



2018/0243(COD)

17.12.2018

AMENDMENTS 901 - 1150

Draft report

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(PE627.790v01-00)

Establishing the Neighbourhood, Development and International Cooperation
Instrument

Proposal for a regulation
(COM(2018)0460 – C8-0275/2018 – 2018/0243(COD))

Amendment 901
Marietje Schaake

Proposal for a regulation
Article 19 – paragraph 2 – subparagraph 1

Text proposed by the Commission

Action plans shall be based on *programming documents, except for cases referred to in paragraphs 3 and 4.*

Amendment

Action plans shall be based on *the strategies in article 12(2) and 13(2).*

Or. en

Amendment 902
Marietje Schaake

Proposal for a regulation
Article 19 – paragraph 3

Text proposed by the Commission

3. Annual or multiannual action plans and individual measures may *be used to implement* rapid response actions referred to in Article 4(4)(b) and (c).

Amendment

3. Annual or multiannual action plans and individual measures may *contain a contribution to* rapid response actions referred to in Article 4(4)(b) and (c).

Or. en

Amendment 903
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 19 – paragraph 3

Text proposed by the Commission

3. Annual or multiannual action plans and individual measures may be used to implement rapid response actions referred to in Article 4(4)(b) *and* (c).

Amendment

3. Annual or multiannual action plans and individual measures may be used to implement rapid response actions referred to in Article 4(4)(b).

Or. en

Amendment 904
Sabine Lösing, Lola Sánchez Caldentey

Proposal for a regulation
Article 19 – paragraph 3

Text proposed by the Commission

3. Annual or multiannual action plans and individual measures may be used to implement rapid response actions referred to in Article 4(4)(b) **and (c)**.

Amendment

3. Annual or multiannual action plans and individual measures may be used to implement rapid response actions referred to in Article 4(4)(b)

Or. en

Justification

The rapid response mechanism has to be clearly linked to extraordinary and exceptional situations and must be related to emergencies and to conflict situations. Point 4 (c) "foreign policies needs and priorities" widens the scope of this mechanism to almost every situation where the EU- interest is concerned, which would make the mechanism applicable to almost every situation.

Amendment 905
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 19 – paragraph 4 – subparagraph 3

Text proposed by the Commission

In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. ***In duly justified cases further measures may be adopted where the continuity of the Union's action is essential and cannot be ensured by other means.***

Amendment

In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. ***Within three weeks following the adoption of exceptional assistance measures the Commission shall report to the Council and to the European Parliament by giving an overview of the nature of the measure adopted, its duration, budget and its context. The Commission shall also indicate whether, to what extent and how, it will ensure the continuity of the policy implemented by the exceptional assistance by medium and long term instruments under this Regulation;***

Or. en

Amendment 906

Judith Sargentini, Michel Reimon
on behalf of the Verts/ALE Group

Proposal for a regulation

Article 19 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4 a. *The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to adopt the above-mentioned annual or multiannual action plans;*

Or. en

Amendment 907

Judith Sargentini, Michel Reimon, Maria Heubuch

Proposal for a regulation

Article 21 – paragraph 1

Text proposed by the Commission

Amendment

1. Action plans **and** measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 35(2).

1. Action plans **shall be adopted by means of delegated acts adopted in accordance with the procedure referred to in Article 34(6)**. Measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 35 (2). **Information communicated to the European Parliament shall comprise details about the source of the financial allocation, including in the case of carryovers and re-commitments.**

Or. en

Amendment 908

Marietje Schaake

Proposal for a regulation

Article 21 – paragraph 1

Text proposed by the Commission

Amendment

1. Action plans and measures shall be adopted by ***means of implementing acts adopted*** in accordance with the ***examination procedure referred to in Article 35(2)***.

1. Action plans and measures shall be adopted by ***a Commission decision*** in accordance with the ***Financial regulation***.

Or. en

Amendment 909
Marietje Schaake

Proposal for a regulation
Article 21 – paragraph 2

Text proposed by the Commission

Amendment

2. ***The procedure referred to in paragraph 1 shall not be required for:***

deleted

(a) action plans, individual measures and support measures, for which the Union's funding does not exceed EUR 10 million;

(b) special measures as well as action plans and measures adopted in order to implement rapid response actions for which the Union's funding does not exceed EUR 20 million;

(c) technical amendments, provided such amendments do not substantially affect the objectives of the action plan or measure concerned, such as:

(i) change of method of implementation;

(ii) reassignments of funds between actions contained in an action plan;

(iii) increases or reductions of the budget of action plans and measures by not more than 20 % of the initial budget and not exceeding EUR 10 million;

In case of multiannual action plans and measures, the thresholds referred to in paragraph (2)(a), (b) and (c) (iii) shall be applicable on a yearly basis.

When adopted in accordance with this paragraph, action plans and measures,
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except exceptional assistance measures, and technical amendments shall be communicated to the European Parliament and to the Member States through the relevant committee referred to in Article 35 within one month of their adoption.

Or. en

Amendment 910
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 21 – paragraph 2 – subparagraph 1 – point a

Text proposed by the Commission

Amendment

(a) action plans, individual measures and support measures, for which the Union's funding does not exceed EUR **10** million;

(a) action plans, individual measures and support measures, for which the Union's funding does not exceed EUR **5** million;

Or. en

Amendment 911
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 21 – paragraph 2 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) special measures as well as action plans and measures adopted in order to implement rapid response actions for which the Union's funding does not exceed EUR **20** million;

(b) special measures as well as action plans and measures adopted in order to implement rapid response actions for which the Union's funding does not exceed EUR **10** million;

Or. en

Amendment 912
Marietje Schaake

Proposal for a regulation

Article 21 – paragraph 3 – subparagraph 1

Text proposed by the Commission

Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council before making significant substantive changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council for the planning and subsequent implementation of such measures, in the interests of consistency of the Union's external action.

Amendment

Before the adoption or extension of exceptional assistance measures not exceeding EUR 10 million, the Commission shall inform the Council **and the European Parliament** of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council **and the European Parliament** before making significant substantive changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council **and relevant resolutions of the European Parliament** for the planning and subsequent implementation of such measures, in the interests of consistency of the Union's external action.

Or. en

Amendment 913

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 21 – paragraph 3 – subparagraph 1

Text proposed by the Commission

Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council before making significant substantive changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council for the planning and subsequent implementation of such measures, in the interests of consistency of the Union's external action.

Amendment

Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council **and the European Parliament** of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council **and the European Parliament** before making significant substantive changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council for the planning and subsequent implementation of such measures, in the interests of consistency of the Union's external action.

Amendment 914
Marietje Schaake

Proposal for a regulation
Article 21 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Amendment

The Commission shall keep the European Parliament duly informed, in a timely manner, about the planning and the implementation of exceptional assistance measures pursuant to this Article, including the financial amounts envisaged, and shall also inform the European Parliament when making substantial changes or extensions to that assistance. **deleted**

Or. en

Amendment 915
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 21 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Amendment

The Commission shall keep the European Parliament duly informed, in a timely manner, about the planning and the implementation of exceptional assistance measures pursuant to this Article, including the financial amounts envisaged, and shall also inform the European Parliament when making substantial changes or extensions to that assistance. **deleted**

Or. en

Amendment 916

Norbert Neuser, Enrique Guerrero Salom, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

**Proposal for a regulation
Article 21 – paragraph 3 a (new)**

Text proposed by the Commission

Amendment

3 a. The Commission, before the adoption or extension of rapid response actions and exceptional assistance measures, must take account of Commission's relevant services, as identified on the basis of the nature and objectives of the action foreseen, building upon their expertise, including on humanitarian issues. The Commission shall immediately inform the European Parliament and the Council before making significant substantive changes to rapid response actions and exceptional assistance measures already adopted.

Or. en

Amendment 917

Cristian Dan Preda, Charles Goerens, Frank Engel, Pier Antonio Panzeri

**Proposal for a regulation
Article 21 – paragraph 3 a (new)**

Text proposed by the Commission

Amendment

3 a. Before adopting action plans and measures not based on programming documents pursuant to Article 19(2), except for cases referred to in Article 19 (3) and (4), the Commission shall adopt a delegated act in accordance with Article 34 supplementing this Regulation by setting out the specific objectives to be pursued, the results expected, the instruments to be used, the main activities and the indicative financial allocations of these action plans and measures.

Or. en

Justification

This amendment is a technical update in order to clarify that rapid response actions specified in Article 19 (3) and (4) are outside the scope of delegated acts.

Amendment 918
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 21 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4 a. Six months prior to the end of an individual or exceptional measure for rapid response actions, and in the case of ongoing and unmet needs, the Commission shall inform the European Parliament and the Council on the steps taken to carry on or build on the action by integration the response into the longer term programming under the geographic or thematic programmes or explaining by which other means needs will be addressed.

Or. en

Amendment 919
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 21 – paragraph 5 – subparagraph 1

Text proposed by the Commission

Amendment

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in

particular for major new infrastructure.

particular for major new infrastructure.
Additionally, ex-ante human rights, social and labour impact assessments will be executed. The Commission will ensure that necessary due diligence in these sectors has been carried out before the action starts Where exceptional assistance measures relate to conflict related crises and threats to peace, conflict analysis and risk assessments should be conducted to ensure positive impact and effectiveness of that assistance. The Commission will ensure that the necessary due diligence has been carried out before the action starts.

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

Or. en

Amendment 920 **Doru-Claudian Frunzulică**

Proposal for a regulation **Article 21 – paragraph 5 – subparagraph 1**

Text proposed by the Commission

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for

Amendment

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for

environmentally sensitive actions, in particular for major new infrastructure.

environmentally sensitive actions, in particular for major new infrastructure; ***where exceptional assistance measures concern conflict related crises and threats to peace, conflict analysis and risk assessments shall be conducted in order to ensure positive impact and effectiveness of that assistance.***

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

Or. en

Amendment 921

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Doru-Claudian Frunzuliță, Cécile Kashetu Kyenge

Proposal for a regulation

Article 21 – paragraph 5 – subparagraph 1

Text proposed by the Commission

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.

Amendment

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure ***as well as a human rights due diligence and an assessment of externalities (beyond the environmental but also social and economic aspect).***

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

Or. en

Amendment 922

Linda McAvan, Norbert Neuser

Proposal for a regulation

Article 21 – paragraph 5 – subparagraph 1

Text proposed by the Commission

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.

Amendment

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure ***as well as human rights due diligence and an assessment of externalities (beyond the environmental but also social and economic aspect).***

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public
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⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public

and private projects on the environment
(OJ L 175, 05.07.1985. p. 0040 – 0048).

and private projects on the environment
(OJ L 175, 05.07.1985. p. 0040 – 0048).

Or. en

Amendment 923
Marietje Schaake

Proposal for a regulation
Article 21 – paragraph 5 – subparagraph 1

Text proposed by the Commission

Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

Amendment

Appropriate environmental screening, including for **human rights**, climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU⁸² of the European Parliament and of the Council and Council Directive 85/337/EEC⁸³, comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.

⁸² Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁸³ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

Or. en

Amendment 924
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 21 – paragraph 5 – subparagraph 2

Text proposed by the Commission

Where relevant, strategic environmental assessments shall be used in the implementation of sectoral programmes. The involvement of interested stakeholders in environmental assessments and public access to the results of such assessments shall be ensured.

Amendment

Where relevant, strategic environmental assessments **or assessments on social, labour or risk effects** shall be used in the implementation of sectoral programmes. The involvement of interested stakeholders in environmental, **social, labour or risk** assessments and public access to the results of such assessments shall be ensured.

Or. en

Amendment 925

Maurice Ponga, Tokia Saïfi

Proposal for a regulation

Article 22 – paragraph 1

Text proposed by the Commission

1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.

Amendment

1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) **(i), (iii), (iv), (v) and (vi)** of the Financial Regulation **where duly justified by specific expertise and comparative advantages through entities referred to in Article 62(1)(c)(ii)**.

Or. fr

Amendment 926

Linda McAvan, Norbert Neuser

Proposal for a regulation

Article 22 – paragraph 7 – point c

Text proposed by the Commission

(c) contributions to the necessary costs of setting up and administering a public-private partnership;

Amendment

(c) contributions to the necessary costs of setting up and administering a public-private partnership **including supporting**

the weakest partners in participating in the setting up of the partnership objectives, and setting up an independent 3rd party CSO body to assess and monitor PPP set-ups;

Or. en

Amendment 927

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Doru-Claudian Frunzuliță, Cécile Kashetu Kyenge

Proposal for a regulation

Article 22 – paragraph 7 – point c

Text proposed by the Commission

(c) contributions to the necessary costs of setting up and administering a public-private partnership;

Amendment

(c) contributions to the necessary costs of setting up and administering a public-private partnership, *including support of broad participation by setting up independent 3rd party CSO body to assess and monitor PPP set-ups.*

Or. en

Amendment 928

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 22 – paragraph 7 – point e

Text proposed by the Commission

(e) contributions to the cost of the countries' participation in Union programmes and actions implemented by Union agencies and bodies, *as well as bodies or persons entrusted with implementation of specific actions in the Common Foreign and Security Policy pursuant to Title V of the Treaty on European Union;*

Amendment

(e) contributions to the cost of the countries' participation in Union programmes and actions implemented by Union agencies and bodies;

Or. en

Amendment 929
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 23 – paragraph 1 – point d

Text proposed by the Commission

Amendment

(d) contributions to trust funds set up by the Commission, in accordance with Article 234 of the Financial Regulation;

deleted

Or. en

Amendment 930
Patrizia Toia

Proposal for a regulation
Article 23 – paragraph 2

Text proposed by the Commission

Amendment

2. When working with stakeholders of partner countries, the Commission shall take into account their specificities, including their needs and the relevant context, when defining the financing modalities, the type of contribution, the award modalities and the administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. ***Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibility-restricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.***

2. When working with stakeholders of partner countries, the Commission shall take into account their specificities, including their needs and the relevant context, when defining the financing modalities, the type of contribution, the award modalities and the administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. ***That assessment must allow for the possibility of participation by all relevant stakeholders, including civil society. Cooperation between local and international NGOs must be encouraged so that local civil society's capacities are bolstered with a view to participating fully in development programmes.***

Or. it

Justification

Setting up local and international NGO networks will enable programmes to be managed more efficiently on the ground.

