



EUROPSKI PARLAMENT

2009 - 2014

Dokument s plenarne sjednice

A7-0446/2013

6.12.2013

*****I**

IZVJEŠĆE

o prijedlogu Uredbe Europskog parlamenta i Vijeća o uspostavi instrumenta za partnerstvo za suradnju s trećim zemljama
(COM(2011)0843 – C7-0495/2011 – 2011/0411(COD))

Odbor za vanjske poslove

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(*) Pridruženi odbor – članak 50. Poslovnika

Oznake postupaka

*	Postupak savjetovanja
***	Postupak suglasnosti
***I	Redovni zakonodavni postupak (prvo čitanje)
***II	Redovni zakonodavni postupak (drugo čitanje)
***III	Redovni zakonodavni postupak (treće čitanje)

(Navedeni se postupak temelji na pravnoj osnovi predloženoj u nacrtu akta.)

Izmjene nacrtu akta

Amandmani Parlamenta u obliku dvaju stupaca

Brisanja su označena ***podebljanim kurzivom*** u lijevom stupcu. Izmjene su označene ***podebljanim kurzivom*** u oboma stupcima. Novi tekst označen je ***podebljanim kurzivom*** u desnom stupcu.

U prvom i drugom retku zaglavlja svakog amandmana naznačen je predmetni odlomak iz nacrtu akta koji se razmatra. Ako se amandman odnosi na postojeći akt koji se želi izmijeniti nacrtom akta, zaglavlje sadrži i treći redak u kojem se navodi postojeći akt te četvrti redak u kojem se navodi odredba akta na koju se izmjena odnosi.

Amandmani Parlamenta u obliku pročišćenog teksta

Novi dijelovi teksta označuju se ***podebljanim kurzivom***. Brisani dijelovi teksta označuju se oznakom ■ ili su precrtani. Izmjene se naznačuju tako da se novi tekst označi ***podebljanim kurzivom***, a da se zamijenjeni tekst izbriše ili precrta.

Iznimno, izmjene strogo tehničke prirode koje unesu nadležne službe prilikom izrade konačnog teksta ne označuju se.

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(*) Pridruženi odbor – članak 50. Poslovnika

NACRT ZAKONODAVNE REZOLUCIJE EUROPSKOG PARLAMENTA

**o prijedlogu Uredbe Europskog parlamenta i Vijeća o uspostavi instrumenta za partnerstvo za suradnju s trećim zemljama
(COM(2011)0843 – C7-0495/2011 – 2011/0411(COD))**

(Redovni zakonodavni postupak: prvo čitanje)

Europski parlament,

- uzimajući u obzir prijedlog Komisije upućen Europskom parlamentu i Vijeću (COM(2011)0843),
 - uzimajući u obzir članak 294. stavak 2. i članke 207. stavak 2., 209. stavak 1. i 212. stavak 2. Ugovora o funkcioniranju Europske unije, u skladu s kojima je Komisija podnijela prijedlog Parlamentu (C7-0495/2011),
 - uzimajući u obzir članak 294. stavak 3. Ugovora o funkcioniranju Europske unije,
 - uzimajući u obzir mišljenje Odbora regija od 9. prosinca 2012.¹,
 - uzimajući u obzir da se predstavnik Vijeća pismom od 4. prosinca 2013. obvezao prihvatiti stajalište Europskog parlamenta u skladu s člankom 294. stavkom 4. Ugovora o funkcioniranju Europske unije,
 - uzimajući u obzir članak 55. Poslovnika,
 - uzimajući u obzir izvješće Odbora za vanjske poslove i mišljenja Odbora za međunarodnu trgovinu, Odbora za razvoj, Odbora za proračune i Odbora za industriju, istraživanje i energetiku (A7-0446/2013),
1. usvaja sljedeće stajalište u prvom čitanju;
 2. prihvaća izjavu Parlamenta priloženu ovoj rezoluciji;
 3. uzima u obzir izjavu Komisije priloženu ovoj Rezoluciji;
 4. traži od Komisije da predmet ponovno uputi Parlamentu ako namjerava bitno izmijeniti svoj prijedlog ili ga zamijeniti drugim tekstom;
 5. nalaže svojem predsjedniku da stajalište Parlamenta proslijedi Vijeću, Komisiji i nacionalnim parlamentima.

¹ SL C 391, 18.12.2012., str. 110.

Amandman 1⁽¹⁾

AMANDMANI EUROPSKOG PARLAMENTA *

na prijedlog Komisije

UREDBA (EU) br. .../2014 EUROPSKOG PARLAMENTA I VIJEĆA

od

o uspostavi instrumenta za partnerstvo za suradnju s trećim zemljama

EUROPSKI PARLAMENT I VIJEĆE EUROPSKE UNIJE,

uzimajući u obzir Ugovor o funkcioniranju Europske unije, a posebno njegov članak 207. stavak 2., članak 209. stavak 1. i članak 212. stavak 2.,

uzimajući u obzir prijedlog Komisije,

uzimajući u obzir mišljenje Odbora regija ¹

* Amandmani: novi ili izmijenjeni tekst označuje se podebljanim kurzivom; a brisani tekst oznakom **■**.
¹ ***dok. 17855/12***

nakon prosljeđivanja nacрта zakonodavnog akta nacionalnim parlamentima,
u skladu s redovnim zakonodavnim postupkom,
budući da:

- (1) ***Cilj je Unije razvoj odnosa i uspostava partnerstava s trećim zemljama.*** Ova Uredba sadrži ***novi i dopunski instrument*** namijenjen pružanju izravne potpore vanjskim politikama ***Europske unije te proširenju partnerstava za suradnju i dijaloga politika na područja i teme izvan razvojne suradnje.*** Taj je instrument rezultat ***iskustva stečenog s industrijaliziranim zemljama te zemljama i državnim područjima s velikim dohotkom na temelju Uredbe Vijeća br. 1934/2006***¹.

¹ ***Uredba Vijeća (EZ) br. 1934/2006 od 21. prosinca 2006. o uspostavljanju financijskog instrumenta za suradnju s industrijaliziranim i drugim zemljama i državnim područjima s velikim dohotkom (SL L 405, 30.12.2006., str.41.).***

- (1b) Opseg suradnje u okviru geografskih programa sa zemljama u razvoju, državnim područjima i regijama određen instrumentom za razvojnu suradnju ***gotovo je u cijelosti*** ograničen na financijske mjere namijenjene ispunjavanju kriterija određenih za službenu razvojnu pomoć (ODA) koje je definirao Odbor za razvojnu pomoć Organizacije za gospodarsku suradnju i razvoj (DAC/OECD).
- (2) Tijekom posljednjeg desetljeća Unija je dosljedno jačala svoje bilateralne odnose s velikim brojem industrijaliziranih zemalja i drugih zemalja i državnih područja s velikim ili ***srednje velikim dohotkom*** u različitim regijama svijeta■.
- (2a) ***EU*** treba financijski instrument ***vanjske politike*** globalnog opsega koji omogućuje financiranje mjera koje možda ne pripadaju ODA-i, ali koje su od presudne važnosti za produbljivanje i učvršćivanje njezinih odnosa s partnerskim zemljama u pitanju, posebno kroz dijaloge politika i razvoj partnerstava. ***Taj novi instrument, inovativan s obzirom na područje primjene i ciljeve, trebao bi stvoriti pozitivno okružje za produbljene odnose EU-a s ■ relevantnim trećim zemljama te bi se njime trebali promicati ključni interesi EU-a***

- (2b) Kako bi se postigli ciljevi ove Uredbe, potreban je diferenciran i fleksibilan pristup s *ključnim partnerskim državama kojim se uzimaju u obzir njihove gospodarske, društvene i političke situacije, kao i posebni interesi te prioriteta politika i strategije Unije, a istodobno zadržava mogućnost interveniranja širom svijeta kada je to potrebno. Unija bi u vanjskoj politici, uključujući sektorske politike Unije, trebala primijeniti sveobuhvatan pristup.*
- (2c) Unija bi trebala biti u stanju fleksibilno i pravodobno odgovoriti na nove i/ili nepredviđene potrebe kako bi što učinkovitije promicala **i branila** svoje interese u odnosima s trećim zemljama donošenjem posebnih mjera koje nisu obuhvaćene višegodišnjim okvirnim programima.

- (2d) *U interesu je Unije da produbi odnose i dijalog sa zemljama koje su od strateškog interesa za Uniju u pogledu promicanja veza, osobito s razvijenim zemljama i zemljama u razvoju koje imaju sve važniju ulogu na globalnoj razini, uključujući onu u globalnom upravljanju, vanjskoj politici, međunarodnom gospodarstvu, multilateralnim forumima i tijelima poput skupina G8 i G20 te koje su u interesu Unije u pogledu suočavanja s izazovima od globalnog značaja.*
- (2e) **■** Unija treba izgraditi sveobuhvatna partnerstva s novim igračima na međunarodnoj sceni *radi* promicanja stabilnog i uključivog međunarodnog poretka, zalaganja za zajednička globalna opća dobra, promicanja ključnih interesa Unije i povećanja znanja o Uniji u tim državama.
- (2f) *Područje primjene ove Uredbe trebalo bi biti na globalnoj razini kako mi se omogućila odgovarajuća potpora mjerama suradnje u svrhu jačanja odnosa sa svakom zemljom koja je od strateškog interesa za Uniju, u skladu s ciljevima iz ove Uredbe.*
- (2g) *U interesu je Unije da nastavi s promicanjem dijaloga i suradnje sa zemljama koje više ne ispunjavaju uvjete za bilateralne programe u okviru instrumenta razvojne suradnje.*
- (2h) *U interesu je Unije da je njezin rad usmjeren na uključive globalne institucije, na temelju učinkovite multilateralnosti.*

- (2i) *Na temelju ove Uredbe Unija bi trebala podržati provedbu vanjske dimenzije strategije „Europa 2020. – strategija za pametan, održiv i uključiv rast” spajanjem triju stupova: gospodarskog, socijalnog i okolišnog. Ovom bi Uredbom osobito trebalo podržati ciljeve u vezi s globalnim pitanjima poput klimatskih promjena, energetske sigurnosti i učinkovitosti resursa, prijelaza na zelenije gospodarstvo, znanosti, inovacija i konkurentnosti, mobilnosti, trgovine i ulaganja, gospodarskih partnerstava, poslovanja, suradnje u pogledu zapošljavanja i regulatorne suradnje s trećim zemljama te boljeg pristupa tržištu za europska poduzeća, uključujući internacionalizaciju malih i srednjih poduzeća. Također bi trebala promicati javnu diplomaciju, suradnju u obrazovanju/akademsku suradnju te aktivnosti osvještavanja.*

- (12) Borba protiv klimatskih promjena osobito je prepoznata kao jedan od velikih *svjetskih* izazova s kojima je *suočena* Unija, kao i *šira međunarodna zajednica*. Problem *klimatskih promjena* spada u područje u kojem je potrebno hitno poduzimanje mjera na međunarodnoj razini, *a u kojem postizanje ciljeva Unije zahtijeva suradnju s partnerima iz trećih zemalja. EU bi stoga trebala uložiti dodatne napore u promicanju konsenzusa na globalnoj razini.* U skladu s *namjerom* navedenom u Komunikaciji Komisije od **29. lipnja 2011.** pod nazivom „Proračun za Europu 2020.” o povećanju dijela proračuna Unije namijenjenog za klimu za najmanje 20 %, ova Uredba trebala bi doprinijeti tom cilju.

- (12a) ***Transnacionalni izazovi, poput degradacije okoliša i pristupa održivoj upotrebi sirovina i rijetkih zemnih metala, zahtijevaju uključiv pristup koji se temelji na pravilima.***
- (13) Unija je predana pružanju potpore u ostvarivanju globalnih ciljeva za 2020. u vezi s biološkom raznolikošću i ostvarivanju Strategije za mobilizaciju resursa.
- (13a) Unija je u odnosu sa svojim partnerima širom svijeta predana promicanju dostojnog rada za sve uz ratificiranje i učinkovitu provedbu međunarodno priznatih standarda rada i multilateralnih sporazuma o okolišu.
- (13b) ***Važan strateški interes EU-a jest poticanje rasta i stvaranja novih radnih mjesta promicanjem pravedne i otvorene trgovine i ulaganja na multilateralnoj i bilateralnoj razini te podupiranjem pregovora i provedbe sporazuma EU-a o trgovini i ulaganjima. Na temelju ove Uredbe Unija bi trebala doprinijeti stvaranju sigurnog okruženja za povećanje mogućnosti trgovanja i ulaganja za europska poduzeća diljem svijeta, uključujući mala i srednja poduzeća, također na način da se pruži potpora regulatornoj suradnji i približavanju, promiču međunarodni standardi, poboljša zaštita prava intelektualnog vlasništva te pažnja usmjeri na uklanjanje nepotrebnih prepreka pristupu tržištu.***

- (13c) *Djelovanje Unije na međunarodnoj sceni trebalo bi biti vođeno načelima na kojima je utemeljeno njezino stvaranje, razvoj i proširenje, kako su sadržani u članku 21. Ugovora, a koje ona nastoji promicati diljem svijeta, a posebno načelima demokracije, vladavine prava, univerzalnosti i nedjeljivosti ljudskih prava i temeljnih sloboda, poštovanja ljudskog dostojanstva, načelima jednakosti, solidarnosti i poštovanja načela Povelje Ujedinjenih naroda i međunarodnog prava.*
- (13d) *Unija bi trebala tražiti najučinkovitiji način korištenja dostupnih resursa kako bi poboljšala učinak svojeg vanjskog djelovanja. To bi se trebalo postići kroz koherentnost i usklađenost instrumenata za vanjsko djelovanje te stvaranjem sinergija između ovog instrumenta, drugih instrumenata vanjskog djelovanja i drugih politika Unije. To bi trebalo također značiti uzajamno jačanje programa izrađenih na temelju tih instrumenata.*
- (13e) *Kako bi se osigurala vidljivost pomoći Unije građanima zemalja korisnica i građanima Unije, trebalo bi, prema potrebi, provoditi ciljanu komunikaciju i informiranje odgovarajućim sredstvima.*

- (13f) *Ciljeve ove Uredbe trebalo bi, kad god je to moguće i prikladno, ostvarivati uz savjetovanje s relevantnim partnerima i zainteresiranim stranama, uključujući organizacije civilnog društva i lokalna tijela vlasti, uzimajući u obzir važnost njihovih uloga.*
- (13g) *Vanjsko djelovanje Unije u okviru instrumenata trebalo bi doprinijeti jasnim rezultatima (koji obuhvaćaju realizaciju, ishode i učinke) u zemljama koje ostvaruju korist od vanjske financijske pomoći Unije. Kad god je to primjereno i moguće, rezultati vanjskog djelovanja Unije i učinkovitost ovog posebnog instrumenta trebali bi se pratiti i procjenjivati na temelju pokazatelja koji su unaprijed utvrđeni, jasni, transparentni i prema potrebi specifični za pojedinu zemlju i mjerljivi te prilagođeni posebnostima i ciljevima ovog instrumenta.*
- (13h) *Djelovanjem na temelju ove uredbe trebale bi se, prema potrebi, uzeti u obzir rezolucije i preporuke Europskog parlamenta.*

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- (19) Kako bi se područje primjene ove Uredbe prilagodilo situaciji u trećim zemljama koja se brzo mijenja, Komisiji bi trebalo delegirati ovlast za donošenje akata u skladu s člankom 290. Ugovora o funkcioniranju Europske unije u vezi s **prioritetima** definiranim u Prilogu. Posebno je važno da Komisija tijekom svojeg pripremnog rada provede odgovarajuća savjetovanja, uključujući i ona na razini stručnjaka. Prilikom pripreme i izrade delegiranih akata, Komisija bi trebala osigurati da se relevantni dokumenti Europskom parlamentu i Vijeću šalju istodobno, na vrijeme i na primjeren način.

