

Amendment 172

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Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination
(COM(2018)0435 – C8-0252/2018 – 2018/0224(COD))

Proposal for a regulation**Article 9***Text proposed by the Commission**Amendment*

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Budget

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1. The financial envelope for the implementation of the Framework Programme for the period 2021 – 2027 shall be EUR **94 100 000 000 in current** prices for the specific programme referred to in Article 1(3)(a) and, in addition, the amount for the specific programme referred to in Article 1(3)(b), as laid down in Regulation.... establishing the European Defence Fund.
2. The indicative distribution of the amount referred to in paragraph 1, first half sentence, shall be:
 - (a) **EUR 25 800 000 000** for Pillar I 'Open Science' for the period 2021-2027, of which
 - (1) **EUR 16 600 000 000** for the European Research Council;

1. The financial envelope for the implementation of the Framework Programme for the period 2021 – 2027 shall be EUR **120 000 000 000 in 2018** prices for the specific programme referred to in Article 1(3)(a) and, in addition, the amount for the specific programme referred to in Article 1(3)(b), as laid down in Regulation.... establishing the European Defence Fund.
2. The indicative distribution of the amount referred to in paragraph 1, first half sentence, shall be:
 - (a) **27,42 %** for Pillar I ' **Excellent and Open Science** ' Open Science' for the period 2021-2027, of which
 - (1) **17,64 %** for the European Research Council;

- (2) **EUR 6 800 000 000** for Marie Skłodowska-Curie Actions;
- (3) **EUR 2 400 000 000** for research infrastructures;
- (b) **EUR 52 700 000 000** for Pillar II 'Global Challenges and Industrial Competitiveness' for the period 2021-2027, of which
 - (1) **EUR 7 700 000 000** for cluster 'Health';
 - (2) **EUR 2 800 000 000** for cluster 'Inclusive and *Secure* Society';
 - (3) **EUR 15 000 000 000** for cluster 'Digital *and* Industry';
 - (4) **EUR 15 000 000 000** for cluster 'Climate, Energy and Mobility';
 - (5) **EUR 10 000 000 000** for cluster 'Food *and* Natural Resources';
 - (6) **EUR 2 200 000 000** for the non-nuclear direct actions of the Joint Research Centre (JRC);
- (c) **EUR 13 500 000 000** for Pillar III '*Open Innovation*' for the period 2021-2027, of which
 - (1) **EUR 10 500 000 000** for the European Innovation Council, including up to **EUR 500 000 000** for European Innovation Ecosystems;
 - (2) **EUR 3 000 000 000** for the European Institute of Innovation and Technology (EIT);
 - (d) **EUR 2 100 000 000** for Part 'Strengthening the European Research Area' *for the period 2021-2027, of which*
 - (1) **EUR 1 700 000 000** for '*sharing excellence*';
 - (2) **EUR 400 000 000** for '*reforming and enhancing the European R&I System*'.

- (2) **7,23 %** for Marie Skłodowska-Curie Actions;
- (3) **2,55 %** for research infrastructures;
- (b) **55,78 %** for Pillar II 'Global Challenges and *European* Industrial Competitiveness' for the period 2021-2027, of which
 - (1) **8,06 %** for cluster 'Health';
 - (2) **2,20 %** for cluster 'Inclusive and *Creative* Society';
 - (2a) **1,80 %** for cluster '*Secure Societies*';
 - (3) **15,64 %** for cluster 'Digital, Industry *and Space*';
 - (4) **15,84 %** for cluster 'Climate, Energy and Mobility';
 - (5) **10,60 %** for cluster 'Food, Natural Resources *and Agriculture*';
 - (6) **1,64 %** for non-nuclear direct actions of the Joint Research Centre (JRC).
- (c) **12,41 %** for Pillar III '*Innovative Europe*' for the period 2021-2027, of which:
 - (1) **8,41 %** for the European Innovation Council (*EIC*) including up to **0.53 %** for European innovation ecosystems;
 - (2) **4 %** for the European Institute of Innovation and Technology (EIT).
 - (d) **4,39 %** for the Part 'Strengthening the European Research Area' *with the following components:*
 - (1) **4,00 %** for *spreading excellence and widening participation across the Union*;
 - (2) **0,39 %** for reforming and enhancing the European R&I System.