Amendment 931
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 23 – paragraph 3 – subparagraph 1 – point -a (new)

Text proposed by the Commission

Amendment

(-a) low-value grants to human rights defenders and to mechanisms for the protection of human rights defenders at risk, to finance urgent protection actions, where appropriate without the need for co-financing;

Or. en

Amendment 932
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 23 – paragraph 3 – subparagraph 1 – point a

Text proposed by the Commission

Amendment

(a) low-value grants to human rights defenders to finance urgent protection actions, where appropriate without the need for co-financing;

(a) low-value grants to human rights defenders, **mediators and other civil society actors, including those involved in crisis and armed conflict related dialogue, reconciliation and peace-building** to finance urgent protection **and, among others, conflict resolution** actions, where appropriate without the need for co-financing;

Or. en

Amendment 933
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 23 – paragraph 3 – subparagraph 1 – point b

Text proposed by the Commission

Amendment

(b) grants, where appropriate without the need for co-financing, to finance actions in the most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

(b) grants, where appropriate without the need for co-financing, to finance actions in the most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, ***escalation of crisis, armed conflict*** where human security is most at risk or where human rights organisations, and defenders, ***mediators and other civil society actors involved in crisis and armed conflict related dialogue, reconciliation and peace-building*** operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

Or. en

Amendment 934 **Eduard Kukan**

Proposal for a regulation **Article 23 – paragraph 3 – subparagraph 1 – point b**

Text proposed by the Commission

(b) grants, where appropriate without the need for co-financing, to finance actions in the most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

Amendment

(b) grants, where appropriate without the need for co-financing, to finance actions in the most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, ***threats to democratic institutions***, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

Amendment 935

Patrizia Toia

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 1 – point b

Text proposed by the Commission

(b) grants, where appropriate without the need for co-financing, to finance actions in the most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

Amendment

(b) grants, where appropriate without the need for co-financing, to finance actions in the most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, where ***democracy is in danger, where*** human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

Or. it

Justification

It is important to include the situation as regards democracy on the list of grant-eligible situations.

Amendment 936

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 1 – point c a (new)

Text proposed by the Commission

Amendment

(c a) Small projects as described in article 23a (new)

Or. en

Amendment 937

Tokia Saïfi, Maurice Ponga

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive ***economic growth and jobs*** and poverty eradication.

Amendment

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, ***gender equality, social inclusion, human development and*** the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive ***socio-economic development which benefits all, job creation, with particular attention to young people, reduction of inequalities*** and poverty eradication.

Or. fr

Amendment 938

Linda McAvan, Norbert Neuser

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy

Amendment

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, ***gender equality, social inclusion and human development***, the rule of law, and aims at strengthening partnerships between

dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth *and jobs* and poverty eradication.

the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth, *decent jobs, the reduction of inequalities* and poverty eradication *in a way that does not infringe local economies or environmental and social rights..*

Or. en

Amendment 939

Louis Michel

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.

Amendment

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, *gender equality, social inclusion, human development and* the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.

Or. fr

Amendment 940

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.

Amendment

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, ***including budget monitoring by civil society***, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.

Or. en

Amendment 941

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Doru-Claudian Frunzuliță, Cécile Kashetu Kyenge

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 2

Text proposed by the Commission

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.

Amendment

Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and ***decent*** jobs and poverty eradication.

Or. en

Amendment 942

Louis Michel

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 3

Text proposed by the Commission

Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.

Amendment

Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits. ***One of the key determinants of that decision shall be an assessment of the commitments, record and progress of partner countries with regard to democracy, human rights, the rule of law and good governance.***

Or. fr

Amendment 943

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 23 – paragraph 3 – subparagraph 3

Text proposed by the Commission

Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.

Amendment

Any decision to provide budget support shall be based on budget support policies agreed by the Union, ***gender budgeting***, a clear set of eligibility criteria and a careful assessment of the risks and benefits.

Or. en

Amendment 944

Patrizia Toia

Proposal for a regulation

Article 23 – paragraph 4 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

In the event of a suspension or reduction of funding to partner countries under existing commitments or a ban on new commitments to them owing to a general failing as regards the rule of law and respect for human rights, the best candidate will be selected from among the stakeholders and the allocation procedures established accordingly.

Or. it

Justification

In cases such as these, the best candidate should be selected from among the stakeholders, based primarily on their past performances.

Amendment 945

Lola Sánchez Caldentey

Proposal for a regulation

Article 23 – paragraph 4 – subparagraph 2

Text proposed by the Commission

When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development of parliamentary control, national audit capacities and increased transparency and public access to information.

Amendment

When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development of parliamentary control, national audit capacities, ***CSO participation in monitoring and capacities*** and increased transparency and public access to information ***ann development of strong public procurement systems that support local economic development and local businesses.***

Or. en

Amendment 946

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Cécile Kashetu Kyenge

Proposal for a regulation

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Article 23 – paragraph 4 – subparagraph 2

Text proposed by the Commission

When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development of parliamentary control, national audit capacities and increased transparency and public access to information.

Amendment

When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development of parliamentary control, national audit capacities, ***CSO participation in monitoring*** and increased transparency and public access to information ***and development of strong public procurement systems that support local economic development and local businesses.***

Or. en

Amendment 947

Linda McAvan, Norbert Neuser

Proposal for a regulation

Article 23 – paragraph 4 – subparagraph 2

Text proposed by the Commission

When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development of parliamentary control, national audit capacities ***and*** increased transparency and public access to information.

Amendment

When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development of parliamentary control, national audit capacities, ***CSO participation in monitoring***, increased transparency and public access to information, ***and development of strong public procurement systems that support local economic development and local businesses.***

Or. en

Amendment 948

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 23 – paragraph 6 – subparagraph 1

Text proposed by the Commission

Financial instruments under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or participations, and risk-sharing instruments, whenever possible and in accordance with the principles laid down in Article 209(1) of the Financial Regulation under the lead of *the EIB*, a multilateral European finance institution, such as the European Bank for Reconstruction and Development, or a bilateral European finance institution, such as bilateral development banks, possibly pooled with additional other forms of financial support, both from Member States and third parties.

Amendment

Financial instruments under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or participations, and risk-sharing instruments, whenever possible and in accordance with the principles laid down in Article 209(1) of the Financial Regulation under the lead of a multilateral European finance institution, such as the European Bank for Reconstruction and Development *or the EIB*, or a bilateral European finance institution, such as bilateral development banks, possibly pooled with additional other forms of financial support, both from Member States and third parties.

Or. en

Amendment 949

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 23 – paragraph 7 a (new)

Text proposed by the Commission

Amendment

7 a. *The Commission and the EEAS shall not enter into new or renewed operations with entities incorporated or established in jurisdictions listed under the relevant Union policy on non-cooperative jurisdictions, or that are identified as high risk third countries pursuant to Article 9(2) of Directive (EU) 2015/849 of the European Parliament and of the Council, or that do not effectively comply with Union or internationally agreed tax standards on transparency and exchange of information.*

Or. en

Amendment 950
Maurice Ponga, Tokia Saïfi, Bogusław Sonik

Proposal for a regulation
Article 23 – paragraph 7 a (new)

Text proposed by the Commission

Amendment

7a. Blending operations shall be implemented wherever possible under the direction of a multilateral European financial institution or a bilateral European financial institution.

Or. fr

Amendment 951
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 23 a (new)

Text proposed by the Commission

Amendment

Article 23 a

Small projects' fund

- 1. A small projects fund is an operation aimed at the selection and implementation of projects of limited financial volume .**
- 2. The beneficiary of a small project fund shall be civil society organisations.**
- 3. The final recipients within a small project fund shall receive support from this Regulation, through the beneficiary, and implement the small projects within that small project fund ('small project').**
- 4. Where the public contribution to a small project does not exceed EUR 100 000, it shall take the form of unit costs or lump sums or include flat rates.**

Or. en

Amendment 952
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 23 b (new)

Text proposed by the Commission

Amendment

Article 23 b

Suspension of Union assistance

1. Where a beneficiary fails to respect the principle of democracy, the rule of law, human rights, fundamental freedoms and the nuclear safety standards, the Commission shall be empowered, in accordance with Article 34, to adopt delegated acts amending Annex VIII by adding a partner country to the list of partner countries for which Union assistance is suspended or partly suspended. In the event of a partial suspension, the programmes for which the suspension applies shall be indicated.

2. Where the Commission finds that the reasons justifying the suspension of assistance no longer apply, it shall be empowered to adopt delegated acts, in accordance with Article 34, to amend Annex VIII in order to reinstate Union assistance.

3. In cases of suspension, Union assistance shall primarily be used to support civil society organisations and non state actors for measures aimed at promoting human rights and fundamental freedoms and supporting democratisation and dialogue processes in partner countries.

Or. en

Amendment 953
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 24 – paragraph 2

Text proposed by the Commission

2. Without prejudice to the limitations inherent to the nature and objectives of the action, participation in procurement, grant and prize award procedures for actions financed under the Human Rights and Democracy and Stability *and Peace* programmes as well as rapid response actions, shall be open without limitations.

Amendment

2. Without prejudice to the limitations inherent to the nature and objectives of the action, participation in procurement, grant and prize award procedures for actions financed under the Human Rights and Democracy, *Gender equality and Women and Girls' Empowerment and Civil Society Organisations, Peace-building, Conflict Prevention* and Stability programmes as well as rapid response actions, shall be open without limitations.

Or. en

Amendment 954

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 24 – paragraph 6

Text proposed by the Commission

6. *Where donors provide financing to a trust fund established by the Commission or through external assigned revenues, the eligibility rules in the constitutive act of the trust fund or in the agreement with the donor in case of external assigned revenues shall apply.*

Amendment

deleted

Or. en

Amendment 955

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 24 – paragraph 11

Text proposed by the Commission

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In

Amendment

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors, *in particular contractors with a track record in environmental sustainability or fair*

all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.

trade when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. ***In all cases sustainability criteria should be applied.***

Or. en

Amendment 956

Linda McAvan, Norbert Neuser

Proposal for a regulation

Article 24 – paragraph 11

Text proposed by the Commission

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.

Amendment

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. ***In all cases sustainability and due diligence criteria shall be applied.***

Or. en

Amendment 957

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Cécile Kashetu Kyenge

Proposal for a regulation

Article 24 – paragraph 11

Text proposed by the Commission

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in

Amendment

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in

accordance with the relevant provisions of that Regulation.

accordance with the relevant provisions of that Regulation. ***In all cases sustainability and due diligence criteria shall be applied.***

Or. en

Amendment 958
Lola Sánchez Caldentey

Proposal for a regulation
Article 24 – paragraph 11

Text proposed by the Commission

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.

Amendment

11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. ***Sustainability and due diligence criteria shall apply to all cases.***

Or. en

Amendment 959
Doru-Claudian Frunzulică

Proposal for a regulation
Article 24 – paragraph 12

Text proposed by the Commission

12. Under the Democracy and Human Rights ***programme***, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.

Amendment

12. Under the Democracy and Human Rights, ***Civil Society Organisations, Peace-building, Conflict Prevention and Stability, Global Challenges thematic programmes as well as for rapid response actions***, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.

Or. en

Amendment 960
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 24 – paragraph 12

Text proposed by the Commission

12. Under the Democracy and Human Rights **programme**, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.

Amendment

12. Under the Democracy and Human Rights, ***Peace-building, Conflict Prevention and Stability and the Gender equality and Women and Girls' Empowerment programmes, and rapid response actions***, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.

Or. en

Amendment 961
Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Elly Schlein, Cécile Kashetu Kyenge

Proposal for a regulation
Article 24 – paragraph 12 a (new)

Text proposed by the Commission

Amendment

12 a. The Neighbourhood, Development and International Cooperation Instrument shall not support actions that, according to the environmental screening referred to in Article 21, cause harm to the environment or climate. Allocations shall be fully compatible with the Paris agreement and overall, European financing dedicated to external action shall contribute to the Paris agreement's long term objectives. In particular, the instrument shall not support:

(a) Actions incompatible with the Nationally Determined Contributions of the Paris Agreement of recipient countries;

(b) Investment in upstream, midstream and downstream fossil fuels.

Or. en

Amendment 962
Gilles Pargneaux

Proposal for a regulation
Article 24 – paragraph 12 a (new)

Text proposed by the Commission

Amendment

12 a. The Neighbourhood, Development and International Cooperation Instrument shall not support actions that, according to the environmental screening referred to in Article 21, cause harm to the environment or climate. All actions shall be fully compatible with the Paris agreement and overall, European financing dedicated to external action shall contribute to the Paris agreement's long term objectives;

Or. en

Amendment 963
Tokia Saïfi, Maurice Ponga

Proposal for a regulation
Article 24 – paragraph 12 a (new)

Text proposed by the Commission

Amendment

12a. This Regulation shall not support actions which, according to the environmental assessment provided for by Article 21(5), damage the environment or the climate. All actions must be fully compatible with the Paris Agreement, and EU funding of external action should contribute to the attainment of the long-term goals of the Paris Agreement.

Or. fr

Amendment 964

Julia Pitera

Proposal for a regulation

Article 25 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4 a. By way of further derogation from Article 209(3) of the Financial Regulation, repayments and revenues generated by investments under the existing endowments of the ACP Investment Facility shall be considered as revolving assets intended for investment in the sectors and regions covered by the ACP Investment Facility and shall continue to be managed by the EIB. If at any point the Commission or the Council propose for all such assets and liabilities to be transferred to the Union in accordance with [Article 25 of] the Financial Regulation, the legislative acts concerning the future functioning of the ACP Investment Facility shall be prepared in close dialogue with the EIB, in particular taking into account the EIB's contractual obligations to lend that might be existing at that time.

Or. en

Amendment 965

Urmas Paet

Proposal for a regulation

Article 25 a (new)

Text proposed by the Commission

Amendment

Article 25 a

By way of further derogation from Article 209(3) of the Financial Regulation, repayments and revenues generated by investments under the existing endowments of the ACP Investment Facility shall be considered as revolving

assets intended for investment in the sectors and regions covered by the ACP Investment Facility and shall continue to be managed by the EIB. If at any point the Commission or the Council propose for all such assets and liabilities to be transferred to the Union in accordance with [Article 25 of]the Financial Regulation, the legislative acts concerning the future functioning of the ACP Investment Facility shall be prepared in close dialogue with the EIB, in particular taking into account the EIB's contractual obligations to lend that might be existing at that time.

Or. en

Amendment 966
Julia Pitera

Proposal for a regulation
Article 26 – paragraph 1 – subparagraph –1 (new)

Text proposed by the Commission

Amendment

-1 *The EIB Group shall, under chapter IV of this regulation, inter alia:*

- a. indirectly manage and implement the ELM+;*
- b. provide all strategic banking and risk management competences required by the Commission, including those related to the operational management of the EFSD+ Guarantee;*
- c. provide a written opinion on banking-related matters to accompany each Commission proposal for investment windows under the EFSD+ guarantee;*
- d. be an eligible counterpart for managing and implementing activities under EFSD+.*

Notwithstanding the above, the Commission and the EIB Group may agree on any other role of the EIB Group as part of the implementation of the External Investment Framework in line

*with the Statute of the relevant EIB
Group entity and role under the Treaty.*

Or. en

Amendment 967
Marietje Schaake

Proposal for a regulation
Article 26 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.

