



EUROPSKI PARLAMENT

2009 - 2014

Dokument s plenarne sjednice

A7-0450/2013

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***|

IZVJEŠĆE

o prijedlogu Uredbe Europskog parlamenta i Vijeća o uspostavljanju instrumenta financiranja za razvojnu suradnju
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

Odbor za razvoj

Izvjestitelj: Thijs Berman

Oznake postupaka

- * Postupak savjetovanja
- *** Postupak suglasnosti
- ***I Redovni zakonodavni postupak (prvo čitanje)
- ***II Redovni zakonodavni postupak (drugo čitanje)
- ***III Redovni zakonodavni postupak (treće čitanje)

(Navedeni se postupak temelji na pravnoj osnovi predloženoj u nacrtu akta.)

Izmjene nacrta akta

Amandmani Parlamenta u obliku dvaju stupaca

Brisanja su označena **podebljanim kurzivom** u lijevom stupcu. Izmjene su označene **podebljanim kurzivom** u obama stupcima. Novi tekst označen je **podebljanim kurzivom** u desnom stupcu.

U prvom i drugom retku zaglavljva svakog amandmana naznačen je predmetni odlomak iz nacrta akta koji se razmatra. Ako se amandman odnosi na postojeći akt koji se želi izmijeniti nacrtom akta, zaglavlje sadrži i treći redak u kojem se navodi postojeći akt te četvrti redak u kojem se navodi odredba akta na koju se izmjena odnosi.

Amandmani Parlamenta u obliku pročišćenog teksta

Novi dijelovi teksta označuju se **podebljanim kurzivom**. Brisani dijelovi teksta označuju se oznakom █ ili su precrtni. Izmjene se naznačuju tako da se novi tekst označi **podebljanim kurzivom**, a da se zamijenjeni tekst izbriše ili precrta.

Iznimno, izmjene stroga tehničke prirode koje unesu nadležne službe prilikom izrade konačnog teksta ne označuju se.

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NACRT ZAKONODAVNE REZOLUCIJE EUROPSKOG PARLAMENTA

**o prijedlogu Uredbe Europskog parlamenta i Vijeća o uspostavljanju instrumenta financiranja za razvojnu suradnju
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))**

(Redovni zakonodavni postupak: prvo čitanje)

Europski parlament,

- uzimajući u obzir prijedlog Komisije upućen Europskom parlamentu i Vijeću (COM(2011)0840),
 - uzimajući u obzir članak 294. stavak 2. i članak 209. stavak 1. Ugovora o funkcioniranju Europske unije, u skladu s kojima je Komisija podnijela prijedlog Parlamentu (C7-0493/2011),
 - uzimajući u obzir članak 294. stavak 3. Ugovora o funkcioniranju Europske unije,
 - uzimajući u obzir da se predstavnik Vijeća pismom od 4. prosinca 2013. obvezao prihvati stajalište Europskog parlamenta u skladu s člankom 294. stavkom 4. Ugovora o funkcioniranju Europske unije,
 - uzimajući u obzir članak 55. Poslovnika,
 - uzimajući u obzir izvješće Odbora za razvoj i mišljenja Odbora za vanjske poslove, Odbora za međunarodnu trgovinu, Odbora za proračune i Odbor za prava žena i jednakost spolova (A7-0450/2013),
1. usvaja sljedeće stajalište u prvom čitanju;
 2. prihvaca izjavu Parlamenta i zajedničku izjavu Parlamenta, Vijeća i Komisije priložene ovoj Rezoluciji;
 3. prima na znanje izjave Komisije priložene ovoj Rezoluciji;
 4. traži od Komisije da predmet ponovno uputi Parlamentu ako namjerava bitno izmijeniti svoj prijedlog ili ga zamijeniti drugim tekstom;
 5. nalaže svojem predsjedniku da stajalište Parlamenta proslijedi Vijeću, Komisiji i nacionalnim parlamentima.

Amandman 1

AMANDMANI EUROPSKOG PARLAMENTA*

na prijedlog Komisije

**UREDJA (EU) .../20..
EUROPSKOG PARLAMENTA I VIJEĆA**

od

o uspostavljanju finansijskog instrumenta za razvojnu suradnju

EUROPSKI PARLAMENT I VIJEĆE EUROPSKE UNIJE,

uzimajući u obzir Ugovor o funkcioniranju Europske unije, a posebno njegov **članak 209.** stavak 1.
i članak 212. stavak 2.,

uzimajući u obzir prijedlog **Europske** komisije,

nakon proslijedivanja nacrta zakonodavnog akta nacionalnim **parlamentima**,

uzimajući u obzir mišljenje Odbora regija¹,

u skladu s redovnim zakonodavnim postupkom²,

* Amandmani: novi ili izmijenjeni tekst označuje se podebljanim kurzivom; a brisani tekst oznakom █.

¹ **SL C ...**

² **Stajalište Europskog parlamenta od ... (još nije objavljeno u Službenom listu) i odluka Vijeća od ...**

budući da:

- (1) Ova je Uredba *sastavni dio politike razvojne suradnje Unije i* jedan od instrumenata kojim se pruža [] pomoć vanjskim politikama Europske unije. Uredba *zamjenjuje* Uredbu Europskog parlamenta i Vijeća br. 1905/2006 od 18. prosinca 2006. o uspostavljanju instrumenta financiranja za razvojnu suradnju [] koja prestaje važiti 31. prosinca 2013.
- (1a) *Ovom bi se Uredbom trebao utvrditi, tijekom cijelog trajanja instrumenta, finansijski okvir koji sačinjava primarnu uputu u okviru značenja iz točke [...] Međuinstitucionalnog sporazuma XX/201Z između Europskog parlamenta, Vijeća i Komisije o suradnji na proračunskim pitanjima i ispravnom finansijskom upravljanju za proračunsko tijelo tijekom godišnjeg proračunskog postupka.*
- (2) Borba protiv siromaštva ostaje glavni cilj razvojne politike Europske unije kako je utvrđeno Ugovorom o Europskoj uniji, glava V., poglavlje 1. i Ugovorom o funkcioniranju Europske unije, dio peti, glava III., poglavlje 1., u skladu s Milenijskim razvojnim ciljevima (MDGs) [] i drugim *obvezama na području razvoja dogovorenima na međunarodnoj razini i* ciljevima koje je *prihvatile* Unija i njezine države članice *u okviru Ujedinjenih naroda i drugih relevantnih međunarodnih foruma.*

(3) Europski konsenzus, *koji je zajednička izjava Vijeća i predstavnika vlada država članica koji se sastaju u Vijeću, Europskog parlamenta i Komisije o razvojnoj politici Europske unije i promjenama u njoj o kojima su se složili, pruža opći politički okvir, smjernice i temeljno polazište za provedbu ove Uredbe.*

(3 novo) S vremenom, pomoć Unije trebala bi doprinijeti smanjenju ovisnosti o pomoći.

(4) *Djelovanje Unije na međunarodnoj sceni trebalo bi biti vođeno načelima koja su nadahnula njezino osnivanje, razvoj i proširenje, a koje ona nastoji promicati diljem svijeta:* demokracija, vladavina prava, univerzalnost i nedjeljivost ljudskih prava i temeljnih sloboda, poštovanje ljudskog dostojanstva, načela jednakosti, solidarnosti i poštovanja načela Povelje Ujedinjenih naroda i međunarodnog prava. Kroz dijalog i suradnju, ona nastoji razviti i učvrstiti privrženost tim *načelima* u partnerskim zemljama, *područjima* i regijama. *Držeći se tih načela, Unija dokazuje svoju dodanu vrijednost kao akter u razvojnim politikama.*

Provodeći ovu Uredbu, a osobito tijekom postupka izrade programa, Unija bi trebala uzeti u obzir prioritete, ciljeve i referentne vrijednosti u odnosu na ljudska prava i demokraciju koje je Unija uspostavila za partnerske zemlje, osobito njezine strategije po zemljama u području ljudskih prava.

- (4a) *Unija potvrđuje da su poštovanje ljudskih prava, temeljnih sloboda, promicanje vladavine prava, demokratskih načela, transparentnosti, dobrog upravljanja, mira i stabilnosti i ravnopravnosti spolova ključni za razvoj partnerskih zemalja i da bi sa pitanja trebala ugraditi u razvojnu politiku Unije, osobito u izradu programa i u sporazume s partnerskim zemljama.*
- (5) *Unija bi trebala tražiti najučinkovitiji način korištenja dostupnih resursa kako bi poboljšala učinak svojeg vanjskog djelovanja. To bi se trebalo postići preko sveobuhvatnog pristupa za svaku zemlju na temelju komplementarnosti, stvaranja sinergija i zajedničkog jačanja programa izrađenih na temelju ove Uredbe i drugih vanjskih instrumenta Unije. Težeći općoj koherentnosti vanjskog djelovanja Unije u skladu s člankom 21. UEU-a, Unija bi trebala osigurati dosljednu razvojnu politiku kako se zahtijeva člankom 208. UFEU-a.*

- (6) Za osiguranje dosljednosti i relevantnosti pomoći i istovremeno za smanjenje troškova koje snose partnerske zemlje, neophodna je *učinkovitost pomoći*, veća *transparentnost, suradnja i* komplementarnost i bolja harmonizacija, usklađivanje s partnerskim zemljama *kao i* koordinacija postupaka kako između Unije i njezinih država članica, tako i u odnosu na druge donatore i sudionike razvoja. Svojom razvojnom politikom Unija se obvezala na provedbu zaključaka Deklaracije o učinkovitosti pomoći donesene na Forumu na visokoj razini o učinkovitosti pomoći održanom u Parizu 2. ožujka 2005., Programa aktivnosti iz Akre donesenog 4. rujna 2008. i otuda proizašle Deklaracije donesene u Busanu 1. prosinca 2011. ■ Ova opredjeljenja *dovela* su do niza zaključaka Vijeća i predstavnika vlada na sastanku država članica i Vijeća poput Kodeksa ponašanja EU-a o dopunjavanju i podjeli rada u okviru razvojne politike■ i Operativnog okvira o učinkovitosti pomoći■.
Trebalo bi ojačati napore i postupke kojima se postiže zajednička izrada programa.
- (7) Pomoć Unije bi trebala podržati Zajedničku strategiju Afrike - EU-a■, *koja je donesena na lisabonskom sastanku na vrhu u prosincu 2007. i njezine naknadne izmjene i dodatke, koja se temelji na zajedničkoj viziji, načelima i ciljevima koji podupiru Strateško partnerstvo Afrike i Europske unije.*

- (8) Unija i države članice trebale bi poboljšati dosljednost, ***koordinaciju*** i komplementarnost svojih politika o razvojnoj suradnji, posebno reagirajući na prioritete partnerskih zemalja i regija na državnoj i regionalnoj razini. Kako bi se osiguralo da se politike razvojne suradnje Unije i država članica međusobno nadopunjaju i učvršćuju, te ***kako bi se osigurala isplativa dostava pomoći uz izbjegavanje preklapanja i praznina, hitno je i prikladno*** osigurati postupke za zajedničku izradu programa koje bi trebalo provoditi kad god je to moguće i primjерeno.
- (9) Politika Unije i međunarodna akcija za razvojnu suradnju vođene su Milenijskim razvojnim ciljevima, kao što je iskorjenjivanje krajnjeg siromaštva i gladi, uključujući sve naknadne izmjene istih, te razvojnim ciljevima, načelima ***i obvezama*** koje je prihvatila Unija i države članice, uključujući ih u kontekst svoje suradnje s Ujedinjenim narodima (UN-om) i drugim nadležnim međunarodnim ***forumima*** na području razvojne suradnje. ***Politika Unije i međunarodno djelovanje također su vodenim njezinim obvezama i dužnostima u odnosu na ljudska prava i razvoj, uključujući između ostalog Opću deklaraciju o ljudskim pravima, Međunarodni pakt o građanskim i političkim pravima, Međunarodni pakt o gospodarskim, socijalnim i kulturnim pravima, Konvenciju o uklanjanju svih oblika diskriminacije žena, Konvenciju Ujedinjenih naroda o pravima djeteta, Deklaraciju UN-a o pravu na razvoj.***

- (9a) *Europska unija izrazito se zalaže za ravnopravnost spolova kao ljudskog prava, pitanje socijalne pravde i temeljnu vrijednost razvojne politike Unije; ravnopravnost spolova ključna je u postizanju svih Milenijskih razvojnih ciljeva; Vijeće je donijelo plan EU-a o ravnopravnosti spolova i jačanju položaja žena u okviru razvoja (2010. - 2015.)*
- (10) Unija bi trebala, *kao pitanje visokog prioriteta*, promicati cjeloviti pristup u odgovoru na krize i katastrofe te na sukobom pogođene i nestabilne situacije, uključujući tranzicijske *i one nakon krize*. Takav pristup bi posebno trebalo graditi na *zaključcima Vijeća o sigurnosti i razvoju* kao odgovoru EU-a na situacije nestabilnosti¹, prevenciji konfliktal¹, kao i na svim relevantnim naknadnim zaključcima.¹

