

2009 - 2014

Committee on Industry, Research and Energy

2013/0244(NLE)

6.12.2013

# **AMENDMENTS** 33 - 152

**Draft report Christian Ehler** (PE522.765v01-00)

on the Clean Sky 2 Joint Undertaking

Proposal for a regulation (COM(2013)0505 - C7-0255/2013 - 2013/0244(NLE))

 $AM \ 1011983 EN. doc$ 

 $AM\_Com\_LegReport$ 

PE524.725v01-00

2/63

Amendment 33 Jean-Pierre Audy

# Draft legislative resolution Paragraph 1

Draft legislative resolution

1. *Approves* the Commission proposal as amended;

#### Amendment

1. *Commends* the Commission proposal as amended;

Or. fr

# Amendment 34 Jean-Pierre Audy

Draft legislative resolution Paragraph 4

Draft legislative resolution

4. Asks the Council to consult Parliament again if it intends to substantially amend the Commission proposal;

#### Amendment

4. Asks the Council to consult Parliament again if it intends to substantially amend the Commission proposal *as amended*;

Or. fr

Amendment 35 Jerzy Buzek

## Proposal for a regulation Recital 3 a (new)

Text proposed by the Commission

Amendment

(3a) European Parliament resolution of 3 February 2009 on an Agenda for Sustainable Future in General and Business Aviation<sup>6a</sup> calls on the Commission to reinforce support for aeronautical research, development and innovation, in particular by SMEs that develop and build aircraft for general and business aviation;

Or. en

# Amendment 36 Christian Ehler

# **Proposal for a regulation Recital 5**

# Text proposed by the Commission

(5) In accordance with Decision (EU) No .../2013 of the Council of ... 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020)<sup>8</sup> further support should be provided to joint undertakings established under Decision No 1982/2006/EC under the conditions specified in Decision (EU) No .../2013.

## Amendment

(5) In accordance with *Regulation (EU) No .../2013[the Horizon 2020 Framework Programme] and* Decision (EU) No .../2013 of the Council of ... 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020)<sup>8</sup> further support should be provided to joint undertakings established under Decision No 1982/2006/EC under the conditions specified in Decision (EU) No .../2013.

<sup>8</sup> OJ ... [H2020 SP]

<sup>8</sup> OJ ... [H2020 SP]

Or. en

# Justification

A reference not only to the Specific Programme but also to the Framework Programme should be included, which is important to evidence the compliance with Article 19 of the Framework Programme and the principles stated there.

Amendment 37 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Recital 5

#### Text proposed by the Commission

(5) In accordance with Decision (EU) No .../2013 of the Council of ... 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020)<sup>8</sup> further support *should* be provided to joint undertakings established under Decision No 1982/2006/EC under the conditions specified in Decision (EU) No .../2013.

<sup>8</sup> OJ ... [H2020 SP]

#### Amendment

(5) In accordance with Decision (EU) No .../2013 of the Council of ... 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020)<sup>8</sup> further support *may* be provided to joint undertakings established under Decision No 1982/2006/EC under the conditions specified in Decision (EU) No .../2013.

<sup>8</sup> OJ ... [H2020 SP]

Or. en

Amendment 38 Philippe Lamberts on behalf of the Greens/EFA Group

# **Proposal for a regulation Recital 6**

# Text proposed by the Commission

(6) The Clean Sky Joint Undertaking set up by Regulation (EC) No 71/2008 of the Council of 20 December 2007 setting up the Clean Sky Joint Undertaking<sup>9</sup> is fulfilling its objectives of stimulating new research within the framework of a publicprivate partnership which enables longterm cooperation to take place among European aeronautical stakeholders. Small and medium-sized enterprises (SMEs) have participated *very extensively* in Clean Sky, with approximately 40% of the budget for calls for proposals allocated to them. The interim evaluation<sup>10</sup> of the Clean Sky Joint Undertaking has shown that the Joint Undertaking is successfully stimulating developments towards environmental targets. In addition, it has been highly successful in attracting extensive and wide-ranging participation by all Union

#### Amendment

(6) The Clean Sky Joint Undertaking set up by Regulation (EC) No 71/2008 of the Council of 20 December 2007 setting up the Clean Sky Joint Undertaking<sup>9</sup> is fulfilling its objectives of stimulating new research within the framework of a publicprivate partnership which enables longterm cooperation to take place among European aeronautical stakeholders. Small and medium-sized enterprises (SMEs) have participated *moderately* in Clean Sky, with approximately 40% of the budget for calls for proposals allocated to them. The interim evaluation<sup>10</sup> of the Clean Sky Joint Undertaking has shown that the Joint Undertaking is successfully stimulating developments towards environmental targets. It has led to new collaborations and to the participation of new organisations. Its research area should therefore continue

AM\1011983EN.doc

key industries and a large number of

*SMEs.* It has led to new collaborations and to the participation of new organisations. Its research area should therefore continue to be supported in order to achieve its objectives as set out in Article 2 of this Regulation.

<sup>9</sup> OJ L 30 4.2.2008, p.1-20
<sup>10</sup> SEC/2011/1072 final

to be supported in order to achieve its objectives as set out in Article 2 of this Regulation.

<sup>9</sup> OJ L 30 4.2.2008, p.1-20 <sup>10</sup> SEC/2011/1072 final

Or. en

# Justification

Since most of the budgetary allocation was not done through calls for proposal, the participation in absolute terms has been low.

Amendment 39 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Recital 7

# Text proposed by the Commission

(7) Continued support for the Clean Sky research programme should also take into account the experience acquired from the operations of the Clean Sky Joint Undertaking including the results of its interim evaluation and the stakeholders' recommendations<sup>11</sup>. It should be implemented using a more fit-for-purpose structure and rules in order to enhance efficiency and to ensure simplification. To this end, the Clean Sky 2 Joint Undertaking should adopt financial rules specific to its needs in accordance with Article 209 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and the Council of 25 October 2012 on the financial rules applicable to the general budget of the

# Amendment

(7) Continued support for the Clean Sky research programme should also take into account the experience acquired from the operations of the Clean Sky Joint Undertaking including the results of its interim evaluation and the stakeholders' recommendations<sup>11</sup>. It should be implemented in an open and transparent *way*, using a more fit-for-purpose structure and rules in order to enhance efficiency and to ensure simplification. To this end, the Clean Sky 2 Joint Undertaking should adopt financial rules specific to its needs in accordance with Article 209 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and the Council of 25 October 2012 on the financial rules

 $Union^{12}$ .

applicable to the general budget of the Union<sup>12</sup> .

11

http://www.cleansky.eu/sites/default/files/n ews/csjuconsultationreview\_final.pdf

<sup>12</sup> OJ L 298, 26.10.2012, p.1.

11

http://www.cleansky.eu/sites/default/files/n ews/csjuconsultationreview\_final.pdf

<sup>12</sup> OJ L 298, 26.10.2012, p.1.

Or. en

Amendment 40 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Recital 9

Text proposed by the Commission

(9) In order to achieve its objectives, the Clean Sky 2 Joint Undertaking should provide financial support, mainly in the form of grants to members and *grants to* participants following open and competitive calls for proposals.

# Amendment

(9) In order to achieve its objectives, the Clean Sky 2 Joint Undertaking should provide financial support, mainly in the form of grants to members and participants, following open and competitive calls for proposals.

Or. en

Amendment 41 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Recital 11

Text proposed by the Commission

(11) Their contributions *should also relate* to additional activities to be undertaken by the private members as specified in an additional activities plan; *in order to get a proper overview* of the *leverage effect*, *those additional activities should* 

# Amendment

(11) Their contributions *relating* to additional activities to be undertaken by the private members as specified in an additional activities plan *should be quantified*, *but shall not be counted as part* of the contributions to the Clean Sky

 $AM \ 1011983 EN. doc$ 

*represent* contributions to the *broader* Clean Sky Joint Technology Initiative. Joint Technology Initiative.

Or. en

# Amendment 42 Kent Johansson

# Proposal for a regulation Recital 12

# Text proposed by the Commission

(12) Participation in indirect actions funded by the Clean Sky 2 Joint Undertaking should comply with Regulation (EU) No ... /2013 of the European Parliament and of the Council of ... 2013 laying down the rules for the participation and dissemination in Horizon 2020 the Framework Programme for Research and Innovation (2014-2020)<sup>13</sup>.

# Amendment

(12) Participation in indirect actions funded by the Clean Sky 2 Joint Undertaking should comply with Regulation (EU) No ... /2013 of the European Parliament and of the Council of ... 2013 laying down the rules for the participation and dissemination in Horizon 2020 the Framework Programme for Research and Innovation (2014-2020)<sup>13</sup>. The contract structure should not be different from that in Horizon 2020 and additional contract levels that risk putting unnecessary administrative burden on participants should be avoided.

<sup>13</sup> OJ ... [H2020 RfP]

<sup>13</sup> OJ ... [H2020 RfP]

Or. en

# Justification

Participants perceive that Clean Sky invented a structure with three levels of contracts, instead of the two levels that was usually used in FP7.

Amendment 43 Christian Ehler

# Proposal for a regulation Recital 13

#### Text proposed by the Commission

(13) The Union financial contribution should be managed in accordance with the principle of sound financial management and with the rules on indirect management set out in Regulation (EU, Euratom) No 966/2012 and Commission delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012<sup>14</sup>.

#### Amendment

(13) The Union financial contribution should be managed in accordance with the principle of sound financial management and with the rules on indirect management set out in *Article 60 paragraphs 1 to 4 of* Regulation (EU, Euratom) No 966/2012 and Commission delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012<sup>14</sup>.