Amendment

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) , *for a limited time period to be gradually phased out, the External Lending Mandate of the EIB* and the External Action Guarantee.

Or. en

Amendment 968
Charles Tannock, Eleni Theocharous
on behalf of the ECR Group

Proposal for a regulation
Article 26 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) *and* the External Action Guarantee.

Amendment

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+), the External Action Guarantee, *and a renewed External Lending Mandate Plus (ELM+).*

Or. en

Amendment 969
Julia Pitera

Proposal for a regulation

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Article 26 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.

Amendment

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+), ***the External Lending Mandate of the EIB***, and the External Action Guarantee.

Or. en

Amendment 970

Urmas Paet

Proposal for a regulation

Article 26 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.

Amendment

The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+), ***the External Lending Mandate of the EIB*** and the External Action Guarantee.

Or. en

Amendment 971

Julia Pitera

Proposal for a regulation

Article 26 – paragraph 1 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

The ELM+ is established as a budgetary guarantee managed indirectly by the EIB Group, providing commercial and political risk cover for financially sustainable projects in the public sector and political risk cover for financially sustainable projects in the private sector, each worldwide outside the Union.

Amendment 972**Lola Sánchez Caldentey, Sabine Lösing****Proposal for a regulation****Article 26 – paragraph 1 – subparagraph 1 a (new)***Text proposed by the Commission**Amendment*

The External Action Guarantee shall not be used to privatize or undermine the provision of essential public services, which remains a government responsibility.

Or. en

Amendment 973**Judith Sargentini, Michel Reimon****Proposal for a regulation****Article 26 – paragraph 1 – subparagraph 2***Text proposed by the Commission**Amendment*

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises ***as well as addressing specific socioeconomic root causes of irregular migration***, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic ***and environmental*** resilience in partner countries with a particular focus on the, ***climate change adaptation and mitigation***, eradication of poverty, ***women's economic empowerment***, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, ***cooperatives***, micro, small and medium-sized enterprises, in accordance with the relevant indicative programming documents. Special attention shall be given

conflict, Least Developed Countries and heavily indebted poor *countries*.

to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor *countries*. ***Preference shall be given to local economic actors. EFSD+ shall not be used to replace government responsibility for providing essential public services.***

Or. en

Amendment 974
Marietje Schaake

Proposal for a regulation
Article 26 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Amendment

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

For a limited phasing out period, the ELM+ shall be established as a budgetary guarantee, managed indirectly by the EIB Group, providing commercial and political risk cover for financially sustainable projects in the public sector and political risk cover for financially

sustainable projects in the private sector, each worldwide outside the Union.

Or. en

Amendment 975

Lola Sánchez Caldentey

Proposal for a regulation

Article 26 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of **implementation** set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote **the** socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of **irregular** migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Amendment

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of **application** set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, **while maximising development additionality, delivering innovative products and crowding in private sector**, in order to foster sustainable and inclusive economic, **environmental** and social development and promote socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, **in particular for women and young people** economic opportunities, skills and entrepreneurship, socioeconomic sectors, **with a focus on social enterprises and cooperatives in view of their potential to reduce poverty, inequalities, human rights and livelihoods, supporting** micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of **forced** migration in accordance with the relevant indicative programming documents. Special attention, **and additional support for institutional capacity building, economic governance, and technical assistance**, shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Amendment 976

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

Proposal for a regulation**Article 26 – paragraph 1 – subparagraph 2***Text proposed by the Commission*

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of **irregular** migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Amendment

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, **while maximising development additionality, delivering innovative products and crowding in private sector** in order to foster sustainable and inclusive economic, **environmental** and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of migration, in accordance with the relevant indicative programming documents. Special attention **and additional support for institutional capacity building, economic governance, and technical assistance** shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Amendment 977

Louis Michel

Proposal for a regulation

Article 26 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of **implementation** set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote **the socio-economic** resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Amendment

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of **use** set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, **while exploiting additionality in the best possible way, fostering industrialisation and the provision of innovative products and attracting private financing** in order to foster sustainable and inclusive economic and social development and promote **socioeconomic** resilience in partner countries with a particular focus on the eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, **particularly for women and young people**, economic opportunities, skills and entrepreneurship, socioeconomic sectors; **in particular social enterprises and cooperatives in view of their potential to reduce poverty and inequality, as well as human rights and livelihoods; supporting** micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration **and forced displacement**, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Or. fr

Amendment 978

Eduard Kukan

Proposal for a regulation

Article 26 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The purpose of the EFSD+ as an integrated
PE632.092v01-00

Amendment

The purpose of the EFSD+ as an integrated

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financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration *and contributing to the sustainable reintegration of returned migrants in their countries of origin, while maximising additionality*, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Or. en

Amendment 979

Patrizia Toia

Proposal for a regulation

Article 26 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of

Amendment

The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the eradication of poverty, sustainable and inclusive growth, the creation of

decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

decent jobs, *especially for disadvantaged groups*, economic opportunities, skills and entrepreneurship, socioeconomic sectors, *social enterprises, and* micro, small and medium-sized enterprises, as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.

Or. it

Justification

Promotes access to the labour market for vulnerable groups of people (traditionally women and the young) and includes among the enterprises listed those operating on the market for no profit, or rather with mandatory redistribution of profits.

Amendment 980 **Louis Michel**

Proposal for a regulation **Article 26 – paragraph 1 – subparagraph 2 a (new)**

Text proposed by the Commission

Amendment

1. EFSD+ operations eligible for support through the External Action Guarantee shall contribute to the following priority areas:

(a) providing finance and support to private and cooperative sector development to contribute to sustainable development and, where appropriate, the European Neighbourhood Policy and the objectives set out in Article 3 of Regulation EU.../... (IAP III);

(b) addressing barriers to private investment, in particular by ensuring the legal security of investments;

(c) mobilising private sector financing, with a particular focus on micro, small and medium-sized enterprises;

(d) strengthening socioeconomic sectors and areas and related public and private infrastructure and sustainable connectivity;

(e) contributing to climate action and environmental protection and management;

(f) contributing, by promoting sustainable development, to addressing specific root causes of migration, including irregular migration, and facilitating safe, orderly and regular migration and mobility.

Or. fr

Amendment 981
Urmas Paet

Proposal for a regulation
Article 26 – paragraph 1 – subparagraph 2 a (new)

Text proposed by the Commission

Amendment

The EIB Group shall, under chapter IV of this regulation, inter alia: a. indirectly manage and implement the ELM+; b. provide all strategic banking and risk management competences required by the Commission, including those related to the operational management of the EFSD+ Guarantee, c. provide a written opinion on banking-related matters to accompany each Commission proposal for investment windows under the EFSD+ guarantee; d. be an eligible counterpart for managing and implementing activities under EFSD+. Notwithstanding the above, the Commission and the EIB Group may agree on any other role of the EIB Group as part of the implementation of the External Investment Framework in line with the Statute of the relevant EIB Group entity and role under the Treaty.

Or. en

Amendment 982
Lola Sánchez Caldentey

Proposal for a regulation
Article 26 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1 a. The EFSD+ operations eligible for support through the External Action Guarantee shall contribute to the following priority areas:

a) providing finance and support to private, social enterprises and cooperative sector development to contribute to sustainable development and, where appropriate, the European Neighbourhood Policy and the objectives set out in Article 3 of the of Regulation EU.../... [IPA III];

b) leveraging private sector financing, with a particular focus on micro, small and medium-sized social enterprises;

c) strengthening socioeconomic sectors and areas, related public and private infrastructure and sustainable connectivity.

Or. en

Amendment 983
Charles Tannock, Eleni Theocharous
on behalf of the ECR Group

Proposal for a regulation
Article 26 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2 a. Under the External Lending Mandate Plus (ELM+) the Union shall grant the European Investment Bank (EIB) a budgetary guarantee for financing operations carried out outside the Union, which will be managed indirectly by the EIB Group, supporting public and private sector investments in support of external action globally,

including in Africa.

Or. en

Amendment 984

Norbert Neuser, Juan Fernando López Aguilar, Cécile Kashetu Kyenge

**Proposal for a regulation
Article 26 – paragraph 3**

Text proposed by the Commission

Amendment

**3. Under the External Action
Guarantee, the Union may guarantee
operations, signed between 1 January
2021 and 31 December 2027, up to EUR
60 000 000 000.**

deleted

Or. en

Amendment 985

Judith Sargentini, Michel Reimon

**Proposal for a regulation
Article 26 – paragraph 4 – subparagraph 1**

Text proposed by the Commission

Amendment

The provisioning rate shall range between 9% and 50% depending on the type of operations.

The provisioning rate shall range between 9% and 50% depending on the type of operations. ***A maximum amount of EUR 10 billion shall be provisioned from the EU budget through a specific budget line in the framework of the annual budgetary procedure or through a budget transfer.***

Or. en

Amendment 986

Eduard Kukan

**Proposal for a regulation
Article 26 – paragraph 6**

Text proposed by the Commission

6. The EFSD+ and the External Action Guarantee may support financing and investment operations in partner countries in the geographical areas referred to in Article 4(2). The provisioning of the External Action Guarantee shall be financed from the budget of the relevant geographic programmes established by Article 6(2)(a) and shall be transferred into the common provisioning fund. The EFSD+ and the External Action Guarantee may also support operations in beneficiaries listed in Annex I of Regulation IPA III. The funding for these operations under the EFSD+ and for the provisioning of the External Action Guarantee shall be financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.

Amendment

6. The EFSD+ and the External Action Guarantee may support financing and investment operations in partner countries in the geographical areas referred to in Article 4(2) **(a) (b)**. The provisioning of the External Action Guarantee shall be financed from the budget of the relevant geographic programmes established by Article 6(2)(a) and shall be transferred into the common provisioning fund. The EFSD+ and the External Action Guarantee may also support operations in beneficiaries listed in Annex I of Regulation IPA III. The funding for these operations under the EFSD+ and for the provisioning of the External Action Guarantee shall be financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.

Or. en

Amendment 987

Linda McAvan

Proposal for a regulation

Article 26 a (new)

Text proposed by the Commission

Amendment

Article 26 a

Objectives for the EFSD+

1. The EFSD+ operations eligible for support through the External Action Guarantee shall contribute to the following priority areas:

(a) providing finance and support to private, cooperative and social enterprise sector development to contribute to sustainable development and, where appropriate, the European Neighbourhood Policy and the objectives set out in Article 3 of the of Regulation

EU.../... [IPA III];

(b) addressing bottlenecks to private investments;

(c) leveraging private sector financing, with a particular focus on micro, small and medium-sized enterprises;

(d) strengthening socio-economic sectors and areas and related public and private infrastructure and sustainable connectivity and sustainable production, with the objective of promoting an inclusive and sustainable socio-economic development that respects human rights and the environment;

(e) contributing to climate action and environmental protection and management;

(f) contributing, by promoting sustainable development, to addressing specific root causes of migration, including irregular migration, and contribute to safe, orderly and regular migration and mobility.

2. The External Action Guarantee shall not be used to privatize or undermine the provision of essential public services, which remains a government responsibility.

Or. en

Amendment 988

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Doru-Claudian Frunzulică, Cécile Kashetu Kyenge

Proposal for a regulation

Article 26 a (new)

Text proposed by the Commission

Amendment

Article 26 a

Objectives for the EFSD+

1. The EFSD+ operations eligible for support through the External Action Guarantee shall contribute to the following priority areas:

(a) providing finance and support to private and cooperative sector development to contribute to sustainable development and, where appropriate, the European Neighbourhood Policy and the objectives set out in Article 3 of the of Regulation EU.../... [IPA III];

(b) addressing bottlenecks to private investments;

(c) leveraging private sector financing, with a particular focus on micro, small and medium-sized enterprises;

(d) strengthening socio-economic sectors and areas and related public and private infrastructure and sustainable connectivity and sustainable production, with the objective of promoting an inclusive and sustainable socio-economic development that respects human rights and the environment;

(e) contributing to climate action and environmental protection and management;

(f) contributing, by promoting sustainable development, to addressing specific root causes of migration, including irregular migration, and contribute to safe, orderly and regular migration and mobility.

2. The External Action Guarantee shall not be used to privatize or undermine the provision of essential public services, which remains a government responsibility.

Or. en

Amendment 989
Marietje Schaake

Proposal for a regulation
Article 26 a (new)

Text proposed by the Commission

Amendment

Article 26 a

Role of the EIB Group

EIB Group shall, under chapter IV of this regulation, inter alia:

- a. indirectly manage and implement the ELM+;*
- b. provide all strategic banking and risk management competences required by the Commission, including those related to the operational management of the EFSD+ Guarantee,*
- c. provide a writing opinion on banking related matters to accompany each Commission proposal for investment windows under the EFSD+ guarantee;*
- d. be an eligible counterpart for managing and implementing activities under EFSD+.*

Notwithstanding the above, the Commission and the EIB Group may agree on any other role of the EIB Group as part of the implementation of the External Investment Framework in line with the Statute of the relevant EIB Group entity and role under the Treaty.

Or. en

Amendment 990 **Judith Sargentini, Michel Reimon**

Proposal for a regulation **Article 27 – paragraph 1**

Text proposed by the Commission

1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned ***with Union policies, as well as*** with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in Annex V.

Amendment

1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in Annex V.

Amendment 991**Eduard Kukan****Proposal for a regulation****Article 27 – paragraph 1***Text proposed by the Commission*

1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with Union policies, as well as with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in Annex V.

Amendment

1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with Union policies, ***in particular its development policy and the European Neighbourhood Policy***, as well as with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in Annex V.

Or. en

Amendment 992**Judith Sargentini, Michel Reimon****Proposal for a regulation****Article 27 – paragraph 2 – point -a (new)***Text proposed by the Commission**Amendment*

(-a) contribute to sustainable development in its economic, social and environmental dimensions, and to the implementation of the 2030 Agenda and, where appropriate, the European Neighbourhood Policy, with a particular focus on the eradication of poverty, the creation of decent jobs, economic opportunities, skills and entrepreneurship, promoting in particular gender equality and the empowerment of women and young people, while pursuing and strengthening the rule of law, good

governance and human rights;

Or. en

Amendment 993
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 2 – point –a a (new)

Text proposed by the Commission

Amendment

*(-a a) provide financial and development
additionality;*

Or. en

Amendment 994
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 2 – point –a b (new)

Text proposed by the Commission

Amendment

*(-a b) undergo a publicly available
participatory ex ante human rights, social,
labour and environmental impact
assessment identifying and addressing
risks in those fields and taking due
account of the principle of free and prior
informed consent (FPIC) of affected
communities in land related investments;*

Or. en

Amendment 995
Eduard Kukan

Proposal for a regulation
Article 27 – paragraph 2 – point a a (new)

Text proposed by the Commission

Amendment

(a a) provide additionality;

Amendment 996
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 2 – point b a (new)

Text proposed by the Commission

Amendment

(b a) respect the development effectiveness principles as set out in the Busan Partnership for Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, focus on results, transparency and mutual accountability, as well as the objective of untying aid;

Or. en

Amendment 997
Eduard Kukan

Proposal for a regulation
Article 27 – paragraph 2 – point c

Text proposed by the Commission

Amendment

(c) are technically viable and are sustainable from an environmental and *social* point of view.

