1688

Salvatore Caronna

Proposal for a regulation

Part 3 – article 117

Text proposed by the Commission

Amendment

[...]

deleted

Or. it

Justification

The accreditation of management and control bodies is rejected under the proposed regulation

Amendment 1689

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 117 – paragraph 2

Text proposed by the Commission

2. The formal decision referred to in paragraph 1 shall be based on a report and an opinion of an independent audit body that assesses the management and control system, including the role of intermediate bodies therein, and its compliance with Articles 62, 63, 114 and 115. The accrediting body shall take into account whether the management and control systems for the operational programme are similar to those in place for the previous programming period, as well as any evidence of their effective functioning.

Amendment

2. The formal decision referred to in Paragraph 1 shall be based on a report and an opinion of an independent audit body that assesses the management and control system, including the role of intermediate bodies therein, and its compliance with Articles 62, 63, 114 and 115. The accrediting body shall take into account whether the management and control systems for the operational programme, ***or the programme for a macro-regional development fund***, are similar to those in place for the previous programming period, as well as any evidence of their effective functioning.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1690

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 117 – paragraph 3

Text proposed by the Commission

3. The Member State shall submit the formal decision referred to in paragraph 1 to the Commission within six months of the adoption of the decision adopting the operational programme.

Amendment

3. The Member State, ***or the group of Member States that have established a macro-regional development fund***, shall submit the formal decision referred to in Paragraph 1 to the Commission within six months of the adoption of the decision adopting the operational programme, ***or the programme for a macro-regional development fund***.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1691

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 117 – paragraph 4 – subparagraph 3

Text proposed by the Commission

Where the total amount of support from the Funds to an operational programme exceeds EUR 250 000 000 the Commission may request, within two months of receipt of the formal decision referred to in paragraph 1, the report and the opinion of the independent audit body and the description of the management and control system.

Amendment

Where the total amount of support from the Funds to an operational programme, ***or programme for a macro-regional development fund***, exceeds EUR 250 000 000 the Commission may request, within two months of receipt of the formal decision referred to in Paragraph 1, the report and the opinion of the independent audit body and the description of the management and control system.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1692

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 119 – paragraph 1

Text proposed by the Commission

The Member State shall ensure that at the latest by the closure of the operational programme, the amount of public support paid to beneficiaries is at least equal to the

Amendment

The Member State, ***or the group of Member States that have established a macro-regional development fund***, shall ensure that at the latest by the closure of

contribution from the Funds paid by the Commission to the Member State.

the operational programme, *or the programme for a macro-regional development fund*, the amount of public support paid to beneficiaries is at least equal to the contribution from the Funds paid by the Commission to the Member State, *or the group of Member States that have established a macro-regional development fund*.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1693

Francesco De Angelis, Salvatore Caronna, Andrea Cozzolino, Patrizia Toia, Vincenzo Iovine, Leonardo Domenici, Guido Milana, Giancarlo Scottà

Proposal for a regulation

Part 3 – article 120 – paragraph 1

Text proposed by the Commission

Amendment

1. The Commission shall reimburse as interim payments **90%** of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

1. The Commission shall reimburse as interim payments **95%** of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

Or. it

Justification

The interim payment of 90% of the amount resulting from applying the cofinancing rate is baseless, as it would deprive the managing authority of liquidity management needed to realise the programme. The threshold of 95% appears more suitable, given that the remaining

5% is used as a management reserve.

Amendment 1694

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 120 – paragraph 1

Text proposed by the Commission

1. The Commission shall reimburse as interim payments 90 % of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

Amendment

1. The Commission shall reimburse as interim payments 90 % of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme, **or the programme for a macro-regional development fund**, to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1). **The Member State, or the group of Member States that has established a macro-regional development fund, shall ensure that at the latest by the closure of the operational programme, the amount of public support paid to beneficiaries is at least equal to the contribution from the Funds paid by the Commission to the Member State, or the group of Member States that has established a macro-regional development fund.**

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1695

Evgeni Kirilov

Proposal for a regulation
Part 3 – article 120 – paragraph 1

Text proposed by the Commission

1. The Commission shall reimburse as interim payments **90%** of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

Amendment

1. The Commission shall reimburse as interim payments **100%** of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

Or. en

Amendment 1696
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 120 – paragraph 2 – point b

Text proposed by the Commission

(b) the contribution from the Funds for the priority axis laid down in the decision of the Commission approving the operational programme.

