



EUROPEAN PARLIAMENT

COMMITTEE ON ECONOMIC AND MONETARY AFFAIRS

PUBLIC HEARING

MiFID Review: objectives for MiFID/MiFIR2

Brussels
Room PHS 3C50
Monday 5 December 2011
15.00 – 18.30

CURRICULUM VITAE OF SPEAKERS

Karel LANNOO

**Chief executive
Centre for European Policy Studies (CEPS)**



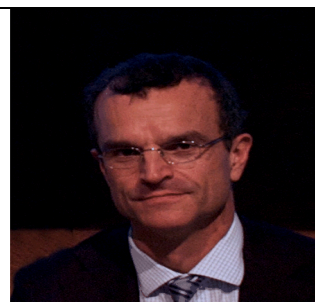
Chief executive of the Centre for European Policy Studies (CEPS), one of the leading independent European think tanks. Published some books and numerous articles in specialised magazines and journals on general European policy, and specific financial regulation and supervision matters. On MiFID, co-authored *The Mifid Revolution* with Jean-Pierre Casey, published by Cambridge in September 2009, and *MiFID 2.0* with Diego Valiante, published by CEPS in February 2011.

Independent director of BME (*Bolsas Y Mercados Espanoles*), a listed company that manages the Spanish securities exchanges. Directs the European Capital Markets Institute (ECMI) and the European Credit Research Institute (ECRI), both separate legal entities which are operated by CEPS.

Recent publications and opinion pieces include: *EU federalism in crisis* (December 2011); *MiFID 2.0 unveiled* (November 2011); *Lessons from the 2008 crisis for a 2011 Eurotarp* (October 2011), *Opinion polls support a more European approach to the crisis*, (August 2011), *The EU's Response to the Crisis: A Mid-term Review*, (April 2011), *The forest of Basel III has too many trees* (February 2011).

Thierry PHILIPPONNAT

Secretary General Finance Watch



Thierry Philipponnat, 50, is the Secretary General of Finance Watch. He is a former investment banker and executive of Amnesty International.

After graduating from the Institut d'Etudes Politiques de Paris and gaining a Masters degree (*Diplôme d'Etudes Approfondies*) in economics from Paris University, Thierry started a career in finance in 1985, holding successively the following positions:

- Banque Française du Commerce Extérieur, Toulouse: corporate banker
- O'Connor & Associates, London: options and convertible bonds trader
- Exane, Paris: founding partner and head of structured products
- UBS, London: executive director in charge of equity derivatives and equity-linked products
- BNP Paribas, Paris: deputy-head of equity financing structuring
- Euronext.liffe: global head of equity derivatives. Member of both Euronext's "Comité executif" (Paris) and LIFFE's executive committee (London).

In 2006, Thierry crossed into the NGO world, campaigning and lobbying on behalf of Amnesty International, with a particular emphasis on the impact of the financial sector on human rights. He represented Amnesty International before the United Nations and the European Union and was later elected as an Executive Board member of Amnesty International France.

From December 2010 to June 2011, Thierry managed the Finance Watch project and on June 30th 2011 he was appointed Secretary General of Finance Watch.

Finance Watch (www.finance-watch.org) is an NGO created to help finance serve society, based on a belief that good financial services carry a strong public interest dimension. Its mission consists of producing expertise and advocacy on financial regulation issues. Finance Watch has today 57 members, including 40 organisations (consumers' organisations, retail investors' organisations, trade unions, NGOs, research centres...) and 17 qualified individual members (academics, researchers, former finance professionals...).

Verena ROSS

Executive Director

ESMA



Verena Ross was appointed Executive Director of ESMA following a nomination by the ESMA Board of Supervisors on 22 February 2011, and a subsequent hearing in the European Parliament on 17 March 2011. She took up her new responsibilities at ESMA on 1 June 2011.

Prior to this, Verena Ross held a number of senior posts in the UK's Financial Services Authority (FSA). In her last post, which she held from October 2009 until May 2011, Verena Ross was Director of the FSA's International Division reporting directly to the CEO. The Division's responsibilities included: delivering a strategic approach to FSA's international work, coordinating or supporting the FSA's EU and international committee work and providing technical advice on EU and international policy issues. Verena was also a member of the FSA's Executive Committee and the Executive Policy and Risk Committees.