(c) are technically viable and are sustainable from an environmental and *socio-economic* point of view.

Or. en

Amendment 998
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 2 – point c a (new)

Text proposed by the Commission

Amendment

(c a) contribute to climate action and environmental protection and management, thus producing climate co-

benefits, allocating at least 50% of the financing to investments that contribute to climate action, renewable energy and resource efficiency;

Or. en

Amendment 999
Patrizia Toia

Proposal for a regulation
Article 27 – paragraph 2 – point c a (new)

Text proposed by the Commission

Amendment

(ca) are concerned with sectors in which private sector funding is hindered by market or institutional failures;

Or. it

Justification

Guarantee funding must be used in situations where there has been market or institutional failure.

Amendment 1000
Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation
Article 27 – paragraph 2 – point c a (new)

Text proposed by the Commission

Amendment

(c a) promote social protection in the beneficiary country through compliance with labour rights and decent work standards

Or. en

Amendment 1001
Eduard Kukan

Proposal for a regulation

Article 27 – paragraph 2 – point c a (new)

Text proposed by the Commission

Amendment

(c a) provide adequate risk sharing by the respective eligible counterpart and other prospective partners;

Or. en

Amendment 1002

Lola Sánchez Caldentey, Sabine Lösing

Proposal for a regulation

Article 27 – paragraph 2 – point c a (new)

Text proposed by the Commission

Amendment

(c a) provide financial and development additionality.

Or. en

Amendment 1003

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

Proposal for a regulation

Article 27 – paragraph 2 – point c a (new)

Text proposed by the Commission

Amendment

(c a) provide financial and development additionality.

Or. en

Amendment 1004

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 27 – paragraph 2 – point c b (new)

Text proposed by the Commission

Amendment

(c b) target areas with clear market

failures, channel private capital into investment gaps and focus on risk areas that fail to attract purely private investment;

Or. en

Amendment 1005
Patrizia Toia

Proposal for a regulation
Article 27 – paragraph 2 – point c b (new)

Text proposed by the Commission

Amendment

(cb) maximise local private capital mobility and focus on high-risk investment projects;

Or. it

Justification

The Guarantee is aimed at mobilising both local private capital and foreign high-risk investment.

Amendment 1006
Eduard Kukan

Proposal for a regulation
Article 27 – paragraph 2 – point c b (new)

Text proposed by the Commission

Amendment

(c b) maximize, wherever relevant, the mobilisation of private sector capital;

Or. en

Amendment 1007
Judith Sargentini, Michel Reimon, Maria Heubuch

Proposal for a regulation
Article 27 – paragraph 2 – point c c (new)

Text proposed by the Commission

Amendment

(c c) are implemented in full respect of the Human Rights conventions, the OECD Guidelines for Multi-National Enterprises, the UN Guiding Principles on Business and Human Rights, the ILO conventions and standards, the UN Convention on the Elimination of All Forms of Discrimination Against Women, the Maastricht Principles on the Extraterritorial Obligations of States in the Area of Economic, Social and Cultural Rights and the FAO Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security and the FAO Principles for Responsible Investment in Agriculture and Food Systems;

Or. en

Amendment 1008

Patrizia Toia

Proposal for a regulation

Article 27 – paragraph 2 – point c c (new)

Text proposed by the Commission

Amendment

(cc) seek to fulfil the Official Development Assistance (ODA) criteria established by the Development Assistance Committee of the OECD with particular reference to private sector development.

Or. it

Justification

Compliance with Official Development Assistance (ODA) criteria is key to achieving the objectives of the Regulation.

Amendment 1009

Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation
Article 27 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2 a. *The EFSD Guarantee shall not support financing and investment operations which: (a) are linked to the military or state security sector; (b) support the development of nuclear energy and fossil fuels and promote further carbon lock-in of economies and societies; (c) have significant environmental external costs, such as those that involve degradation of protected areas, Critical Habitats and Heritage sites for which no sustainable development and management plan is carried out; (d) result in violation of human rights in partner countries, such as depriving communities from their right to access and control natural resources such as land, contribute to forced displacement of populations, or involve forced labour or child labour.*

Or. en

Amendment 1010
Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation
Article 27 – paragraph 2 b (new)

Text proposed by the Commission

Amendment

2 b. *A comprehensive exclusion list shall be developed and adopted, building on existing international best practice and in consultation with all relevant stakeholders including civil society, to ensure EFSD+ operations comply with strict environmental, social and governance principles in line with EU's international agreements and commitments.*

Or. en

Amendment 1011
Sabine Lösing, Lola Sánchez Caldentey

Proposal for a regulation
Article 27 – paragraph 3 – point d

Text proposed by the Commission

Amendment

(d) *capital market instruments;* *deleted*

Or. en

Amendment 1012
Sabine Lösing, Lola Sánchez Caldentey

Proposal for a regulation
Article 27 – paragraph 3 – point e

Text proposed by the Commission

Amendment

(e) *any other form of funding or credit enhancement, insurance, and equity or quasi-equity participations.* *deleted*

Or. en

Amendment 1013
Eduard Kukan

Proposal for a regulation
Article 27 – paragraph 3 a (new)

Text proposed by the Commission

Amendment

3 a. The eligible counterparts may provide the instruments listed in paragraph 1 under an investment window or individual project administered by an eligible counterpart. They may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-conflict recovery, for the benefit of those partner countries'

institutions, including their public national and private local banks and financial institutions, as well as for the benefit of private sector entities of those partner countries. In countries experiencing fragility or conflict, and other countries, where justified, support may be provided to public sector investments that have relevant effects on private sector development.

Or. en

Amendment 1014
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 5 – subparagraph 1

Text proposed by the Commission

Eligible counterparts shall comply with the rules and conditions provided for in Article 62(2)(c) of the Financial Regulation. In the case of bodies governed by the private law of a Member State or a third country ***which have contributed to the External Action Guarantee in accordance with Article 28 of this Regulation***, preference shall be given to those bodies that disclose information related to environment, social and corporate governance criteria.

Amendment

Eligible counterparts shall comply with the rules and conditions provided for in Article 62(2)(c) of the Financial Regulation. In the case of bodies governed by the private law of a Member State or a third country, preference shall be given to those bodies that disclose information related to environment, social and corporate governance criteria.

In their financing and investment operations, the eligible counterparts shall comply with applicable Union law and agreed international and Union standards and, therefore, shall not support projects under this Regulation that contribute to money laundering, terrorism financing, tax avoidance, tax fraud and tax evasion, or promotion of fossil fuels. In addition, the eligible counterparts shall not enter into new or renewed operations with entities incorporated or established in jurisdictions listed under the relevant Union policy on non-cooperative jurisdictions, or that are identified as high risk third countries pursuant to Article 9(2) of Directive (EU) 2015/849 of the European Parliament and of the Council, or that do not effectively comply with

Union or internationally agreed tax standards on transparency and exchange of information.

Or. en

Amendment 1015

Lola Sánchez Caldentey, Sabine Lösing

Proposal for a regulation

Article 27 – paragraph 5 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

The European Parliament or the Council may invite eligible counterparts, CSOs and local communities to an exchange of views concerning financing and investment operations covered by this Regulation.

Or. en

Amendment 1016

Eduard Kukan

Proposal for a regulation

Article 27 – paragraph 5 – subparagraph 3

Text proposed by the Commission

Amendment

The Commission shall ensure fair treatment for all eligible counterparts and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.

The Commission shall ensure fair treatment ***and equal access to funding*** for all eligible counterparts and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.

Or. en

Amendment 1017

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Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Patrizia Toia, Cécile Kashetu Kyenge

Proposal for a regulation
Article 27 – paragraph 5 a (new)

Text proposed by the Commission

Amendment

5 a. *The European Parliament or the Council may invite eligible counterparts, CSOs and local communities to an exchange of views concerning financing and investment operations covered by this Regulation.*

Or. en

Amendment 1018
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 6 – point d a (new)

Text proposed by the Commission

Amendment

(d a) *the principles of fair and open tender procedures.*

Or. en

Amendment 1019
Eduard Kukan

Proposal for a regulation
Article 27 – paragraph 7 – subparagraph 1

Text proposed by the Commission

Amendment

The Commission shall set up investment windows for regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the

Taking due account of the advice provided by the strategic board, the Commission shall, after consulting the operational boards and informing the European Parliament and the Council, set up investment windows for specific regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or

Council on how the investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.

both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.

Or. en

Amendment 1020
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 27 – paragraph 8

Text proposed by the Commission

8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 2 and 3, ***where possible drawing on the existing result measurement systems of eligible counterparts***. The Commission shall publish the result of its assessment for each investment window on an annual basis.

Amendment

8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 2 and 3. ***The Commission shall establish and make public a scoreboard of indicators to be used by implementing partners for the selection of projects and by the European Commission to check the due diligence around project selection, to ensure an independent and transparent assessment of the potential and actual use of the EU guarantee inline with criteria listed in 27.2.*** The Commission shall publish the result of ***all guarantee tools and individual projects under*** its assessment for each investment window on an annual basis.

Or. en

Amendment 1021
Lola Sánchez Caldentey, Sabine Lösing

Proposal for a regulation
Article 27 – paragraph 8 a (new)

8 a. *The Commission shall establish a scorecard of indicators to guide project selection. Implementing partners shall fill in the scoreboard for all operations under EFSD+. The Commission shall assess all operations supported by the Guarantee against eligibility criteria and shall use the scoreboard to perform an independent quality check on the due diligence and assessment made by implementing partners at project level. If necessary, the Commission shall ask for clarification and modifications to the implementing partners. The scoreboard for all projects shall be made public after approval for the use of the guarantee by the Commission and implementing partners.*

Or. en

Amendment 1022

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

Proposal for a regulation

Article 27 a (new)

Article 27 a

Governance of the EFSD +

- 1. The EFSD+ shall be composed of regional investment platforms established on the basis of the working methods, procedures and structures of the existing external blending facilities of the Union, which may combine their blending operations and External Action Guarantee operations under the EFSD+.**
- 2. The management of the EFSD+ shall be ensured by the Commission.**
- 3. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the case of the operations covering the Union's Enlargement policy and financed by [IPA**

III], where the Commission shall be advised by a strategic board of the Western Balkans Investment Framework (WBIF).

4. The strategic board shall advise the Commission on the strategic orientation and priorities of External Action Guarantee investments under the EFSD+ and contribute to their alignment with the guiding principles and objectives of the Union's external action, development policy, European Neighbourhood policy, as well as with the objectives set out in Article 3 and the purpose of the EFSD+ as set out in Article 26. It shall also support the Commission in setting overall investment goals as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified geographical and thematic coverage for investment windows, while giving special attention to countries identified as experiencing fragility or conflict, Least Developed Countries ('LDCs') and heavily indebted poor countries.

5. The strategic board shall also support overall coordination, complementarity and coherence between the regional investment platforms, between the three pillars of the European Investment Plan, between the European Investment Plan and the Union's other efforts on migration and on the implementation of the 2030 Agenda, as well as with other programmes set out in this Regulation, other Union funding instruments and Trust Funds.

6. The strategic board shall be composed of representatives of the Commission and of the High Representative, of all Member States and of the European Investment Bank. The European Parliament shall have observer status and regularly send observers, including legal experts to board meetings. Contributors, eligible counterparts, partner countries, relevant regional organisations and other stakeholders may be given observer status,

where appropriate. The strategic board shall be consulted prior to the inclusion of any new observer. The strategic board shall be co-chaired by the Commission and the High Representative.

7. The strategic board shall meet at least twice a year and, when possible, adopt opinions by consensus. Additional meetings may be organised at any time by the chair or at the request of one third of its members. Where consensus cannot be reached, the voting rights as agreed during the first meeting of the strategic board and laid down in its rules of procedure shall apply. Those voting rights shall take due account of the source of financing. The rules of procedure shall set out the framework regarding the role of observers. The minutes and agendas of the meetings of the strategic board shall, following their adoption, be made public.

8. The Commission shall report annually to the strategic board about the progress made in respect of the application of the EFSD+. The strategic board of the WBIF shall provide progress made on the application of the guarantee instrument for the Enlargement region to complement that reporting. The strategic board shall regularly organise a consultation of relevant stakeholders on the strategic orientation and application of the EFSD+.

9. The Commission shall establish a scorecard of indicators to guide project selection. Implementing partners shall fill in the scoreboard for all operations under EFSD+. The Commission shall assess all operations supported by the Guarantee against eligibility criteria and shall use the scoreboard to perform an independent quality check on the due diligence and assessment made by implementing partners at project level. If necessary, the Commission shall ask for clarification and modifications to the implementing partners. The scoreboard for all projects shall be made public after approval for the use of the guarantee by the

Commission and implementing partners.

10. The existence of the two strategic boards does not bear influence on the need to have a single, unified EFSD+ risk management framework.

11. During the application period of the EFSD+, the strategic board shall, as soon as possible, adopt and publish guidelines setting out how conformity of EFSD+ operations with the objectives and eligibility criteria set out in Articles 26 a and 27 is to be ensured.

12. In its strategic guidance, the strategic board shall take due account of relevant European Parliament resolutions and Council decisions and conclusions.

13. The operational boards of regional investment platforms shall support the Commission at the application level in defining regional and sectoral investment goals and regional, sectoral and thematic investment windows and shall formulate opinions on blending operations and on the use of the External Action Guarantee covering EFSD+ operations.

Or. en

Amendment 1023
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 29 – paragraph 3 – point c

Text proposed by the Commission

(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the ***promotion of corporate social responsibility and*** responsible business conduct;

Amendment

(c) a mention of the objectives and purpose of this Regulation, a needs ***assessment, an impact*** assessment and an indication of the expected results, taking into account the ***need to ensure a*** responsible business conduct;

Or. en

Amendment 1024
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 29 – paragraph 3 – point g

Text proposed by the Commission

(g) monitoring, reporting and evaluation obligations;

Amendment

(g) monitoring, ***including indicators disaggregated by gender***, reporting and evaluation obligations;

Or. en

Amendment 1025
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 29 – paragraph 3 – point g a (new)

Text proposed by the Commission

Amendment

(g a) a clear procedure for the European Commission to make a quality check on the due diligence carried out by implementing partners at individual project level, including regarding ex ante human rights, social and labour, environmental impact assessment, and to ask for clarification and adjustments to the implementing partners;

Or. en

Amendment 1026
Sabine Lösing, Lola Sánchez Caldentey

Proposal for a regulation
Article 29 – paragraph 5 – point b

Text proposed by the Commission

(b) for equity investments, the amounts invested and their associated financing costs;

Amendment

deleted

Or. en

Amendment 1027

Eduard Kukan

Proposal for a regulation

Article 29 – paragraph 5 a (new)

Text proposed by the Commission

Amendment

5 a. 4. The Commission, when concluding External Action Guarantee agreements with eligible counterparts, shall take due account of:

(a) the advice and guidance of the strategic and regional operational boards;

(b) the objectives of the investment window;

(c) the experience and operational, financial and risk management capacity of the eligible counterpart;

(d) the amount of own resources, as well as private sector co-financing, that the eligible counterpart is ready to mobilise for the investment window.