- (20) Radi osiguranja jedinstvenih uvjeta za provedbu ove Uredbe, provedbene ovlasti trebalo bi dodijeliti Komisiji. Te bi se ovlasti trebale izvršavati u skladu s Uredbom br. 182/2011 od 16. veljače 2011. ***Europskog parlamenta i Vijeća*** ¹. Uzimajući u obzir prirodu tih provedbenih akata, a posebno prirodu usmjerenja njihove politike ili njihove financijske implikacije, postupak ispitivanja trebao bi se koristiti za njihovo donošenje, osim za tehničke provedbene mjere male financijske vrijednosti.
- (20a) Budući da države članice ne mogu dostatno ostvariti ciljeve ove Uredbe, nego se oni zbog opsega djelovanja mogu bolje ostvariti na razini Unije, Unija može donijeti mjere, sukladno s načelom supsidijarnosti i proporcionalnosti kako je određeno u članku 5. Ugovora o Europskoj uniji. Sukladno s načelom proporcionalnosti, kako je određeno u navedenom članku, ova Uredba ne prelazi ono što je potrebno za ostvarivanje tih ciljeva.
- (21) Zajednička pravila i postupci za provedbu instrumenata za vanjsko djelovanje Unije utvrđeni su Uredbom (EU) br. .../... Europskog parlamenta i Vijeća ², dalje u tekstu „Zajednička provedbena uredba”

¹ ***Uredba br. 182/2011 Europskog parlamenta i Vijeća od 16. veljače 2011. o utvrđivanju pravila i općih načela u vezi s mehanizmima nadzora država članica nad izvršavanjem provedbenih ovlasti Komisije (SL L 55, 28.2.2011., str. 13.).***

² ***Uredba (EU) br. .../... Europskog parlamenta i Vijeća od ... o uspostavi zajedničkih pravila i postupaka za provođenje instrumenata Unije za vanjsko djelovanje (SL...)***

(22) Organizacija i funkcioniranje Europske službe za vanjsko djelovanje opisani su u Odluci Vijeća 2010/427/EU ■

(22a) *Primjereno je uskladiti trajanje ove Uredbe s trajanjem Uredbe Vijeća (EU) br. .../...¹. Ova Uredba bi se stoga trebala primjenjivati od 1. siječnja 2014.,*

DONIJELI SU OVU UREDBU:

¹ *Uredba Vijeća (EU) br. .../... o utvrđivanju višegodišnjeg financijskog okvira za razdoblje 2014. – 2020. (SL ...).*

Članak 1.
Predmet i ciljevi

1. Ovom Uredbom uspostavlja se instrument za partnerstvo za suradnju s trećim zemljama radi unapređenja i promicanja interesa EU-a i zajedničkih interesa. Instrument za partnerstvo podupire mjere koje na učinkovit i fleksibilan način podupiru ciljeve koji proizlaze iz bilateralnih, regionalnih ili multilateralnih odnosa Unije s trećim zemljama i čija je svrha suočavanje s izazovima od globalnog značaja ***ili kojima se osigurava odgovarajuće daljnje postupanje u vezi s odlukama donesenim na multilateralnoj razini***
2. Mjere koje se trebaju financirati u okviru ovog instrumenta odražavaju sljedeće posebne ciljeve Unije:
 - (a) ■ pružanje podrške strategijama partnerstva za bilateralnu, regionalnu i međuregionalnu suradnju Unije promicanjem dijaloga politika i razvojem kolektivnih pristupa i odgovora na izazove od globalnog značaja. ***Taj cilj mjeri se, između ostalog, napretkom koji su ostvarile ključne partnerske zemlje u borbi protiv klimatskih promjena ili u promicanju okolišnih standarda Unije;***
 - (ab) ***provedbu međunarodne dimenzije strategije „Europa 2020. - strategija za pametan, održiv i uključiv rast”.*** Taj se cilj mjeri prihvaćanjem politika i ciljeva strategije „Europa 2020.” od strane ključnih partnerskih zemalja;

- (b) poboljšanje ■ pristupa *tržištima trećih zemalja te poticanje* mogućnosti trgovine i ulaganja te poslovnih mogućnosti za europska poduzeća, *istovremeno uklanjajući prepreke pristupu tržištu i ulaganjima*, putem gospodarskih partnerstava te poslovne i regulatorne *suradnje*. Taj cilj mjeri se udjelom Unije u vanjskoj trgovini s ključnim partnerskim zemljama i tokovima trgovine i *ulaganja* u partnerske zemlje na koje su posebno usmjerena djelovanja te programi i mjere na temelju ove Uredbe;
- (c) poboljšanje općeg razumijevanja i vidljivosti Unije i njezine uloge na svjetskoj sceni putem javne diplomacije, *kontakata na osobnoj razini*, suradnje u obrazovanju/akademske suradnje/*suradnje trustova mozgova* i aktivnosti vezanih uz osvještavanje s ciljem promicanja vrijednosti i interesa Unije. Taj cilj može se mjeriti, između ostalog, anketama ili ocjenama.

Članak 2.
Područje primjene

1. *Ovom Uredbom u prvom redu podupiru se mjere suradnje sa zemljama koje su od strateškog interesa za Uniju u pogledu promicanja veza, osobito s razvijenim zemljama i zemljama u razvoju koje imaju sve važniju ulogu u pitanjima na globalnoj razini, uključujući onu u vanjskoj politici, međunarodnom gospodarstvu i trgovini, multilateralnim forumima, globalnom upravljanju te suočavanju s izazovima od globalnog značaja ili u kojima Unija ima druge važne interese.*
2. *Ne dovodeći u pitanje stavak 1., sve treće zemlje, regije i državna područja mogu ispunjavati uvjete za suradnju na temelju ove Uredbe.*

Članak 3.
Opća načela

1. Unija nastoji promicati, razvijati i sjediniti načela **demokracije, jednakosti,** poštovanja ljudskih prava i temeljnih sloboda te vladavinu prava na kojima se temelji, kroz dijalog i suradnju s trećim zemljama.
2. Kako bi se ojačao učinak njezine pomoći, Unija, prema potrebi, na diferenciran i fleksibilan način pristupa uspostavi suradnje s **trećim** zemljama kako bi uzela u obzir njihove gospodarske, društvene i političke kontekste **,** kao i posebne interese Unije, njezine prioritete politika i strategije.
3. **Unija** promiče **uskladen** multilateralan pristup globalnim izazovima te potiče suradnju s međunarodnim ili regionalnim organizacijama i tijelima, uključujući međunarodne financijske institucije, agencije, fondove i programe Ujedinjenih naroda **te** druge bilateralne donatore.

4. Pri provođenju ove Uredbe cilj je Unije da pri oblikovanju politike, strateškom planiranju i programiranju te provedbi mjera osigura dosljednost i usklađenost s drugim područjima svojeg vanjskog djelovanja, osobito instrumentom za razvojnu suradnju za zemlje u razvoju te drugim relevantnim politikama Unije.
5. Mjere koje se financiraju na temelju ove Uredbe temelje se, prema potrebi, na politikama suradnje određenima u instrumentima poput sporazuma, izjava i akcijskih planova između Unije i dotičnih *međunarodnih organizacija*, trećih zemalja i regija.
Mjere koje se financiraju na temelju ove Uredbe također se odnose na područja u vezi s *promicanjem* posebnih interesa Unije te njezinih prioriteta politike i strategija.
6. Potpora Unije u okviru ove Uredbe provodi se u skladu sa Zajedničkom provedbenom uredbom.

Članak 4.
Tematski prioriteti

Prioriteti pomoći Unije na temelju ove Uredbe navode se u Prilogu. Komisija je ovlaštena donijeti delegirane akte u skladu s člankom 7. radi izmjene ***tematskih prioriteta utvrđenih u Prilogu ovoj Uredbi. Nakon objave srednjoročnog izvješća, kako je navedeno u članku 16. Zajedničke provedbene uredbe, te na temelju preporuka iz srednjoročnog izvješća o ocjeni, Komisija donosi delegirani akt o izmjeni Priloga do 31. ožujka 2018.***

Članak 5.
Programiranje i okvirna dodjela sredstava

1. Višegodišnje okvirne programe donosi Komisija sukladno s postupkom ispitivanja iz članka 15. stavka 3. Zajedničke provedbene uredbe. ■
2. Višegodišnji okvirni programi određuju strateške i/ili zajedničke interese i prioritete Unije, posebne ciljeve i očekivane rezultate. Za zemlje ili regije za koje je ustanovljen zajednički okvirni dokument u kojem je utvrđena sveobuhvatna strategija Unije, višegodišnji okvirni programi temelje se na tom dokumentu.

3. U višegodišnjim okvirnim programima također su određena prioritetna područja odabrana za financiranje od strane Unije i navedena okvirna dodjela sredstava, općenito, prema prioritetnom području i prema partnerskoj zemlji ili skupini partnerskih zemalja za određeno razdoblje, uključujući sudjelovanje u globalnim inicijativama; ti iznosi mogu, prema potrebi, biti izraženi u obliku raspona.

3.a *Višegodišnjim okvirnim programima može biti predviđen iznos sredstava, koji ne prelazi 5 % ukupnog iznosa, koji nije dodijeljen prioritetnom području ili partnerskoj zemlji ili skupini zemalja. Ta su sredstva osigurana u skladu s člankom 2. stavcima 2. i 3. Zajedničke provedbene uredbe.*

7. Postupak iz članka 15. stavka 4. Zajedničke provedbene uredbe može se primijeniti u svrhu izmjene višegodišnjih okvirnih programa *iz opravdanih razloga žurnosti*.
8. *S obzirom na članak 1., Komisija u suradnji s trećim zemljama može uzeti u obzir zemljopisnu udaljenost najudaljenijih regija i prekomorskih zemalja i državnih područja Unije.*
9. *Pri bilo kakvom programiranju ili reviziji programa nakon objave srednjoročnog izvješća iz članka 16. Zajedničke provedbene uredbe uzimaju se u obzir rezultati, nalazi i zaključci iz tog izvješća.*

Članak 6.
Odbor

Komisiji pomaže Odbor instrumenta za partnerstvo. Taj odbor je odbor u smislu Uredbe (EU) br. 182/2011.

Članak 7.
Izvršavanje ovlasti

1. Delegiranje ovlasti iz članka 4. dodjeljuje se za razdoblje valjanosti ove Uredbe.
2. Europski parlament ili Vijeće u svakom trenutku mogu opozvati delegiranje ovlasti. Odlukom o opozivu prestaje delegiranje ovlasti koje je u njoj navedeno. Opoziv proizvodi učinke dan nakon objave spomenute odluke u Službenom listu Europske unije ili na kasniji dan naveden u spomenutoj odluci. On ne utječe na valjanost delegiranih akata koji su već na snazi.

3. Čim donese delegirani akt, Komisija ga istodobno priopćuje Europskom parlamentu i Vijeću.
4. Doneseni delegirani akt stupa na snagu samo ako Europski parlament ili Vijeće u roku od dva mjeseca priopćenja tog akta Europskom parlamentu i Vijeću na njega ne ulože nikakav prigovor ili ako su prije isteka tog roka i Europski parlament i Vijeće obavijestili Komisiju da neće uložiti prigovore. Taj se rok produljuje za dva mjeseca na inicijativu Europskog parlamenta ili Vijeća.

Članak 8.
Referentni financijski iznos

1. Referentni financijski iznos za provedbu ove Uredbe za razdoblje od 2014. do 2020. jest **[954 765]** EUR¹. O odobrenim godišnjim sredstvima odlučuje proračunsko tijelo kao dio godišnjeg proračunskog postupka unutar ograničenja navedenih u Višegodišnjem financijskom okviru.

