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Committee on Industry, Research and Energy

2011/0384(COD)

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AMENDMENTS 67 - 185

Draft report
Philippe Lamberts
(PE489.664v01-00)

Proposal for a regulation of the European Parliament and of the Council
amending Regulation (EC) No 294/2008 establishing the European Institute of
Innovation and Technology

Proposal for a regulation
(COM(2011)0817 – C7-0467/2011 – 2011/0384(COD))

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United in diversity

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Amendment 67
Judith A. Merkies

Proposal for a regulation
Recital 1

Text proposed by the Commission

(1) The Europe 2020 strategy for smart, sustainable and inclusive growth assigns a prominent role to the European Institute of Innovation and Technology (hereinafter referred to as the EIT) which contributes to a number of flagship initiatives.

Amendment

(1) The Europe 2020 strategy for smart, sustainable and inclusive growth assigns a prominent role to the European Institute of Innovation and Technology (hereinafter referred to as the EIT) which contributes to a number of flagship initiatives, ***in particular the 'Innovation Union'.***

Or. en

Amendment 68
Judith A. Merkies

Proposal for a regulation
Recital 2

Text proposed by the Commission

(2) During the period 2014-2020 the EIT should contribute to 'Horizon2020 - The Framework Programme for Research and Innovation' established by Regulation No XX/XXXX of the European Parliament and of the Council (hereinafter referred to as 'Horizon 2020') objectives by integrating the knowledge triangle of research, innovation and education.

Amendment

(2) During the period 2014-2020 the EIT should contribute to 'Horizon2020 - The Framework Programme for Research and Innovation' established by Regulation No XX/XXXX of the European Parliament and of the Council (hereinafter referred to as 'Horizon 2020') objectives by integrating the knowledge triangle of research, innovation and education ***in order to stimulate excellence and to accelerate innovation.***

Or. en

Justification

The objective of the EIT is to integrate the three parts of the knowledge triangle, in order to

stimulate excellence which accelerates innovation.

Amendment 69

Philippe Lamberts, Amelia Andersdotter
on behalf of the Verts/ALE Group

Proposal for a regulation

Recital 4

Text proposed by the Commission

(4) The rules concerning the management of intellectual property rights are defined in the Rules for Participation.

Amendment

(4) The rules concerning the ***dissemination of results through open access, licensing and*** management of intellectual property rights are defined in the Rules for Participation.

Or. en

Amendment 70

Marisa Matias

Proposal for a regulation

Recital 4

Text proposed by the Commission

(4) The rules concerning the management of intellectual property rights are defined in the Rules for Participation.

Amendment

(4) The rules concerning the management of intellectual property rights are defined in the Rules for Participation ***and in the Strategic Innovation Agenda of the EIT.***

Or. en

Amendment 71

Ioannis A. Tsoukalas

Proposal for a regulation

Recital 6

Text proposed by the Commission

(6) The EIT should directly engage with national and regional representatives and other stakeholders from across the innovation chain, generating beneficial effects on both sides. In order to render such dialogue and exchange more systematic, an EIT Stakeholder Forum should be organised, bringing together the wider community of stakeholders around cross-cutting issues.

Amendment

(6) The EIT should directly engage with national and regional representatives and other stakeholders from across the innovation chain, generating beneficial effects on both sides ***and further developing a strong EU-wide brand for EIT as a centre of innovation.*** In order to render such dialogue and exchange more systematic, an EIT Stakeholder Forum should be organised, bringing together, ***under a common platform, all Knowledge and Innovation Communities (hereinafter referred to as KICs)*** and the wider community of stakeholders around cross-cutting issues.

Or. en

Amendment 72
Jean-Pierre Audy

Proposal for a regulation
Recital 6

Text proposed by the Commission

(6) The EIT should directly engage with national and regional representatives and other stakeholders from across the innovation chain, generating beneficial effects on both sides. In order to render such dialogue and exchange more systematic, an EIT Stakeholder Forum should be organised, bringing together the wider community of stakeholders around cross-cutting issues.

Amendment

(6) The EIT should directly engage with national and regional representatives and other stakeholders from across the innovation chain, generating beneficial effects on both sides. In order to render such dialogue and exchange more systematic, an EIT Stakeholder Forum should be organised, bringing together the wider community of stakeholders around cross-cutting issues. ***An information campaign should be conducted in this connection, aimed at universities, local authorities and national parliaments.***

Or. fr

Amendment 73

Mario Pirillo

Proposal for a regulation

Recital 6

Text proposed by the Commission

(6) The EIT should directly engage with national and regional representatives and other stakeholders from across the innovation chain, generating beneficial effects on both sides. In order to render such dialogue and exchange more systematic, an EIT Stakeholder Forum should be organised, bringing together the wider community of stakeholders around cross-cutting issues.

Amendment

(6) The EIT should directly engage with national and regional representatives and other stakeholders from across the innovation chain, generating beneficial effects on both sides. In order to render such dialogue and exchange more systematic, an EIT Stakeholder Forum should be organised, bringing together the wider community of stakeholders ***including NGOs*** around cross-cutting issues.

Or. en

Justification

A dialogue about innovation and technology as a means to address societal challenges should include all interested parties in society for comprehensive insight into these challenges. Moreover, a continued dialogue with all parties meets the need to stay tuned to the dynamics of societal challenges over time.

Amendment 74

Ioannis A. Tsoukalas

Proposal for a regulation

Recital 6 a (new)

Text proposed by the Commission

Amendment

(6 a) The EIT shall promote, by its very nature and composition, multi-disciplinary and inter-disciplinary approaches to innovation and entrepreneurship, including the development of non-technological solutions, organizational approaches, new business models, systems innovation and

public sector innovations, as a necessary complement to technological and technical innovation activities.

Or. en

Amendment 75
Judith A. Merkies

Proposal for a regulation
Recital 6 a (new)

Text proposed by the Commission

Amendment

(6 a) The EIT should actively pursue more cooperation with the private sector and in particular with small and medium-sized enterprises (SMEs).

Or. en

Justification

The participation of the private sector as an important element in the knowledge triangle is key. However, in the current KICs there is sometimes a lack of private sector participation; the EIT should, therefore, take action to ensure the engagement of the private sector.

Amendment 76
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 6 b (new)

Text proposed by the Commission

Amendment

(6 b) Social innovation and social entrepreneurship shall constitute, where appropriate, an important part of the EIT's and the KICs activities, as innovative products, services and processes that are the output of KICs directly interact with the major societal challenges.

Amendment 77

Ioannis A. Tsoukalas

Proposal for a regulation

Recital 7

Text proposed by the Commission

(7) The scope of the EIT contribution to the Knowledge and Innovation Communities (hereinafter referred to as KICs) should be defined and the origins of KICs' financial resources clarified.

Amendment

(7) The scope of the EIT contribution to the Knowledge and Innovation Communities (hereinafter referred to as KICs) should be defined and the origins of KICs' financial resources clarified. ***EIT should act as an investor, following an entrepreneurial logic, and its investments should be acting as leverage for other sources of private or public funding.***

Or. en

Amendment 78

Maria Da Graça Carvalho, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Lambert van Nistelrooij

Proposal for a regulation

Recital 7

Text proposed by the Commission

(7) The scope of the EIT contribution to the Knowledge and Innovation Communities (hereinafter referred to as KICs) should be defined and the origins of KICs' financial resources clarified.

Amendment

(7) The scope of the EIT contribution to the Knowledge and Innovation Communities (hereinafter referred to as KICs) should be defined and the origins of KICs' financial resources clarified. ***EIT shall build greater synergy and as much complementarity as possible with the structural funds.***

Or. en

Amendment 79

Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation

Recital 8

Text proposed by the Commission

(8) The composition of the EIT bodies should be simplified. The functioning of the EIT Governing Board should be streamlined and the respective roles and tasks of the Governing Board and the Director should be further clarified.

Amendment

(8) The composition of the EIT bodies should be simplified **and gender balance should be sought**. The functioning of the EIT Governing Board should be streamlined and the respective roles and tasks of the Governing Board and the Director should be further clarified.

Or. en

Amendment 80

Judith A. Merkies

Proposal for a regulation

Recital 9

Text proposed by the Commission

(9) **New KICs, including their priority fields and the organisation and timing of the selection process, should be launched** on the **basis of modalities** defined in the Strategic Innovation Agenda.