Amendment

(b) the contribution from the Funds for the priority axis laid down in the decision of the Commission approving the operational programme, ***or the programme for a macro-regional development fund.***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1697
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 120 – paragraph 3

Text proposed by the Commission

3. Notwithstanding Article 22, the Union support through the interim payments and payments of the final balance shall not be higher than the public support and the maximum amount of support from the Funds for each priority axis as laid down in the decision of the Commission approving the operational programme.

Amendment

3. Notwithstanding Article 22, the Union support through the interim payments and payments of the final balance shall not be higher than the public support and the maximum amount of support from the Funds for each priority axis as laid down in the decision of the Commission approving the operational programme, **or the programme for a macro-regional development fund.**

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1698

Lambert van Nistelrooij, Constanze Angela Krehl

Proposal for a regulation

Part 3 – article 123 – paragraph 1

Text proposed by the Commission

1. Member States which have not adopted the euro as their currency on the date of an application for payment shall convert the amounts of expenditure incurred in national currency into euro. This amount shall be converted into euro using the monthly accounting exchange rate of the Commission in the month during which the expenditure was registered in the accounts of the managing authority of the operational programme concerned. This rate shall be published electronically by the Commission each month.

Amendment

1. Member States which have not adopted the euro as their currency on the date of an application for payment shall convert the amounts of expenditure incurred in national currency into euro. This amount shall be converted into euro using the monthly accounting exchange rate of the Commission in the month during which the expenditure was registered in the accounts of **certifying authority or** the managing authority of the operational programme concerned. This rate shall be published electronically by the Commission each month.

Or. en

Justification

The amendment aims to offer the possibility to maintain the arrangements of the current programming period (Article 81 of 1083/2006), and thereby avoiding the introduction of additional administrative circuits. In case certifying and managing authorities have been merged, the accounts of the latter will be relevant.

Amendment 1699

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 123 – paragraph 1

Text proposed by the Commission

1. Member States which have not adopted the euro as their currency on the date of an application for payment shall convert the amounts of expenditure incurred in national currency into euro. This amount shall be converted into euro using the monthly accounting exchange rate of the Commission in the month during which the expenditure was registered in the accounts of the managing authority of the operational programme concerned. This rate shall be published electronically by the Commission each month.

Amendment

1. Member States which have not adopted the euro as their currency on the date of an application for payment shall convert the amounts of expenditure incurred in national currency into euro. This amount shall be converted into euro using the monthly accounting exchange rate of the Commission in the month during which the expenditure was registered in the accounts of the managing authority of the operational programme, *or programme for a macro-regional development fund*, concerned. This rate shall be published electronically by the Commission each month.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1700

Oldřich Vlasák, James Nicholson, Tomasz Piotr Poręba

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point a

Text proposed by the Commission

Amendment

(a) in 2014: 2 % of the amount of support from the Funds for the entire programming period to the operational programme;

(a) in 2014: 2,5 % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. en

Amendment 1701

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point a

Text proposed by the Commission

Amendment

(a) in 2014: 2 % of the amount of support from the Funds for the entire programming period to the operational programme;

(a) in 2014: 2 % of the amount of support from the Funds for the entire programming period to the operational programme, *or the programme for a macro-regional development fund;*

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1702

Patrice Tirolien

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point a

Text proposed by the Commission

Amendment

a) in 2014: 2 % of the amount of support from the Funds for the entire programming period to the operational programme;

a) in 2014: 3 % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. fr

Justification

Given that the managing authorities can opt to pay the beneficiaries before submitting refund applications to the Commission means there needs to be greater flexibility as regards advanced funds, so as to ensure the managing authorities have sufficient resources to meet the needs of the beneficiaries.

Amendment 1703

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) in 2015: 1 % of the amount of support from the Funds for the entire programming period to the operational programme.

(b) in 2015: 1 % of the amount of support from the Funds for the entire programming period to the operational programme, ***or the programme for a macro-regional development fund.***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1704

Evgeni Kirilov

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) in 2015: ***1*** % of the amount of support from the Funds for the entire programming period to the operational programme;

(b) in 2015: ***2*** % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. en

Amendment 1705
Oldřich Vlasák, James Nicholson, Tomasz Piotr Poręba

Proposal for a regulation
Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) in 2015: *1* % of the amount of support from the Funds for the entire programming period to the operational programme;

(b) in 2015: **2,5** % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. en

Amendment 1706
Patrice Tirolien

Proposal for a regulation
Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

b) in 2015: *1* % of the amount of support from the Funds for the entire programming period to the operational programme;

b) in 2015: **2%** of the amount of support from the Funds for the entire programming period to the operational programme;

Or. fr

Justification

Given that the managing authorities can opt to pay the beneficiaries before submitting refund applications to the Commission means there needs to be greater flexibility as regards advanced funds, so as to ensure the managing authorities have sufficient resources to meet the needs of the beneficiaries.

