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Committee on Development

2011/0406(COD)

21.6.2012

***I
DRAFT REPORT

on the proposal for a regulation of the European Parliament and of the Council establishing a financing instrument for development cooperation
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

Committee on Development

Rapporteur: Thijs Berman

Symbols for procedures

*	Consultation procedure
***	Consent procedure
***I	Ordinary legislative procedure (first reading)
***II	Ordinary legislative procedure (second reading)
***III	Ordinary legislative procedure (third reading)

(The type of procedure depends on the legal basis proposed by the draft act.)

Amendments to a draft act

In amendments by Parliament, amendments to draft acts are highlighted in ***bold italics***. Highlighting in *normal italics* is an indication for the relevant departments showing parts of the draft act which may require correction when the final text is prepared – for instance, obvious errors or omissions in a language version. Suggested corrections of this kind are subject to the agreement of the departments concerned.

The heading for any amendment to an existing act that the draft act seeks to amend includes a third line identifying the existing act and a fourth line identifying the provision in that act that Parliament wishes to amend. Passages in an existing act that Parliament wishes to amend, but that the draft act has left unchanged, are highlighted in **bold**. Any deletions that Parliament wishes to make in such passages are indicated thus: **[...]**.

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DRAFT EUROPEAN PARLIAMENT LEGISLATIVE RESOLUTION

on the proposal for a regulation of the European Parliament and of the Council establishing a financing instrument for development cooperation (COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

(Ordinary legislative procedure: first reading)

The European Parliament,

– having regard to the Commission proposal to Parliament and the Council (COM(2011)0840),

– having regard to Article 294(2) and Article 209(1) of the Treaty on the Functioning of the European Union, pursuant to which the Commission submitted the proposal to Parliament (C7-0493/2011),

– having regard to Article 294(3) of the Treaty on the Functioning of the European Union,

– having regard to Rule 55 of its Rules of Procedure,

– having regard to the report of the Committee on Development and the opinions of the Committee on Foreign Affairs, the Committee on International Trade, the Committee on Budgets and the Committee on Women's Rights and Gender Equality (A7-0000/2012),

1. Adopts its position at first reading hereinafter set out;

2. Calls on the Commission to refer the matter to Parliament again if it intends to amend its proposal substantially or replace it with another text;

3. Instructs its President to forward its position to the Council, the Commission and the national parliaments.

Amendment 1

Proposal for a regulation
Recital 1

Text proposed by the Commission	*Amendment*
(1) This Regulation constitutes one of the instruments providing ***direct*** support for the European Union's external policies. It will replace the Regulation of the European Parliament and of the Council No 1605/2006 of 18 December 2006 establishing a financing instrument for development cooperation which expires on	(1) This Regulation ***forms part of the Union's development cooperation policy and*** constitutes one of the instruments providing support for the European Union's external policies. It will replace the Regulation of the European Parliament and of the Council No 1605/2006 of 18 December 2006 establishing a financing

31 December 2013.	instrument for development cooperation which expires on 31 December 2013.

Or. en

Justification

In the very first recital, it is important to stress development cooperation as an autonomous policy domain.

Amendment 2

Proposal for a regulation
Recital 2

Text proposed by the Commission	*Amendment*
(2) The fight against poverty remains the primary objective of the development policy of the European Union, as laid down in Title V, Chapter 1 of the Treaty on European Union and Title III, Chapter 1 of Part Five of the Treaty on the Functioning of the European Union, in line with the Millennium Development Goals (MDGs), ***or*** other objectives ***accepted*** by the Union and its Member States***).***	(2) The fight against poverty remains the primary objective of the development policy of the European Union, as laid down in Title V, Chapter 1 of the Treaty on European Union and Title III, Chapter 1 of Part Five of the Treaty on the Functioning of the European Union, in line with the Millennium Development Goals (MDGs), ***and*** other ***internationally agreed development commitments and*** objectives ***approved*** by the Union and its Member States ***in the context of the United Nations and other competent international organisations.***

Or. en

Justification

Objectives pursued under the DCI must be development related. The wording is also aligned to Art. 208 TFEU.

Amendment 3

Proposal for a regulation
Recital 3

Text proposed by the Commission	*Amendment*
(3) The European Consensus on Development ***and Commission communications "Increasing the impact of EU development Policy - An Agenda for Change", and "The future approach of EU budget support to third countries", as well as any future communication establishing basic orientations and principles for the Union's development policy, and their subsequent conclusions, provide*** the general policy framework, the orientations and the focus to guide the implementation of this Regulation.	(3) The European Consensus on Development ***provides*** the general policy framework, the orientations and the focus to guide the implementation of this Regulation.

Or. en

Justification

Commission Communications are unilateral political statements by the Commission which can not provide the policy framework for the implementation of legislation. That framework should be set by the legislators, or though trilaterally agreed joint statements, as is the case for the European Consensus for Development. Thus, the proposal to delete the reference to the two Communications is not related to their content.

Amendment 4

Proposal for a regulation
Recital 4

Text proposed by the Commission	*Amendment*
(4) The ***Union is founded on the values of*** democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, the principles of equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these ***values*** in	(4) The ***Union's action on the international scene should be guided by the principles which have inspired its own creation, development and enlargement, and which it seeks to advance in the wider world:*** democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, the

partner countries and regions through dialogue and cooperation.

principles of equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these ***principles*** in partner countries and regions through dialogue and cooperation. ***While pursuing those principles, the Union, expressing the combined commitment and ambitions of the Member States and thereby ensuring its unique political weight, proves its added value as an actor in development policies.***

Or. en

Justification

The wording in this article is aligned to Art. 21 TEU. In addition, the added value of the Union in this area should be underlined.

Amendment 5

Proposal for a regulation
Recital 5

Text proposed by the Commission	*Amendment*
(5) The Union ***also aims to ensure coherence with other areas*** of its external action. This should be ***ensured when formulating the Union's development cooperation policy and its strategic planning programming and implementation of measures.***	(5) The Union ***should seek the most efficient use of available resources in order to optimise the impact*** of its external action. This should be ***achieved through a comprehensive approach for each country based on complementarity, the creation of synergies and the mutual reinforcement of the programmes devised under this Regulation and the other external instruments of the Union. While striving for overall consistency of the Union's external action in accordance with Article 21 TEU, the Union should ensure policy coherence for development as required in Article 208 TFEU. All Union policies which are likely to affect developing countries should take into account the objectives of the Union development cooperation policy.***

Or. en

Justification

The importance of applying the different external financing instruments in a complementary and coherent fashion should be underlined. In this context, the Policy Coherence for Development (PCD) requirement applying to the other external instruments as well as all other EU policies should be cited.

Amendment 6

Proposal for a regulation
Recital 6

Text proposed by the Commission	*Amendment*
(6) More effective aid, greater complementarity and better harmonisation, alignment with partner countries, and coordination of procedures, both between the Union and its Member States and in relations with other donors and development actors, are essential for ensuring the consistency and relevance of aid whilst at the same time reducing the costs borne by partner countries. Through its development policy, the Union is committed to implementing ***those*** conclusions of the Declaration on Aid Effectiveness adopted by the High Level Forum on Aid Effectiveness, held in Paris, on 2 March 2005, the Accra Agenda for Action adopted on 4 September 2008 and their follow-up Declaration adopted in Busan on 1st December 2011. ***The objective of achieving a joint programming amongst the Union and its Member States should be reinforced.*** These commitments have ***lead*** to a number of conclusions of the Council and of the Representatives of the Governments of the Member States meeting with the Council such as the EU Code of Conduct on Complementarity and Division of Labour in Development Policy, and the Operational Framework on Aid Effectiveness	(6) More effective aid, greater complementarity and better harmonisation, alignment with partner countries, and coordination of procedures, both between the Union and its Member States and in relations with other donors and development actors, are essential for ensuring the consistency and relevance of aid whilst at the same time reducing the costs borne by partner countries. Through its development policy, the Union is committed to implementing ***the*** conclusions of the Declaration on Aid Effectiveness adopted by the High Level Forum on Aid Effectiveness, held in Paris, on 2 March 2005, the Accra Agenda for Action adopted on 4 September 2008 and their follow-up Declaration adopted in Busan on 1st December 2011. These commitments have ***led*** to a number of conclusions of the Council and of the Representatives of the Governments of the Member States meeting with the Council such as the EU Code of Conduct on Complementarity and Division of Labour in Development Policy, and the Operational Framework on Aid Effectiveness. ***Efforts and procedures for achieving joint programming amongst the Union and its Member States should be reinforced.***

Or. en

Justification

Not only the objective, but concrete mechanisms should be strengthened to make progress on joint programming. The word "those" would imply that the Union implements only part of the conclusions, "the" means "all".

Amendment 7

Proposal for a regulation
Recital 6 a (new)

Text proposed by the Commission	*Amendment*
	(6a) The Union's action in the field of development cooperation under this Regulation should have an impact reflected in concrete changes in partner countries. As a general rule, that impact should be monitored and assessed on the basis of pre-defined, clear, transparent and measurable indicators.

Or. en

Justification

The focus on concrete impact and results, and the need for the relevant mechanisms and indicators, should be underlined.

Amendment 8

Proposal for a regulation
Recital 6 b (new)

Text proposed by the Commission	*Amendment*
	(6b) In order to ensure the visibility of the Union's assistance towards the citizens of the partner countries and those of the Union, proper and targeted communication and information should be carried out via national, regional and local media, or by other adequate means,

whenever relevant. Minimal and appropriate requirements in this regard should be defined by the Commission in close cooperation with beneficiaries, and compliance with those requirements should be monitored.

Or. en

Justification

Communication and visibility can contribute to upholding citizens' support for the Union's external assistance.

Amendment 9

Proposal for a regulation
Recital 7

Text proposed by the Commission	*Amendment*
(7) Union assistance should support the Joint Africa-EU Strategy ***and its successive Actions Plans which forms the framework for broad and mutually beneficial cooperation within a Strategic Partnership characterised by the pursuit of common objectives on an*** equal footing.	(7) Union assistance should support the Joint Africa-EU Strategy***, in accordance with the objectives of this Regulation and based on the principles of ownership, partnership and*** equal footing.

Or. en

Justification

As part of the DCI, the Pan-African Programme should focus on development related projects. Development cooperation should benefit developing countries, and not the EU.

Amendment 10

Proposal for a regulation
Recital 8

Text proposed by the Commission	*Amendment*
(8) The Union and the Member States should improve the consistency and the	(8) The Union and the Member States should improve the consistency and the

complementarity of their respective policies on development cooperation, in particular by responding to partner countries' and regions' priorities at country and regional level. To ensure that the Union's development cooperation policy and that of the Member States complement and reinforce each other, it is appropriate to provide for joint programming procedures which should be implemented whenever possible and relevant.

complementarity of their respective policies on development cooperation, in particular by responding to partner countries' and regions' priorities at country and regional level. To ensure that the Union's development cooperation policy and that of the Member States complement and reinforce each other, ***and to ensure cost-effective aid delivery while avoiding overlap as well as omissions,*** it is ***both urgent and*** appropriate to provide for joint programming procedures which should be implemented whenever possible and relevant.

Or. en

Justification

The positive potential of joint programming should be further underlined, while avoiding the neglect of countries or sectors.

Amendment 11

Proposal for a regulation
Recital 9

Text proposed by the Commission	*Amendment*
(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives ***and*** principles approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.	(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent ***internationally agreed*** modifications thereto, and by the development objectives***,*** principles ***and commitments*** approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation. ***The Union's policy and international action is also guided by its commitments and obligations concerning human rights and development, including the Universal***

Declaration on Human Rights, the International Covenant on Civil and Political Rights, the International Covenant on Economic, Social and Cultural Rights and the UN Declaration on the Right to Development.

Or. en

Justification

The addition of the word "commitments" brings the text more in line with Article 208 TFEU. Given the renewed stress on human rights in the DCI proposal, and the promotion of human rights being one of the objectives of the regulation, specific reference should be made to the main international human rights declarations and conventions.

Amendment 12

Proposal for a regulation
Recital 10

Text proposed by the Commission	*Amendment*
(10) The Union should ***promote*** a comprehensive approach in response to crisis and disaster and to conflict-affected and fragile situations, including those of transition. ***This should in particular build on the Council conclusions on Security and Development on an EU response to situations of fragility on conflict prevention as well as any relevant subsequent conclusions.*** This should ***provide*** the ***necessary*** mix of approaches, responses and instruments in particular by ensuring ***an appropriate balance between*** the security-oriented, development ***and humanitarian*** approaches***, and by linking short-term reaction with the long term support***.	(10) The Union should ***see it as one of its highest priorities to operate with*** a comprehensive approach in response to crisis and disaster and to conflict-affected and fragile situations, including those of transition ***and post-crisis***. ***In those highly unstable situations where needs are most urgent and poverty is most difficult to eradicate, the Union should contribute to strengthening the resilience of vulnerable groups in response to shocks.*** This should ***be done by providing*** the ***appropriate*** mix of approaches, responses and instruments in particular by ensuring ***that*** the security-oriented, ***humanitarian and*** development approaches ***are balanced, consistent and effectively coordinated.***

Or. en

Justification

The need to ensure effective development action in fragile or post crisis situations and to achieve an effective link between relief, rehabilitation and development should be stressed even further. Council Conclusions are unilateral positions, not necessarily approved by Parliament as co-legislator. The proposal to delete the reference to the relevant Council Conclusions is not related to their content.

Amendment 13

Proposal for a regulation
Recital 11

Text proposed by the Commission	*Amendment*
(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges ***such as poverty eradication,*** sustainable and inclusive development ***and worldwide promotion of*** democracy, good governance, human rights and the rule of law, its long-term and predictable commitment to development assistance and its role in ***coordinating*** with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that ***bilateral*** development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, ***bilateral*** programming would target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of ***EU*** assistance.	(11) ***In the context of the overarching objective of the Union's development cooperation to contribute to poverty eradication,*** Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges. ***In particular, the Union's added value results from its capacity and its own experience in supporting*** sustainable and inclusive development***, promoting*** democracy, good governance, human rights and the rule of law ***worldwide***, its long-term and predictable commitment to development assistance and its role in ***promoting the coordination*** with its Member States, ***as enshrined in Article 210 TFEU.*** To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that ***the Union's*** development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, ***country-specific*** programming would target such countries, pursuant to the application of objective

criteria based on the needs and capacities of these countries, as well as the impact of ***the*** assistance ***of the Union and its Member States***. ***For countries no longer eligible for country-specific geographical programmes, it should be possible to phase out assistance through a gradual approach, and paying special attention to the needs of particularly vulnerable population groups. The Union should establish a strong political dialogue with these partner countries on its possible contribution to the establishment of national policies aiming at poverty reduction.***

Or. en

Justification

For the DCI, it should be highlighted that poverty eradication is the main objective and therefore the yardstick for assessing the Union's potential impact in using this instrument. The specific added value of the EU should be explained. The Union's coordinating role is laid down in article 210 TFEU, which should also be referred to. The use of the word "bilateral development cooperation" in reference to the Union's funding for country-specific geographical programmes is new and could lead to confusion. It should be replaced by the word "country-specific".

Amendment 14

Proposal for a regulation
Recital 12

Text proposed by the Commission	*Amendment*
(12) This Regulation should ***provide an enabling framework for programming, allowing*** enhanced consistency between Union ***policies by using a joint framework document as a basis for programming***. It should enable full alignment with partner countries and regions by ***relying***, where ***appropriate***, ***on*** national development plans or similar comprehensive development documents; and pursue a better coordination amongst donors, in	(12) This Regulation should ***allow for*** enhanced consistency between Union policies ***while respecting the overall requirement of Policy Coherence for Development***. It should enable full alignment with partner countries and regions by ***using***, where ***possible***, national development plans or similar comprehensive development documents ***as the basis for the programming of the Union's action***; and pursue a better

particular between the Union and its Member States, through joint programming.	coordination amongst donors, in particular between the Union and its Member States, through joint programming.

Or. en

Justification

"Joint Framework Documents" are not subject to parliamentary control, and may not sufficiently reflect the specificity of development cooperation. They can be the basis for strategy papers, but not replace them. Wherever possible, alignment with partner countries' development plans should be pursued.

Amendment 15

Proposal for a regulation
Recital 14

Text proposed by the Commission	*Amendment*
(14) In a globalised world, different internal EU policies such as environment, climate change, employment (including decent work for all), gender equality, energy, water, transport, health, education, justice and security, research and innovation, information society, migration, agriculture and fisheries, are increasingly becoming part of the EU's external action. ***Commission Communication 'Europe 2020: A strategy for smart, sustainable and inclusive growth'*** underlines ***the*** commitment ***of the Union*** to promote in its internal and external policies smart, inclusive and sustainable growth bringing together three pillars: economic, social and environmental.	(14) In a globalised world, different internal EU policies such as environment, climate change, employment (including decent work for all), gender equality, energy, water, transport, health, education, justice and security, research and innovation, information society, migration, agriculture and fisheries, are increasingly becoming part of the EU's external action. ***This strengthens the need to scrupulously observe the principle of Policy Coherence for Development as enshrined in the Treaty. The Union*** underlines ***its*** commitment to promote in its internal and external policies smart, inclusive and sustainable growth bringing together three pillars: economic, social and environmental. ***The impact of growth on poverty eradication is much higher if inequality is reduced; Union development assistance should therefore support growth patterns which enhance social, economic and territorial cohesion and enable the poor to increase their share of national wealth.***

Or. en

Justification

Commission Communications are unilateral political statements by the Commission which can not provide the policy framework for the implementation of legislation. That framework should be set by the legislators, or though trilaterally agreed joint statements, as is the case for the European Consensus for Development. Thus, the proposal to delete the reference to the Communication is not related to its content. The importance of targeting inequalities, in order to ensure sustainable and effective pro-poor growth in developing countries, should be highlighted.

Amendment 16

Proposal for a regulation
Recital 15

Text proposed by the Commission	*Amendment*
(15) Fighting climate change and protecting the environment are among the great challenges which face the Union and where the need for international action is urgent. ***In accordance with the intent stated in Commission Communication "A budget for Europe 2020" of 29 June 2011, this*** Regulation should contribute to the objective of addressing at least 20% of the EU budget to low carbon and climate resilient society, and the global public goods and challenges programme should use ***at least*** 25% of its funds to cover climate change and ***environment***. Actions in these two areas should, wherever possible, be mutually supportive in order to reinforce their impacts.	(15) Fighting climate change and protecting the environment are among the great challenges which face the Union and where the need for international action is urgent. ***This*** Regulation should ***therefore*** contribute to the objective of addressing at least 20% of the EU budget to low carbon and climate resilient society, and the global public goods and challenges programme should use 25% of its funds to cover ***development related aspects of environment,*** climate change and ***biodiversity***. Actions in these areas should, wherever possible, be mutually supportive in order to reinforce their impacts. ***The Union and its Member States have committed to providing financing for climate change adaptation and mitigation in developing countries additional to their commitments of 0,7% of gross national income (GNI) to official development assistance (ODA), as provided for in Article 4.3 of the United Nations Framework Convention on Climate Change (UNFCCC). Financing for climate change under this Regulation should not undermine or jeopardise the fight against poverty and continued***

progress towards the MDGs. Funding with the specific objective of mitigating and adapting to climate change should therefore be subject to separate tracking and reporting, and the Union should exclude these funds from its contribution to the target of 0,7 of GNI to ODA.

Or. en

Justification

Commission Communications are unilateral political statements by the Commission which can not provide the policy framework for the implementation of legislation. That framework should be set by the legislators, or though trilaterally agreed joint statements, as is the case for the European Consensus for Development. Thus, the proposal to delete the reference to the Communication is not related to its content. The EU has committed in 2009 at the COP 15 Climate Change conference in Copenhagen to provide "new and additional resources" for climate change adaptation and mitigation in developing countries.