Amendment

(9) **The Strategic Innovation Agenda defines the modalities according to which new KICs are launched. Part of the budget for new KICs for the period 2014-2020 shall be spend on KICs based on the themes defined in the Strategic Innovation Agenda. The other part of the budget can be allocated freely by the EIT to either enforce these KICs or to launch KICs on other themes. The EIT has the freedom to choose the appropriate size, duration and time framework for all new KICs.**

Or. en

Justification

In order to increase the flexibility of the EU innovation policy, the EIT needs to have the possibility of launching KICs on themes other than the ones agreed on in the Strategic

Innovation Agenda. Within the themes proposed in the Strategic Innovation Agenda, it has the freedom to choose the most appropriate themes. For all new KICs, it has the freedom to choose the appropriate size, duration and time framework.

Amendment 81

Ioannis A. Tsoukalas, Lambert van Nistelrooij

Proposal for a regulation

Recital 9

Text proposed by the Commission

(9) New KICs, including their priority fields and the organisation and timing of the selection process, should be launched on the basis of modalities defined in the Strategic Innovation Agenda.

Amendment

(9) New KICs, including their priority fields and the organisation and timing of the selection process, should be launched on the basis of modalities defined in the Strategic Innovation Agenda, ***through a rigorous, transparent and competitive process that takes into consideration bottom-up approaches and the evolving societal challenges landscape in the EU, yet provides clarity and predictability for potential participants.***

Or. en

Amendment 82

Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation

Recital 9

Text proposed by the Commission

(9) New KICs, including their priority fields and the organisation and timing of the selection process, should be launched on the basis of modalities defined in the Strategic Innovation Agenda.

Amendment

(9) New KICs, including their priority fields and the organisation and timing of the selection process, should be launched ***in a competitive way*** on the basis of modalities defined in the Strategic Innovation Agenda. ***All stages in the selection process of new KICs should be open and transparent;***

Or. en

Amendment 83
Judith A. Merkies

Proposal for a regulation
Recital 9 a (new)

Text proposed by the Commission

Amendment

(9 a) The KICs should contribute to obtaining solution for the shared grand societal challenges facing European society, as mentioned in the European Parliament 'Innovation Union' report, namely:

- the current demographic changes: an ageing society, growing world population (nutrition, health, disease prevention), urbanisation, social cohesion and migration;

- the transition to sustainable management of resources (biological and nonbiological): climate change, renewable energy and energy efficiency, resource efficiency, water scarcity, floods and efforts to secure and substitute critical raw materials;

- a strong, stable, equitable and competitive economic base: economic recovery, harnessing a knowledge-based society and boosting the EU's competitiveness and employment.

These three societal challenges overlap and are interlinked and encompass all challenges as mentioned under pillar two of Horizon 2020.

Or. en

Justification

Innovation in the European Union has to be relevant for the society and aim at obtaining solutions for the grand societal challenges we face.

Amendment 84
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 9 a (new)

Text proposed by the Commission

Amendment

(9 a) The number of the KICs should be defined according to the priorities set in the Strategic Innovation Agenda. KICs should have substantial size in order to be "world-class players" and to be able to change the innovation landscape in the EU and to contribute significantly in their respective area towards tackling the societal challenges. The KICs selection process should in any case be based on the quality, viability and potential of the proposals.

Or. en

Amendment 85
Judith A. Merkies

Proposal for a regulation
Recital 9 b (new)

Text proposed by the Commission

Amendment

(9 b) In the process of designating the KICs the EIT should apply a tailor-made approach and take into account the fact that not all KICs would have the same financial needs, size or duration.

Or. en

Justification

Business sectors are different and therefore ask for a different approach. Some KICs only need few collocations, whereas others have a larger scope. The EIT should apply a tailor-made approach towards every KIC.

Amendment 86
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 9 b (new)

Text proposed by the Commission

Amendment

(9 b) The EIT is the single instrument within the Horizon 2020 framework that has a strong emphasis on the educational aspect. The EIT should emerge as a world-class provider of post-graduate education, introducing a ground-breaking educational paradigm for higher education able to tackle the European paradox.

Or. en

Amendment 87
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 10

Text proposed by the Commission

Amendment

(10) The KICs should broaden their educational activities, by providing professional training courses.

(10) The KICs should broaden their educational activities, by providing professional ***and summer*** training courses, ***distance learning courses and internships.*** ***The EIT should invest in cultivating a world-class EIT brand-name in the area of innovative entrepreneurial education.***

Or. en

Amendment 88
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 10 a (new)

Text proposed by the Commission

Amendment

(10 a) The EIT and the KICs are encouraged to develop educational synergies with the EU and member states policies, in order to support the future availability of the necessary human capital that is essential to fulfil Europe's path towards scientific, technological and innovation leadership. To this end, Science, Technology, Engineering, and Mathematics (STEM) Education should be promoted and entrepreneurial coaching, internships and grants for young Europeans and top high-school and university students should be created.

Or. en

Amendment 89
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 11

Text proposed by the Commission

Amendment

(11) Cooperation on the organisation the monitoring and evaluations of the KICs between the Commission and the EIT is required to ensure coherence with overall EU level monitoring and evaluation system.

(11) Cooperation on the organisation ***of*** the monitoring and evaluations of the KICs between the Commission and the EIT is required to ensure coherence with overall EU level monitoring and evaluation system. ***Cross-KIC coordination and cooperation should be exercised by the EIT in order to ensure synergies and added-value creation from the multitude of EIT partners.***

Or. en

Amendment 90
Judith A. Merkies

Proposal for a regulation
Recital 12

Text proposed by the Commission

(12) The KICs should seek synergies with relevant *European Union* initiatives.

Amendment

(12) The KICs should seek synergies *and linkages* with relevant *and complementary Research, Development and Innovation* initiatives *and instruments at European level, such as the European Innovation Partnerships (EIP's) and SET-plans*.

Or. en

Justification

Complementarity and linkages between initiatives are necessary to simplify the EU innovation landscape.

Amendment 91
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 12 a (new)

Text proposed by the Commission

Amendment

(12 a) The KICs should act as "innovation catalysts", capable of producing a significant number of much-needed innovators, who will be the carriers of entrepreneurial culture and experience and able to create innovative, knowledge-based SMEs and transform existing businesses. The KICs should function as a reference and an easy-to-replicate collaboration model for other European, regional and national R&D&I communities and for consolidating Structural Funds for achieving the European innovation objectives.

Amendment 92

Maria Da Graça Carvalho, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Lambert van Nistelrooij

Proposal for a regulation

Recital 13

Text proposed by the Commission

(13) In order to assure broader participation of organizations from different Members States in the KICs, the partner organizations should be established in at least three different Member States.

Amendment

(13) In order to assure broader participation of organizations from different Members States in the KICs, the partner organizations should be established in at least three different Member States.

Excellence should be the main driver for EIT as a whole while promoting the widening of participation in order to stimulate excellence across Europe, including the concept of "stairway to excellence", something that will further encourage the participation of strong units of embryonic excellence, such as small research groups and highly innovative start-ups, who will be able to act as innovation catalysts at regional and local level and diffuse the innovation culture from the EIT to the whole of the EU.

Amendment 93

Kent Johansson, Fiona Hall, Vladko Todorov Panayotov

Proposal for a regulation

Recital 13

Text proposed by the Commission

(13) In order to assure broader participation of organizations from different Members States in the KICs, the

Amendment

(13) In order to assure broader participation of organizations from different Members States in the KICs, the

partner organizations should be established in at least three different Member States.

partner organizations should be established in at least three different Member States.

In addition, the EIT and the KICs should seek to further develop outreach activities and establish links with institutions and other partners in emerging and potential centres of excellence, in particular where there is a demonstrated demand and/or supply, in order to achieve the most efficient outcomes.

Or. en

Justification

While guaranteeing excellence, the EIT and KICs activities should benefit the widest possible range of stakeholders, regions, and member states.

Amendment 94

Marisa Matias

Proposal for a regulation

Recital 13

Text proposed by the Commission

(13) In order to assure broader participation of organizations from different Members States in the KICs, the partner organizations should be established in at least three different Member States.

Amendment

(13) In order to assure broader participation of organizations from different Members States in the KICs, the partner organizations should be established in at least three different Member States.
The evaluation criteria should include, as a positive indicator, the participation of Member States not yet involved in already existing KICs.

Or. en

Amendment 95

Andrzej Grzyb, Jerzy Buzek, Jolanta Emilia Hibner, Lena Kolarska-Bobińska, Bogdan Kazimierz Marcinkiewicz, Krišjānis Kariņš

Proposal for a regulation
Recital 13

Text proposed by the Commission

(13) In order to assure broader participation of organizations from different Members States in the KICs, the partner organizations should be established in at least three different Member States.