¹ *Svi će se referentni iznosi unijeti nakon završetka pregovora o Višegodišnjem okviru (2014.-2020.)*

2. Kako je navedeno u članku 13. stavku 2. Uredbe **(EU) br. ... Europskog parlamenta i Vijeća¹**, da bi se promicala međunarodna dimenzija višeg obrazovanja, okvirni iznos od 1 680 000 000² EUR iz raznih vanjskih instrumenata (Instrumenta za razvojnu suradnju, Instrumenta za europsko susjedstvo, Instrumenta pretprijetne pomoći, Instrumenta za partnerstvo) dodjeljuje se za mjere za obrazovnu mobilnost u države ili iz država koje nisu članice EU-a te za suradnju i dijalog politika s vlastima/institucijama/organizacijama iz tih zemalja. Odredbe Uredbe **(EU) br. ...** odnosit će se na upotrebu tih sredstava. Financijska sredstva dodijelit će se u okviru **dviju** višegodišnjih dodjela pri čemu prva obuhvaća samo prve **četiri**, a druga preostale **tri** godine. To financiranje odrazit će se na višegodišnje okvirno programiranje tih instrumenata, sukladno prepoznatim potrebama i prioritetima dotičnih zemalja. Dodjela sredstava može se revidirati u slučaju značajnih nepredviđenih okolnosti ili važnih političkih promjena sukladno vanjskim prioritetima EU-a.
3. ***Djelovanja u okviru programa „Erasmus+” bit će financirana u sklopu Instrumenta za partnerstvo samo ako ne ispunjavaju uvjete za financiranje u okviru drugih instrumenata za vanjsko djelovanje te ako nadopunjuju ili podupiru druge inicijative na temelju ove Uredbe.***

¹ ***Uredba br. ... Europskog parlamenta i Vijeća od ... o uspostavi programa „Erasmus+: program Unije za obrazovanje, osposobljavanje, mlade i sport” te stavljanju izvan snage odluka br. 1719/2006/EZ, 1720/2006/EZ i 1298/2008/EZ (SL L ...).***

Članak 9.
Europska služba za vanjsko djelovanje

Ova se Uredba **primjenjuje** u skladu s Odlukom 2010/427 EU .

Članak 10.
Stupanje na snagu

■ Ova Uredba stupa na snagu ■ sljedećeg dana od dana objave u *Službenom listu Europske unije*.

Primjenjuje se od 1. siječnja **2014. do 31. prosinca 2020.**

■ Ova je Uredba u cijelosti obvezujuća i izravno se primjenjuje u svim državama članicama ■

Sastavljeno u Bruxellesu

Za Europski parlament
Predsjednik

Za Vijeće
Predsjednik

PRILOG

TEMATSKI PRIORITETI U OKVIRU INSTRUMENTA ZA PARTNERSTVO KAO OPĆI OKVIR ZA PROGRAMIRANJE

Cilj 1.2 (a):

Pružanje podrške strategijama partnerstva za bilateralnu, regionalnu i međuregionalnu suradnju Unije promicanjem dijaloga politika te razvojem kolektivnih pristupa i odgovora na izazove od globalnog značaja.

- *Pružanje podrške provedbi sporazuma o partnerstvu i suradnji, akcijskim planovima i sličnim bilateralnim instrumentima;*
- *Produbljivanje političkog i gospodarskog dijaloga s trećim zemljama od posebne važnosti u pogledu pitanja na globalnoj razini, uključujući u pogledu vanjske politike;*

- *Pružanje podrške suradnji s relevantnim trećim zemljama u vezi s bilateralnim i globalnim pitanjima od zajedničkog interesa;*
- *Promicanje odgovarajućih daljnjih mjera ili koordinirane provedbe zaključaka međunarodnih foruma, poput skupine G-20.*

Jačanje suradnje u pogledu globalnih izazova, posebno u pogledu klimatskih promjena, energetske sigurnosti i zaštite okoliša.

- *Poticanje napora partnerskih zemalja u vezi sa smanjenjem emisija stakleničkih plinova, posebno promicanjem i pružanjem podrške odgovarajućim regulatornim i referentnim normama;*
- *Poticanje ekologizacije proizvodnje i trgovine;*
- *Razvoj energetske suradnje;*
- *Promicanje obnovljivih i održivih izvora energije.*

Cilj 1.2 (b):

Provedba međunarodne dimenzije strategije „Europa 2020. – strategija za pametan, održiv i uključiv rast” spajanjem triju stupova: gospodarskog, socijalnog i okolišnog:

- ***Poboljšanje dijaloga politika i suradnje s relevantnim trećim zemljama, uzimajući u obzir sva područja u okviru strategije Europa 2020.;***
- ***Promicanje internih politika Unije u vezi s ključnim partnerskim zemljama te u vezi s tim podupiranje regulatornog približavanja.***

Cilj 1.2 (c):

Olakšavanje i podupiranje gospodarskih i trgovinskih odnosa s partnerskim zemljama:

- *promicanje sigurnog okruženja za ulaganja i poslovanje, uključujući zaštitu prava intelektualnog vlasništva, otklanjanje prepreka pristupu tržištu, ojačanu regulatornu suradnju te promicanje mogućnosti za europsku robu i usluge, pogotovo u područjima u kojima Europa ostvaruje konkurentne prednosti, te međunarodne norme;*
- *Pružanje podrške pregovorima o sporazumima EU-a o trgovini i ulaganjima te njihovoj provedbi i primjeni.*

Cilj 1.2 (d):

Poboljšanje suradnje u visokom obrazovanju:

- *poboljšanje mobilnosti studenata i akademskog osoblja, čime se postiže stvaranje partnerstava usmjerenih na poboljšanje kvalitete visokog obrazovanja te uvođenje združenih akademskih stupnjeva u svrhu akademskog priznavanja (Erasmus+);*

Jačanje rasprostranjenog znanja i unaprjeđenje profila Unije:

- *promicanje vrijednosti i interesa EU-a u partnerskim zemljama putem unaprijedene javne diplomacije te aktivnostima osvještavanja kao oblika podrške ciljevima instrumenta.*

PRILOG ZAKONODAVNOJ REZOLUCIJI

IZJAVA PARLAMENTA O OBUSTAVI POMOĆI ODOBRENE U OKVIRU FINANCIJSKIH INSTRUMENATA

Europski parlament primjećuje da Uredba o uspostavi financijskog instrumenta za razvojnu suradnju, Uredba o uspostavi europskog instrumenta susjedstva, Uredba o uspostavi instrumenta partnerstva za suradnju s trećim zemljama i Uredba o Instrumentu pretpristupne pomoći ne sadrže izričito upućivanje na mogućnost obustave pomoći u slučajevima u kojima zemlja korisnik ne poštuje osnovna načela iz odgovarajućih instrumenata, a posebno načela demokracije, vladavine prava i poštovanja ljudskih prava.

Europski parlament smatra da bi bilo kakva obustava pomoći u okviru ovih instrumenata promijenila cjelokupni financijski sustav dogovoren u okviru redovnog zakonodavnog postupka. Kao suzakonodavac i grana proračunskog tijela Europski parlament stoga ima pravo u potpunosti iskoristiti svoje ovlasti u tom pogledu ako se takva odluka donese.

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STATEMENT BY THE COMMISSION ON THE STRATEGIC DIALOGUE WITH THE EUROPEAN PARLIAMENT¹

On the basis of Article 14 TEU, the Commission will conduct a strategic dialogue with the European Parliament prior to the programming of [add the name of the corresponding ENI, DCI, IPA II, EIDHR, ISP, PI Regulation] and after initial consultation of its relevant beneficiaries, where appropriate. The Commission will present to the Parliament the relevant available documents on programming with indicative allocations foreseen per country/region, and, within a country/region, priorities, possible results and indicative allocations foreseen per priority for geographic programmes, as well as the choice of assistance modalities*. The Commission will present to the Parliament the relevant available documents on programming with thematic priorities, possible results, choice of assistance modalities*, and financial allocations for such priorities foreseen in thematic programmes. The Commission will take into account the position expressed by the European Parliament on the matter.

The Commission will conduct a strategic dialogue with the European Parliament in preparing the Mid Term Review and before any substantial revision of the programming documents during the period of validity of this Regulation.

The Commission, if invited by the European Parliament, will explain where Parliament's observations have been taken into consideration in the programming documents and any other follow-up given to the strategic dialogue.

¹ The Commission will be represented at the responsible Commissioner level

* Where applicable

22.6.2012

OPINION OF THE COMMITTEE ON INTERNATIONAL TRADE (*)

for the Committee on Foreign Affairs

on the proposal for a regulation of the European Parliament and of the Council establishing a Partnership Instrument for cooperation with third countries
(COM(2011)0843 – C7-0495/2011 – 2011/0411(COD))

Rapporteur (*): Laima Liucija Andrikiene

(*) Associated committee – Rule 50 of the Rules of Procedure.

SHORT JUSTIFICATION

This draft regulation is one of EU external policy elements. It is innovative and is different from EU external development instruments. It aims at partnership and cooperation between EU and especially with countries graduating from development assistance and becoming EU economic interest partners like China, Russia, Latin America etc. It aims to advance and promote EU interests and to address major global challenges in line with Europe 2020 strategy. This instrument is limited in budget, 1.131 billion euro being foreseen for 2014-2020 period. And therefore, the objectives, targeted sectors and countries have to be well defined, coordination mechanisms foreseen already in this Regulation.

Partnership Instrument shall allow the EU to pursue agendas beyond development cooperation with global players to promote EU interests effectively worldwide and to deal with global issues wherever needed. The Partnership Instrument shall support measures to objectives arising from the Union's bilateral, regional or multilateral relationships with third countries, regions and territories and address challenges of global concern. **It shall aim at improving market access and developing trade, eliminating trade and investment barriers and fighting against unjustified protectionist measures, opening new business opportunities for European companies worldwide by means of economic partnerships and business and regulatory cooperation.**

The values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, the principles of international law, the founding pillars of the Union shall be core for the Regulation. This should be ensured when formulating the Union's cooperation policy and its strategic planning programming and implementation of measures.

More effective cooperation, and coordination of procedures, both between the Union and its Member States and in relations with other donors and actors, are essential for ensuring the consistency and relevance of measures. This proposal will target and coordinate better among Member States and Union legislators the priorities and actions that Union is able to respond in a flexible and timely manner to evolving circumstances in order to make its commitment to promote its interests in its relations with third countries more effective. The indicative areas of cooperation and the indicative financial allocations and their modifications shall be a subject of delegated acts procedure.

In this respect it is proposed that multi-annual indicative programmes shall set out the coordinated Union's strategy for the geographic area and area of cooperation concerned. Therefore, it is proposed that indicative financial allocation for the period 2014-2020 is part of this Regulation and is laid down in the annex. The Commission shall be entitled to adopt delegated acts to reallocate amounts between programmes. Within a framework defining priorities in terms of strategic geographical areas and areas of cooperation, the Commission shall carry out a thorough, impartial and unprejudiced ex-ante evaluation of Union interests before deciding on multi-annual indicative programmes.

It is also proposed to reduce the share of non-substantial modifications to multiannual indicative programmes from 20% to 10% of the initial allocation. This proposal would be safe enough that these modifications do not affect the priority areas and objectives set out in the multi-annual indicative programmes. Proposal regulates also the procedure of adjustments which shall be adopted by the Commission in accordance with the advisory procedure referred to in the Common Implementing Regulation.

Review mechanism for the implementation of the Regulation shall be foreseen. Therefore, new provisions on review are proposed. Not later than 30 June 2017, the Commission shall submit to the European Parliament and the Council a report evaluating the implementation of this Regulation in the first three years introducing the necessary modifications, including the indicative financial allocations set out in new Annex Ia.

All these amendments will help to better target and coordinate the global Union instrument to foster partnership and Union visibility globally.

AMENDMENTS

The Committee on International Trade calls on the Committee on Foreign Affairs, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation Recital 1 a (new)

Text proposed by the Commission

Amendment

(1a) The Union is founded on the values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, and the principles of international law. It seeks to develop and consolidate commitment to those values in partner countries and regions through dialogue and cooperation. The Union also aims to ensure coherence with other areas of its external action. This should be ensured when formulating the Union's cooperation policy and its strategic planning programming and implementation of measures. More effective cooperation, and coordination of procedures, both between the Union and its Member States and in relations with other donors and actors, are essential in order to ensure the consistency and relevance of measures. The Union and the Member States should improve the consistency and the complementarity of their respective policies on cooperation. To ensure that the Union's cooperation policy and that of the Member States complement and reinforce each other, it is appropriate to provide for joint programming procedures which should be implemented whenever possible and relevant.

Amendment 2

Proposal for a regulation Recital 5

Text proposed by the Commission

(5) Preparatory actions such as **business** dialogues, **trade promotion and** scientific exchanges **were set up to** strengthen and deepen cooperation in areas outside the scope of the Development Cooperation Instrument with India and China and with middle-income group countries in Asia and Latin America.

Amendment

(5) Preparatory actions such as dialogues **between public or private economic partners**, scientific exchanges **should** strengthen and deepen cooperation in areas outside the scope of the Development Cooperation Instrument with India and China and with middle-income group countries in Asia and Latin America.

Amendment 3

Proposal for a regulation

Recital 7

Text proposed by the Commission

(7) It is in the Union's interest to deepen its relations with partners who are playing an increasingly important role in the international economy and trade, in south-south trade and cooperation, in multilateral fora including Group of Twenty Finance Ministers and Central Bank Governors (G 20), in global governance and in addressing challenges of global concern. The Union needs to build comprehensive partnerships with new players on the international scene, in order to promote a stable and inclusive international order, pursue common global public goods, defend core interests of the Union and increase knowledge of the Union in these countries.

Amendment

(7) It is in the Union's interest to deepen its relations with partners who are playing an increasingly important role in the international economy and trade, in south-south trade and cooperation, in multilateral fora including **the World Trade Organization (WTO) and the** Group of Twenty Finance Ministers and Central Bank Governors (G 20), in global governance and in addressing challenges of global concern. The Union needs to build comprehensive partnerships with new players on the international scene, in order to promote a stable and inclusive international order, pursue common global public goods, defend core interests of the Union and increase knowledge of the Union in these countries.

Amendment 4

Proposal for a regulation

Recital 9

Text proposed by the Commission

(9) Notwithstanding the specific focus on

Amendment

(9) Notwithstanding the specific focus on

global players, the scope of this Regulation should be worldwide enabling to support cooperation measures with developing countries where *the Union has* significant interests in accordance with the objectives of this Regulation.

global players, the scope of this Regulation should be worldwide enabling to support cooperation measures *both* with *developed and* developing countries where *there are* significant *mutual* interests in accordance with the objectives of this Regulation.