Amendment 17

Proposal for a regulation
Recital 16

Text proposed by the Commission	*Amendment*
(16) ***Commission communication "Increasing the impact of EU Development Policy: an Agenda for Change" envisages*** continued support for ***social inclusion and*** human development ***through at*** least 20% of the ***Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development***.	(16) ***This Regulation should enable the Union to contribute to fulfilling the joint Union commitment of providing*** continued support for human development ***to improve peoples' lives in line with the MDGs. At*** least 20% of the ***funds under this regulation should be used for supporting the provision of basic social services, as defined by the UN in the Millennium Development Goals.***

Or. en

Justification

Commission Communications cannot provide the policy framework for the implementation of legislation. The term "social inclusion and human development" is very broad and difficult to measure. The benchmark for basic social services is in line with previous EP resolutions and

based on one of the indicators for MDG 8 (indicator 8.2: Proportion of total bilateral, sector-allocable ODA of OECD/DAC donors to basic social services (basic education, primary health care, nutrition, safe water and sanitation)).

Amendment 18

Proposal for a regulation
Recital 16 a (new)

Text proposed by the Commission	*Amendment*
	16a. While thematic programmes should primarily support developing countries, some beneficiary countries as well as the overseas countries and territories (OCTs), the characteristics of which do not meet the requirements to be defined as Official Development Assistance (ODA) recipients by the Development Assistance Committee of the Organisation for Economic Cooperation and Development (OECD/DAC) and which are covered by Article 2(2)(a), should also be eligible for thematic programmes under the conditions set out in this Regulation.

Or. en

Justification

A specification is needed as regards the nature of some recipient countries which justifies the exceptions to the ODA requirement for thematic programmes.

Amendment 19

Proposal for a regulation
Recital 17

Text proposed by the Commission	*Amendment*
(17) The lists of partner countries under this Regulation should be adapted on the basis of possible changes to their status as established by the Development Assistance Committee of the Organization for Economic Cooperation and Development	(17) The lists of partner countries under this Regulation should be adapted on the basis of possible changes to their status ***as ODA recipients,*** as established by the Development Assistance Committee of the Organization for Economic Cooperation

(OECD/DAC)***, as well as*** important changes in human development, aid dependency, crisis situations, vulnerability and other aspects ***including the dynamics of the development process. Such*** updates, ***reviews of the partner countries eligible for bilateral development cooperation and*** modifications in the definitions of ***detailed*** areas of cooperation and activities, and adjustments of the indicative financial allocation per programme, ***constitute non-essential elements of this Regulation. Consequently, in order to adapt its scope to the rapidly evolving reality in third countries, the power to adopt acts in accordance with Article 290 of the Treaty of the Functioning of the European Union should be delegated to the Commission for updating the*** Annexes of this Regulation ***which includes the list of partner countries and regions eligible for Union financing, the definition of detailed areas of cooperation under geographic and thematic programmes and the indicative allocations per programme. It is of particular importance that the Commission should carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing up delegated acts, should further ensure a simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and Council***.

and Development (OECD/DAC). ***The lists of partner countries under this Regulation should also be adapted to respond to*** important changes in human development, aid dependency, crisis situations, vulnerability and other aspects ***included in the eligibility criteria defined in this Regulation for the establishment of the different categories of partner countries***. ***For such*** updates, ***and for*** modifications in the definitions of areas of cooperation and activities, and adjustments of the indicative financial allocation per programme, ***the Commission should submit legislative proposals introducing the necessary modifications to*** Annexes ***I, III, IV, V, VI and VII*** of this Regulation.

Or. en

Justification

The annexes specifying the partner countries, their eligibility for country-specific aid, the areas of cooperation and the financial allocations per programme should be established and changed trough co-decision, rather than delegated acts.

Amendment 20

Proposal for a regulation
Recital 17 a (new)

Text proposed by the Commission	*Amendment*
	(17a) Under this Regulation, the documents that define for each financial programme the objectives, priorities, expected results and financial allocations in broad terms, constitute strategic programming documents, within the meaning of Regulation (EU, Euratom) No .../.... of the European Parliament and of the Council establishing common rules and procedures for the implementation of the Union's instruments for external action* (Common Implementing Regulation). Common Implementing Regulation. With a view to increasing flexibility and efficiency for their adoption and revision, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing up delegated acts, should ensure simultaneous timely and appropriate transmission of relevant documents to the European Parliament and the Council.
	**** OJ L...***

Or. en

Justification

Strategic programming documents fulfill the criteria for delegated acts as defined in Article 290 TFEU.

Amendment 21

Proposal for a regulation
Recital 18

Text proposed by the Commission	*Amendment*
(18) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission.	***deleted***

Or. en

Justification

According to the proposed amendments, implementing acts would not be used for the programming of this regulation. The implementation level (action programmes, special and individual measures) is covered by the Common Implementing Regulation. The recital can therefore be deleted.

Amendment 22

Proposal for a regulation
Recital 19

Text proposed by the Commission	*Amendment*
(19) The implementing powers relating to strategy papers and multiannual indicative programmes laid down in Articles 11 to 14 of this Regulation should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers. Taking into account the nature of those implementing acts, in particular their policy orientation nature or their budgetary implications, the examination procedure should in general be used for their adoption, except for measures of a small financial scale. The Commission should adopt	***deleted***

immediately applicable implementing acts where, in duly justified cases relating to the need for a swift response from the Union, imperative grounds of urgency so requires.

Or. en

Justification

See amendment on recital 18.

Amendment 23

Proposal for a regulation
Article 1 – paragraph 1 – point a

Text proposed by the Commission	*Amendment*
(a) geographic programmes aimed at supporting cooperation with developing countries, territories and regions set out in Annex I (hereinafter referred to as 'partner countries and regions'), which are included in the list of recipients of Official Development Assistance (ODA) of the OECD/DAC set out in Annex II. ***Partner countries that shall benefit from bilateral development assistance are set out in Annex III.***	(a) geographic programmes aimed at supporting ***development*** cooperation with developing countries, territories and regions set out in Annex I (hereinafter referred to as 'partner countries and regions'), which are included in the list of recipients of Official Development Assistance (ODA) of the OECD/DAC set out in Annex II.

Or. en

Amendment 24

Proposal for a regulation
Article 1 – paragraph 1 – point b

Text proposed by the Commission	*Amendment*
(b) thematic programmes to address global public goods and challenges and support civil society organisations and local authorities in countries, territories and regions eligible for Union funding under	(b) thematic programmes to address ***development-related*** global public goods and challenges and support civil society organisations and local authorities in countries, territories and regions eligible

geographic programmes, in accordance with Annex I of this Regulation, Regulation (EU) No [.../…] of the European Parliament and the Council establishing a European Neighbourhood Instrument, and Council Decision [2001/822/EC of 27 November 2001 on the association of the overseas countries and territories], and in African, Caribbean and Pacific (ACP) countries signatories to the ACP-EU Partnership Agreement signed in Cotonou on 23 June 2000.	for Union funding under geographic programmes in accordance with Annex I of this Regulation, ***under*** Regulation (EU) No [.../…] of the European Parliament and the Council establishing a European Neighbourhood Instrument, and ***under*** Council Decision [2001/822/EC of 27 November 2001 on the association of the overseas countries and territories], and in African, Caribbean and Pacific (ACP) countries signatories to the ACP-EU Partnership Agreement signed in Cotonou on 23 June 2000.

Or. en

Justification

"Global public goods" is a broad concept. Any support under this thematic programme must be geared towards the development objectives of this Regulation.

Amendment 25

Proposal for a regulation
Article 1 – paragraph 1 a (new)

Text proposed by the Commission	*Amendment*
	1a. Country-specific geographic cooperation programmes shall be targeted at the partner countries where aid resources are most needed, and where the Union's development cooperation can have a meaningful impact.

Or. en

Justification

A focus of aid on the poorest countries should be fully supported.

Amendment 26

Proposal for a regulation
Article 1 – paragraph 1 b (new)

Text proposed by the Commission	*Amendment*
	1b. Country-specific cooperation programmes shall be applicable to partner countries which are Least Developed Countries or other Low Income Countries according to the list of recipients of Official Development Assistance (ODA) of the OECD/DAC set out in Annex II. Country-specific cooperation programmes shall also be applicable to partner countries which are Lower Middle Income Countries and where Union aid has a significant potential leverage and can provide an added value for addressing poverty and reducing inequality.

Or. en

Justification

A focus of aid on the poorest countries should be fully supported. As regards middle income countries, the Union's potential impact should also be taken into account.

Amendment 27

Proposal for a regulation
Article 1 – paragraph 1 c (new)

Text proposed by the Commission	*Amendment*
	1c. In exceptional cases, country-specific cooperation programmes may be applicable to partner countries which are Upper Middle Income Countries if it can be established that Union aid has significant potential leverage, and that it provides an added value for addressing poverty and reducing inequality, and if the following development-related needs criteria are fulfilled, using indicators from the base year of 2011, or the most

recent available data prior to 2011:

(a) Human Development Index: below 0,75;

(b) Poverty headcount ratio (based on daily per capita net income of USD 2 (PPP) (% of the population); above 10 %,

(c) Poverty Gap Index (based on daily per capita net income of USD 2): above 4%; and

(d) Income Gini Coefficient: above 45 %.

Upper Middle Income Countries for which not all, no reliable or no recent data for points (a) to (d) are available may continue to be eligible for country-specific cooperation programmes.

Or. en

Justification

For upper middle income countries, country-specific aid may exceptionally continue in case of enormous poverty and inequality challenges.

Amendment 28

Proposal for a regulation
Article 1 – paragraph 1 d (new)

Text proposed by the Commission	*Amendment*
	1d. In accordance with the criteria listed in paragraphs 1b and 1c of this Article, partner countries that shall benefit from country specific geographic cooperation are set out in Annex III.

Or. en

Amendment 29

Proposal for a regulation
Article 1 – paragraph 1 e (new)

Text proposed by the Commission	*Amendment*
	1e. Existing country-specific geographic cooperation with non-eligible partner countries may be phased out in close coordination with other donors; ending this type of cooperation will, wherever appropriate, be accompanied by a policy dialogue with the country concerned focusing on the needs of the poorest and most vulnerable groups.

Or. en

Justification

For "graduated" countries, the possibility of a gradual phasing out of country-specific assistance should be provided for. A political dialogue with the partner country on the needs of the most vulneable groups may enhance national policies aimed at poverty reduction policies.

Amendment 30

Proposal for a regulation
Article 2 – paragraph 1 – introductory part

Text proposed by the Commission	*Amendment*
1. Within the framework of the principles and objectives of the Union's external action.	1. Within the framework of the principles and objectives of the Union's external action***, and in particular, in accordance with the objectives of Union policy in the field of development cooperation***,

Or. en

Amendment 31

Proposal for a regulation
Article 2 – paragraph 1 – point b – introductory part

Text proposed by the Commission	*Amendment*
(b) cooperation under this Regulation will also contribute to the achievement of ***other*** objectives of EU external action***, in particular***:	(b) cooperation under this Regulation will also contribute to the achievement of ***the following development*** objectives of EU external action:

Or. en

Amendment 32

Proposal for a regulation
Article 2 – paragraph 1 – point b – point ii

Text proposed by the Commission	*Amendment*
(ii) ***promoting*** democracy, the rule of law, good governance ***and respect for*** human rights.	(ii) ***consolidating and supporting*** democracy, the rule of law, good governance***,*** human rights ***and the principles of international law***.

Or. en

Justification
The proposed wording brings the DCI closer to the wording in Article 21(2) (b) TEU.

Amendment 33

Proposal for a regulation
Article 2 – paragraph 1 – subparagraph 1 a (new)

Text proposed by the Commission	*Amendment*
	In pursuing those objectives, the Union's policy and international action for development cooperation shall be guided by the MDGs and internationally agreed new development targets which modify or replace the MDGs.

Or. en

Justification

The international development context should be underlined, also to underscore the multilateral orientation of EU development policy, and to establish the link to the indicators mentioned hereunder.

Amendment 34

Proposal for a regulation
Article 2 – paragraph 2 – point a

Text proposed by the Commission	*Amendment*
(a) ***the characteristics of the*** beneficiary ***require otherwise,*** or	(a) ***they apply to a*** beneficiary ***country that does not qualify as an ODA recipient country according to the OECD/DAC,*** or

Or. en

Justification

The exceptions from the rule that actions should fulfil the ODA critera must be specified in more detail.

Amendment 35

Proposal for a regulation
Article 2 – paragraph 2 – point b - subparagraph 1

Text proposed by the Commission	*Amendment*
(b) the action implements a global initiative, a Union policy priority or an international obligation or commitment of the Union, as referred to in Article 6, and the action does not have the characteristics to fulfil the criteria for ODA.	(b) ***in duly justified and exceptional circumstances***, the action implements a global initiative ***related to development***, a Union policy priority or an international obligation or commitment of the Union ***relevant to the field of development cooperation*** as referred to in Article 6, and the action does not have the characteristics to fulfil the criteria for ODA.

Or. en

Justification

The exceptions from the rule that actions should fulfil the ODA critera must be specified in more detail. As a matter of principle, all DCI actions should be development related, even if they can not fully comply with ODA criteria.

Amendment 36

Proposal for a regulation
Article 2 – paragraph 2 – subparagraph 3

Text proposed by the Commission	*Amendment*
Without prejudice to point (a), at least ***90*** % of the expenditure foreseen under the Pan-African and thematic programmes shall fulfil the criteria for ODA established by the OECD/DAC.	***At*** least ***95*** % of the expenditure foreseen under the Pan-African and thematic programmes shall fulfil the criteria for ODA established by the OECD/DAC.

Or. en

Justification

In its Resolution on of 8 June 2011 on Regulation (EC) 1905/2006 establishing a financing instrument for development cooperation: lessons learned and perspectives for the future, Parliament has called for a more stringent ODA quota for thematic programmes than under the current DCI. In addition, the Partnership Instrument now provides a possibility for funding non-ODA activities in DCI partner countries. The EU lags behind in achieving its ODA target (0.7 % of GNI by 2015). The DCI should make the maximum contribution to achieving this target.

Amendment 37

Proposal for a regulation
Article 2 – paragraph 2 a (new)

Text proposed by the Commission	*Amendment*
	2a. At least 20 % of the funds available under this Regulation shall be used for supporting the provision of basic social services, as defined by the United Nations in the Millennium Development Goals. Data concerning compliance with this provision shall be included in the biannual report referred to in Article 13

of the Common Implementing Regulation.

Or. en

Justification

The benchmark for aid to basic social services is in line with previous EP resolutions and based on one of the indicators for MDG 8 (indicator 8.2: Proportion of total bilateral, sector-allocable ODA of OECD/DAC donors to basic social services (basic education, primary health care, nutrition, safe water and sanitation)).

Amendment 38

Proposal for a regulation
Article 2 – paragraph 2 b (new)

Text proposed by the Commission	*Amendment*
	2b. Union assistance under this Regulation contributing to the specific goals of climate change mitigation and adaptation shall be subject to tracking and reporting separate from non-climate related ODA.

Or. en

Justification

The disaggregated data on climate change funding are necessary to enable the co-legislators to check on the EU's commitment, made in 2009 at the COP 15 Climate Change conference in Copenhagen, to provide "new and additional resources" for climate change adaptation and mitigation in developing countries.

Amendment 39

Proposal for a regulation
Article 2 – paragraph 3

Text proposed by the Commission	*Amendment*
3. Actions covered by Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid and eligible for funding under that Regulation shall not,	3. Actions covered by Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid and eligible for funding under that Regulation shall not,

in principle, be funded under this Regulation, except where there is a need to ensure continuity of cooperation from crisis to stable conditions for development.	in principle, be funded under this Regulation, except where there is a need to ensure continuity of cooperation from crisis to stable conditions for development. ***In those cases, special consideration shall be given to ensuring that humanitarian relief, rehabilitation and development assistance are effectively linked.***

Or. en

Amendment 40

Proposal for a regulation
Article 3 – paragraph 2 – point a

Text proposed by the Commission	*Amendment*
(a) needs;	(a) needs***, based on criteria including population, income per capita, the extent of poverty, income distribution and the level of human development;***

Or. en

Justification

The criteria for assessing needs should be spelt out in more detail. The criteria used under the current DCI (Art. 18) are still valid, and are in accordance with the new proposed Article 1 (a) on differentiation.

Amendment 41

Proposal for a regulation
Article 3 – paragraph 2 – point b

Text proposed by the Commission	*Amendment*
(b) capacities to generate and access financial resources and absorption capacities; and	(b) capacities to generate and access financial resources***, based on criteria including foreign direct investment and economic growth,*** and absorption capacities; and

Or. en

Justification

The criteria for assessing capacities should be spelt out in more detail.

Amendment 42

Proposal for a regulation
Article 3 – paragraph 2 – point c

Text proposed by the Commission	*Amendment*
(c) commitments and performance.	(c) commitments and performance***, based on criteria including political, economic and social progress, progress in good governance and human rights, and the effective use of aid, in particular the way a country uses scarce resources for development, beginning with its own resources;***

Or. en

Justification

The criteria for assessing commitments needs should be spelt out in more detail. The criteria used under the current DCI (Art. 18) are still valid. The word "human rights" has been added, in line with the human rights orientation of the DCI.

Amendment 43

Proposal for a regulation
Article 3 – paragraph 3

Text proposed by the Commission	*Amendment*
3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, ***women*** empowerment, non-discrimination, democracy, good governance, the rights of the child ***and*** indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.	3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, empowerment ***of women***, non-discrimination, democracy, good governance, the rights of the child***,*** indigenous peoples' rights, social inclusion and ***decent work,*** the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.

Or. en

Justification

Decent work should also be added as a cross cutting issues, in light of the new focus on "inclusive growth".

Amendment 44

Proposal for a regulation
Article 3 – paragraph 3 - subparagraph 1a (new)

Text proposed by the Commission	*Amendment*
	Particular attention shall be given to strengthening the rule of law, strengthening the capacities and independence of elected bodies such as parliaments, improving access to justice and supporting civil society, as well as promoting dialogue, an enabling environment for citizen participation, reconciliation, and institution-building, including at local and regional level.

Or. en

(Article 3 – paragraph 4 in the Commission text shall be combined with article 3 – paragraph 3 in Parliament's amendment. Parts are also amended)

Justification

The two paragraphs should be combined so as to make clear that the second part refers to the cross cutting issues, rather than the areas of cooperation. Areas which go beyond cross-cutting issues should not be mentioned in this article, but be highlighted in the annexes. In specifying the cross-cutting issues, parliaments, citizen participation and institution building also at local level should be highlighted as key areas of good governance and democracy.

Amendment 45

Proposal for a regulation
Article 3 – paragraph 4

Text proposed by the Commission	*Amendment*
4. Particular attention shall be given to	***deleted***

strengthening the rule of law, improving access to justice and supporting civil society, trade and sustainable development, access to ICTs, health and food security, as well as promoting dialogue, participation and reconciliation, and institution-building.

Or. en

Justification

See amendment on Article 3 – paragraph 3 - subparagraph 1a (new).

Amendment 46

Proposal for a regulation
Article 3 – paragraph 4 a (new)

Text proposed by the Commission	*Amendment*
	4a. All programmes under this Regulation shall be designed and implemented in accordance with the principles of the UN Common Understanding on a human rights based approach to development. All programmes shall further the realisation of human rights, promoting, in particular, the right to universal and non-discriminatory access to basic services, participation in democratic political processes, transparency and accountability, justice and the rule of law, and with a focus on poor and vulnerable groups. Programming under this Regulation shall be guided by the Universal Declaration on Human Rights and international human rights instruments. Cooperation shall contribute to the development of capacities of duty bearers to meet their obligations and of rights holders to claim their rights.