<sup>14</sup> OJ L 362 31.12.2012, p.1

<sup>14</sup> OJ L 362 31.12.2012, p.1

Or. en

## Amendment 44 Jean-Pierre Audy

# Proposal for a regulation Recital 16

## Text proposed by the Commission

(16) The Commission's internal auditor should exercise the same powers over the Clean Sky 2 Joint Undertaking as those exercised in respect of the Commission.

#### Amendment

(16) The Commission's internal auditor should exercise the same powers over the Clean Sky 2 Joint Undertaking as those exercised in respect of the Commission. *The same should apply to the European Court of Auditors and the European Parliament.* 

Or. fr

Amendment 45 Christian Ehler

## Proposal for a regulation Recital 17

Text proposed by the Commission

(17) In accordance with Article 287(1) of the Treaty, the constituent instrument of bodies, offices or agencies set up by the Union may preclude the examination of the accounts of all revenue and expenditure of those bodies, offices or agencies by the Court of Auditors. In accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012, the accounts of the bodies set up under Article 209 of Regulation (EU, Euratom) No 966/2012 are to be examined by an independent audit body which is to give an opinion inter alia on the reliability of the accounts and the legality and regularity of the underlying transactions. Avoidance of duplication of the examination of the accounts justifies that the accounts of the Clean Sky 2 Joint Undertaking should not be subject to examination by the Court of Auditors.

Amendment

deleted

Or. en

Amendment 46 Silvia-Adriana Țicău

#### Proposal for a regulation Recital 17

Text proposed by the Commission

(17) In accordance with Article 287(1) of the Treaty, the constituent instrument of bodies, offices or agencies set up by the Union may preclude the examination of the accounts of all revenue and expenditure of those bodies, offices or agencies by the Court of Auditors. In accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012, the accounts of the bodies set up under Article 209 of Regulation (EU, Euratom)

#### Amendment

(17) In accordance with Article 287 *TFEU*, *bodies, offices or agencies set up* by the Union *shall be examined* by the Court of Auditors which is to *issue* an opinion on the reliability of the accounts and the legality and regularity of the underlying transactions. Avoidance of duplication of the examination of the accounts justifies that the accounts of the Clean Sky 2 Joint Undertaking should not be subject to examination by the Court of Auditors.

*No 966/2012 are to be examined by an independent audit body* which is to *give* an opinion *inter alia* on the reliability of the accounts and the legality and regularity of the underlying transactions. Avoidance of duplication of the examination of the accounts justifies that the accounts of the Clean Sky 2 Joint Undertaking should not be subject to examination by the Court of Auditors.

### Amendment 47 Jean-Pierre Audy

# Proposal for a regulation Recital 17

### Text proposed by the Commission

(17) In accordance with Article 287(1) of the Treaty, the constituent instrument of bodies, offices or agencies set up by the Union may preclude the examination of the accounts of all revenue and expenditure of those bodies, offices or agencies by the Court of Auditors. In accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012, the accounts of the bodies set up under Article 209 of Regulation (EU, Euratom) No 966/2012 are to be examined by an independent audit body which is to give an opinion inter alia on the reliability of the accounts and the legality and regularity of the underlying transactions. Avoidance of duplication of the examination of the accounts justifies that the accounts of the Clean Sky 2 Joint Undertaking should not be subject to examination by the Court of Auditors.

Or. ro

### Amendment

(17) In accordance with Article 287(1) of the Treaty, the constituent instrument of bodies, offices or agencies set up by the Union may preclude the examination of the accounts of all revenue and expenditure of those bodies, offices or agencies by the Court of Auditors. In accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012, the accounts of the bodies set up under Article 209 of Regulation (EU, Euratom) No 966/2012 are to be examined by an independent audit body which is to give an opinion inter alia on the reliability of the accounts and the legality and regularity of the underlying transactions. Notwithstanding the abovementioned opinion, which does not constitute an audit, the accounts of the Clean Sky 2 Joint Undertaking should be subject to examination by the Court of Auditors

Or. fr

#### Justification

There is no justification for exempting Clean Sky 2 accounts from examination by the Court of Auditors. Even where delivered by an independent audit body, the opinion does not in itself constitute an audit giving third parties such as the European Union and its Parliament a high degree of assurance that the accounts are a true and fair reflection of the situation of the undertaking and that the underlying transactions are legal and regular.

Amendment 48 Philippe Lamberts on behalf of the Greens/EFA Group

#### Proposal for a regulation Recital 17

#### Text proposed by the Commission

(17) In accordance with Article 287(1) of the Treaty, the constituent instrument of bodies, offices or agencies set up by the Union may preclude the examination of the accounts of all revenue and expenditure of those bodies, offices or agencies by the Court of Auditors. In accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012, the accounts of the bodies set up under Article 209 of Regulation (EU, Euratom) No 966/2012 are to be examined by an independent audit body which is to give an opinion inter alia on the reliability of the accounts and the legality and regularity of the underlying transactions. Avoidance of duplication of the examination of the accounts justifies that the accounts of the Clean Sky 2 Joint Undertaking should not be subject to examination by the Court of Auditors.

#### Amendment

(17) In accordance with Article 287(1) of the Treaty, the constituent instrument of bodies, offices or agencies set up by the Union may preclude the examination of the accounts of all revenue and expenditure of those bodies, offices or agencies by the Court of Auditors. In accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012, the accounts of the bodies set up under Article 209 of Regulation (EU, Euratom) No 966/2012 are to be examined by an independent audit body which is to give an opinion inter alia on the reliability of the accounts and the legality and regularity of the underlying transactions.

Or. en

Amendment 49 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Recital 19 a (new)

Text proposed by the Commission

#### Amendment

(19a) By the end of 2017, as part of the Horizon 2020 interim evaluation, both existing and new public-private partnerships, including the JTIs, should be subject to an in-depth assessment, which should include, inter alia, an analysis of their openness, transparency and effectiveness.

Or. en

# Amendment 50 Maria Da Graça Carvalho

# Proposal for a regulation Recital 20 a (new)

Text proposed by the Commission

Amendment

(20a) With a view to the overall aim of Horizon 2020 of achieving greater simplification and harmonisation of the European research and innovation funding landscape Joint Undertakings should avoid different sets of rules from Horizon 2020.

Or. en

Amendment 51 Maria Da Graça Carvalho

Proposal for a regulation Recital 20 b (new)

Text proposed by the Commission

Amendment

(20b) In order to help close the research and innovation divide in Europe,

AM\1011983EN.doc

complementarity and close synergies should be developed with the Structural Funds. Where possible, interoperability between the two instruments will be promoted. Cumulative or combined funding will be encouraged. In this context, measures will aim at fully exploiting the potential of Europe's talent pool and thereby optimising the economic and social impact of research and innovation and will be distinct yet complementary with regard to policies and actions of the Cohesion Policy funds.

Or. en

Amendment 52 Maria Da Graça Carvalho

#### Proposal for a regulation Recital 20 c (new)

Text proposed by the Commission

Amendment

(20c) Measures that promote the participation of SME, Universities and Research Centres should be implemented. In this context, barriers preventing the participation of newcomers to the program should be identified and addressed.

Or. en

# Amendment 53 Jerzy Buzek

Proposal for a regulation Article 2 – paragraph 2 – introductory part

Text proposed by the Commission

2. To contribute to *the objectives* of the *Joint Technology Initiative on Clean Sky* 

2. To contribute to *improving the environmental impact* of the *aeronautical* 

2, in particular *to integrate, demonstrate and validate* technologies capable of: technologies as well as to developing a strong and globally competitive aeronautical industry and supply chain in Europe.

This should be realised through speeding up the development of cleaner air transport technologies for earliest possible deployment, and in particular the integration, demonstration and validation of technologies capable of:

Or. en

#### Amendment 54 Jerzy Buzek

Proposal for a regulation Article 2 – paragraph 2 – point b a (new)

Text proposed by the Commission

Amendment

(ba) improving safety, environmental impact and lowering operation costs of small aircraft.

Or. en

Amendment 55 Jean-Pierre Audy

# Proposal for a regulation Article 3 – paragraph 1

Text proposed by the Commission

1. The maximum Union contribution, including EFTA *appropriations*, to the Clean Sky 2 Joint Undertaking, to cover administrative costs and operational costs, shall be EUR 1,8 billion. The contribution shall be paid from the appropriations in the general budget of the Union allocated to the Horizon 2020 Specific Programme implementing the Horizon 2020

## Amendment

1. The maximum Union contribution, including *contributions from the Member States of the European Free Trade Area* (EFTA), to the Clean Sky 2 Joint Undertaking, to cover administrative costs and operational costs, shall be EUR 1,8 billion. The contribution shall be paid from the appropriations in the general budget of the Union allocated to the

AM\1011983EN.doc

Framework Programme in accordance with Article 58(1)(c)(iv), Article 60 and Article 61 of Regulation (EU, Euratom) No 966/2012 for bodies referred to in Article 209 of that Regulation. Horizon 2020 Specific Programme implementing the Horizon 2020 Framework Programme in accordance with Article 58(1)(c)(iv), Article 60 and Article 61 of Regulation (EU, Euratom) No 966/2012 for bodies referred to in Article 209 of that Regulation.

