

## 2018 Discharge to the Commission

### WRITTEN QUESTIONS TO

### COMMISSIONER MOEDAS

Hearing on 12 November 2019

Updated replies to questions 4, 21 and 82

#### Additional question on EIT

4. Could the Commission provide a list of all studies paid for by your DG since 2009 indicating the topic/title, who conducted the study, if it was published or not (if published, including date) and the total cost of the study?

#### **Commission's answer:**

The Honourable members are referred to the enclosed table responding to the requested information for the studies commissioned over the period from **1 January 2014 to 31 December 2019**.



DG R&I Studies  
2014-2019.xlsx

In addition to this table, for the studies published over the period from **1 January 2009 to 31 December 2013**, the Honourable members are referred to the following link from the Publications Office of the European Union. For these studies, total cost is not readily available. In case the Honourable Members have in mind any particular study, DG R&I could provide more information individually.

[https://op.europa.eu/en/search-results?p\\_p\\_id=portal2012searchCriteriaPanel\\_WAR\\_portal2012portlet\\_INSTANCE\\_kZ1xxxDes4iX&p\\_p\\_lifecycle=1&p\\_p\\_state=normal&p\\_p\\_mode=view&p\\_p\\_col\\_id=top-search&p\\_p\\_col\\_count=2&portal2012searchCriteriaPanel\\_WAR\\_portal2012portlet\\_INSTANCE\\_kZ1xxxDes4iX\\_javax.portlet.action=showParameter&domain=EUPub&SEARCH\\_TYPE=ADVANCED&sortBy=RELEVANCE-DESC&facet.author=RTD&facet.studies=general&facet.publicationDate=1230764400000|1388444400000&](https://op.europa.eu/en/search-results?p_p_id=portal2012searchCriteriaPanel_WAR_portal2012portlet_INSTANCE_kZ1xxxDes4iX&p_p_lifecycle=1&p_p_state=normal&p_p_mode=view&p_p_col_id=top-search&p_p_col_count=2&portal2012searchCriteriaPanel_WAR_portal2012portlet_INSTANCE_kZ1xxxDes4iX_javax.portlet.action=showParameter&domain=EUPub&SEARCH_TYPE=ADVANCED&sortBy=RELEVANCE-DESC&facet.author=RTD&facet.studies=general&facet.publicationDate=1230764400000|1388444400000&)

21. Why does this procedure take so long?

#### **Commission's answer:**

Innovative ways of auditing in Large Research Infrastructures

In preparing for Horizon Europe, the next Framework Programme for Research and Innovation, the Commission envisages a wider cross-reliance on audits and assessments – including with other Union programmes – in order to reduce administrative burden for beneficiaries of Union funds. Cross reliance should be explicitly provided for by considering also other elements of assurance such as systems and processes audits.

The new Horizon Europe audit strategy will be a risk-based one. One of the areas where the Commission will pay particular attention under the next MFF, in line with the Commission Anti-fraud strategy and the Common R&I family Anti-fraud Strategy is prevention and detection of fraud. Emphasis will be placed on cross-reliance on audits in line with Articles 126 and 127 of the updated Financial Regulation.

Regarding innovation in audits, the Commission is working on a new approach on Systems and Process Audits (SPAs) in order to reduce the burden of auditees and auditors while increasing the efficiency and effectiveness of the auditing process. The main target of SPAs is better control rather than more control, while providing similar level of assurance to various stakeholders. Tests of controls designed to take into account the specific requirements stemming from the Horizon Europe legal provisions will be performed in view of assessing: the inherent risk linked to the Beneficiary, the general control risk which may differ from one entity to another and the specific cost category risk.

82. The Commission reports that from 2014 to 2018 5250 top researchers at various stages of their careers have been funded via Horizon 2020. Could the Commission provide information from which countries are these researchers and how many come from a given country?

**Commission's answer:**

The Commission confirms that the figures provided in response to question 82 refer to number of researchers by country in European Research Council (ERC) funded actions under Horizon 2020 from 2014 to 2018.

## Additional question

### Can the Commission provide all forms of financing of EIT and their amounts?

#### Commission's answer:

Please find below a breakdown of the funding sources of the EIT, as included in the EIT budgetary statement submitted as part of the Draft Budget 2020.

The revenues of the EIT mainly consist of:

- The EU contribution;
- The contribution by EFTA member states and third countries;
- Other contributions, i.e. refunds in connection with the operational activities (e.g. repayments of KIC grants);
- Administrative operations, i.e. refunds in connection with administrative expenditures already paid;
- Correction of budgetary imbalances, i.e. re-entered commitment appropriations from previous years.

Source: *Draft General Budget of the European Union. Working Document Part III – Bodies set up by the EU having legal personality and public-private partnerships.*

[https://ec.europa.eu/info/sites/info/files/about\\_the\\_european\\_commission/eu\\_budget/draft-budget-2020-wd-3-web-1.4\\_coverfull.pdf](https://ec.europa.eu/info/sites/info/files/about_the_european_commission/eu_budget/draft-budget-2020-wd-3-web-1.4_coverfull.pdf)

REVENUES	General revenues				
	Executed Budget 2018 (2)	Budget 2019	Draft Budget 2020		VAR 2020/201 9 (%)
			Agency request	Budget forecast	
<b>1 REVENUE FROM FEES AND CHARGES</b>					
<b>2 EU CONTRIBUTION</b>	396 194 129	456 245 052	496 793 764	496 793 764	8,89%
- Of which assigned revenues deriving from previous years' surpluses		95 721	115 416	115 416	20,58%
<b>3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)</b>	34 231 323	38 856 354	41 820 945	41 820 945	7,63%
- Of which EEA/EFTA (excl. Switzerland) (4)	9 231 323	10 856 354	11 820 945	11 820 945	8,89%
- Of which candidate countries	25 000 000	28 000 000	30 000 000	30 000 000	7,14%
<b>4 OTHER CONTRIBUTIONS (1)</b>	95 744	95 744			-100%
<b>5 ADMINISTRATIVE OPERATIONS</b>	21 050	1 893			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
<b>6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT</b>					
<b>7 CORRECTION OF BUDGETARY IMBALANCES (3)</b>		1 459 124	27 514 196	27 514 196	1785,67 %
<b>TOTAL</b>	<b>430 542 246</b>	<b>496 658 167</b>	<b>566 128 905</b>	<b>566 128 905</b>	<b>13,99%</b>

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