Or. en

Justification

A human rights based approach ensures that a rights-based perspective guides programming and cooperation in all sectors. By focussing on non-discrimination, participation, transparency and accountability, the process itself becomes an important vector for change.

Amendment 47

Proposal for a regulation
Article 3 – paragraph 5

Text proposed by the Commission	*Amendment*
5. In implementing this Regulation, consistency ***shall be ensured*** with other areas of Union external action and with other relevant Union policies. ***To this end, measures financed under this Regulation, including those managed by the EIB, shall be based on the cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, and on the Union's decisions, specific interests, policy priorities and strategies.***	5. In implementing this Regulation, ***the Union shall strive for*** consistency with other areas of Union external action and with other relevant Union policies, ***in order to achieve the objectives of this Regulation***.

Or. en

Justification

The reference to a broad range of agreements, declarations, actions plans, as well as to Union decisions, interests, priorities and strategies, is too vague. It does not ensure that specific development objectives and strategies guide the focus of cooperation.

Amendment 48

Proposal for a regulation
Article 3 – paragraph 6

Text proposed by the Commission	*Amendment*
6. The Commission and the Member States shall seek regular and frequent exchanges of information, including with other donors, and promote better donor	6. The Commission and the Member States shall seek regular and frequent exchanges of information, including with other donors, and promote better donor

coordination and complementarity by working towards joint multiannual programming, based on partner countries' poverty reduction or equivalent strategies, by common implementation mechanisms including shared analysis, by joint donor-wide missions and by the use of co-financing and delegated cooperation arrangements.	coordination and complementarity by working towards joint multiannual programming, based on partner countries' poverty reduction or equivalent ***development*** strategies, by common implementation mechanisms including shared analysis, by joint donor-wide missions and by the use of co-financing and delegated cooperation arrangements.

Or. en

Amendment 49

Proposal for a regulation
Article 3 – paragraph 8 – introductory part

Text proposed by the Commission	*Amendment*
8. The Union shall promote effective cooperation with partner countries and regions in line with international best practice. It shall ***increasingly*** align its support with partners' national or regional development strategies, reform policies and procedures. It shall contribute to strengthening the process of mutual accountability between partner governments and institutions and donors and promote local expertise and local employment. To that end, it shall promote:	8. The Union shall promote effective cooperation with partner countries and regions in line with international best practice. It shall align its support with partners' national or regional development strategies, reform policies and procedures***, where this is compatible with the objectives and political principles laid down in this Regulation.*** It shall contribute to strengthening the process of mutual accountability between partner governments and institutions and donors and promote local expertise and local employment, ***and to deepening the democratic ownership of development policies and processes.*** To that end, it shall promote:

Or. en

Justification

Alignment with countries' national development plans is fully supported. It should become the rule, provided that certain conditions are met.

Amendment 50

Proposal for a regulation
Article 3 – paragraph 8 – point a

Text proposed by the Commission	*Amendment*
(a) a development process that is partner country or region led and owned;	(a) a development process that is partner country or region led and owned***, transparent***, ***and respects the roles of national and regional parliaments, local authorities and civil society in ensuring participation, oversight and accountability***;

Or. en

Justification

The concept of democratic ownership should be spelt out in more detail here.

Amendment 51

Proposal for a regulation
Article 3 – paragraph 8 – point c

Text proposed by the Commission	*Amendment*
(c) effective and innovative cooperation modalities and instruments as set out in Article 4 of the Common Implementing Regulation , such as blending grants and loans and other risk-sharing mechanisms in selected sectors and countries and private-sector engagement, in line with OECD/DAC best practices. These modalities and instruments shall be adapted to the particular circumstances of each partner country or region, with a focus on programme-based approaches, on delivery of predictable aid funding, on the mobilisation of private resources, on the development and use of country systems and on results-based approaches to development including***, where appropriate,*** internationally agreed targets and	(c) effective and innovative cooperation modalities and instruments as set out in Article 4 of the Common Implementing Regulation, such as blending grants and loans and other risk-sharing mechanisms in selected sectors and countries and private-sector engagement, in line with OECD/DAC best practice ***and guidelines on poverty reduction and, where appropriate, with the obligation of universal service provision***. These modalities and instruments shall be adapted to the particular circumstances of each partner country or region, ***taking due account of debt sustainability***, with a focus on programme-based approaches, on delivery of predictable aid funding, on the mobilisation of private resources, ***including from the local private sector***, on

indicators such as those of the MDGs; and	the development and use of country systems and on results-based approaches to development including internationally agreed targets and indicators such as those of the MDGs***, and applying poverty impact assessments of operations, ex-ante, during implementation and ex-post***; and

Or. en

Justification

The use of new innovative financing mechanisms, such as blending of grants and loans, is in principle supported. The choice and implementation of projects and partners should ensure that the impact on poverty is carefully assessed, that the domestic private sector is strengthened, and that debt sustainability is taken into account. In respect of private sector involvement in providing public goods, obligations concerning universal service provision may be required.

Amendment 52

Proposal for a regulation
Article 3 – paragraph 9

Text proposed by the Commission	*Amendment*
9. The Union ***will*** support ***inter alia*** the implementation of ***bilateral,*** regional and multilateral cooperation and dialogue, partnership agreements and triangular cooperation.	9. The Union ***shall*** support the implementation of ***country-specific***, regional and multilateral cooperation and dialogue, ***the development dimension of*** partnership agreements and triangular cooperation.

Or. en

Amendment 53

Proposal for a regulation
Article 3 – paragraph 9 a (new)

Text proposed by the Commission	*Amendment*
	9a. The Commission shall inform and have regular exchanges of views with the

European Parliament.

Or. en

Justification

This provision is included in the current DCI (Art. 3 (9)) and should be maintained.

Amendment 54

Proposal for a regulation
Article 3 – paragraph 10

Text proposed by the Commission	*Amendment*
10. The Commission shall ***seek*** regular exchanges of information with civil society.	10. The Commission shall ***ensure*** regular exchanges of information with civil society ***organisations and with local authorities, and ensure that those actors are appropriately involved in the process of designing, implementing, monitoring and evaluating Union support.***

Or. en

Justification

In accordance with structured dialogue, civil society organisations and local authorities should be referred to as important actors in development.

Amendment 55

Proposal for a regulation
Article 3 – paragraph 10 a (new)

Text proposed by the Commission	*Amendment*
	10a. Union assistance under this Regulation shall not be used to finance the procurement of arms or ammunition and operations having military or defence implications.

Or. en

Justification

This principle should be stated explicitly in the regulation.

Amendment 56

Proposal for a regulation
Article 5 – paragraph 2 – introductory part

Text proposed by the Commission	*Amendment*
2. Without prejudice to Article 1(1)(a), a geographic programme shall encompass cooperation ***in appropriate areas of activity***,	2. Without prejudice to Article 1(1)(a), a geographic programme shall encompass cooperation,

Or. en

Justification

A reference to the "appropriate areas of activity" seems redundant.

Amendment 57

Proposal for a regulation
Article 5 – paragraph 2 – point b

Text proposed by the Commission	*Amendment*
(b) ***bilaterally*** with partner countries set out in Annex III.	(b) ***of a country-specific nature,*** with partner countries set out in Annex III, ***and - where appropriate, for a transitional period which shall not, in principle, exceed four years, - with other partner countries set out in Annex I***.

Or. en

Justification

For countries which graduate from country-specific cooperation, the possibility of a transitional phasing-out period should be foreseen.

Amendment 58

Proposal for a regulation
Article 5 – paragraph 3

Text proposed by the Commission	*Amendment*
3. Geographic programmes ***may*** be drawn from the areas of cooperation contained in the "European Consensus"***, amongst others***, in order to attain the objectives provided for in Article 2(1).	3. Geographic programmes ***shall*** be drawn from the areas of cooperation contained in the "European Consensus" ***and Annex IV.A***, in order to attain the objectives provided for in Article 2(1).
Common areas of cooperation ***and specific areas of cooperation*** for each region are set out in Annex ***IV***.	***Areas*** of cooperation for each region are set out in Annex ***IV.B***.

Or. en

Justification

The areas of cooperation shall be defined by the European Consensus and the Regulation itself, through a more detailed Annex IV.A

Amendment 59

Proposal for a regulation
Article 5 – paragraph 3 a (new)

Text proposed by the Commission	*Amendment*
	3a. Where a phasing-out period is foreseen, transitional country-specific programmes with partner countries not included in Annex III shall pay particular attention to the following areas:
	- establishment of effective tax systems;
	- social protection and social cohesion; and
	- good governance, human rights and public service management, including support for an enabling environment for civil society organisations;

Or. en

Amendment 60

Proposal for a regulation
Article 5 – paragraph 4

Text proposed by the Commission	*Amendment*
4. Within each country programme, the Union will in principle concentrate its assistance on three sectors.	4. Within each country programme, the Union will in principle concentrate its assistance ***on a maximum of*** three sectors.

Or. en

Justification

This addition allows a concentration on only one or two sectors if this is more effective and relevant.

Amendment 61

Proposal for a regulation
Article 6 – paragraph 1

Text proposed by the Commission	*Amendment*
Consistent with the overall purpose and scope, objectives and general principles of this Regulation, actions undertaken through thematic programmes shall add value to and, be complementary to, actions funded under geographic programmes.	Consistent with the overall purpose and scope, objectives and general principles of this Regulation, actions undertaken through thematic programmes shall add value to and be complementary to, ***and coherent with,*** actions funded under geographic programmes.

Or. en

Amendment 62

Proposal for a regulation
Article 6 – paragraph 2 – point a

Text proposed by the Commission	*Amendment*
(a) Union policy objectives ***under*** this	(a) Union policy objectives ***defined in*** this

Regulation cannot be achieved in an appropriate or effective manner through geographic programmes;	Regulation cannot be achieved in an appropriate or effective manner through geographic programmes, ***including, where appropriate, actions in cases where there is no geographic programme or where it has been suspended or where there is no agreement on the action with the government of the partner country concerned;***

Or. en

Justification

The description of conditions is brought into a more logical order.

Amendment 63

Proposal for a regulation
Article 6 – paragraph 2 – point b

Text proposed by the Commission	*Amendment*
(b) the actions address global initiatives supporting internationally agreed goals**,** or global public goods and challenges***, in which*** case***, by way of derogation from Article 9 of the Common Implementing Regulation,*** they may include actions in Member States, candidate countries and potential candidates and other third countries, as envisaged in the relevant thematic programme;	(b) the actions address global initiatives supporting internationally agreed ***development*** goals or global public goods and challenges ***in the field of development cooperation***. ***In this*** case they may ***exceptionally, by way of derogation from point (b) of Article 1(1),*** include actions in Member States, candidate countries and potential candidates and other third countries, as envisaged in the relevant thematic programme;

Or. en

Justification

The reference to Article 9 CIR is redundant.

Amendment 64

Proposal for a regulation
Article 6 – paragraph 2 – subparagraph

Text proposed by the Commission	*Amendment*
and/or	***deleted***

Or. en

Justification

The description of conditions is brought into a more logical order.

Amendment 65

Proposal for a regulation
Article 6 – paragraph 2 – point c – introductory part

Text proposed by the Commission	*Amendment*
(c) the actions are of the following nature:	***deleted***

Or. en

Justification

The description of conditions is brought into a more logical order.

Amendment 66

Proposal for a regulation
Article 6 – paragraph 2 – point c – indent 1

Text proposed by the Commission	*Amendment*
- multi-regional and/or cross-cutting;	***(c) the actions have a*** multi-regional***, multi-country*** and/or cross-cutting ***nature***;

Or. en

Amendment 67

Proposal for a regulation
Article 6 – paragraph 2 – point c – indent 2

Text proposed by the Commission	*Amendment*
- innovative policies and/or initiatives with the objective of informing future actions;	***(d) the actions implement*** innovative policies and/or initiatives with the objective of informing future actions;

Or. en

Amendment 68

Proposal for a regulation
Article 6 – paragraph 2 – point c – indent 3

Text proposed by the Commission	*Amendment*
- actions in cases where there is no agreement on the action with the partner government(s);	***deleted***

Or. en

Amendment 69

Proposal for a regulation
Article 6 – paragraph 2 – point c – indent 4

Text proposed by the Commission	*Amendment*
	or
- actions ***which*** reflect a Union policy priority or an international obligation or commitment of the Union***; and***	***(e) the*** actions reflect a Union policy priority or an international obligation or commitment of the Union ***relevant to the field of development cooperation.***

Or. en

Justification

The link to the purpose of the specific programme should be made more explicit.

Amendment 70

Proposal for a regulation
Article 6 – paragraph 2 – point c – indent 5

Text proposed by the Commission	*Amendment*
- where appropriate, actions in cases where there is no geographic programme or where it has been suspended.	***deleted***

Or. en

Justification

The description of conditions is brought into a more logical order.

Amendment 71

Proposal for a regulation
Article 7 – paragraph 1

Text proposed by the Commission	*Amendment*
1. The objective of Union assistance under the programme 'Global public goods and challenges' shall be to support actions ***in areas such as the*** environment and climate change, sustainable energy, human development, food security, and migration and asylum.	1. The objective of Union assistance under the programme 'Global public goods and challenges' shall be to support actions ***from among the following subthemes***: environment and climate change, sustainable energy, human development, food security, and migration and asylum.

Or. en

Justification

The Commission proposes one large thematic programme on global public goods, merging the five separate thematic programmes which exist under the current DCI. This merger is supported, but Parliament must be able to monitor and scrutinise the use of funds within the large programme. Each subtheme should therefore remain distinguishable, through a separate strategy and a separate financial allocation.

Amendment 72

Proposal for a regulation
Article 7 – paragraph 2

Text proposed by the Commission	*Amendment*
2. ***Detailed*** areas of activities to be pursued by the Union assistance under this Article are set out in Annex V.	2. ***The*** areas of activities ***for each subtheme*** to be pursued by the Union assistance under this Article are set out in Annex V.

Or. en

Amendment 73

Proposal for a regulation
Article 8 – paragraph 1

Text proposed by the Commission	*Amendment*
1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations and local authorities originating from partner countries**,** the Union, candidate countries and potential candidates.	1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations and local authorities originating from partner countries, ***or, where this is provided for in Annex V,*** the Union, candidate countries and potential candidates**.**

Or. en

Amendment 74

Proposal for a regulation
Article 8 – paragraph 2

Text proposed by the Commission	*Amendment*
2. ***Detailed areas*** of activities to be pursued by the Union assistance under this Article, as well as an indicative list of	2. ***Areas*** of activities to be pursued by the Union assistance under this Article, as well as an indicative list of categories of civil

categories of civil society organisations and local authorities, are set out in Annex V.	society organisations and local authorities, are set out in Annex V.

Or. en

Amendment 75

Proposal for a regulation
Article 9 – paragraph 1 – subparagraph 1

Text proposed by the Commission	*Amendment*
1. Union assistance shall support the implementation of the Joint Africa-EU Strategy, ***and in particular its successive Action plans,*** to cover activities of a trans-regional, continental or global nature.	1. Union assistance shall support the implementation of the Joint Africa-EU Strategy, to cover activities of a trans-regional, continental or global nature.

Or. en

Justification

The current Action Plan is valid until 2013. Reference should therefore be avoided.

Amendment 76

Proposal for a regulation
Article 9 – paragraph 1 – subparagraph 2

Text proposed by the Commission	*Amendment*
The Pan-African programme ***will further promote*** complementarity and consistency with other financial instruments of the Union's external action, notably the European Development Fund and the European Neighbourhood Instrument.	***Programming and implementation of the*** Pan-African programme ***shall ensure*** complementarity and consistency with other ***programmes under this Regulation, as well as other*** financial instruments of the Union's external action, notably the European Development Fund and the European Neighbourhood Instrument.

Or. en

Justification

It should be stressed even more strongly that the Pan-African Programme, as a new financing tool, must be complementary and consistent with the thematic programmes and the geographic programmes (as regards South Africa) under the DCI and with other funding instruments, in particular the EDF.

Amendment 77

Proposal for a regulation
Article 9 – paragraph 2

Text proposed by the Commission	*Amendment*
2. ***Detailed areas*** of cooperation to be pursued by Union assistance under this Article ***are set out in*** Annex VI.	2. ***Areas*** of cooperation to be pursued by Union assistance under this Article ***shall be drawn from the areas of cooperation contained in the "European Consensus" and*** Annex VI***, in order to attain the objectives provided for in Article 2(1)***.

Or. en

Justification

This provision should be aligned to respective provisions in Article 5(3) (on geographic programmes).

Amendment 78

Proposal for a regulation
Article 9 – paragraph 3

Text proposed by the Commission	*Amendment*
3. The multiannual indicative programme for the Pan-African programme shall be drawn up on the basis of the Joint Africa-EU Strategy and its Action plans.	***deleted***

Or. en

Justification

The programming process should be defined in new, separate article (Art. 13a new).

Amendment 79

Proposal for a regulation
Article 10 – paragraph 1 – subparagraph 3

Text proposed by the Commission	*Amendment*
The Commission shall adopt the implementing measures laid down in Article 2 of the Common Implementing Regulation on the basis of the programming documents referred to in Articles 11 and 13. In ***particular*** circumstances, however, Union support may also take the form of measures not covered in these documents, as provided for in the Common Implementing Regulation.	The Commission shall adopt the implementing measures laid down in Article 2 of the Common Implementing Regulation on the basis of the programming documents referred to in Articles 11 and 13. In ***exceptional*** circumstances, however, Union support may also take the form of measures not covered in these documents, as provided for in the Common Implementing Regulation.

Or. en

Justification

It should be specified here that annual or bi-annual programmes are the standard implementing measures, and that the other types of measures are exceptional.

Amendment 80

Proposal for a regulation
Article 10 – paragraph 2

Text proposed by the Commission	*Amendment*
2. The Union and its Member States shall consult each other, and other donors and development actors including representatives of civil society and regional and local authorities, at an early stage of the programming process in order to promote complementarity and consistency among their cooperation activities***. This*** consultation ***may*** lead to joint programming between the Union and its Member States.	2. The Union and its Member States shall consult each other, and other donors and development actors including representatives of civil society and regional and local authorities ***or other implementing bodies***, at an early stage of ***and throughout*** the programming process in order to promote complementarity and consistency among their cooperation activities***, while keeping the European Parliament fully involved***. ***Whenever possible and relevant, that*** consultation

shall lead to joint programming between the Union and its Member States***, coordinated by the Union or a Member State appointed as lead***.

Or. en

Justification

It should be clarified that reference to civil society and local authorities in this article is linked to their role as implementing actors, and possibly donors, rather than as stakeholders in partner countries. Joint programming should be applied, wherever possible.

Amendment 81

Proposal for a regulation
Article 10 – paragraph 3

Text proposed by the Commission	*Amendment*
3. The Commission shall ***determine*** the multiannual indicative allocations within each geographic programme in accordance with the general principles of this Regulation, based on the criteria laid down in Article 3(2), and taking into account, alongside the specificity of the different programmes, the particular difficulties faced by countries or regions that are in crisis, vulnerable, fragile, in conflict or disaster prone.	3. The Commission shall ***adopt*** the multiannual indicative allocations within each geographic programme ***according to the procedure laid down in Article 14(1) and*** in accordance with the general principles of this Regulation, based on the criteria laid down in Article 3(2), and taking into account, alongside the specificity of the different programmes, the particular difficulties faced by countries or regions that are in crisis, vulnerable, fragile, in conflict or disaster prone.

Or. en

Justification

The allocation of funds within the geographic programmes is part of the strategic programming process, and therefore subject to delegated acts.

