



2017/2171(DEC)

2.3.2018

AMENDMENTS

1 - 15

Draft report

Bart Staes

2016 discharge: European Banking Authority (EBA)
(2017/2171(DEC))

Amendment 1

Bart Staes

on behalf of the Verts/ALE Group

Proposal for a decision 1

Paragraph 1

Proposal for a decision

1. Grants the Executive Director of the European Banking Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016 / ***Postpones its decision on granting the Executive Director of the European Banking Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;***

Amendment

1. Grants the Executive Director of the European Banking Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;

Or. en

Amendment 2

Ryszard Czarnecki, Notis Marias, Raffaele Fitto, Beata Gosiewska, Richard Sulík

Proposal for a decision 1

Paragraph 1

Proposal for a decision

1. ***Grants the Executive Director of the European Banking Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016*** / Postpones its decision on granting the Executive Director of the European Banking Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;

Amendment

1. Postpones its decision on granting the Executive Director of the European Banking Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;

Or. en

Amendment 3

Bart Staes

on behalf of the Verts/ALE Group

Proposal for a decision 2
Paragraph 1

Proposal for a decision

1. Approves the closure of the accounts of the European Banking Authority for the financial year 2016 / *Postpones the closure of the accounts of the European Banking Authority for the financial year 2016;*

Amendment

1. Approves the closure of the accounts of the European Banking Authority for the financial year 2016;

Or. en

Amendment 4
Barbara Kappel

Motion for a resolution
Paragraph 3 a (new)

Motion for a resolution

Amendment

3 a. Notes that, as the Authority's workload is increasingly shifting from legislative tasks to enforcing and applying the Union law, the Authority's budget and manpower should be reallocated internally; believes that any potential increases in EBA's means must be accompanied by adequate rationalisation measures and defended and explained thoroughly in a clear, detailed and understandable defence report before the European Parliament and made publically available;

Or. en

Amendment 5
Barbara Kappel

Motion for a resolution
Paragraph 7

Motion for a resolution

Amendment

7. Welcomes the fact that, of the 1164

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invoices paid by the Authority in 2016, only 13 (0,9%) were paid late, and for the third year in a row the Authority paid zero late payment interest;

invoices paid by the Authority in 2016, only 13 (0,9%) were paid late, and for the third year in a row the Authority paid zero late payment interest; ***encourages the Authority to step up its ongoing efforts in reducing late payment of invoices;***

Or. en

Amendment 6 **Petri Sarvamaa**

Motion for a resolution **Paragraph 8**

Motion for a resolution

8. Notes from the Establishment plan that 126 posts (out of 127 posts authorised under the Union budget) were occupied on 31 December 2016, compared to 118 in 2015; notes that the staff or the Authority consists of 50,3 % females and 49,7 % males; observes with satisfaction that the breakdown of the staff by gender is ***becoming more balanced compared to previous years;***

Amendment

8. Notes from the Establishment plan that 126 posts (out of 127 posts authorised under the Union budget) were occupied on 31 December 2016, compared to 118 in 2015; notes that the staff or the Authority consists of 50,3 % females and 49,7 % males; observes with satisfaction that the breakdown of the staff by gender is ***well balanced; regrets, however, the composition of the Management Board, with six people of all the same gender;***

Or. en

Amendment 7 **Luke Ming Flanagan**

Motion for a resolution **Paragraph 8**

Motion for a resolution

8. Notes from the Establishment plan that 126 posts (out of 127 posts authorised under the Union budget) were occupied on 31 December 2016, compared to 118 in 2015; notes that the staff or the Authority consists of 50,3 % females and 49,7 % males; observes with satisfaction that the breakdown of the staff by gender is

Amendment

8. Notes from the Establishment plan that 126 posts (out of 127 posts authorised under the Union budget) were occupied on 31 December 2016, compared to 118 in 2015; notes that the staff or the Authority consists of 50,3 % females and 49,7 % males; observes with satisfaction that the breakdown of the staff by gender is

becoming more balanced *compared* to previous years;

practically as balanced *as it could be, being an improvement in comparison* to previous years;

Or. en

Amendment 8
Petri Sarvamaa

Motion for a resolution
Paragraph 9

Motion for a resolution

9. Notes that, on average, each member of the Authority's staff was on sick leave for 7,45 days in 2016; observes *with satisfaction* that the Authority *organised* well-being activities, such as health and safety sessions and annual medical examinations;

Amendment

9. Notes that, on average, each member of the Authority's staff was on sick leave for 7,45 days in 2016; observes that the Authority *spent 800 GBP (+ VAT) per person on* well-being activities, such as health and safety sessions and annual medical examinations;