Amendment 5

Proposal for a regulation Recital 10 a (new)

Text proposed by the Commission

Amendment

(10a) The cooperation measures aimed at improving market access and developing trade, investment and business opportunities should take account of Union interests and should ensure long-term benefits for Union growth through strengthened economic security and continued creation of new competitive jobs within the Union, while promoting progress and development in partner countries, permitting better regional cooperation, encouraging investment and improving economic governance, strengthening economic and trade relations with strategic partners and achieving real reciprocal market access. The cooperation measures should better address the specific needs of the Union's micro-enterprises and small and medium-sized enterprises in order to enhance their competitiveness. Greater consistency between the rules and practices of the Union and those of its main partners should be pursued, while not lowering the Union's standards and certification practices but leading to greater acceptance of existing multilateral ones, supporting measures aimed at regulatory convergence with its main trading partners and regulatory cooperation in general, with a view to promoting equivalence and convergence of international standards and thereby

limiting disputes and the associated trade costs.

Amendment 6

Proposal for a regulation Recital 11

Text proposed by the Commission

(11) The Union is committed in relations with its partners worldwide to promoting decent work for all along with ratification and effective implementation of the internationally recognised labour standards and multilateral environmental agreements.

Amendment

(11) The Union is committed in relations with its partners worldwide to promoting ***sustainable development, free and fair trade and*** decent work for all along with ratification and effective implementation of the internationally recognised labour standards and multilateral environmental agreements. ***This Regulation should be used as a tool for supporting international competitiveness and contributing to a reduction in the risk of protectionism, while promoting European values and commercial interests, and as an instrument for free and fair trade that can bring into general practice the effective inclusion and implementation of social and environmental standards with partner countries, including promotion of new forms of employment policies and the creation of jobs meeting International Labour Organization (ILO) decent work standards and growth opportunities for European industries and small and medium-sized enterprises.***

Amendment 7

Proposal for a regulation Recital 12

Text proposed by the Commission

(12) In particular, fighting climate change is recognised as one of the great challenges ***which*** the Union ***faces*** and the area where urgent international action is necessary. In

Amendment

(12) In particular, fighting climate change is recognised as one of the great challenges ***facing*** the Union ***and the international community*** and ***is*** the area where urgent

accordance with the intent stated in the Commission Communication “A budget for Europe 2020” of increasing the climate related proportion of the Union budget *to at least 20%*, this Regulation should contribute to that goal.

international action is necessary, ***considering that the Union's goals in this area can only be achieved by cooperation with its main trading partners.*** In accordance with the intent stated in the Commission Communication “A budget for Europe 2020” of increasing the climate related proportion of the Union budget, this Regulation should contribute to that goal.

Amendment 8

Proposal for a regulation Recital 15

Text proposed by the Commission

(15) Promotion of diversified cooperation and partnership initiatives within a single instrument should, furthermore, allow economies of scale, synergy effects, greater effectiveness, more streamlined decision-making and management and a high degree of visibility for the Union’s external action.

Amendment

(15) Promotion of diversified cooperation and partnership initiatives within a single instrument should, furthermore, allow ***a forward-looking and innovative strategy on trade and investment taking into account the new challenges facing the Union,*** economies of scale, synergy effects, greater effectiveness, more streamlined decision-making and management and a high degree of visibility for the Union’s external action.

Amendment 9

Proposal for a regulation Recital 16

Text proposed by the Commission

(16) In order to achieve the objectives of this Regulation it is necessary to pursue a differentiated and flexible approach by developing models for cooperation with key partner countries which take into account their economic, social and political contexts and also the Union’s specific interests, policy priorities and strategies, whilst maintaining the ability to intervene

Amendment

(16) In order to achieve the objectives of this Regulation it is necessary to pursue a differentiated and flexible approach by developing models for cooperation with key partner countries which take into account their economic, social and political contexts and also the Union’s specific interests, policy priorities and strategies, whilst maintaining the ability to intervene all over the world wherever needed. ***The***

all over the world wherever needed.

Union should use an integrated approach with regard to trade, foreign, development, agricultural, environmental and research policies.

Amendment 10

Proposal for a regulation

Recital 17

Text proposed by the Commission

(17) The Union ***should be*** able to respond in a flexible and timely manner to evolving and/or unforeseen needs in order to make its commitment to ***promote its*** interests in its relations with third countries more effective, by adopting special measures not covered by multi-annual indicative programmes.

Amendment

(17) ***Adequate programming, planning and enforcement measures and inter-institutional cooperation should be provided for in order to ensure that*** the Union ***is*** able to respond in a flexible and timely manner to evolving and/or unforeseen needs in order to make its commitment to ***promoting mutual*** interests, ***and protecting its investments,*** in its relations with third countries more effective, ***and*** by adopting special measures not covered by multi-annual indicative programmes.

Amendment 11

Proposal for a regulation

Recital 19

Text proposed by the Commission

(19) In order to adapt the scope of this Regulation to the rapidly evolving reality in third countries, the power to adopt acts in accordance with Article 290 of the Treaty of the Functioning of the European Union should be delegated to the Commission in respect of the detailed areas of cooperation defined in the Annex. It is of particular importance that the Commission should carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing-up delegated

Amendment

(19) ***Modifications within the detailed areas of cooperation, adoption of multi-annual indicative programmes, and adjustments of the indicative financial allocation constitute non-essential elements of this Regulation.*** ***Consequently,*** in order to adapt the scope of this Regulation to the rapidly evolving reality in third countries, the power to adopt acts in accordance with Article 290 of the Treaty of the Functioning of the European Union should be delegated to the Commission in respect of the detailed areas

acts, should ensure simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and to the Council.

of cooperation defined in the Annex, ***adoption of multi-annual indicative programmes as defined in Article 5, and the indicative allocations per programme set out in Annex Ia.*** It is of particular importance that the Commission should carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing-up delegated acts, should ensure simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and to the Council.

Amendment 12

Proposal for a regulation Recital 20

Text proposed by the Commission

(20) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation N° 182/2011 of 16 February 2011 laying down the rules and general principles concerning the mechanisms of control by Member States of the Commission's exercise of implementing powers. Taking into account the nature of those implementing acts, in particular ***their policy orientation nature or*** their financial implications, the ***examination*** procedure should in principle be used for their adoption, ***except for technical implementing measures of a small financial scale.***

Amendment

(20) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation N° 182/2011 of 16 February 2011 laying down the rules and general principles concerning the mechanisms of control by Member States of the Commission's exercise of implementing powers. Taking into account the nature of those implementing acts, in particular their financial implications, the ***advisory*** procedure should in principle be used for their adoption.

Amendment 13

Proposal for a regulation Article 1 – paragraph 1

Text proposed by the Commission

(1) This Regulation establishes a Partnership Instrument for cooperation with third countries to advance and promote EU and mutual interests. The Partnership Instrument shall support measures that respond in an effective and flexible manner to objectives arising from the Union's bilateral, regional or multilateral relationships with third countries and address challenges of global concern.

Amendment

1. This Regulation establishes a Partnership Instrument for cooperation with third countries to advance and promote EU **values** and mutual interests. The Partnership Instrument shall support measures that respond in an effective and flexible manner to objectives arising from the Union's bilateral, regional or multilateral relationships with third countries, **regions and territories** and address challenges of global concern.

Amendment 14

Proposal for a regulation Article 1 – paragraph 2 – point a

Text proposed by the Commission

(a) implementing the international dimension of the “Europe 2020” strategy by supporting Union’s bilateral, regional and inter-regional cooperation partnership strategies, by promoting policy dialogues and by developing collective approaches and responses to challenges of global concern such as energy security, climate change and environment. This objective shall be measured by the uptake of the “Europe 2020” policies and objectives by key partner countries;

Amendment

(a) implementing the international dimension of the “Europe 2020” strategy ***in order to strengthen the complementary relationship between internal and external Union policies – especially in the field of innovation, research, and competitiveness*** – by supporting Union’s bilateral, regional and inter-regional cooperation partnership strategies, by promoting policy dialogues and by developing collective approaches and responses to challenges of global concern such as energy security, climate change and environment. This objective shall be measured by the uptake of the “Europe 2020” policies and objectives by key partner countries ***and influence on policy formulation in those countries;***

Amendment 15

Proposal for a regulation

Article 1 – paragraph 2 – point b

Text proposed by the Commission

(b) improving **market** access **and developing trade**, investment **and business opportunities** for European companies by means of economic partnerships **and** business and regulatory cooperation. This objective shall be measured by the Union's share in foreign trade with key partner countries and by trade and **investments** flows to partner countries specifically targeted by actions, programmes and measures under this Regulation;

Amendment

(b) improving access **to third country markets, boosting trade, eliminating barriers to trade and** investment **while also counteracting unwarranted and/or unjustified protectionist measures and regulatory barriers, by promoting international standards, improving the protection of intellectual property rights, and creating a secure climate for investment and new trading** opportunities for European companies **worldwide, in particular for small and medium-sized enterprises (SMEs)**, by means of economic partnerships, business and legal and taxation regulatory cooperation, **and support programmes and instruments to promote SME internationalisation**; this objective shall be measured by the Union's share in foreign trade with key partner countries and by trade and **investment** flows to **all** partner countries specifically targeted by actions, programmes and measures under this Regulation;

Amendment 16

Proposal for a regulation

Article 1 – paragraph 2 – point c

Text proposed by the Commission

(c) enhancing widespread understanding and visibility of the Union and its role on the world scene by means of public diplomacy, education/academic cooperation and outreach activities to promote Union's values and interests. This objective may be measured, inter alia, by opinion surveys or evaluations.

Amendment

(c) enhancing widespread understanding and visibility of the Union and its role on the world scene by means of public diplomacy, education/academic cooperation and outreach activities to promote Union's values and interests. This objective may be measured **by better perception and enhanced mutual understanding of the Union in key**

partner countries illustrated, inter alia, by opinion surveys or evaluations.

Amendment 17

Proposal for a regulation Article 3 – paragraph 1

Text proposed by the Commission

(1) The Union seeks to promote, develop and consolidate the principles of liberty, democracy, respect for human rights and fundamental freedoms and the rule of law on which it is founded by means of dialogue and cooperation with third countries.

Amendment

1. The Union seeks to promote, develop and consolidate the principles of liberty, democracy, respect for human rights and fundamental freedoms and the rule of law on which it is founded by means of dialogue and cooperation with third countries, *regions and territories*.

Amendment 18

Proposal for a regulation Article 3 – paragraph 2

Text proposed by the Commission

(2) To enhance the impact of the Union's assistance, a differentiated and flexible approach shall be pursued, where appropriate, in designing cooperation with partner countries to take account of their economic, social and political contexts as and also of the Union's specific interests, policy priorities and strategies.

Amendment

2. To enhance the impact of the Union's assistance, a differentiated and flexible approach shall be pursued, where appropriate, in designing cooperation with partner countries, *regions and territories* to take account of their economic, social and political contexts as and also of the Union's specific interests, policy priorities and strategies.

Amendment 19

Proposal for a regulation Article 3 – paragraph 3

Text proposed by the Commission

(3) Within their respective spheres of competence, the Union and the Member States shall promote a multilateral

Amendment

3. Within their respective spheres of competence, the Union and the Member States shall promote a multilateral

approach to global challenges and shall foster cooperation with international or regional organisations and bodies, including international financial institutions, United Nations agencies, funds and programmes, OECD, and the Group of Twenty Finance Ministers and Central Bank Governors (G20) and other bilateral donors.

approach to global challenges and shall foster cooperation with international or regional organisations and bodies, including international financial institutions, ***the World Trade Organization (WTO)***, United Nations agencies, funds and programmes, OECD, and the Group of Twenty Finance Ministers and Central Bank Governors (G20) and other bilateral donors.

Amendment 20

Proposal for a regulation Article 3 – paragraph 4

Text proposed by the Commission

(4) In implementing this Regulation, ***the Union shall aim to ensure*** coherence and consistency with other areas of ***its*** external action, in particular the Development Cooperation Instrument for developing countries, and with other relevant Union's policies when formulating policy, strategic planning and programming and ***implementing*** measures.

Amendment

4. In implementing this Regulation, coherence and consistency ***shall be ensured*** with other areas of ***Union*** external action, in particular the Development Cooperation Instrument for developing countries, and with other relevant Union's policies when formulating policy, strategic planning and programming and ***implementation of*** measures. ***The Union shall ensure that environmental, sustainable energy, social, employment and other welfare values are adequately considered in programming and implementation.***

Amendment 21

Proposal for a regulation Article 3 – paragraph 5

Text proposed by the Commission

(5) Measures financed under this Regulation shall be based, where appropriate, on cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries ***and*** regions

Amendment

5. Measures financed under this Regulation shall be based, where appropriate, on cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries, regions ***and territories***

concerned, and shall *also relate to areas linked to* the Union's specific interests, policy priorities and strategies.

concerned, and shall *be aimed at improving their implementation capacity, on* the Union's *decisions*, specific interests, policy priorities and strategies. *The Union's strategy for promoting trade, investment and economic cooperation shall be based on a thorough analysis of current trends in world trade, the Union's internal and external development and the diversity of European enterprises, their know-how and their technological advances.*

Amendment 22

Proposal for a regulation

Article 5 – paragraph - 1 a (new)

Text proposed by the Commission

Amendment

-1a. Within a framework defining priorities in terms of strategic geographical areas and areas of cooperation, the Commission shall carry out a thorough, impartial and unprejudiced ex-ante evaluation of Union interests before deciding on multi-annual indicative programmes.

Amendment 23

Proposal for a regulation

Article 5 – paragraph 1

Text proposed by the Commission

Amendment

(1) Multi-annual indicative programmes shall be adopted by the Commission in accordance with the examination procedure referred to in Article 15 (3) of the Common Implementing Regulation. This procedure shall also apply to substantial reviews which have the effect

1. The Commission shall be empowered to adopt delegated acts in accordance with Article 7 to adopt multi-annual indicative programmes. This procedure shall also apply to substantial reviews or adjustments which have the effect of changing significantly the strategy or its

of changing significantly the strategy or its programming.

programming.