Amendment 82

Proposal for a regulation
Article 10 – paragraph 4

Text proposed by the Commission	*Amendment*
4. ***Funds*** may be left unallocated. ***Subject to their subsequent allocation or re-allocation as provided for in Articles 11(5) and 13, the use of these funds shall be decided later in accordance with the Common Implementing Regulation.***	4. ***In order to ensure an appropriate Union response in the event of unforeseen circumstances, as well as to allow for the synchronisation with partner countries strategy cycles and the modification of indicative allocations as a result of reviews, funds*** may be left unallocated. ***In crisis, post-crisis and fragility situations, these funds shall be primarily used in support of a specific strategy to ensure a smooth transition from emergency aid to long-term development.*** ***At the level of the instrument, the part of funds left unallocated shall not exceed 5 %. Within multiannual indicative programmes, the part of funds left unallocated shall not exceed 5 %, except for the purpose of synchronisation. The subsequent allocation and reallocation shall be decided later in accordance with Article 11(5), Article 13, and Article 14(1).***

Or. en

Justification

The purpose of leaving funds unallocated should be specified. To ensure predictability, the level of unallocated funds should not exceed 5%, unless the synchronisation process requires otherwise. The new tool should be used to improve LRRD. The procedure for allocation should be a review of programming documents thought delegated acts, unless the sums are not substantial.

Amendment 83

Proposal for a regulation
Article 11 – paragraph -1 (new)

Text proposed by the Commission	*Amendment*
	-1. The Union documents referred to in this Article shall be considered strategic programming documents within the meaning of Article 2 of the Common Implementing Regulation and shall be adopted by means of a delegated act in accordance with Article 17 and 18 of this Regulation.

Or. en

Justification

Strategy papers and multiannual indicative programmes are strategic programming documents which fulfil the criteria for delegated acts as defined in Article 290 TFEU.

Amendment 84

Proposal for a regulation
Article 11 – paragraph -1 a (new)

Text proposed by the Commission	*Amendment*
	-1a. The preparation, implementation and review of all programming documents under this Article shall comply with the principles of aid effectiveness: democratic ownership, partnership, coordination, harmonisation, alignment with recipient country or regional systems, transparency, mutual accountability and results orientation as laid down in Article 3(5) to (8). Where possible, the programming period shall be synchronised with partner country strategy cycles.
	Programming documents for geographic programmes, including joint programming documents, shall be based,

to the extent possible, on a dialogue between the Union, relevant Member States and the partner country or region, including national and regional parliaments, and involve civil society and regional and local authorities, so as to enhance ownership of the process and to encourage support for national development strategies, particularly those for reducing poverty.

Or. en

Justification

This article is based on the Commission proposal in Article 11 (1), referring only to strategy papers for geographic programmes. The procedure should also apply to multiannual indicative programmes, including joint programming documents, in cases where no strategy paper exists. The concept of democratic ownership and the role of parliaments should be added. The objective of synchronisation with partner country strategy cycle should also be mentioned here.

Amendment 85

Proposal for a regulation
Article 11 – paragraph 1 – subparagraph 1

Text proposed by the Commission	*Amendment*
1. Strategy papers are documents drawn up by the Union to provide a coherent framework for development cooperation between the Union and the partner country or region concerned, consistent with the overall purpose and scope, objectives, principles and policy ***of the Union***.	1. Strategy papers are documents drawn up by the Union to provide a coherent framework for development cooperation between the Union and the partner country or region concerned, consistent with the overall purpose and scope, objectives, principles and policy ***prescriptions of this Regulation, and in accordance with the human rights-based approach***.

Or. en

Justification

The current DCI specifies that strategy papers should be consistent with the overall purpose and scope, objectives, principles and policy prescriptions of the DCI regulation (Art. 19(2)).

This requirement should also apply to future strategy papers under the new DCI. Furthermore, referring to the purpose and scope of the Union would not make sense.

Amendment 86

Proposal for a regulation
Article 11 – paragraph 1 – subparagraph 2

Text proposed by the Commission	*Amendment*
The preparation and implementation of strategy papers shall comply with the principles of aid effectiveness: national ownership, partnership, coordination, harmonisation, alignment with recipient country or regional systems, mutual accountability and results orientation as laid down in Article 3(5) to (8).	***deleted***

Or. en

Justification

See article 11 – paragraph -1 a (new) above.

Amendment 87

Proposal for a regulation
Article 11 – paragraph 1 – subparagraph 3

Text proposed by the Commission	*Amendment*
To that end, strategy papers shall, in principle, be based on a dialogue between the Union and the partner country or region, involving where appropriate, the relevant Member States, and the partner country or region, involving civil society and regional and local authorities, so as to ensure that the country or region concerned takes sufficient ownership of the process and to encourage support for national development strategies, particularly those for reducing poverty.	***deleted***

Or. en

Justification

See article 11 – paragraph -1 a (new) above.

Amendment 88

Proposal for a regulation
Article 11 – paragraph 2

Text proposed by the Commission	*Amendment*
2. Strategy papers ***may*** be reviewed at their mid-term, or ad hoc if necessary, in accordance, as appropriate, with the principles and procedures laid down in the partnership and cooperation agreements concluded with the partner countries and regions.	2. Strategy papers ***shall, as a general rule,*** be reviewed at their mid-term, or ad hoc, if necessary, in accordance, as appropriate, with the principles and procedures laid down in the partnership and cooperation agreements concluded with the partner countries and regions. ***That review shall be undertaken in accordance with Article 11(-1a).***

Or. en

Justification

As a matter of principle, strategy papers should be reviewed at mid term, given their potential validity period of 7 years, However, a midterm review may not be useful in cases of adaptation to partner country' programming cycle, which means that strategy papers are of a much shorter duration. Therefore, some flexibility should be foreseen.

Amendment 89

Proposal for a regulation
Article 11 – paragraph 3 - subparagraph 1

Text proposed by the Commission	*Amendment*
3. Strategy papers shall be drawn up for the ***relevant*** partner countries or regions, ***unless a*** joint framework document laying down a comprehensive Union strategy, including development policy, has been drawn up for the partner country or region	3. Strategy papers shall be drawn up for the partner countries or regions, ***and, where appropriate, be based on the*** joint framework document laying down a comprehensive Union strategy, including development policy, ***which*** has been drawn

concerned.	up for the partner country or region concerned.

Or. en

Justification

"Joint Framework Documents" are not subject to parliamentary control, and may not sufficiently reflect the specificity of development cooperation. They can be the basis for strategy papers, but not replace them.

Amendment 90

Proposal for a regulation
Article 11 – paragraph 3 - subparagraph 2 – introductory part

Text proposed by the Commission	*Amendment*
No strategy paper will be required for:	No strategy paper will be required ***either*** for:

Or. en

Amendment 91

Proposal for a regulation
Article 11 – paragraph 3 - subparagraph 2 – point c

Text proposed by the Commission	*Amendment*
(c) regions having a jointly agreed strategy with the EU.	***deleted***

Or. en

Justification

Jointly agreed strategies are not necessarily primarily development oriented. They could provide the basis for programming with regard to their development dimension, but they should not replace a strategy paper.

Amendment 92

Proposal for a regulation
Article 11 – paragraph 3 - subparagraph 2 – point c a (new)

Text proposed by the Commission	*Amendment*
	(ca) countries where the Union intends to synchronise its strategy with a new national cycle starting before 1 January 2017; in such cases the multiannual indicative programme for the interim period between 2014 and the beginning of the new national cycle shall contain the Union's strategy for that country.

Or. en

Justification

For short programming periods, resulting from synchronisation, no strategy papers should be required.

Amendment 93

Proposal for a regulation
Article 11 – paragraph 3 - subparagraph 2 a (new)

Text proposed by the Commission	*Amendment*
	(2a) National development strategies referred to in point (a) of the second subparagraph can be accepted as equivalent to a Union strategy paper if they are in accordance with the objectives and principles laid down in this Regulation, and respect the prerogatives of the national or regional parliamentary body concerned. The assessment shall involve consultations with local stakeholders, including civil society and local authorities.

Or. en

Justification

Criteria for accepting national development plans should be laid down in the regulation.

Amendment 94

Proposal for a regulation
Article 11 – paragraph 4

Text proposed by the Commission	*Amendment*
4. Strategy papers shall not be required for the countries or regions receiving an allocation of Union funds under this Regulation not exceeding EUR 50 million for the 2014-2020 period.	4. Strategy papers shall not be required for the countries or regions receiving an allocation of Union funds under this Regulation not exceeding EUR 50 million for the 2014-2020 period. ***In such cases, the multiannual indicative programme for that country or region shall contain the Union strategy for that country or region.***

Or. en

Amendment 95

Proposal for a regulation
Article 11 – paragraph 5 – subparagraph 1

Text proposed by the Commission	*Amendment*
5. Multiannual indicative programmes shall be drawn up for each of the countries or regions receiving an indicative allocation of Union funds under this Regulation. Except for countries or regions mentioned in paragraph 4, these documents shall be drawn up on the basis of the strategy papers or ***similar*** documents referred to in ***this*** Article.	5. Multiannual indicative programmes shall be drawn up for each of the countries or regions receiving an indicative allocation of Union funds under this Regulation***, in accordance with the human rights-based approach***. Except for countries or regions mentioned in ***point (ca) of the second subparagraph of paragraph 3 and in*** paragraph 4, these documents shall be drawn up on the basis of the strategy papers or ***equivalent*** documents ***as*** referred to in ***paragraph 3.***

Or. en

Amendment 96

Proposal for a regulation
Article 11 – paragraph 5 – subparagraph 3

Text proposed by the Commission	*Amendment*
Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, the performance indicators and the indicative financial allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range ***and/or some funds may be left unallocated***.	Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, the performance indicators and the indicative financial allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range. ***In the cases provided for in point (a) of the second subparagraph of paragraph 3, the MIP shall provide a justification for the Commission decision and describe the link between the national development document and the priority areas selected in the MIP.***

Or. en

Justification

The provisions on unallocated funds are covered by Article 10.

Amendment 97

Proposal for a regulation
Article 11 – paragraph 5 – subparagraph 4

Text proposed by the Commission	*Amendment*
The multiannual indicative programmes ***should be adjusted where necessary***, taking into account any mid-term or ad hoc reviews of the strategy document on which they are based.	The multiannual indicative programmes ***may be reviewe***d, taking into account any mid-term or ad hoc reviews of the strategy document ***or, where appropriate, any revisions of the national development plans*** on which they are based.

Or. en

Amendment 98

Proposal for a regulation
Article 11 – paragraph 5 – subparagraph 5

Text proposed by the Commission	*Amendment*
Pursuant to the principle of mutual accountability in the pursuit and fulfilment of agreed objectives, including those referring to ***good governance***, democracy ***and respect for human rights and to the rule of law, indicative allocations may be increased or decreased as a result of reviews, particularly in the light of special needs such as those resulting from a crisis, post-crisis or fragility situation, or where performance has been exceptional or unsatisfactory.***	***The review of the MIPs should cover needs as well as the commitment and progress with regard to*** agreed objectives ***relating to development***, including those referring to ***human rights***, democracy***, the rule of law and good governance***. ***It may also include adapting the indicative allocations, priorities and aid modalities,*** particularly ***following a military, institutional or humanitarian crisis or post-crisis situation. Such reviews shall be based on a dialogue as described in subparagraph 2 of paragraph -1a , unless political circumstances or urgency require otherwise. The European Parliament shall be kept fully informed about the review.***

Or. en

Justification

These reviews should foresee the option of adapting cooperation objectives and modalities, and not only the increase or decrease of funds. For example, in human rights crises, a refocusing on civil society and local authorities or international organisations might be appropriate. The term crisis should be further defined. A fragility situation can last for decades and does not necessarily require an adhoc review, whereas it may be justified in the case of a post-conflict situation (i.e. a country just coming out of a crisis).

Amendment 99

Proposal for a regulation
Article 11 – paragraph 5 – subparagraph 5 a (new)

Text proposed by the Commission	*Amendment*
	5a. All strategy papers and all multiannual indicative programmes

which are not based on a Union strategy paper shall include detailed and up-to-date donor matrices and a chapter on aid effectiveness, describing the steps undertaken to enhance donor coordination, in particular between the Union and Member States.

Or. en

Amendment 100

Proposal for a regulation
Article 12 – paragraph 1

Text proposed by the Commission	*Amendment*
1. When drawing up the programming documents for countries in crisis, post-crisis, ***or*** fragility situations, due account shall be taken of the vulnerability, special needs and circumstances of the countries or regions concerned.	1. When drawing up the programming documents for countries in crisis, post-crisis, fragility situations ***or prone to natural disasters***, due account shall be taken of the vulnerability, special needs and circumstances of the countries or regions concerned.
Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures.	Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures***, as well as to the role of women in those processes.***
Where partner countries or ***groups of partner countries*** are directly involved in, or affected by, a crisis, post-crisis or fragility situation, special emphasis shall be placed on stepping up coordination between relief, rehabilitation and development to help ***them make*** the transition from an emergency situation to the development phase. Programmes for countries and regions in fragility or regularly subject to natural disasters shall provide for disaster preparedness and prevention and for managing the consequences of such disasters.	Where partner countries ***or regions*** are directly involved in, or affected by, a crisis, post-crisis or fragility situation, special emphasis shall be placed on stepping up coordination between relief, rehabilitation and development ***amongst all relevant actors*** to help the transition from an emergency situation to the development phase. Programmes for countries and regions in fragility or regularly subject to natural disasters shall provide for disaster preparedness and prevention and for managing the consequences of such disasters ***and shall address vulnerability to shocks and strengthen people's resilience.***

Or. en

Amendment 101

Proposal for a regulation
Article 12 – paragraph 2 – subparagraph 1

Text proposed by the Commission	*Amendment*
2. In cases of crises, ***post crisis and fragility situations*** or threats to democracy, the rule of law, human rights or fundamental freedoms requiring a swift response by the Union, the urgency procedure provided for in Article ***15(4)*** of ***the Common Implementing*** Regulation may be used to modify the document referred to in Article 11 ***following an ad hoc review of the country's or region's cooperation strategy***.	2. In cases of ***military, institutional or humanitarian*** crises, or threats to democracy, the rule of law, human rights or fundamental freedoms requiring a swift response by the Union, the urgency procedure provided for in Article ***18a*** of ***this*** Regulation may be used to modify the document referred to in Article 11.

Or. en

Justification

The term crisis should be further defined. "Post crisis and fragility situations" are rather permanent situations and not urgencies or emergencies.

Amendment 102

Proposal for a regulation
Article 13 – paragraph -1 (new)

Text proposed by the Commission	*Amendment*
	-1. The documents referred to in this article shall be considered strategic programming documents within the meaning of Article 2 of the Common Implementing Regulation and shall be adopted by means of a delegated act in accordance with Articles 17 and 18.

Or. en

Justification

Multiannual indicative programmes are strategic programming documents which fulfil the criteria for delegated acts as defined in Article 290 TFEU.

Amendment 103

Proposal for a regulation
Article 13 – paragraph 1

Text proposed by the Commission	*Amendment*
1. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy ***for the theme concerned***, the priorities selected for financing by the Union, the specific objectives, the expected results, the performance indicators, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives. Multiannual indicative programmes shall be consistent with the documents referred to in Article 11(3).	1. Multiannual indicative programmes for thematic programmes shall set out, for the theme concerned ***and, with regard to the Global Public Good Programme, for each subtheme,*** the Union's strategy, the priorities selected for financing by the Union, the specific objectives, the expected results, the performance indicators, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives. Multiannual indicative programmes shall be ***complementary to geographic programmes and*** consistent with the documents referred to in Article 11(3)***, and shall be drawn up and in accordance with the human rights-based approach.***

Or. en

Justification

The Commission proposes one large thematic programme on global public goods, merging the five separate thematic programmes which exist under the current DCI. This merger is supported, but Parliament must be able to monitor and scrutinise the use of funds within the large programme. Each subtheme should therefore remain distinguishable, through a separate strategy and a separate financial allocation.

Amendment 104

Proposal for a regulation
Article 13 – paragraph 2

Text proposed by the Commission	*Amendment*
2. The multiannual indicative programmes shall give the indicative financial allocation, both overall and by priority area. Where appropriate, this allocation may, be given in the form of a range***, and/or some funds may be left unallocated***. Multiannual indicative programmes ***should*** be ***adjusted*** where necessary ***for an effective policy*** implementation***, taking into account any mid-term or ad hoc reviews***.	2. The multiannual indicative programmes shall give the indicative financial allocation, both overall and by priority area ***under each theme and, for the Global Public Goods Programme, each subtheme***. Where appropriate, this allocation may be given in the form of a range***.*** Multiannual indicative programmes ***shall*** be ***reviewed at mid-term, and ad-hoc*** where necessary ***to respond to unforeseen challenges or*** implementation ***problems***.

Or. en

Justification

See amendment on Paragraph 1. As regards unallocated funds, the provisions are already covered by Article 10. Given the potential 7-year duration, a mid-term review should be foreseen for the MIPs.

Amendment 105

Proposal for a regulation
Article 13 – paragraph 3

Text proposed by the Commission	*Amendment*
3. The Commission and the Member States shall consult each other, as well as other donors and development actors including representatives of civil society and local authorities, at an early stage of the programming process in order to promote complementarity among their cooperation activities.	***deleted***

Or. en

Justification

This provision is already covered by Article 10 (2).

Amendment 106

Proposal for a regulation
Article 13 a (new)

Text proposed by the Commission	*Amendment*
	Article 13a
	Programming documents for the Pan-African Programme
	1. The Union documents referred to in this Article shall be considered strategic programming documents within the meaning of Article 2 of the Common Implementing Regulation and shall be adopted by means of a delegated act in accordance with Article 17 and 18.
	2. The preparation, implementation and review of the programming documents under this Article shall comply with the principles of aid effectiveness: democratic ownership, partnership, coordination, harmonisation, transparency, mutual accountability and results orientation as laid down in Article 3(5) to (8).
	Programming shall be based on the dialogue undertaken in the context of the Joint Africa-EU Strategy and its working arrangements, involving all relevant stakeholders, including the Pan-African Parliament and the European Parliament.
	The multiannual indicative programme for the Pan-African programme, taking into account the Joint Africa-EU Strategy, shall set out the priorities jointly selected for financing by the Union, the specific objectives, the expected results, the performance indicators, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall

be laid down for participation in global initiatives. Multiannual indicative programmes shall be complementary to geographic and thematic programmes under this Regulation and consistent with the documents referred to in Article 11(3), and shall be drawn up in accordance with a human rights-based approach.

3. The multiannual indicative programme shall give the indicative financial allocation, both overall and by priority area. Where appropriate, that allocation may be given in the form of a range. The multiannual indicative programme shall be reviewed at mid-term, and ad-hoc where necessary to respond to unforeseen challenges or implementation problems, and to take into account any review of the Joint Africa-EU strategy.

The multiannual indicative programme shall include a chapter on aid effectiveness, describing the steps undertaken to enhance donor coordination, in particular between the Union and Member States.

Or. en

Justification

Multiannual indicative programmes are strategic programming documents which fulfil the criteria for delegated acts as defined in Article 290 TFEU. The programming procedure should follow the principles of aid effectiveness, and, given the joint nature of the Joint Africa EU Strategy, make specific provisions to ensure ownership. Given the size of the programme, the MIP must contain sufficient detail as regards the priorities and financial allocations. Given the potential 7-year duration, a mid-term review should be foreseen for the MIP.