Or. en

Amendment 1028

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 29 – paragraph 7

Text proposed by the Commission

Amendment

7. The eligible counterparts shall, upon request, provide the Commission with any additional information necessary to fulfil the Commission's obligations in relation to this Regulation.

7. The eligible counterparts shall, upon request, provide the Commission with any additional information necessary to fulfil the Commission's obligations in relation to this Regulation, ***in particular with regard to the implementation of recommendations from the ex-ante human rights, social, labour and environment impact assessment and other selection criteria listed in Article 27.***

Amendment 1029
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 29 a (new)

Text proposed by the Commission

Amendment

Article 29 a

Grievance and redress mechanism

The European Commission shall establish an EU centralised Grievance Mechanism for all projects pursuant to chapter IV of this regulation. This grievance mechanism shall be open directly to stakeholders affected by operations, and by stakeholders dissatisfied with how their complaints are addressed by the grievance mechanisms of the counterparts.

Or. en

Amendment 1030
Lola Sánchez Caldentey, Guillaume Balas, Sabine Lösing, Ricardo Serrão Santos, Kathleen Van Brempt

Proposal for a regulation
Article 30 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

In view of possible grievances of third parties in partner countries, including communities and individuals affected by projects supported by the EFSD+ and the External Action Guarantee, the Commission and European Union delegations shall publish on their websites direct references to the complaints mechanisms of the relevant counterparts that have concluded agreements with the Commission. The Commission shall also establish a centralised grievance mechanism to provide the possibility of

directly receiving complaints related to the treatment of grievances by eligible counterparts. The Commission shall take that information into account in view of future cooperation with those counterparts.

Or. en

Amendment 1031
Louis Michel

Proposal for a regulation
Article 30 a (new)

Text proposed by the Commission

Amendment

Article 30a

Excluded activities and non-cooperative jurisdictions

1. The External Action Guarantee shall not support financing and investment operations which:

(a) are linked to the military or state security sector;

(b) support the development of nuclear energy - except for loans provided in accordance with the Regulation establishing a European Instrument for Nuclear Safety - or fossil fuels and promote further carbon lock-in of economies and societies;

(c) have significant environmental external costs, such as those that involve degradation of protected areas, critical habitats and heritage sites for which no sustainable development and management plan exists;

(d) result in violation of human rights in partner countries, for example by depriving communities of their right to access and control natural resources such as land, contribute to forced displacement of populations, or involve forced labour or child labour.

2. In financing and investment operations, the eligible counterparts shall comply with applicable Union law and agreed international and Union standards and, therefore, shall not support projects under this Regulation that contribute to money laundering, terrorist financing, tax avoidance, tax fraud, aggressive tax planning, trafficking in cultural goods or promotion of fossil fuels.

In addition, the eligible counterparts shall not enter into new or renewed operations with entities incorporated or established in jurisdictions listed under the relevant Union policy on non-cooperative jurisdictions, or that are identified as high-risk third countries pursuant to Article 9(2) of Directive (EU) 2015/849 of the European Parliament and of the Council, or that do not effectively comply with Union or internationally agreed tax standards on transparency and exchange of information.

Or. fr

Amendment 1032

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

Proposal for a regulation Article 30 a (new)

Text proposed by the Commission

Amendment

Article 30 a

Grievance and redress mechanism

In view of possible grievances of third parties in partner countries, including communities and individuals affected by projects supported by the EFSD+ and the External Action Guarantee, the Commission and European Union delegations shall publish on their websites direct references to the complaints mechanisms of the relevant counterparts that have concluded agreements with the Commission. The Commission shall also

establish a centralised grievance mechanism to provide the possibility of directly receiving complaints related to the treatment of grievances by eligible counterparts. The Commission shall take that information into account in view of future cooperation with those counterparts.

Or. en

Amendment 1033
Marietje Schaake

Proposal for a regulation
Article 31 – paragraph 1

Text proposed by the Commission

1. Indicators *to report on progress under this Regulation towards the achievement of the specific objectives* set out in *Article 3* are set in *Annex VII*, in line with the *Sustainable Development Goals indicators*. *The values of the indicators on 1 January 2021 shall be used as a basis for assessing the extent to which the objectives have been achieved.*

Amendment

1. *One or more performance and progress indicators shall be developed per objective* set out in *the programming frameworks or strategies to monitor implementation and progress.*

Or. en

Amendment 1034
Marietje Schaake

Proposal for a regulation
Article 31 – paragraph 2 – subparagraph 1

Text proposed by the Commission

The Commission shall *regularly* monitor its actions and review progress made towards delivering expected results, covering outputs and outcomes.

Amendment

The Commission shall monitor its actions *and the cooperation with the partner country* and review progress made towards delivering expected results, covering outputs and outcomes *on a yearly basis.*

Or. en

Amendment 1035
Marietje Schaake

Proposal for a regulation
Article 31 – paragraph 2 – subparagraph 2

Text proposed by the Commission

Progress with respect to expected results should be monitored on the basis of clear, transparent and, *where appropriate*, measurable indicators. ***Indicators shall be kept at a limited number to facilitate timely reporting.***

Amendment

Progress with respect to expected results should be monitored on the basis of clear, transparent and measurable indicators.

Or. en

Amendment 1036
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 31 – paragraph 5

Text proposed by the Commission

5. The *annual* report shall *contain* information *relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, and the implementation of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector*. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess, *where possible and relevant*, the adherence to development effectiveness principles, including for innovative financial instruments.

Amendment

5. The *report shall include* information on *performance in respecting the targets established in Article 3.3 regarding 97% Official Development Assistance, Article 6.4 on human development and social inclusion, climate change and environment and gender equality and women's and girls' empowerment, including an annual estimate of the overall spending for these areas using relevant markers and indicators*. *The report shall include an assessment of progress made regarding the incorporation of cross-cutting issues as mentioned in Article 8.6*. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess the adherence to development effectiveness principles *and the*

additionality of spending, including for innovative financial instruments.

Or. en

Amendment 1037
Marietje Schaake

Proposal for a regulation
Article 31 – paragraph 5

Text proposed by the Commission

5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, and the implementation of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector. It shall assess the results of the Union funding using, *as far as possible*, specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.

Amendment

5. The annual report shall contain information relating to the previous year on the measures financed, ***progress, results, the level of cooperation of the partner country***, the results of monitoring and evaluation exercises, the involvement of the relevant partners, and the implementation of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector. It shall assess the results of the Union funding using specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.

Or. en

Amendment 1038
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 31 – paragraph 6

Text proposed by the Commission

6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the

Amendment

6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the

Regulations referred to in Article 40(2), including external assigned revenues and **contributions to trust funds**, and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years.

Regulations referred to in Article 40(2), including external assigned revenues and , and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years. **It shall include an assessment of the level of staff capacity at the headquarters and EU delegations level for the delivery of all objectives covered in this Regulation.**

Or. en

Amendment 1039

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Doru-Claudian Frunzuliță, Cécile Kashetu Kyenge

Proposal for a regulation

Article 31 – paragraph 6 a (new)

Text proposed by the Commission

Amendment

6 a. The Commission shall submit an annual report to the European Parliament and to the Council on the financing and investment operations covered by the External Action Guarantee, and the functioning of the EFSD+, its management and its effective contribution to its objectives. That report shall be made public and be accompanied by an opinion of the Court of Auditors. It shall include the following elements:

(a) an assessment of the results contributing to the purpose and objectives of the EFSD+ as set out in Article 26(1);

(b) an assessment of current financing and investment operations and covered by the External Action Guarantee at sector, country and regional levels and their compliance with this Regulation, including the risk measures and their impact on the financial and economic stability of the partners;

(c) an assessment of the additionality and added value, the mobilisation of private sector resources, the estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee on an aggregated basis, including the impact on decent job creation and ability to provide a living wage, the eradication of poverty and the reduction of inequality, are addressed; that assessment shall include a gender analysis of the operations covered based on evidence and data broken down by gender, where possible, and an analysis of the type of private sector supported including cooperatives and social enterprises;

(d) an assessment of the compliance with the requirements concerning the use of the External Action Guarantee and of the achievement of key performance indicators established for each proposal submitted;

(e) an assessment of the leverage effect achieved by the operations covered by the External Action Guarantee;

(f) the financial amount transferred to beneficiaries and an assessment of financing and investment operations by each eligible counterpart on an aggregated basis;

(g) an assessment of the additionality and added value of financing and investment operations of the eligible counterparts, and of the aggregate risk associated with those operations;

(h) detailed information on calls on the External Action Guarantee, losses, returns, amounts recovered and any other payments received, as well as overall risk exposure;

(i) the financial reports on financing and investment operations of the eligible counterparts covered by this Regulation, audited by an independent external auditor;

(j) an assessment of the synergies and complementarity between operations

covered by the External Action Guarantee and the second and third pillars of the EIP based on relevant existing reports, with particular regard to progress made on good governance, including in the fight against corruption and illicit financial flows, respect for human rights, the rule of law and gender-responsive policies, as well as the boosting of local entrepreneurship and local financial markets;

(k) an assessment of the compliance of External Action Guarantee operations with the internationally agreed development effectiveness principles;

(l) an assessment of the remuneration of the guarantees and of the application of the provisions related to excluded activities and non-cooperative jurisdictions.

Or. en

Amendment 1040
Lola Sánchez Caldentey, Sabine Lösing

Proposal for a regulation
Article 31 – paragraph 6 a (new)

Text proposed by the Commission

Amendment

6 a. Guarantee on an aggregated basis, including the impact on decent job creation, the eradication of poverty and the reduction of inequality, are addressed; that assessment shall include a gender analysis of the operations covered based on evidence and data broken down by gender, where possible and analysis of the type of private sector supported including cooperatives and social enterprises;

Or. en

Amendment 1041
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 31 – paragraph 8

Text proposed by the Commission

8. The Commission shall make available information on development co-operation through recognised international standards.

Amendment

8. The Commission shall make available information on development co-operation through recognised international standards ***using the framework for a common standard developed by the International Aid Transparency Initiative.***

Or. en

Amendment 1042
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 32 – paragraph 1 – subparagraph 1

Text proposed by the Commission

An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.

Amendment

An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.

The interim evaluation shall also assess the functioning of the simplified and streamlined architecture of the EFIs against the objectives of the Union's underlying policies and the principles of transparency, accountability, efficiency, coherence and flexibility of EU funds for external action.

Or. en

Amendment 1043
Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation
Article 32 – paragraph 1 – subparagraph 1

Text proposed by the Commission

An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.

Amendment

An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument. ***A consultation with key stakeholders and beneficiaries, including civil society organisations shall be organised; particular attention shall be given to ensure that the most marginalised are represented.***

Or. en

Amendment 1044
Marietje Schaake

Proposal for a regulation
Article 32 – paragraph 1 – subparagraph 1

Text proposed by the Commission

An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.

Amendment

An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument. ***The European Parliament may provide input to this evaluation.***

Or. en

Amendment 1045
Judith Sargentini, Michel Reimon
on behalf of the Verts/ALE Group

Proposal for a regulation
Article 32 – paragraph 1 – subparagraph 2

Text proposed by the Commission

Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the

Amendment

Evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation

Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions.

for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions.

Or. en

Amendment 1046
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 32 – paragraph 2 – subparagraph 1

Text proposed by the Commission

At the end of the implementation of the Regulation, but no later than **four** years after the end of the period specified in Article 1, a final evaluation of the Regulation shall be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.

Amendment

At the end of the implementation of the Regulation, but no later than **two** years after the end of the period specified in Article 1, a final evaluation of the Regulation shall be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.

The final evaluation report shall be gender responsive and include a chapter on gender equality.

Or. en

Amendment 1047
Marietje Schaake

Proposal for a regulation
Article 32 – paragraph 2 – subparagraph 1

Text proposed by the Commission

At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final evaluation of the Regulation shall be carried out by the

Amendment

At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final evaluation of the Regulation shall be carried out by the

Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.

Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation, *including the findings of the yearly reports referred to in article 31(4)*.

Or. en

Amendment 1048
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 32 – paragraph 2 – subparagraph 2

Text proposed by the Commission

The final evaluation report shall also address efficiency, the added value, the *scope for simplification*, internal and external coherence, and the continued relevance of the objectives of this Regulation.

Amendment

The final evaluation report shall also address efficiency, the added value, the *functioning of the simplified and streamlined architecture*, internal and external coherence, *an assessment of the compliance with policy coherence for development*, and the continued relevance of the objectives of this Regulation.

Or. en

Amendment 1049
Clare Moody

Proposal for a regulation
Article 32 – paragraph 2 – subparagraph 2

Text proposed by the Commission

The final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, and the continued relevance of the objectives of this Regulation.

Amendment

The final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, *the degree to which the public in recipient countries are aware of EU financial support*, and the continued relevance of the objectives of this Regulation.

Justification

The EU faces a constant challenge of the public in recipient countries being unaware of the degree to which the EU supports civil society, infrastructure projects, conflict prevention etc. This instrument should also be evaluated on the degree to which it is able to inform the public in beneficiary countries of the benefits that the EU provides.

Amendment 1050**Judith Sargentini, Michel Reimon****Proposal for a regulation****Article 32 – paragraph 2 – subparagraph 4***Text proposed by the Commission*

The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues *and contributions to trust funds* offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments

Amendment

The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues offering a breakdown of spending by *pillar of the regulation*, beneficiary country, use of financial instruments, commitments and payments

Or. en

Amendment 1051**Lola Sánchez Caldentey, Sabine Lösing****Proposal for a regulation****Article 32 – paragraph 2 – subparagraph 6***Text proposed by the Commission*

The Commission shall, *to an appropriate extent*, associate all relevant stakeholders in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development partners with close involvement of the partner countries.

Amendment

The Commission shall associate all relevant stakeholders, *and beneficiaries, including CSOs*; in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development partners with close involvement of the partner countries.

Amendment 1052**Judith Sargentini, Michel Reimon****Proposal for a regulation****Article 33 – paragraph 1***Text proposed by the Commission*

1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.