Amendment 24

Proposal for a regulation Article 5 – paragraph 2

Text proposed by the Commission

(2) The multi-annual indicative programmes shall set out the Union's strategic and/or mutual interests and priorities, the specific objectives **and** expected results. For countries or regions for which a Joint Framework Document, laying down a comprehensive Union strategy has been established, the multi-annual indicative programmes shall be based on this document.

Amendment

2. The multi-annual indicative programmes shall set out ***the Union's strategy for the geographic area and area of cooperation concerned***, the Union's strategic and/or mutual interests and priorities, the specific objectives, ***the*** expected results ***and the performance indicators***. For countries or regions for which a Joint Framework Document, laying down a comprehensive Union strategy has been established, the multi-annual indicative programmes shall be based on this document.

Amendment 25

Proposal for a regulation Article 5 – paragraph 3 a (new)

Text proposed by the Commission

Amendment

3a. The Commission and the Member States shall consult each other, as well as other donors and actors including stakeholders and local authorities, at an early stage of the programming process in order to promote complementarity among their cooperation activities. Such consultation may lead to joint programming between the Union and its Member States.

Amendment 26

Proposal for a regulation Article 5 – paragraph 5

Text proposed by the Commission

(5) A Reserve for unallocated funds may be established in the multi-annual indicative programmes. The allocation of these funds shall be decided in accordance with the Common Implementing Regulation.

Amendment

5. A reserve for unallocated funds **not exceeding 5%** may be established in the multi-annual indicative programmes. The allocation of these funds shall be decided in accordance with the Common Implementing Regulation.

Amendment 27

Proposal for a regulation Article 5 – paragraph 6

Text proposed by the Commission

(6) The **examination** procedure referred in paragraph (1) shall not apply to non-substantial modifications to multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area or increasing or decreasing the size of the initial **overall** allocation by less than **20%**, provided that these modifications do not affect the priority areas and objectives set out in the multi-annual indicative programmes. Such adjustments shall be communicated within one month to the European Parliament and to the Council.

Amendment

6. The procedure referred in paragraph 1 shall not apply to non-substantial modifications to multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area or increasing or decreasing the size of the initial allocation by less than **10%**, provided that these modifications do not affect the priority areas and objectives set out in the multi-annual indicative programmes. Such adjustments **shall be adopted by the Commission in accordance with the advisory procedure referred to in Article 15(2) of the Common Implementing Regulation and** shall be communicated within one month to the European Parliament and to the Council.

Amendment 28

Proposal for a regulation Article 5 – paragraph 7

Text proposed by the Commission

(7) The procedure referred in Article 15(4) of the Common Implementing Regulation may be applied for modifying multiannual indicative programmes where a swift response from the Union is required.

Amendment

7. The procedure referred in Article 15(4) of the Common Implementing Regulation may be applied for modifying multiannual indicative programmes ***on duly justified imperative grounds of urgency*** where a swift response from the Union is required.

Amendment 29

Proposal for a regulation Article 7 – paragraph 1

Text proposed by the Commission

(1) The delegation of powers referred to in **Article 4** shall be conferred for the period of validity of this Regulation.

Amendment

1. The delegation of powers referred to in **Articles 4, 5(1) and 8(1)** shall be conferred for the period of validity of this Regulation.

Amendment 30

Proposal for a regulation Article 8 – paragraph 1

Text proposed by the Commission

1. The financial reference amount for implementation of this Regulation for the period from 2014 to 2020 shall be EUR 1 131 000 000. Annual appropriations shall be decided by the budgetary authority as part of the annual budget procedure within the limits set in the Multiannual Financial Framework.

Amendment

1. The financial reference amount for implementation of this Regulation for the period from 2014 to 2020 shall be EUR 1 131 000 000. ***The indicative financial allocation for the period 2014-2020 is laid down in Annex Ia. The Commission shall be empowered to adopt delegated acts in accordance with Article 7 to amend or supplement Annex Ia or to reallocate amounts between programmes.*** Annual appropriations shall be decided by the budgetary authority as part of the annual budget procedure within the limits set in

Amendment 31

Proposal for a regulation

Article 8 – paragraph 2 – subparagraph 1

Text proposed by the Commission

As referred to in Article 13, paragraph 2 of the “Erasmus for All” Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from *non EU* countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the “Erasmus for All” Regulation will apply to the use of those funds.

Amendment

As referred to in Article 13, paragraph 2, of the “Erasmus for All” Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from *non-EU* countries and to cooperation and policy dialogue with ***local, regional, and national*** authorities/institutions/organisations from these countries. The provisions of the “Erasmus for All” Regulation will apply to the use of those funds.

Amendment 32

Proposal for a regulation

Article 9 a (new)

Text proposed by the Commission

Amendment

Article 9a

Review

Not later than 30 June 2017, the Commission shall submit to the European Parliament and the Council a report evaluating the implementation of this Regulation in the first three years together, if appropriate, with a legislative proposal introducing the necessary modifications, including changes to the

indicative financial allocations set out in Annex Ia.

Amendment 33

Proposal for a regulation Article 10 – paragraph 1

Text proposed by the Commission

1. This Regulation shall enter into force on the third day following its publication in the Official Journal of the European Union. It shall apply from 1 January 2014.

Amendment

1. This Regulation shall enter into force on the third day following its publication in the Official Journal of the European Union. It shall apply from 1 January 2014 **to 31 December 2020.**

Amendment 34

Proposal for a regulation Annex – point c

Text proposed by the Commission

(c) the facilitation of (and support of) trade relations and trade integration processes, including south-south, support to Union investment flows and economic partnerships, including a focus on small and medium-sized enterprises;

Amendment

(c) the facilitation of (and support of) ***economic and*** trade relations and trade integration processes, including south-south, ***and the consolidation of trading relations with emerging macro-areas important to the Union,*** support to Union investment flows and economic partnerships, including a focus on small and medium-sized enterprises (***SMEs***); ***support measures and instruments to promote SME internationalisation (including training and information programmes and help desks on third-country markets); improvements to the protection of intellectual property rights, intensification of regulatory dialogue, facilitation of access to third-country markets, and best practices in economics, trade, tax and financial matters;***

Amendment 35

Proposal for a regulation
Annex – point d

Text proposed by the Commission

(d) the promotion of policy and sectoral dialogues involving Union and non-Union political, economic, regulatory, environmental, social, research and cultural actors and non-governmental organisations;

Amendment

(d) the promotion of policy and sectoral dialogues involving Union and non-Union political, economic, regulatory, environmental, social, research and cultural actors and non-governmental organisations ***and regional and local authorities and associations thereof;***

Amendment 36

Proposal for a regulation
Annex – point d a (new)

Text proposed by the Commission

Amendment

(da) improvement of anti-corruption tools and transparency actions, and reinforcement of their implementation;

Amendment 37

Proposal for a regulation
Annex – point f

Text proposed by the Commission

Amendment

(f) the promotion of initiatives and actions of Union or mutual interest in areas such as climate change, environmental matters including biodiversity, resource efficiency, raw materials, energy, transport, science, research and innovation, employment and social policy, sustainable development, including promotion of decent work, and corporate social responsibility, south-south trade and cooperation, education, culture, tourism, information and communication technologies, health, justice, customs, taxation, financial, statistics ***and any other matter*** pertaining to the Union's specific interests or of mutual interest between the

(f) the promotion of initiatives and actions of Union or mutual interest in areas such as climate change, environmental matters including biodiversity, resource efficiency, raw materials, energy, transport, science, research and innovation, ***consolidation of regional and local authorities and civil society***, employment and social policy, sustainable development, including promotion of decent work, and corporate social responsibility, south-south ***and north-south*** trade and cooperation, education, ***vocational training***, culture, tourism, ***local development***, information and communication technologies, health,

Union and third countries;

justice, customs, *intellectual property rights, data protection*, taxation, financial *and* statistics *matters* pertaining to the Union's specific interests or of mutual interest between the Union and third countries;

Amendment 38

Proposal for a regulation Annex I a (new)

Text proposed by the Commission

Amendment

ANNEX Ia

INDICATIVE FINANCIAL ALLOCATION FOR THE PERIOD 2014-2020

The breakdown by specific objective of the total financial reference amount (EUR 1 131 000 000) shall be as follows:

Objective No 1 (implementing the international dimension of the “Europe 2020” strategy): 48%;

Objective No 2 (improving market access and developing trade, investment and business opportunities for European companies): 25%;

Objective No 3 (enhancing widespread understanding and visibility of the Union and its role on the world scene): 18%;

Unallocated reserve (to be programmed between the three objectives according to the needs): 5%; and

Administrative support expenditure: 4%.

PROCEDURE

Title	Establishing a Partnership Instrument for cooperation with third countries.
References	COM(2011)0843 – C7-0495/2011 – 2011/0411(COD)
Committee responsible Date announced in plenary	AFET
Opinion by Date announced in plenary	INTA
Associated committee(s) - date announced in plenary	24.5.2012
Rapporteur Date appointed	Laima Liucija Andrikienė 29.2.2012
Discussed in committee	27.3.2012 29.5.2012
Date adopted	21.6.2012
Result of final vote	+: 24 -: 4 0: 2
Members present for the final vote	William (The Earl of) Dartmouth, Laima Liucija Andrikienė, John Attard-Montalto, Maria Badia i Cutchet, Daniel Caspary, María Auxiliadora Correa Zamora, Marielle de Sarnez, Harlem Désir, Yannick Jadot, Metin Kazak, Franziska Keller, Bernd Lange, David Martin, Paul Murphy, Cristiana Muscardini, Franck Proust, Godelieve Quisthoudt-Rowohl, Niccolò Rinaldi, Helmut Scholz, Peter Šťastný, Gianluca Susta, Iuliu Winkler, Paweł Zalewski
Substitute(s) present for the final vote	Amelia Andersdotter, George Sabin Cutaş, Syed Kamall, Elisabeth Köstinger, Marietje Schaake, Konrad Szymański, Jarosław Leszek Wałęsa, Pablo Zalba Bidegain
Substitute(s) under Rule 187(2) present for the final vote	Richard Ashworth, Françoise Castex, Philip Claeys, Marielle Gallo

5.6.2012

OPINION OF THE COMMITTEE ON DEVELOPMENT

for the Committee on Foreign Affairs

on the proposal for a regulation of the European Parliament and of the Council establishing a Partnership Instrument for cooperation with third countries
(COM(2011)0843 – C7-0495/2011 – 2011/0411(COD))

Rapporteur: Enrique Guerrero Salom

SHORT JUSTIFICATION

The New Partnership Instrument (NPI) replaces the Financing Instrument for Cooperation with Industrialized and other high income countries and territories. The NPI will allow the EU to develop broad based agreements with emerging economies, stimulating efforts from these countries to build up collective approaches to tackle global challenges, implementing the international dimension of the "Europe 2020".

The rapporteur points out that the Article 208 of the Lisbon Treaty requires the EU to take account of development cooperation objectives. Taking this into consideration, the rapporteur presents the following comments regarding the Commission proposal for a regulation:

- Recalls that emerging economies have an increasing responsibility towards LDCs and developing countries;
- Considers that the EU needs to take into consideration the heterogeneity of countries classified as emerging economies; the rapporteur recalls that over 70 % of world's poor people live now in MICs;
- Underlines that effective coordination and coherent approach with other EU external instruments, in particular the DCI, should have particular relevance;
- Stresses that the promotion of human rights, democracy, rule of law, good governance, inclusive and sustainable growth as well as poverty eradication and free and fair trade are basic principles of the EU that should received strengthen support in the implementation of NPI;
- Underlines the importance of identifying specific targets and reporting on their achievement;

- Strongly recommends the implementation of effective monitoring mechanisms, transparent indicators and benchmarks, which must take into account social cohesion and fight against inequalities when cooperating with MICs;
- Recalls the importance of engaging the European Parliament in all phases of this process;
- Stresses that Climate action and energy efficiency should be tracked with clear methodology;
- Considers that increased cooperation with private sector should be pursued with full transparency and accountability, respect and promotion of labour rights and environmental protection; Stresses also that investment projects supported by EU mechanisms for blending grants and loans must be subject to monitoring and impact studies of internationally agreed social and environmental standards.

AMENDMENTS

The Committee on Development calls on the Committee on Foreign Affairs, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation

Recital 9

Text proposed by the Commission

(9) Notwithstanding the specific focus on global players, the scope of this Regulation should be worldwide enabling to support cooperation measures with developing countries where ***the Union has*** significant interests in accordance with the objectives of this Regulation.

Amendment

(9) Notwithstanding the specific focus on global players, the scope of this Regulation should be worldwide enabling to support cooperation measures with developing countries where ***there are*** significant ***mutual*** interests in accordance with the objectives of this Regulation.
Consideration ought therefore to be given to the overseas countries and territories associated with the Union throughout the world, in order in particular to enhance knowledge and understanding of the Union and improve its visibility in third countries.

Amendment 2

Proposal for a regulation
Recital 12

Text proposed by the Commission

(12) *In particular*, fighting climate change *is* recognised as *one of* the great challenges which the Union faces and the *area* where urgent international action is necessary. In accordance with the intent stated in the Commission Communication “A budget for Europe 2020” of increasing the climate related proportion of the Union budget to at least 20%, this Regulation should contribute to that goal.

Amendment

(12) *Eradicating poverty and* fighting climate change *are* recognised as *being* the *two* great challenges which the Union faces and the *areas* where urgent international action is necessary. In accordance with the intent stated in the Commission Communication “A budget for Europe 2020” of increasing the climate related proportion of the Union budget to at least 20%, this Regulation should *inter alia* contribute to that goal.

Amendment 3

Proposal for a regulation
Recital 13

Text proposed by the Commission

(13) The Union is committed to helping to meet the global 2020 biodiversity targets and to deliver on the associated Strategy for resource mobilisation.

Amendment

(13) The Union is committed to helping to meet the global 2020 biodiversity targets and to deliver on the associated Strategy for resource mobilisation *and their sustainable integrated management*.