*Osobito u situacijama najhitnijih potreba i kada je siromaštvo najraširenije i najveće, pomoć Unije trebala bi se orijentirati na jačanje otpornosti zemalja i njihovog stanovništva kako bi se oduprlo događanjima. To bi trebalo provesti preko odgovarajuće kombinacije pristupa, odgovora i instrumenata, osobito osiguravajući *uravnoteženu, dosljednu i učinkovitu koordinaciju* pristupa orijentiranih na sigurnost, ¹ humanitarna pitanja i razvoj, time povezujući pomoć, obnovu i razvoj (LRRD).*

- (11) Pomoć Unije trebalo bi usmjeriti tamo gdje ima više utjecaja, uzimajući u obzir njezinu sposobnost da djeluje na globalnoj razini i odgovara na globalne izazove kao što su iskorjenjivanje siromaštva, održivi i uključivi razvoj te promicanje demokracije, dobrog upravljanja, ljudskih prava i vladavine prava u cijelom svijetu, dugoročnu i predvidivu opredijeljenost razvojnoj pomoći i njezinu ulogu u koordinaciji s državama članicama. Da bi se osigurao takav utjecaj, trebalo bi primijeniti načelo diferencijacije ne samo na razini raspodjele sredstava, već i na razini izrade programa, kako bi se osiguralo da bilateralna razvojna suradnja bude namijenjena partnerskim zemljama kojima je najviše potrebna, uključujući države s nestabilnim sustavima i visokom osjetljivosti, te ograničene mogućnosti za pristup drugim izvorima financiranja za potporu vlastitog razvoja. ***EU bi se trebao uključiti u nova partnerstva sa zemljama koje više ne koriste bilateralne programe pomoći, osobito na temelju regionalnih i tematskih programa u okviru tih instrumenata i ostalih tematskih finansijskih instrumenata za vanjsko djelovanje EU-a, osobito novi Instrument partnerstva.***
- (11a) ***Unija bi trebala tražiti najučinkovitiji način korištenja dostupnih resursa kako bi poboljšala učinak svojeg vanjskog djelovanja. To bi se trebalo postići kroz koherentnost i usklađenost instrumenata za vanjsko djelovanje te stvaranjem sinergija između ovog instrumenta, drugih instrumenata vanjskog djelovanja i drugih politika Unije. To bi trebalo također značiti uzajamno jačanje programa izrađenih na temelju tih instrumenata.***

- (12) Ova Uredba trebala bi *omogućiti* veću usklađenost između politika Unije uz *poštovanje dosljednosti politike za razvoj*. Uredba bi trebala omogućiti punu usklađenost s partnerskim zemljama i regijama, *koristeći*, gdje je *moguće*, nacionalne razvojne planove ili slične sveobuhvatne razvojne dokumente *koji su doneseni uz uključivanje odgovarajućih nacionalnih i regionalnih tijela kao temelj za izradu programa djelovanja Unije* i razvijati bolju koordinaciju među donatorima, posebice između Unije i njezinih država članica putem zajedničke izrade programa.
- (13) Budući da ciljeve ove Uredbe ne mogu dostačno ostvariti države članice, nego ih se može, zbog opsega djelovanja, na bolji način ostvariti na razini Unije, Unija može donijeti mјere u skladu s načelima supsidijarnosti i proporcionalnosti određenima u članku 5. Ugovora o Europskoj uniji. U skladu s načelom proporcionalnosti, kako je navedeno u tom članku, ova Uredba ne prelazi ono što je potrebno za ostvarenje tih ciljeva.

- (14) U globaliziranom svijetu, različite unutarnje politike EU-a, poput brige za okoliš, klimatske promjene, ***promicanje obnovljivih energija***, zapošljavanje (uključujući i dostojanstven rad za sve), ravnopravnost spolova, energija, voda, prijevoz, zdravstvo, obrazovanje, pravda i sigurnost, ***kultura***, istraživanje i inovacije, informacijsko društvo, migracije, agrikultura i ribarstvo sve više postaju dio vanjskog djelovanja EU-a. ■

Strategija za pametan, održiv i uključiv rast, ***odnosno modele rasta koji jačaju socijalnu, gospodarsku i teritorijalnu koheziju i omogućuju siromašnima povećanje svojih doprinosa i koristi od nacionalnog bogatstva*** naglašava opredijeljenost Unije da u svojim vanjskim i unutarnjim politikama promiče pametan, uključiv i održiv rast spajanjem triju stupova: gospodarskog, društvenog i okolišnog.

- (15) Borba protiv klimatskih promjena i zaštita okoliša su među velikim izazovima s kojima se suočavaju Unija i ***zemlje u razvoju*** i tu je potrebno hitno ***nacionalno i*** međunarodno djelovanje. ***Ova bi*** Uredba ***stoga*** trebala pridonijeti cilju upućivanjem barem 20 % proračuna EU-a prema društvu s niskom razinom emisije ugljika i otpornom na klimatske promjene, a program za globalna javna dobra i izazove treba koristiti najmanje 25 % svojih sredstava za obuhvaćanje klimatskih promjena i zaštitu okoliša. Akcije u ovim ■ područjima trebaju se, kad god je to moguće, međusobno podržavati u cilju jačanja njihovih učinaka.

(16) *Ova bi Uredba trebala omogućiti Uniji da doprinese ispunjavanju zajedničke obveze Unije u pružanju stalne potpore ljudskom razvoju kako bi se poboljšala kvaliteta ljudskog života.* Da bi se doprinijelo tom cilju, najmanje 25 % od programa globalnih javnih dobara i izazova treba podržati to područje razvoja.

Najmanje 20 % pomoći dodijeljene u okviru ove Uredbe trebalo bi dodijeliti osnovnim socijalnim uslugama, s naglaskom na zdravlje i obrazovanje te srednje obrazovanje, prepoznajući da je potrebna određena fleksibilnost kao u slučajevima kada se radi o iznimnoj pomoći. Podatke koji se odnose na usklađenost trebalo bi uključiti u godišnje izvješće iz članka 13. Zajedničke uredbe o provedbi.

(16a) *U Istanbulskom programu djelovanja najmanje razvijene zemlje obvezale su se uključiti trgovinu i politike razvoja trgovinskih kapaciteta u nacionalne strategije razvoja. Dalje, na 8. Ministarskoj konferenciji WTO-a, ministri su se dogovorili da će i nakon 2011. zadržati razine pomoći za trgovinu koje barem odražavaju prosjek 2006. - 2008. Te napore trebaju pratiti bolja i usmjerena pomoći za trgovinu i olakšavanje trgovine.*

- (16b) *Dok bi se tematskim programima prvenstveno trebalo podupirati zemlje u razvoju, neke zemlje primateljice kao i prekomorske zemlje i područja koji po svojim značajkama ne ispunjavaju zahtjeve da ih se odredi kao primateljice službene razvojne pomoći* od strane Odbora za razvojnu pomoć *Organizacije za gospodarsku suradnju i razvoj i koje su obuhvaćeni člankom 1. stavkom 1. točkom (b), također bi trebali biti prihvativi za tematske programe pod uvjetima iz ove Uredbe.*
- (17) *Pojedinosti* o područjima suradnje i [] prilagodbe [] finansijskih *dodjela po zemljopisnom području i području suradnje* ne predstavljaju ključne elemente ove Uredbe. Stoga bi Komisiji trebalo dodijeliti ovlast za donošenje akata u skladu s člankom 290. Ugovora o funkcioniranju Europske unije za ažuriranje *elemenata* Prilogâ ovoj Uredbi koji *uključuju pojedinosti* o područjima suradnje po geografskim i tematskim programima i [] okvirne finansijske dodjele po *zemljopisnom području i području suradnje*. Posebno je važno da Komisija tijekom svojeg pripremnog rada provede odgovarajuća savjetovanja, uključujući i ona na razini stručnjaka. Prilikom pripreme i izrade delegiranih akata, Komisija bi trebala dodatno osigurati da se relevantni dokumenti Europskom parlamentu i Vijeću šalju istodobno, na vrijeme i na primjerен način.

- (18) Radi osiguranja jedinstvenih uvjeta za provedbu ove Uredbe, provedbene ovlasti trebalo bi dodijeliti Komisiji.
- (19) Provedbene ovlasti koje se odnose na dokumente o strategiji i višegodišnje indikativne programe iz članaka 11. do 14. ove Uredbe trebalo bi izvršavati u skladu s Uredbom (EU) br. 182/2011 Europskog parlamenta i Vijeća od 16. veljače 2011. o utvrđivanju pravila i općih načela u vezi s mehanizmima nadzora država članica nad izvršavanjem provedbenih ovlasti Komisije. Uzimajući u obzir prirodu tih provedbenih akata, posebno njihovu prirodu koja je usmjerena na politiku ili njihove proračunske implikacije, postupak ispitivanja bi se, općenito, trebao koristi za njihovo donošenje, osim za mjere malih finansijskih razmjera. Komisija bi trebala odmah donijeti primjenjive provedbene akte kada u valjano utočenjem slučajevima povezanima s potrebom za brzom reakcijom Unije to zahtijevaju krajnje hitni razlozi.

- (20) Zajednička pravila i postupci za provedbu instrumenata Unije za vanjsko djelovanje propisani su Uredbom (EU) br. ... / ... Europskog parlamenta i Vijeća od ... u dalnjem tekstu „Zajednička uredba o ***provedbi***”.
- (21) Organizacija i funkcioniranje Europske službe za vanjsko djelovanje opisani su u Odluci Vijeća 2010/427/EU, **I**

DONIJELI SU OVU UREDBU:

GLAVA I.

UVOD

Članak 1.

Predmet i područje primjene

1. Na temelju ove Uredbe, Unija može financirati:
 - (a) geografske programe čiji je cilj potpora ***razvojne*** suradnje sa zemljama u razvoju ***koje*** su uključene u popis primatelja Službene razvojne pomoći Odbora za razvojnu pomoć Organizacije za ekonomsku suradnju i razvoj, ***osim***:
 - i. ***zemalja potpisnica Sporazuma o partnerstvu AKP-EU potписаног у Cotonouu 23. lipnja 2000., osim Južne Afrike;***
 - ii. ***zemalja koje ispunjavaju zahtjeve Europskog fonda za razvoj;***
 - iii. ***zemalja koje ispunjavaju uvjete za financiranje od strane Unije u okviru Instrumenta za europsko susjedstvo;***
 - iv. ***korisnika koji ispunjavaju uvjete za financiranje od strane Unije u okviru Pretpriistupnog instrumenta.***

- (b) tematske programe koji se odnose na globalna javna dobra i izazove koji su *povezani s razvojem* i podupiru organizacije civilnog društva i lokalne vlasti u *partnerskim* zemljama *u skladu sa stavkom 1.(a) i zemljama* koje ispunjavaju zahtjeve za *financiranje* od strane Unije *u okviru instrumenata iz stavka 1.(a) točaka i. do iii. i zemalja i područja koji su obuhvaćeni* Odlukom Vijeća ┌ o pridruživanju prekomorskih zemalja i područja ┌ .
- (c) panafrički program za potporu *strateškog partnerstva između Unije i Afrike i njegove naknadne izmjene i dodaci za pokrivanje aktivnosti transregionalne, kontinentalne ili globalne prirode u Africi i s Afrikom.*
2. Za potrebe ove Uredbe, regija se definira kao geografski entitet koji se sastoji od više od jedne zemlje u razvoju.
3. *Zemlje i područja iz stavaka 1.(a), 1.(b) i 1.(c) u dalnjem tekstu su „partnerske zemlje” ili „partnerske regije”, ovisno o njihovom relevantnom geografskom, tematskom ili panafričkom programu.*

GLAVA II.

CILJEVI I OPĆA NAČELA

Članak 2.

Ciljevi i kriteriji odabira

1. U okviru načela i ciljeva vanjskog djelovanja Unije *i Europskog konsenzusa o razvoju i dogovorenim promjenama:*

- (a) glavni cilj suradnje prema ovoj Uredbi je smanjenje i dugoročno iskorjenjivanje siromaštva,
- (b) **sukladno tom glavnom cilju**, suradnja u okviru ove **Uredbe** također će doprinijeti █ :
 - i. podupiranju održivog gospodarskog i socijalnog razvoja, te razvoja zaštite okoliša, i
 - ii. **učvršćivanju i podupiranju** demokracije, vladavine prava, dobrog upravljanja █, ljudskih prava *i relevantnih načela međunarodnog prava.*

1.a *Suradnja u okviru ove Uredbe doprinijet će postizanju međunarodnih obveza i ciljeva u području razvoja o kojima se Unija dogovorila, osobito Milenijskih razvojnih ciljeva i novih razvojnih ciljeva nakon 2015.*

Postizanje ovih ciljeva mjeri se relevantnim pokazateljima, *uključujući pokazatelje ljudskog razvoja*, osobito Milenijski razvojni cilj 1. za podstavak (a) i Milenijske razvojne ciljeve od 1. do 8. za podstavak (b), te, **nakon 2015.** druge pokazatelje o kojima su se Unija i države članice dogovorile **na međunarodnoj razini.**

2. Aktivnosti po geografskom programu imaju za cilj ispuniti kriterije za Službenu razvojnu pomoć koje je ustanovio Odbor za razvojnu pomoć Organizacije za ekonomsku suradnju i razvoj.