3. In order to respond to unforeseen situations or to new developments and needs, the Commission may, within the annual budgetary procedure, deviate from the amounts referred to in paragraph 2 up to a maximum of 10%. ***No such deviation shall be allowed in respect of the amounts referred to in points (b) (6) of paragraph 2 of this Article and the total amount set out for Part 'Strengthening the European Research Area' of paragraph 2 of this Article.***

4. The amount referred to in paragraph 1, first half sentence, may also cover expenses for preparation, monitoring, control, audit, evaluation and other activities and expenditures necessary for managing and implementing the

3. In order to respond to unforeseen situations or to new developments and needs, the Commission may, within the annual budgetary procedure, deviate from the amounts referred to in paragraph 2 up to a maximum of ***10 %, including the allocation of the contributions from associated countries.***

3a. As part of the general EU objective of mainstreaming climate actions and of spending 30 % of the EU budget in support of climate objectives, actions under the Programme shall contribute at least 35% of the expenditure of the Programme to climate objectives where appropriate.

3b. At least EUR 3 billion shall be dedicated to grants for incremental innovation in SMEs according to the instrument described in article 43.a. of this Regulation and in Annex I of the Decision.

3c. 45 % of the Inclusive and Creative Society cluster's budget will support research on cultural and creative sectors, including the Union's cultural heritage, which will include EUR 300 million to be earmarked for the creation of a European Cultural Heritage Cloud, as detailed in the Annex I of the Specific Programme following an impact assessment to be presented to the European Parliament.

3d. At least EUR 1 billion shall aim to be dedicated to Quantum Research under the Digital, Industry and Space cluster of Pillar II.

4. The amount referred to in paragraph 1, first half sentence may also cover expenses for preparation, monitoring, control, audit, evaluation and other activities and expenditures necessary for managing and implementing the

Programme, including all administrative expenditure, as well as evaluating the achievement of its objectives. It may moreover cover expenses relating to the studies, meetings of experts, information and communication actions, in so far as they are related to the objectives of the Programme, as well as expenses linked to information technology networks focusing on information processing and exchange, including corporate information technology tools and other technical and administrative assistance needed in connection with the management of the Programme.

5. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenses provided for in paragraph 4, to enable the management of actions not completed by 31 December 2027.

6. Budgetary commitments for actions extending over more than one financial year may be broken down over several years into annual instalments.

7. Without prejudice to the Financial Regulation, expenditure for actions resulting from projects included in the first work programme may be eligible as from 1 January 2021.

8. *Resources allocated to Member States under shared management and transferrable in accordance with Article 21 of Regulation (EU) XX [...Common Provisions Regulation] may, at their request, be transferred to the Programme. The Commission shall implement those resources directly in accordance with point (a) of Article 62(1) of the Financial Regulation or indirectly in accordance with point (c) of that Article. Where possible, those resources shall be used for the benefit of the Member State concerned.*

9. *Horizon Europe is designed to be implemented in synergy with other Union*

Programme, including all administrative expenditure, as well as evaluating the achievement of its objectives. ***Those expenses shall not exceed 5 % of the total amount of the Programme.*** It may moreover cover expenses relating to the studies, meetings of experts, information and communication actions, in so far as they are related to the objectives of the Programme, as well as expenses linked to information technology networks focusing on information processing and exchange, including corporate information technology tools and other technical and administrative assistance needed in connection with the management of the Programme.

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funding programmes. A non-exhaustive list of synergies with other Union funding programmes is included in Annex IV.

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