Amendment 1707
Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation
Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) in 2015: **1** % of the amount of support from the Funds for the entire programming period to the operational programme;

(b) in 2015: **3** % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. en

Amendment 1708

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) in 2015: **1** % of the amount of support from the Funds for the entire programming period to the operational programme;

(b) in 2015: **3** % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. en

Amendment 1709

Cornelia Ernst, Younous Omarjee

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) in 2015: **1** % of the amount of support from the Funds for the entire programming period to the operational programme;

(b) in 2015: **3** % of the amount of support from the Funds for the entire programming period to the operational programme;

Or. en

Justification

We welcome the proposal requiring managing authorities to disburse money to beneficiaries before applying to the Commission for reimbursement; however, this system requires a more flexible advance payment system if the managing authorities are to have sufficient resources

to advance the sums requested by beneficiaries.

Amendment 1710

Oldřich Vlasák, Tomasz Piotr Poręba, James Nicholson

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

(c) in 2016: *1* % of the amount of support from the Funds for the entire programming period to the operational programme.

(c) in 2016: **2,5** % of the amount of support from the Funds for the entire programming period to the operational programme.

Or. en

Amendment 1711

Patrice Tirolien

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

c) in 2016: *1*% of the amount of support from the Funds for the entire programming period to the operational programme.

c) in 2016: **2** % of the amount of support from the Funds for the entire programming period to the operational programme.

Or. fr

Justification

Given that the managing authorities can opt to pay the beneficiaries before submitting refund applications to the Commission means there needs to be greater flexibility as regards advanced funds, so as to ensure the managing authorities have sufficient resources to meet the needs of the beneficiaries.

Amendment 1712

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

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Text proposed by the Commission

Amendment

(c) in 2016: 1 % of the amount of support from the Funds for the entire programming period to the operational programme.

(c) in 2016: 1 % of the amount of support from the Funds for the entire programming period to the operational programme, **or the programme for a macro-regional development fund.**

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1713

Evgeni Kirilov

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

(c) in 2016: **1** % of the amount of support from the Funds for the entire programming period to the operational programme.

(c) in 2016: **2** % of the amount of support from the Funds for the entire programming period to the operational programme.

Or. en

Amendment 1714

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

(c) in 2016: **1** % of the amount of support from the Funds for the entire programming period to the operational programme.

(c) in 2016: **2** % of the amount of support from the Funds for the entire programming period to the operational programme.

Or. en

Amendment 1715

Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Basset, Catherine Grèze

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

(c) in 2016: **1** % of the amount of support from the Funds for the entire programming period to the operational programme.

(c) in 2016: **3** % of the amount of support from the Funds for the entire programming period to the operational programme.

Or. en

Amendment 1716

Cornelia Ernst, Younous Omarjee

Proposal for a regulation

Part 3 – article 124 – paragraph 1 – subparagraph 1 – point c

Text proposed by the Commission

Amendment

(c) in 2016: **1** % of the amount of support from the Funds for the entire programming period to the operational programme.

(c) in 2016: **3** % of the amount of support from the Funds for the entire programming period to the operational programme.

Or. en

Justification

We welcome the proposal requiring managing authorities to disburse money to beneficiaries before applying to the Commission for reimbursement; however, this system requires a more flexible advance payment system if the managing authorities are to have sufficient resources to advance the sums requested by beneficiaries.

Amendment 1717

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 124 – paragraph 2

Text proposed by the Commission

2. An annual pre-financing amount shall be paid before 1 July in the years 2016 to 2022. In 2016, it shall be 2 % of the amount of the support from the Funds for the whole programming period to the operational programme. In the years 2017 to 2022, it shall be 2.5% of the amount of the support from the Funds for the whole programming period to the operational programme.

Amendment

2. An annual pre-financing amount shall be paid before 1 July in the years 2016 to 2022. In 2016, it shall be 2 % of the amount of the support from the Funds for the whole programming period to the operational programme, ***or the programme for a macro-regional development fund.*** In the years 2017 to 2022, it shall be 2.5% of the amount of the support from the Funds for the whole programming period to the operational programme, ***or the programme for a macro-regional development fund.***

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1718
Evgeni Kirilov

Proposal for a regulation
Part 3 – article 124 – paragraph 2

Text proposed by the Commission

2. An annual pre-financing amount shall be paid before 1 July in the years 2016 to 2022. In 2016, it shall be 2 % of the amount of the support from the Funds for the whole programming period to the operational programme. In the years 2017 to 2022, it shall be **2,5%** of the amount of the support from the Funds for the whole programming period to the operational programme.