Amendment

1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature **or answers an unforeseen foreign policy priority**, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.

Amendment 1053**Louis-Joseph Manscour, Vincent Peillon****Proposal for a regulation****Article 33 – paragraph 1***Text proposed by the Commission*

1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation **pursuant to Article 4** in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional

Amendment

1. **Pursuant to Article 4**, in duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional

cooperation.

cooperation.

Or. fr

Amendment 1054
Jean-Luc Schaffhauser

Proposal for a regulation
Article 34

Text proposed by the Commission

Amendment

Article 34

deleted

Exercise of the delegation

- 1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.***
- 2. The power to adopt delegated acts referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall be conferred on the Commission for the period of validity of this Regulation.***
- 3. The delegation of power referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.***
- 4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016.***
- 5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.***

6. A delegated act adopted pursuant to Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.

Or. fr

Amendment 1055
Judith Sargentini, Michel Reimon
on behalf of the Verts/ALE Group

Proposal for a regulation
Article 34 – paragraph 2

Text proposed by the Commission

2. The power to adopt delegated acts referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall be conferred on the Commission for the period of validity of this Regulation.

Amendment

2. The power to adopt delegated acts referred to in Article 4(6), **Article 19.4(a)**, **Article 23 b (new)**, Article 26(3), Article 27(9) and Article 31(9) shall be conferred on the Commission for the period of validity of this Regulation.

Or. en

Amendment 1056
Marietje Schaake

Proposal for a regulation
Article 35

Text proposed by the Commission

Article 35

Amendment

deleted

Committee

1. The Commission shall be assisted by

the Neighbourhood, Development and International Cooperation committee. This committee shall be a committee within the meaning of Regulation (EU) No 182/2011.

2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.

3. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.

4. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof, shall apply.

5. The adopted decision shall remain in force for the duration of the adopted or modified document, action programme or measure.

6. An observer from the European Investment Bank shall take part in the Committee's proceedings with regard to questions concerning the European Investment Bank.

Or. en

Amendment 1057
Jean-Luc Schaffhauser

Proposal for a regulation
Article 35

Text proposed by the Commission

Amendment

Article 35

deleted

Committee

1. The Commission shall be assisted by the Neighbourhood, Development and International Cooperation committee. This committee shall be a committee within the meaning of Regulation (EU)

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No 182/2011.

2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.

3. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.

4. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof, shall apply.

5. The adopted decision shall remain in force for the duration of the adopted or modified document, action programme or measure.

6. An observer from the European Investment Bank shall take part in the Committee's proceedings with regard to questions concerning the European Investment Bank.

Or. fr

Amendment 1058

Judith Sargentini, Michel Reimon

Proposal for a regulation

Article 36 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2 a. The Commission shall publish on its web-portal information on financing and investment operations, including at individual and project level and the essential elements of all EFSD+ guarantee agreements, including information on the legal identity of eligible counterparts, expected development benefits and complaints procedures, taking into account the protection of confidential and

commercially sensitive information.

Or. en

Amendment 1059
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 36 – paragraph 2 b (new)

Text proposed by the Commission

Amendment

2 b. In accordance with their transparency policies and Union rules on data protection and on access to documents and information, eligible EFSD+ counterparts shall proactively and systematically make publicly available on their websites information relating to all financing and investment operations covered by the External Action Guarantee, relating in particular to the manner in which those operations contribute to the achievement of the objectives and requirements of this Regulation. Such information shall be broken down at project level. Such information shall always take into account the protection of confidential and commercially sensitive information. Eligible counter parts shall also publicise Union support in all information which they publish on financing and investment operations covered by the External Action Guarantee in accordance with this Regulation.

Or. en

Amendment 1060
Judith Sargentini, Michel Reimon

Proposal for a regulation
Article 38 – paragraph 1

Text proposed by the Commission

Amendment

This Regulation *shall apply in accordance with Decision 2010/427/EU.*

The High Representative of the Union for Foreign Affairs and Security Policy ('the High Representative') shall ensure overall political coordination of the Union's external action, in particular through this Regulation.

Or. en

Amendment 1061

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex I – paragraph 16 a (new)

Text proposed by the Commission

Amendment

*The non-self governing territory of
Western Sahara*

Or. en

Amendment 1062

Michael Gahler

Proposal for a regulation

Annex II – part A – point 1 – introductory part

Text proposed by the Commission

Amendment

1. Good governance, democracy, rule of law and human rights

1. Good governance, democracy, rule of law and human rights (*indicative financial allocation: at least 20%*)

Or. en

Amendment 1063

Marietje Schaake

Proposal for a regulation

Annex II – part A – point 1 – point a

Text proposed by the Commission

Amendment

(a) Strengthening democracy and democratic processes, governance and oversight, including transparent and credible electoral processes;

(a) Strengthening democracy and ***inclusive*** democratic processes, ***an independent judiciary***, governance and oversight, including transparent and credible electoral processes;

Or. en

Amendment 1064

Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation

Annex II – part A – point 1 – point a

Text proposed by the Commission

(a) Strengthening democracy and democratic processes, governance and oversight, including transparent and credible electoral processes;

Amendment

(a) Strengthening democracy and democratic processes, governance and oversight, including transparent, ***peaceful*** and credible electoral processes;

Or. en

Amendment 1065

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 1 – point a

Text proposed by the Commission

(a) Strengthening democracy and democratic processes, governance and oversight, including transparent and credible electoral processes;

Amendment

(a) Strengthening democracy and democratic processes, governance and oversight, including transparent, ***peaceful*** and credible electoral processes;

Or. en

Amendment 1066

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 1 – point b

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Text proposed by the Commission

(b) Strengthening the protection of human rights and fundamental freedoms;

Amendment

(b) Strengthening the ***promotion, protection and fulfilment*** of human rights and fundamental freedoms; ***peace, democracy and the rule of law, and related international instruments, also by contributing to the implementation of global and regional covenants and frameworks;***

Or. en

Amendment 1067
Marietje Schaake

Proposal for a regulation
Annex II – part A – point 1 – point b

Text proposed by the Commission

(b) Strengthening the protection of human rights and fundamental freedoms;

Amendment

(b) Strengthening the protection of human rights and fundamental freedoms, ***supporting and protecting human rights defenders;***

Or. en

Amendment 1068
María Teresa Giménez Barbat, Marietje Schaake, Silvia Costa

Proposal for a regulation
Annex II – part A – point 1 – point b

Text proposed by the Commission

(b) Strengthening the protection of human rights and fundamental freedoms;

Amendment

(b) Strengthening the protection ***and promotion*** of human rights and fundamental freedoms;

Or. en

Amendment 1069
Thierry Cornillet, Mirja Vehkaperä

Proposal for a regulation
Annex II – part A – point 1 – point b a (new)

Text proposed by the Commission

Amendment

(b a) Improving the rate of civil registrations (from birth to death) of citizens to enable them to access their fundamental rights through reliable registration systems which utilise the latest technological and administrative developments to ensure inter alia, all births, deaths and marriages are registered electronically and remotely and centralised to allow officially recognised duplicate documents to be published when necessary;

Or. en

Justification

When a citizen is not registered at birth then, officially, they do not exist. This contravenes the Universal Declaration of Human Rights. Without this civil status it is impossible for children to go to school, for people to get a job without being exploited, to access their social and legal rights or to participate in the democratic development of their country through voting. This perpetuates poverty and exploitation. In conflict areas it also makes these unregistered children at risk of being recruited by militia to become child soldiers. In 2017 Plan International estimated the number of "ghost children" at 230 million worldwide: <https://www.plan-international.fr/info/actualites/news/2016-04-14-enfants-fantomes-et-esclaves-infographie>

Amendment 1070
Marietje Schaake

Proposal for a regulation
Annex II – part A – point 1 – point c

Text proposed by the Commission

Amendment

(c) Promoting the fight against discrimination in all its forms, and the principle of equality, in particular gender equality and the rights of persons belonging to minorities;

(c) Promoting the fight against discrimination in all its forms, and the principle of equality, in particular gender equality and **women's and girl's rights and empowerment**, the rights of **children, youth and** persons belonging to minorities, **LGBTI persons and indigenous peoples**;

Amendment 1071

Kati Piri

Proposal for a regulation

Annex II – part A – point 1 – point c

Text proposed by the Commission

(c) Promoting the fight against discrimination in all its forms, and the principle of equality, in particular gender equality and the rights of persons belonging to minorities;

Amendment

(c) Promoting the fight against discrimination in all its forms, and the principle of equality in particular gender equality and **women's and girls' rights and empowerment**, the rights of **children, youth**, persons belonging to minorities, **LGBTI persons and indigenous people**;

Or. en

Amendment 1072

Urmas Paet

Proposal for a regulation

Annex II – part A – point 1 – point c

Text proposed by the Commission

(c) Promoting the fight against discrimination in all its forms, and the principle of equality, in particular gender equality and the rights of persons belonging to minorities;

Amendment

(c) Promoting the fight against discrimination in all its forms, and the principle of equality, in particular gender equality and the rights of **children and** persons belonging to minorities;

Or. en

Amendment 1073

Louis Michel

Proposal for a regulation

Annex II – part A – point 1 – point c

Text proposed by the Commission

(c) Promoting the fight against discrimination in all its forms, and the
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Amendment

(Does not affect the English version.)

principle of equality, in particular gender equality and the rights of persons belonging to minorities;

Or. fr

Amendment 1074

Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation

Annex II – part A – point 1 – point d

Text proposed by the Commission

(d) Supporting a thriving civil society and its role in reform processes and democratic transformations, and promoting an enabling space for civil society and citizens' engagement in political decision-making;

Amendment

(d) Supporting a thriving civil society and ***the strengthening of*** its role in ***political transitions***, reform processes and democratic transformations, and promoting an enabling space for civil society and citizens' engagement in political ***life and*** decision-making;

Or. en

Amendment 1075

María Teresa Giménez Barbat, Marietje Schaake, Silvia Costa

Proposal for a regulation

Annex II – part A – point 1 – point d

Text proposed by the Commission

(d) Supporting a thriving civil society and its role in reform processes and democratic transformations, and promoting an enabling space for civil society and citizens' engagement in political decision-making;

Amendment

(d) Supporting a thriving civil society and ***strengthening*** its role in ***political transitions***, reform processes and democratic transformations, and promoting an enabling space for civil society and citizens' engagement in political decision-making;

Or. en

Amendment 1076

Michel Reimon, Judith Sargentini

on behalf of the Verts/ALE Group

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Proposal for a regulation
Annex II – part A – point 1 – point d

Text proposed by the Commission

(d) Supporting a thriving civil society and its role in reform processes and democratic transformations, and promoting an enabling space for civil society and citizens' engagement in political decision-making;

Amendment

(d) Supporting a thriving civil society and its role in reform processes and democratic transformations, and promoting an enabling space for civil society and citizens' engagement in ***and scrutiny of*** political decision-making;

Or. en

Amendment 1077
Marietje Schaake

Proposal for a regulation
Annex II – part A – point 1 – point g

Text proposed by the Commission

(g) Strengthening the development of democratic public institutions at national and sub-national levels, including an independent, effective, efficient and accountable judicial system, the promotion of rule of law, and access to justice for all;

Amendment

(g) Strengthening the development of democratic public institutions at national and sub-national levels, including an independent, effective, efficient and accountable judicial system, the promotion of rule of law, ***international justice, accountability*** and access to justice for all;

Or. en

Amendment 1078
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 1 – point g

Text proposed by the Commission

(g) Strengthening the development of democratic public institutions at national and sub-national levels, including an independent, effective, efficient and accountable judicial system, the promotion

Amendment

(g) Strengthening the development of democratic public institutions at ***international,*** national and sub-national levels, including an independent, effective, efficient and accountable judicial system,

of rule of law, and access to justice for all;

the promotion of rule of law, and access to justice for all;

Or. en

Amendment 1079

Louis Michel

Proposal for a regulation

Annex II – part A – point 1 – point h

Text proposed by the Commission

(h) Supporting public administration reform processes, including through using citizen centred eGovernment approaches, strengthening legal frameworks and institutional set up, national statistical systems, capacities, sound public finance management, and contributing to the fight against corruption;

Amendment

(h) Supporting public administration reform processes, including through using citizen centred eGovernment approaches, strengthening legal frameworks and institutional set up, national statistical systems, capacities, sound public finance management, and contributing to the fight against corruption, ***tax evasion and aggressive tax planning***;

Or. fr

Amendment 1080

Elly Schlein, Norbert Neuser

Proposal for a regulation

Annex II – part A – point 1 – point h

Text proposed by the Commission

(h) Supporting public administration reform processes, including through using citizen centred eGovernment approaches, strengthening legal frameworks and institutional set up, national statistical systems, capacities, sound public finance management, and contributing to the fight against corruption;

Amendment

(h) Supporting public administration reform processes, including through using citizen centred eGovernment approaches, strengthening legal frameworks and institutional set up, national statistical systems, capacities, sound public finance management, and contributing to the fight against corruption ***and tax evasion and avoidance***;

Or. en

Amendment 1081
Linda McAvan, Norbert Neuser

Proposal for a regulation
Annex II – part A – point 1 – point j

Text proposed by the Commission

(j) Increasing transparency and accountability of public institutions, strengthening public procurement and public finance management, developing eGovernment and strengthening service delivery;

Amendment

(j) Increasing transparency and accountability of public institutions, strengthening public procurement ***including encouraging the development of sustainability criteria (environmental, social and economic) and targets*** and public finance management, developing eGovernment and strengthening service delivery;

Or. en

Amendment 1082
Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Doru-Claudian Frunzuliță, Cécile Kashetu Kyenge

Proposal for a regulation
Annex II – part A – point 1 – point j

Text proposed by the Commission

(j) Increasing transparency and accountability of public institutions, strengthening public procurement and public finance management, developing eGovernment and strengthening service delivery;

Amendment

(j) Increasing transparency and accountability of public institutions, strengthening public procurement, ***including the development of sustainable criteria (environmental, social and economic) and targets*** and public finance management, developing eGovernment and strengthening service delivery;

Or. en

Amendment 1083
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 1 – point j

Text proposed by the Commission

(j) Increasing transparency and accountability of public institutions, strengthening public procurement and public finance management, developing eGovernment and strengthening service delivery;

Amendment

(j) Increasing transparency and accountability of public institutions, ***including of the armed forces and secret services***, strengthening public procurement ***including encouraging the development of sustainable criteria*** and public finance management, developing eGovernment and strengthening service delivery;

Or. en

Amendment 1084

Michel Reimon, Judith Sargentini

on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 1 – point k a (new)

Text proposed by the Commission

Amendment

(k a) promoting parliamentary democracy

Or. en

Amendment 1085

Michel Reimon, Judith Sargentini

on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 2 – point a

Text proposed by the Commission

Amendment

(a) Eradicating poverty in all its dimensions, tackling discrimination and inequalities ***and*** leaving no-one behind;