Or. fr

# Amendment 56 Christian Ehler

# Proposal for a regulation Article 3 – paragraph 1

## Text proposed by the Commission

1. The maximum Union contribution, including EFTA appropriations, to the Clean Sky 2 Joint Undertaking, to cover administrative costs and operational costs, shall be EUR 1,8 billion. The contribution shall be paid from the appropriations in the general budget of the Union allocated to the Horizon 2020 Specific Programme implementing the Horizon 2020 Framework Programme in accordance with Article 58(1)(c)(iv), Article 60 and Article 61 of Regulation (EU, Euratom) No 966/2012 for bodies referred to in Article 209 of that Regulation.

# Amendment

1. The maximum Union contribution, including EFTA appropriations, to the Clean Sky 2 Joint Undertaking, to cover administrative costs and operational costs, shall be EUR **1,575** billion. The contribution shall be paid from the appropriations in the general budget of the Union allocated to the Horizon 2020 Specific Programme implementing the Horizon 2020 Framework Programme in accordance with Article 58(1)(c)(iv), Article 60 *paragraphs 1 to 4* and Article 61 of Regulation (EU, Euratom) No 966/2012 for bodies referred to in Article 209 of that Regulation.

Or. en

# Justification

The rapporteur proposes to cut the budget of the CS2 JU by 12,5% as a consequence of the overall reduction of the Horizon 2020 envelope within the MFF, in order not to jeopardise the sensitive balance of funding for collaborative research in transport on one hand and funding for the JTI on the other hand. The same cut should apply to other JTIs. At the same time, he proposes to shorten the runtime of CS2 by 4 years, implying the set-up of a renewed budget under the next MFF after 2020.

Amendment 57 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Article 3 – paragraph 1

# Text proposed by the Commission

1. The maximum Union contribution, including EFTA appropriations, to the Clean Sky 2 Joint Undertaking, to cover administrative costs and operational costs, shall be EUR 1,8 billion. The contribution shall be paid from the appropriations in the general budget of the Union allocated to the Horizon 2020 Specific Programme implementing the Horizon 2020 Framework Programme in accordance with Article 58(1)(c)(iv), Article 60 and Article 61 of Regulation (EU, Euratom) No 966/2012 for bodies referred to in Article 209 of that Regulation.

## Amendment

1. The maximum Union contribution, including EFTA appropriations, to the Clean Sky 2 Joint Undertaking, to cover administrative costs and operational costs, shall be EUR 0,6 billion. The contribution shall be paid from the appropriations in the general budget of the Union allocated to the Horizon 2020 Specific Programme implementing the Horizon 2020 Framework Programme in accordance with Article 58(1)(c)(iv), Article 60 and Article 61 of Regulation (EU, Euratom) No 966/2012 for bodies referred to in Article 209 of that Regulation.

Or. en

## Justification

The European aeronautic industry is in very good shape. Airbus, main partner and recipient of Clean Sky, announced in July 2013 (Bourget exhibition) that its order books were full for the next 7 years with orders for more than 5000 planes. Since then (Beijing exhibition) order books further increased. Funding for R&D in this area should be contributed by a larger share by the industry, while Horizon 2020 public funds should focus on areas where private sector funds cannot be delivered.

## Amendment 58 Christian Ehler

## Proposal for a regulation Article 3 – paragraph 3 – introductory part

Text proposed by the Commission

3. The delegation agreement referred to in

AM\1011983EN.doc

# 17/63

PE524.725v01-00

Amendment

3. The delegation agreement referred to in

paragraph 2 shall cover the aspects set out in Article 58(3), Article 60 and Article 61 of Regulation (EU, Euratom) No 966/2012 and in Article 40 of Commission delegated Regulation (EU) No 1268/2012, as well as inter alia the following: paragraph 2 shall cover the aspects set out in Article 58(3), Article 60 *paragraphs 1 to 4* and Article 61 of Regulation (EU, Euratom) No 966/2012 and in Article 40 of Commission delegated Regulation (EU) No 1268/2012, as well as inter alia the following:

Or. en

## Amendment 59 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Article 3 – paragraph 3 – point c

Text proposed by the Commission

(c) the specific performance indicators related to the functioning of the Clean Sky 2 Joint Undertaking;

# Amendment

(c) the specific performance indicators related to the functioning of the Clean Sky 2 Joint Undertaking *including how they contribute to fulfilling the objective of Horizon 2020 societal challenge "Smart Green and Integrated Transport"*;

Or. en

# Amendment 60 Maria Da Graça Carvalho

# Proposal for a regulation Article 3 – paragraph 3 – point d

Text proposed by the Commission

(d) the arrangements regarding the provision of data necessary to ensure that the Commission is able to meet its dissemination and reporting obligations;

# Amendment

(d) the arrangements regarding the provision of data necessary to ensure that the Commission is able to meet its dissemination and reporting obligations, *including complete information on all proposals and grant agreements and their partners to be inserted into the global H2020 database [ECORDA] in a timely* 

#### manner;

Or. en

Amendment 61 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Article 4 – paragraph 2 – introductory part

Text proposed by the Commission

The contribution referred to in paragraph 1 shall consist of the *following:* 

## Amendment

The contribution referred to in paragraph 1 shall consist of the *contributions to the Clean Sky 2 Joint Undertaking as laid down in clause 15(2) and clause 15(3)(b) of the Statutes set out in Annex I.* 

Or. en

Amendment 62 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Article 4 – paragraph 2 – point a

Text proposed by the Commission

(a) contributions to the Clean Sky 2 Joint Undertaking as laid down in clause 15(2) and clause 15(3)(b) of the Statutes set out in Annex I. Amendment

deleted

Or. en

(Text included into the introductory text of Article 4 paragraph 2.)

Justification

Should be accounted as contributions to the joint undertaking only contributions for administrative and operational costs, involving the participation of the members in the

AM\1011983EN.doc

programme. Any additional activity outside the scope of the programme, may be quantified for information purposes but should not count in the matching of the EU funds.

Amendment 63 Philippe Lamberts on behalf of the Greens/EFA Group Proposal for a regulation Article 4 – paragraph 2 – point b

Text proposed by the Commission

(b) in-kind contributions of at least EUR 990 million over the period defined in Article 1 by the members other than the Union or their affiliated entities, consisting of the costs incurred by them in implementing additional activities outside the work plan of the Clean Sky 2 Joint Undertaking contributing to the objectives of the Clean Sky Joint Technology Initiative. Other Union funding programmes may support those costs in compliance with the applicable rules and procedures. In such cases, Union financing shall not substitute for the inkind contributions from the Members other than the Union or their affiliated entities.

Amendment

deleted

Or. en

Amendment 64 Antonio Cancian, Jean-Pierre Audy

Proposal for a regulation Article 4 – paragraph 2 – point b

Text proposed by the Commission

(b) *in-kind* contributions of at least EUR 990 million over the period defined in Article 1 by the members other than the Union or their affiliated entities, consisting of the costs incurred by them in

## Amendment

(b) contributions *for additional activities* of at least EUR 990 million over the period defined in Article 1 by the members other than the Union or their affiliated entities, consisting of the costs incurred by them in

implementing additional activities outside the work plan of the Clean Sky 2 Joint Undertaking contributing to the objectives of the Clean Sky Joint Technology Initiative. Other Union funding programmes may *support* those *costs* in compliance with the applicable rules and procedures. In such cases, Union financing shall not substitute for the *in-kind contributions* from the Members other than the Union or their affiliated entities. implementing additional activities outside the work plan of the Clean Sky 2 Joint Undertaking contributing to the objectives of the Clean Sky Joint Technology Initiative. Other Union funding programmes may *complement* those *activities* in compliance with the applicable rules and procedures. In such cases, Union financing shall not substitute for the *additional activities* from the Members other than the Union or their affiliated entities.

Or. en

# Amendment 65 Antonio Cancian, Jean-Pierre Audy

# Proposal for a regulation Article 4 – paragraph 2 – subparagraph 2

Text proposed by the Commission

The costs referred to in point (b) shall not be eligible for financial support by the Clean Sky 2 Joint Undertaking. *The corresponding activities shall be set out in an additional activities plan that shall indicate the estimated value of those contributions.* 

## Amendment

The costs referred to in point (b) shall not be eligible for financial support by the Clean Sky 2 Joint Undertaking.

Or. en

Amendment 66 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Article 4 – paragraph 2 – subparagraph 2

Text proposed by the Commission

*The costs referred to in point (b)* shall not be eligible for financial support by the

AM\1011983EN.doc

Any cost incurred over the period defined in Article 1 by the members other than the

Amendment

Clean Sky 2 Joint Undertaking. The corresponding activities shall be set out in an additional activities plan that shall indicate the estimated value of those contributions.

Union or their affiliated entities, for implementing additional activities outside the work plan of the Clean Sky 2 Joint Undertaking contributing to the objectives of the Clean Sky Joint Technology Initiative shall be quantified but shall in any case not substitute for the in-kind contributions from the Members other than the Union or their affiliated entities. *They* shall not be eligible for financial support by the Clean Sky 2 Joint Undertaking. Other Union funding programmes may support those costs in compliance with the applicable rules and *procedures*. The corresponding activities shall be set out in an additional activities plan that shall indicate the estimated value of those contributions.

Or. en

# Justification

Should be accounted as contributions to the joint undertaking only contributions for administrative and operational costs, involving the participation of the members in the programme. Any additional activity outside the scope of the programme, may be quantified for information purposes but should not count in the matching of the EU funds.

Amendment 67 Antonio Cancian, Jean-Pierre Audy

**Proposal for a regulation Article 4 – paragraph 3** 

Text proposed by the Commission

3. The members of the Clean Sky 2 Joint Undertaking other than the Union shall report each year by 31 January to the Governing Board of the Clean Sky 2 Joint Undertaking on the value of the contributions referred to in paragraph 2 made in each of the previous financial years.

