

**Review of the European System of Financial Supervision:** European Supervisory Authorities (ESAs)  
European Banking Authority (EBA), European Securities and Markets Authority (ESMA), European  
Insurance and Occupational Pensions Authority (EIOPA)

### Stakeholder Opinion Questionnaires

The European Parliament has commissioned two studies reviewing the European System of Financial Supervision (ESFS). The first study (Part 1) deals with the work of the European Supervisory Authorities. The second study (Part 2) addresses the work of the European Systemic Risk Board (ESRB). These studies will inform the deliberations of the European Parliament, in particular its Committee on Economic and Monetary Affairs' (ECON). Mazars has been commissioned to write the study on the European Supervisory Authorities (ESAs).

To take stock of the opinions of stakeholders, this survey is carried out regarding the three ESAs. Please feel free to complete one or more questionnaires if relevant to you/your organisation. PLEASE READ THE INSTRUCTIONS BELOW BEFORE STARTING THE SURVEY. N.B. The survey and all responses are being managed directly by Mazars. [The deadline date for responses is 21 June 2013.](#)

Your answers will be treated **confidentially** and not be directly attributed to you in any document. All answers will be reported **anonymously** and at a consolidated level to the European Parliament.

### **The ESA Questionnaires**

As a stakeholder of one or more ESAs, we would appreciate if you could take the time to complete this opinion survey(s) covering the work and performance of the ESAs' since their establishment in January 2011. The questionnaires a number of short questions each and are structured as follows:

- |                                    |   |
|------------------------------------|---|
| 1. The ESA mandate                 | 7. Supervision                                    |
| 2. Resources                       | 8. Macro level issues                             |
| 3. Independence and accountability | 9. The ESA stakeholder group                      |
| 4. Cooperation and coordination    | 10. The Joint Committee                           |
| 5. Consultation and communication  | 11. The evolution of the EU supervisory framework |
| 6. Consumer protection             |   |

### **IMPORTANT INSTRUCTIONS**

- A. Each web link is unique to your PC. Therefore, if you start the survey, it must be completed from your PC.
- B. If a question is not applicable to you, please mark it as 'not applicable'.
- C. Your answers will be saved automatically as you move through pages by clicking 'next', therefore you can return to the survey on multiple occasions using the same link and continue further.
- D. IMPORTANT: if you submit the survey using the 'submit' button, you cannot return to the survey.
- E. We recommend that you print your completed survey before submitting for your records (print by page).

The links to the relevant questionnaires are:

**EBA questionnaire:** [https://www.surveymonkey.com/s/The\\_EBA\\_Stakeholder\\_Opinion\\_Questionnaire](https://www.surveymonkey.com/s/The_EBA_Stakeholder_Opinion_Questionnaire)

**EIOPA questionnaire:** [https://www.surveymonkey.com/s/The\\_EIOPA\\_Stakeholder\\_Opinion\\_Questionnaire](https://www.surveymonkey.com/s/The_EIOPA_Stakeholder_Opinion_Questionnaire)

**ESMA questionnaire:** [https://www.surveymonkey.com/s/The\\_ESMA\\_Stakeholder\\_Questionnaire](https://www.surveymonkey.com/s/The_ESMA_Stakeholder_Questionnaire)

### **Contact details**

Should you have any questions about this survey or are encountering any technical issues, please do not hesitate to contact Claire Barcoe (Mazars) by email [claire.barcoe@mazars.co.uk](mailto:claire.barcoe@mazars.co.uk) or by telephone +44 (0)20 7063 4602. In addition, please send any supplemental information you deem important to this subject to Claire via email.

Thank you in advance for your contribution.