Aktivnosti po panafričkim i tematskim programima imaju za cilj ispuniti kriterije za Službenu razvojnu pomoć koje je ustanovio Odbor za razvojnu pomoć Organizacije za ekonomsku suradnju i razvoj, osim ako:

(a) *odnose se na zemlju primateljicu ili područje koje se ne kvalificira kao zemlja primateljica Službene razvojne pomoći sukladno Odboru za razvojnu pomoć Organizacije za ekonomsku suradnju i razvoj, ili*

- (b) kroz aktivnost se provodi globalna inicijativa, glavni cilj politike Unije ili neka međunarodna dužnost ili obveza Unije iz članka **6., stavka 2.** a aktivnost nema obilježja za ispunjavanje kriterija za Službenu razvojnu pomoć.
- 2.a** Ne dovodeći u pitanje točku (a), najmanje 90% rashoda predviđenih panafričkim i tematskim programima *i najmanje 95% predviđenih rashoda* za tematske programe ispunjavaju kriterije za Službenu razvojnu pomoću koje je ustanovio Odbor za razvojnu pomoć Organizacije za ekonomsku suradnju i razvoj,
3. Aktivnosti obuhvaćene Uredbom Vijeća (EZ) br. 1257/96 od 20. lipnja 1996. o humanitarnoj pomoći [a koje ispunjavaju uvjete za financiranje po toj Uredbi, ne financiraju se, u načelu, po ovoj Uredbi, osim gdje postoji potreba za osiguranjem kontinuiteta suradnje od krize do stabilnih uvjeta za razvoj. *U tim slučajevima, posebna pozornost daje se učinkovitom povezivanju humanitarne pomoći, obnove i razvojne pomoći.*

Članak 3.
Opća načela

1. Putem dijaloga i suradnje s partnerskim zemljama i regijama, Unija nastoji promicati, razvijati i učvrstiti načela demokracije, vladavine prava i poštovanja ljudskih prava i temeljnih sloboda na kojima je i sama osnovana.
2. U provedbi ove Uredbe i s ciljem osiguranja velikog utjecaja na pomoć Unije, provodi se diferencirani pristup zemljama partnerima kako bi se osiguralo da im se pruži specifična, individualno prilagođena suradnja temeljena na njihovim:
 - (a) potrebama, *na temelju kriterija kao što su stanovništvo, prihod po glavi, rasprostranjenost siromaštva, raspodjela prihoda i razina ljudskog razvoja.*
 - (b) sposobnostima da stvore i dobiju pristup finansijskim izvorima te apsorpcijskim sposobnostima; i,
 - (c) obvezama i uspješnosti, *na temelju kriterija i pokazatelja kao što su politički, gospodarski i socijalni napredak, ravnopravnost spolova, napredak u dobrom upravljanju i ljudskim pravima i učinkovito korištenje pomoći, osobito način na koji zemlja koristi rijetke resurse za razvoj, počevši sa svojim vlastitim resursima;*

(d) potencijalnom učinku razvojne pomoći EU-a.

Provodi se diferencirani pristup uzimajući u obzir mogući utjecaj pomoći Unije u partnerskim zemljama.

Zemlje kojima je pomoć najpotrebnija, posebice najslabije razvijene zemlje, zemlje s niskim prihodom i zemlje u krizi, postkriznom razdoblju, u nestabilnom i osjetljivom stanju, imaju prednost u postupku dodjele sredstava.

Kriteriji poput indeksa ljudskog razvoja, indeksa gospodarske osjetljivosti i ostalih relevantnih pokazatelja uključujući one za mjerjenje siromaštva i nejednakosti u zemlji uzimaju se u obzir kako bi se ojačala analiza i identifikacija zemalja kojima je pomoć najviše potrebna.

3. *U sve programe uključuju se horizontalna pitanja kako su definirana Europskim konsenzusom. Dodatno, po potrebi se uključuju pitanja prevencije konfliktata, dostojanstvenog rada i klimatskih promjena.*

Smatra se da horizontalna pitanja iz gornjeg podstavka obuhvaćaju sljedeće dimenzije na koje osobito treba skrenuti pozornost kada tako zahtijevaju okolnosti: nediskriminacija, prava osoba koje pripadaju manjinama, prava osoba s invaliditetom, prava osoba s bolestima opasnima za život i drugih osjetljivih skupina, osnovna radna prava i socijalno uključenje, osnaživanje žena, vladavina prava, izgradnja kapaciteta parlamenta i civilnog društva i promicanje dijaloga, sudjelovanje i pomirenje, kao i izgradnja institucija, uključujući na lokalnoj i regionalnoj razini.

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5. U provedbi ove Uredbe, *osigurava se, u skladu* s člankom 208. Ugovora o funkcioniranju EU-a, *dosljedna razvojna politika i* usklađenost | s ostalim područjima vanjskog djelovanja Unije i s ostalim relevantnim politikama Unije. |

U tom *pogledu*, mjere koje se financiraju prema ovoj Uredbi, uključujući one kojima upravlja EIB, temelje se na politikama *razvojne* suradnje navedenim u instrumentima poput sporazuma, izjava i akcijskih planova između Unije i trećih zemalja i regija na koje se to odnosi, i *relevantnih* odluka | Unije, posebnih interesa, prioriteta politike i strategija.

6. *Unija* i države članice traže redovite i česte razmjene informacija, uključujući one s ostalim donatorima te promiču bolju koordinaciju između donatora i komplementarnost radeći prema zajedničkim višegodišnjim programima ┌ koji se temelje na smanjenju siromaštva partnerskih zemalja ili istovjetnim strategijama razvoja. *Oni mogu poduzimati zajedničke akcije, uključujući analize i odgovore na te strategije uz identifikaciju ključnih područja intervencije i podjele rada unutar zemlje* putem zajedničkih misija na razini svih donatora i korištenjem sufinanciranja i delegiranih dogovora o suradnji.
7. *Unija* promiče multilateralni pristup globalnim izazovima *i u vezi s tim surađuje s državama članicama*. *Po* potrebi, potiče suradnju s međunarodnim organizacijama i tijelima i drugim bilateralnim donatorima.
7.a Odnosi između Unije i njezinih država članica s partnerskim zemljama temelje se na zajedničkim vrijednostima ljudskih prava, demokracije i vladavine prava, kao i na načelima vlasništva i obostrane odgovornosti, te na njihovom promicanju.
Nadalje, odnosi s partnerskim zemljama u obzir uzimaju njihove obveze i rezultate u provedbi međunarodnih sporazuma i ugovornih odnosa s Unijom.

8. Unija promiče učinkovitu suradnju s partnerskim zemljama i regijama u skladu s najboljom međunarodnom praksom. Ona usklađuje svoju podršku s partnerskim nacionalnim i regionalnim strategijama razvoja, politikama reformi i postupcima *kad god je to moguće i podržava demokratsko vlasništvo, kao i domaću i uzajamnu odgovornost*. U tu svrhu, ona promiče:
- (a) postupak razvoja koji je *transparentan i* koji partnerska zemlja ili regija vodi i ima, *uključujući promicanje lokalne stručnosti;*
 - (aa) *(novo) pristup koji se temelji na pravima koja obuhvaćaju sva ljudska prava, bilo da se radi o civilnim i političkim, socijalnim i kulturnim, kako bi se načela ljudskih prava uključilo u provedbu ove Uredbe, pomoglo partnerskim zemljama u provođenju njihovih obveza u pogledu međunarodnih ljudskih prava i poduprlo imatelje prava, s naglaskom na siromašne i osjetljive skupine, u traženju njihovih prava;*
 - (b) *osnaživanje stanovništva partnerskih zemalja, uključive i participativne pristupe razvoju i široku uključenost svih segmenata društva u razvojni proces te u nacionalni i regionalni dijalog, uključujući politički dijalog. posebnu pozornost trebalo bi обратити на одговарајуће улоге парламената, lokalnih vlasti i civilnog društva, између остalog у односу на судјелovanje, надзор и одговорност;*

(c) učinkovite █ načine suradnje i instrumente *u skladu s najboljim praksama Odbora za razvojnu pomoć Organizacije za ekonomsku suradnju i razvoj* kako je navedeno u članku 4. Zajedničke uredbe *o provedbi, uključujući korištenje inovativnih instrumenata* kao što je spajanje bespovratnih sredstava i kredita i drugih mehanizama podjele rizika u odabranim sektorima i zemljama, te angažman privatnog sektora, *s dužnom pozornošću posvećenom pitanjima održivosti duga, broja takvih mehanizama i zahtjeva za sustavnu procjenu učinka u skladu s ciljevima ove Uredbe, osobito smanjenja siromaštva.*

svi programi, intervencije i načini *suradnje* i instrumenti prilagođavaju se posebnim okolnostima svake partnerske zemlje ili regije, s naglaskom na pristupu koji se temelji na programu, isporuci predvidljivog financiranju pomoći, mobilizaciji privatnih resursa, *uključujući one iz privatnog sektora, općem i nediskriminirajućem pristupu općim uslugama i* razvoju i korištenju sustava zemlje█ ;

(ca) *(novo) pokretanje domaćeg prihoda preko ojačavanja fiskalne politike partnerskih zemalja s ciljem smanjenja siromaštva i ovisnosti o pomoći;*

- (d) *poboljšan utjecaj politika i izrada programa kroz koordinaciju i usklađivanje između donatora kako bi se smanjilo preklapanje i duplicitiranje, poboljšala komplementarnost i podržale inicijative na razini svih donatora;*
- (e) *koordinaciju u partnerskim zemljama i regijama pomoću dogovorenih smjernica i načela najbolje prakse u koordinaciji i učinkovitosti pomoći;*
- (ea) *(novo) pristupe razvoju koji se temelje na rezultatima uključujući korištenjem okvira transparentnih rezultata na razini zemlje, koji se temelje na, gdje je to prikladno, međunarodno dogovorenim ciljevima i pokazateljima poput onih o Milenijskim razvojnim ciljevima, za procjenu i priopćavanje rezultata, uključujući realizaciju, ishode i učinke razvojne pomoći*

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9. Unija podržava, *po potrebi*, provedbu bilateralne, regionalne i multilateralne suradnje i dijaloga, *razvojnu dimenziju* partnerskih sporazuma i trostrane suradnje. *Unija također promiče suradnju jug-jug.*

- 9.a** *Komisija izvješćuje Europski parlament i s njim redovito razmjenjuje stajališta.*
- 9.b** *U svojim aktivnostima razvojne suradnje Unija se po potrebi oslanja na iskustva reforme i tranzicije država članica i stečena znanja te ih dijeli.*
10. Komisija *ima* redovite razmjene informacija s civilnim društvom.
- 10.a** *Pomoći Uniji u okviru ove Uredbe ne koristi se za financiranje nabave oružja ili municije niti operacija koje imaju vojnu ili obrambenu svrhu.*

GLAVA III.

CILJEVI I OPĆA NAČELA

Članak 4.

Provredba *pomoći* Unije

U skladu s ukupnom svrhom i područjem primjene, ciljevima i općim načelima ove Uredbe, pomoć Unije provodi se kroz geografske, tematske i panafričke programe te u skladu sa Zajedničkom uredbom *o provedbi*.

Članak 5.

