



**2017/2172(DEC)**

2.3.2018

# **AMENDMENTS**

## **1 - 14**

**Draft report**

**Bart Staes**

2016 discharge: European Insurance and Occupational Pensions Authority  
(EIOPA)  
(2017/2172(DEC))



## **Amendment 1**

**Bart Staes**

on behalf of the Verts/ALE Group

### **Proposal for a decision 1**

#### **Paragraph 1**

##### *Proposal for a decision*

1. Grants the Executive Director of the European Insurance and Occupational Pensions Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016 / *Postpones its decision on granting the Executive Director of the European Insurance and Occupational Pensions Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;*

##### *Amendment*

1. Grants the Executive Director of the European Insurance and Occupational Pensions Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;

Or. en

## **Amendment 2**

**Ryszard Czarnecki, Notis Marias, Raffaele Fitto, Beata Gosiewska, Richard Sulík**

### **Proposal for a decision 1**

#### **Paragraph 1**

##### *Proposal for a decision*

1. *Grants the Executive Director of the European Insurance and Occupational Pensions Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016* / Postpones its decision on granting the Executive Director of the European Insurance and Occupational Pensions Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;

##### *Amendment*

1. Postpones its decision on granting the Executive Director of the European Insurance and Occupational Pensions Authority discharge in respect of the implementation of the Authority's budget for the financial year 2016;

Or. en

### **Amendment 3**

**Bart Staes**

on behalf of the Verts/ALE Group

### **Proposal for a decision 2**

#### **Paragraph 1**

##### *Proposal for a decision*

1. Approves the closure of the accounts of the European Insurance and Occupational Pensions Authority for the financial year 2016 / *Postpones the closure of the accounts of the European Insurance and Occupational Pensions Authority for the financial year 2016;*

##### *Amendment*

1. Approves the closure of the accounts of the European Insurance and Occupational Pensions Authority for the financial year 2016;

Or. en

### **Amendment 4**

**Barbara Kappel**

### **Motion for a resolution**

#### **Paragraph 4 a (new)**

##### *Motion for a resolution*

*4a. Calls for the continued reduction as far as possible in the level of committed appropriations to be carried over to the following year by means of all available measures, for example by adopting best practices used in other agencies;*

Or. de

### **Amendment 5**

**Luke Ming Flanagan**

### **Motion for a resolution**

#### **Paragraph 8**

##### *Motion for a resolution*

8. Notes from the Authority that it ran 26 recruitment campaigns in 2016 and filled 95,7 % of its establishment plan

##### *Amendment*

8. Notes from the Authority that it ran 26 recruitment campaigns in 2016 and filled 95,7 % of its establishment plan

positions at the year-end, lower than the Authority's target of 100 %; acknowledges from the Authority that the target was not reached mainly due to *a* high turnover rate, unsuccessful recruitment campaigns and non-acceptance of contract offers by selected candidates;

positions at the year-end, lower than the Authority's target of 100 %; acknowledges from the Authority that the target was not reached mainly due to *an unsatisfactorily* high turnover rate, *to* unsuccessful recruitment campaigns and *to* non-acceptance of contract offers by selected candidates, *in themselves all worrying factors that need examining and improving*;

Or. en

## **Amendment 6** **Petri Sarvamaa**

### **Motion for a resolution** **Paragraph 12**

#### *Motion for a resolution*

12. Notes that, on average, each member of the Authority's staff was on sick leave for seven days in 2016; observes that the Authority organised *staff* info sessions, a stress and resilience workshop and medical check-ups *but that none of those measures included the whole* staff;

#### *Amendment*

12. Notes that, on average, each member of the Authority's staff was on sick leave for seven days in 2016; observes that the Authority organised info sessions, a stress and resilience workshop and medical check-ups *for its* staff;