#### Amendment

3. The private Members shall update and inform on the Declaration of additional activities when needed and at least two times during the Clean Sky 2 Programme duration.

### Justification

Additional activities are specified in the Industry Declaration handed over to the European Commission on 28th May 2013 and are a political commitment with no contractual implications. The way of informing, updating, revising is also stated in the declaration. Those activities, outside the CS2 work plan, shall not be subject to reporting.

#### Amendment 68 Vittorio Prodi

## Proposal for a regulation Article 4 – paragraph 4

#### Text proposed by the Commission

4. For the purpose of valuing the contributions referred to in point (b) of paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The costs shall be certified by an independent external auditor appointed by the entity concerned. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. In case of remaining uncertainties, it may be audited by the Clean Sky 2 Joint Undertaking.

#### Amendment

4. For the purpose of valuing the contributions referred to in point (b) of paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. Members other that the Union who voluntary committed additional contributions will communicate updates on the execution of this commitment.

Or. en

Amendment 69 Antonio Cancian, Jean-Pierre Audy

**Proposal for a regulation Article 4 – paragraph 4** 

AM\1011983EN.doc

# Text proposed by the Commission

4. For the purpose of valuing the contributions referred to *in point (b) of* paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The *costs shall be certified by* an independent external auditor appointed by the entity concerned. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. In case of remaining uncertainties, it may be audited by the Clean Sky 2 Joint Undertaking.

# Amendment

4. For the purpose of valuing the contributions referred to clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. The costs incurred in additional activities shall not be audited by the Clean Sky 2 Joint Undertaking or by any other Union body.

Or. en

# Justification

The additional activities which are not co-financed by the Union should not be audited.

Amendment 70 Christian Ehler

Proposal for a regulation Article 4 – paragraph 4

## Text proposed by the Commission

4. For the purpose of valuing the contributions referred to in point (b) of paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity

# Amendment

4. For the purpose of valuing the contributions referred to in point (b) of paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity

is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The costs shall be certified by an independent external auditor appointed by the entity concerned. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. In case of remaining uncertainties, it may be audited by the Clean Sky 2 Joint Undertaking.

is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The costs of the contributions referred to in clause 15(3)(b) of the Statutes set out in Annex I shall be certified by an independent external auditor appointed by the entity concerned. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. In case of remaining uncertainties, it may be audited by the Clean Sky 2 Joint Undertaking. The entity concerned shall present annually in a report its additional activities to the general public.

Or. en

# Justification

While the additional activities to be carried out by private members are a welcome addition to the leverage effect of the JTI, they should not be subject to auditing. Additional activities should be planned and carried-out accordingly, determined clearly as in-kind contributions, and reported on annually. Eventually the amount of 990 Mio has to be met. But these activities are not co-financed by the Union and should thus be exempt from control other than through the JU.

Amendment 71 Philippe Lamberts on behalf of the Greens/EFA Group

## Proposal for a regulation Article 4 – paragraph 4

## Text proposed by the Commission

4. For the purpose of valuing the contributions referred to in point (b) of paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity

## Amendment

4. For the purpose of valuing the contributions referred to in point (b) of paragraph 2 and clause 15(3)(b) of the Statutes set out in Annex I, the costs shall be determined according to the usual cost accounting practices of the entities concerned, to the applicable accounting standards of the country where each entity

AM\1011983EN.doc

is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The costs shall be certified by an independent external auditor appointed by the entity concerned. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. In case of remaining uncertainties, it may be audited by the Clean Sky 2 Joint Undertaking. is established, and to the applicable International Accounting Standards / International Financial Reporting Standards. The costs shall be certified by an independent external auditor appointed by the entity concerned. The valuation of the contributions shall be verified by the Clean Sky 2 Joint Undertaking. In case of remaining uncertainties, it may be audited by the Clean Sky 2 Joint Undertaking *and the Court of Auditors*.

Or. en

## Amendment 72 Antonio Cancian, Jean-Pierre Audy

## **Proposal for a regulation Article 4 – paragraph 5**

Text proposed by the Commission

5. The Commission may terminate, proportionally reduce or suspend the Union financial contribution to the Clean Sky 2 Joint Undertaking or trigger the winding up procedure referred to in clause 24(2) of the Statutes set out in Annex I if those members or their affiliated entities do not contribute, contribute only partially or contribute late with regard to the contributions referred to in paragraph 2. Amendment

deleted

Or. en

Justification

Additional activities should not be the basis for any EC financial sanction.

Amendment 73 Philippe Lamberts on behalf of the Greens/EFA Group

# **Proposal for a regulation Article 4 – paragraph 5**

### Text proposed by the Commission

5. The Commission *may* terminate, proportionally reduce or suspend the Union financial contribution to the Clean Sky 2 Joint Undertaking or trigger the winding up procedure referred to in clause 24(2) of the Statutes set out in Annex I if those members or their affiliated entities do not contribute, contribute only partially or contribute late with regard to the contributions referred to in paragraph 2.

## Amendment

5. The Commission *shall* terminate, proportionally reduce or suspend the Union financial contribution to the Clean Sky 2 Joint Undertaking or trigger the winding up procedure referred to in clause 24(2) of the Statutes set out in Annex I *if the objectives of the funding are not fulfilled by the Joint Undertaking and* if those members or their affiliated entities do not contribute, contribute only partially or contribute late with regard to the contributions referred to in paragraph 2.

Or. en

# Amendment 74 Christian Ehler

# Proposal for a regulation Article 5 – paragraph 1

## Text proposed by the Commission

The Clean Sky 2 Joint Undertaking shall adopt its specific financial rules in accordance with Article 209 of Regulation (EU, Euratom) No 966/2012 and Regulation (EU) No ... [Delegated Regulation on the model Financial Regulation for PPPs].

## Amendment

*Notwithstanding Article 12 of this Regulation,* the Clean Sky 2 Joint Undertaking shall adopt its specific financial rules in accordance with Article 209 of Regulation (EU, Euratom) No 966/2012 and Regulation (EU) No ... [Delegated Regulation on the model Financial Regulation for PPPs].

Or. en

Amendment 75 Jean-Pierre Audy

# Proposal for a regulation Article 6 – paragraph 2 – subparagraph 2

# Text proposed by the Commission

The Governing Board shall adopt, in accordance with Article 110 of the Staff Regulations, a decision based on Article 2(1) of the Staff Regulations and Article 6 of the Conditions of Employment of Other Servants delegating the relevant appointing authority powers to the Executive Director and defining the conditions under which this delegation of powers can be suspended. The Executive Director is authorised to sub-delegate those powers.

# Amendment

The Governing Board shall adopt, in accordance with Article 110 of the Staff Regulations, a decision based on Article 2(1) of the Staff Regulations and Article 6 of the Conditions of Employment of Other Servants delegating the relevant appointing authority powers to the Executive Director and defining the conditions under which this delegation of powers can be suspended. The Executive Director is authorised to sub-delegate those powers. *The Executive Director shall report at the next meeting of the Governing Board on the delegation or sub-delegation of these powers*.

Or. fr

Amendment 76 Jean-Pierre Audy

Proposal for a regulation Article 6 – paragraph 2 – subparagraph 3

Text proposed by the Commission

Amendment

*Where exceptional circumstances so require, the* Governing Board may by way of a decision temporarily suspend the delegation of the appointing authority powers to the Executive Director and those sub-delegated by the latter and exercise *The* Governing Board may by way of a *substantiated* decision temporarily suspend the delegation of the appointing authority powers to the Executive Director and those sub-delegated by the latter and exercise them itself or delegate them to one of its

them itself or delegate them to one of its members or to a staff member of the Joint Undertaking other than the Executive Director. members or to a staff member of the Joint Undertaking other than the Executive Director.

Or. fr

#### Justification

Suspension or revocation of delegation must be possible at any time without the need for the Governing Board to justify it on the grounds of exceptional circumstances.

Amendment 77 Jean-Pierre Audy

Proposal for a regulation Article 8 – paragraph 1

Text proposed by the Commission

The Protocol on the Privileges and Immunities of the Union shall apply to the Clean Sky 2 Joint Undertaking *and its staff.*  Amendment

The Protocol on the Privileges and Immunities of the Union shall apply to the *Executive Director and members of the Governing Board of the* Clean Sky 2 Joint Undertaking.

Or. fr

## Justification

There are no grounds for extending the privileges and immunities of the European Union to all officials but only to those whose responsibilities justify it.

Amendment 78 Jean-Pierre Audy

Proposal for a regulation Article 9 – paragraph 2

Text proposed by the Commission

2. In the event of non-contractual liability, the Clean Sky 2 Joint Undertaking shall

#### Amendment

2. In the event of non-contractual liability, the Clean Sky 2 Joint Undertaking shall

 $AM \ 1011983 EN. doc$ 

make good any damage caused by its staff in the performance of their duties, in accordance with the general principles common to the laws of the Member States. make good any damage caused by its staff *and members of the Governing Board* in the performance of their duties, in accordance with the general principles common to the laws of the Member States.