Amendment

2. An annual pre-financing amount shall be paid before 1 July in the years 2016 to 2022. In 2016, it shall be **2,5 %** of the amount of the support from the Funds for the whole programming period to the operational programme. In the years 2017 to 2022, it shall be **3 %** of the amount of the support from the Funds for the whole programming period to the operational programme.

Or. en

Amendment 1719
Hermann Winkler

Proposal for a regulation
Part 3 – article 126 – paragraph 3

Text proposed by the Commission

Amendment

3. The first application for interim payment shall not be made before the formal act accrediting the managing authority has been received by the Commission.

deleted

Or. de

Amendment 1720
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 126 – paragraph 4

Text proposed by the Commission

Amendment

4. Interim payments shall not be made for an operational programme where the annual implementation report has not been sent to the Commission in accordance with Article 101.

4. Interim payments shall not be made for an operational programme, **or a programme for a macro-regional development fund**, where the annual implementation report has not been sent to the Commission in accordance with Article 101.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1721
Elisabeth Schroedter, François Alfonsi, Nikos Chrysogelos, Karima Delli, Ana Miranda, Jean-Paul Besset, Catherine Grèze

Proposal for a regulation
Part 3 – article 126 – paragraph 5

Text proposed by the Commission

5. Subject to available funding, the Commission shall make the interim payment no later than 60 days after the date on which a payment application is registered with the Commission.

Amendment

5. put in place effective and proportionate anti-fraud measures taking into account the risks identified, such as an independent office receiving information by whistle blowers;

Or. en

Amendment 1722
Ramona Nicole Mănescu

Proposal for a regulation
Part 3 – article 126 – paragraph 5 a (new)

Text proposed by the Commission

Amendment

5a. The initial pre-financing and the intermediate payments have to reach the beneficiaries before the commitment of the program or the following phase, securing that the deadlines of payment cause no delay in the realization of the program and that the beneficiary does not have to make the advance of the public financing;

Or. en

Amendment 1723
Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation
Part 3 – article 127 – paragraph 1 – subparagraph 1

Text proposed by the Commission

Amendment

The Commission shall decommit any part of the amount calculated in accordance

The Commission shall decommit any part of the amount calculated in accordance

with the second subparagraph in an operational programme that has not been used for payment of the initial and annual pre-financing, interim payments and annual balance by 31 December of the **second** financial year following the year of budget commitment under the operational programme or for which a payment application drawn up in accordance with Article 121 has not been submitted in accordance with Article 126.

with the second subparagraph in an operational programme that has not been used for payment of the initial and annual pre-financing, interim payments and annual balance by 31 December of the **third** financial year following the year of budget commitment under the operational programme or for which a payment application drawn up in accordance with Article 121 has not been submitted in accordance with Article 126.

Or. en

Amendment 1724

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 127 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The Commission shall decommit any part of the amount calculated in accordance with the second subparagraph in an operational programme that has not been used for payment of the initial and annual pre-financing, interim payments and annual balance by 31 December of the second financial year following the year of budget commitment under the operational programme or for which a payment application **submitted in accordance with Article 126** has not been **prepared** in accordance with Article **121**.

Amendment

The Commission shall decommit any part of the amount calculated in accordance with the second subparagraph in an operational programme that has not been used for payment of the initial and annual pre-financing, interim payments and annual balance by 31 December of the second financial year following the year of budget commitment under the operational programme, **or the programme for a macro-regional development fund**, or for which a payment application has not been **sent** in accordance with Article **126(1)**.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1725
Evgeni Kirilov

Proposal for a regulation
Part 3 – article 127 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The Commission shall decommit any part of the amount calculated in accordance with the second subparagraph in an operational programme that has not been used for payment of the initial and annual pre-financing, interim payments and annual balance by 31 December of the second financial year following the year of budget commitment under the operational programme or for which a payment application drawn up in accordance with Article 121 has not been submitted in accordance with Article 126.