Amendment 4

Proposal for a regulation
Recital 14

Text proposed by the Commission

(14) Under this Regulation, the Union should support the implementation of the “Europe 2020” strategy, in particular objectives relating to climate change, the transition to a greener economy and *resource efficiency*, trade and investment, business and regulatory cooperation with third countries, and should promote public diplomacy, education/academic

Amendment

(14) Under this Regulation, the Union should support the implementation of the “Europe 2020” strategy, in particular objectives relating to *poverty eradication*, climate change, the transition to a greener economy and *efficient use of natural resources*, trade and investment, business and regulatory cooperation with third countries, *policy coherence for*

cooperation and outreach activities.

development, and should promote public diplomacy, ***good financial and tax governance***, education/academic cooperation and outreach activities, ***notably in regard to access to healthcare for women and children***.

Amendment 5

Proposal for a regulation Recital 16

Text proposed by the Commission

(16) In order to achieve the objectives of this Regulation it is necessary to pursue a differentiated and flexible approach by developing models for cooperation with key partner countries which take into account their economic, social and political contexts and also the Union's specific interests, policy priorities and strategies, whilst maintaining the ability to intervene all over the world wherever needed.

Amendment

(16) In order to achieve the objectives of this Regulation it is necessary to pursue a differentiated and flexible approach by developing models for cooperation with key partner countries ***and principles of good governance*** which ***prevent corruption and which*** take into account their economic, social and political contexts and also the Union's specific interests, policy priorities and strategies, whilst maintaining the ability to intervene all over the world wherever needed.

Amendment 6

Proposal for a regulation Recital 16 a (new)

Text proposed by the Commission

Amendment

(16a) The EU should ensure greater transparency and appropriate scrutiny of the cooperation programmes to deliver value for money.

Amendment 7

Proposal for a regulation Recital 17

Text proposed by the Commission

(17) The Union should be able to respond in a flexible and timely manner to evolving and /or unforeseen needs in order to make its commitment to promote *its* interests in its relations with third countries more effective, by adopting special measures not covered by multi-annual indicative programmes.

Amendment 8

Proposal for a regulation
Article 1 – paragraph 1

Text proposed by the Commission

(1) This Regulation establishes a Partnership Instrument for cooperation with third countries to advance and promote *EU and* mutual interests. The Partnership Instrument shall support measures that respond in an effective and flexible manner to objectives arising from the Union's bilateral, regional or multilateral relationships with third countries and address challenges of global concern.

Amendment 9

Proposal for a regulation
Article 1 – paragraph 2 – point (a)

Text proposed by the Commission

(a) implementing the international dimension of the “Europe 2020” strategy by supporting Union’s bilateral, regional and inter-regional cooperation partnership strategies, by promoting policy dialogues and by developing collective approaches

Amendment

(17) The Union should be able to respond in a flexible and timely manner to evolving and /or unforeseen needs in order to make its commitment to promote *mutual* interests in its relations with third countries more effective, by adopting special measures not covered by multi-annual indicative programmes.

Amendment

(1) This Regulation establishes a Partnership Instrument for cooperation with third countries to advance and promote mutual interests, *based on respect for all human rights, promoting shared values and interest and the principle of mutual accountability*. The Partnership Instrument shall support measures that respond in an effective, *transparent* and flexible manner to objectives arising from the Union's bilateral, regional or multilateral relationships with third countries and address, *on the basis of mutual respect*, challenges of global concern.

and responses to challenges of global concern such as energy *security*, climate change and *environment*. *This* objective shall be measured by *the uptake of the* “Europe 2020” policies and *objectives by* key partner *countries*;

good governance and collective approaches and responses to challenges of global concern such as *the promotion of social cohesion, secure and sustainable energy and energy efficiency*, climate change and, *job creation, food security, poverty eradication and environmental protection, as well as sustainable management of biodiversity and ecosystems*; *this* objective shall be measured by “Europe 2020” policies and partner *country priorities*;

Amendment 10

Proposal for a regulation

Article 1 – paragraph 2 – point (b)

Text proposed by the Commission

(b) improving market access *and developing trade*, investment *and business opportunities* for European companies by means of economic partnerships and business and regulatory cooperation. This objective shall be measured by the Union’s share in foreign trade with key partner countries and by trade and investments flows to partner countries specifically targeted by actions, programmes and measures under this Regulation;

Amendment

(b) improving market access *and developing trade*, investment *and business opportunities* for European companies by means of economic partnerships and *by* business and *legal and taxation* regulatory cooperation, *in order to create fertile ground for the enhancement of competitiveness and development, and according to international standards on Corporate Social Responsibility*. This objective shall be measured by the Union’s share in foreign trade with key partner countries and by trade and investments flows to partner countries specifically targeted by actions, programmes and measures under this Regulation; *blending facilities shall be subject to mechanisms, monitoring and impact studies that include the social cohesion and fight against inequalities dimension*;

Amendment 11

Proposal for a regulation

Article 1 – paragraph 2 – point c

Text proposed by the Commission

(c) enhancing widespread understanding and visibility of the Union and its role on the world scene by means of public diplomacy, education/academic cooperation and outreach activities to promote Union's values and interests. ***This objective may*** be measured, inter alia, by opinion surveys or evaluations.

Amendment

(c) enhancing widespread understanding and visibility of the Union and its role on the world scene by means of public diplomacy, education/academic cooperation and outreach activities to promote ***the*** Union's values and interests. ***Projects carried out in this regard should meet results-based criteria and also*** be measured, inter alia, by opinion surveys or evaluations.

Amendment 12

**Proposal for a regulation
Article 2 – paragraph 1**

Text proposed by the Commission

(1) All third countries, regions and territories may be eligible for cooperation under this Regulation.

Amendment

(1) All third countries, regions and territories, ***including the ones associated with the Union (OTC)***, may be eligible for cooperation under this Regulation. ***Relevant best practices and lessons learned from the previous Instruments should be taken into account when deciding on the eligibility of countries and sectors.***

Amendment 13

**Proposal for a regulation
Article 2 – paragraph 2**

Text proposed by the Commission

(2) However, this Regulation shall primarily support cooperation measures with developed and developing countries which play an increasingly prominent role in the international economy and trade, in multilateral fora, in global governance and in addressing challenges of global concern and where the Union has significant

Amendment

(2) However, this Regulation shall primarily support cooperation measures with developed and developing countries which play an increasingly prominent role in the international economy and trade, in multilateral fora, in global governance and in addressing challenges of global concern and where the Union has significant interests. ***Added value of EU action needs***

interests.

also to be the driver of our cooperation with strategic partners.

Amendment 14

Proposal for a regulation Article 3 – paragraph 1

Text proposed by the Commission

(1) The Union seeks to promote, develop and consolidate the principles of liberty, democracy, respect for human rights and fundamental freedoms **and the** rule of law on which it is founded by means of dialogue and cooperation with third countries.

Amendment

(1) The Union seeks to promote, develop and consolidate the principles of liberty, democracy, respect for human rights and fundamental freedoms, rule of law **and good governance, alongside inclusive and sustainable growth** on which it is founded, by means of dialogue and cooperation with third countries.

Amendment 15

Proposal for a regulation Article 3 – paragraph 2

Text proposed by the Commission

(2) To enhance the impact of the Union's assistance, a differentiated **and** flexible approach shall be pursued, where appropriate, in designing cooperation with partner countries to take account of their economic, social and political contexts as and also of the Union's specific interests, policy priorities and strategies.

Amendment

(2) To enhance the impact of the Union's assistance, a differentiated, flexible **and targeted** approach shall be pursued, where appropriate, in designing cooperation with partner countries to take account of their economic, social and political contexts, **the requirement for good administrative and tax governance**, as and also of the Union's specific interests, policy priorities and strategies; **bilateral cooperation with MICs should pay special attention to the fight against inequalities by targeting aid by sector, activities, beneficiaries or specific geographic areas in a country.**

Amendment 16

Proposal for a regulation Article 3 – paragraph 3

Text proposed by the Commission

(3) Within their respective spheres of competence, the Union and the Member States shall promote a multilateral approach to global challenges and shall foster cooperation with international or regional organisations and bodies, including international financial institutions, United Nations agencies, funds and programmes, OECD, and the Group of Twenty Finance Ministers and Central Bank Governors (G20) and other bilateral donors.

Amendment

(3) Within their respective spheres of competence, the Union and the Member States shall promote a ***coherent*** multilateral approach to global challenges and shall foster cooperation with international or regional organisations and bodies, including international financial institutions, United Nations agencies, funds and programmes, OECD, and the Group of Twenty Finance Ministers and Central Bank Governors (G20) and other bilateral donors.

Amendment 17

Proposal for a regulation Article 3 – paragraph 4

Text proposed by the Commission

(4) In implementing this Regulation, the Union shall aim to ensure coherence and consistency with other areas of its external action, in **particular the Development Cooperation Instrument for developing countries**, and with other relevant *Union's* policies when formulating policy, strategic planning and programming and implementing measures.

Amendment

(4) In implementing this Regulation, the Union shall aim to ensure ***policy coherence for development, complementarity, more effectiveness and impact***, and consistency with other areas of its external action, in **particular the Development Cooperation Instrument for developing countries**, and with other relevant *Union* policies when formulating policy, strategic planning and programming and implementing measures.

Amendment 18

Proposal for a regulation Article 3 – paragraph 5

Text proposed by the Commission

(5) Measures financed under this Regulation shall be based, where appropriate, on cooperation policies set out

Amendment

(5) Measures financed under this Regulation shall be based, where appropriate, on cooperation policies set out

in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, and shall also relate to areas linked to the *Union's specific interests*, policy priorities and strategies.

in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, and shall also relate to areas linked to *mutual* policy priorities and strategies *of interest to the Union and the partner country concerned*.

PROCEDURE

Title	Establishing a Partnership Instrument for cooperation with third countries.						
References	COM(2011)0843 – C7-0495/2011 – 2011/0411(COD)						
Committee responsible Date announced in plenary	AFET						
Opinion by Date announced in plenary	DEVE 17.1.2012						
Rapporteur Date appointed	Enrique Guerrero Salom 25.4.2012						
Discussed in committee	14.5.2012						
Date adopted	4.6.2012						
Result of final vote	<table> <tr> <td>+: </td><td>22</td></tr> <tr> <td>–: </td><td>0</td></tr> <tr> <td>0: </td><td>3</td></tr> </table>	+:	22	–:	0	0:	3
+:	22						
–:	0						
0:	3						
Members present for the final vote	Thijs Berman, Ricardo Cortés Lastra, Corina Crețu, Véronique De Keyser, Nirj Deva, Leonidas Donskis, Charles Goerens, Eva Joly, Filip Kaczmarek, Gay Mitchell, Norbert Neuser, Birgit Schnieber-Jastram, Michèle Striffler, Alf Svensson, Keith Taylor, Ivo Vajgl, Iva Zanicchi						
Substitute(s) present for the final vote	Emer Costello, Enrique Guerrero Salom, Fiona Hall, Edvard Kožušník, Judith Sargentini, Horst Schnellhardt, Patrizia Toia						
Substitute(s) under Rule 187(2) present for the final vote	Marisa Matias						

11.6.2012

OPINION OF THE COMMITTEE ON BUDGETS

for the Committee on Foreign Affairs

on the proposal for a regulation of the European Parliament and of the Council establishing a Partnership Instrument for cooperation with third countries
(COM(2011)0843 – C7-0495/2011 – 2011/0411(COD))

Rapporteur: Jan Kozłowski

SHORT JUSTIFICATION

The proposed Partnership Instrument (PI) is going to replace the existing financing Instrument for Cooperation with Industrialised and other high income countries and territories (ICI), which has been the EU's main mechanism for cooperation with the developed countries since 2007.

The Commission proposal aims at overcoming some of the drawbacks shown by the ICI by providing the EU with a genuine instrument for co-operation with new emerging economies and will pursue the following objectives:

- implement the external dimension of the "Europe 2020" strategy, by giving it a global reach; particular emphasis would be placed on the low carbon future and sustainable development objectives;
- improvement of the market access and development of trade, investment and business opportunities for European companies (and SMEs in particular) by means of economic partnership and business and regulatory cooperation, especially in relation to strategic economic partners;
- enhancement of EU's public visibility and role in the world scene;
- dialogue and co-operation with key global energy producers and consumers in order to address the challenges of safeguarding EU's energy security.

The Commission proposed a budgetary allocation of EUR 1 billion for the PI for 2014 - 2020 in constant 2011 prices. The amount available to ICI and ICI + for the current MFF equalled EUR 304 million in constant 2011 prices.

Although the proposal provides a stepping stone in the direction of simplification of the regulatory system and enhanced access to EU assistance for partner countries and

beneficiaries, your Rapporteur would like to point out some of the persisting problems:

- The need for more coherence and coordination of various forms of funding to avoid overlaps
- Full integration of the Budgetary authority in decision-making and implementation, where EU budget spending is involved
- More coordination with the provisions of the Financial Regulation
- Rightful allocation of EU money, through precision as to whether or not performance reserves should be set under this instrument, and the rules for their application.