(a) Eradicating poverty in all its dimensions, tackling discrimination and inequalities, leaving no-one behind; ***and reaching the furthest behind first, by prioritising investments in public services on health, nutrition, education and social protection;***

Or. en

Amendment 1086
Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation
Annex II – part A – point 2 – point b

Text proposed by the Commission

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote women and young *people's* rights, to facilitate their engagement in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

Amendment

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote, *protect and fulfil* women, and young *people and children's* rights, to facilitate their engagement *and meaningful participation* in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

Or. en

Amendment 1087
Kati Piri

Proposal for a regulation
Annex II – part A – point 2 – point b

Text proposed by the Commission

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote women *and* young *people's* rights, to facilitate their engagement in social, civic and economic life, and to ensure their full contribution to inclusive *growth and* sustainable development;

Amendment

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote, *protect and fulfil* women, young *people and children's* rights, to facilitate their engagement *and meaningful participation* in social, civic and economic life, and to ensure their full contribution to inclusive sustainable development;

Or. en

Amendment 1088
Urmaz Paet

Proposal for a regulation
Annex II – part A – point 2 – point b

Text proposed by the Commission

Amendment

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote women and young *people's* rights, to facilitate their engagement in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote, *protect and fulfil* women, and young *people and children's* rights, to facilitate their engagement *and meaningful participation* in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

Or. en

Amendment 1089
Marietje Schaake

Proposal for a regulation
Annex II – part A – point 2 – point b

Text proposed by the Commission

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote women *and* young people's rights, to facilitate their engagement in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

Amendment

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote, *protect and fulfil* women, young people's *and children's* rights, to facilitate their engagement *and meaningful participation* in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

Or. en

Amendment 1090
Mirja Vehkaperä

Proposal for a regulation
Annex II – part A – point 2 – point b

Text proposed by the Commission

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote women and young people's rights, to facilitate their engagement in social, civic and economic life, and to ensure their full contribution to inclusive growth and sustainable development;

Amendment

(b) Enhancing efforts for the adoption of policies and appropriate investment to promote women and young people's *and persons with disabilities'* rights, to facilitate their engagement in social, civic and economic life, and to ensure their full contribution to inclusive growth and

sustainable development;

Or. en

Amendment 1091

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 2 – point c

Text proposed by the Commission

(c) Promoting the protection and fulfilment of women's and girls' rights, including economic, labour and social rights, and sexual and reproductive health and rights, and preventing sexual and gender-based violence in all forms;

Amendment

(c) Promoting the protection and fulfilment of women's and girls' rights, including economic, labour and social rights, and sexual and reproductive health and rights, and preventing **and protecting them from** sexual and gender-based violence in all forms; **including promoting access to all to comprehensive sexual and reproductive health information and comprehensive sexuality education; promoting cooperation in research and innovation for new and improved tools for sexual and reproductive healthcare including family planning, particularly in low resource settings;**

Or. en

Amendment 1092

Marietje Schaake

Proposal for a regulation

Annex II – part A – point 2 – point c

Text proposed by the Commission

(c) Promoting the protection and fulfilment of women's and girls' rights, including economic, labour and social rights, and sexual and reproductive health and rights, and preventing sexual and gender-based violence in all forms;

Amendment

(c) Promoting the protection and fulfilment of women's and girls' rights **and empowerment** including economic, labour and social rights, and sexual and reproductive health and rights, and preventing **and protecting them from** sexual and gender-based violence in all forms; **this includes promoting access**

for all to comprehensive sexual and reproductive health information and comprehensive sexuality education;

Or. en

Amendment 1093

Louis Michel

Proposal for a regulation

Annex II – part A – point 2 – point c

Text proposed by the Commission

(c) Promoting the protection and fulfilment of women's and girls' rights, including economic, labour and social rights, and sexual and reproductive health and rights, and preventing sexual and gender-based violence in all forms;

Amendment

(c) Promoting the protection and fulfilment of women's and girls' rights, including economic, *land ownership*, labour and social rights, and sexual and reproductive health and rights, and preventing sexual and gender-based violence in all forms;

Or. fr

Amendment 1094

Mirja Vehkaperä

Proposal for a regulation

Annex II – part A – point 2 – point d

Text proposed by the Commission

(d) Giving special attention to those who are disadvantaged, vulnerable and marginalised, inter alia children, older persons, persons with disabilities, LGBTI persons and indigenous peoples. This includes promoting the transition from institutional to community-based care for children;

Amendment

(d) Giving special attention to those who are disadvantaged, vulnerable and marginalised, inter alia children, older persons, persons with disabilities, LGBTI persons and indigenous peoples. This includes promoting the transition from institutional to community-based care for children *with and without disabilities*;

Or. en

Amendment 1095

Michel Reimon, Judith Sargentini

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on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 2 – point e

Text proposed by the Commission

(e) Promoting an integrated approach to supporting communities, particularly the poorest, in improving access to basic needs and services;

Amendment

(e) Promoting an integrated approach to supporting communities, particularly the poorest, in improving **universal** access to basic needs and services;

Or. en

Amendment 1096
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 2 – point f

Text proposed by the Commission

(f) Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential;

Amendment

(f) ***Giving children, particularly the most marginalised, the best start in life by investing in early childhood development and ensure that children experiencing poverty or inequality have access to basic services such as health, nutrition, education and social protection;*** supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential ***paying special attention to the needs of girls;***

Or. en

Amendment 1097
Fabio Massimo Castaldo, Ignazio Corrao

Proposal for a regulation
Annex II – part A – point 2 – point f

Text proposed by the Commission

Amendment

(f) Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential;

(f) ***Giving children, particularly the most left behind, the best start in life by investing as early as possible to enhance childhood development and ensure that children experiencing poverty or inequality have access to basic social services such as health, nutrition, education and social protection.***

Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential, ***paying special attention to the needs of girls;***

Or. en

Amendment 1098
Urmas Paet

Proposal for a regulation
Annex II – part A – point 2 – point f

Text proposed by the Commission

(f) Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential;

Amendment

(f) ***Giving children, particularly the most left behind, the best start in life by investing as early as possible to enhance childhood development and ensure that children experiencing poverty or inequality have access to basic social services such as health, nutrition, education and social protection.***

Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential ***paying special attention to the needs of girls;***

Or. en

Amendment 1099
Kati Piri

Proposal for a regulation
Annex II – part A – point 2 – point f

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Text proposed by the Commission

(f) Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential;

Amendment

(f) ***Giving children, particularly the most left behind, the best start in life by investing as early as possible to enhance childhood development and ensure that children experiencing poverty or inequality have access to basic social services such as health, nutrition, education and social protection;***
Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential ***paying special attention to girls;***

Or. en

Amendment 1100

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Patrizia Toia, Cécile Kashetu Kyenge, Elly Schlein

Proposal for a regulation

Annex II – part A – point 2 – point f

Text proposed by the Commission

(f) Supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential;

Amendment

(f) ***Giving children, particularly the most left behind, the best start in life by investing as early as possible to enhance childhood development and ensure that children experiencing poverty or inequality have access to basic social services such as health, nutrition, education and social protection,***
supporting the provision of a safe, nurturing environment for children as an important element for fostering a healthy young population able to reach its full potential;

Or. en

Amendment 1101

Marietje Schaake

Proposal for a regulation
Annex II – part A – point 2 – point f a (new)

Text proposed by the Commission

Amendment

(f a) Giving children, particularly those left most behind the best start in life by investing to enhance childhood development and ensure that children experiencing poverty or inequality have access to basic social services such as health, nutrition, education and social protection.

Or. en

Amendment 1102
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 2 – point g

Text proposed by the Commission

Amendment

(g) Supporting universal access to sufficient, affordable, safe and nutritious food, particularly for those in the most vulnerable situations, and strengthening food security and nutrition, particularly in countries facing protracted or recurrent crises

(g) Supporting universal access to sufficient, affordable, safe and nutritious food, particularly for those in the most vulnerable situations, ***inter alia children under the age of five, adolescents, girls and women, particularly during pregnancy and breastfeeding***, and strengthening food security and nutrition, particularly in countries facing protracted or recurrent crises ***and fostering multi-sectoral nutrition-sensitive approaches to agriculture, women's and girls' rights and empowerment, health, social protection and education;***

Or. en

Amendment 1103
Urmas Paet

Proposal for a regulation
Annex II – part A – point 2 – point g

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Text proposed by the Commission

(g) Supporting universal access to sufficient, affordable, safe and nutritious food, particularly for those in the most vulnerable situations, **and** strengthening food security and nutrition, particularly in countries facing protracted or recurrent crises

Amendment

(g) Supporting universal access to sufficient, affordable, safe and nutritious food, particularly for those in the most vulnerable situations, ***in particular children under five, adolescents, girls and women, especially during pregnancy and breastfeeding***, strengthening food security and nutrition, particularly in countries facing protracted or recurrent crises ***and fostering multi-sectoral nutrition-sensitive approaches to agriculture, women's and girls' rights and empowerment, health, social protection and education.***

Or. en

Amendment 1104

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 2 – point h

Text proposed by the Commission

(h) Supporting universal access to safe and sufficient drinking water sanitation, and hygiene, and sustainable and integrated water management;

Amendment

(h) Supporting universal access to safe and sufficient drinking water sanitation, and hygiene, and sustainable and integrated water management ***as key determinants of health, education, nutrition, climate change resilience and gender equality;***

Or. en

Amendment 1105

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 2 – point i

Text proposed by the Commission

(i) Achieving universal health

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Amendment

(i) Achieving universal health

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coverage, with equitable access to quality and affordable health services, including through supporting the building of strong, quality and resilient health systems, and enhancing capacity for early warning, risk reduction, management and recovery;

coverage, with equitable access to quality and affordable health services, including ***sexual and reproductive health services and including*** through supporting the building of strong, quality and resilient health systems, ***investing in health research and development capacities to improve the safety, quality and availability of essential medicines and supplies*** and enhancing capacity for early warning, risk reduction, management and recovery; ***promoting cooperation in research and innovation for new or improved health tools as a means to address pressing health challenges, such as poverty-related and neglected tropical diseases, antimicrobial resistance or emerging diseases and epidemics;***

Or. en

Amendment 1106 **Marietje Schaake**

Proposal for a regulation **Annex II – part A – point 2 – point i**

Text proposed by the Commission

(i) Achieving universal health coverage, with equitable access to quality and affordable health services, including through supporting the building of strong, quality and resilient health systems, and enhancing capacity for early warning, risk reduction, management and recovery;

Amendment

(i) Achieving universal health coverage, with equitable access to quality and affordable ***health services, including sexual and reproductive*** health services, including through supporting the building of strong, quality and resilient health systems, and enhancing capacity for early warning, risk reduction, management and recovery;

Or. en

Amendment 1107 **Mirja Vehkaperä**

Proposal for a regulation **Annex II – part A – point 2 – point i**

Text proposed by the Commission

(i) Achieving universal health coverage, with equitable access to quality and affordable health services, including through supporting the building of strong, quality and resilient health systems, and enhancing capacity for early warning, risk reduction, management and recovery;

Amendment

(i) Achieving universal health coverage, with equitable access to quality and affordable health services, including through supporting the building of ***inclusive***, strong, quality and resilient health systems ***that are accessible to all***, and enhancing capacity for early warning, risk reduction, management and recovery;

Or. en

Amendment 1108

Thierry Cornillet, Mirja Vehkaperä

Proposal for a regulation

Annex II – part A – point 2 – point j a (new)

Text proposed by the Commission

Amendment

(j a) Supporting national, regional and local governments and administrations to create the required infrastructure, inter alia physical, technological, and human resources, using the latest technological and administrative developments to enable all civil registrations (from birth through to death) to be accurately registered and officially recognised duplicated documents to be published when necessary in order to ensure that all citizens officially exist and are able to access their fundamental rights;

Or. en

Justification

When a citizen is not registered at birth then, officially, they do not exist. This contravenes the Universal Declaration of Human Rights. Without this civil status it is impossible for children to go to school, for people to get a job without being exploited, to access their social and legal rights or to participate in the democratic development of their country through voting. This perpetuates poverty and exploitation. In conflict areas it also makes these unregistered children at risk of being recruited by militia to become child soldiers. In 2017 Plan International estimated the number of "ghost children" at 230 million worldwide: <https://www.plan-international.fr/info/actualites/news/2016-04-14-enfants-fantomes-et-esclaves-infographie>

Amendment 1109

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 2 – point k

Text proposed by the Commission

(k) Promoting inclusive sustainable urban development to address urban inequality, focusing on those most in need.

Amendment

(k) Promoting inclusive sustainable urban development to address urban inequality, focusing on those most in need ***and adopting a gender-sensitive approach;***

Or. en

Amendment 1110

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Cécile Kashetu Kyenge

Proposal for a regulation

Annex II – part A – point 2 – point m

Text proposed by the Commission

(m) Promoting inclusive and equitable quality formal, informal and non-formal education for all, at all levels and including technical and vocational training, including in emergency and crisis situations, and including through the use of digital technologies to improve education teaching and learning;

Amendment

(m) Promoting inclusive and equitable quality formal, informal and non-formal education for all, at all levels and including technical and vocational training, ***contributing to the target of spending 15 % of development aid on education by 2030 and at least 50% of this amount to free basic education, particularly in least developed countries,*** including in emergency and crisis situations, and including through the use of digital technologies to improve education teaching and learning;

Or. en

Amendment 1111

Kati Piri

Proposal for a regulation

PE632.092v01-00

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Annex II – part A – point 2 – point m

Text proposed by the Commission

(m) Promoting inclusive and equitable quality formal, informal and non-formal education for all, at all levels and including technical and vocational training, including in emergency and crisis situations, and including through the use of digital technologies to improve education teaching and learning;

Amendment

(m) Promoting inclusive and equitable quality formal, informal and non-formal education for all, at all levels and including ***early childhood development***, technical and vocational training, including in emergency and crisis situations, and including through the use of digital technologies to improve education teaching and learning;

Or. en

Amendment 1112

Urmas Paet

Proposal for a regulation

Annex II – part A – point 2 – point m

Text proposed by the Commission

(m) Promoting inclusive and equitable quality formal, informal and non-formal education for all, at all levels and including technical and vocational training, including in emergency and crisis situations, and including through the use of digital technologies to improve education teaching and learning;

Amendment

(m) Promoting inclusive and equitable quality formal, informal and non-formal education for all, at all levels and including ***early childhood development***, technical and vocational training, including in emergency and crisis situations, and including through the use of digital technologies to improve education teaching and learning;

Or. en

Amendment 1113

Michel Reimon, Judith Sargentini

on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 2 – point n a (new)

Text proposed by the Commission

Amendment

(n a) Promoting capacity building and cooperation in the areas of science,

technology and research, in particular addressing poverty-related, societal challenges disproportionately affecting partner countries and neglected areas of research and innovation with limited private sector investments, and open data and fostering social innovation;

Or. en

Amendment 1114

Louis Michel

Proposal for a regulation

Annex II – part A – point 2 – point o

Text proposed by the Commission

(o) Promoting cooperation in the areas of science, technology and research, and open data and innovation;

Amendment

(o) Promoting *capacity building and* cooperation in the areas of science, technology and research, and open data and innovation, *in coordination with the Union's framework programme for research and innovation, in order, in particular, to combat the phenomenon of the brain drain;*

Or. fr

Amendment 1115

Thierry Cornillet, Mirja Vehkaperä

Proposal for a regulation

Annex II – part A – point 2 – point o

Text proposed by the Commission

(o) Promoting cooperation in the areas of science, technology and research, *and* open data and innovation;

Amendment

(o) Promoting cooperation in the areas of science, technology and research, open data, *big data and artificial intelligence,* and innovation;

Or. en

Amendment 1116

PE632.092v01-00

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Bogusław Sonik, Maurice Ponga

Proposal for a regulation

Annex II – part A – point 2 – point q a (new)

Text proposed by the Commission

Amendment

(q a) Supporting actions, and promoting cooperation, in the area of sport to contribute to the empowerment of women and of young people, individuals and communities as well as to health, education and social inclusion objectives of the 2030 Agenda.