Amendment

The Commission shall decommit any part of the amount calculated in accordance with the second subparagraph in an operational programme that has not been used for payment of the initial and annual pre-financing, interim payments and annual balance by 31 December of the second financial year following the year of budget commitment under the operational programme, ***and for the Cohesion fund by 31 December of the third financial year following the year of budget commitment under the operational programme***, or for which a payment application drawn up in accordance with Article 121 has not been submitted in accordance with Article 126.

Or. en

Amendment 1726
Markus Pieper, Joachim Zeller

Proposal for a regulation
Part 3 – article 127 – paragraph 1 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

If a Member State in a difficult financial position meets the conditions of Article 22(1), the Commission should, on request, use an implementing measure to establish a separate programme under centralised management according to Article 54a Regulation No 1605/2002; the aim should be to pool suspended and/or withdrawn funding and interest income or funds that the relevant Member State has not yet drawn down and to use these to

promote growth and economic performance as effectively as possible, particularly with regard to economy-related infrastructural projects;

Or. de

Amendment 1727
Nuno Teixeira

Proposal for a regulation
Part 3 – article 127 – paragraph 1 – subparagraph 1 A (new)

Text proposed by the Commission

Amendment

For Member States where the GDP per capita in the period 2007–2009 was less than 85 % of the EU average during the same reference period, the period referred to in Subparagraph 1 of Paragraph 1, shall be 31 December of the third financial year, following the year of budget commitment under the operational programme.

Or. pt

Justification

The most disadvantaged regions should have the benefit of flexibility compared to other European regions.

Amendment 1728
Ramon Tremosa i Balcells, Salvador Sedó i Alabart, Santiago Fisas Ayxela

Proposal for a regulation
Part 3 – article 127 – paragraph 4 bis (new)

Text proposed by the Commission

Amendment

4a. In relation to the budget commitments referred to in Paragraph 1, and when applicable to allocations for innovation

and R&D operations under the thematic objectives laid down in Article 9(1) and (3), not concerning infrastructure, the Commission will release the commitments, in accordance with the second section of that paragraph, until 31 December of the fourth financial year following that on which the budget commitment corresponding to that part of the operational programme was contracted.

Or. es

Justification

Delivering innovation and R&D operations co-financed by the ERDF in other programming periods highlights the difficulty of compliance with the established common standard for automatic resource release programmes. A set level of compliance with a more lenient release standard for budget commitments for such operations is proposed.

Amendment 1729

Jan Olbrycht, Elżbieta Katarzyna Łukacijewska, Lena Kolarska-Bobińska

Proposal for a regulation

Part 3 – article 127 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. When the Commission takes a decision to authorise a major project, the amounts potentially concerned by automatic decommitment shall be reduced by the annual amounts concerned by such major projects.

For these annual amounts, the starting date for the calculation of the automatic decommitment deadlines referred to in point 1 shall be the date of the subsequent decision necessary in order to authorise such major projects.

Or. en

Amendment 1730
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – chapter 2 – title

Text proposed by the Commission

Amendment

Clearance of accounts and closure of operational programmes

Clearance of accounts and closure of operational programmes **and macro-regional programmes**

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1731
Hermann Winkler

Proposal for a regulation
Part 3 – article 128

Text proposed by the Commission

Amendment

Article 128

deleted

Content of the annual accounts

1. The certified annual accounts for each operational programme shall cover the accounting year and shall include at the level of each priority axis:

- (a) the total amount of eligible expenditure entered into the accounts of the certifying authority as having been paid by beneficiaries in implementing operations and the corresponding eligible public support which has been paid and the total amount of public support incurred in implementing operations;*
- (b) the amounts withdrawn and recovered during the accounting year, the amounts to be recovered as at the end of the*

accounting year, the recoveries effected pursuant to Article 61, and the irrecoverable amounts;
(c) for each priority axis, the list of operations completed during the accounting year that were supported by ERDF and Cohesion Fund;
(d) for each priority axis, a reconciliation between the expenditure stated pursuant to point (a) and the expenditure declared in respect of the same accounting year in payment applications, accompanied by an explanation of any differences.

2. The certifying authority may specify by priority axis in the accounts a provision, which shall not exceed 5 % of the total expenditure in payment applications presented for a given accounting year, where the assessment of the legality and regularity of the expenditure is subject to an ongoing procedure with the audit authority. The amount covered shall be excluded from the total amount of eligible expenditure referred to in paragraph 1(a). These amounts shall be definitively included in, or excluded from, the annual accounts of the following year.