Or. en

## **Amendment 7** **Petri Sarvamaa**

### **Motion for a resolution** **Paragraph 16**

#### *Motion for a resolution*

16. Notes with satisfaction that, in order to enhance the supervisory approach and to increase the efficiency of the processes and quality of the output, the Authority has undertaken its first re-organisation implemented as from 1 November 2016; calls on the Authority to report to the discharge authority on further

#### *Amendment*

16. Notes with satisfaction that, in order to enhance the supervisory approach and to increase the efficiency of the processes and quality of the output, the Authority has undertaken its first re-organisation implemented as from 1 November 2016; calls on the Authority to report to the discharge authority on further

details regarding the implementation of the re-organisation;

details regarding the implementation of the re-organisation, *and the benefits achieved*;

Or. en

**Amendment 8**  
**Barbara Kappel**

**Motion for a resolution**  
**Paragraph 18 a (new)**

*Motion for a resolution*

*Amendment*

**18 a. Considers that the minutes of meetings of the Board of Supervisors and of the Stakeholder Groups, which are publicly available, should be published more swiftly, and should provide better insight into the discussions held, members' positions and voting behaviour; believes that outreach to the general public could also be enhanced by web streaming events;**

Or. en

**Amendment 9**  
**Benedek Jávor, Bart Staes**  
on behalf of the Verts/ALE Group

**Motion for a resolution**  
**Paragraph 19 a (new)**

*Motion for a resolution*

*Amendment*

**19 a. Expresses the need to establish an independent disclosure, advice and referral body with sufficient budgetary resources, in order to help whistle-blowers use the right channels to disclose their information on possible irregularities affecting the financial interests of the Union, while protecting their confidentiality and offering needed support and advice;**

Or. en

**Amendment 10**  
**Barbara Kappel**

**Motion for a resolution**  
**Paragraph 20 a (new)**

*Motion for a resolution*

*Amendment*

**22 a. Regrets that declarations of conflicts of interest of management board members and senior managers are outstanding; notes that this practice does not further transparency and the remaining declarations should therefore be published without delay;**

Or. de

**Amendment 11**  
**Barbara Kappel**

**Motion for a resolution**  
**Paragraph 21 a (new)**

*Motion for a resolution*

*Amendment*

**21 a. asks the Authority to inform the discharge authority on ethics rules alleged and confirmed infringements, how it has dealt with these infringements, and how it will avoid them in the future;**

Or. en

**Amendment 12**  
**Petri Sarvamaa**

**Motion for a resolution**  
**Subheading 6**

*Motion for a resolution*

*Amendment*

***Performance-Based Budgeting (PBB)***

***Main achievements***

Or. en

**Amendment 13**  
**Luke Ming Flanagan**

**Motion for a resolution**  
**Paragraph 24**

*Motion for a resolution*

24. Notes that an audit on ‘Oversight Capability’ was performed by the Internal Audit Service (IAS) in 2016; notes that none of the recommendations made by the IAS were categorised as critical or very important; acknowledges from the Authority that, in response to the audit report, it developed an action plan, which was subsequently adopted by its Management Board, in order to address all recommendations made by the IAS,;

*Amendment*

24. Notes that an audit on ‘Oversight Capability’ was performed by the Internal Audit Service (IAS) in 2016; notes **with satisfaction** that none of the recommendations made by the IAS were categorised as critical or very important; acknowledges - **also with satisfaction** - from the Authority that, in response to the audit report, it developed an action plan, which was subsequently adopted by its Management Board, in order to address all recommendations made by the IAS;

Or. en

**Amendment 14**  
**Luke Ming Flanagan**

**Motion for a resolution**  
**Paragraph 28**

*Motion for a resolution*

28. Notes that a future decrease in the Authority’s revenue resulting from the United Kingdom’s decision to withdraw from the Union is **possible**;

*Amendment*

28. Notes that a future decrease in the Authority’s revenue resulting from the United Kingdom’s decision to withdraw from the Union is **likely**;

Or. en