Amendment 107

Proposal for a regulation
Article 14 – paragraph 1

Text proposed by the Commission	*Amendment*
1. Strategy papers ***shall be approved*** and	1. Strategy papers and multiannual

multiannual indicative programmes shall be adopted ***by the Commission*** in accordance with ***the examination procedure referred to in Article 15(3)*** of the Common Implementing ***Regulation***. This procedure shall also apply to substantial reviews which have the effect of significantly modifying the strategy or its programming.	indicative programmes shall be adopted ***by means of a delegated act*** in accordance with ***Articles 17 and 18*** of ***this*** Regulation***.*** This procedure shall also apply to ***mid-term reviews and other*** substantial reviews which have the effect of significantly modifying the strategy or its programming.

Or. en

Justification

Strategic programming documents fulfil the criteria for delegated acts as defined in Article 290 TFEU. Their adoption as well as major revisions, including mid-term review, should follow the delegated acts procedure.

Amendment 108

Proposal for a regulation
Article 14 – paragraph 2

Text proposed by the Commission	*Amendment*
2. ***The procedure referred to in paragraph 1 shall not apply to non-substantial modifications to*** strategy papers and multiannual indicative programmes***,*** making technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation by less than 20%, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.	2. ***Non-substantial reviews of*** strategy papers and multiannual indicative programmes ***are those*** making technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation by less than 20%, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Or. en

Amendment 109

Proposal for a regulation
Article 14 – paragraph 3

Text proposed by the Commission	*Amendment*
3. On duly justified imperative grounds of urgency relating *inter alia* to the circumstances referred to in Article 12(2), the Commission may modify strategy papers and multiannual indicative programmes in accordance with the urgency procedure referred in Article ***15(4)*** of ***the Common Implementing*** Regulation.	On duly justified imperative grounds of urgency relating *inter alia* to the circumstances referred to in Article 12(2), the Commission may ***review*** strategy papers and multiannual indicative programmes in accordance with the urgency procedure referred in Article ***18a*** of ***this*** Regulation***.***

Or. en

Amendment 110

Proposal for a regulation
Article 15

Text proposed by the Commission	*Amendment*
In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation, the Commission may decide***, without prejudice to Article 2(3),*** to extend the eligibility of actions to countries, territories and regions which otherwise would not be eligible for financing pursuant to Article 1, where the action to be implemented is of a global, regional, trans-regional or cross border nature. ***Notwithstanding the provisions of Article 8(1) of the Common Implementing Regulation, natural and legal persons from countries, territories and regions concerned may participate in the procedures implementing such actions.***	In duly justified ***and exceptional*** circumstances***,*** and ***without prejudice to Article 2(2),*** in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation, the Commission may decide to extend the eligibility of actions to countries, territories and regions which otherwise would not be eligible for financing pursuant to Article 1, where the action to be implemented is of a global, regional, trans-regional or cross border nature.

Or. en

Justification

The extension to other third countries should be exceptional. The reference to Article 8(1) of the CIR is redundant.

Amendment 111

Proposal for a regulation
Article 16

Text proposed by the Commission	*Amendment*
Without prejudice to the provisions on suspension of aid in partnership and cooperation agreements with partner countries and regions, where a partner country fails to observe the principles referred to in Article 3(1), the Union shall invite the partner country to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultations with the partner country do not lead to a solution acceptable to both parties, or if consultations are refused or in cases of special urgency, ***the*** Council may take ***appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union,*** which may include full or partial suspension of Union assistance.	Without prejudice to the provisions on suspension of aid in partnership and cooperation agreements with partner countries and regions, where a partner country fails to observe the principles referred to in Article 3(1), the Union shall invite the partner country to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultations with the partner country do not lead to a solution acceptable to both parties, or if consultations are refused or in cases of special urgency, ***Parliament and*** Council may take ***a decision*** which may include full or partial suspension of Union assistance. ***Any consequent revision of relevant strategic programming documents shall be carried out in accordance with the urgency procedure referred to in Article 18a.***

Or. en

Justification

The reference to Article 215 (1) should be deleted because the DCI is not a CFSP instrument. Decision-making on suspending the assistance under the DCI should follow an autonomous procedure. The European Parliament should be involved on equal footing with the Council. The Commission should follow up such a decision by revising the relevant programming documents, where necessary.

Amendment 112

Proposal for a regulation
Article 17

Text proposed by the Commission	*Amendment*
The Commission shall be empowered to adopt delegated acts in accordance with Article 18 to ***amend or supplement the Annexes I to VII to this*** Regulation.	***1.*** The Commission shall be empowered to adopt delegated acts in accordance with Article 18 to ***adopt and revise strategic programming documents within the meaning of Article 2 of the Common Implementing*** Regulation**.** ***2. When, in the case of the adoption and revision of the strategic programming documents, imperative grounds of urgency so require, the procedure provided for in Article 18a shall apply to delegated acts adopted pursuant to this Article.***

Or. en

Amendment 113

Proposal for a regulation
Article 18 – paragraph 1

Text proposed by the Commission	*Amendment*
1. The delegation of powers ***referred to in Article 17*** shall be conferred ***for the period of validity*** of this Regulation.	1. The delegation of powers shall be conferred ***on the Commission until the mid- term review*** of this Regulation ***as defined in Article 16 of the Common Implementing Regulation***.

Or. en

Amendment 114

Proposal for a regulation
Article 18 – paragraph 5

Text proposed by the Commission	*Amendment*
5. The non-objection period for aligning Annex I, II and III to the decisions of the OECD/DAC reviewing the list of recipients laid down in Article 1(a) shall be one week.	***deleted***

Or. en

Justification

The revision of Annexes I and III is subject to the ordinary legislative procedure.

Amendment 115

Proposal for a regulation
Article 18 a (new)

Text proposed by the Commission	*Amendment*
	Article 18a
	Urgency procedure
	1. In exceptional circumstances, such as military, institutional or humanitarian crises, or threats to democracy, the rule of law, human rights and fundamental freedoms, delegated acts adopted under this Article shall enter into force without delay and shall apply as long as no objection is expressed in accordance with paragraph 2. The notification of a delegated act to the European Parliament and to the Council shall state the reasons for the use of the urgency procedure.
	2. Either the European Parliament or the Council may object to a delegated act in accordance with the procedure referred to in Article 18 paragraph 4 In such a case, the Commission shall repeal the act

without delay following the notification of the decision to object by the European Parliament or the Council.

Or. en

Amendment 116

Proposal for a regulation
Article 18 b (new)

Text proposed by the Commission	*Amendment*
	18b. The Commission shall update and publish Annex II in accordance with regular reviews of the list of aid recipients of the OECD/DAC, and inform the Council and Parliament thereof.

Or. en

Justification

Annex II only reproduces a table established by the OECD/DAC und should therefore be updated by the Commission without procedure.

Amendment 117

Proposal for a regulation
Article 19

Text proposed by the Commission	*Amendment*
The Commission shall be assisted by the DCI committee. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011.	The Commission shall be assisted by the DCI committee. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011. ***An observer from the European Investment Bank shall take part in the committee's proceedings with regard to questions concerning the Bank.***

Or. en

Justification

The provision regarding EIB participation in Committee meetings is included in the current DCI, and should be maintained, in particular in light of the expected increase in the use of blending facilities.

Amendment 118

Proposal for a regulation
Article 20 – paragraph 1

Text proposed by the Commission	*Amendment*
1. The financial reference amount for the implementation of this Regulation over the period 2014-2020 is EUR ***23 294 700 000***.	1. The financial reference amount for the implementation of this Regulation over the period 2014-2020 is EUR ***[ ].***

Or. en

Amendment 119

Proposal for a regulation
Article 20 – paragraph 2

Text proposed by the Commission	*Amendment*
2. The indicative ***minimum*** amounts allocated to each programme referred to in Articles 5 to 9 for the period 2014-2020 are laid down in Annex VII. ***The amounts may be reallocated*** between programmes ***by a delegated act in accordance with Article 18. The amounts within the global public goods and challenges programme may be reallocated between subheadings by Commission decision which shall be communicated to the European Parliament and to the Council within one month of its adoption***.	2. The indicative amounts allocated to each programme and referred to in Articles 5 to 9 for the period 2014-2020 are laid down in Annex VII. ***If deemed necessary, the Commission shall submit a legislative proposal introducing a reallocation*** between programmes ***and subthemes.***

Or. en

Justification

The ordinary legislative procedure should apply to changes of the indicative financial

allocations covered by Annex VII.

Amendment 120

Proposal for a regulation
Article 20 – paragraph 3 – subparagraph 1

Text proposed by the Commission	*Amendment*
3. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount ***of EUR 1 812 100 000 from*** the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. ***The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.***	3. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount ***corresponding to 2 % of the total financial reference amounts included in*** the different instruments ***for external action*** (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries.

Or. en

Justification

Until the MFF is agreed, figures should be replaced by percentages.

Amendment 121

Proposal for a regulation
Article 20 – paragraph 3 – subparagraph 1 a (new)

Text proposed by the Commission	*Amendment*
	The funding shall not exceed, for the Development Cooperation Instrument, in total, 2,0 % of the financial reference amount allocated to this instrument. The funds shall be drawn from the financial

allocations for geographic programmes under Annex VII of this Regulation.

Or. en

Justification

The funding for Erasmus for All activities under the DCI should be proportional to the overall funding provided by the external financing instruments.

Amendment 122

Proposal for a regulation
Article 20 – paragraph 3 – subparagraph 1 b (new)

Text proposed by the Commission	*Amendment*
	Funding derived from this Instrument to the financing of actions covered by the "Erasmus for All" Regulation shall be used for actions in the partner countries covered by this Regulation The provisions of the "Erasmus for All" Regulation shall apply to the use of those funds, while ensuring conformity with this Regulation. The Commission shall ensure that, for mobility actions:
	- nationals of developing countries study or carry out research in areas that are relevant to the economic development and welfare of developing countries
	- staff from Union Member States carry out research or teaching in areas that are relevant to the economic development and welfare of developing countries,
	- mechanisms are put in place to prioritise the assignation of scholarships to socio-economically disadvantaged groups and populations in vulnerable situations,
	- mechanisms are put in place to encourage nationals of developing countries to return to their countries of origin on the expiry of their periods of study or research to allow them to contribute to the economic development

and welfare of the developing country concerned;

- the evaluation includes follow-up with the nationals of developing countries following expiry of their periods of study or research in the Union to assess their subsequent contribution to the economic development and welfare of their country of origin.

Or. en

Justification

This amendment ensures that use of DCI funds is in compliance with the DCI regulation, and in particular, that the funding is used for ODA and in DCI countries.

Amendment 123

Proposal for a regulation
Article 20 – paragraph 3 – subparagraph 1 c (new)

Text proposed by the Commission	*Amendment*
	The Commission shall submit an annual report to Parliament and the Committee set up under Article 19 of this Regulation, listing and detailing the Erasmus for All actions for which the funding is derived from the instrument for development cooperation and their compliance with the objectives and principles as set out in Articles 2 and 3 of this Regulation.

Or. en

Justification

The Erasmus for All regulation proposes that implementation of Erasmus for All actions should in future follow the implementing procedures under that regulation. In order to ensure that Parliament and DCI committee can scrutinise that legislative requirements under the DCI are fulfilled, an annual reporting to the respective bodies is necessary.

Amendment 124

Proposal for a regulation
Article 20 – paragraph 3 – subparagraph 2

Text proposed by the Commission	*Amendment*
The funding will be made available through ***2*** multiannual allocations ***only covering the first 4 years and the remaining 3 years respectively***. ***This funding*** will be ***reflected in*** the multiannual indicative programming of ***these*** instruments, in line with the identified needs and priorities of the countries concerned. The allocations can be revised in case of major unforeseen circumstances or important political changes in line with the EU external priorities.	The funding will be made available through ***two*** multiannual allocations***. The allocations*** will be ***based on*** the multiannual indicative programming of ***the external financing*** instruments, in line with the identified needs and priorities of the countries concerned, ***and shall, in the case of the instrument for development cooperation, specify the regional distribution and the type of actions to be funded***. The allocations can be revised in ***the event*** of major unforeseen circumstances or important political changes in line with the EU external priorities, ***in accordance with the procedures provided for in Article 11(5) and Article 14 of this Regulation.***

Or. en

Justification

Allocations and priorities should be established in accordance with the programming process under the DCI, and include dialogue with partner countries. According to their needs and requirements, the type of actions (mobility or capacity building) and the regional attribution of the funds must be specified. Any substantial changes to the allocations must be approved by Parliament in accordance with the delegated acts procedure foreseen for MIPs.

Amendment 125

Proposal for a regulation
Article 22 – paragraph 3

Text proposed by the Commission	*Amendment*
It shall apply from 1 January 2014.	It shall apply from 1 January 2014 ***to 31 December 2020***.

Or. en

Amendment 126

Proposal for a regulation
Annex III – title

Text proposed by the Commission	*Amendment*
PARTNER COUNTRIES AND REGIONS WITH A ***BILATERAL*** COOPERATION ACCORDING TO ARTICLE 5(2)	PARTNER COUNTRIES AND REGIONS WITH A ***COUNTRY-SPECIFIC*** COOPERATION ACCORDING TO ARTICLE 5(2)

Or. en

Amendment 127

Proposal for a regulation
Annex III – paragraph 1

Text proposed by the Commission	*Amendment*
1. Bolivia	***(...)***
2. Cuba	***(...)***
3. El Salvador	***(...)***
4. Guatemala	***(...)***
5. Honduras	***(...)***
6. Nicaragua	***(...)***
7. Paraguay	***(...)***
8. Afghanistan	***(...)***
9. Bangladesh	***(...)***
10. Bhutan	***(...)***
11. Cambodia	***(...)***
12. Democratic People's Republic of Korea	***(...)***
13. Laos	***(...)***
14. Mongolia	***(...)***
15. Myanmar/Burma	***(...)***
16. Nepal	***(...)***

17. Pakistan	***(...)***
18. Philippines	***(...)***
19. Sri Lanka	***(...)***
20. Viet Nam	***(...)***
21. Kyrgyz Republic	***(...)***
22. Tajikistan	***(...)***
23. Turkmenistan	***(...)***
24. Uzbekistan	***(...)***
25. Iraq	***(...)***
26. Yemen.	***(...)***
27. South Africa	***(...)***

Or. en

Justification

The list of countries shall be established in accordance with the agreement reached between the European Parliament and the Council on the criteria proposed by the European Parliament under Article 1 (1b) and (1c):

Amendment 128

Proposal for a regulation
Annex III – paragraph 1 – introductory part

Text proposed by the Commission	*Amendment*
The following partner countries benefit from ***bilateral*** development assistance under this Regulation pursuant to Article 5(2):	The following partner countries benefit from ***country-specific*** development assistance under this Regulation pursuant to ***Article 1(1b) and (1c) and*** Article 5(2):

Or. en

Amendment 129

Proposal for a regulation
Annex IV – Chapter A – introductory part

Text proposed by the Commission	*Amendment*
A. COMMON AREAS OF COOPERATION UNDER GEOGRAPHIC PROGRAMMES	A. COMMON AREAS OF COOPERATION UNDER GEOGRAPHIC PROGRAMMES
Geographic programmes ***may*** be drawn, ***inter alia,*** from the areas of cooperation identified hereafter, which should not be read to equate with sectors. Priorities will be established in accordance with the ***Communication from the Commission to the European Parliament, the Council and the European Economic and Social Committee and the Committee of the Regions "Increasing the impact of EU Development Policy: an Agenda for Change" and with the subsequent conclusions from the Council institutions***.	Geographic programmes ***shall*** be drawn from the areas of cooperation identified hereafter, which should not be read to equate with sectors. Priorities will be established in accordance with the ***international commitments in the area of development policy the Union has entered into, in particular the MDGs and internationally agreed new development targets which modify or replace the MDGs, and on the basis of a policy dialogue with each eligible partner country or region***.

Or. en

Justification

Commission Communications are unilateral policy documents which can not replace the policy guidelines to be set by the co-legislators. The areas of cooperation should be clearly defined through an exhaustive list within the basic act. This list must specify the measures and the policy approach to be taken within the different areas, in order to ensure a link with the overall objective of the DCI regulation, i.e. poverty eradication. At the same time, the list must be broad enough to provide sufficient scope for the choice of priorities based on the dialogue with partner countries and regions.

Amendment 130

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point a

Text proposed by the Commission	*Amendment*
(a) Democracy, human rights and the rule of law;	(a) Democracy, human rights and the rule of law;

- supporting democratisation and strengthening democracy, strengthening of the role of parliaments,

- supporting the transparent and accountable functioning of institutions, decentralisation; promoting a participatory in-country dialogue on governance,

- promoting media freedom, including for modern media,

- promoting and protecting political and civil as well as social, economic and cultural rights and protection of minorities,

- strengthening the rule of law and judicial systems and ensuring access to justice.

Or. en

Amendment 131

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point b

Text proposed by the Commission	*Amendment*
(b) Gender equality and the empowerment of women;	(b) Gender equality and the empowerment of women; ***- promoting gender equality and equity,*** ***- protecting the rights of women and girls, including actions against any form of violence against women and girls and support for victims of gender-based violence,*** ***- promoting empowerment of women, including in their roles as development actors and peace-builders.***

Or. en

Amendment 132

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point c

Text proposed by the Commission	*Amendment*
(c) Public sector management;	(c) Public sector management***, transparency and anti-corruption***; ***- supporting development of the public sector for enhancing universal and non-discriminatory access to basic services, including at local and regional level,*** ***- supporting programmes to improve policy formulation, public financial management, including the setting up and reinforcement of audit, control and anti-fraud bodies and measures, and institutional development, including human resource management,*** ***- assisting partner countries in tackling all forms of corruption and tax evasion, through governance programmes that support advocacy, awareness-raising and reporting,*** ***- strengthening technical expertise of parliaments, enabling them to assess and contribute to the formulation and oversight of national budgets.***

Or. en

Amendment 133

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point d

Text proposed by the Commission	*Amendment*
(d) Tax policy and administration;	(d) Tax policy and administration; ***- supporting the building up or strengthening of fair, transparent, effective, progressive and sustainable domestic tax systems which promote***

equity, poverty reduction and social cohesion,

- strengthening monitoring capacities in developing countries in the fight against illicit financial flows,

- supporting national oversight bodies, parliaments and civil society organisations, to produce and disseminate work on tax fraud and its impact,

- supporting multilateral and regional initiatives on tax administration and tax reforms,

- supporting developing countries to participate more effectively in international tax cooperation structures and processes, allowing them to negotiate relevant agreements, improve tax information exchange,

- promoting country by country and project-by-project reporting to enhance financial transparency.

Or. en

Amendment 134

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point e

Text proposed by the Commission	*Amendment*
(e) Corruption;	***deleted***

Or. en

Amendment 135

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point f

Text proposed by the Commission	*Amendment*
(f) Civil society and local authorities;	(f) Civil society and local authorities; ***- supporting capacity building of civil society organisations, in order to strengthen their voice and active participation in the development process and to advance political, social and economic dialogue,*** ***- supporting local authorities, and mobilising their expertise to promote a territorial approach to development,*** ***- promote an enabling environment for citizen participation and civil society action.***

Or. en

Amendment 136

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point g

Text proposed by the Commission	*Amendment*
(g) Natural resources; ***and***	(g) Natural resources; ***- supporting oversight processes and bodies and backing governance reforms that promote the sustainable and transparent management and preservation of natural resources, including raw materials, maritime resources and forests, and ecosystem services, with particular attention to the dependence of vulnerable groups on them, especially smallholder farmers and indigenous groups, while ensuring a gender-sensitive approach and active participation of local communities,***

- promoting sustainable patterns of production and consumption and the safe and sustainable management of chemicals and waste, taking into account their impacts on health.