Amendment

(13) In order to assure broader participation of organizations from different Members States in the KICs, the partner organizations should be established in at least three different Member States.
The EIT must ensure open access to all high quality European research communities.

Or. en

Amendment 96
Judith A. Merkies

Proposal for a regulation
Recital 13 a (new)

Text proposed by the Commission

Amendment

(13 a) The EIT should pay attention to a good balance between the different actors from the knowledge triangle being involved in the KICs, special attention being given to the involvement of smaller actors such as small research centres and SME's.

Or. en

Justification

A good balance between the involvement of the different elements of the knowledge triangle is important.

Amendment 97
Ioannis A. Tsoukalas, Maria Da Graça Carvalho, Lambert van Nistelrooij, Pilar del Castillo Vera

Proposal for a regulation
Recital 13 a (new)

Text proposed by the Commission

Amendment

(13 a) The EIT and the KICs should function as model implementations of the knowledge triangle in the EU and should, eventually, emerge as a service providers for innovation capacity building across the EU in designing and implementing innovation policies and 'smart specialization' strategies.

Or. en

Amendment 98
Judith A. Merkies

Proposal for a regulation
Recital 14

Text proposed by the Commission

Amendment

(14) The criteria and procedures for the financing, monitoring and evaluation of the activities of the KICs should be adopted by the EIT prior to launching the KIC selection process.

(14) The criteria and procedures for the financing, monitoring and evaluation of the activities of the KICs should be adopted by the EIT prior to launching the KIC selection process. ***In particular, a substantial part of the yearly financial contribution to the KICs shall be allocated on the basis of a competitive review mechanism.***

Or. en

Amendment 99
Judith A. Merkies

Proposal for a regulation
Recital 14 a (new)

Text proposed by the Commission

Amendment

(14 a) The EIT should seek to reduce administrative burden for the KICs.

Or. en

Amendment 100
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 15

Text proposed by the Commission

Amendment

(15) The EIT Triennial Work Programme should take into account the Commission's ***opinion*** concerning the EIT's specific objectives, as defined in Horizon 2020, and its complementarities with European Union policies and instruments.

(15) The EIT Triennial Work Programme should take into account the Commission's, ***Parliament's and Council's opinions*** concerning the EIT's specific objectives, as defined in Horizon 2020, and its complementarities with European Union policies and instruments.

Or. en

Amendment 101
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 17

Text proposed by the Commission

Amendment

(17) The evaluation of the EIT should provide timely input to the evaluation of Horizon 2020 in 2017 and 2023.

(17) The evaluation of the EIT should ***allow for direct comparisons of performance and key-indicators with relevant Horizon 2020 instruments and should*** provide timely input to the evaluation of Horizon 2020 in 2017 and 2023.

Or. en

Amendment 102
Judith A. Merkies

Proposal for a regulation
Recital 18

Text proposed by the Commission

Amendment

*(18) The Commission should strengthen
its role in monitoring the implementation
of specific aspects of EIT activities.*

deleted

Or. en

Justification

Greater involvement of the Commission in the monitoring process is unnecessary and would lead to more red tape.

Amendment 103
Ioannis A. Tsoukalas

Proposal for a regulation
Recital 18

Text proposed by the Commission

Amendment

*(18) The Commission should strengthen its
role in monitoring the implementation of
specific aspects of EIT activities.*

*(18) The Commission should strengthen its
role in monitoring the implementation of
specific aspects of EIT **and KICs** activities.*

Or. en

Amendment 104
Jean-Pierre Audy

Proposal for a regulation
Recital 20

Text proposed by the Commission

Amendment

(20) Although initially foreseen, the EIT Foundation will not receive a direct contribution from the EU budget, the EU discharge procedure should not apply to it.

deleted

Or. fr

Amendment 105
Oreste Rossi

Proposal for a regulation
Recital 20

Text proposed by the Commission

Amendment

(20) Although initially foreseen, the EIT Foundation will not receive a direct contribution from the EU budget, the EU discharge procedure should not apply to it.

deleted

Or. it

(Cf. amendment deleting Annex – section 2 – point 1 – point n)

Amendment 106
Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation
Article 1 – point -1 (new)
Regulation (EC) No 294/2008
Article 2 – paragraph 1

Text proposed by the Commission

Amendment

(-1) Article 2, paragraph 1 is replaced by the following:

''innovation' means the process by which new ideas help respond to societal or economic needs and generate new

products, services, processes or business and organisational models that contribute value to society and can be successfully introduced into an existing market or would be able to create new markets;"

Or. en

Justification

The market dimension is a key element of innovation.

Amendment 107

Lambert van Nistelrooij, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Maria Da Graça Carvalho, Ivo Belet

Proposal for a regulation

Article 1 – point 1 – point b a (new)

Regulation (EC) No 294/2008

Article 2 – paragraph 9 a (new)

Text proposed by the Commission

Amendment

(b a) The following paragraph is inserted:

"(9 a) A Regional Implementation and Innovation Communities (RIC) aspires to develop its relations with the EIT towards eventually becoming part of it. This means a RIC is an autonomous partnership of higher education institutions, research organisations, companies and other stakeholders in the innovation process in the form of a strategic network based on joint mid- to long-term innovation planning with the intrinsic potential to move up the Stairway to Excellence by achieving excellence in higher education, research and innovation and eventually being awarded official relational status with the EIT, regardless of its precise legal form."

Or. en

Amendment 108
Ioannis A. Tsoukalas

Proposal for a regulation
Article 1 – point 1 – point c
Regulation (EC) No 294/2008
Article 2 – paragraph 10

Text proposed by the Commission

10. Stakeholder Forum means a **meeting** open to representatives of national and regional authorities, organized interests and individual entities from business, **higher** education, and research, cluster organisations, as well as other interested parties from across the knowledge triangle.

Amendment

10. Stakeholder Forum means a **platform, involving physical and virtual conferences and events**, open to representatives of national and regional authorities, organized interests and individual entities from business, education, and research, **associations, civil society and** cluster organisations, as well as other interested parties from across the knowledge triangle.

Or. en

Amendment 109
Silvia-Adriana Țicău

Proposal for a regulation
Article 1 – point 1 – point c
Regulation (EC) No 294/2008
Article 2 – paragraph 10

Text proposed by the Commission

10. 'Stakeholder Forum' means a meeting open to representatives of national **and** regional authorities, organized interests and individual entities from business, higher education, and research, cluster organisations, as well as other interested parties from across the knowledge triangle".

Amendment

10. 'Stakeholder Forum' means a meeting open to representatives of national, regional **and local** authorities, organized interests and individual entities from business, higher education, and research, cluster organisations, as well as other interested parties from across the knowledge triangle".

Or. ro

Amendment 110
Mario Pirillo

Proposal for a regulation
Article 1 – point 1 – point c
Regulation (EC) No 294/2008
Article 2 – paragraph 10

Text proposed by the Commission

10. Stakeholder Forum means a meeting open to representatives of national and regional authorities, organized interests and individual entities from business, higher education, and research, cluster organisations, as well as other interested parties from across the knowledge triangle.

Amendment

10. Stakeholder Forum means a meeting open to representatives of national and regional authorities, organized interests and individual entities from business, higher education, and research, cluster organisations, **NGOs** as well as other interested parties from across the knowledge triangle.

Or. en

Justification

A stakeholder forum should not merely include parties from the knowledge triangle but should also include NGOs representing these interests and concerns.

Amendment 111
Ioannis A. Tsoukalas

Proposal for a regulation
Article 1 – point 1 – point d
Regulation (EC) No 294/2008
Article 2 – paragraph 11

Text proposed by the Commission

11. “KIC added value activities”: means activities carried out by partner organisations contributing to the integration of the knowledge triangle of research, innovation and higher education, including establishment, administrative and coordination activities of the KICs.

Amendment

11. “KIC added value activities”: means activities carried out by partner organisations contributing to the integration of the knowledge triangle of research, innovation and higher education, including establishment, administrative and coordination activities of the KICs **and contributing to the overall objectives of EIT.**

Amendment 112
Judith A. Merkies

Proposal for a regulation
Article 1 – point 2
Regulation (EC) No 294/2008
Article 3

Text proposed by the Commission

The EIT's mission is to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. It shall do this by promoting and integrating higher education, research and innovation of the highest standards.

The EIT' General Objectives, Specific Objectives and result indicators for the period 2014-2020 are defined in Horizon 2020".

Amendment

The EIT's mission is to contribute to sustainable European economic growth and competitiveness ***and to obtain solutions for the grand societal challenges facing the European society as mentioned in recital 9a,*** by reinforcing ***and accelerating*** the innovation capacity of the Member States and the Union. It shall do this by promoting and integrating higher education, research and innovation of the highest standards.