Or. fr

fr

fr

Amendment 79 Jean-Pierre Audy			
Proposal for a regulation Article 10 – paragraph 1 – point c			
Text proposed by the Commission		Amendment	
(c) in disputes relating to compensation for damage caused by the staff of the Clean Sky 2 Joint Undertaking in the performance of their duties;	deleted		
			Or.
Amendment 80 Jean-Pierre Audy			
Proposal for a regulation Article 10 – paragraph 2			
Text proposed by the Commission		Amendment	
2. Regarding any matter not covered by this Regulation or by other acts of Union law, the law of the State where the seat of the Clean Sky 2 Joint Undertaking is located shall apply.	deleted		
			Or.
Amendment 81			

Amendment 81 Philippe Lamberts on behalf of the Greens/EFA Group

PE524.725v01-00

AM\1011983EN.doc

# **Proposal for a regulation Article 11 – paragraph 1**

### Text proposed by the Commission

1. By 31 *December* 2017 the Commission shall conduct an interim evaluation of the Clean Sky 2 Joint Undertaking. The Commission shall send the conclusions of the evaluation, and its observations, to the European Parliament and to the Council by 30 *June* 2018.

### Amendment

1. By 31 *July* 2017 the Commission shall conduct an interim evaluation of the Clean Sky 2 Joint Undertaking. The Commission shall send the conclusions of the evaluation, and its observations, to the European Parliament and to the Council by 30 *January* 2018.

Or. en

Amendment 82 Silvia-Adriana Țicău

# Proposal for a regulation Article 11 – paragraph 1

Text proposed by the Commission

1. By *31 December* 2017 the Commission shall conduct an interim evaluation of the Clean Sky 2 Joint Undertaking. The Commission shall send the conclusions of the evaluation, and its observations, to the European Parliament and to the Council by *30 June 2018*.

#### Amendment

1. By *30 June* 2017 the Commission shall conduct an interim evaluation of the Clean Sky 2 Joint Undertaking. The Commission shall send the conclusions of the evaluation, and its observations, to the European Parliament and to the Council by *31 December 2017*.

Or. ro

Amendment 83 Jean-Pierre Audy

Proposal for a regulation Article 12 – paragraph 1

Text proposed by the Commission

1. The discharge of the budget *implementation with regard to the Union* 

# Amendment

1. The discharge of the budget *of* the Clean Sky 2 Joint Undertaking shall be given by

 $AM \ 1011983 EN. doc$ 

*contribution to* the Clean Sky 2 Joint Undertaking shall be *part of the discharge* given by the European Parliament, upon recommendation of the Council, *to the Commission in accordance with the* procedure provided for in Article 319 of the Treaty. the European Parliament on the recommendation of the Council following a procedure *comparable to that* provided for in Article 319 of the Treaty *on the Functioning of the European Union and Articles 164 to 166 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council, and based on the audit report of the Court of Auditors.* 

Or. fr

#### Justification

It is important for joint undertakings to be subject to audit by the European Court of Auditors and to the same discharge procedures as the European Commission, with the European Parliament exercising budgetary and political control.

# Amendment 84 Christian Ehler

## Proposal for a regulation Article 12 – paragraph 1

## Text proposed by the Commission

1. The discharge of the budget implementation with regard to the Union contribution to the Clean Sky 2 Joint Undertaking shall be *part of the discharge* given by the European Parliament, *upon* recommendation of the Council, *to the Commission* in accordance with *the* procedure *provided for* in Article 319 *of the Treaty*.

### Amendment

1. The discharge of the budget implementation with regard to the Union contribution to the Clean Sky 2 Joint Undertaking shall be given by the European Parliament *on the* recommendation of the Council *before 15 May of year n+2* in accordance with *a* procedure *comparable to the one outlined* in Article 319 *TFEU and Articles 164 and 165 of Regulation (EU, Euratom) No 966/2012 and provided for by the financial rules of the Clean Sky 2 Joint Undertaking*.

Or. en

Amendment 85 Jean-Pierre Audy

# Proposal for a regulation Article 12 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. If the European Parliament refuses to grant discharge, the Executive Director shall tender his resignation to the Governing Board, which shall take its final decision in the light of the circumstances.

Or. fr

## Justification

For the credibility of the discharge procedure, it is important that, following a refusal to grant discharge, the decision regarding the Executive Director be placed in the hands of the Governing Board. This procedure does not constitute dismissal but simply requires the Executive Director to tender his resignation to the Governing Board, which will then decide what action to take.

Amendment 86 Jean-Pierre Audy

Proposal for a regulation Article 14 – paragraph 5 a (new)

Text proposed by the Commission

#### Amendment

5a. The staff of the Joint Undertaking, the Executive Director and the members of the Governing Board shall without delay notify OLAF of any instances of fraud which have come to their attention in the fulfilment of their duties or remit, without in any way being made accountable for them as a result. If they fail to meet this obligation and to inform OLAF of instances of fraud known to them, they shall become personally liable for the consequences thereof.

Or. fr

# Justification

Anti-fraud measures should be a priority for the Union. This notification procedure, which already operates in at least one Member State, is a very useful instrument for this purpose.

Amendment 87 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Article 16 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. Members of the Governing Board, of the Steering Committees, of the Scientific Committee and the Executive Director shall make publicly available and keep updated a declaration of full professional activities, of financial interests and of conflict of interests. The declarations shall contain the following information :

(a) the person occupation and his/her membership of boards or committees of private companies, non-governmental organisations and associations;

(b) holdings in companies or partnerships where there are potential public policy implications or where that holding gives the person significant influence over the affairs;

Or. en

Amendment 88 Christian Ehler

Proposal for a regulation Article 17 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

In accordance with the principles of transparency and non-discrimination as laid out in Articles 35, 60(1) and 128(1) of

PE524.725v01-00

AM\1011983EN.doc

Regulation (EU, Euratom) No 966/2012 and Article 16 of this Regulation, calls for proposals as well as calls for expressions of interest organised by the Clean Sky 2 Joint Undertaking shall be published on the web-based Horizon 2020 Participant Portal.

Or. en

Justification

During the Horizon 2020 trilogue negotiations the institutions agreed to promote a greater coherence of all call possibilities financed under Horizon 2020. To this effect, the Commission promised to promote the publication of CfPs and CfEIs organised by the JTIs on the Horizon 2020 Participant Portal. All of them agreed to follow this approach. This amendment aims to turn a self-obligation into a legal requirement, guaranteeing simple and accessible information for applicants.

Amendment 89 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Article 17 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

Exemption to the Rules of Participation with regards to eligibility of funding to one single entity shall be applied on exceptional basis.

Or. en

# Justification

According to the current proposal, only 30% of the budget would be allocated through open and competitive calls, the rest being pre-allocated to members and partners of Clean Sky. If the funds allocated through calls are all single contracts to single companies, it is effectively becoming a private procurement process for the Clean Sky members with public funds. The exemption to collaborative European research should only be applied in exceptional cases.

# Amendment 90 Teresa Riera Madurell

# Proposal for a regulation Article 19 – paragraph 5

Text proposed by the Commission

5. *Any unused* appropriations under *Regulation (EC) No 71/2008 shall be transferred* to the Clean Sky 2 Joint Undertaking.

# Amendment

5. Only the indispensable appropriations needed to cover the administrative costs derived from calls for proposals under the IMI Joint Undertaking (IMI1) shall be transferred to the Clean Sky 2 Joint Undertaking from the unused appropriations under Regulation (EC) No 71/2008

Or. en

Amendment 91 Jean-Pierre Audy

# Proposal for a regulation Annex 1 – part 1 – point a

Text proposed by the Commission

(a) 'Associate' means a legal entity that has been selected under Regulation (EC) No 71/2008 and has accepted the present Statutes *by signing a letter of endorsement* and whose membership shall be terminated as soon as the actions initiated under Regulation (EC) No 71/2008 in which it is involved end, and at the latest on 31 December 2017;

# Amendment

(a) 'Associate' means a legal entity that has been selected under Regulation (EC) No 71/2008 and has accepted the present Statutes *following deliberation by the body responsible for its governance* and whose membership shall be terminated as soon as the actions initiated under Regulation (EC) No 71/2008 in which it is involved end, and at the latest on 31 December 2017;

Or. fr

Justification

A letter alone is not enough for acceptance of Statutes.

Amendment 92 Jean-Pierre Audy

### Proposal for a regulation Annex 1 – part 1 – point b

### Text proposed by the Commission

(b) 'Core Partner' means a legal entity participating in an ITD or IADP or in Transverse Activities that has been selected following a call as set out in clause 4(2) and has accepted the present Statutes by signing a letter of endorsement;

## Amendment

(b) 'Core Partner' means a legal entity participating in an ITD or IADP or in Transverse Activities that has been selected following a call as set out in clause 4(2) and has accepted the present Statutes *following deliberation by the body responsible for its governance*;

Or. fr

#### Justification

A letter alone is not enough for acceptance of Statutes.