AMENDMENTS

The Committee on Budgets calls on the Committee on Foreign Affairs, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Draft legislative resolution

Paragraph 1a (new)

Text proposed by the Commission

Amendment

1a. Points out that the financial envelope specified in the legislative proposal constitutes only an indication to the legislative authority and can not be fixed until agreement is reached on the proposal for a regulation laying down the Multiannual Financial Framework for the years 2014-2020;

Amendment 2

Draft legislative resolution

Paragraph 1 b (new)

Text proposed by the Commission

Amendment

1b. Recalls its resolution of 8 June 2011 on Investing in the future: a new Multiannual Financial Framework (MFF) for a competitive, sustainable and inclusive Europe¹; reiterates that

sufficient additional resources are needed in the next Multiannual Financial Framework in order to enable the Union to fulfil its existing policy priorities and the new tasks provided for in the Treaty of Lisbon, as well as to respond to unforeseen events; points out that even with an increase in the level of resources for the next MFF of at least 5% compared to the 2013 level only a limited contribution can be made to the achievement of the Union's agreed objectives and commitments and the principle of Union solidarity; challenges the Council, if it does not share this approach, to clearly identify which of its political priorities or projects could be dropped altogether, despite their proven European added value;

¹ *Texts adopted, P7_TA(2011)0266.*

Amendment 3

Proposal for a regulation Recital -1 (new)

Text proposed by the Commission

Amendment

(-1) Whereas this Regulation should lay down, for the entire duration of the instrument, a financial envelope constituting the prime reference, within the meaning of point [] of the Interinstitutional Agreement of XX/201Z between the European Parliament, the Council and the Commission on cooperation in budgetary matters and on sound financial management, for the budgetary authority during the annual budgetary procedure;

Amendment 4

Proposal for a regulation Recital -1 a (new)

Text proposed by the Commission

Amendment

(-1a) Whereas improving implementation and quality of spending should constitute guiding principles for achieving the objectives of the instrument while ensuring optimal use of the financial resources;

Amendment 5

Proposal for a regulation Recital -1b (new)

Text proposed by the Commission

Amendment

(-1b) Whereas it is important to ensure sound financial management of the instrument and its implementation in the most effective and user-friendly manner possible, while also ensuring legal certainty and the accessibility of the instrument to all participants.

Amendment 6

Proposal for a regulation Recital 8

Text proposed by the Commission

Amendment

(8) The EU needs a financial instrument of global scope allowing the financing of measures that might not qualify as ODA but which are crucially important for deepening and consolidating its relations with the partner countries concerned, in particular through policy dialogues and development of partnerships.

(8) The EU needs a financial instrument of global scope allowing the financing of measures that might not qualify as ODA but which are crucially important for deepening and consolidating its relations with the partner countries concerned, in particular through policy dialogues and development of ***strategic*** partnerships.

Amendment 7

Proposal for a regulation Recital 13

Text proposed by the Commission

(13) The Union is committed to helping to meet the global 2020 biodiversity targets and to ***deliver on*** the associated Strategy for resource mobilisation.

Amendment

(13) The Union is committed to helping to meet the global 2020 biodiversity targets and to ***ensuring the success of*** the associated Strategy for resource mobilisation.

Amendment 8

Proposal for a regulation Recital 15

Text proposed by the Commission

(15) Promotion of diversified cooperation and partnership initiatives within a single instrument should, furthermore, allow economies of scale, synergy effects, greater effectiveness, more streamlined decision-making and management and a high degree of visibility for the Union's external action.

Amendment

(15) Promotion of diversified cooperation and partnership initiatives within a single instrument should, furthermore, allow economies of scale, ***reduce the risk of overlaps with other external and internal instruments***, synergy effects, greater effectiveness, more streamlined decision-making and management and a high degree of visibility for the Union's external action.

Amendment 9

Proposal for a regulation Recital 16 a (new)

Text proposed by the Commission

Amendment

(16a) In its resolution of 8 June 2011 on Investing in the future: a new Multiannual Financial Framework (MFF) for a competitive, sustainable and inclusive Europe¹, the European Parliament noted "that the highest percentage of the world's poorest people lives in emerging economies" and insisted "however, in order to incite these governments to better engage in poverty

reduction within their own borders, that alternative schemes for development cooperation with these countries, such as co-financing, should be gradually introduced".

¹ *Texts adopted, P7_TA(2011)0266.*

Justification

A reference to paragraph 118 from the resolution 8 June 2011 on "Investing in the future: a new Multiannual Financial Framework (MFF) for a competitive, sustainable and inclusive Europe" should be made.

Amendment 10

Proposal for a regulation

Article 1 – paragraph 2 – point c

Text proposed by the Commission

(c) enhancing widespread understanding and visibility of the Union and its role on the world scene by means of public diplomacy, education/academic cooperation **and** outreach activities to promote Union's values and interests. This objective may be measured, inter alia, by opinion surveys or evaluations.

Amendment

(c) enhancing widespread understanding and visibility of the Union and its role on the world scene by means of public diplomacy, education/academic cooperation, outreach activities to promote Union's values and interests **and support for civil society organisations and social partners** . This objective may be measured, inter alia, by opinion surveys or evaluations.

Amendment 11

Proposal for a regulation

Article 3 – Paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. In implementing this Regulation, the Union shall aim to ensure that the support towards third countries also finances global public goods, exchanges of best practices in the political, governance, economic and social field, public

diplomacy and people to people contacts and is not to the disadvantage of the Union's objectives for growth-oriented EU agenda to modernise the economy, boost competitiveness, improve conditions for SMEs and tackle youth unemployment;

Amendment 12

Proposal for a regulation Article 3 – Paragraph 5

Text proposed by the Commission

5. Measures financed under this Regulation shall be based, where appropriate, on cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, and shall also relate to areas linked to the Union's specific interests, policy priorities and strategies.

Amendment

5. Measures financed under this Regulation shall be based, where appropriate, on cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and ***international organisations***, the third countries and regions concerned, and shall also relate to areas linked to the Union's specific interests, policy priorities and strategies.

Amendment 13

Proposal for a regulation Article 3 – Paragraph 6

Text proposed by the Commission

6. Union support under this Regulation shall be implemented in accordance with the Common Implementing Regulation.

Amendment

6. Union support under this Regulation shall be implemented in accordance with the Common Implementing Regulation ***and the Financial Regulation.***

Amendment 14

Proposal for a regulation Article 5 – Paragraph 3

Text proposed by the Commission

3. The multiannual indicative programmes

Amendment

3. The multiannual indicative programmes

shall also set out the priority areas selected for financing by the Union and shall outline the indicative financial allocation of funds, both overall, per priority area and per partner country or group of partner countries for the period concerned including the participation in global initiatives; these amounts may, where appropriate, be expressed in the form of a range.

shall also set out the priority areas selected for financing by the Union and shall outline the indicative financial allocation of funds, both overall, per priority area and per partner country or group of partner countries for the period concerned including the participation in global initiatives, ***without prejudice to the powers of the budgetary authority***; these amounts may, where appropriate, be expressed in the form of a range.

Amendment 15

Proposal for a regulation Article 5 – Paragraph 5

Text proposed by the Commission

5. A Reserve for unallocated funds may be established in the multi-annual indicative programmes. The allocation of these funds shall be decided in accordance with the Common Implementing Regulation.

Amendment

5. A Reserve for unallocated funds may be established in the multi-annual indicative programmes. The allocation of these funds shall be decided in accordance with the Common Implementing Regulation, ***without prejudice to prerogatives of the budgetary authority*** .

Justification

Although this Article concerns "indicative" financial allocations, which are to be decided in the budgetary process, your Rapporteur finds it necessary for the Parliament to have a more explicit position on content of the funds that remain unallocated.

Amendment 16

Proposal for a regulation Article 5 – Paragraph 5 a (new)

Text proposed by the Commission

Amendment

5a. The Commission shall be empowered to adopt delegated acts in accordance with Article 7 in order to define detailed areas of cooperation for the unallocated funds within the objectives and scope set out in Articles 1 and 2.

Justification

Although this Article concerns "indicative" financial allocations, which are to be decided in the budgetary process, your Rapporteur finds it necessary for the Parliament to have a more explicit position on content of the funds that remain unallocated.

Amendment 17

Proposal for a regulation Article 5 – Paragraph 6

Text proposed by the Commission

6. The examination procedure referred in paragraph (1) shall not apply to nonsubstantial modifications to multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area or increasing or decreasing the size of the initial overall allocation **by less than 20%**, provided that these modifications do not affect the priority areas and objectives set out in the multi-annual indicative programmes. Such adjustments shall be communicated within one month to the European Parliament and to the Council.

Amendment

6. The examination procedure referred in paragraph (1) shall not apply to nonsubstantial modifications to multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area or increasing or decreasing the size of the initial overall allocation ***within the relevant percentage limit set out in Article 2(2) of the Common Implementing Regulation***, provided that these modifications do not affect the priority areas and objectives set out in the multi-annual indicative programmes. Such adjustments shall be communicated within one month to the European Parliament and to the Council.

Amendment 18

Proposal for a regulation Article 5 a (new)

Text proposed by the Commission

Amendment

Article 5a

Coherence and complementarity of Union assistance

1. In implementing this Regulation, coherence shall be ensured with other areas and instruments of the Union external action, as well as other relevant

Union policies.

2. The Union and the Member States shall coordinate their respective support programmes with the aim of increasing the effectiveness and efficiency in the delivery of support and policy dialogue in line with the established principles for strengthening operational coordination in the field of external support, and for harmonizing policies and procedures. Coordination shall involve regular consultations and frequent exchanges of relevant information during the different phases of the support cycle.

3. The Union shall, in liason with the Member States, take the necessary steps to ensure proper coordination and cooperation with multilateral and regional organisations and entities, including, but not limited to European financial institutions, international financial institutions, United Nations agencies, funds and programmes, private and political foundations and non-EU donors.

Amendment 19

Proposal for a regulation Article 7 – Paragraph -1 (new)

Text proposed by the Commission

Amendment

-1. The power to adopt delegated acts is conferred to the Commission subject to the conditions laid down in this Article.

Amendment 20

Proposal for a regulation Article 7 – Paragraph 1

Text proposed by the Commission

Amendment

1. The delegation of powers referred to in

1. The delegation of powers referred to in

Article 4 shall be conferred for the period of validity of this Regulation.

Article 4 **and Article 5** shall be conferred for the period of validity of this Regulation.

Amendment 21

Proposal for a regulation

Article 8 – Paragraph 2 – Subparagraph 1

Text proposed by the Commission

2. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount of **EUR 1 812 100 000 from the different external instruments** (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Preaccession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.

Amendment

2. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount **corresponding to 2% of the financial allocations available for the participating instruments** (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Preaccession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.

Amendment 22

Proposal for a regulation

Article 8 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

(2a) The EU's financial interests will be protected by means of proportionate measures throughout the expenditure cycle, including the prevention, detection and investigation of irregularities, the recovery of funds lost, wrongly paid or incorrectly used and, where appropriate,

penalties. These measures will be carried out in accordance with the applicable agreements concluded with international organisations and third countries.

Note: the article in the German version of the Commission proposal is structured differently from the other language versions (three paragraphs as opposed to two, with paragraph 2 having two subparagraphs).

PROCEDURE

Title	Establishing a Partnership Instrument for cooperation with third countries.						
References	COM(2011)0843 – C7-0495/2011 – 2011/0411(COD)						
Committee responsible Date announced in plenary	AFET						
Opinion by Date announced in plenary	BUDG 17.1.2012						
Rapporteur Date appointed	Jan Kozłowski 29.2.2012						
Discussed in committee	29.3.2012						
Date adopted	31.5.2012						
Result of final vote	<table> <tr> <td>+: </td><td>31</td></tr> <tr> <td>–: </td><td>3</td></tr> <tr> <td>0: </td><td>3</td></tr> </table>	+:	31	–:	3	0:	3
+:	31						
–:	3						
0:	3						
Members present for the final vote	Marta Andreasen, Richard Ashworth, Francesca Balzani, Zuzana Brzobohatá, Jean-Luc Dehaene, James Elles, Göran Färm, José Manuel Fernandes, Eider Gardiazábal Rubial, Salvador Garriga Polledo, Jens Geier, Ivars Godmanis, Lucas Hartong, Jutta Haug, Sidonia Elżbieta Jędrzejewska, Anne E. Jensen, Ivailo Kalfin, Sergej Kozlík, Jan Kozłowski, Alain Lamassoure, Claudio Morganti, Juan Andrés Naranjo Escobar, Nadezhda Neynsky, Dominique Riquet, Alda Sousa, László Surján, Jacek Włosowicz						
Substitute(s) present for the final vote	François Alfonsi, Alexander Alvaro, Charles Goerens, Edit Herczog, Jürgen Klute, María Muñoz De Urquiza, Jan Olbrycht, Paul Rübig, Peter Šťastný, Gianluca Susta						

21.6.2012

OPINION OF THE COMMITTEE ON INDUSTRY, RESEARCH AND ENERGY

for the Committee on International Trade

on the proposal for a regulation of the European Parliament and of the Council establishing a Partnership Instrument for cooperation with third countries
(COM(2011)0843 – C7-0495/2011 – 2011/0411(COD))

Rapporteur: Niki Tzavela

SHORT JUSTIFICATION

The Partnership Instrument (PI) aims to implement the international dimension of Europe 2020 strategy by supporting EU bilateral, regional and inter-regional partnerships, improve market access and develop trade, investment and business opportunities for European companies and increase EU visibility worldwide. It shall replace the financing Instrument for Cooperation with Industrialised and other high income countries and territories (ICI), extend its scope and geographic coverage to all third countries. By its set objectives and scope the PI is considered as an element of support for the external projection of EU internal policies. As such the future instrument should complement actions conducted under other EU programmes including Horizon 2020 Framework Programme for Research and Innovation, the Competitiveness and SME Programme which come into field of competences of the ITRE Committee.

While the geographic coverage and its general policy objectives are likely to make the Partnership Instrument very flexible, particular attention should be paid to avoid generating confusion among the potential applicants. The draftswoman has acknowledged the intention of the Commission to use delegated acts in order to adjust the areas of cooperation laid out in an annex of the PI. Although she agrees that this is a means to respond swiftly to future economic challenges, she reckons that the proposed wording of the annex is very comprehensive and drafted in general terms therefore making it possible to have it integrated in the text of the Regulation without impeding on its flexibility.

Having in mind the considerations above, the amendments proposed to the draft Regulation on the Partnership Instrument are meant to bring further clarifications as far as its ability to complement actions under other EU programmes is concerned. The Draftswoman considers that duplication of efforts should be avoided in order to maximise the effect of the financial support under the PI. Likewise, she suggests amendments to the annex of the PI which outlines the areas of cooperation as she believes that certain fields of activities within ITRE

sphere of competence, namely, research and innovation, energy security, industry and enterprise or information and communication technologies need further emphasis.