The EIT' General Objectives, Specific Objectives and result indicators for the period 2014-2020 are defined in Horizon 2020".

Justification

Innovation in the European Union has to be relevant for the society and aim at obtaining solutions for the grand societal challenges we face.

Amendment 113
Ioannis A. Tsoukalas

Proposal for a regulation
Article 1 – point 2
Regulation (EC) No 294/2008
Article 3

Text proposed by the Commission

The EIT's mission is to contribute to sustainable European economic **growth** and competitiveness by reinforcing the innovation capacity of the Member States and the Union. It shall do this by promoting and integrating higher education, research and **innovation** of the highest standards

The EIT' General Objectives, Specific Objectives and result indicators for the period 2014-2020 are defined in Horizon 2020".

Amendment

The EIT's mission is to contribute to sustainable European economic and **social development and** competitiveness by reinforcing the innovation **and entrepreneurship** capacity of the Member States and the Union. It shall do this by promoting and integrating higher education, research, **innovation** and **entrepreneurship** of the highest standards

The EIT' General Objectives, Specific Objectives and result indicators for the period 2014-2020 are defined in Horizon 2020".

Or. en

Amendment 114

Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation

Article 1 – point 2

Regulation (EC) No 294/2008

Article 3

Text proposed by the Commission

The EIT's mission is to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. It shall do this by promoting and integrating higher education, research and innovation of the highest standards.

The EIT' General Objectives, Specific Objectives and result indicators for the period 2014-2020 are defined in Horizon 2020".

Amendment

The EIT's mission is to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. It shall do this by promoting and integrating higher education, research and innovation of the highest standards, **in order to develop an entrepreneurial mind-set**.

The EIT' General Objectives, Specific Objectives and result indicators for the period 2014-2020 are defined in Horizon 2020".

Or. en

Amendment 115
Judith A. Merkies

Proposal for a regulation
Article 1 – point 2 a (new)
Regulation (EC) No 294/2008
Article 4 – paragraph 1 – point a

Text proposed by the Commission

Amendment

(2 a) In Article 4(1) point (a) is replaced by the following:

"(a) A Governing board consisting of and respecting the balance between high-level members experienced in higher education, research, innovation and business. It shall be responsible for steering the activities of the EIT, for the selection, designation and evaluation of the KICs, and for all other strategic decisions;"

Or. en

Justification

A balance between actors from education, research, innovation and business is necessary in the EIT bodies.

Amendment 116
Maria Da Graça Carvalho, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Lambert van Nistelrooij

Proposal for a regulation
Article 1 – point 4 – point a a (new)
Regulation (EC) No 294/2008
Article 5 – paragraph 1 – point (b)

Text proposed by the Commission

Amendment

(a a) point (b) is replaced by the following:

"(b) actively establish links with emerging institutions and centres of excellence and innovation in order to broaden the EU's innovation capacity. This will involve the teaming of excellent research institutions and less developed regions, twinning, staff exchanges and mobility programmes, expert advice and assistance and the development of joint strategies."

Or. en

Amendment 117

Kent Johansson, Fiona Hall, Vladko Todorov Panayotov

Proposal for a regulation

Article 1 – point 4 – point a a (new)

Regulation (EC) No 294/2008

Article 5 – paragraph 1 – point b

Text proposed by the Commission

Amendment

(a a) point (b) is replaced by the following:

"(b) raise awareness among potential partner organisations, especially with SMEs and with emerging and potential centres of excellence, to encourage and facilitate their participation in its activities;"

Or. en

Amendment 118

Judith A. Merkies

Proposal for a regulation

Article 1 – point 4 – point a a (new)

Regulation (EC) No 294/2008

Article 5 – paragraph 1 – point c

Text proposed by the Commission

Amendment

(a a) point (c) is replaced by the following:

"(c) select and designate KICs in accordance with Article 7 and define their rights and obligation by agreements; provide them with appropriate and fast support; apply appropriate quality control measures; monitor and periodically evaluate their activities and output; and ensure an appropriate level of coordination between the different collocations and KICs;"

Or. en

Justification

The tasks of the EIT should be to support the KICs in an appropriate way, monitor and evaluate their activities and input and ensure the coordination between the collocations of one KIC and between the different KICs.

Amendment 119

Kent Johansson, Fiona Hall, Vladko Todorov Panayotov

Proposal for a regulation

Article 1 – point 4 – point a b (new)

Regulation (EC) No 294/2008

Article 5 – paragraph 1 – point f a (new)

Text proposed by the Commission

Amendment

(a b) The following point is inserted:

"(f a) assess IPR practices within the KICs and their impact on European innovation capacity in particular on SMEs; provide guidance on innovative IPR models promoting knowledge transfer and diffusion across the EU;"

Or. en

Justification

The EIT role in this context is to provide strategic guidance to the KICs. Therefore, the assessment of IPR practices carried out by the EIT should be developed in the context of the KICs.

Amendment 120

Oreste Rossi

Proposal for a regulation

Article 1 – point 4 – point a a (new)

Regulation (EC) No 294/2008

Article 5 – paragraph 1 – point h

Text proposed by the Commission

Amendment

(aa) point (h) is replaced by the following:

‘(h) ensure complementarity, synergy and consistency between EIT activities and other Community programmes;’

Or. it

Justification

The aim is to ensure consistency with the objectives of other EU programmes and encourage interdisciplinarity.

Amendment 121

Ioannis A. Tsoukalas, Maria Da Graça Carvalho, Lambert van Nistelrooij, Pilar del Castillo Vera

Proposal for a regulation

Article 1 – point 4 – point a a (new)

Regulation (EC) No 294/2008

Article 5 – paragraph 1 – point h a (new)

Text proposed by the Commission

Amendment

(a a) the following point (h a) is inserted:

"(h a) emerge as a service provider for innovation capacity building across the EU, assisting national and regional

entities, especially from less performing regions, in designing and implementing innovation policies and 'smart specialization' strategies;"

Or. en

Amendment 122
Judith A. Merkies

Proposal for a regulation
Article 1 – point 4 – point a b (new)
Regulation (EC) No 294/2008
Article 5 – paragraph 1 – point h a (new)

Text proposed by the Commission

Amendment

(a b) the following point (h a) is inserted:
"(h a) promote the KICs as excellent innovation partners inside and outside the European Union."

Or. en

Amendment 123
Judith A. Merkies

Proposal for a regulation
Article 1 – point 4 – point a c (new)
Regulation (EC) No 294/2008
Article 5 – paragraph 1 – point h b (new)

Text proposed by the Commission

Amendment

(a c) the following point (h b) is inserted:
"(h b) support and advise the KICs in administrative and financial matters and develop a 'best practices guide' in order to disseminate good practices and experiences of existing KICs to new KICs."

Or. en

Amendment 124
Judith A. Merkies

Proposal for a regulation
Article 1 – point 4 – point a d (new)
Regulation (EC) No 294/2008
Article 5 – paragraph 1 – point h c (new)

Text proposed by the Commission

Amendment

(a d) the following point (h c) is inserted:
"(h c) facilitate effective communication and thematic cooperation between the different collocations and KICs."

Or. en

Amendment 125
Ioannis A. Tsoukalas

Proposal for a regulation
Article 1 – point 4 – point b
Regulation (EC) No 294/2008
Article 5 – point (j)

Text proposed by the Commission

Amendment

(j) convene, at least once a year, the Stakeholders Forum to inform about the activities of the EIT, its experiences, good practices and contribution to Union innovation, research and education policies and objectives. ***Stakeholders shall be invited to express their views.***

(j) convene, at least once a year, the Stakeholders Forum to inform about the activities of the EIT, its experiences, good practices and contribution to Union innovation, research and education policies and objectives, ***and to receive input from stakeholders about the EIT's agenda, strategy and operations.***

Or. en

Amendment 126
Judith A. Merkies

Proposal for a regulation
Article 1 – point 4 a (new)
Regulation (EC) No 294/2008
Article 6 – paragraph 1 – point b

Text proposed by the Commission

Amendment

(4 a) In Article 6 (1), point b is replaced by the following:

"(b) cutting-edge and innovation-driven research in areas of key economic and societal interest and drawing on the results of European and national research, with the potential to strengthen Europe's competitiveness at international level and to obtain solutions for the grand societal challenges."

Or. en

Justification

Innovation in the European Union has to aim at obtaining solutions for common grand societal challenges.