Geografski programi

1. Aktivnosti suradnje Unije na temelju ovog članka primjenjivat će se na aktivnosti nacionalne, regionalne, transregionalne i kontinentalne prirode.
2. Geografski program obuhvaća suradnju u odgovarajućim područjima aktivnosti █ :
 - (a) regionalno s partnerskim zemljama *iz članka 1. (1.a), osobito s ciljem olakšanja učinka stupnjevanja u partnerskim zemljama koje pokazuje velike i rastuće nejednakosti, i*

- (b) bilateralno s partnerskim zemljama *koje nisu zemlje gornjeg srednjeg prihoda na popisu Odbora za razvojnu pomoć OECD-a i zemalja u razvoju ili koje nemaju BDP veći od 1 posto svjetskog BDP-a.*
- (c) *U iznimnim slučajevima, uključujući s ciljem potpunog ukidanja dodjele razvojne pomoći, bilateralna suradnja može se također provoditi s ograničenim brojem zemalja kada je to valjano utemeljeno u skladu s kriterijima iz članka 3. stavka 2. Postupno ukidanje vrši se u bliskoj koordinaciji s drugim donatorima; završetak ovog oblika suradnje će, kad god je prikladno, pratiti dijalog o politici s dotičnom zemljom koncentrirajući se na najsiromašnije i najosjetljivije skupine.*

3. Geografski programi izvode se iz područja suradnje koji se nalaze u **I** Europskom konsenzusu *o razvoju i njegovim naknadnim dogovorenim promjenama, kao i iz sljedećih područja suradnje* kako bi se postigli ciljevi iz članka 2. stavka 1.:

I. Ljudska prava, demokracija i dobro upravljanje:

- (a) *demokracija, ljudska prava i vladavina prava;*
- (b) *jednakost spolova, osnaživanje i jednake mogućnosti za žene;*
- (c) *upravljanje u javnom sektoru na središnjoj i lokalnoj razini;*
- (d) *porezna politika i uprava;*
- (e) *borba protiv korupcije;*
- (f) *civilno društvo i lokalne vlasti;*
- (g) *Podupiranje i zaštita prava djece*

II. uključivi i održivi rast za ljudski razvoj:

- (a) *zdravlje, obrazovanje, socijalna zaštita, zapošljavanje i kultura;*
- (b) *poslovno okruženje, regionalna integracija i svjetska tržišta;*
- (c) *održiva poljoprivreda, sigurnost opskrbe hranom i sigurnost prehrane;*
- (ca) *održiva energija*
- (d) *upravljanje prirodnim resursima, uključujući zemljom, šumarstvom i vodom;*
- (e) *Klimatske promjene i okoliš.*

III. Ostala područja od značaja za razvoj:

- (a) *useljavanje i azil;*
- (b) *povezivanje humanitarne pomoći i razvojne suradnje;*
- (c) *otpornost i smanjenje rizika od katastrofa;*
- (d) *razvoj i sigurnost, uključujući prevenciju konflikata.*

3.a Dodatne informacije o gore navedenim područjima suradnje ┌ nalaze se u Prilogu IV.

4. Unutar programa svake zemlje, Unija će, u načelu, usredotočiti svoju pomoć na ***najviše*** tri sektora, ***o čemu treba kad god je moguće postići sporazum s partnerskom zemljom.***

Članak 6.
Tematski programi

1. U skladu s ukupnom svrhom i područjem primjene, ciljevima i općim načelima ove Uredbe, aktivnosti poduzete kroz tematske programe dodaju novu vrijednost i ┌ komplementarne su i **koherentne** s aktivnostima koje se financiraju u okviru geografskih programa.
2. U izradi programa tematskih aktivnosti primjenjuju se sljedeći uvjeti:
 - (a) ciljevi politike Unije iz ove Uredbe ne mogu se postići na odgovarajući ili učinkovit način putem geografskih programa, **uključujući, ako je potrebno, aktivnosti u slučajevima kada nema geografskog programa ili kada je on suspendiran ili kada nema dogovora o aktivnosti s dotičnom partnerskom zemljom;**
 - (b) aktivnosti koje se odnose na globalne inicijative koje podržavaju međunarodno dogovorene **razvojne** ciljeve ili globalna javna dobra i izazove ┌ ;

- (c) aktivnosti *su* multiregionalne, *uključuju više zemalja* i/ili su horizontalne;
 - (d) *aktivnosti provode* inovativne politike █ ili inicijative s ciljem informiranja o budućim aktivnostima;
- ili*
- (e) aktivnosti █ odražavaju glavni cilj politike Unije ili neku međunarodnu dužnost ili obvezu Unije *koje je važna za razvojnu suradnju*.

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3. *Osim ako je izričito određeno ovom Uredbom, tematske aktivnosti izravno će koristiti zemljama ili državnim područjima iz točke (b) članka 1. stavka 1. i provodit će se u tim zemljama ili državnim područjima. Te se aktivnosti mogu provoditi izvan tih zemalja ili državnih područja kada je to najučinkovitiji način postizanja ciljeva određenih programa.*

Članak 7.
Globalna javna dobra i izazovi

1. Cilj je pomoći Unije u sklopu programa „Globalna javna dobra i izazovi” podržati aktivnosti *iz ovih područja:*
 - (a) *okoliš i klimatske promjene;*
 - (b) *održiva energija;*
 - (c) *ljudski razvoj, uključujući dostojanstveni rad, socijalnu pravdu i kulturu;*
 - (d) *sigurnost opskrbe hranom i sigurnost prehrane i održiva poljoprivreda; i*
 - (e) *migracija i azil.*
2. *Dodatne informacije o gore navedenim* područjima suradnje ┌ nalaze se u Prilogu V., **Dio A.**

Članak 8.

Organizacije civilnog društva i lokalne vlasti

1. Cilj programa je *jačanje organizacija civilnog društva i lokalnih vlasti u partnerskim zemljama i, kada tako propisuje ova Uredba, u Uniji i korisnika koji ispunjavaju zahtjeve Uredbe o pretpriступnoj pomoći. Inicijative koje će se financirati provode prvenstveno organizacije civilnog društva i lokalne vlasti. Kada je primjereno, za osiguranje njihove učinkovitosti, inicijative mogu provoditi ostali akteri za korist organizacija dotičnih organizacija civilnog društva i lokalnih vlasti.*
2. *Dodatne informacije* o područjima *suradnje* iz ovog članka █ nalaze se u Prilogu V., *Dijelu B.*

Članak 9.
Panafrički program

1. Unijinom pomoći *financira se panafrički program za potporu strateškog partnerstva između Unije i Afrike i njegove naknadne izmjene i dodaci*, koji obuhvaća aktivnosti transregionalne, kontinentalne ili globalne prirode *u Africi i s Afrikom*.
 2. Panafrički program *komplementaran je i uskladen s drugim programima iz ove Uredbe, kao i s drugim finansijskim* instrumentima vanjskog djelovanja Unije, posebice Europskim fondom za razvoj i Instrumentom za europsko susjedstvo.
- |
3. *Dodatne informacije o područjima suradnje iz ovog članka nalaze se u Prilogu VI.*

GLAVA IV.

IZRADA PROGRAMA I RASPODJELA SREDSTAVA

Članak 10.

Opći okvir za izradu programa i dodjelu sredstava

1. Za geografske programe, višegodišnji indikativni programi za partnerske zemlje i regije sastavljaju se na temelju strateškog dokumenta, kao što je predviđeno člankom 11.

Za tematske programe, višegodišnji indikativni programi sastavljaju se kao što je predviđeno člankom 13.

Panafrički višegodišnji indikativni program sastavlja se kao što je predviđeno člankom 13.a.

Komisija donosi provedbene mjere **sukladno članku 2.** Zajedničke uredbe **o provedbi** na temelju programskih dokumenata iz članaka **11., 13. i 13.a.**

Potpore Unije također može poprimiti oblik mjera koje nisu obuhvaćene ovim dokumentima, kao što je predviđeno člankom 2. Zajedničke uredbe *o provedbi*.

Pri izradi programa u okviru ove Uredbe obraća se dužnu pozornost ljudskim pravima i demokraciji u partnerskim zemljama.

2. Unija i njezine države članice međusobno se savjetuju u ranoj fazi procesa *i tijekom čitavog* postupka izrade programa a radi promicanja *koherentnosti*, nadopunjavanja i usklađivanja svojih aktivnosti suradnje. To savjetovanje može dovesti do zajedničke izrade programa od strane Unije i njezinih država članica. *Unija se također savjetuje s ostalim donatorima i akterima razvoja uključujući, predstavnike civilnog društva, lokalne vlasti i ostala provedbena tijela. O tome se izvješćuje Europski parlament.*
3. Komisija *donosi* višegodišnje indikativne dodjele unutar svakog geografskog programa u skladu s općim načelima ove Uredbe, na temelju kriterija utvrđenih u članku 3. stavku 2. uzimajući u obzir, uz specifičnosti različitih programa, posebne poteškoće s kojima se suočavaju zemlje ili regije koje su u krizi, osjetljive, nestabilne, u sukobu ili na području koje je skljono prirodnim katastrofama.

4. Sredstva mogu ostati nedodijeljena s *ciljem osiguravanja odgovarajućeg odgovora Unije u slučajevima nepredviđenih okolnosti, na primjer u osjetljivim, kriznim situacijama i situacijama nakon krize, kao i da se omogući sinkronizacija s ciklusima strategija partnerskih zemalja i izmjena okvirnih dodjela kao rezultata revizija koje se provode u skladu s člankom 11. stavkom 5., člankom 13. stavkom 2. i člankom 13.a stavkom 3.* Ovisno o njihovoj naknadnoj dodjeli ili preraspodjeli *u skladu s postupcima iz članka 14.*, o korištenju tih sredstava odlučuje se naknadno u skladu sa Zajedničkom uredbom o *provedbi*.

Dio sredstava koja su ostala nedodijeljena na razini svake vrste programa ne prelaze 5%, osim za potrebe sinkronizacije i za zemlje iz članka 12. stavka 1.

5. *Ne dovodeći u pitanje članak 2. stavak 2., Komisija može uključiti određenu finansijsku dodjelu za pomoć partnerskim zemljama i regijama u jačanju njihove suradnje sa susjednim najudaljenijim regijama Unije.*
- 5.a *(novo) Pri bilo kakvoj izradi ili reviziji programa nakon objave izvješća iz članka 16. Zajedničke uredbe o provedbi uzimaju se u obzir rezultati, nalazi i zaključci iz tog izvješća.*

Članak 11.

Programski dokumenti za geografske programe

- 1. *Unijini dokumenti navedeni u ovom članku smatraju se strateškim programskim dokumentima u smislu članka 2. Zajedničke uredbe o provedbi.*
- 1.a *Priprema, provedba i pregled svih programskih dokumenata iz tog članka mora poštovati načela usklađenosti politike za razvoj te načela učinkovitosti pomoći: demokratsko vlasništvo, partnerstvo, koordinacija, harmonizacija, usklađenost s partnerskom zemljom ili regionalnim sustavima, transparentnost, uzajamna odgovornost i usmjerenost prema rezultatima kako je utvrđeno člankom 3. stavcima od 5. do 8. Kada je to moguće, programsko razdoblje mora biti sinkronizirano sa strateškim ciklusima partnerske zemlje.*

Programski dokumenti za geografske programe, uključujući zajedničke programske dokumente, utemeljeni su, u mjeri u kojoj je to moguće, na dijalogu između Unije, država članica i partnerske zemlje ili regije, uključujući nacionalne i regionalne parlamente te uključuju civilno društvo i lokalne vlasti, kao i druge stranke kako bi se povećalo vlasništvo procesa i potaknula potpora nacionalnim razvojnim strategijama, a posebno onima kojima je cilj smanjenje siromaštva.

1. Dokumenti o strategiji su dokumenti koje je sastavila Europska unija kako bi se osigurao jedinstveni okvir za razvojnu suradnju između Unije i dolične partnerske zemlje ili regije, u skladu s ukupnom svrhom i područjem primjene, ciljevima, načelima i ***odredbama*** politika ***navedenim u ovoj Uredbi***.

1. []

2. Dokumenti o strategiji ***revidiraju se*** sredinom roka [] ili ***ad hoc, prema*** potrebi, u skladu, kako je prikladno, s načelima i postupcima utvrđenim u sporazumima o partnerstvu i suradnji sklopljenim s partnerskim zemljama i regijama.
3. Dokumenti o strategiji izrađuju se za [] partnerske zemlje ili regije []. Dokumenti o strategiji neće biti potrebni za:
 - (a) zemlje koje imaju nacionalnu strategiju razvoja u obliku nacionalnog razvojnog plana ili sličan razvojni dokument prihvaćen od strane Komisije kao temelj za odgovarajući višegodišnji indikativni program prilikom donošenja [] ovog posljednjeg dokumenta;

- (aa) zemlje regija za koje je izrađen zajednički okvirni dokument o utvrđivanju sveobuhvatne strategije Unije, uključujući posebno poglavlje o razvojnoj politici;
- (b) zemlje ili regije za koje je dogovoren zajednički višegodišnji programski dokument između Unije i država članica;
- (c) regije koje su zajednički dogovorile strategiju sa EU-om █ ;
- (d) zemlje s kojima Unija namjerava sinkronizirati svoju strategiju s novim nacionalnim ciklusom koji počinje prije 1. siječnja 2017.; u takvim slučajevima višegodišnji indikativni program za prijelazno razdoblje između 2014. i početka novog nacionalnog ciklusa sadrži Unijin odgovor za tu zemlju.
- (e) zemlje ili regije koje primaju sredstava od Unije prema ovoj Uredbi, a koja ne prelaze 50 milijuna eura za razdoblje 2014.-2020. █ ;

U slučajevima pod (aa) i (e), višegodišnji indikativni program za tu zemlju ili regiju sadrži razvojnu strategiju Unije za tu zemlju ili regiju.

5. Višegodišnji indikativni programi izrađuju se za svaku zemlju ili regiju koja prima indikativan dio sredstava Unije iz ove Uredbe. Osim zemalja ili regija spomenutih ***u stavku 3. drugom podstavku točki (d) i*** u stavku 4., ovi dokumenti izrađuju se na temelju dokumenata o strategiji ili ***istovjetnih*** dokumenata ***kako*** je navedeno u ***stavku 3.***

Zajednički višegodišnji programski dokument predviđen u stavku 3. točki (b) može se, za potrebe ove Uredbe, smatrati višegodišnjem indikativnom programom, ako je usklađen s načelima i uvjetima utvrđenim u ovom stavku, uključujući i indikativnu raspodjelu finansijskih sredstava, te s postupcima predviđenim u članku 14.