Verena started her career at the Bank of England as an economist covering the East Asian economies before becoming a banking supervisor after studying in Hamburg, Taipei and London (SOAS). From 1998 to 2000 she ran the Executive Chairman's office for Sir Howard Davies during the creation and early years of the UK's single regulator. She was also briefly seconded as an advisor to the Securities and Futures Commission in Hong Kong in 2000.

Jean-Pierre JOUYET

Chairman

**Autorité des marchés financiers
(the French securities regulator)**



Before being appointed as Chairman of the *Autorité des marchés financiers* (the French securities regulator) in December 2008, Jean-Pierre Jouyet was Minister of State responsible for European Affairs (2007-2008). In this function, he was responsible for the preparation of the French presidency of the European Union and for seeing that it ran smoothly.

Prior to this Ministerial appointment, Jean-Pierre Jouyet held various functions in the public sector (Principal Private Secretary initially to the Minister of Industry, Foreign Trade and Town and Country Planning, then to the Minister of Industry and Town and Country Planning, before becoming the Deputy Principal Private Secretary to the Prime Minister -1997-2000-, Head of the French Trésor Directorate -2000-2004- and Head of audit department of French public services --2005-2007. Between 1991 and 1995, he was Deputy Principal Private Secretary, then Principal Private Secretary to the President of the European Commission, Jacques Delors. He was to hold two functions in the private sector (Partner in the French business law firm, "Jeantet Associés" -1995-1997-, and Non-executive chairman of Barclays Bank France in 2005).

M. Jouyet was lecturer at the ENA (National School for Administration) and is visiting Professor at the Paris Institut d'Etudes Politiques (IEP).

He has been a member of the Board of the Fondation nationale des sciences politiques since 2006 and chairs the Commission devoted to the reform of the ENA.

He is the co-author of "N'enterrez pas la France", with Philippe Mabilie (Editions Robert Laffont, 2007) and "Une Présidence de crises" (with Sophie Coignard, Editions Albin Michel, 2009) and the author of "Nous les avons tant aimés" (Editions Robert Laffont, 2010).

He chairs the Supervisory Board of the Aspen Institute and the Board of Directors of the Institut Pasteur.

Piia-Noora KAUPPI

Managing Director

Federation of Finnish Financial Services



EDUCATION

Master of Laws, Helsinki University 1997.

Student of Economics, Helsinki School of Economics 1998 -

PROFESSIONAL EXPERIENCE

Managing Director, Federation of Finnish Financial Services since 2009

Member of the European Parliament 1999 – 2008

Legal advisor for the Parliamentary Group of the National Coalition Party Kokoomus 1997 - 1999

Secretary for the Working Groups for the Legal Affairs Committee and the Constitutional Affairs Committee 1995 - 1997

Legal Assistant at Borenius & Kempainen Attorneys at Law 1996 - 1997

Training Programme Manager, Pykälä Association and the Lawyers' Association of Northern Ostrobothnia Kriminaalilubi 1995 - 1996

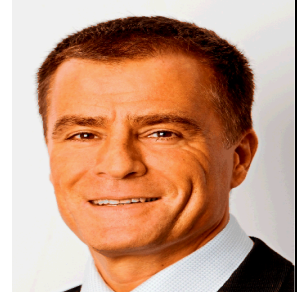
Secretary at the Office of Secretary General, Democrat Youth Community of Europe (DEMYC) 1994-1995