Amendment 127
Oreste Rossi

Proposal for a regulation
Article 1 – point 5
Regulation (EC) No 294/2008
Article 6 – paragraph 1 – point c

Text proposed by the Commission

Amendment

c) education and training activities at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future **European socio-economic** needs and which promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students;

c) education and training activities at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet **the future needs of the Union's employment market** and which promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students;

Justification

It is crucially important to include a reference to the employment market in order to focus on the objectives of education and training activities in relation to ensuring people are qualified and can enter the work force.

Amendment 128

Ioannis A. Tsoukalas

Proposal for a regulation

Article 1 – point 5

Regulation (EC) No 294/2008

Article 6 – paragraph 1 – point c

Text proposed by the Commission

(c) education and training activities at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future European socio-economic needs and which promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students;

Amendment

(c) education and training activities at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future European socio-economic needs and which ***expand the EU's talent base***, promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills, ***the attraction and retention of top-talent***, and the mobility of researchers and students

Amendment 129

Judith A. Merkies

Proposal for a regulation

Article 1 – point 5 a (new)

Regulation (EC) No 294/2008

Article 6 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

(5 a) Article 6, the following paragraph 1a

is inserted:

"1 a. The KICs shall try to integrate and coordinate as much as possible with existing European, national and regional initiatives and local instruments in the field of Research, Development and Innovation"

Or. en

Amendment 130

Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation

Article 1 – point 5 a (new)

Regulation (EC) No 294/2008

Article 6 – paragraph 2

Text proposed by the Commission

Amendment

(5 a) Article 6(2) is replaced by the following:

"2. KICs shall have substantial overall autonomy to define their internal organisation and composition. In particular KICs shall:

- a) Establish a governance structure which involves representatives of higher education, research and business sectors;***
- b) Be open to new members, in particular to innovative SMEs, that can add value to the partnership;***
- c) Function in an open and transparent way"***

Or. en

Justification

The governance structure of KICs needs to remain flexible to ensure an efficient organisation.

Amendment 131
Judith A. Merkies

Proposal for a regulation
Article 1 – point 5 b (new)
Regulation (EC) No 294/2008
Article 6 – paragraph 2

Text proposed by the Commission

Amendment

(5 b) Article 6 (2) is replaced by the following:

"2. KICs shall have substantial overall autonomy to define their internal organisation and composition, as well as their precise agenda and working methods. In particular, KICs shall aim to be open to new members whenever these members add value to the partnership, bearing in mind the stability and continuity of the partnership."

Or. en

Amendment 132
Maria Da Graça Carvalho, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Lambert van Nistelrooij

Proposal for a regulation
Article 1 – point 5 a (new)
Regulation (EC) No 294/2008
Article 6 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

(5 a) In article 6, the following paragraph 2 a is inserted:

"2 a. In particular, complementarity and close synergies should be developed with the Structural Funds by promoting a multifund approach. The EIT should confer a "seal of excellence" on positively evaluated projects that have not otherwise been able to achieve funding because of budgetary limitations. National and

structural funds could be used, in collaboration with the EIT, for providing return and installation grants to EIT graduates, thus geographically spreading the innovation and entrepreneurship capacity of EIT across the EU."

Or. en

Amendment 133
Ioannis A. Tsoukalas

Proposal for a regulation
Article 1 – point 5 a (new)
Regulation (EC) No 294/2008
Article 6 – point 3 a (new)

Text proposed by the Commission

Amendment

(5 a) In article 6, the following paragraph 3 a is inserted:

"3 a. In order to improve the delivery of the EIT outputs, the activities performed by the KICs should have a measurable impact on the creation of sustainable innovative start ups and spin-offs, notably by way of supporting the networking and entrepreneurial activities of the receivers of EIT degrees and trainings."

Or. en

Amendment 134
Lambert van Nistelrooij, Ioannis A. Tsoukalas, Maria Da Graça Carvalho, Ivo Belet

Proposal for a regulation
Article 1 – point 6 – point -a (new)
Regulation (EC) No 294/2008
Article 7 – paragraph 1

Text proposed by the Commission

Amendment

(-a) Article 7 (1) is replaced by the

following:

"1. A partnership shall be selected and designated by the EIT to become a KIC or RIC on the basis of a competitive, open and transparent procedure. Detailed criteria for the selection of the KICs or RICs, based on the principles of excellence and innovation relevance, shall be adopted and published by the EIT; external and independent experts shall be involved in the selection process."

Or. en

Amendment 135
Judith A. Merkies

Proposal for a regulation
Article 1 – point 6 – point a
Regulation (EC) No 294/2008
Article 7 – paragraph 1 a

Text proposed by the Commission

1a. The EIT shall launch the selection and designation of KICs according to the priority fields and time schedule defined in the SIA.

Amendment

1a. The Strategic Innovation Agenda defines the modalities according to which new KICs are launched. 60% of the budget for new KICs for the period 2014-2020 shall be spent on KICs based on the themes defined in the Strategic Innovation Agenda. The other 40% of the budget for new KICs can be allocated freely by the EIT to either enforce these KICs or to launch KICs on other themes. The EIT has the freedom to choose the appropriate size, duration and time framework for all new KICs.

Or. en

Justification

In order to increase the flexibility of the EU innovation policy, the EIT needs to have the possibility of launching KICs on themes other than the ones agreed on in the Strategic Innovation Agenda. Within the themes proposed in the Strategic Innovation Agenda, it has the

freedom to choose the most appropriate themes. For all new KICs, it has the freedom to choose the appropriate size, duration and time framework.

Amendment 136

Kent Johansson, Jens Rohde, Fiona Hall

Proposal for a regulation

Article 1 – point 6 – point a

Regulation (EC) No 294/2008

Article 7 – paragraph 1a

Text proposed by the Commission

1a. The EIT shall launch the selection and designation of KICs according to the priority fields and time schedule defined in the SIA.

Amendment

1a. The EIT shall launch the selection and designation of KICs according to the priority fields and time schedule *clearly* defined in the SIA. *The number of KICs selected will depend on the maturity and readiness of European consortia to form credible KICs, the potential societal and economic impact, and opportunities for synergies with other initiatives, as well as the absorption capacity of the EIT and the budget available.*

Or. en

Amendment 137

Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation

Article 1 – point 6 – point a a (new)

Regulation (EC) No 294/2008

Article 7 – paragraph 1 b (new)

Text proposed by the Commission

Amendment

(a a) In Article 7, the following paragraph 1b is inserted:

"1b. The selection of new KICs shall be made on a competitive basis and shall be based on each considered KICs own merits and potential, and should not be dependent on the performance of other

KICs."

Or. en

Amendment 138

Kent Johansson, Jens Rohde, Fiona Hall

Proposal for a regulation

Article 1 – point 6 – point a b (new)

Regulation (EC) No 294/2008

Article 7 – paragraph 1 c (new)

Text proposed by the Commission

Amendment

(a b) paragraph 1c is inserted:

"1c. The main focus for selecting new KICs shall be their potential to drive innovation and entrepreneurship. The KICs shall participate in solving societal challenges."

Or. en

Justification

It is important that the KICs participate in solving our main societal challenges, but it needs to be clarified that this should not be the primary selection criterion for choosing the KICs. The main selection criterion should be the KICs potential to drive innovation and entrepreneurship.

Amendment 139

Lambert van Nistelrooij, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Maria Da Graça Carvalho, Ivo Belet

Proposal for a regulation

Article 1 – point 6 – point a a (new)

Regulation (EC) No 294/2008

Article 7 – paragraph 2 – introductory part

Text proposed by the Commission

Amendment

(a a) In paragraph 2, the introductory part is replaced by the following:

"2. In accordance with the principles enshrined in paragraph 1, the selection of a KIC or RIC shall take particular account of:"

Or. en

Amendment 140
Judith A. Merkies

Proposal for a regulation
Article 1 – point 6 – point a b (new)
Regulation (EC) No 294/2008
Article 7 – paragraph 2 – point b a (new)

Text proposed by the Commission

Amendment

(a b) The following point (b a) is inserted:
"(b a) their ability to contribute to tackling the three grand societal challenges as mentioned in the European Parliament 'Innovation Union' report."

Or. en

Amendment 141
Judith A. Merkies

Proposal for a regulation
Article 1 – point 6 – point a c (new)
Regulation (EC) No 294/2008
Article 7 – paragraph 2 – point d

Text proposed by the Commission

Amendment

(a c) In article 7, paragraph 2 (d) is replaced by the following:
"(d) the participation in the partnership of organisations active in the knowledge triangle of higher education, research and innovation, taking into account a good balance between these three elements."