Amendment 93 Jerzy Buzek

Proposal for a regulation Annex 1 – part 2 – point c

Text proposed by the Commission

(c) focusing efforts within ITDs, IADPs and Transverse Activities on key deliverables that can help meet the Union's *environmental and competitiveness* goals;

#### Amendment

(c) focusing efforts within ITDs, IADPs and Transverse Activities on key deliverables that can help meet the Union's *White Paper 2011* goals;

Or. en

Amendment 94 Teresa Riera Madurell

Proposal for a regulation Annex 1 – part 2 – point i

### Text proposed by the Commission

(i) stimulating the involvement of SMEs in its activities, in line with the objectives of the Seventh Framework Programme and of Horizon 2020;

#### Amendment

(i) stimulating the involvement of SMEs in its activities, in line with the objectives of the Seventh Framework Programme and of Horizon 2020, *in particular devoting at least 20% of the EU contribution to Clean Sky 2 to participating SMEs*;

Or. en

Amendment 95 Philippe Lamberts on behalf of the Greens/EFA Group

### Proposal for a regulation Annex 1 – part 2 – point i

Text proposed by the Commission

(i) *stimulating* the involvement of SMEs in its activities, in line with the objectives of the Seventh Framework Programme and of Horizon 2020;

#### Amendment

(i) *ensuring* the involvement of SMEs in its activities, in line with the objectives of the Seventh Framework Programme and of Horizon 2020;

Or. en

Amendment 96 Philippe Lamberts on behalf of the Greens/EFA Group

#### Proposal for a regulation Annex 1 – part 2 – point k a (new)

Text proposed by the Commission

Amendment

(ka) liaising with a broad range of stakeholders including research organisation, universities and civil society organisations

Or. en

Amendment 97 Jean-Pierre Audy

### Proposal for a regulation Annex 1 – part 3 – point 1 – point b

Text proposed by the Commission

(b) upon acceptance of these Statutes *by means of a letter of endorsement*, the Leaders and the Associates as listed in Annex II to this Regulation, and the Core Partners to be selected in accordance with clause 4(2).

### Amendment

(b) upon acceptance of these Statutes *following deliberation by the body responsible for its governance,* the Leaders and the Associates as listed in Annex II to this Regulation, and the Core Partners to be selected in accordance with clause 4(2).

Or. fr

Amendment 98 Philippe Lamberts on behalf of the Greens/EFA Group

### Proposal for a regulation Annex 1 – part 4 – point 1

## Text proposed by the Commission

1. Provided that it contributes to the funding referred to in clause 15 to achieve the objectives of the Clean Sky 2 Joint Undertaking set out in Article 2 of this Regulation and accepts the Statutes of the Clean Sky 2 Joint Undertaking, any legal entity established in a Member State or in a country associated to the Horizon 2020 Framework Programme may apply to become a Core Partner of the Clean Sky 2 Joint Undertaking in accordance with paragraph 2.

#### Amendment

1. Provided that it contributes to the funding referred to in clause 15 to achieve the objectives of the Clean Sky 2 Joint Undertaking set out in Article 2 of this Regulation and accepts the Statutes of the Clean Sky 2 Joint Undertaking, any legal entity established in a Member State or in a country associated to the Horizon 2020 Framework Programme may apply to become a *Leaders, Associates or* Core Partner of the Clean Sky 2 Joint Undertaking in accordance with paragraph 2.

Or. en

Amendment 99 Philippe Lamberts on behalf of the Greens/EFA Group

### Proposal for a regulation Annex 1 – part 4 – point 2

# Text proposed by the Commission

2. The Core Partners of the Clean Sky 2 Joint Undertaking *and their relevant affiliates* shall be selected through an open, non-discriminatory and competitive call and subject to an independent evaluation. Calls shall be driven by the need for key capabilities to implement the programme. They shall be published on the Clean Sky website and communicated through the States Representatives Group and other channels in order to ensure the widest possible participation.

# Amendment

2. The *Associates, Partners or* Core Partners of the Clean Sky 2 Joint Undertaking shall be selected through an open, non-discriminatory and competitive call and subject to an independent evaluation. Calls shall be driven by the need for key capabilities to implement the programme. They shall be published on the Clean Sky website and communicated through the States Representatives Group and other channels in order to ensure the widest possible participation. *The rationale and results of the evaluation shall be published on the Clean Sky website.* 

Or. en

Amendment 100 Jean-Pierre Audy

## Proposal for a regulation Annex 1 – part 4 – point 3

Text proposed by the Commission

3. Any member may terminate its membership to the Clean Sky 2 Joint Undertaking. The termination shall become effective and irrevocable six months after notification to the other members. As of then, the former member shall be discharged from any obligations other than those approved or incurred by the Clean Sky 2 Joint Undertaking prior to terminating the membership.

## Amendment

3. Any member may terminate its membership to the Clean Sky 2 Joint Undertaking. The termination shall become effective and irrevocable six months after notification to the other members. As of then, the former member shall be discharged from any obligations other than those approved or incurred by the Clean Sky 2 Joint Undertaking prior to terminating the membership. *In such cases, an account shall be opened for* 

PE524.725v01-00

settlement of financial obligations between the departing member and the Clean Sky 2 Joint Undertaking.

Or. fr

### Amendment 101 Jean-Pierre Audy

#### Proposal for a regulation Annex 1 – part 4 – point 4

Text proposed by the Commission

4. Membership of the Clean Sky 2 Joint Undertaking may not be transferred to a third party without prior agreement of the Governing Board.

## Amendment

4. Membership of the Clean Sky 2 Joint Undertaking may not be transferred to a third party without prior agreement of the Governing Board. *The Commission shall be notified of this agreement and shall have the right to object.* 

Or. fr

#### Amendment 102 Philippe Lamberts on behalf of the Greens/EFA Group

### Proposal for a regulation Annex 1 – part 6 – point d a (new)

Text proposed by the Commission

Amendment

(da) three representatives of the Scientific Committee

Or. en

Amendment 103 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Annex 1 – part 6 – paragraph 1 a (new)

Text proposed by the Commission

#### Amendment

The composition of the governing board shall ensure a significant representation of SMEs.

Or. en

## Amendment 104 Antonio Cancian, Jean-Pierre Audy

## Proposal for a regulation Annex 1 – part 7 – point 1

Text proposed by the Commission

1. The Commission representative shall hold 50 % of the voting rights. The vote of the Commission shall be indivisible. Each other representative shall hold an equal number of votes. The representatives shall use their best endeavours to achieve consensus. Failing consensus, the Governing Board shall take decisions by a majority of at least 75% of all votes, including the votes of those who are not in attendance. Amendment

1. *Each individual member* of the *Governing Board shall have one equal vote.* 

Governing Board shall make decisions by a two-thirds majority of all eligible votes. The Governing Board decides with a twothird majority whenever the decision can not be taken by consensus. Eligible votes include all members, even those which are not in attendance.

The Commission shall hold veto right.

Or. en

Justification

The rules applying to the lean Sky 1 Governing Board worked well and assured efficient JU

PE524.725v01-00

AM\1011983EN.doc

decisions. They should therefore be maintained.

Amendment 105 Teresa Riera Madurell

Proposal for a regulation Annex 1 – part 7 – point 3 – subparagraph 5

Text proposed by the Commission

The Governing Board shall adopt its own rules of procedure.

Amendment

The Governing Board shall adopt *and make publicly available* its own rules of procedure.

Or. en

Amendment 106 Jean-Pierre Audy

### Proposal for a regulation Annex 1 – part 8 – point 2 – point f

Text proposed by the Commission

(f) appoint, *dismiss*, extend the term of office of, provide guidance to and monitor the performance of the Executive Director;

#### Amendment

(f) appoint, *remove*, extend the term of office of, provide guidance to and monitor the performance of the Executive Director;

Or. fr

Amendment 107 Jean-Pierre Audy

Proposal for a regulation Annex 1 – part 8 – point 2 – point t a (new)

Text proposed by the Commission

Amendment

(ta) appoint, on the basis of a public invitation to tender, the independent audit body that will be given the task of presenting the opinion under Article 60(5)

of Regulation (EU, EURATOM) No 966 / 2012 of the European Parliament and the Council;

Or. fr

### Amendment 108 Jean-Pierre Audy

## Proposal for a regulation Annex 1 – part 9 – point 1 – subparagraph 1

### Text proposed by the Commission

1. The Executive Director shall be appointed by the Governing Board from a list of candidates proposed by the Commission following an open and transparent selection procedure. The Commission shall associate the representation from the other members of the Clean Sky 2 Joint Undertaking in the selection procedure as appropriate.

### Amendment

1. The Executive Director shall be appointed by the Governing Board from a list of candidates proposed by the Commission following an open and transparent selection procedure. The Commission shall associate the representation from the other members of the Clean Sky 2 Joint Undertaking in the selection procedure as appropriate. *The European Parliament shall have the right to object.* 

Or. fr

Amendment 109 Jean-Pierre Audy

Proposal for a regulation Annex 1 – part 9 – point 6

Text proposed by the Commission

6. The Executive Director may be *dismissed* only upon a decision of the Governing Board acting on a proposal from the Commission associating the private members as appropriate.

#### Amendment

6. The Executive Director may be *removed* only upon a decision of the Governing Board acting on a proposal from the Commission associating the private members as appropriate.

Or. fr

Amendment 110 Jean-Pierre Audy

## Proposal for a regulation Annex 1 – part 10 – point 4 – introductory part

Text proposed by the Commission

4. The Executive Director shall in particular carry out the following tasks *in an independent manner*:

Amendment

4. The Executive Director shall in particular carry out the following tasks:

Or. fr

### Amendment 111 Jean-Pierre Audy

## Proposal for a regulation Annex 1 – part 10 – point 4 – point c

Text proposed by the Commission

(c) *submit* for approval to the Governing Board *the annual accounts*;

Amendment

(c) *establish the annual accounts to be submitted* for approval to the Governing Board;

Or. fr

## Amendment 112 Jean-Pierre Audy

### Proposal for a regulation Annex 1 – part 10 – point 4 – point v a (new)

Text proposed by the Commission

Amendment

(va) organise the public tendering procedure on the basis of which the Governing Board shall appoint the independent audit body to be entrusted with the task of submitting an opinion under Article 60(5) of Regulation (EU,

Or. fr

# Amendment 113 Maria Da Graça Carvalho

### Proposal for a regulation Annex 1 – part 10 – point 5 – point b

Text proposed by the Commission

(b) manage the calls as provided for in the work plan and administer the agreements or decisions, including their coordination; Amendment

(b) manage the calls, *including the evaluation by a panel of independent experts,* as provided for in the work plan and administer the agreements or decisions, including their coordination;

Or. en

## Amendment 114 Maria Da Graça Carvalho

Proposal for a regulation Annex 1 – part 10 – point 5 – point d a (new)

Text proposed by the Commission

Amendment

(da) supervise an information and promotion system for wide participation in the JU activities, namely the Calls for proposals, including a link to the NCP system.