AMENDMENTS

The Committee on Industry, Research and Energy calls on the Committee on International Trade, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation

Recital 3

Text proposed by the Commission

(3) Furthermore, since 2007 the Union has strengthened and deepened its cooperation and partnership with developing and transition countries in Asia, Central Asia, and ***Latin America and with Iraq, Iran, Yemen and*** South Africa on the basis of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (DCI).

Amendment

(3) Furthermore, since 2007 the Union has strengthened and deepened its cooperation and partnership with developing and transition countries in ***Latin America***, Asia, Central Asia, ***the Middle East*** and South Africa, on the basis of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (DCI).

Justification

Regulation (EC) No 1905/2006 establishing a financing instrument for development cooperation does not mention any other country except for South Africa. In line with the content of the current Regulation, it is important to avoid specificities, allowing a wide range of countries access to the financing instrument, while also ensuring legislative coherence.

Amendment 2

Proposal for a regulation

Recital 6

Text proposed by the Commission

(6) Furthermore, the Union has been strengthening its bilateral relations with other increasingly prominent middle-income developing countries in Asia and

Amendment

(6) Furthermore, the Union has been strengthening its bilateral relations with other increasingly prominent middle-income developing countries in Asia and

Latin America by expanding cooperation partnership and policy dialogues to areas and subjects beyond development cooperation. In the case of Russia, relations have also evolved, including through the Union-Russia Partnership for Modernisation, underlining the importance of Russia as a strategic partner for the Union both in bilateral relations and in global affairs.

Latin America by expanding cooperation partnership and policy dialogues to areas and subjects beyond development cooperation. In the case of Russia, relations have also evolved, including through *initiatives such as* the Union-Russia Partnership for Modernisation *and the EU-Russia energy dialogue*, underlining the importance of Russia as a strategic partner for the Union both in bilateral relations and in global affairs.

Amendment 3

Proposal for a regulation Recital 7

Text proposed by the Commission

(7) It is in the Union's interest to deepen its relations with partners who are playing an increasingly important role in the international economy and trade, in south-south trade and cooperation, in multilateral fora including Group of Twenty Finance Ministers and Central Bank Governors (G 20), in global governance and in addressing challenges of global concern. The Union needs to build comprehensive partnerships with new players on the international scene, in order to promote a stable and inclusive international order, pursue common global public goods, defend core interests of the Union and increase knowledge of the Union in these countries.

Amendment

(7) It is in the Union's interest to deepen its relations with partners who are playing an increasingly important role in the international economy and trade, in south-south trade and cooperation, *in the management of resources and energy markets*, in multilateral fora including Group of Twenty Finance Ministers and Central Bank Governors (G 20), in global governance and in addressing challenges of global concern. The Union needs to build comprehensive partnerships with new players on the international scene, in order to promote a stable and inclusive international order, pursue common global public goods, defend core interests of the Union and increase knowledge of the Union in these countries.

Amendment 4

Proposal for a regulation Recital 8

Text proposed by the Commission

(8) The EU needs a financial instrument of global scope allowing the financing of measures that might not qualify as ODA but which are crucially important for deepening and consolidating its relations with the partner countries concerned, in particular through policy dialogues and development of *partnerships*.

Amendment

(8) The EU needs a financial instrument of global scope allowing the financing of measures that might not qualify as ODA but which are crucially important for deepening and consolidating its relations with the partner countries concerned, in particular through policy dialogues and *partnerships, as well as the* development of *key projects of mutual interest*.

Amendment 5

Proposal for a regulation
Recital 10 a (new)

Text proposed by the Commission

Amendment

(10a) Actions under this Regulation can complement the external dimension of internal policies implemented under other Union programmes (such as the Horizon 2020 Framework Programme for Research and Innovation and the Competitiveness and SME Programme), while ensuring that any duplication is avoided.

Justification

It is important to create synergies between the EU and third countries, particularly taking into account EU programmes such as Horizon 2020 and other programmes for SMEs.

Amendment 6

Proposal for a regulation
Recital 12

Text proposed by the Commission

Amendment

(12) ***In particular, fighting*** climate change is recognised as one of the ***great*** challenges ***which the Union faces*** and ***the*** area where urgent international action is necessary. ***In***

(12) ***Fighting*** climate change is recognised as one of the ***global*** challenges and ***an*** area where urgent international action is necessary. ***Therefore, the Union should***

accordance with the intent stated in the Commission Communication ‘A budget for Europe 2020’ of increasing the climate related proportion of the Union budget to at least 20%, this Regulation should contribute to that goal.

act with a view to securing a global deal on tackling climate change.

Amendment 7

Proposal for a regulation Recital 14

Text proposed by the Commission

(14) Under this Regulation, the Union should support the implementation of the “Europe 2020” strategy, in particular objectives relating to climate change, the transition to a greener economy and resource efficiency, trade and investment, business and regulatory cooperation with third countries, and should promote public diplomacy, education/academic cooperation and outreach activities.

Amendment

(14) Under this Regulation, the Union should support the implementation of the “Europe 2020” strategy, in particular objectives relating to climate change, ***security of energy supply***, the transition to a greener economy and resource efficiency, ***science, research and innovation***, trade and investment, business and regulatory cooperation with third countries ***and better market access for European companies***, and should promote public diplomacy, education/academic cooperation and outreach activities.

Amendment 8

Proposal for a regulation Recital 17

Text proposed by the Commission

(17) The Union should be able to respond in a ***flexible*** and timely manner to evolving and /or unforeseen ***needs*** in order to make its commitment to promote its interests in its relations with third countries more effective, by adopting special measures not covered by multi-annual indicative programmes.

Amendment

(17) The Union should be able to respond in a ***tailor-made*** and timely manner to evolving ***needs*** and /or unforeseen ***events*** in order to make its commitment to promote its interests in its relations with third countries more effective, by adopting special measures not covered by multi-annual indicative programmes.

Amendment 9

Proposal for a regulation

Article 1 – paragraph 2 – point b

Text proposed by the Commission

(b) improving market access and developing trade, investment and business opportunities for European companies by means of economic partnerships and business and regulatory cooperation. This objective shall be measured by the Union's share in foreign trade with key partner countries and by trade and investments flows to partner countries specifically targeted by actions, programmes and measures under this Regulation;

Amendment

(b) improving market access and developing trade, investment and business opportunities for European companies ***in particular small and medium-sized enterprises***, by means of economic partnerships and business and regulatory cooperation. This objective shall be measured by the Union's share in foreign trade with key partner countries and by trade and investments flows to partner countries specifically targeted by actions, programmes and measures under this Regulation. ***Special attention shall be paid to supporting small and medium-sized enterprises within the Union and their internationalisation, bearing in mind their role in the Union's economy;***

Amendment 10

Proposal for a regulation

Article 3 – paragraph 4

Text proposed by the Commission

(4) In implementing this Regulation, the Union shall aim to ensure coherence and consistency with other areas of its external action, in **particular the Development Cooperation Instrument for developing countries**, and with other relevant Union's policies *when formulating policy, strategic planning and programming and implementing measures*.

Amendment

(4) In implementing this Regulation ***and when formulating policy, strategic planning and programming and implementing measures***, the Union shall aim to ensure coherence and consistency with other areas of its external action, in **particular the Development Cooperation Instrument for developing countries**, and with other relevant Union's policies ***and programmes***.

Amendment 11

Proposal for a regulation
Article 8 – paragraph 2 – subparagraph 1

Text proposed by the Commission

2. As referred to in Article 13, paragraph 2 of the ‘Erasmus for All’ Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the ‘Erasmus for All’ Regulation will apply to the use of those funds.

Amendment

2. As referred to in Article 13, paragraph 2 of the ‘Erasmus for All’ Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries, ***also encompassing the business sector***, and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the ‘Erasmus for All’ Regulation will apply to the use of those funds.

Amendment 12

Proposal for a regulation
Annex – point e a (new)

Text proposed by the Commission

Amendment

(ea) support for actions aimed at addressing the challenges of the Union's energy security, advancing the Energy Roadmap 2050 agenda and promoting transparency and predictability on global energy markets and the transfer of technology;

Amendment 13

Proposal for a regulation Annex – point e b (new)

Text proposed by the Commission

Amendment

(eb) the promotion of partnerships, initiatives and actions designed to enhance the competitiveness of the Union's industrial sector and of initiatives capable of strengthening the Union's research and innovation performance as well as the advancement of its digital agenda;

Amendment 14

Proposal for a regulation Annex – point e c (new)

Text proposed by the Commission

Amendment

(ec) the promotion of, and support for, initiatives and actions designed to create real functioning markets, and the removal of red tape and trade barriers, with the aim of boosting the Union's competitive advantage;

Amendment 15

Proposal for a regulation Annex – point f

Text proposed by the Commission

Amendment

(f) the promotion of initiatives **and** actions of Union or mutual interest in areas such as climate change, environmental matters including biodiversity, resource efficiency, raw materials, **energy**, transport, **science**, **research and innovation**, employment and

(f) the promotion of initiatives, actions **and projects** of Union or mutual interest in areas such as climate change, environmental matters including biodiversity, resource efficiency, raw materials, transport, employment and

social policy, sustainable development, including promotion of decent work, and corporate social responsibility, south-south trade and cooperation, education, culture, tourism, ***information and communication technologies***, health, justice, customs, taxation, financial, statistics and any other matter pertaining to the Union's specific interests or of mutual interest between the Union and third countries;

social policy, sustainable development, including promotion of decent work, and corporate social responsibility, south-south trade and cooperation, education, culture, tourism, health, justice, customs, taxation, financial, statistics and any other matter pertaining to the Union's specific interests or of mutual interest between the Union and third countries;

PROCEDURE

Title	Establishing a Partnership Instrument for cooperation with third countries.						
References	COM(2011)0843 – C7-0495/2011 – 2011/0411(COD)						
Committee responsible Date announced in plenary	AFET						
Opinion by Date announced in plenary	ITRE 17.1.2012						
Rapporteur Date appointed	Niki Tzavela 7.3.2012						
Discussed in committee	24.4.2012						
Date adopted	19.6.2012						
Result of final vote	<table> <tr> <td>+: </td><td>50</td></tr> <tr> <td>–: </td><td>1</td></tr> <tr> <td>0: </td><td>1</td></tr> </table>	+:	50	–:	1	0:	1
+:	50						
–:	1						
0:	1						
Members present for the final vote	Amelia Andersdotter, Josefa Andrés Barea, Zigmantas Balčytis, Ivo Belet, Bendt Bendtsen, Reinhard Bütikofer, Maria Da Graça Carvalho, Giles Chichester, Jürgen Creutzmann, Pilar del Castillo Vera, Christian Ehler, Vicky Ford, Gaston Franco, Adam Gierek, Norbert Glante, Fiona Hall, Roger Helmer, Kent Johansson, Romana Jordan, Krišjānis Kariņš, Lena Kolarska-Bobińska, Philippe Lamberts, Bogdan Kazimierz Marcinkiewicz, Marisa Matias, Jaroslav Paška, Aldo Patriciello, Vittorio Prodi, Miloslav Ransdorf, Teresa Riera Madurell, Michèle Rivasi, Paul Rübig, Salvador Sedó i Alabart, Francisco Sosa Wagner, Konrad Szymański, Patrizia Toia, Ioannis A. Tsoukalas, Claude Turmes, Niki Tzavela, Marita Ulvskog, Vladimir Urutchev, Adina-Ioana Vălean, Kathleen Van Brempt, Alejo Vidal-Quadras, Henri Weber						
Substitute(s) present for the final vote	António Fernando Correia de Campos, Jolanta Emilia Hibner, Seán Kelly, Werner Langen, Mario Pirillo, Peter Skinner, Lambert van Nistelrooij						
Substitute(s) under Rule 187(2) present for the final vote	Jorgo Chatzimarkakis						

POSTUPAK

Naslov	Uspostava Instrumenta partnerstva za suradnju s trećim zemljama			
Referentni dokumenti	COM(2011)0843 – C7-0495/2011 – 2011/0411(COD)			
Datum podnošenja EP-u	7.12.2011			
Nadležni odbor Datum objave na plenarnoj sjednici	AFET			
Odbor(i) čije se mišljenje traži Datum objave na plenarnoj sjednici	DEVE 17.1.2012	INTA	BUDG 17.1.2012	ITRE 17.1.2012
Pridruženi odbor(i) Datum objave na plenarnoj sjednici	INTA 24.5.2012			
Izvjestitelj(i) Datum imenovanja	Antonio López-Istúriz White 14.12.2011			
Prethodni izvjestitelj(i)	Mario Mauro			
Razmatranje u odboru	9.7.2012			
Datum usvajanja	5.12.2013			
Rezultat konačnog glasovanja	+: 47 -: 0 0: 2			
Zastupnici nazočni na konačnom glasovanju	Elmar Brok, Jerzy Buzek, Mark Demesmaeker, Michael Gahler, Marietta Giannakou, Ana Gomes, Andrzej Grzyb, Anna Ibrisagic, Anneli Jäätteenmäki, Jelko Kacin, Tunne Kelam, Nicole Kiil-Nielsen, Andrey Kovatchev, Eduard Kukan, Alexander Graf Lambsdorff, Vytautas Landsbergis, Ulrike Lunacek, Marusya Lyubcheva, Willy Meyer, María Muñiz De Urquiza, Annemie Neyts-Uyttebroeck, Norica Nicolai, Raimon Obiols, Kristiina Ojuland, Ria Oomen-Ruijten, Ioan Mircea Pașcu, Alojz Peterle, Bernd Posselt, Hans-Gert Pötering, Cristian Dan Preda, Libor Rouček, Tokia Saïfi, José Ignacio Salafranca Sánchez-Neyra, György Schöpflin, Werner Schulz, Marek Siwiec, Charles Tannock, Inese Vaidere, Geoffrey Van Orden, Nikola Vuljanić, Sir Graham Watson, Boris Zala			
Zamjenici nazočni na konačnom glasovanju	Marije Cornelissen, Kinga Gál, Barbara Lochbihler, Antonio López-Istúriz White, Doris Pack, Ivo Vajgl, Paweł Zalewski			
Zamjenici nazočni na konačnom glasovanju prema čl. 187. st. 2.	Hiltrud Breyer			
Datum podnošenja	6.12.2013			