Amendment 142
Judith A. Merkies

Proposal for a regulation
Article 1 – point 6 – point b
Regulation (EC) No 294/2008
Article 7 – paragraph 2 – point h

Text proposed by the Commission

(h) readiness to establish synergies with other European Union initiatives,

Amendment

(h) readiness to establish synergies with other European Union initiatives ***in the field of Research, Development and Innovation,***

Or. en

Amendment 143
Judith A. Merkies

Proposal for a regulation
Article 1 – point 6 – point b a (new)
Regulation (EC) No 294/2008
Article 7 – paragraph 2 – point h a (new)

Text proposed by the Commission

Amendment

(b a) the following paragraph (h a) is inserted:

"(h a) the partnership's complementarity to other European, national, regional and local innovation programmes."

Or. en

Amendment 144
Kent Johansson, Fiona Hall, Jens Rohde

Proposal for a regulation
Article 1 – point 6 – point b a (new)

Text proposed by the Commission

Amendment

(b a) paragraph 2 a is inserted:

"2a. KICs shall set out their precise agenda and working methods. In particular, KICs shall:

a) Establish a yearly business plan of activities with specific objectives, milestones and key performance indicators;

b) Develop strategies for achieving financial sustainability leading to a gradual decrease of EU financing;

c) Develop outreach activities, in particular with emerging and potential centres of excellence;"

Or. en

Justification

Emerging and potential centres of excellence is a better expression than centres of excellence in less performing EU regions, since the first mentioned expression does not include an explicit geographical indication, but this is implicitly indicated, which puts the focus more on excellence.

Amendment 145

Oreste Rossi

Proposal for a regulation

Article 1 – point 6 – point c

Regulation (EC) No 294/2008

Article 7 – paragraph 3

Text proposed by the Commission

Amendment

3. The minimum condition to form a KIC is the participation of at least three partner organisations, established in at least ***three*** different Member States. All these partner organisations must be independent of each

3. The minimum condition to form a KIC is the participation of at least three partner organisations, established in at least ***two*** different Member States. All these partner organisations must be independent of each

other, within the meaning of Article 7 of
Rules for Participation

other, within the meaning of Article 7 of
the Rules for Participation.

Or. it

Justification

The aim is to restore the original wording of this paragraph.

Amendment 146
Judith A. Merkies

Proposal for a regulation
Article 1 – point 6 – point c
Regulation (EC) No 294/2008
Article 7 – paragraph 3

Text proposed by the Commission

3. The minimum condition to form a KIC is the participation of at least three partner organisations, established in at least **three** different Member States. All these partner organisations must be independent of each other, within the meaning of Article 7 of Rules for Participation.

Amendment

3. The minimum condition to form a KIC is the participation of at least three partner organisations, established in at least **two** different Member States. All these partner organisations must be independent of each other, within the meaning of Article 7 of Rules for Participation.

Or. en

Amendment 147
Philippe Lamberts
on behalf of the Verts/ALE Group

Proposal for a regulation
Article 1 – point 6 – point d
Regulation (EC) No 294/2008
Article 7 – paragraph 4

Text proposed by the Commission

4. **The majority** of the partner organisations forming a KIC shall be established in the Member States. At least

Amendment

4. **(a) At least two-thirds** of the partner organisations forming a KIC shall be established in the Member States.

one higher education institution *and one private company* shall be part of each KIC.

(b) At least one higher education institution, *one private entity and one SME* shall be part of each KIC.

Or. en

Amendment 148

Lambert van Nistelrooij, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Maria Da Graça Carvalho, Ivo Belet

Proposal for a regulation

Article 1 – point 6 – point e a (new)

Regulation (EC) No 294/2008

Article 7 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

(e a) the following paragraph 4 a is inserted:

"4 a. A Regional implementation and innovation communities (RIC) aspires to develop its relations with the EIT towards eventually becoming part of it. A RIC qualifies as a RIC if its development shows promise of meeting all the conditions as mentioned above in due course. An RIC must meet at least conditions b, c, d, e, f, g of paragraph 2. The same authority as mentioned in paragraph 1 will decide on the selection of the RICs."

Or. en

Amendment 149

Maria Da Graça Carvalho, Ioannis A. Tsoukalas, Pilar del Castillo Vera, Lambert van Nistelrooij

Proposal for a regulation

Article 1 – point 7

Text proposed by the Commission

The EIT, shall on the basis of key performance indicators and in cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the outputs, results and impact of each KIC.

Amendment

The EIT, shall on the basis of key performance indicators and in cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the outputs, results and impact of each KIC.

In order to improve the delivery of the EIT outputs, the activities performed by the KICs should have a measurable impact on the creation of sustainable innovative start-ups and spin-offs, notably by way of supporting the networking and entrepreneurial activities of the receivers of EIT degrees and training activities.

Or. en

Amendment 150
Ioannis A. Tsoukalas

Proposal for a regulation
Article 1 – point 7
Regulation (EC) No 294/2008
Article 7a

Text proposed by the Commission

The EIT, shall on the basis of key performance indicators and in cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the outputs, results and impact of each KIC.

Amendment

The EIT, shall on the basis of key performance indicators and in cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the outputs, results and impact of each KIC, ***in a way that will be directly comparable to the contribution of relevant instruments of the rest of the Horizon 2020 and which will be published in the yearly EIT Scoreboard.***

Or. en

Amendment 151
Judith A. Merkies

Proposal for a regulation
Article 1 – point 7
Regulation (EC) No 294/2008
Article 7a

Text proposed by the Commission

The EIT, shall on the basis of key performance indicators ***and in cooperation with the Commission***, organise ***continuous*** monitoring and ***periodic*** external evaluations of the outputs, results and impact of each KIC.

Amendment

The EIT, shall on the basis of key ***quantitative and qualitative*** performance indicators, organise monitoring and ***triennial*** external evaluations of the outputs, results and impact of each KIC. ***This monitoring and evaluation shall be results-oriented.***

Or. en

Amendment 152
Judith A. Merkies

Proposal for a regulation
Article 1 – point 8
Regulation (EC) No 294/2008
Article 7b – title

Text proposed by the Commission

Duration, continuation and termination of a KIC

Amendment

Duration, continuation, ***financing*** and termination of a KIC

Or. en

Amendment 153
Judith A. Merkies

Proposal for a regulation
Article 1 – point 8
Regulation (EC) No 294/2008
Article 7b – paragraph 1

Text proposed by the Commission

1. Subject to the outcomes of periodic evaluations and to the specificities of particular fields, a KIC shall ***normally*** have a time-frame of ***seven to fifteen*** years.

Amendment

1. Subject to the outcomes of periodic evaluations and to the specificities of particular fields, a KIC shall have a ***minimum*** time-frame of ***three*** years.

Or. en

Amendment 154
Judith A. Merkies

Proposal for a regulation
Article 1 – point 8
Regulation (EC) No 294/2008
Article 7b – paragraph 2

Text proposed by the Commission

2. ***The*** Governing Board may decide to extend the operation of a KIC ***beyond the period initially set if this is the most appropriate way*** to achieve ***the*** objectives of the EIT.

Amendment

2. ***Subject to the outcomes of the monitoring and periodic evaluations, the*** Governing Board may decide ***every three years*** to extend the ***financing of the*** operation of a KIC ***if it proves*** to achieve ***its objectives and those*** of the EIT. ***The Governing Board shall apply a tailor-made approach, taking into account that not all KICs would have the same financial needs, size or duration. The Governing Board can decide to award extra budget to a KIC if it reaches its goals earlier than foreseen.***

Or. en

Amendment 155
Oreste Rossi

Proposal for a regulation
Article 1 – point 8 a (new)
Regulation (EC) No 294/2008
Article 9 – paragraph 3

Text proposed by the Commission

Amendment

(8a) In Article 9, paragraph 3 is amended as follows:

‘3. The EIT shall also take appropriate account of policies and initiatives at local, regional, Euroregional, national and intergovernmental levels in order to make use of best practices, well-established concepts and existing resources.’

Or. it

Justification

It is important to include local policies in view of their income-generating potential

Amendment 156
Silvia-Adriana Țicău

Proposal for a regulation
Article 1 – point 8 a (new)
Regulation (EC) No 294/2008
Article 9 – paragraph 3

Text proposed by the Commission

Amendment

(8a) Paragraph 3 is amended as follows:

"(3) The EIT shall also take appropriate account of policies and initiatives at local, regional, national and intergovernmental levels in order to make use of best practices, well-established concepts and existing resources."