Or. en

Amendment 9
Luke Ming Flanagan

Motion for a resolution
Paragraph 9

Motion for a resolution

9. Notes that, on average, each member of the Authority's staff was on sick leave for 7,45 days in 2016; observes with satisfaction that the Authority organised well-being activities, such as health and safety sessions and annual medical examinations;

Amendment

9. Notes *with concern* that, on average, each member of the Authority's staff was on sick leave for 7,45 days in 2016 *and recommends that a workplace survey should be carried out to establish if any of this is due to workplace stress*; observes with satisfaction that the Authority organised well-being activities, such as health and safety sessions and annual medical examinations;

Or. en

Amendment 10
Luke Ming Flanagan

Motion for a resolution
Paragraph 13

Motion for a resolution

13. Notes that 17 reported cases of conflicts of interest were reported; notes that they concerned two types of situations: either holding of shares, or former employment; notes moreover that in the case of holding of shares in institutions, all staff members who so reported had acquired them before joining the Authority and were asked to disinvest; acknowledges that a screening of those situations has taken place again in 2017 and confirmed that, in all cases, the shares have been sold; notes that in the case of previous employment of staff, three cases were investigated in 2016, and it was decided to put in place measures whereby the concerned staff would not be involved in cases concerning the competent authorities from where they were on unpaid leave;

Amendment

13. Notes **with concern** that 17 reported cases of conflicts of interest were reported; notes that they concerned two types of situations: either holding of shares, or former employment; notes moreover that in the case of holding of shares in institutions, all staff members who so reported had acquired them before joining the Authority and were asked to disinvest; acknowledges that a screening of those situations has taken place again in 2017 and confirmed that, in all cases, the shares have been sold; notes that in the case of previous employment of staff, three cases were investigated in 2016, and it was decided to put in place measures whereby the concerned staff would not be involved in cases concerning the competent authorities from where they were on unpaid leave;

Or. en

Amendment 11
Barbara Kappel

Motion for a resolution
Paragraph 15 a (new)

Motion for a resolution

15 a. Considers that the minutes of meetings of the Board of Supervisors and of the Stakeholder Groups, which are publicly available, should be published more swiftly, and should provide better insight into the discussions held, members' positions and voting behaviour; believes that outreach to the general public could also be enhanced by web streaming events;

Amendment

Amendment 12

Benedek Jávor, Bart Staes

on behalf of the Verts/ALE Group

Motion for a resolution

Paragraph 19 a (new)

Motion for a resolution

Amendment

19 a. *Expresses the need to establish an independent disclosure, advice and referral body with sufficient budgetary resources, in order to help whistle-blowers use the right channels to disclose their information on possible irregularities affecting the financial interests of the Union, while protecting their confidentiality and offering needed support and advice;*

Amendment 13

Petri Sarvamaa

Motion for a resolution

Subheading 7

Motion for a resolution

Amendment

Performance-Based Budgeting (PBB)

Main achievements

Amendment 14

Luke Ming Flanagan

Motion for a resolution

Paragraph 25

Motion for a resolution

Amendment

25. Notes that the Authority signed a 12-year-lease with an end date of 8
PE618.241v01-00

25. Notes that the Authority signed a 12-year-lease with an end date of 8

December 2026 and that, under normal contractual conditions, there is a liability to pay the full rent for the entire period; observes, however, that the Authority has negotiated a break-out clause at the midway point of the contract, meaning that if the clause is exercised, the Authority would be relieved of the obligation to pay the rent for the final six years; notes however that the Authority has the obligation to repay half of the incentive (16 months rent) it had received at the beginning of the contract and which was based on the full 12 years term of the contract (32 months rent free) and that the re-instatement of the building to the initial condition has to be performed by the end of the occupancy, regardless of when the tenant leaves;

December 2026 and that, under normal contractual conditions, there is a liability to pay the full rent for the entire period; observes, however, that the Authority has negotiated a break-out clause at the midway point of the contract, meaning that if the clause is exercised, the Authority would be relieved of the obligation to pay the rent for the final six years; notes however that the Authority has the obligation to repay half of the incentive (16 months rent) it had received at the beginning of the contract and which was based on the full 12 years term of the contract (32 months rent free) and that the re-instatement of the building to the initial condition has to be performed by the end of the occupancy, regardless of when the tenant leaves; ***recommends that lessons should be learned from this experience in the negotiation of any and all future rental contracts;***

Or. en

Amendment 15
Barbara Kappel

Motion for a resolution
Paragraph 25 a (new)

Motion for a resolution

Amendment

25 a. Recalls that the Authority's lease agreement, which ends on 8 December 2026, includes a break option after six years, triggering a penalty payment of 16 months' rent equivalent to EUR 3 246 216;

Or. en