Višegodišnji indikativni programi utvrđuju prioritetna područja odabrana za financiranje od strane Unije, specifične ciljeve, očekivane rezultate, ***jasne, specifične i transparentne*** pokazatelje uspješnosti ***■*** i indikativne finansijske ***raspodjele***, kako ukupno tako i po prioritetnom području ***te, prema potrebi, modalitete pomoći***. Gdje je to prikladno, ***finansijske dodjele*** mogu se izvršiti po redu i/ili neka finansijska sredstva mogu ostati neraspodijeljena. ***Ne mogu se predvidjeti nikakve indikativne finansijske dodjele izvan razdoblja predviđenog člankom 20. stavkom 1., osim ako posebno ne podliježu dostupnosti resursa izvan tog razdoblja.***

Višegodišnji indikativni programi *mogu* se *revidirati* ako je potrebno, *uključujući za učinkovitu provedbu*, uzimajući u obzir □ srednjoročne ili *ad hoc* revizije o dokumentu o strategiji na kojima se temelji.

Indikativne dodjele, prioriteti, posebni ciljevi, očekivani rezultati, pokazatelji uspješnosti i, gdje je to primjenjivo, modaliteti pomoći također se mogu prilagoditi kao rezultat revizija, posebno nakon stanja krize ili postkriznog stanja.

*Takve revizije trebale bi obuhvatiti potrebe te posvećenost i napredak postignut na dogovorenim ciljevima za razvoj, uključujući i ciljeve koji se odnose na *ljudska prava, demokraciju, vladavinu prava i dobro upravljanje*.*

- 5.a *Komisija izvještava o zajedničkoj izradi programa s državama članicama u okviru izvješća navedenog u članku 16. Zajedničkih pravila o provedbi te uvrštava preporuke u slučajevima kada zajednička izrada programa nije u cijelosti postignuta.*

Članak 12.

Izrada programa za zemlje u krizi, postkriznom ili nestabilnom stanju

- Pri izradi programskih dokumenata za zemlje *i regije* u krizi, postkriznom, **nestabilnom stanju ili u opasnosti od prirodnih katastrofa**, uzima se u obzir ranjivost, posebne potrebe i okolnosti u dotičnim zemljama ili regijama.

Trebalo bi posvetiti odgovarajuću pažnju sprečavanju sukoba, izgradnji države i mira, postkonfliktnom pomirenju i mjerama obnove, **kao i ulozi žena i pravima djece u tim procesima.**

Gdje su partnerske zemlje ili *regije* izravno uključene u kriznu, postkriznu ili nestabilnu situaciju ili su pogodjene njome, poseban naglasak mora se staviti na ubrzanje koordinacije između oporavka, rehabilitacije i razvoja **među svim relevantnim sudionicicima** kako bi se pomoglo **u prijelazu iz izvanrednog stanja u razvojnu fazu**. Programima za nestabilne zemlje i regije ili one koje su redovito predmet prirodnih katastrofa, mora se osigurati spremnost za nepogodu i prevenciju te za upravljanje posljedicama takvih nepogoda **te se mora riješiti osjetljivost na šokove i poboljšati otpornost.**

- Iz valjano utemeljenih krajnje hitnih razloga, kao što su** slučajevi krize **ili izravne** prijetnje demokraciji, vladavini prava, ljudskim pravima i temeljnim slobodama, **postupak predviđen** u članku **14.** stavku **3.** može se koristiti za izmjenu **dokumenata** iz članka **11.**

Takve ocjene mogu predložiti određenu i prilagođenu strategiju kako bi se osigurao prijelaz na dugoročnu suradnju i razvoj, promicanjem bolje koordinacije i prijelaz između instrumenata humanitarne i razvojne politike.

Članak 13.

Programski dokumenti za tematske programe

- 1. ***Unijini dokumenti navedeni u ovom članku smatraju se strateškim programskim dokumentima u smislu članka 2. Zajedničke uredbe o provedbi.***

1. ***Višegodišnji*** indikativni programi za tematske programe utvrđuju strategiju Unije za dotičnu temu ***te u odnosu na Program javnih dobara i izazova za svako područje suradnje***, prioritete odabrane za financiranje od strane Unije, specifične ciljeve, očekivane rezultate, ***jasne, specifične i transparentne*** pokazatelje uspješnosti, međunarodnu situaciju i aktivnosti glavnih partnera ***te, prema potrebi, modalitetete pomoći.***

Gdje je to moguće, utvrđuju se resursi i interventni prioriteti za sudjelovanje u globalnim inicijativama. Višegodišnji indikativni programi moraju biti ***komplementarni s geografskim programima i*** u skladu s dokumentima iz članka 11. stavka 3.

2. Višegodišnji indikativni programi osiguravaju indikativnu finansijsku raspodjelu, ukupnu, ***po području suradnje*** i prioritetu █. Gdje je to prikladno, ova raspodjela može ići po redu i/ili neka finansijska sredstva mogu ostati neraspodijeljena.

Višegodišnji indikativni programi ***moraju se revidirati***, ako je potrebno, za učinkovitu provedbu, uzimajući u obzir █ srednjoročne i *ad hoc* revizije.

Indikativne dodjele, prioriteti, očekivani rezultati, pokazatelji uspešnosti i, gdje je to primjenjivo, modaliteti pomoći također se mogu prilagoditi kao rezultat revizija.

Članak 13.a

Programski dokumenti za Panafrički program

- 1. Unijini dokumenti navedeni u ovom članku smatraju se strateškim programskim dokumentima u smislu članka 2. Zajedničke uredbe o provedbi.**
- 2. Priprema, provedba i revizija programskih dokumenata u okviru ovog članka mora poštovati načela učinkovitosti pomoći kako je utvrđeno člankom 3. stavcima od 5. do 8.**

Izrada programa mora se temeljiti na dijalogu koji uključuje sve relevantne zainteresirane strane, kao što je Panafrički parlament.

Višegodišnji indikativni program za Panafrički program utvrđuje prioritete odabrane za financiranje, specifične ciljeve, očekivane rezultate, jasne, specifične i transparentne pokazatelje uspješnosti i, gdje je to primjenjivo, modalitete pomoći.

Višegodišnji indikativni program mora biti koherentan s geografskim i tematskim programima u okviru ove Uredbe.

3. *Višegodišnji indikativni program osigurava indikativnu finansijsku raspodjelu, ukupnu, po području aktivnosti i po prioritetu. Gdje je to prikladno, ova raspodjela može ići po redu. Višegodišnji indikativni program može biti revidiran gdje je to potrebno, kako bi se odgovorilo na nepredviđene izazove ili probleme provedbe te kako bi se uzela u obzir bilo koja revizija strateškog partnerstva.*

Članak 14.

Odobrenje dokumenata o strategiji i donošenje višegodišnjih indikativnih programa

1. Komisija odobrava dokumente o strategiji i donosi višegodišnje indikativne programe u skladu s postupkom ispitivanja iz članka 15. stavka 3. Zajedničke uredbe o *provedbi*. Ovaj se postupak također primjenjuje na █ revizije koje imaju za učinak bitno mijenjanje strategije ili njeno programiranje.
█
3. U slučaju opravdanih hitnih izvanrednih okolnosti koje se odnose █ na okolnosti iz članka 12. stavka 2., Komisija može *revidirati* dokumente o strategiji i višegodišnje indikativne programe u skladu s hitnim postupkom iz članka 15. stavka 4. Zajedničke uredbe o *provedbi*.

GLAVA V.

ZAVRŠNE ODREDBE

Članak 15.

Sudjelovanje treće zemlje koja ne ispunjava uvjete iz ove Uredbe

U *izvanrednim i* opravdanim okolnostima *i ne dovodeći u pitanje članak 2. stavak 2.*, a kako bi se osigurala usklađenost i učinkovitost financiranja od strane Unije ili za poticanje regionalne ili transregionalne suradnje, Komisija može odlučiti, *u okviru višegodišnjih indikativnih programa u skladu s člankom 14. ili relevantnim provedbenim aktima u skladu s člankom 2. Zajedničke uredbe o provedbi*, proširiti prihvatljivost aktivnosti na zemlje *i* državna područja [koji inače ne bi bili prihvatljivi za financiranje na temelju članka 1., gdje je aktivnost koja će se provoditi globalne, regionalne, transregionalne ili prekograničnom prirode.]

Članak 16.

Obustava pomoći

[

Članak 17.

Delegiranje ovlasti na Komisiju

1. Komisija je ovlaštena donijeti delegirane akte u skladu s člankom 18. kako bi izmijenila
█ :

(a) *detalje područja suradnje navedena u:*

- *članku 5. stavku 3. kako je navedeno u Prilogu IV. dijelu A i dijelu B*
- *članku 7. stavku 2. kako je navedeno u Prilogu V. dijelu A*
- *članku 8. stavku 2. kako je navedeno u Prilogu V. dijelu B*
- *članku 9. stavku 3. kako je navedeno u Prilogu VI., posebno u aktivnostima nakon sastanaka na vrhu između Afrike i EU-a*

(b) *indikativne financijske dodjele u okviru geografskih programa i u okviru tematskog programa globalnih javnih dobara i izazova, kako je navedeno u Prilogu VII. Izmjene nemaju učinak smanjenja inicijalnog iznosa za više od 5 %, osim za dodjele iz Priloga VII. pod 1.b.*

2. *Posebno nakon objave srednjoročnog izvješća, kako je navedeno u članku 16. Zajedničke uredbe o provedbi i na temelju preporuka iz izvješća o srednjoročnom pregledu, Komisija donosi delegirane akte o izmjeni elemenata navedenih u stavku 1. do 31. ožujka 2018.*

Članak 18.

Postupak delegiranja

1. Delegiranje ovlasti iz članka 17. povjerava se za razdoblje važenja ove Uredbe.
2. Europski parlament ili Vijeće mogu opozvati delegiranje ovlasti u bilo kojem trenutku. Odlukom o opozivu prestaje delegiranje ovlasti navedeno u toj odluci. Opoziv proizvodi učinke dan nakon objave odluke u Službenom listu Europske unije ili na kasniji dan naveden u spomenutoj odluci. On ne utječe na valjanost delegiranih akata koji su već na snazi.
3. Čim Komisija doneše delegirani akt, ona ga istovremeno dostavlja Europskom parlamentu i Vijeću.

4. Doneseni delegirani akt stupa na snagu samo ako se tome ne usprotive ni Europski parlament ni Vijeće u roku od dva mjeseca po priopćavanju Europskom parlamentu i Vijeću o tom aktu ili ako su prije isteka tog roka i Europski parlament i Vijeće obavijestili Komisiju da se ne protive. Na inicijativu Europskog parlamenta ili Vijeća, ovo se razdoblje produžuje za 2 mjeseca.

|

Članak 19.

Odbor

1. Komisiji će pomagati odbor DCI. Taj odbor je odbor u smislu Uredbe (EU) br. 182/2011.
2. *Promatrač iz Europske investicijske banke sudjeluje u postupcima odbora u vezi s pitanjima koja se odnose na Europsku investicijsku banku.*

Članak 20.
Finansijski referentni iznos

1. Finansijski referentni iznos za provedbu ove Uredbe u razdoblju od 2014. do 2020. iznosi **19 662 milijuna eura**. *O odobrenim godišnjim sredstvima odlučuje proračunsko tijelo kao dio godišnjeg proračunskog postupka unutar ograničenja navedenih u Višegodišnjem finansijskom okviru.*
2. Indikativni █ iznosi dodijeljeni svakom programu iz članaka od 5. do 9. za razdoblje od 2014. do 2020. utvrđeni su u Prilogu VII. █
3. Kao što je navedeno u članku 13. stavku 2. Uredbe „Erasmus +”, u cilju promicanja međunarodne dimenzije visokog obrazovanja, indikativni iznos od **1 680 milijuna eura** od različitih vanjskih instrumenata (instrument za razvojnu suradnju, **instrument** europskog susjedstva, instrument za prepristupnu pomoć, instrument partnerstva █), raspodijelit će se na aktivnosti mobilnosti učenja u države izvan EU-a ili iz njih, te na suradnju i politički dijalog s vlastima/institucijama/organizacijama iz tih zemalja. Odredbe Uredbe „Erasmus +” primjenit će se na korištenje tih sredstava.

Financijska sredstva biti će dostupna kroz *dvije* višegodišnje dodjele pri čemu prva obuhvaća samo prve *četiri*, a druga preostale *tri* godine. Ova sredstva će se odraziti na višegodišnje indikativno programiranje ovih instrumenata, u skladu s utvrđenim potrebama i prioritetima dotičnih zemalja. Dodjele se mogu revidirati u slučaju većih nepredviđenih okolnosti ili važnih političkih promjena u skladu s vanjskim prioritetima EU-a.