Or. ro

Amendment 157
Oreste Rossi

Proposal for a regulation
Article 1 – point 10

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Text proposed by the Commission

Amendment

(e) capital endowments, ***including those managed by the EIT Foundation;***

(e) capital endowments;

Or. it

(Cf. amendment deleting Annex – section 2 – point 1 – point n)

Amendment 158
Judith A. Merkies

Proposal for a regulation
Article 1 – point 12
Regulation (EC) No 294/2008
Article 15 – point a

Text proposed by the Commission

Amendment

(a) a rolling triennial work programme, based on the SIA, once it is adopted, containing a statement of the major priorities and planned initiatives of the EIT and the KICs, including an estimate of financing needs and sources. It shall also contain appropriate indicators for monitoring the KICs and EIT activities. The preliminary rolling triennial work programme shall be submitted by the EIT to the Commission by 31 December each N-2. The Commission shall deliver an opinion within three months with regard to EIT specific objectives as defined in Horizon 2020 and its complementarities with Union policies and instruments. The EIT shall take a due account of the Commission opinion, and in case of disagreement justify its position. The final work programme shall be transmitted by the EIT to the European Parliament, the Council, the Commission, the European Economic and Social Committee and the Committee of the Regions for information;

(a) a rolling triennial work programme, based on the SIA, once it is adopted, containing a statement of the major priorities and planned initiatives of the EIT and the KICs, including an estimate of financing needs and sources. It shall also contain appropriate indicators for monitoring the KICs and EIT activities, ***using a results-oriented approach.*** The preliminary rolling triennial work programme shall be submitted by the EIT to the Commission by 31 December each N-2. The Commission shall deliver an opinion within three months with regard to EIT specific objectives as defined in Horizon 2020 and its complementarities with Union policies and instruments. The EIT shall take a due account of the Commission opinion, and in case of disagreement justify its position. The final work programme shall be transmitted by the EIT to the European Parliament, the Council, the Commission, the European Economic and Social Committee and the

Committee of the Regions for information;

Or. en

Amendment 159
Judith A. Merkies

Proposal for a regulation
Article 1 – point 13 – point -a (new)
Regulation (EC) No 294/2008
Article 16 – paragraph 1

Text proposed by the Commission

Amendment

(-a) paragraph 1 is replaced by the following:

"1. The EIT shall ensure that its activities, including those managed through KICs, shall be subject to systematic monitoring and periodic independent evaluation to ensure the highest quality of outcome. This evaluation shall be results-oriented. The outcomes of the evaluations shall be made public."

Or. en

Amendment 160
Oreste Rossi

Proposal for a regulation
Article 1 – point 13 – point a
Regulation (EC) No 294/2008
Article 16 – paragraph 2

Text proposed by the Commission

Amendment

(a) in paragraph 2, the word "five" is replaced by the word "three";

(a) Paragraph 2 is replaced by the following:

'2. By June 2011, and every three years after the entry into force of a new financial framework, the Commission,

after consulting stakeholders at both European and national level, shall present a report on the evaluation of the activities of the EIT and the KICs. The report shall assess the criteria for determining the added value of the EIT and also assess its impact and the effectiveness, sustainability, efficiency and relevance of the activities pursued and their relationship, consistency and/or complementarity with existing national and Community policies to support higher education, research and innovation.'

Or. it

Amendment 161
Oreste Rossi

Proposal for a regulation
Article 1 – point 14
Regulation (EC) No 294/2008
Article 17 – paragraph 2 a

Text proposed by the Commission

2 a. The SIA shall include an analysis of potential synergies and complementarities between EIT activities and other Union initiatives, instruments and programmes."

Amendment

2a. The SIA shall include an analysis of ***the mutual consistency of, and*** potential synergies and complementarities between, EIT activities and other Union initiatives, instruments and programmes."

Or. it

Justification

Reference should be made to the need for the activities in question to be consistent with the objectives being pursued.

Amendment 162
Giles Chichester

Proposal for a regulation

Article 1 – paragraph 1 – point 15

Regulation (EC) No 294/2008

Article 19

Text proposed by the Commission

The financial envelope from Horizon 2020 for the implementation of this Regulation during the period from 1 January 2014 to 31 December 2020 is set at EUR **3.182,230** million. The annual appropriations shall be authorised by the budgetary authority within the limits of the financial framework. The EIT financial contribution to the KICs shall be provided under this financial envelope.

Amendment

The financial envelope from Horizon 2020 for the implementation of this Regulation during the period from 1 January 2014 to 31 December 2020 is set at EUR **1.060,743** million. The annual appropriations shall be authorised by the budgetary authority within the limits of the financial framework. The EIT financial contribution to the KICs shall be provided under this financial envelope.

Or. en

Amendment 163

Oreste Rossi

Proposal for a regulation

Article 1 – point 15

Regulation (EC) No 294/2008

Article 19

Text proposed by the Commission

The financial envelope **from Horizon 2020** for the implementation of this Regulation during the period from 1 January 2014 to 31 December 2020 **is set at EUR 3.182,230 million**. The annual appropriations shall be authorised by the budgetary authority within the limits of the financial framework. The EIT financial contribution to the KICs shall be provided under this financial envelope.

Amendment

The financial envelope for the implementation of this Regulation during the period from 1 January 2014 to 31 December 2020 **shall be laid down by Article 6(3) of Regulation (EU) No [XXX/XXXX] establishing Horizon 2020 - The Framework Programme for Research and Innovation (2014-2020)**. Annual appropriations shall be authorised by the budgetary authority within the limits of the financial framework. The EIT financial contribution to the KICs shall be provided under this financial envelope.

Or. it

(Cf. Article 6(3) of the proposal for a regulation, COM(2011)809.)

Amendment 164

Oreste Rossi

Proposal for a regulation

Article 1 – point 15 a (new)

Regulation (EC) No 294/2008

Article 20 – paragraph 1

Text proposed by the Commission

Amendment

(15a) Article 20(1) is replaced by the following:

‘1. The expenditure of the EIT shall include staff, administrative, management, infrastructure and operational expenses. Administrative, management and operational expenses shall be kept to a minimum, on the basis of criteria relating to economy, efficiency and effectiveness.’

Or. it

Amendment 165

Oreste Rossi

Proposal for a regulation

Article 1 – point 20

Regulation (EC) No 294/2008

Article 22 a

Text proposed by the Commission

Amendment

In the event of winding up of the EIT, its liquidation shall intervene under the supervision of the Commission in conformity with the laws applicable. The agreements with the KICs ***and the act establishing the EIT Foundation*** shall lay down the appropriate provisions in such situation."

In the event of winding up of the EIT, its liquidation shall intervene under the supervision of the Commission in conformity with the laws applicable. The agreements with the KICs shall lay down the appropriate provisions in such situation.

Or. it

(Cf. amendment deleting Annex – section 2 – point 1 – point n)

Amendment 166

Oreste Rossi

Proposal for a regulation

Annex – section 1 – point 2 – paragraph 1

Text proposed by the Commission

2. There shall be **12** appointed members, appointed by the Commission, providing a balance between those with experience in business, higher education and research. They shall have a four-year non-renewable term of office.

Amendment

2. There shall be **a maximum of six** appointed members, appointed by the Commission, providing a balance between those with experience in business **(including SMEs)**, higher education and research. They shall have a four-year non-renewable term of office.

Or. it

Justification

The reduction in the number of members is designed to help meet the requirement for more transparent management.

Amendment 167

Silvia-Adriana Țicău

Proposal for a regulation

Annex 1 – section 1 – point 2 – paragraph 1

Text proposed by the Commission

2. There shall be 12 appointed members, appointed by the Commission, providing **a** balance between those with experience in business, higher education and research. They shall have a four-year non-renewable term of office.

Amendment

2. There shall be 12 appointed members appointed by the Commission, **and 3 appointed members appointed by the European Parliament**, providing **gender balance and a balance** between those with experience in business, higher education and research. They shall have a four-year non-renewable term of office.

Amendment 168
Oreste Rossi

Proposal for a regulation
Annex – section 1 – point 2 – paragraph 3

Text proposed by the Commission

The Commission shall have regard to the balance between higher education, research, innovation and business experience ***as well as to gender balance*** and an appreciation of the higher education, research and innovation environments across the Union.

Amendment

The Commission shall have regard to the balance between higher education, research, innovation and business experience and an appreciation of the higher education, research and innovation environments across the Union.

Or. it

Justification

A person's competence and experience is neither determined nor evidenced by their gender. Consequently, 'pink quotas' are both discriminatory and potentially counter-productive: there are no grounds for preventing an all-female Board, after all.