Or. en

Amendment 115 Jerzy Buzek

PE524.725v01-00

## Proposal for a regulation Annex 1 – part 11 – point 1 – point c a (new)

Text proposed by the Commission

Amendment

(ca) SAT IADP,

Or. en

## Amendment 116 Teresa Riera Madurell

## Proposal for a regulation Annex 1 – part 11 – point 2 – point b

Text proposed by the Commission

(b) a representative of each Core Partner of the ITD or IADP;

### Amendment

(b) a representative of each Core Partner of the ITD or IADP. *Representatives of the leaders of other ITDs or IADPs may also participate if they so decide*;

Or. en

## Justification

All other ITD and AADP leaders must be allowed to participate in any Steering Committee;

Amendment 117 Teresa Riera Madurell

Proposal for a regulation Annex 1 – part 11 – point 2 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

Its composition shall seek to achieve gender equality in accordance with Article 16 of the Regulation (EU) No .../2013 [the Horizon 2020 Framework Programme].

Or. en

## Amendment 118 Teresa Riera Madurell

## Proposal for a regulation Annex 1 – part 11 – point 5

Text proposed by the Commission

Each Steering Committee shall adopt its rules of procedure, based on a model common to all Steering Committees.

### Amendment

Each Steering Committee shall adopt *and make publicly available* its rules of procedure, based on a model common to all Steering Committees.

Or. en

Amendment 119 Silvia-Adriana Țicău

# Proposal for a regulaton Annex 1 – part 13 – point 1

Text proposed by the Commission

1. The Scientific Committee shall consist of *no more than 12* members. *It* shall *elect* a chairperson from amongst its members. Amendment

1. The Scientific Committee shall consist of 28 members, one from each Member State, elected for a renewable period of two years. The group shall select a chairperson from amongst its members for a renewable period of one year. Its composition shall respect the principle of gender equality under Article 16 of the Horizon 2020 Framework Programme.

Or. ro

Amendment 120 Teresa Riera Madurell

Proposal for a regulation Annex 1 – part 13 – point 2

### Text proposed by the Commission

2. The members shall reflect a balanced representation of world-wide recognised experts from academia, industry and regulatory bodies. Collectively, the Scientific Committee members shall have the necessary scientific competencies and expertise covering the technical domain needed to make science-based recommendations to the Clean Sky 2 Joint Undertaking.

### Amendment

2. The members shall reflect a balanced representation of world-wide recognised experts from academia, industry and regulatory bodies. Collectively, the Scientific Committee members shall have the necessary scientific competencies and expertise covering the technical domain needed to make science-based recommendations to the Clean Sky 2 Joint Undertaking. *Its composition shall seek to achieve gender equality in accordance with Article 16 of the Regulation (EU) No .../2013 [the Horizon 2020 Framework Programme].* 

Or. en

### Amendment 121 Philippe Lamberts on behalf of the Greens/EFA Group

### Proposal for a regulation Annex 1 – part 13 – point 2

## Text proposed by the Commission

2. The members shall reflect a balanced representation of world-wide recognised experts from academia, *industry* and regulatory bodies. Collectively, the Scientific Committee members shall have the necessary scientific competencies and expertise covering the technical domain needed to make science-based recommendations to the Clean Sky 2 Joint Undertaking.

#### Amendment

2. The members shall reflect a balanced representation of world-wide recognised experts from academia, *civil society* and regulatory bodies. Collectively, the Scientific Committee members shall have the necessary scientific competencies and expertise covering the technical domain needed to make science-based recommendations to the Clean Sky 2 Joint Undertaking.

Or. en

### Amendment 122 Teresa Riera Madurell

AM\1011983EN.doc

## Proposal for a regulation Annex 1 – part 13 – point 3

Text proposed by the Commission

3. The Governing Board shall set the criteria and selection process for the composition of the Scientific Committee and appoint its members. *The Governing Board shall take into consideration* the potential candidates proposed by States Representatives Group.

## Amendment

3. The Governing Board shall set the criteria and selection process for the composition of the Scientific Committee and appoint its members *from* the potential candidates proposed by States Representatives Group.

Or. en

Amendment 123 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Annex 1 – part 13 – point 4 – point -a (new)

Text proposed by the Commission

Amendment

(-a) advise on the strategic orientations and operations of the Clean Sky 2 Joint Undertaking;

Or. en

Amendment 124 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Annex 1 – part 13 – point 5

Text proposed by the Commission

5. The Scientific Committee shall meet at least *once* a year. The meetings shall be convened by its chairperson.

Amendment

5. The Scientific Committee shall meet at least *twice* a year. The meetings shall be convened by its chairperson.

Amendment 125 Christian Ehler

Proposal for a regulation Annex 1 – part 13 – point 6 a (new)

Text proposed by the Commission

Amendment

6a. The Scientific Committee shall receive information on a regular basis, in particular on the participation in indirect actions funded by the Clean Sky 2 Joint Undertaking, on the outcome of each call and project implementation, on synergies with other relevant Union programmes, on the execution of the Clean Sky 2 budget and on the dissemination and exploitation of research results.

Or. en

Amendment 126 Philippe Lamberts on behalf of the Greens/EFA Group

## Proposal for a regulation Annex 1 – part 13 – point 7

Text proposed by the Commission

7. The Scientific Committee shall adopt its own rules of procedure.

#### Amendment

7. The Scientific Committee shall adopt its own rules of procedure *and shall nominate 3 representatives to the Governing Board*.

Or. en

Amendment 127 Teresa Riera Madurell

## Proposal for a regulation Annex 1 – part 13 – point 7

Text proposed by the Commission

7. The Scientific Committee shall adopt its own rules of procedure.

Amendment

7. The Scientific Committee shall adopt *and make publicly available* its own rules of procedure.

Or. en

# Amendment 128 Teresa Riera Madurell

### Proposal for a regulation Annex 1 – part 14 – point 3 – point c

Text proposed by the Commission

(c) *links to* the Horizon 2020 Framework Programme;

Amendment

(c) *compliance with* the Horizon 2020 Framework Programme;

Or. en

Amendment 129 Teresa Riera Madurell

Proposal for a regulation Annex 1 – part 14 – point 3 – point d a (new)

Text proposed by the Commission

Amendment

(da) the advisability of including a given research priority covered by Clean Sky 2 Joint Undertaking in regular calls in the Horizon 2020 Framework Programme in order to develop new synergies with research and innovation activities of strategic importance;

Or. en

Amendment 130 Teresa Riera Madurell

## Proposal for a regulation Annex 1 – part 14 – point 3 – point e a (new)

Text proposed by the Commission

Amendment

(ea) the outcome and planning of calls for proposals and tenders

Or. en

## Amendment 131 Teresa Riera Madurell

## Proposal for a regulation Annex 1 – part 14 – point 6

Text proposed by the Commission

6. The States Representatives Group shall adopt its own rules of procedure.

### Amendment

6. The States Representatives Group shall adopt *and make publicly available* its own rules of procedure.

Or. en

### Amendment 132 Philippe Lamberts on behalf of the Greens/EFA Group Proposal for a regulation Annex 1 – part 16 – point 1 – point a

Text proposed by the Commission

(a) up to 40% of the total Union funding, shall be allocated to Leaders and their participating affiliates. Amendment

Or. en

deleted

# Justification

Being EU public money, all operational funds shall be disbursed following an open and competitive call for proposals. No preallocation of funds should be given to any particular entity.

Amendment 133 Philippe Lamberts on behalf of the Greens/EFA Group

### Proposal for a regulation Annex 1 – part 16 – point 1 – point b

Text proposed by the Commission

Amendment

(b) up to 30% of the total Union funding, shall be allocated to Core Partners and their participating affiliates.

Or. en

## Justification

deleted

Being public money, all operational funds shall be disbursed following an open and competitive call for proposals. No preallocation of funds should be given to any particular entity.

Amendment 134 Philippe Lamberts on behalf of the Greens/EFA Group

#### Proposal for a regulation Annex 1 – part 16 – point 1 – point c

Text proposed by the Commission

(c) *at least 30%* of the total Union funding, shall be allocated by way of competitive calls for proposals and calls for tenders. Particular attention shall be paid to ensuring adequate participation of SMEs.

## Amendment

(c) **100%** of the total Union funding shall be allocated by way of competitive calls for proposals and calls for tenders. Particular attention shall be paid to ensuring adequate participation of SMEs.

Or. en

PE524.725v01-00

54/63

# Justification

Being public money, all operational funds shall be disbursed following an open and competitive call for proposals. No preallocation of funds should be given to any particular entity.

Amendment 135 Philippe Lamberts on behalf of the Greens/EFA Group

#### Proposal for a regulation Annex 1 – part 16 – point 2 a (new)

Text proposed by the Commission

#### Amendment

2a. Derogation from Article 8(3) of Regulation No .../2013 [the Horizon 2020 Rules for Participation] allowing for one single legal entity to be recipient of a grant, shall be exceptional and duly justified.