4. *Sredstva za financiranje iz ove Uredbe ne prelaze ukupno 707 milijuna eura financijskog referentnog iznosa dodijeljenog ovom instrumentu. Sredstva se povlače iz finansijskih dodjela za geografske programe, uz specifikaciju očekivane regionalne distribucije i vrsta aktivnosti. Sredstva za financiranje koja proizlaze iz ovog instrumenta za financiranje aktivnosti obuhvaćenih Uredbom „Erasmus +” koriste se za aktivnosti u partnerskim zemljama obuhvaćenim ovom Uredbom, uz posebnu pažnju posvećenu najsiromašnjim zemljama. Aktivnosti povezane s mobilnošću studenata i osoblja između zemalja sudionica i trećih zemalja koje se financiraju raspodjelom sredstava iz Instrumenta za razvojnu suradnju usmjerene su na područja koja su važna za uključiv i održiv razvoj zemalja u razvoju.*

Komisija u svoje godišnje izvješće o provedbi ove Uredbe uključuje popis svih aktivnosti programa „Erasmus+” za koje su sredstva dobivena iz ovog instrumenta te prati njihovo ispunjavanje ciljeva i poštovanje načela utvrđenih u člancima 2. i 3. ove Uredbe.

Članak 21.

Europska služba za vanjsko djelovanje

Primjena ove Uredbe mora biti u skladu s Odlukom Vijeća 2010/427/EU kojom se uspostavlja organizacija i funkcioniranje Europske službe za vanjsko djelovanje.

Članak 22.

Stupanje na snagu

Ova Uredba stupa na snagu trećeg dana od dana objave u *Službenom listu Europske unije*.

Ova je Uredba u cijelosti obvezujuća i izravno se primjenjuje u svim državama članicama.

Primjenjuje se od 1. siječnja **2014. do 31. prosinca 2020.**

Sastavljeno u Bruxellesu

Za Europski parlament *Za Vijeće*

Predsjednik *Predsjednik*

PRILOG IV.³

PODRUČJA SURADNJE TEMELJEM GEOGRAFSKIH PROGRAMA

A. PODRUČJA SURADNJE TEMELJEM GEOGRAFSKIH PROGRAMA

Geografski programi izrađuju se prema područjima suradnje *utvrđenima u dalnjem tekstu* koja se ne bi trebala izjednačavati sa sektorima. Prioriteti će se uspostaviti u skladu s *medunarodnim obvezama u području razvojne politike u kojoj Unija sudjeluje, posebno u odnosu na Milenijske razvojne ciljeve i nove razvojne ciljeve nakon 2015. koji su medunarodno dogovoreni te koji mijenjaju ili zamjenjuju Milenijske razvojne ciljeve, i na temelju političkog dijaloga sa svakom prihvatljivom partnerskom zemljom ili regijom.*

I. Ljudska prava, demokracija i dobro upravljanje

(a) Demokracija, ljudska prava i vladavina prava

- *pružanje potpore demokratizaciji i jačanje demokratskih institucija, uključujući ulogu parlamenata;*

³ NB: Prethodni Prilozi I., II. i III. izbrisani

- *jačanje vladavine prava i neovisnosti sudstva i sustava zaštite te osiguravanje neometanog i jednakog pristupa pravosuđu za sve,*
- *podržavanje transparentnog i odgovornog funkcioniranja institucija i decentralizacije; promicanje participativnog socijalnog dogovora unutar države te drugih dijaloga o upravljanju i ljudskim pravima,*
- *promicanje slobode medija, uključujući za moderna sredstva komunikacije,*
- *promicanje političkog pluralizma, zaštite građanskih, kulturnih, gospodarskih, političkih i socijalnih prava te zaštite osoba koje pripadaju manjinama i najranjivijim skupinama,*
- *podupiranje borbe protiv diskriminacije i diskriminirajućih praksi na bilo kojoj osnovi, između ostalog, na temelju rase ili etničkog porijekla, kaste, vjeroispovijesti ili uvjerenja, spola, rodnog identiteta ili seksualne orijentacije, socijalne pripadnosti, invaliditeta, zdravstvenog stanja ili dobi,*
- *promicanje građanskog registriranja, posebno registriranje rođenja i smrti.*

(b) Jednakost spolova [], osnaživanje *i jednake mogućnosti za žene* []

- *promicanje ravnopravnosti spolova i jednakosti,*
- *zaštita prava žena i djevojčica, uključujući mjerama protiv dječjih brakova i drugih štetnih tradicionalnih praksi kao što su genitalno sakraćenje žena i bilo kojih drugih oblika nasilja nad ženama i djevojčicama te pružanje potpore žrtvama nasilja na temelju spola,*
- *promicanje osnaživanja žena, uključujući njihove uloge kao aktera u razvoju i izgradnji mira.*

(c) Upravljanje javnim sektorom *na središnjoj i lokalnoj razini*

- *podržavanje razvoja javnog sektora radi jačanja univerzalnog i nediskriminirajućeg pristupa osnovnim uslugama, osobito zdravstvu i obrazovanju,*
- *podupiranje programa za poboljšanje oblikovanja politika, javno financijsko upravljanje, uključujući uspostavljanje i jačanje tijela i mjera za reviziju, kontrolu i borbu protiv prijevara te institucionalnog razvoja, uključujući upravljanje ljudskim resursima,*

- *jačanje tehničkog stručnog znanja parlamenata, što će im omogućiti da procijene i doprinesu oblikovanju i nadzoru nacionalnih proračuna, uključujući u vezi s domaćim prihodima od izvlačenja resursa i poreznih pitanja.*

(d) Porezna politika i uprava █

- *podupiranje izgradnje ili jačanja pravednih, transparentnih, učinkovitih, progresivnih i održivih domaćih poreznih sustava,*
- *jačanje kapaciteta za nadzor u zemljama u razvoju radi borbe protiv izbjegavanja poreza i nezakonitih finansijskih tokova,*
- *podupiranje proizvodnje i raspodjele posla u vezi s poreznim prijevarama i njihovim učinkom, osobito tijelima za nadzor, parlamentima i organizacijama civilnog društva,*
- *podupiranje multilateralnih i regionalnih inicijativa u vezi s upravljanjem i reformama poreznog sustava,*

- *podupiranje zemalja u razvoju da učinkovitije sudjeluju u međunarodnim strukturama i postupcima suradnje u pogledu poreza,*
- *podupiranje uključenosti pojedinačno za svaku zemlju i podnošenja izvješća za svaki projekt posebno u zakonodavstvima partnerskih zemalja kako bi se povećala finansijska transparentnost.*

(e) **Borba protiv korupcije**

- *pomaganje partnerskim zemljama u borbi protiv svih oblika korupcije, uključujući kroz zagovaranje, osvještavanje i izvješćivanje*
- *jačanje kapaciteta tijela za kontrolu i nadzor te sudstva.*

(f) Civilno društvo i lokalne vlasti █

- *podupiranje izgradnje kapaciteta organizacija civilnog društva kako bi se osnažio njihov glas i aktivno sudjelovanje u postupku razvoja i unaprijedio politički, socijalni i gospodarski dijalog,*

- *podupiranje izgradnje kapaciteta lokalnih tijela i uključivanje njihova stručnog znanja radi promicanja teritorijalnog pristupa razvoju, uključujući postupke decentralizacije;*
- *promicanje poticajnog okruženja za sudjelovanje građana i aktivnosti civilnog društva.*

(g) *Podupiranje i zaštita prava djece*

- *promicanje odobravanja pravnih dokumenata,*
- *podupiranje primjerenog i zdravog životnog standarda i zdravog rasta do odrasle dobi,*
- *osiguravanje osnovnog obrazovanja za sve.*

2. ■

II. Uključivi i održivi rast za ljudski razvoj

(a) *Zdravlje, obrazovanje, socijalna zaštita, zapošljavanje i kultura*

- *podupiranje sektorskih reformi koje poboljšavaju pristup osnovnim socijalnim uslugama, posebno kvalitetnim zdravstvenim i obrazovnim uslugama, s naglaskom na povezanim Milenijskim razvojnim ciljevima i na pristupu uslugama za siromašne te marginalizirane i ranjive skupine,*
- *jačanje lokalnih kapaciteta za odgovor na globalne, regionalne i lokalne izazove, uključujući korištenjem sektorske proračunske potpore s pojačanim dijalogom o politici,*
- *jačanje zdravstvenih sustava, između ostalog, rješavanjem nedostatka kvalificiranih pružatelja zdravstvene skrbi, pravednim financiranjem zdravstva i jeftinijim lijekovima i cjepivima za siromašne,*

- *promicanje pune i učinkovite provedbe Pekinške platforme za akciju i Međunarodne konferencije o stanovništvu i razvoju te rezultata njihovih revizijskih konferencija i, u tom kontekstu, seksualnog i reproduktivnog zdravlja i prava;*
- *osiguravanje primjerene količine pitke vode prihvatljive cijene i dobre kvalitete, primjerenih sanitarnih uvjeta i higijene,*
- *jačanje potpore za kvalitetno obrazovanje i promicanje jednakog pristupa istomu,*
- *podupiranje stručnog osposobljavanja relevantnog za moguće zapošljavanje i kapaciteta za provođenje istraživanja i korištenje rezultata istraživanja u korist održivog razvoja,*
- *podupiranje nacionalnih programa socijalne zaštite i foruma, uključujući sustave socijalnog osiguranja za zdravstvene i mirovinske programe s ciljem smanjivanja nejednakosti,*
- *podupiranje programa dostojanstvenog rada i promicanje socijalnog dijaloga,*

- *promicanje međukulturalnog dijaloga, kulturne raznolikosti i jednakog poštovanja dostojanstva svih kultura;*
- *promicanje međunarodne suradnje za stimuliranje doprinosa kulturne industrije gospodarskom rastu u zemljama u razvoju kako bi se potpuno iskoristio potencijal za borbu protiv siromaštva, uključujući rješavanje problema poput pristupa tržištu i prava intelektualnog vlasništva.*

(b) Poslovno okruženje, regionalna integracija i svjetska tržišta ■

- *podupiranje razvoja kompetitivnog lokalnog privatnog sektora, uključujući izgradnju lokalnih institucionalnih i poslovnih kapaciteta;*
- *podupiranje razvoja lokalnih sustava proizvodnje i lokalnih poduzeća uključujući zelena poduzeća;*
- *promicanje MSP-ova, mikropoduzeća i zadruga te pravedne trgovine,*
- *promicanje razvoja lokalnih, domaćih i regionalnih tržišta, uključujući tržišta ekološkom robom i uslugama,*

- *podupiranje reformi zakonodavnog i regulatornog okvira i njihovo provođenje;*
- *olakšavanje pristupa poslovnim i finansijskim uslugama poput mikrokredita i štednje, mikroosiguranja i prijenosa plaćanja;*
- *podupiranje provođenja međunarodno dogovorenih radničkih prava;*
- *uspostavljanje i poboljšanje zakona i zemljišnih knjiga radi zaštite zemlje i prava intelektualnog vlasništva;*
- *promicanje politika istraživanja i inovacija koje doprinose održivom i uključivom razvoju;*
- *promicanje investicija koje će rezultirati održivim zapošljavanjem, uključujući kroz mehanizme za spajanje s naglaskom na financiranju domaćih poduzeća i jačanju domaćih kapitala, posebno na razini MSP-ova, te na jačanju razvoja ljudskih resursa;*

- *poboljšanje infrastrukture uz puno poštovanje socijalnih i okolišnih normi;*
- *promicanje sektorskih pristupa održivom prometu, ispunjavanje potreba partnerskih zemalja, osiguravanje sigurnosti, pristupačnosti cijena i učinkovitosti u prometu te smanjivanje negativnog učinka na okoliš na najmanju moguću mjeru,*
- *suradnja s privatnim sektorom za jačanje društveno odgovornog i održivog razvoja, promicanje društvenih i okolišnih obveza poduzeća te odgovornosti i socijalnog dijaloga;*
- *pomaganje zemljama u razvoju u trgovini i naporima u vezi s regionalnom i kontinentalnom integracijom, te pružanje pomoći za njihovu neometanu i postupnu integraciju u svjetsko gospodarstvo;*
- *podupiranje općenitijeg pristupa informacijskim i komunikacijskim tehnologijama kako bi se premostio digitalni jaz.*

(c) Održiva poljoprivreda, *sigurnost opskrbe hranom i sigurnost prehrane*

- *pomoći zemljama u razvoju kod jačanja otpornosti na šokove (kao što su pomanjkanje resursa i opskrbe te nepostojanost cijena) i borba protiv nejednakosti omogućujući siromašnima bolji pristup zemlji, hrani, vodi, izvorima energije i financijama a da se pritom ne onečišćuje okoliš;*
- *podupiranje održivih poljoprivrednih praksi i relevantnog poljoprivrednog istraživanja te usredotočenost na poljoprivrede malih zemljoposjednika i ruralne životne uvjete;*
- *podupiranje žena u poljoprivredi;*
- *ohrabrivanje napora vlada da se olakšaju društveno i okolišno odgovorne privatne investicije;*
- *podupiranje strateških pristupa sigurnosti opskrbe hranom s naglaskom na dostupnosti, pristupu, infrastrukturi, skladištenju i prehrani;*
- *rješavanja problema nedostupnosti hrane i pothranjenosti osnovnim intervencijama u situacijama prijelaza ili nestabilnosti;*