Or. en

Amendment 1117

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge, Elly Schlein

Proposal for a regulation

Annex II – part A – point 2 – point r a (new)

Text proposed by the Commission

Amendment

(r a) Supporting actions, and promoting cooperation, in the area of sport to contribute to the empowerment of women and of young people, individuals and communities as well as to health, education and social inclusion objectives of the 2030 Agenda;

Or. en

Amendment 1118

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 3 – introductory part

Text proposed by the Commission

Amendment

3. *Migration and mobility*

3. *Delete*

Amendment 1119

Marietje Schaake

Proposal for a regulation

Annex II – part A – point 3 – point a

Text proposed by the Commission

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering **all** aspects of migration **including assistance in implementing Union bilateral or regional agreements and arrangements, including, mobility partnerships;**

Amendment

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering **the external** aspects of migration;

Or. en

Amendment 1120

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 3 – point a

Text proposed by the Commission

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering all aspects of migration **including assistance in implementing Union bilateral or regional agreements and arrangements,** including, mobility partnerships;

Amendment

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering all **development** aspects of migration **maximizing the development benefits of migration,** including **the creation of safe and legal pathways and** mobility partnerships;

Or. en

Amendment 1121

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

Proposal for a regulation

Annex II – part A – point 3 – point a

Text proposed by the Commission

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering all aspects of migration including assistance in implementing ***Union bilateral or regional agreements and arrangements, including***, mobility partnerships;

Amendment

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering all aspects of migration including assistance in implementing mobility partnerships;

Or. en

Amendment 1122

Patrizia Toia

Proposal for a regulation

Annex II – part A – point 3 – point a

Text proposed by the Commission

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering all aspects of migration including assistance in implementing Union bilateral or regional agreements and arrangements, including, mobility partnerships;

Amendment

(a) Strengthening partnerships on migration and mobility based on an integrated and balanced approach, covering all aspects of migration including assistance in implementing Union bilateral or regional agreements and arrangements, including mobility partnerships, ***in line with international law and human rights obligations under the International Covenant on Civil and Political Rights (ICCPR), the European Convention on Human Rights (ECHR) and the European Charter of Fundamental Rights.***

Or. it

Justification

It is important to cite the sources of international law in this field.

Amendment 1123

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 3 – point a a (new)

Text proposed by the Commission

Amendment

(a a) Promoting faster, cheaper and safer remittance transfers in both source and recipient countries, thus harnessing their potential for development.

Or. en

Amendment 1124
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 3 – point c

Text proposed by the Commission

Amendment

(c) Addressing and mitigating root causes of irregular migration and forced displacement;

deleted

Or. en

Amendment 1125
Elly Schlein, Norbert Neuser

Proposal for a regulation
Annex II – part A – point 3 – point c

Text proposed by the Commission

Amendment

(c) Addressing and mitigating root causes of *irregular* migration and forced displacement;

(c) Addressing and mitigating root causes of *unsafe* migration and forced displacement;

Or. en

Amendment 1126
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 3 – point d

Text proposed by the Commission

(d) ***Tackling irregular migration, trafficking in human beings, **smuggling of migrants, stepping up cooperation on integrated border management;*****

Amendment

(d) ***Support to address and reduce vulnerabilities in migration, and strengthen the transnational response to smuggling of migrants and preventing and combating trafficking in human beings***

Or. en

Amendment 1127
Elly Schlein, Norbert Neuser

Proposal for a regulation
Annex II – part A – point 3 – point d

Text proposed by the Commission

(d) ***Tackling irregular migration, trafficking in human beings, smuggling of migrants, **stepping up cooperation on integrated border management;*****

Amendment

(d) ***Supporting the reduction of vulnerabilities in migration, and the fight against trafficking in human beings and the smuggling of migrants;***

Or. en

Amendment 1128
Marietje Schaake

Proposal for a regulation
Annex II – part A – point 3 – point d

Text proposed by the Commission

(d) Tackling irregular migration, trafficking in human beings, smuggling of migrants, ***stepping up cooperation on integrated border management;***

Amendment

(d) Tackling irregular migration, trafficking in human beings, smuggling of migrants ***in accordance with international and European law;***

Or. en

Amendment 1129
Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge

Proposal for a regulation
Annex II – part A – point 3 – point d

Text proposed by the Commission

(d) Tackling *irregular migration*, trafficking in human beings, smuggling of migrants, stepping up cooperation on integrated border management;

Amendment

(d) Tackling trafficking in human beings, smuggling of migrants, stepping up cooperation on integrated border management;

Or. en

Amendment 1130
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 3 – point e

Text proposed by the Commission

(e) *Strengthening scientific, technical, human and institutional capacity for the management of migration;*

Amendment

deleted

Or. en

Amendment 1131
Elly Schlein, Norbert Neuser

Proposal for a regulation
Annex II – part A – point 3 – point e

Text proposed by the Commission

(e) Strengthening scientific, technical, human and institutional capacity *for the management of* migration;

Amendment

(e) Strengthening scientific, technical, human and institutional capacity *in order to facilitate safe, orderly and responsible* migration;

Or. en

Amendment 1132
Elly Schlein, Norbert Neuser

Proposal for a regulation
Annex II – part A – point 3 – point h

Text proposed by the Commission

(h) Ensuring protection of migrants and forcibly displaced persons;

Amendment

(h) Ensuring protection of migrants and forcibly displaced persons, ***ensuring the recognition and status determination of persons in need of international protection among mixed migratory flows***;

Or. en

Amendment 1133
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 3 – point h

Text proposed by the Commission

(h) Ensuring protection of migrants and forcibly displaced persons;

Amendment

(h) Ensuring protection of migrants and forcibly displaced persons; ***ensuring the recognition and status determination of persons in need of international protection among mixed migratory flows***;

Or. en

Amendment 1134
Louis Michel

Proposal for a regulation
Annex II – part A – point 3 – point j

Text proposed by the Commission

(j) Supporting ***diaspora engagement*** in countries of origin;

Amendment

(j) Supporting ***the interface role of diasporas*** in countries of origin;

Or. fr

Amendment 1135

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 4 – point b

Text proposed by the Commission

(b) Contributing to partners' efforts to pursue their commitments on climate change in line with the Paris Agreement on Climate Change, including the implementation of Nationally Determined Contribution (NDCs) and mitigation and adaptation plans of action including synergies between adaptation and mitigation;

Amendment

(b) Contributing to partners' efforts to pursue their commitments on climate change in line with the Paris Agreement on Climate Change, including the implementation of Nationally Determined Contribution (NDCs) and mitigation and adaptation plans of action including synergies between adaptation and mitigation *as well as to pursue their commitments under other multilateral environmental agreements, such as the Convention on Biological Diversity and the United Nations Convention to Combat Desertification;*

Or. en

Amendment 1136
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 4 – point d

Text proposed by the Commission

(d) Strengthening sustainable energy cooperation. Promoting and increasing cooperation on energy efficiency and the use of renewable energy sources;

Amendment

(d) Strengthening sustainable energy cooperation. Promoting and increasing cooperation on energy efficiency and the use of renewable energy sources; *promoting access to reliable, secure, affordable, clean and sustainable energy services, in particular local and decentralized solutions that ensure energy access for people living in poverty and in remote regions;*

Or. en

Amendment 1137

Linda McAvan

Proposal for a regulation

Annex II – part A – point 4 – point d a (new)

Text proposed by the Commission

Amendment

(d a) Building capacity to mainstream environmental sustainability and climate change objectives, and pursuing green growth into national and local development strategies including supporting sustainability criteria in public procurement;

Or. en

Amendment 1138

Louis Michel

Proposal for a regulation

Annex II – part A – point 4 – point d a (new)

Text proposed by the Commission

Amendment

(da) Promoting corporate social responsibility, a binding due diligence framework for companies and the systematic application of the ‘precautionary principle’ and the ‘polluter pays’ principle;

Or. fr

Amendment 1139

Norbert Neuser, Vincent Peillon, Juan Fernando López Aguilar, Cécile Kashetu Kyenge

Proposal for a regulation

Annex II – part A – point 4 – point d a (new)

Text proposed by the Commission

Amendment

(d a) Promoting corporate social responsibility, due diligence in supply chains, and the systematic application of the “precautionary approach” and the

“polluter pays” principle;

Or. en

Amendment 1140

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 4 – point d a (new)

Text proposed by the Commission

Amendment

(d a) Promoting corporate social responsibility, due diligence in supply chains and the systematic application of the “precautionary approach” and the “polluter pays” principle;

Or. en

Amendment 1141

Lola Sánchez Caldentey

Proposal for a regulation

Annex II – part A – point 4 – point d a (new)

Text proposed by the Commission

Amendment

(d a) Promoting corporate social responsibility, due diligence in supply chains and the systematic application of the "precautionary principle";

Or. en

Amendment 1142

Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 4 – point d b (new)

Text proposed by the Commission

Amendment

(d b) Promoting environmentally sustainable agriculture practices, including agroecology, which are proven to contribute to protection of ecosystems and biodiversity and enhance environmental and social resilience to climate change in the long term;

Or. en

Amendment 1143

Norbert Neuser, Juan Fernando López Aguilar, Vincent Peillon, Cécile Kashetu Kyenge, Elly Schlein

Proposal for a regulation

Annex II – part A – point 4 – point f

Text proposed by the Commission

(f) Strengthening the involvement of local communities in climate change responses, conservation of ecosystems and the governance of natural resources. Promoting sustainable urban development and resilience in urban areas;

Amendment

(f) Strengthening the involvement of local communities ***and indigenous peoples*** in climate change responses, ***the fight against biodiversity loss and wildlife crime***, conservation of ecosystems and the governance of natural resources, ***including through the improvement of land tenure and water resources management***. Promoting sustainable urban development and resilience in urban areas.

Or. en

Amendment 1144

Lola Sánchez Caldentey, Guillaume Balas, Sabine Lösing, Ricardo Serrão Santos, Kathleen Van Brempt

Proposal for a regulation

Annex II – part A – point 4 – point f

Text proposed by the Commission

(f) Strengthening the involvement of local communities in climate change responses, conservation of ecosystems and the governance of natural resources. Promoting sustainable urban development and resilience in urban areas;

Amendment

(f) Strengthening the involvement of local communities ***and indigenous people*** in climate change responses, ***the fight against biodiversity loss and wildlife crime***, conservation of ecosystems and the governance of natural resources, ***including***

through the improvement of land use and water resources management. Promoting sustainable urban development and resilience in urban areas

Or. en

Amendment 1145
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 4 – point f

Text proposed by the Commission

(f) Strengthening the involvement of local communities in climate change responses, conservation of ecosystems and the governance of natural resources. Promoting sustainable urban development and resilience in urban areas;

Amendment

(f) Strengthening the involvement of local communities in climate change responses, *the fight against biodiversity loss and wildlife crime*, conservation of ecosystems and the governance of natural resources *including through the improvement of land tenure and water resources management.* Promoting sustainable urban development and resilience in urban areas;

Or. en

Amendment 1146
Michel Reimon, Judith Sargentini
on behalf of the Verts/ALE Group

Proposal for a regulation
Annex II – part A – point 4 – point f a (new)

Text proposed by the Commission

Amendment

(f a) Stopping the trade in conflict minerals as well as the abuse of miners and supporting the development of local communities in accordance with Regulation 2017/821 on supply chain due diligence obligations and accompanying measures, as well as elaborating such approach to minerals currently not yet covered such as for example cobalt.

Amendment 1147

Lola Sánchez Caldentey, Guillaume Balas

Proposal for a regulation

Annex II – part A – point 4 – point g

Text proposed by the Commission

(g) Promoting the conservation, sustainable management and use, and restoration of natural resources, healthy ecosystems and halting biodiversity loss, and protecting wildlife;

Amendment

(g) Promoting the conservation, sustainable management and use, and restoration of natural resources, healthy ecosystems and halting biodiversity loss, and protecting wildlife, ***including combatting poaching, and wildlife trafficking*** ;

Or. en

Amendment 1148

Lola Sánchez Caldentey, Guillaume Balas, Sabine Lösing, Ricardo Serrão Santos, Kathleen Van Brempt

Proposal for a regulation

Annex II – part A – point 4 – point g a (new)

Text proposed by the Commission

Amendment

(g a) Addressing biodiversity loss, implementing international and EU initiatives to address it, in particular through the promotion of the conservation, sustainable use and management of terrestrial and marine ecosystems and associated biodiversity.

Or. en

Amendment 1149

Manolis Kefalogiannis

Proposal for a regulation

Annex II – part A – point 4 – point h

Text proposed by the Commission

(h) Promoting integrated and sustainable management of water resources and transboundary water cooperation;

Amendment

(h) Promoting integrated and sustainable management of water resources and transboundary water cooperation *in accordance with international law*;

Or. en

Amendment 1150

Michel Reimon, Judith Sargentini

on behalf of the Verts/ALE Group

Proposal for a regulation

Annex II – part A – point 4 – point j

Text proposed by the Commission

(j) **Limiting** deforestation and promoting forest law enforcement, governance and trade (FLEGT), and combating illegal logging, trade of illegal timber and wood products;

Amendment

(j) **Halting** deforestation and promoting forest law enforcement, governance and trade (FLEGT), and combating illegal logging, trade of illegal timber and wood products; **supporting the negotiation and the implementation of Voluntary Partnership Agreements**;

Or. en