Or. en

## Justification

If all calls for proposal allow for one single entity to receive funding rather than 3 entities as per the Horizon 2020 rule, this would effectively transform the Clean Sky programme in a procurement programme for the aeronautic industry, which should not be the purpose of use of Horizon 2020 public funds.

Amendment 136 Jean-Pierre Audy

Proposal for a regulation Annex 1 – part 18 – paragraph -1 (new)

Text proposed by the Commission

Amendment

Should any member of the Clean Sky 2 Joint Undertaking be in default of its commitments concerning its agreed financial contribution, the Executive Director shall put this in writing and set a

reasonable period within which such default shall be remedied. If the situation is not remedied within that period, the Executive Director shall convene a meeting of the Governing Board to decide whether the defaulter's membership is to be revoked or if any other measures are to be taken until its obligations have been met. The Governing Board may initially suspend the voting rights of all members in breach of their obligations, once they have been heard and given the opportunity of regularising matters.

Or. fr

Amendment 137 Christian Ehler

#### Proposal for a regulation Annex 1 – part 20 – point 3

Text proposed by the Commission

3. The Clean Sky 2 Joint Undertaking shall report annually to the Commission *in accordance with Article 60(5) of Regulation (EU, Euratom) No 966/2012*.

#### Amendment

3. The Clean Sky 2 Joint Undertaking shall report annually to the Commission *and the budgetary authorities.* 

Within two months after the end of each fiscal year, the Executive Director shall submit the annual accounts and balance sheet of the previous year to the Court of Auditors. The Court of Auditors may carry out inspections, including on-thespot checks.

Or. en

Amendment 138 Jean-Pierre Audy

Proposal for a regulation Annex 1 – part 20 – point 4

PE524.725v01-00

Text proposed by the Commission

4. The accounts of Clean Sky 2 Joint Undertaking shall be examined by *an* independent audit body *as laid down in* Article 60(5) of Regulation (EU, Euratom) No 966/2012.

#### Amendment

4. The accounts of Clean Sky 2 Joint Undertaking shall be examined by *the Court of Auditors as part of the discharge procedure. In its deliberations, the Court shall take account of the findings of the* independent audit body *required to deliver an opinion under* Article 60(5) of Regulation (EU, Euratom) No 966/2012 of *the European Parliament and the Council*;

Or. fr

Amendment 139 Teresa Riera Madurell

#### Proposal for a regulation Annex 1 – part 20 – point 4

Text proposed by the Commission

4. The accounts of Clean Sky 2 Joint Undertaking shall be examined by *an independent audit body as laid down in Article 60(5) of Regulation (EU, Euratom) No 966/2012.* 

#### Amendment

4. The accounts of Clean Sky 2 Joint Undertaking shall be examined by *the Court of Auditors* 

Or. en

Amendment 140 Christian Ehler

Proposal for a regulation Annex 1 – part 20 – point 4

Text proposed by the Commission

4. The accounts of Clean Sky 2 Joint Undertaking shall be examined by *an independent audit body as laid down in*  Amendment

4. The accounts of Clean Sky 2 Joint Undertaking shall be examined by *the Court of Auditors in accordance with* 

 $AM \ 1011983 EN. doc$ 

PE524.725v01-00

Article 60(5) of Regulation (EU, Euratom) No 966/2012.

Article 287 of the TFEU.

Or. en

## Amendment 141 Christian Ehler

## Proposal for a regulation Annex 1 – part 20 – point 4 a (new)

Text proposed by the Commission

Amendment

4a. The Court of Auditors shall prepare a Specific Annual Report on the Clean Sky 2 Joint Undertaking.

Amendment

Amendment

Or. en

## Amendment 142 Teresa Riera Madurell

Proposal for a regulation Annex 1 – part 20 – point 4 – subparagraph 2

Text proposed by the Commission

The accounts of Clean Sky 2 Joint Undertaking shall not be subject to examination by the Court of Auditors.

Or. en

Amendment 143 Jean-Pierre Audy

Proposal for a regulation Annex 1 – part 20 – point 4 – subparagraph 2

Text proposed by the Commission

The accounts of Clean Sky 2 Joint

PE524.725v01-00

deleted

deleted

Undertaking shall not be subject to examination by the Court of Auditors.

Amendment 144 Christian Ehler

Proposal for a regulation Annex 1 – part 20 – point 4 – subparagraph 2

Text proposed by the Commission

Amendment

The accounts of Clean Sky 2 Joint Undertaking shall not be subject to examination by the Court of Auditors. deleted

Or. en

Or. fr

Amendment 145 Philippe Lamberts on behalf of the Greens/EFA Group

## Proposal for a regulation Annex 1 – part 23 – point 1

Text proposed by the Commission

1. The Clean Sky 2 Joint Undertaking, its bodies and staff shall avoid any conflict of interest in implementing their activities.

Amendment

1. The Clean Sky 2 Joint Undertaking, its bodies and staff shall avoid any conflict of interest in implementing their activities. *In particular disclosure of interest according to Article 16 (4a) of this Regulation shall be made public.* 

Or. en

(See amendment to Article 16 paragraph 4a new.)

Amendment 146 Philippe Lamberts on behalf of the Greens/EFA Group

AM\1011983EN.doc

## Proposal for a regulation Annex 1 – part 23 – point 2

### Text proposed by the Commission

2. The Governing Board may adopt rules to prevent and manage conflicts of interest applicable to its members, bodies and staff. In those rules, provision shall be made to avoid a conflict of interest for the representatives of the members serving in the Governing Board.

### Amendment

2. The Governing Board may adopt rules to prevent and manage conflicts of interest applicable to its members, bodies and staff. In those rules, provision shall be made to avoid a conflict of interest for the representatives of the members serving in the Governing Board. *In particular disclosure of interest according to Article 16 (4a) of this Regulation shall be made public.* 

Or. en

# (See amendment to Article 16 paragraph 4a new.)

Amendment 147 Jean-Pierre Audy

Proposal for a regulation Annex 1 – part 23 – point 2

Text proposed by the Commission

2. The Governing Board *may* adopt rules to prevent and manage conflicts of interest applicable to its members, bodies and staff. In those rules, provision shall be made to avoid a conflict of interest for the representatives of the members serving in the Governing Board.

#### Amendment

2. The Governing Board *shall* adopt rules to prevent and manage conflicts of interest applicable to its members, bodies and staff. In those rules, provision shall be made to avoid a conflict of interest for the representatives of the members serving in the Governing Board.

Or. fr

Amendment 148 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Annex 1 – part 24 – point 1

PE524.725v01-00

#### Text proposed by the Commission

1. The Clean Sky 2 Joint Undertaking shall be wound up at the end of the period defined in Article 1 of this Regulation.

#### Amendment

1. The Clean Sky 2 Joint Undertaking shall be wound up at the end of the period defined in Article 1 of this Regulation *or earlier if the objectives of the undertaking are not being fulfilled*.

Or. en

### Amendment 149 Jean-Pierre Audy

#### Proposal for a regulation Annex 1 – part 24 – point 4

## Text proposed by the Commission

4. When the Clean Sky 2 Joint Undertaking is being wound up, its assets shall be used to cover its liabilities and the expenditure relating to its winding up. Any surplus shall be distributed among the members at the time of the winding up in proportion to their financial contribution to the Clean Sky 2 Joint Undertaking. Any such surplus distributed to the Union shall be returned to the Union budget.

#### Amendment

4. When the Clean Sky 2 Joint Undertaking is being wound up, its assets shall be used to cover its liabilities and the expenditure relating to its winding up. Any surplus shall be distributed among the members at the time of the winding up in proportion to their financial contribution to the Clean Sky 2 Joint Undertaking. Any such surplus distributed to the Union shall be returned to *the specific programme implementing the Horizon 2020 Framework Programme, within* the Union budget.

Or. fr

Amendment 150 Philippe Lamberts on behalf of the Greens/EFA Group

Proposal for a regulation Annex 3 – table – row 11

Text proposed by the Commission

Technology Evaluator *1%* of the above IADP/ITD values

Amendment

Technology Evaluator **5%** of the above IADP/ITD values

AM\1011983EN.doc

Amendment 151 Philippe Lamberts on behalf of the Greens/EFA Group

# Proposal for a regulation Annex 3 – table – row 12

Text proposed by the Commission

Amendment

Eco-DESIGN Transverse Activity 2% of the above IADP/ITD values

Eco-DESIGN Transverse Activity **15%** of the above IADP/ITD values

Or. en

# Amendment 152 Jerzy Buzek

# Proposal for a regulation Annex 3 – table

Text proposed by the Commission

	100%
IADPs	
Large passenger aircraft	32%
Regional aircraft	6%
Rotorcraft	12%
ITDs	
Airframes	19%
Engines	17%
Systems	14%
Transverse activities	
Technology Evaluator	1% of the above IADP/ITD values
Eco-DESIGN Transverse Activity	2% of the above IADP/ITD values
Small Air Transport Transverse Activity	3% of the above IADP/ITD values

Amendment

	100%
IADPs	
Large passenger aircraft	32%
Regional aircraft	6%
Rotorcraft	12%
ITDs	
Airframes	19%
Engines	17%
Systems	14%
Transverse activities	
Technology Evaluator	1% of the above IADP/ITD values <i>excluding SAT IADP value</i>
Eco-DESIGN Transverse Activity	2% of the above IADP/ITD values <i>excluding SAT IADP value</i>
SAT	5% of the above IADP/ITD values

Or. en