Or. en

Amendment 137

Proposal for a regulation
Annex IV – Chapter A – paragraph I – point h

Text proposed by the Commission	*Amendment*
(h) Development-security nexus***;***	(h) Development-security nexus***;***
	- addressing the root-causes of violent conflict, including poverty, degradation, exploitation and unequal distribution and access to land and natural resources, weak governance, human rights abuses and gender inequality as a means of supporting conflict prevention and resolution and peace building,
	- promoting dialogue, participation and reconciliation with a view to promoting peace and preventing outbreaks of violence, in accordance with international best practice,
	- fostering cooperation and policy reform in the fields of security and justice, the fight against drugs and other trafficking including trafficking in human beings, corruption and money laundering, with a specific focus on protecting poor and vulnerable groups and assisting them in building secure and sustainable livelihoods.

Or. en

Amendment 138

Proposal for a regulation
Annex IV – Chapter A – paragraph II – point a

Text proposed by the Commission	*Amendment*
(a) ***Social protection, health,*** education and ***jobs***;	(a) ***Health,*** education***, employment*** and ***social protection***; ***- supporting sector reforms that increase access to basic social services, in particular quality health and education services, with a central focus on access to such services by the poor, marginalised and vulnerable groups,*** ***- strengthening local capacities to respond to global challenges, including through using sectoral budget support with intensified policy dialogue,*** ***- strengthening health systems, addressing the human resource crisis of health providers, fair financing for health and making medicines more affordable for the poor,*** ***- supporting the full implementation of strategies to promote sexual and reproductive health and rights,*** ***- ensuring an adequate supply of sufficient and affordable good quality drinking water, adequate sanitation and hygiene,*** ***- enhancing support for and equal access to quality education; supporting vocational training for employability and capacity to carry out and use the results of research in favour of sustainable development,*** ***- supporting national social protection schemes and floors, including social insurance systems, which contribute to reducing inequalities, preventing social exclusion and combating discrimination against all groups, while paying particular attention to gender issues, the rights of indigenous peoples, children and***

the condition of disabled people,

- supporting the decent work agenda, and promoting social dialogue,

Or. en

Amendment 139

Proposal for a regulation
Annex IV – Chapter A – paragraph II – point b

Text proposed by the Commission	*Amendment*
(b) Business environment, regional integration and world markets; ***and***	(b) Business environment, regional integration and world markets; ***- supporting the development of a competitive local private sector, including by building local institutional and business capacity, promoting SMEs, microenterprises and cooperatives,*** ***- supporting legislative and regulatory framework reforms and their enforcement, including for the use of modern means of communication, facilitating access to business and financial services, such as micro-credit and micro-finance, and reducing excessive regulatory burden; supporting the enforcement of internationally agreed labour rights, promoting corporate social responsibility and social dialogue,*** ***- establishing and improving laws and land registries to protect land property rights and intellectual property,*** ***- promoting agricultural, industrial and innovation policies which contribute to sustainable and inclusive development in favour of the poorest regions and sectors of the society; foster cooperation in the area of science, research and technology, in particular for development-related research,*** ***- promoting investments that generate***

employment and support human resources development; improving infrastructure with full respect for social and environmental standards; supporting national, regional and local public authorities and parliaments to enable them to regulate markets effectively,

- engaging with the private sector, including through blending facilities, with a focus on financing for domestic companies and leveraging of domestic capitals, in particular at SME level, in order to enhance socially responsible and sustainable development and the development of high-quality inclusive public services for the benefit of the population,

- assisting developing countries in trade and regional and continental integration efforts, and providing assistance for their smooth and gradual integration into the world economy, and linking trade and poverty reduction or equivalent strategies; supporting partners' policies in areas such as markets, infrastructure and cross-border cooperation regarding access by the poor to water, sustainable energy and human security with a view to supporting social justice and pro-poor growth,

- promoting a sustainable transport sectoral approach, ensuring transport safety, affordability, efficiency, and minimising negative effects on the environment,

- supporting more generalized access to information and communication technologies to bridge the digital divide.

Or. en

Amendment 140

Proposal for a regulation
Annex IV – Chapter A – paragraph II – point c

Text proposed by the Commission	*Amendment*
(c) Sustainable agriculture and energy**.**	(c) Sustainable agriculture***, food security*** and ***sustainable*** energy***;***
	- helping insulating developing countries from shocks (such as scarcity of resources and supply, price volatility) and tackling inequalities, by giving poor people better access to land, food, water, energy and finance without harming the environment,
	- supporting sustainable agricultural practices and relevant agricultural research, including the safeguarding of ecosystem services, giving priority to locally-developed practices and focusing on smallholder agriculture and rural livelihoods, formation of producer groups, the supply and marketing chain, and supporting women in agriculture,
	- encouraging government efforts to facilitate responsible private investment, as well as to adopt responsible rules on large scale land acquisitions,
	- supporting strategic approaches to food security, with a focus on food availability, access (including markets, safety nets and gender awareness), nutrition, with a focus on combating malnutrition in the early ages, and stability,
	- addressing food insecurity in situations of transition and fragility, by supporting interventions to protect, maintain and recover productive and social assets vital for food security, to facilitate economic integration and longer-term rehabilitation,
	- supporting country-led, participatory, decentralised and environmentally sustainable territorial development, aimed

at involving beneficiaries in the identification of investments,

- improving access to modern, affordable, sustainable, efficient, clean (including renewable) energy services, with a priority for local and regional sustainable energy solutions, and decentralised energy production, so as to bring development priorities in line with environmental concerns.

Or. en

Amendment 141

Proposal for a regulation
Annex IV – Chapter A – paragraph III – title

Text proposed by the Commission	*Amendment*
III. Other areas of significance for ***Policy Coherence for Development***	III. Other areas of significance for ***poverty eradication***

Or. en

Amendment 142

Proposal for a regulation
Annex IV – Chapter A – paragraph III – point a

Text proposed by the Commission	*Amendment*
(a) Climate change and environment;	(a) Climate change and environment; ***- promoting the use of cleaner technologies, sustainable energy and resource efficiency with a view to achieve a low-carbon development while reinforcing environmental standards,*** ***- improving the resilience of developing countries to the consequences of climate change by supporting ecosystem-based climate change adaptation and mitigation and disaster risk reduction measures,***

- supporting the implementation of relevant multilateral environmental agreements, notably the strengthening of the environmental dimension of the institutional framework for sustainable development and the promotion of the protection of biodiversity,

- helping partner countries in coping with the challenge of displacement and migration induced by the effects of climate change, and rebuilding climate refugees' livelihoods.

Or. en

Amendment 143

Proposal for a regulation
Annex IV – Chapter A – paragraph III – point b

Text proposed by the Commission	*Amendment*
(b) Migration and asylum; ***and***	(b) Migration and asylum; ***- supporting targeted efforts to fully exploit the interrelationship between migration, mobility, employment and poverty reduction, so as to make migration a positive force for development,*** ***- support developing countries in adopting long term policies for managing migratory flows, which respect the human rights of the migrants and their families and enhance their social protection.***

Or. en

Amendment 144

Proposal for a regulation
Annex IV – Chapter A – paragraph III – point c

Text proposed by the Commission	*Amendment*
(c) Transition from humanitarian aid and crisis response to long-term development cooperation.	(c) Transition from humanitarian aid and crisis response to long-term development cooperation***;*** ***- reconstructing and rehabilitating, in the medium and long term, regions and countries affected by conflict, manmade and natural disasters, including support for demobilisation and reintegration actions,*** ***- carrying out medium- and long-term activities aimed at the self-sufficiency and integration or reintegration of uprooted people, ensuring that an integrated and consistent approach between humanitarian aid, rehabilitation, aid to uprooted people and development cooperation is pursued,*** ***- in situations of fragility, supporting the delivery of basic services and building legitimate, effective and resilient state institutions and an active and organised civil society, in partnership with the country concerned so as to maximise national ownership both at state and local levels,*** ***- contributing to a prevention approach to state fragility, conflict, natural disasters and other types of crises by assisting partner countries' and regional organizations' efforts to strengthen early warning systems and democratic governance and institutional capacity building,*** ***- supporting disaster preparedness and prevention and the management of the consequences of such disasters.***

Or. en

Amendment 145

Proposal for a regulation
Annex IV – Chapter B – introductory part

Text proposed by the Commission	*Amendment*
European Union assistance shall support actions and sectoral dialogues consistent with Article 5 and ***with*** the overall purpose and scope, objective and general principles of this Regulation. Appropriate consideration shall be given to the areas described below, reflecting jointly-agreed strategies***, partnership, cooperation and trade agreements. Priorities will be established in accordance with the Communication "An Agenda for Change" and with the subsequent conclusions of the Council.***	European Union assistance shall support actions and sectoral dialogues consistent with Article 5***, Annex IV Chapter A*** and the overall purpose and scope, objective and general principles of this Regulation. Appropriate consideration shall be given to the areas described below, reflecting jointly-agreed strategies***:***

Or. en

Justification

Priorities for cooperation should be established through dialogue with partners, and in accordance with the definition of areas of cooperation established by the legislators in Annex IV.A. Improving access to basic social services, human rights, gender equality and support for civil society and social dialogue should be potential areas for cooperation in all regions. The following amendments on each region intend to ensure that a clear poverty eradication and development focus is reflected in all areas of cooperation.

Amendment 146

Proposal for a regulation
Annex IV – Chapter B – paragraph 1 – point b

Text proposed by the Commission	*Amendment*
(b) addressing governance issues and supporting policy reforms, in particular in the areas of social policies, public finance management and taxation, security (including drugs, criminality and corruption), reinforcement of good	(b) addressing governance issues and supporting policy reforms, in particular in the areas of social policies, public finance management and taxation, security (including drugs, criminality and corruption), reinforcement of good

governance and public institutions (including through innovative mechanisms for the provision of technical cooperation, e.g. TAIEX and twinning), protection of human rights, including the indigenous peoples' and afro-descendents' rights, environment, fight against discrimination, and fight against production, consumption and trafficking of drugs;	governance, public institutions, (including through innovative mechanisms for the provision of technical cooperation, e.g. TAIEX and twinning), protection of human rights, including the indigenous peoples' and afro-descendents' rights, environment, fight against discrimination, ***fight against gender-based violence*** and fight against production, consumption and trafficking of drugs;

Or. en

Amendment 147

Proposal for a regulation
Annex IV – Chapter B – paragraph 1 – point b a (new)

Text proposed by the Commission	*Amendment*
	(ba) supporting an active, organised and independent civil society and strengthening social dialogue through support for social partners;

Or. en

Amendment 148

Proposal for a regulation
Annex IV – Chapter B – paragraph 1 – point d a (new)

Text proposed by the Commission	*Amendment*
	(da) strengthening the capacity to provide universal access to basic social services, particularly in the health and education sectors;

Or. en

Amendment 149

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point a a (new)

Text proposed by the Commission	*Amendment*
	(aa) strengthening the capacity to provide universal access to basic social services, particularly in the health and education sectors; improving access to education for all with a view to increasing knowledge, skills and employability on the job market, including - where relevant - by addressing inequality and discrimination on the basis of work and descent, and in particular caste-based discrimination;

Or. en

Amendment 150

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point b

Text proposed by the Commission	*Amendment*
(b) establishing ***inclusive*** partnerships around trade, investment, aid, migration, research, innovation and technology;	(b) establishing ***development-oriented*** partnerships around trade, investment, aid, migration, research, innovation and technology***, aiming at poverty reduction and social inclusion***;

Or. en

Amendment 151

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point c

Text proposed by the Commission	*Amendment*
(c) building and strengthening legitimate, effective and accountable public institutions and bodies, through promotion	(c) building and strengthening legitimate, effective and accountable public institutions and bodies ***and protecting***

of institutional reforms (including on good governance and anti-corruption, public financial management, taxation and public administration reform) and legislative, administrative and regulatory reforms in line with international standards, in particular in fragile states and countries in conflict and post-conflict situations;	***human rights***, through promotion of institutional reforms (including on good governance and anti-corruption, public financial management, taxation and public administration reform) and legislative, administrative and regulatory reforms in line with international standards, in particular in fragile states and countries in conflict and post-conflict situations;

Or. en

Amendment 152

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point d

Text proposed by the Commission	*Amendment*
(d) supporting an active ***and*** organised civil society ***for development and fostering public private partnerships***;	(d) supporting an active***,*** organised ***and independent*** civil society***, and strengthening social dialogue through support for social partners***;

Or. en

Amendment 153

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point f

Text proposed by the Commission	*Amendment*
(f) encouraging greater regional integration and cooperation in a result-oriented way through support to ***different processes of*** regional integration and dialogue;	(f) encouraging greater regional integration and cooperation in a result-oriented way through support to regional integration and dialogue;

Or. en

Amendment 154

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point g

Text proposed by the Commission	*Amendment*
(g) contributing to preventing and responding to health risks, including those originating at the interface between animals, humans and their various environments;	(g) ***supporting regional initiatives targeting the major communicable diseases;*** contributing to preventing and responding to health risks, including those originating at the interface between animals, humans and their various environments;

Or. en

Amendment 155

Proposal for a regulation
Annex IV – Chapter B – paragraph 2 – point h

Text proposed by the Commission	*Amendment*
(h) in the context of the security and development nexus, fighting against corruption and organised crime, production, consumption and trafficking of drugs and against other forms of trafficking***, and supporting efficient border management and cross-border co-operation***;	(h) in the context of the security and development nexus, fighting against ***gender and descent-based violence,*** corruption and organised crime, production, consumption and trafficking of drugs and against other forms of trafficking;

Or. en

Amendment 156

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – introductory part

Text proposed by the Commission	*Amendment*
In line with the common objectives set out in the EU Central Asia Strategy for a New	***deleted***

Partnership adopted in 2007:

Or. en

Amendment 157

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point -a (new)

Text proposed by the Commission	*Amendment*
	(-a) as overarching objectives, contributing to sustainable economic and social development, social cohesion and democracy;

Or. en

Amendment 158

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point -a a (new)

Text proposed by the Commission	*Amendment*
	(-aa) supporting food security and access to sustainable energy security for the local populations; promoting and supporting disaster preparedness and climate change adaptation;

Or. en

Amendment 159

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point -a b (new)

Text proposed by the Commission	*Amendment*
	(-ab) strengthening the capacity to provide universal access to basic social services,

particularly in the health and education sectors; supporting access of the populations to employment;

Or. en

Amendment 160

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point a

Text proposed by the Commission	*Amendment*
(a) promoting ***constitutional reform and legislative, regulatory and administrative approximation with the Union, including further democratisation and organised civil society, support for the rule of law,*** good governance***, taxation and strengthening of national institutions and bodies, such as election bodies, parliaments, public administration reform and public financial management***;	(a) ***supporting representative and democratically elected parliaments,*** promoting ***and supporting*** good governance ***and democratisation processes; sound management of public finances; the rule of law, with well-functioning institutions and effective respect for human rights and gender equality; supporting an active, organised and independent civil society, and strengthening social dialogue through support for social partners***;

Or. en

Amendment 161

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point b

Text proposed by the Commission	*Amendment*
(b) promoting inclusive and sustainable economic growth, addressing social and regional inequalities, and supporting ***policies in areas such as education, research,*** innovation and technology, ***health,*** decent work, ***sustainable energy,*** agriculture and rural development, fostering SMEs, while stimulating the development of a market economy, trade	(b) promoting inclusive and sustainable economic growth, addressing social and regional inequalities, and supporting innovation and technology, decent work, agriculture and rural development, fostering SMEs, while stimulating the development of a ***regulated*** market economy, trade and investment, including regulatory reforms;

and investment, including regulatory reforms ***and the support for integration into the WTO***;

Or. en

Amendment 162

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point c

Text proposed by the Commission	*Amendment*
(c) supporting efficient border management and cross-border cooperation to promote sustainable economic, social and environmental development in border regions; in the context of the security and development nexus, fighting organized crime and all forms of trafficking, including the fight against production and consumption of drugs as well as negative effects thereof, including HIV/AIDS;	***deleted***

Or. en

Amendment 163

Proposal for a regulation
Annex IV – Chapter B – paragraph 3 – point d

Text proposed by the Commission	*Amendment*
(d) promoting bilateral and regional cooperation, dialogue and integration including with countries covered by the European Neighbourhood Instrument and other Union instruments to support policy reforms, including through ***public capacity building through*** institution building, technical assistance (e.g. TAIEX) , information exchange and twinning, and by key investments through appropriate	(d) promoting bilateral and regional cooperation, dialogue and integration including with countries covered by the European Neighbourhood Instrument and other Union instruments to support policy reforms, including through institution building ***when appropriate***, technical assistance (e.g. TAIEX) , information exchange and twinning, and by key investments through appropriate

mechanisms to mobilise ***EU*** financial resources in the education, environment and energy sectors, water/sanitation, low emissions development/resilience to climate change impacts***, as well as improving the security and safety of international energy supply and transport operations, interconnections, networks and their operators, including through activities supported by the EIB***.	mechanisms to mobilise financial resources in the education, environment and energy sectors, water/sanitation, low emissions development/resilience to climate change impacts.

Or. en

Amendment 164

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point a

Text proposed by the Commission	*Amendment*
(a) addressing governance (including in tax area), human rights and political equality issues ***in particular in fragile states*** so as to ***help*** build legitimate, democratic, effective and accountable public institutions and an active and organised civil society;	(a) addressing ***democratisation and*** governance (including in tax area), ***rule of law,*** human rights***, fundamental freedoms*** and political equality issues so as to ***encourage political reforms, fight against corruption, transparency of the judicial process and*** build legitimate, democratic, effective and accountable public institutions and an active***, independent*** and organised civil society; ***strengthening social dialogue through support for social partners;***

Or. en

Amendment 165

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point a a (new)

Text proposed by the Commission	*Amendment*
	(aa) supporting the civil society in their fight in defence of fundamental freedoms,

human rights and democratic principles;

Or. en

Amendment 166

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point b

Text proposed by the Commission	*Amendment*
(b) encouraging social cohesion, in particular social inclusion, decent work and equity and gender equality;	(b) ***promoting inclusive growth and*** encouraging social cohesion ***and development***, in particular ***creation of employment,*** social inclusion, decent work and equity and gender equality; ***strengthening the capacity to provide universal access to basic social services, particularly in the health and education sectors; addressing, where relevant, inequality and discrimination on the basis of work and descent, and in particular caste-based discrimination;***

Or. en

Amendment 167

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point b a (new)

Text proposed by the Commission	*Amendment*
	(ba) supporting development of civic culture especially via training, education and participation of children, young people and women;

Or. en

Amendment 168

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point c

Text proposed by the Commission	*Amendment*
(c) promoting sustainable economic reform and diversification, trade, the development of a market economy, productive and sustainable investment in the main sectors (such as energy, including renewable energy)***, public private partnerships, and partner countries' integration in the WTO***;	(c) promoting sustainable economic reform and diversification, trade, the development of a ***regulated*** market economy, productive and sustainable investment in the main sectors (such as energy, including renewable energy);

Or. en

Amendment 169

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point d

Text proposed by the Commission	*Amendment*
(d) promoting regional cooperation, dialogue and integration, including with countries covered by the European Neighbourhood Instrument and the Gulf States covered by the Partnership Instrument and other EU instruments ***inter alia*** by supporting integration efforts within the region, indicatively on economy, energy, water, transportation and refugees;	(d) promoting ***good neighbourly relations,*** regional cooperation, dialogue and integration, including with countries covered by the European Neighbourhood Instrument and the Gulf States covered by the Partnership Instrument and other EU instruments by supporting integration efforts within the region, indicatively on economy, energy, water, transportation and refugees;

Or. en

Amendment 170

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point e

Text proposed by the Commission	*Amendment*
(e) complementing resources deployed under this instrument by coherent work and support through other EU instruments, which may focus on wider regional integration***, promoting the EU's interests in fields such as economy, energy, research, innovation and technology, fighting against production, consumption and trafficking of drugs in the context of the security and development nexus, as well as managing migration and helping displaced persons and refugees in the context of the development and migration nexus.***	(e) complementing resources deployed under this instrument by coherent work and support through other EU instruments ***and policies***, which may focus on ***access to the Union internal market, labour mobility and*** wider regional integration***;***

Or. en

Amendment 171

Proposal for a regulation
Annex IV – Chapter B – paragraph 4 – point e a (new)

Text proposed by the Commission	*Amendment*
	(ea) fighting against production, consumption and trafficking of drugs in the context of the security and development nexus, as well as managing migration and helping displaced persons and refugees in the context of the development and migration nexus.