- *podupiranje participativnog decentraliziranog i okolišno održivog teritorijalnog razvoja koji vode zemlje.*

(d) *Održiva energija*

- *poboljšanje pristupa modernim, održivim, učinkovitim, čistim i obnovljivim energetskim uslugama prihvatljivih cijena;*
- *promicanje lokalnih i regionalnih održivih energetskih rješenja i decentralizirane proizvodnje energije.*

(e) *Upravljanje prirodnim resursima, uključujući zemljom, šumarstvom i vodom, posebno:*

- *podupiranje postupaka i tijela za nadzor te reformi upravljanja koje promiču održivo i transparentno upravljanje prirodnim resursima i njihovo očuvanje*
- *promicanje pravednog pristupa vodi, kao i integriranog upravljanja vodnim resursima i planova upravljanja riječnim slivovima;*
- *promicanje zaštite i održivog korištenja usluga vezanih uz biološku raznolikost i ekosustav;*

- *promicanje održivih uzoraka proizvodnje i potrošnje te sigurno i održivo gospodarenje kemikalijama i otpadom, uzimajući u obzir njihove učinke na zdravlje.*

(f) **Klimatske promjene i okoliš**

- *promicanje korištenja čišćih tehnologija, održivih izvora energije i učinkovitosti resursa s ciljem postizanja niskih razina emisije CO₂ istovremeno jačajući okolišne norme,*
- *poboljšanje otpornosti zemalja u razvoju na posljedice klimatskih promjena podupiranjem prilagodbe na klimatske promjene i ublažavanja istih te mjera smanjenja rizika od katastrofa koje se temelje na ekosustavu,*
- *podupiranje provedbe relevantnih multilateralnih sporazuma o okolišu, osobito jačanje okolišne dimenzije institucionalnog okvira za održiv razvoj i promicanje zaštite biološke raznolikosti,*
- *pomaganje partnerskim zemljama u vezi s izazovom raseljavanja i migracija potaknutih učincima klimatskih promjena i ponovna izgradnja životnih uvjeta za klimatske izbjeglice.*

III. Ostala područja od značaja za razvoj

3. ■

(a) *Migracija i azil*

- *podupiranje ciljanih napora za potpuno iskorištavanje odnosa između migracije, mobilnosti, zapošljavanja i smanjenja siromaštva kako bi migracija postala pozitivna sila za razvoj i smanjenje „odljeva mozgova”,*
- *pomoći zemljama u razvoju da donesu dugoročne politike za upravljanje migracijskim tokovima, poštujući ljudska prava migranata i njihovih obitelji te jačajući njihovu socijalnu zaštitu.*

(b) *Povezivanje humanitarne pomoći i ■ razvojne suradnje ■*

- *srednjoročna i dugoročna obnova i rehabilitacija regija i zemalja pogodjenih sukobom, katastrofama uzrokovanim ljudskim djelovanjem ili djelovanjem prirode;*
- *izvršavanje srednjoročnih i dugoročnih aktivnosti koje ciljaju na neovisnost i integraciju ili ponovnu integraciju raseljenih osoba, povezivanje pomoći, rehabilitacije i razvoja.*

(c) *Otpornost i smanjenje rizika od katastrofa*

- *u situacijama nestabilnosti, podupiranje osiguravanja osnovnih usluga i izgradnja zakonitih, učinkovitih i otpornih državnih institucija i aktivnog i organiziranog civilnog društva, u partnerstvu s dotičnim zemljama;*
- *doprinos prevencijskom pristupu nestabilnosti države, sukobu, prirodnim katastrofama i drugim tipovima kriza pomažući partnerskim zemljama i regionalnim organizacijama u naporima za jačanje sustava ranog upozorenja, demokratskog upravljanja i izgradnje institucionalnih kapaciteta,*
- *podupiranje smanjenja rizika od katastrofa, spremnosti i prevencija te upravljanje posljedicama takvih katastrofa.*

(d) *Razvoj i sigurnost, uključujući prevenciju sukoba*

- *rješavanje uzroka sukoba, uključujući siromaštvo, propadanje, iskorištavanje i nejednaku raspodijeljenost i pristup zemlji i prirodnim resursima, slabo upravljanje, nepoštovanje ljudskih prava i nejednakost spolova kao sredstvo za prevenciju sukoba i izgradnju mira,*
- *promicanje dijaloga, sudjelovanja i pomirenja s ciljem promicanja mira i sprečavanja nasilja, u skladu s međunarodnim najboljim praksama,*
- *poticanje suradnje i reforme politika u području sigurnosti i pravosuđa, borbe protiv droge i drugih oblika nezakonite trgovine, uključujući trgovinu ljudima, korupciju i pranje novca.*

B POSEBNA PODRUČJA SURADNJE PO REGIJAMA

Pomoć Europske unije podupire mjere i sektorske dijaloge u skladu *onima iz Priloga IV. poglavlja A članka 5.* i ■ ukupnom svrhom i područjem primjene, ciljem i općim načelima ove Uredbe. **Posebna** pozornost mora se obratiti na područja opisana u nastavku koja odražavaju zajednički dogovorene strategije ■ .

1. Latinska Amerika

- (a) poticanje društvene kohezije, posebice socijalne uključenosti, dostojanstvenog rada i jednakosti, ravnopravnosti spolova i osnaživanje žena;
- (b) rješavanje problema u vezi s upravljanjem i podupiranje reforme politika, posebno u područjima socijalnih politika, upravljanja javnim financijama i poreznim sustavom, sigurnosti (uključujući droge, kriminal i korupciju), jačanja dobrog upravljanja, javnih institucija *na lokalnoj, nacionalnoj i regionalnoj razini*, (uključujući kroz inovativne mehanizme za pružanje tehničke suradnje, npr. TAIEX i *twinning*), zaštite ljudskih prava, uključujući *manjina*, autohtonih naroda i prava afro-*potomaka, poštovanja osnovnih radnih normi Međunarodne organizacije rada (ILO)*, okoliša, borbe protiv diskriminacije, ■ borbe protiv *seksualnog nasilja, nasilja na temelju spola i nasilja nad djecom i borbe protiv* proizvodnje i potrošnje droge te nezakonite trgovine drogom;

- (c) *podupiranje aktivnog, organiziranog i neovisnog civilnog društva i jačanje socijalnog dijaloga putem potpore socijalnih partnera;*
- (d) *jačanje socijalne kohezije posebice uz uspostavu i jačanje održivih sustava socijalne zaštite, uključujući programe socijalnog osiguranja, fiskalnu reformu, jačanje kapaciteta poreznih sustava i borbe protiv porezne prijevare i utaje poreza, što doprinosi jačanju ravnopravnosti i raspodjele bogatstva;*
- (e) *podupiranje država Latinske Amerike u ispunjavanju njihove obveze postupanja s dužnom pažnjom u vezi s prevencijom, istraživanjem, kaznenim progonom i sankcijama feminicida te plaćanjem odštete i skretanjem pozornosti na feminicid;*
- (f) potpora raznim procesima regionalne integracije i međusobno povezivanje mrežnih infrastruktura, uz osiguranje komplementarnosti s aktivnostima koje se financiraju sredstvima Europske investicijske banke (EIB) i drugih institucija;
- (g) rješavanje sigurnosno-razvojne veze;
- (h) *jačanje kapaciteta za osiguravanje univerzalnog pristupa osnovnim socijalnim uslugama, osobito u sektorima zdravstva i obrazovanja;*

- (i) potpora politikama u području obrazovanja i razvoja zajedničkog područja visokog obrazovanja Latinske Amerike;
- (j) rješavanje ekonomске slabosti i doprinošenje strukturnoj transformaciji uspostavom snažnih partnerstava u *otvorenim i poštenim* trgovinskim *odnosima, produktivnim ulaganjima za više radnih mesta i za bolja radna mesta u zelenom i uključivom gospodarstvu, prijenosu znanja i suradnji u* istraživanjima, inovacijama i tehnologiji, te promicanju održivog i uključivog razvoja u svim njegovim dimenzijama, s osobitom pozornošću prema izazovima migracijskih tokova, stanovanja, sigurnosti opskrbe hranom (uključujući održivu poljoprivredu i ribarstvo), klimatskim promjenama, održivim energijama i zaštiti te poboljšanju biološke raznolikosti i usluga ekosustava, uključujući vodu, *tlo i šume; podupiranje razvoja mikro, malih i srednjih poduzeća (MMSP-ovi) kao glavnog izvora za uključiv rast, razvoj i poslove; promicanje razvojne pomoći za trgovinu kako bi se osiguralo da MMSP-ovi iz Latinske Amerike imaju koristi od mogućnosti za međunarodnu trgovinu, uzimajući u obzir promjene u općem sustavu povlastica;*
- (k) *ublažavanje štetnih učinaka isključivanja iz sustava općih carinskih povlastica na gospodarstva mnogih zemalja u regiji;*
- (l) osiguravanje odgovarajućeg praćenja kratkoročnih hitnih mjera usmjerenih na oporavak nakon prirodne nepogode ili krize koje se provode kroz druge finansijske instrumente.

2. **Južna Azija**

(1) **Promicanje demokratskog upravljanja**

- (a) *podupiranje demokratskih procesa, poticanje učinkovitog demokratskog upravljanja, jačanje javnih institucija i tijela (uključujući na lokalnoj razini), podupiranje učinkovite decentralizacije, restrukturiranja države i izbornih postupaka;*
- (b) *podupiranje aktivnog, organiziranog i neovisnog civilnog društva, uključujući i medija, i jačanje socijalnog dijaloga putem potpore socijalnih partnera;*
- (c) *izgradnja i jačanje zakonitih, učinkovitih i odgovornih javnih institucija, promicanje institucionalnih i administrativnih reformi, dobrog upravljanja, borbe protiv korupcije i upravljanja javnim financijama, podupiranje vladavine prava;*
- (d) *jačanje i zaštita ljudskih prava, uključujući prava manjina, migranata, autohtonih naroda i ranjivih skupina, borba protiv diskriminacije, seksualnog nasilja, nasilja na temelju spola i nasilja nad djecom te borba protiv trgovine ljudima.*

(e) zaštita ljudskih prava kroz promicanje institucionalnih reformi (uključujući dobrog upravljanja i borbu protiv korupcije, javno financijsko upravljanje, reformu poreznog sustava i javne uprave) i zakonodavne, administrativne i regulatorne reforme u skladu s međunarodnim normama, posebno u nestabilnim državama i zemljama u sukobima i situacijama nakon sukoba;

(2) **Promicanje socijalne uključenosti i ljudskog razvoja u svim njegovim dimenzijama**

(a) poticanje društvene kohezije, posebno socijalne uključenosti, dostojanstvenog rada i jednakosti te ravnopravnosti spolova **kroz obrazovanje, zdravlje i druge socijalne politike**;

4.

(b) **jačanje kapaciteta za osiguravanje univerzalnog pristupa osnovnim socijalnim uslugama, osobito u sektorima zdravstva i obrazovanja; poboljšanje pristupa obrazovanju za sve s ciljem povećanja znanja, vještina i zapošljivosti na tržištu rada, uključujući, kada je to relevantno, rješavanjem nejednakosti i diskriminacije na temelju rada i podrijetla, a osobito diskriminaciju na temelju kaste;**

- (c) *promicanje socijalne zaštite i uključenosti, dostojanstvenog rada i temeljnih radnih normi, pravednosti i rodne jednakosti putem obrazovanja, zdravstva i drugih socijalnih politika;*
- (d) *promicanje kvalitetnog obrazovanja/strukovnog obrazovanja i zdravstvenih usluga dostupnih svima (uključujući one namijenjene djevojkama odnosno ženama);*
- (e) *u kontekstu povezanosti sigurnosti i razvoja, borba protiv rodno uvjetovanog nasilja i nasilja na temelju podrijetla, otmica djece, korupcije i organiziranog kriminala, proizvodnje i potrošnje droga, nezakonite trgovine drogom i drugih oblika nezakonite trgovine;*
- (f) *uspostavljanje razvojno usmjerenih partnerstava oko poljoprivrede, razvoja privatnog sektora, trgovine, ulaganja, pomoći, migracije, istraživanja, inovacija i tehnologije te osiguravanja javnih dobara, usmjerenih prema smanjenju siromaštva i socijalnoj uključenosti;*

(3) *Podupirati održivi razvoj, povećati otpornost južnoazijskih društava na klimatske promjene i prirodne katastrofe*