Amendment 169
Oreste Rossi

Proposal for a regulation
Annex – section 1 – point 2 – paragraph 4

Text proposed by the Commission

The Commission shall appoint the member(s) ***and inform*** the European Parliament and the Council about the selection process and the final appointment of those members of the Governing Board.

Amendment

The Commission shall appoint the member(s) ***after informing*** the European Parliament and the Council about the selection process and the final appointment of those members of the Governing Board. ***Before the Commission makes any such appointments, Parliament and the Council may express an opinion concerning the candidates selected.***

Or. it

Justification

The aim is to ensure the transparency of the procedure for appointing Board members.

Amendment 170

Oreste Rossi

Proposal for a regulation

Annex – section 1 – point 2 – paragraph 6

Text proposed by the Commission

During a transitional period, the Board members initially appointed for a six year period shall complete their mandate. Until that time there shall be 18 appointed members. Within six months after entry into force of this regulation, one third of **twelve** members appointed in 2012 shall be chosen by the Governing Board with the Commission approval to serve for a two year period, one third for a four year period and one third for a six year period.

Amendment

During a transitional period, the Board members initially appointed for a six year period shall complete their mandate. Until that time there shall be 18 appointed members. Within six months after entry into force of this regulation, one third of **the six** members appointed in 2012 shall be chosen by the Governing Board with the Commission approval to serve for a two year period, one third for a four year period and one third for a six year period.

Or. it

(Cf. amendment to section 1, point 2, paragraph 1)

Amendment 171

Jean-Pierre Audy

Proposal for a regulation

Annex – section 1 – point 2 – paragraph 6 a (new)

Text proposed by the Commission

Amendment

The Commission shall dismiss any member or members of the Governing Board who fail to perform the duties of their office in accordance with the interests of the Union. It shall do so on the basis of the opinion of Parliament and

the Council.

Or. fr

Amendment 172
Oreste Rossi

Proposal for a regulation
Annex – section 1 – point 4

Text proposed by the Commission

4. The Governing Board members shall act in the interests of the EIT, safeguarding its goals and mission, identity and coherence, in an independent way.

Amendment

4. The Governing Board members shall act in the interests of the EIT, safeguarding its goals and mission, identity and coherence, in an independent way. ***They shall carry out their duties on an exclusive, full-time basis.***

Or. it

Justification

In order to ensure the transparency and independence of the EIT, the basis on which Board members carry out their professional duties needs to be clearly established.

Amendment 173
Oreste Rossi

Proposal for a regulation
Annex – section 2 – point 1 – point g

Text proposed by the Commission

g) define, with the Commission's agreement, appropriate honoraria for members of the Governing Board and of the Executive Committee; these honoraria shall be ***benchmarked against*** similar provision in the Member States;

Amendment

g) define, with the Commission's agreement, appropriate honoraria for members of the Governing Board and of the Executive Committee; these honoraria shall be ***set at the average level of*** similar provision in the Member States;

Or. it

Amendment 174
Jean-Pierre Audy

Proposal for a regulation
Annex – section 2 – point 1 – point i

Text proposed by the Commission

(i) appoint *and if necessary* dismiss the Director, and exercise disciplinary authority over him/her;

Amendment

(i) *may propose that the Commission* appoint *or* dismiss *the Director*, and *shall* exercise disciplinary authority over him/her;

Or. fr

Amendment 175
Jean-Pierre Audy

Proposal for a regulation
Annex – section 2 – point 1 – point k

Text proposed by the Commission

(k) adopt a code of good conduct regarding conflicts of interest;

Amendment

(k) adopt a code of good conduct regarding conflicts of interest, *professional secrecy and communication*;

Or. fr

Amendment 176
Oreste Rossi

Proposal for a regulation
Annex – section 2 – point 1 – point n

Text proposed by the Commission

(n) *be empowered to establish a Foundation (hereinafter referred to as the BIT Foundation) with the specific objective of promoting and supporting the activities of the EIT*;

Amendment

deleted

Or. it

Justification

It is important to avoid any proliferation of bureaucratic structures so as to ensure resources are not wasted.

Amendment 177
Jean-Pierre Audy

Proposal for a regulation
Annex – section 2 – point 1 – point n

Text proposed by the Commission

(n) be empowered to establish a Foundation (hereinafter referred to as the BIT Foundation) with the specific objective of promoting and supporting the activities of the EIT;

Amendment

(n) be empowered to ***propose that the Commission*** establish a Foundation (hereinafter referred to as ‘the EIT Foundation’) with the specific objective of promoting and supporting the activities of the EIT. ***The Foundation shall be established on the basis of the opinion of Parliament and the Council.***

Or. fr

Amendment 178
Jean-Pierre Audy

Proposal for a regulation
Annex – section 2 – point 1 – point o

Text proposed by the Commission

(o) ***decide on EIT’s language regime, taking into account*** the existing principles on multilingualism ***and the practical requirements of its operations;***

Amendment

(o) ***ensure the EIT complies with*** the existing principles on multilingualism;

Or. fr

Amendment 179
Oreste Rossi

Proposal for a regulation
Annex – section 2 – point 1 – point o

Text proposed by the Commission

o) decide on EIT's language regime, taking into account the existing principles on multilingualism **and the practical requirements of its operations**;

Amendment

o) decide on EIT's language regime, taking into account the existing **legislation and** principles on multilingualism;

Or. it

Amendment 180
Jean-Pierre Audy

Proposal for a regulation
Annex – section 2 – point 1 – point p a (new)

Text proposed by the Commission

Amendment

(pa) promote the Union through the EIT.

Or. fr

Amendment 181
Jean-Pierre Audy

Proposal for a regulation
Annex – section 3 – point 1

Text proposed by the Commission

Amendment

1. **The Governing Board shall elect its** Chairperson from among the appointed members. The term of office of the Chairperson shall be two years, renewable once.

1. **The Chairperson shall be appointed by the Commission.** The term of office of the Chairperson shall be two years, renewable once. **Acting on the basis of the opinion of Parliament and the Council, the Commission shall dismiss the Chairperson of the Governing Board if he/she fails to perform the duties of his/her office in accordance with the**

interests of the Union.

Or. fr

Amendment 182
Jean-Pierre Audy

Proposal for a regulation
Annex – section 4 – point 1

Text proposed by the Commission

1. The Director shall be a person with expertise and high reputation in the areas where the EIT operates. The Director shall be appointed by the Governing Board for a term of office of four years. The Governing Board may extend that term of office once by four years when it considers that the interests of the EIT are best served by so doing.

Amendment

1. The Director shall be a person with expertise and high reputation in the areas where the EIT operates. The Director shall be appointed by the Governing Board for a term of office of four years. The Governing Board may extend that term of office once by four years when it considers that the interests of the EIT are best served by so doing. ***Acting on the basis of the opinion of Parliament and the Council, the Commission shall dismiss the Director if he/she fails to perform the duties of his/her office in accordance with the interests of the Union.***

Or. fr

Amendment 183
Jean-Pierre Audy

Proposal for a regulation
Annex – section 4 – point 3 – point b

Text proposed by the Commission

(b) ***support*** the Governing Board and the Executive Committee in their work, provide the secretariat for their meetings and with all information necessary for the performance of their functions;

Amendment

(b) ***assist*** the Governing Board and the Executive Committee in their work, provide the secretariat for their meetings and with all information necessary for the performance of their functions;

Or. fr

Amendment 184
Jean-Pierre Audy

Proposal for a regulation
Annex – section 4 – point 3 – point k

Text proposed by the Commission

(k) submit the draft annual accounts and balance sheet to the Internal Auditing Function, and subsequently to the Governing Board, through the Executive Committee;

Amendment

(k) submit the draft annual accounts and balance sheet to the Internal Auditing Function, and subsequently to the Governing Board, through the Executive Committee **and the Commission**;

Or. fr

Amendment 185
Romana Jordan

Proposal for a regulation
Legislative financial statement for proposals – point 2 – point 2.1 – paragraph 3 – point 1

Texte proposé par la Commission

1. Monitoring of a KIC on the basis of its individual targets and Key Performance Indicators (KPIs) stipulated in the KIC business plans. A substantial degree of leeway to the KICs will be given (bottom-up approach) to define their internal strategies and organisation as well as to define their activities and mobilise the resources needed.

Amendment

1. Monitoring of a KIC on the basis of its individual targets and Key Performance Indicators (KPIs) stipulated in the KIC business plans. A substantial degree of leeway to the KICs will be given (bottom-up approach) to define their internal strategies and organisation as well as to define their activities and mobilise the resources needed. **However, the possibility of forming a top-down strategic structure will not be excluded.**

Or. sl