Or. en

Amendment 172

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point a

Text proposed by the Commission	*Amendment*
(a) supporting the consolidation of a democratic society, good governance ***and*** a state governed by the rule of law and contributing to regional and continental stability and integration;	(a) supporting the consolidation of a democratic society, good governance, ***respect for human rights, gender equality,*** a state governed by the rule of law and contributing to regional and continental stability and integration; ***supporting an active, organised and independent civil society, and strengthening social dialogue through support for social partners***;

Or. en

Amendment 173

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point b

Text proposed by the Commission	*Amendment*
(b) providing support to the adjustment efforts triggered by the establishment of various free-trade areas;	***deleted***

Or. en

Amendment 174

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point c

Text proposed by the Commission	*Amendment*
(c) ***promoting decent work,*** supporting the fight against poverty, inequality and exclusion, including by addressing the basic needs of the ***previously*** disadvantaged communities;	(c) supporting the fight against poverty, inequality and exclusion, including by addressing the basic needs of the disadvantaged communities ***and by promoting social cohesion and redistributive policies aimed at reducing***

inequalities;

Or. en

Amendment 175

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point c a (new)

Text proposed by the Commission	*Amendment*
	(ca) strengthening the capacity to provide universal access to basic social services, particularly in the health and education sectors;

Or. en

Amendment 176

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point c b (new)

Text proposed by the Commission	*Amendment*
	(cb) improving living and working conditions with special emphasis on the decent work agenda;

Or. en

Amendment 177

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point d

Text proposed by the Commission	*Amendment*
(d) overcoming economic vulnerability and achieving structural transformation with emphasis on decent employment ***through sustained*** and inclusive economic growth,	(d) overcoming economic vulnerability and achieving structural transformation with emphasis on decent employment and inclusive economic growth, a low carbon

a low carbon green economy and sustainable development in all its dimensions (including sustainable agriculture and fisheries) and enhancement of biodiversity and ecosystem services;	green economy and sustainable development in all its dimensions (including sustainable agriculture and fisheries) and enhancement of biodiversity and ecosystem services;

Or. en

Amendment 178

Proposal for a regulation
Annex IV – Chapter B – paragraph 5 – point d a (new)

Text proposed by the Commission	*Amendment*
	(da) cooperating in the setting-up of mitigation strategies and adaptation to climate change;

Or. en

Amendment 179

Proposal for a regulation
Annex V – Chapter A – introductory part

Text proposed by the Commission	*Amendment*
A. PROGRAMME ON GLOBAL PUBLIC GOODS AND CHALLENGES	A. PROGRAMME ON GLOBAL PUBLIC GOODS AND CHALLENGES
In compliance with the conditions laid down in Article 6, the Global public goods and challenges programme aims at strengthening cooperation, exchange of knowledge and experience and partner countries' capacities. The programme ***may*** be drawn ***inter alia*** from the following areas of cooperation, ensuring a maximum synergy amongst them in light of their strong interconnection:	In compliance with the conditions laid down in Article 6, the Global public goods and challenges programme aims at strengthening cooperation, exchange of knowledge and experience and partner countries' capacities ***with a view to adopting policies which contribute to poverty eradication and sustainable development***. The programme ***shall*** be drawn from the following areas of cooperation, ensuring a maximum synergy amongst them in light of their strong interconnection, and ***an adequate contribution of the programme to***

supporting access to basic social services in accordance with Article 2(2a):

Or. en

Justification

Given the broad definition of the new thematic programme on Global Public Goods, it should be underlined that all actions must contribute to the overall objective of the DCI regulation. In order to achieve the benchmark on basic social services provision, actions under the thematic programmes should contribute to achieving this target.

Amendment 180

Proposal for a regulation
Annex V – Chapter A – paragraph 1 – point a

Text proposed by the Commission	*Amendment*
(a) to contribute to the implementation of the international environmental and climate dimension of the EU's 2020 vision;	***deleted***

Or. en

Amendment 181

Proposal for a regulation
Annex V – Chapter A – paragraph 1 – point c

Text proposed by the Commission	*Amendment*
(c) ***promoting implementation of*** Union initiatives and agreed commitments at international and regional level and/or of a transboundary character particularly in the areas of climate change through the promotion of climate resilient strategies ***especially*** adaption strategies with biodiversity co-benefits, biodiversity and ecosystems services, forests ***including*** FLEGT, desertification, integrated water resource management, natural resource management, sound chemical and waste	(c) ***implementing*** Union initiatives and agreed commitments at international and regional level and/or of a transboundary character particularly in the areas of climate change through the promotion of climate resilient strategies ***giving priority to*** adaptation strategies with biodiversity co-benefits, biodiversity and ecosystems services, ***natural resource management including oceans, land, water, fisheries and*** forests ***(e.g. through mechanisms such as*** FLEGT***)***, desertification,

management, resource efficiency and the green economy;	integrated water resource management, sound chemical and waste management, resource efficiency and the green economy;

Or. en

Amendment 182

Proposal for a regulation
Annex V – Chapter A – paragraph 1 – point c

Text proposed by the Commission	*Amendment*
(***c***) ***contributing to*** increasing the integration and mainstreaming of climate change and environmental objectives in EU ***aid*** cooperation through support for methodological and research work including monitoring, reporting and verification mechanisms, ecosystem mapping, assessment and valuation, enhancing environmental expertise and promoting innovative actions and policy coherence;	(***d***) increasing the integration and mainstreaming of climate change and environmental objectives in EU ***development*** cooperation through support for methodological and research work ***on, in and by developing countries,*** including monitoring, reporting and verification mechanisms, ecosystem mapping, assessment and valuation, enhancing environmental expertise and promoting innovative actions and policy coherence;

Or. en

Amendment 183

Proposal for a regulation
Annex V – Chapter A – paragraph 1 – point d

Text proposed by the Commission	*Amendment*
(***d***) strengthening environmental governance and supporting international policy development***, including also by working for*** coherence ***between the environmental and the other pillars of international*** governance ***for*** sustainable development, by assisting regional and international environmental monitoring and assessment, and by promoting effective compliance and enforcement measures for	(***e***) strengthening environmental governance and supporting international policy development ***to improve the*** coherence ***and efficiency of global*** governance ***of*** sustainable development, by assisting regional and international environmental monitoring and assessment, and by promoting effective compliance and enforcement measures ***in developing countries*** for multilateral environmental

multilateral environmental agreements.	agreements.

Or. en

Amendment 184

Proposal for a regulation
Annex V – Chapter A – paragraph 2 – point a

Text proposed by the Commission	*Amendment*
(a) promoting access to secure, affordable, clean and sustainable energy services as a key driver for poverty eradication and inclusive growth, with a special emphasis on the use of local energy sources;	(a) promoting access to secure, affordable, clean and sustainable energy services as a key driver for poverty eradication and inclusive growth, with a special emphasis on the use of local energy sources ***and of ensuring access for poor people and remote regions***;

Or. en

Amendment 185

Proposal for a regulation
Annex V – Chapter A – paragraph 2 – point c

Text proposed by the Commission	*Amendment*
(c) promoting energy security through e.g. diversification of sources and routes, considering price volatility issues, emission reduction potential, improving markets and fostering energy interconnections and trade.	(c) promoting energy security ***for partner countries and local communities*** through e.g. diversification of sources and routes, considering price volatility issues, emission reduction potential, improving markets and fostering energy interconnections and trade.

Or. en

Amendment 186

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point a

Text proposed by the Commission	*Amendment*
(***a***) Growth, jobs and private sector engagement	(***e***) Growth, jobs and private sector engagement
Promoting actions aiming at creating more and better jobs, ***in areas such as*** developing the competitiveness and resilience of local MSMEs and their integration into the global economy, assisting developing countries to integrate into the multilateral trading system, developing the private sector and improving the business environment, supporting the definition and implementation of industrial innovation and technology policies and of trade policies and agreements, supporting regional integration efforts, ***promoting investment relations between the EU and partner countries and regions*** and leveraging private and public investment and cooperation through innovative financial instruments. Promoting the green economy, resource efficiency and sustainable consumption and production processes. Promoting the use of electronic communications as a tool to support growth across all sectors in order to bridge the digital divide***, to achieve an adequate policy and regulatory framework in this area and promoting the development of necessary infrastructure and the use of services and applications based on ICT***.	Promoting actions aiming at creating more and better jobs, ***by*** developing the competitiveness and resilience of local MSMEs and their integration into the ***local, regional and*** global economy, assisting developing countries to integrate into the multilateral trading system, developing the ***local*** private sector and improving the business environment, supporting the definition and implementation of industrial innovation and technology policies and of trade policies and agreements, supporting regional integration efforts, and leveraging private and public investment and cooperation ***- including by local SMEs -*** through innovative financial instruments. Promoting the green economy, resource efficiency and sustainable consumption and production processes. Promoting the use of electronic communication as a tool to support ***pro-poor*** growth across all sectors in order to bridge the digital divide ***between developing and industrialised countries and inside developing countries***.

Or. en

(Point (a) in the Commission text has become point (e) in Parliament's amendment)

Amendment 187

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point b

Text proposed by the Commission	*Amendment*
(b) Employment, skills, social protection and social inclusion:	***(d)*** Employment, skills, social protection and social inclusion:
(i) Supporting high levels of productive and decent employment notably with support for sound employment policies and strategies, vocational training for employability relevant to labour market needs and perspectives, working conditions including in the informal economy, promotion of decent work, including fight against child labour, and social dialogue as well as facilitation of labour mobility while respecting migrants' rights;	(i) Supporting high levels of productive and decent employment notably with support for sound employment policies and strategies, vocational training for employability relevant to ***local*** labour market needs and perspectives, working conditions including in the informal economy, promotion of decent work ***on the basis of the basic labour standards of the International Labour Organization (ILO)***, including fight against child labour, and social dialogue as well as facilitation of labour mobility while respecting ***and promoting*** migrants' rights;
(ii) strengthening social cohesion in particular with the setting-up/strengthening of sustainable social protection systems including ***related*** fiscal reform;	(ii) strengthening social cohesion in particular with the setting-up/strengthening of sustainable social protection systems, including ***social insurance, and including with*** fiscal reform;
(iii) strengthening social inclusion with cooperation on equitable access to basic services, employment for all, empowerment and respect of rights of specific groups, notably youth, persons with disabilities, women ***and minority groups*** to ***let all population*** participate and benefit from wealth creation and cultural diversity.	(iii) strengthening social inclusion with cooperation on equitable access to basic services, employment for all, empowerment and respect of rights of specific groups, notably ***migrants, children and*** youth, persons with disabilities, women, ***indigenous peoples and persons belonging to minorities*** to ***ensure that these groups can and will*** participate ***in*** and benefit from wealth creation and cultural diversity.

Or. en

(Point (b) in the Commission text has become point (d) in Parliament's amendment)

Amendment 188

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point c

Text proposed by the Commission	*Amendment*
(c) Gender equality ***and*** women empowerment:	(c) Gender equality***,*** women empowerment ***and protection of women's and girls' rights***:
(i) Supporting country level programmes to promote women's economic and social empowerment and political participation;	(i) Supporting ***regional and*** country level programmes to promote women's economic and social empowerment***, leadership*** and political participation;
(ii) supporting national, regional and global initiatives to promote the integration of ***this issue*** in the aid effectiveness agenda***.***	(ii) supporting national, regional and global initiatives to promote the integration of ***gender equality and women's empowerment into polices, plans and budgets, including in international, regional and national development frameworks and*** in the aid effectiveness agenda***;***
	(iii) addressing sexual and gender-based violence and supporting its victims.

Or. en

Amendment 189

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point c a (new)

Text proposed by the Commission	*Amendment*
	(ca) Children and youth
	(i) combating trafficking of and all forms of violence against children, and promotion of policies taking into consideration youth's and children's particular vulnerability and potentials, protection of their rights and interests, education, health and livelihoods, starting with participation and empowerment;
	(ii) enhancing developing countries'

attention and capacity to develop policies benefiting youth and children and promoting the role of children and youth as actors for development;

(iii) supporting the development of concrete strategies and interventions to address particular problems and challenges affecting youth and children taking their best interests into account in all relevant action.

Or. en

Amendment 190

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point d

Text proposed by the Commission	*Amendment*
(d) Health	***(a)*** Health
(i) Improving the health and well-being of people in developing countries through ***increasing*** access to, and equitable provision of, good quality essential public health services ***and more specifically***:	(i) Improving the health and well-being of people in developing countries through ***supporting universal*** access to, and equitable provision of, good quality essential public health services:
(ii) supporting and shaping the policy agenda of global initiatives of direct significant benefit to partner countries, considering result orientation, aid effectiveness and effects on health systems, including supporting partner countries to better engage with these initiatives;	(ii) supporting and shaping the policy agenda of global initiatives of direct significant benefit to partner countries, considering result orientation, aid effectiveness and effects on health systems, including supporting partner countries to better engage with these initiatives;
(iii) supporting specific initiatives especially at regional and global level, which strengthen health systems and help countries develop and implement sound, evidence-based national health policies, and in priority areas (e.g., maternal health and sexual and reproductive health and rights, access to family planning; global public goods and response to global health threats).	(iii) supporting specific initiatives especially at regional and global level, which strengthen health systems and help countries develop and implement sound, evidence-based ***and sustainable*** national health policies, and in priority areas (e.g., ***child and*** maternal health and sexual and reproductive health and rights, access to family planning; global public goods and response to global health threats ***such as HIV/AIDS, TB and Malaria and other***

poverty related and neglected diseases; provision and expansion of essential services for victims of gender-based violence).

Or. en

(Point (d) in the Commission text has become point (a) in Parliament's amendment)

Amendment 191

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point e

Text proposed by the Commission	*Amendment*
(e) Education, knowledge and skills:	***(b)*** Education, knowledge and skills:
(i) Supporting the achievement of internationally agreed goals in education through global initiatives and partnerships, with special emphasis on promoting knowledge, skills and values for sustainable and inclusive development;	(i) Supporting the achievement of internationally agreed goals in education through global initiatives and partnerships, with special emphasis on promoting knowledge, skills and values for sustainable and inclusive development;
(ii) promoting exchange of experience, good practice and innovation, based on a balanced approach to the development of education systems;	(ii) promoting exchange of experience, good practice and innovation, based on a balanced approach to the development of education systems;
(iii) improving equal access and quality of education, including for vulnerable groups, women and girls, and countries furthest from achieving global targets.	(iii) improving equal access and quality of education, including for vulnerable groups, ***migrants,*** women and girls, and countries furthest from achieving global targets.

Or. en

(Point (e) in the Commission text has become point (b) in Parliament's amendment)

Amendment 192

Proposal for a regulation
Annex V – Chapter A – paragraph 3 – point e a (new)

Text proposed by the Commission	*Amendment*
	(ea) Culture
	(i) promotion of inter-cultural dialogue, cultural diversity and respect for the equal dignity of all cultures;
	(ii) promotion of international cooperation to stimulate the contribution of cultural industries to economic growth in developing countries to fully exploit its potential for fighting poverty, including addressing issues such as market access and intellectual property rights;
	(iii) promotion of respect for the social, cultural and spiritual values of indigenous peoples and minorities to enhance equality and justice in multi-ethnic societies in compliance with universal human rights to which everyone is entitled, including indigenous peoples and persons belonging to minorities;
	(iv) supporting culture as a promising economic sector for development and growth.

Or. en

Amendment 193

Proposal for a regulation
Annex V – Chapter A – paragraph 4 – introductory part

Text proposed by the Commission	*Amendment*
The programme will strengthen cooperation, exchange of knowledge and experience and partner countries' capacities on the four pillars of food security: food availability (production), access (including markets, safety nets ***and gender***	The programme will strengthen cooperation, exchange of knowledge and experience and partner countries' capacities on the four pillars of food security ***with a gender sensitive approach:*** food availability (production), access (including

awareness), utilisation (nutrition interventions in socially aware ways) and stability, while prioritising four dimensions: smallholder agriculture, governance, regional integration and assistance mechanisms for vulnerable populations.

land, markets, safety nets), utilisation (nutrition interventions in socially aware ways) and stability, while prioritising four dimensions: smallholder agriculture, governance, regional integration and assistance mechanisms for vulnerable populations.

Or. en

Amendment 194

Proposal for a regulation
Annex V – Chapter A – paragraph 4 – point a

Text proposed by the Commission	*Amendment*
(a) Promoting the development of sustainable smallholder agriculture through ecosystem-based, low carbon and climate-resilient secure access to technology (including information and communication technologies), and through extension and technical services, rural development schemes, productive investment measures, land and natural resource management, protection of genetic diversity, in an enabling economic environment;	(a) Promoting the development of sustainable smallholder agriculture through ecosystem-based, low carbon and climate-resilient secure access to technology (including information and communication technologies), and through extension and technical services, rural development schemes, productive ***and responsible*** investment measures, ***in accordance with international guidelines, sustainable*** land and natural resource management, ***protection of land rights and access to land for local populations,*** protection of genetic diversity, in an enabling economic environment;

Or. en

Amendment 195

Proposal for a regulation
Annex V – Chapter A – paragraph 4 – point c

Text proposed by the Commission	*Amendment*
(c) strengthening food and nutrition security through adequate policies,	(c) strengthening food and nutrition security through adequate policies,

including the protection of biodiversity and ecosystem services, climate ***adaption*** policies, information systems, crisis prevention and management, and nutrition strategies directed to vulnerable populations;	including the protection of biodiversity and ecosystem services, climate ***adaptation*** policies, information systems, crisis prevention and management, and nutrition strategies directed to vulnerable populations;

Or. en

Amendment 196

Proposal for a regulation
Annex V – Chapter A – paragraph 5 – introductory part (new)

Text proposed by the Commission	*Amendment*
	The programme intends to strengthen political dialogue, cooperation, exchange of knowledge and experience and the capacities of partner countries, civil society organisations and local authorities in order to support human mobility as a positive element of human development. The programme, founded on a human rights-based approach to migration, will address the challenges of migration flows, and in particular South-South migration, the situation of vulnerable migrants such as unaccompanied minors, victims of trafficking, asylum seekers, migrant women, and the condition of children, women and families left in the countries of origin.

Or. en

Amendment 197

Proposal for a regulation
Annex V – Chapter A – paragraph 5 – point a

Text proposed by the Commission	*Amendment*
(a) Promoting migration governance at all	(a) Promoting migration governance at all

levels;	levels***, with a particular focus on the social and economic consequences of migration***;

Or. en

Amendment 198

Proposal for a regulation
Annex V – Chapter A – paragraph 5 – point c

Text proposed by the Commission	*Amendment*
(c) maximising the development impact of the increased regional and global mobility of people, ***while*** promoting and protecting the rights of migrants, through support to the formulation and implementation of sound regional and national migration and asylum policies ***and*** through integration of the migration dimension into other regional and national policies;	(c) maximising the development impact of the increased regional and global mobility of people, ***and in particular of well-managed labour migration, improving integration of migrants in countries of destination,*** promoting and protecting the rights of migrants ***and their families***, through support to the formulation and implementation of sound regional and national migration and asylum policies***,*** through integration of the migration dimension into other regional and national policies ***and through support for the participation of migrants' organisations and local authorities in policy formulation and in the monitoring of policy implementation processes***;

Or. en

Amendment 199

Proposal for a regulation
Annex V – Chapter A – paragraph 5 – final sentence (new)

Text proposed by the Commission	*Amendment*
	The programme will be managed in coherence with the Migration and Asylum Fund and Internal Security Fund, which will be oriented to finance non-

development oriented short-term measures with full respect for the principle of policy coherence for development.