Or. de

Amendment 1732
Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation
Part 3 – article 128

Text proposed by the Commission

Amendment

Article 128

deleted

Content of the annual accounts

- 1. The certified annual accounts for each operational programme shall cover the accounting year and shall include at the level of each priority axis:

- (a) the total amount of eligible

expenditure entered into the accounts of the certifying authority as having been paid by beneficiaries in implementing operations and the corresponding eligible public support which has been paid and the total amount of public support incurred in implementing operations;

- (b) the amounts withdrawn and recovered during the accounting year, the amounts to be recovered as at the end of the accounting year, the recoveries effected pursuant to Article 61, and the irrecoverable amounts;

- (c) for each priority axis, the list of operations completed during the accounting year that were supported by ERDF and Cohesion Fund;

- (d) for each priority axis, a reconciliation between the expenditure stated pursuant to point (a) and the expenditure declared in respect of the same accounting year in payment applications, accompanied by an explanation of any differences.

- 2. The certifying authority may specify by priority axis in the accounts a provision, which shall not exceed 5 % of the total expenditure in payment applications presented for a given accounting year, where the assessment of the legality and regularity of the expenditure is subject to an ongoing procedure with the audit authority. The amount covered shall be excluded from the total amount of eligible expenditure referred to in paragraph 1(a). These amounts shall be definitively included in, or excluded from, the annual accounts of the following year.

Or. es

Amendment 1733
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 128 – paragraph 1 – introductory part

Text proposed by the Commission

Amendment

1. The certified annual accounts for each operational programme shall cover the accounting year and shall include at the level of each priority axis:

1. The certified annual accounts for each operational programme, ***or programme for a macro-regional development fund***, shall cover the accounting year and shall include at the level of each priority axis:

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1734
Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation
Part 3 – article 129

Text proposed by the Commission

Amendment

Article 129

Deleted

Submission of information

For each year from 2016 until and including 2022, the Member State shall submit the documents referred to in Article 75(1).

Or. es

Amendment 1735
Hermann Winkler

Proposal for a regulation
Part 3 – article 129 – paragraph 1

Text proposed by the Commission

Amendment

For each year from 2016 until and including 2022, the Member State shall submit the documents referred to in Article 75(1).

Deleted

Or. de

Amendment 1736

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 129 – paragraph 1

Text proposed by the Commission

Amendment

For each year from 2016 until and including 2022, the Member State shall submit the documents referred to in Article 75(1).

For each year from 2016 until and including 2022, the Member State, ***or the group of Member States that have established macro-regional development funds***, shall submit the documents referred to in Article 75(1).

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1737

Hermann Winkler

Proposal for a regulation

Part 3 – article 130

Text proposed by the Commission

Amendment

[...]

Deleted

Or. de

Amendment 1738
Rosa Estaràs Ferragut, Veronica Lope Fontagné

Proposal for a regulation
Part 3 – article 130

Text proposed by the Commission

Amendment

[...]

Deleted

Or. es

Amendment 1739
Monika Smolková, Anna Záborská

Proposal for a regulation
Part 3 – article 130 – paragraph 2

Text proposed by the Commission

Amendment

2. The annual balance which, as a result of the clearance of accounts, is recoverable from the Member State shall be subject to a recovery order of the Commission. The annual balance payable to the Member State shall be added to the next interim payment made by the Commission following the clearance of accounts.

2. The annual balance which, as a result of the clearance of accounts, is recoverable from the Member State, ***or the group of Member States that have established a macro-regional development fund***, shall be subject to a recovery order of the Commission. The annual balance payable to the Member State, ***or the group of Member States that have established a macro-regional development fund***, shall be added to the next interim payment made by the Commission following the clearance of accounts.

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.

Amendment 1740

Monika Smolková, Anna Záborská

Proposal for a regulation

Part 3 – article 130 – paragraph 3

Text proposed by the Commission

3. If, for reasons attributable to a Member State, the Commission is not in a position to clear the accounts by 30 April of the year following the end of an accounting year, the Commission shall notify the Member State of the actions that must be undertaken by the managing authority or audit authority, or of the additional enquiries the Commission proposes to undertake pursuant to Article 65(2) and (3).

Amendment

3. If, for reasons attributable to a Member State, ***or a group of Member States that have established a macro-regional development fund***, the Commission is not in a position to clear the accounts by 30 April of the year following the end of an accounting year, the Commission shall notify the Member State, ***or the group of Member States that have established a macro-regional development fund***, of the actions that must be undertaken by the managing authority or audit authority, or of the additional enquiries the Commission proposes to undertake pursuant to Article 65(2) and (3).

Or. sk

Justification

Taking into account the amendments on the innovation part of the common strategic framework.