PARLIAMENTARY WORK IN THE EUROPEAN PARLIAMENT

Head of the Finnish Delegation in the EPP-ED Group 2004 – 2008
 Treasurer of the Finnish Delegation in the EPP-ED Group 2000 - 2004
 Member of the Economic and Monetary Affairs Committee 1999 – 2008
 Vice-coordinator in the Economic and Monetary Affairs Committee 2004 – 2008
 Member of the Legal Affairs Committee 2004 – 2008
 Member of the Committee on Women's Rights and Gender Equality 2004 – 2008
 Member of the Legal Affairs and Internal Market Committee 2002 – 2004
 Member of the Employment and Social Affairs Committee 1999 - 2001
 Member of the Convention on the Future of the European Union 2002 - 2003
 Member of the Delegation for relations with the People's Republic of China 2004 – 2008
 Substitute Member of the Delegation for Relations with the United States 2004 – 2007
 EPFSF - European Parliament Financial Services Forum, President 2004 - 2006, Vice-President 2007
 European Internet Foundation, Member of the Board 2005 – 2008
POSITIONS OF RESPONSIBILITY
 Chairman of the European Banking Industry Committee (EBIC) 2011 -
 Vice-President of the Women's League of the National Coalition Party Kokoomus 2004 - 2006
 Member of the Regional Board of the Northern Ostrobothnia 2001 -
 World Economic Forum Young Global Leader 2005 –
 Member of the Board, EKY, Association for European Culture 2007-
 Vice-President, Eurooppalainen Oulunseutu ry 1998 - 2000
 Vice-President of the National Coalition Party in Northern Ostrobothnia 1998 - 2006
 Member of the City Board of Oulu 1998 - 1999
 Member of the City Council of Oulu 1997 - 2000
 Vice-President of the National Youth League of the National Coalition Party Kokoomus 1996-97
 Member of the International Affairs Board of Allianssi, the National Organisation of Youth NGO's 1996-1997
 Student representative in the Board of Department of Public Law in Helsinki University 1995-1997
 Secretary for the Ostrobothnia District of the National Senior High School Students Association 1992-1993

Dominique CERUTTI

President and Deputy CEO
NYSE Euronext



Mr Cerutti is President and Deputy CEO of NYSE Euronext, the world's leading and most liquid exchange group. He is Chairman and CEO of Euronext Paris, Chairman of the Managing Board of NYSE Euronext NV, the holding company for the European exchanges of NYSE Euronext, and board member of NYSE Euronext.

Within the NYSE Euronext group, Mr Cerutti is also the Global Head of Technology. In this role he is responsible for both the Information Technology branch of NYSE Euronext, that designs, builds and operates pioneering systems for its markets, and NYSE Technologies, the commercial technology branch of the group and the world's leading provider of end-to-end electronic trading solutions, connecting and powering the global marketplace.

Before joining NYSE Euronext, the largest global markets community, in December 2009, Mr. Cerutti spent over 20 years working for IBM in a variety of roles including General Manager of IBM Southwest Europe and General Manager of IBM Global Services EMEA, the services market leader in Europe. For much of his time at IBM his work had a strong international dimension in large scale organizations. Furthermore, Mr Cerutti was a member of IBM's Chairman and CEO senior leadership team. Before joining IBM in 1986, Mr Cerutti spent two years with Bouygues, a French civil engineering company, in Saudi Arabia. Dominique Cerutti is member of the Board of Euromed Management Business School, France.

Heinz-Peter SCHLÜTER



**Chairman of the Supervisory Board
TRIMET ALUMINIUM AG**

Heinz-Peter Schlüter, born in 1949, started his career with an apprenticeship as a wholesale and international trade specialist at W&O Bergmann. Following different positions inside the company in Hamburg, London and Paris, he assumed the sole responsibility for the Trade department. In 1982, he joined the General Management of W&O Bergmann, where he remained a member until 1985.

In 1985, Schlüter founded Trimet Metallhandelsgesellschaft, which became TRIMET ALUMINIUM AG in 2002. Initially active in the aluminium trade, the company started expanding its business activities into industrial production of aluminium materials and components in 1993. Today the company is Germany's largest aluminium producer with about 500.000 tons of production (300.000 tons primary metal, 200.000 tons recycling metal). Two smelters in Essen and Hamburg, two recycling plants and two diecasting plants complete the trading activities. Now Schlüter is the Chairman of the Supervisory Board of the company with about 1.800 employees.

Gisele HENRIQUES



**Policy and Advocacy Officer for Food, Agriculture
and Sustainable Trade
CIDSE**

Gisele Henriques is the Policy and Advocacy Officer for Food, Agriculture and Sustainable Trade at CIDSE, an international alliance of Catholic agencies. She has worked with international development organisations in the implementation of sustainable agriculture and livelihoods projects in developing countries (Burkina Faso, Gambia, Brazil, Mexico, Cambodia, Indonesia and East Timor). She has an MPP in International Agricultural Policy from the Goldman School at UC-Berkley and a Masters in International Development from the same institution.