Or. en

Amendment 200

Proposal for a regulation
Annex V – Chapter B – paragraph 1

Text proposed by the Commission	*Amendment*
In line with the ***reaffirmed*** support of the EU to democracy, human rights and good governance, the Programme on civil society organisations and local authorities in development will strengthen cooperation, exchange of knowledge and experience and capacities of civil society organisations and local authorities in partner countries in support of internationally agreed development goals.	In line with the ***conclusions of the Structured Dialogue Initiative and the*** support of the EU to democracy, human rights and good governance, the Programme on civil society organisations and local authorities in development will strengthen ***an enabling environment for citizen participation and civil society action and*** cooperation, exchange of knowledge and experience and capacities of civil society organisations and local authorities in partner countries in support of internationally agreed development goals.

Or. en

Amendment 201

Proposal for a regulation
Annex V – Chapter B – paragraph 2 – point b

Text proposed by the Commission	*Amendment*
(b) increased level of awareness of the European citizens regarding development issues and mobilising active public support in the Union, potential candidate and candidate countries for poverty reduction and sustainable development strategies in	(b) increased level of awareness of the European citizens regarding development issues and ***empowering and*** mobilising active public support in the Union, potential candidate and candidate countries for poverty reduction and sustainable

partner countries;	development strategies in partner countries;

Or. en

Amendment 202

Proposal for a regulation
Annex V – Chapter B – paragraph 2 – point c

Text proposed by the Commission	*Amendment*
(c) increased capacity of European and Southern civil society and local authority networks to ensure a substantive and continued policy dialogue in the field of development.	(c) increased capacity of European and Southern civil society and local authority networks to ensure a substantive and continued policy dialogue in the field of development ***and to promote democratic governance***.

Or. en

Amendment 203

Proposal for a regulation
Annex V – Chapter B – paragraph 3 – introductory part

Text proposed by the Commission	*Amendment*
Activities supported by this programme ***will encompass***:	***Possible activities to be*** supported by this programme:

Or. en

Amendment 204

Proposal for a regulation
Annex V – Chapter B – paragraph 3 – point a

Text proposed by the Commission	*Amendment*
(a) interventions in partner countries which support vulnerable and marginalised	(a) interventions in partner countries which support vulnerable and marginalised

groups ***in least developed countries*** by providing basic services delivered through civil society organisations and local authorities;	groups by providing basic services delivered through civil society organisations and local authorities;

Or. en

Amendment 205

Proposal for a regulation
Annex V – Chapter B – paragraph 3 – point b – point i

Text proposed by the Commission	*Amendment*
(i) ***strengthen*** the capacity of civil society organisations to participate effectively in ***the development*** process;	(i) ***strengthening*** the ***enabling environment for citizen participation and civil society action and the*** capacity of civil society organisations to participate effectively in ***policy formulation and in the monitoring of policy implementation*** processes;

Or. en

Amendment 206

Proposal for a regulation
Annex V – Chapter B – paragraph 3 – point b – point ii

Text proposed by the Commission	*Amendment*
(ii) facilitate better interaction between civil society organisations, the State and other development actors in the context of development;	(ii) facilitate ***improved dialogue and*** better interaction between civil society organisations, ***local authorities,*** the State and other development actors in the context of development;

Or. en

Amendment 207

Proposal for a regulation
Annex V – Chapter B – paragraph 3 – point c

Text proposed by the Commission	*Amendment*
(c) raising public awareness of development issues and promoting formal and informal education for development in the Union, in candidate and potential candidate countries, to anchor development policy in European societies, to mobilise greater public support for action against poverty and for more equitable relations between developed and developing countries, to raise awareness of the issues and difficulties facing developing countries and their peoples, and to promote the social dimension of globalisation;	(c) raising public awareness of development issues***, empowering people to become active and responsible citizens*** and promoting formal and informal education for development in the Union, in candidate and potential candidate countries, to anchor development policy in European societies, to mobilise greater public support for action against poverty and for more equitable relations between developed and developing countries, to raise awareness of the issues and difficulties facing developing countries and their peoples, and to promote ***the right to a process of development in which all human rights and fundamental freedoms can be fully realised and*** the social dimension of globalisation;

Or. en

Amendment 208

Proposal for a regulation
Annex V – Chapter B – paragraph 4

Text proposed by the Commission	*Amendment*
Civil society organisations are non-State, non-profit making actors operating on an independent and accountable basis which include: non governmental organisations, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, local traders' associations and citizens' groups, cooperatives, employers associations and trade unions (social partners), organisations representing economic and social interests,	Civil society organisations are non-State, non-profit making actors operating on an independent and accountable basis which include: non governmental organisations, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, ***diaspora organisations, migrants organisations in partner countries,*** local traders' associations and citizens' groups, cooperatives, employers associations and

organisations fighting corruption and fraud and promoting good governance, civil rights organisations and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, environmental, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non governmental associations and independent foundations, including independent political foundations, likely to contribute to the implementation of the objectives of this Regulation.	trade unions (social partners), organisations representing economic and social interests, organisations fighting corruption and fraud and promoting good governance, civil rights organisations and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, environmental, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non governmental associations and independent foundations, including independent political foundations, likely to contribute to the implementation of the objectives of this Regulation.

Or. en

Amendment 209

Proposal for a regulation
Annex VI - paragraph 1 – introductory part

Text proposed by the Commission	*Amendment*
The Pan-African programme shall support the objectives and general principles of the Joint Africa-EU Strategy, in particular:	***deleted***

Or. en

Amendment 210

Proposal for a regulation
Annex VI - paragraph 1 – point a

Text proposed by the Commission	*Amendment*
(a) provide support for the objectives***, initiatives and activities agreed in*** the Joint Africa-EU Strategy ***and its successive action plans, which cover inter alia the areas of: peace and security, democratic governance and human rights, trade, regional integration and infrastructure (including transport), MDGs, energy, climate change and environment, migration, mobility and employment, science, information society and space, as well as its cross-cutting issues***.	***European Union assistance shall support actions and sectoral dialogues which contribute to achieving*** the objectives ***of*** the Joint Africa-EU Strategy***, in accordance with Article 9, and the overall purpose and scope, objectives and principles of this Regulation.***

Or. en

Amendment 211

Proposal for a regulation
Annex VI - paragraph 1 – point b

Text proposed by the Commission	*Amendment*
(b) provide support to other relevant initiatives and activities agreed through the working arrangements established under the Joint Strategy;	***Priorities shall be established on the basis of a dialogue with the African partner institutions and*** through the working arrangements established under the Joint Strategy***, while involving the European Parliament and the Pan African Parliament, and respecting the principle of a people-centred partnership.***

Or. en

Amendment 212

Proposal for a regulation
Annex VI - paragraph 1 – point c

Text proposed by the Commission	*Amendment*
(c) apply the principle of 'treating Africa as one', and promote coherence between regional and continental levels, focusing in particular on activities of a cross-regional, continental or global nature, and support joint Africa-EU initiatives in the global arena.	***All actions shall comply with*** the principle of 'treating Africa as one', and promote coherence between regional and continental levels, focusing in particular on activities of a cross-regional, continental or global nature, and support to joint Africa-EU initiatives in the global arena.

Or. en

Amendment 213

Proposal for a regulation
Annex VI - paragraph 1 a (new)

Text proposed by the Commission	*Amendment*
	Areas of cooperation: ***- Peace and security*** ***- Democratic governance and human rights*** ***- Trade, regional integration and infrastructure (including transport)*** ***- MDGs and subsequent internationally agreed development targets*** ***- Energy*** ***- Climate change and environment*** ***- Migration, mobility and employment*** ***- Science, information society and space***

Or. en

Amendment 214

Proposal for a regulation
Annex VII – paragraph 1

Text proposed by the Commission	*Amendment*
Geographic programmes ***13 991.5 €***	Geographic programmes**:** ***60,1 %***

Or. en

Amendment 215

Proposal for a regulation
Annex VII – subparagraph 1 a (new)

Text proposed by the Commission	*Amendment*
	Of which:
	Latin America x %
	Asia x %
	Central Asia x %
	Middle East x %
	South Africa x %

Or. en

Amendment 216

Proposal for a regulation
Annex VII – paragraph 2

Text proposed by the Commission	*Amendment*
Global public goods and challenges thematic programme ***6 303.2 €***	Global public goods and challenges thematic programme ***25,6 %***

Or. en

Amendment 217

Proposal for a regulation
Annex VII – subparagraph 1

Text proposed by the Commission	*Amendment*
Of which:	***Subthemes:***
- Environment and climate change ***31.8%***[1]	- Environment and climate change ***25,0 %***[1]
- Sustainable Energy 12.7%	- Sustainable Energy 12,7 %
- Human development ***20.0%***	- Human development ***25,0 %***
- Food security and sustainable agriculture ***28.4%***	- Food security and sustainable agriculture ***30,2 %***
- Migration and asylum 7.1%	- Migration and asylum 7.1 %
[1] In principle, funds would be evenly allocated between environment and climate change.	[1] In principle, funds would be evenly allocated between environment and climate change. ***In the area of climate change, priority shall be given to funding adaptation measures.***

Or. en

Justification

Compared to the Commission proposal, a slight increase of the share of the funding for human development is proposed, given the broad scope of this thematic area. The food security budget is also increased slightly, given the new focus on food security and agriculture in EU development cooperation. Climate change funding should also come from non-development instruments, and can therefore be slightly reduced within the DCI.

Amendment 218

Proposal for a regulation
Annex VII – paragraph 3

Text proposed by the Commission	*Amendment*
Civil Society Organisations and Local Authorities thematic programme **2 000 €**	Civil Society Organisations and Local Authorities thematic programme **10 %**

Or. en

Justification

The Commission proposed a share of the total DCI budget for this programme at the level of 8.6%. A slight increase to 10% is suggested, given the focus on human rights in the DCI and the ending of bilateral cooperation with several partner countries.

Amendment 219

Proposal for a regulation
Annex VII – paragraph 4

Text proposed by the Commission	*Amendment*
Pan African programme **1 000 €**	Pan African programme **4,3 %**

Or. en

EXPLANATORY STATEMENT

1. Background

On December 7, 2011 the European Commission's proposal for establishing a financing instrument for development cooperation was published, which started the procedure that will lead to a new legal base for the Union's development policies. This proposal contained several new aspects. Differentation is perhaps the most important element, the distinction between the least developed countries and those who have evolved into higher middle income countries. Equally important is the reduction of the number of thematic programmes from five to two, slimming down the body of the text while using annexes to specify the priorities for the geographic and thematic programmes. Simplification is another objective of the proposal, taking full benefit of the overarching goal of alignment to partner countries' policies. Country strategy papers will no longer be needed if the partner country has its own development plan.

Your rapporteur shares the principles and objectives which the Commission laid down in its proposal, but suggests several amendments, many of which reflect priorities previously expressed by Parliament as regards the Union's future development policy and its implementation.

2. Main aspects of the draft report

a) Coordination and added value

The development policies of the Union should take full advantage of the added value of the EU, combining the weight of the Member States and adding their joint political resolve to reach the full leverage the Union can achieve. This is more than just a question of efficient use of financial resources. Indeed, coordination and a smart division of labour between Member States and Commission will lead to better use of funds and this should be a priority. Therefore, your rapporteur welcomes the wording in the DCI proposal on "greater complementarity and better harmonisation, alignment with partner countries and coordination of procedures both between the Union and its Member States and in relations with other donors and development actors", to avoid overlap as well as omissions and to ensure cost-effective aid delivery. Your rapporteur would like to see the joint programming by the Union and its Member States to become a reality where ever possible.

Meanwhile, the Union's role as a donor of development aid should be more than just being the 28th European donor, and its role should also be more than that of the coordinator. The Union's potential weight can be most useful in fields that are political by nature: the promotion of democracy, good governance, the Rule of Law and human rights.

b) Differentation

An important new aspect in the Commission's proposal is the concept of differentation. As some Middle Income Countries (MICs) have found their way to the G20 (BRICS) and are providing development aid themselves (China) the need for maintaining bilateral aid programmes with these countries seems to have decreased. If budget support provided by the

EU is only a very small fraction of the overall budget of a partner country, the impact is limited. And if a country has enough wealth to build roads in Sub-Saharan Africa it should be able to provide health care for its own citizens. However, it cannot be ignored that around 70% of the world's poor live in these MICs and that the overall objective of EU development aid is to reduce this poverty.

In its proposal, the Commission rightly chooses to focus its budget for the geographic programmes on the Least Developed Countries (LDCs) and other Low Income Countries, with the argument that the EU must target its resources where they are needed most and where they will have the greatest impact. Therefore the Commission proposes to exclude 19 countries from receiving aid under the bilateral geographic programmes, using GNI per capita as the (rather robust) criterion. These countries will, however, still be eligible for the thematic programmes.

Although your rapporteur agrees with the principle that aid should be targeted where it is most needed and where it will have the most impact, he does not think that the decision for graduation can be based on the sole criterion of GNI per capita alone, as GNI does not reflect social inequalities. Furthermore, there should be more flexibility in phasing out the geographic programmes.

Therefore the following adjustments have been introduced: while the GDP per capita remains the starting point for differentiation, additional indicators are suggested which measure the extent of poverty, inequality and Human Development. In addition, qualitative criteria should be applied with regard to all middle income countries: bilateral aid should only continue if the EU has significant potential leverage and can provide added value.

Phasing out of geographic programmes is made possible, whereby close coordination should be sought with other donors and the partner country. During this transition period only specific areas should be targeted such as tax systems, social cohesion, good governance and human rights.

c) Simplification

One of the priorities of the Commission for this MFF is to simplify the regulatory environment. For DCI this means that, where possible, the Union will align to the national development plans of the partner countries and to their programming cycle. When there is an appropriate national development plan, no Country Strategy Paper (CSP) will be drafted for that partner country.

Your rapporteur agrees with this concept. However, he does not agree with the proposal by the Commission that "joint framework document" shall be used as a basis for programming, since these documents are not subject to any parliamentary control, neither at EU, nor at partner country level.

d) Human Development

In its proposal, the Commission states that at least 20% of the "global public goods and challenges" thematic programme should support social inclusion and human development. Your rapporteur is of the opinion that the term "human development and social inclusion" is too vague. Therefore he suggests strengthening this benchmark by clarifying that "*at least*

20% of the funds under this regulation should be used for supporting the provision of basic social services, as defined by the United Nations in the Millennium Development Goals". This keeps the proposal in line with the earlier position of the European Parliament and with the commitment made in the current DCI.

e) Policy Coherence for Development

Your rapporteur was surprised to note that Policy Coherence for Development was not mentioned as such in the proposal by the Commission. Only a reference to article 21 of TFEU, on overall consistency of EU's external action, was given. Therefore a specific reference to PCD has been added to several parts of the text, to stress the importance of this requirement: the achievement of the main objective of EU's development policy - poverty reduction - should not be hampered by other actions of the Union.

f) Linking Relief Rehabilitation and Development

The gap between humanitarian aid and development aid, between emergency and long term action, still remains a major challenge. The two approaches have different perspectives and different procedures and a strong political commitment is needed to bring about a better linkage. The Commission shows this commitment, but a clear message on the link between relief, rehabilitation and development (LRRD) is needed in the new DCI. In its proposal the Commission has devoted a separated article (12) on this matter, which your rapporteur has adjusted slightly. The definition of a crisis is defined and wording on natural disasters and strengthening of people's resilience is added.

g) Climate change adaptation and mitigation

The proposal supports the objective of the Commission to dedicate at least 20% of the EU budget to a low carbon and climate resilient society. However, your rapporteur has added the reference that the EU and its Member States have pledged to provide the financing for climate mitigation and adaptation measures in developing countries additional to their commitments of 0,7% GNI to ODA, as financing for climate change under DCI should not undermine or jeopardize the fight against poverty.

h) Pan African Programme

A new element in the proposal is the Pan African Programme which supports the implementation of the Joint Africa-EU Strategy on a regional, continental and global level. Although your rapporteur understands the need for this programme, some modifications were made to ensure the complementarity and consistency with the DCI regulation. Furthermore, the involvement of the European Parliament and the Pan African Parliament is established.

i) Annex IV

In order to ensure the link between the overall objectives of the DCI regulation, the areas of cooperation for the geographic programmes need to be clearly defined, while providing sufficient scope for the choice of priorities based on the dialogue with partner countries. For this reason the three themes and its subthemes suggested by the Commission are further specified in Annex IV A.

3. Horizontal elements relating to the financing instruments for the EU external action (2014-2020)

The European Parliament, as strong proponent of an ambitious, comprehensive and consistent Union foreign policy calls on for a set of coherent financing instruments for EU external action. This should ensure the promotion of the Union's objectives and values in the world based upon democracy, rule of law and human rights, in order to bring peace, security, stability and economic prosperity. The geographic and thematic financing instruments proposed by the Commission for the period 2014-2020 are essential tools for implementing this approach.

The European Parliament acts in the spirit of responsibility based on the fundamental principle of accountability of the Union towards its citizens as to the allocation of resources and the choice of objectives and strategies. The new framework for the external action should be not only resource efficient and effective, but democratically legitimate. It is therefore crucial to make future generation of financing instruments both properly funded under the next Multiannual Financial Framework as well as designed to reflect and support the political ambitions and priorities with respect of the specificity of each of the instruments and the related policies.

Using its prerogatives and powers granted under the Lisbon Treaty, as co-legislator for these instruments and as budgetary authority, the European Parliament wishes these instruments:

- to deliver clear impact and visible results on the ground, in order to achieve clear objectives agreed at political level;
- to be prepared, implemented, monitored and assessed in a transparent and inclusive way, involving as far as possible, where applicable and appropriate, partner countries at national, regional and local level, as well as civil society;
- to be construed on a logic of coordination and synergies amongst each other and with other policy lines of the EU for its external action, but also with programmes of EU Member States and international donors addressing the same thematic or geographic areas behind shared policy objectives, thus avoiding overlaps and a waste of resources and efforts, and on the contrary increasing the positive impact of Union's programmes;
- to provide mechanisms which allow for a rapid reaction to unforeseen developments such as political transitions in third countries or the emergence of new global challenges requesting adequate and timely responses by the EU, while ensuring an adequate degree of predictability for the benefit of the partner countries.

This general approach is reflected in the amendments proposed in the draft reports. In particular, the reports propose that the two co-legislators, European Parliament and Council, delegate their powers to the Commission for preparing all the strategic programming documents defining objectives, priorities, expected results and financial allocations in broad terms, and adopt these documents as delegated acts, so as to allow for flexibility while ensuring democratic legitimacy and transparency through the equal involvement of both co-legislators at this strategic level.

These Instruments should remain in force for seven years, which makes democratic control

even more important. As co-legislator, the European Parliament has the duty to ensure that these instruments are programmed and implemented in line with the spirit of the basic act. This does not mean being involved in micro-management, since the Parliament trusts the professionalism and the expertise of the Commission and the European External Action Service. However, in order to achieve one of the main goals of the Lisbon Treaty, which is to overcome the democratic deficit of the EU, EP involvement in strategic programming decisions through the procedure for delegated acts constitutes an absolute necessity.