- (a) *promicanje održivog i uključivog rasta i stjecanja sredstava za život, integriranog ruralnog razvoja, održive poljoprivrede i šumarstva, sigurnosti opskrbe hranom i prehrane;*
- (b) *promicanje održivog korištenja prirodnih resursa i obnovljivih izvora energije, zaštite biološke raznolikosti, voda i gospodarenja vodama, zaštite tla i šuma*
- (c) *doprinos rješavanju klimatskih promjena podržavanjem prilagodbe, ublažavanjem posljedica i mjerama smanjenja rizika od katastrofa;*
- (d) *potpora naporima na poboljšavanju gospodarske diversifikacije, konkurentnosti i trgovine, razvoju privatnog sektora s osobitom naglaskom na MSP-e, mikropoduzeća i zadruge.*
- (e) *promicanje održive potrošnje i proizvodnje, kao i ulaganja u čiste tehnologije, održive energije, promet, održivu poljoprivrodu i ribarstvo, zaštita i poboljšanje biološke raznolikosti i usluga ekološkog sustava, uključujući vode i šume, te stvaranje dostojanstvenih poslova u zelenom gospodarstvu;*

- (f) *potpora pripremljenosti za prirodne katastrofe i dugoročni oporavak nakon njih, uključujući i onaj na području sigurnosti opskrbe hranom i prehrane i pomoć raseljenim osobama;*
- (4) *Podupirati regionalnu integraciju i suradnju*
- (a) *poticanje regionalne integracije i suradnje usmjeravanjem na rezultate putem potpore regionalnoj integraciji i dijalogu, uglavnom posredstvom Južnoazijskog udruženja za regionalnu suradnju (SAARC) i promicanje razvojnih ciljeva istanbulskog procesa („Srce Azije“);*
- (b) *potpora učinkovitom upravljanju granicama i prekograničnoj suradnji u svrhu promicanja održivog gospodarskog i društvenog razvoja te razvoja zaštite okoliša u pograničnim područjima; borba protiv organiziranog kriminala, proizvodnje i potrošnje droge i nezakonite trgovine drogom;*
- (c) *potpora regionalnim inicijativama usmjerenim na glavne zarazne bolesti; doprinos prevenciji i odgovoru na zdravstvene rizike, uključujući i one s podrijetlom na dodirnom području između životinja, ljudi i njihovih različitih okruženja;*

3. Sjeverna i jugoistočna Azija

(1) *Promicati demokratsko upravljanje*

- (a) *doprinos demokratizaciji; izgradnja i jačanje legitimnih, učinkovitih i odgovornih javnih ustanova i tijela i zaštita ljudskih prava, kroz promicanje institucionalnih reformi (uključujući reformu dobrog upravljanja i borbu protiv korupcije, upravljanje javnim financijama, reformu poreznog sustava i javne uprave) i zakonodavne, upravne i regulatorne reforme u skladu s međunarodnim standardima, posebice u nestabilnim državama i zemljama u konfliktnim i post-konfliktnim situacijama;*
- (b) *jačanje zaštite ljudskih prava, uključujući ljudska prava manjina, prava autohtonih naroda, poštovanje temeljnih radnih normi, borbu protiv diskriminacije, borbu protiv seksualnog nasilja, nasilja na temelju spola i nasilja prema djeci, uključujući djecu u oružanim sukobima, te rješavanje pitanja trgovine ljudima;*
- (c) *potpora ustrojstvu ljudskih prava u zemljama ASEAN-a, osobito radu Međuvladine komisije ASEAN-a za ljudska prava;*

- (d) *izgradnja i jačanje legitimnih, učinkovitih i odgovornih javnih ustanova i tijela;*
- (e) *potpora aktivnom, organiziranom i neovisnom civilnom društvu; jačanje socijalnog dijaloga putem potpore socijalnim partnerima;*
- (f) *potpora naporima regije na jačanju demokracije, vladavine prava i sigurnosti građana, uključujući one koji se provode pravosudnom reformom i reformom sektora sigurnosti, te promicanje međuetničkog i međuvjerskog dijaloga i mirovnih procesa;*
- (g) *u kontekstu povezanosti sigurnosti i razvoja, borba protiv korupcije i organiziranog kriminala, proizvodnje i potrošnje droga, nezakonite trgovine drogom i drugih oblika nezakonite trgovine, te podržavanje učinkovitog upravljanja granicama i prekogranične suradnje, s ciljem promicanja održivog gospodarskog i društvenog razvoja te razvoja zaštite okoliša u pograničnim područjima; potpora aktivnostima razminiranja;*

- (2) *Promicati socijalnu uključenost i ljudski razvoj u svim njegovim dimenzijama*
- (a) *poticanje društvene kohezije, posebice socijalne uključenosti, dostojanstvenog rada i pravednosti te rodne jednakosti;*
 - (b) *jačanje sposobnosti za osiguravanje univerzalnog pristupa osnovnim socijalnim uslugama, osobito u sektorima zdravstva i obrazovanja; poboljšanje pristupa obrazovanju za sve s ciljem povećanja znanja, vještina i zapošljivosti na tržištu rada, uključujući – kada je to relevantno – rješavanjem nejednakosti i diskriminacije na temelju rada i podrijetla, a osobito diskriminacije na temelju kaste;*
 - (c) *uspostavljanje razvojno usmjerenih partnerstava oko poljoprivrede, razvoja privatnog sektora, trgovine, ulaganja, pomoći, migracije, istraživanja, inovacija i tehnologije te osiguravanja javnih dobara, usmjerenih prema smanjenju siromaštva i socijalnoj uključenosti;*

- (d) doprinos naporima regije na prevenciji i odgovoru na zdravstvene rizike, uključujući i one s podrijetlom na dodirnom području između životinja, ljudi i njihovih različitih okruženja;
- (e) promicanje uključivog obrazovanja, cjeloživotnog učenja i izobrazbe (uključujući visoko obrazovanje, strukovno obrazovanje i izobrazbu), te poboljšanje funkciranja tržišta rada,
- (f) promicanje zelenijeg gospodarstva i održivog i uključivog rasta, osobito u poljoprivredi, u području sigurnosti opskrbe hranom i prehrane, održive energije i zaštite i poboljšanja biološke raznolikosti i usluga ekološkog sustava;
- (g) u kontekstu povezanosti sigurnosti i razvoja, borba protiv rodno uvjetovanog nasilja i nasilja na temelju podrijetla, otmica djece;

(3) *Podupirati održivi razvoj, povećati otpornost južnoazijskih društava na klimatske promjene i prirodne katastrofe*

- (a) *potpora ublažavanju klimatskih promjena i prilagodbi na njih, promicanje održive potrošnje i proizvodnje;*
- (b) *potpora regiji u svrhu uključivanja klimatskih promjena u strategije održivog razvoja, razvijanja politika i instrumenata za prilagodbu klimatskim promjenama i njihovo ublažavanje, rješavanje štetnih učinaka klimatskih promjena i jačanje inicijativa za dugoročnu suradnju i smanjenje ugroženosti od katastrofa, potporu Višesektorskom okviru ASEAN-a za klimatske promjene: Poljoprivreda i šumarstvo za sigurnost opskrbe hranom (AFCC);*
- (c) *s obzirom na ekspanziju stanovništva i potražnju potrošača koja se mijenja, potpora održive potrošnje i proizvodnje, kao i ulaganja u čiste tehnologije uglavnom na regionalnoj razini, održive energije, promet, održivu poljoprivrednu i ribarstvo, zaštita i poboljšanje biološke raznolikosti i usluga ekološkog sustava, uključujući vode i šume, i stvaranje pristojnih poslova u zelenom gospodarstvu.*
- (d) *povezivanje pomoći, obnove i razvoja osiguravanjem odgovarajućeg nastavka kratkoročnih hitnih mjera usmjerenih na oporavak nakon katastrofe ili krize koje se provode drugim financijskim instrumentima; potpora pripremljenosti za prirodne katastrofe i dugoročni oporavak nakon njih, uključujući i onaj na području sigurnosti opskrbe hranom i prehrane i pomoć raseljenim osobama.*

(4) *Poduprijeti regionalnu integraciju i suradnju u cijeloj sjevernoj i jugoistočnoj Aziji*

- (a) *poticanje veće regionalne integracije i suradnje na način usmjeren prema postizanju rezultata putem potpore regionalnoj integraciji i dijalogu;*
- (b) *potpora socio-ekonomskoj integraciji i povezanosti ASEAN-a, uključujući provedbu ciljeva povezanih s razvojem Gospodarske zajednice ASEAN-a, Glavnim planom za povezanost i Vizijom za razdoblje poslije 2015.;*
- (c) *promicanje pomoći u vezi s trgovinom i razvojne pomoći za trgovinu, uključujući pomoć kojom se osigurava da mikro i mala poduzeća (MMSP) imaju koristi od mogućnosti za međunarodnu trgovinu;*
- (d) *učinak poluge za financiranje održivih infrastruktura i mreža kojima se nastoji ostvariti regionalna integracija, socijalna uključenost i kohezija te održiv rast, uz istodobno osiguravanje komplementarnosti s aktivnostima koje podupiru Europska investicijska banka (EIB) i ostale finansijske institucije EU-a, kao i s drugim institucijama u ovom području;*
- (e) *poticanje dijaloga između institucija i zemalja ASEAN-a i EU-a.*
- (f) *potpora regionalnim inicijativama usmjerenim na glavne zarazne bolesti; doprinos prevenciji i odgovoru na zdravstvene rizike, uključujući i one s podrijetlom na dodirnom području između životinja, ljudi i njihovih različitih okruženja;*

4. Srednja Azija

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- (a) *kao glavni ciljevi, koji doprinose održivom i uključivom gospodarskom i društvenom razvoju, društvenoj koheziji i demokraciji;*
- (b) *potpora sigurnosti opskrbe hranom, pristup sigurnosti održive energije, opskrbi vodom i odvodnjima za lokalna stanovništva; promicanje i potpora spremnosti za prirodne katastrofe i prilagodbi klimatskim promjenama;*
- (c) *potpora reprezentativnim i demokratski izabranim parlamentima, promicanje i potpora dobrom upravljanju i procesima demokratizacije; razborito upravljanje javnim financijama; vladavina prava, s institucijama koje dobro funkcioniraju i djelotvornim poštovanjem ljudskih prava i jednakost spolova; potpora aktivnom, organiziranom i neovisnom civilnom društvu, kao i jačanje socijalnog dijaloga potporom socijalnim partnerima;*
- (d) promicanje uključivog i održivog gospodarskog rasta, rješavanje društvenih i regionalnih nejednakosti, te potpora █ inovacijama i tehnologiji █, dostojanstvenom radu, █ poljoprivrednom i ruralnom razvoju, *promicanje gospodarske diversifikacije putem potpore MMSP-ima*, uz istodobno poticanje razvoja *uređenog socijalnog* tržišnog gospodarstva, *otvorene i poštene* trgovine i ulaganja, uključujući regulatorne reforme █ ;

- (e) potpora učinkovitom upravljanju granicama i prekograničnoj suradnji u svrhu promicanja održivog gospodarskog i društvenog razvoja te razvoja zaštite okoliša u pograničnim područjima; u kontekstu povezanosti sigurnosti i razvoja, borba protiv organiziranog kriminala i svih oblika nezakonite trgovine, uključujući borbu protiv proizvodnje i potrošnje droga kao i njihovih negativnih učinaka, uključujući HIV/AIDS;
- (f) promicanje bilateralne i regionalne suradnje, dijaloga i integracije uključujući one obuhvaćene Instrumentom za europsko susjedstvo i drugim instrumentima Unije za potporu reformama politika, uključujući ■ potporu putem jačanja institucija **prema potrebi**, tehničku pomoć (npr. TAIEX), razmjenu informacija i twinning, te ključnim ulaganjima putem odgovarajućih mehanizama za mobiliziranje ■ finansijskih resursa u sektore obrazovanja, okoliša i energetike, ■ razvoj uz niske emisije ugljika odnosno otpornost na učinke klimatskih promjena ■ .
- (g) *jačanje sposobnosti za osiguravanje univerzalnog pristupa kvalitetnim osnovnim socijalnim uslugama, osobito u sektorima zdravstva i obrazovanja; potpora pristupu za populacije, osobito mladima i ženama, zapošljavanju, između ostalog putem potpore poboljšanju općeg, strukovnog i visokog obrazovanja.*

5. Bliski istok

- (a) rješavanje **demokratizacije i** upravljanja (uključujući one u području poreza), **vladavine prava**, ljudskih prava i **jednakosti spolova, temeljne slobode i** pitanja političke jednakosti **kako bi se potakle političke reforme, borba protiv korupcije, transparentnost pravosudnog procesa i** izgradile legitimne, demokratske, učinkovite i odgovorne javne institucije i aktivno, **neovisno** i organizirano civilno društvo; **jačanje socijalnog dijaloga potporom socijalnim partnerima;**
- (b) **potpora civilnom društvu u njegovoj obrani temeljnih sloboda, ljudskih prava i demokratskih načela;**
- (c) **promicanje uključivog rasta i** poticanje društvene kohezije **i razvoja**, osobito **stvaranje radnih mjesa**, socijalno uključivanje, dostojanstveni rad i pravednost te jednakost spolova; **jačanje sposobnosti za osiguravanje univerzalnog pristupa osnovnim socijalnim uslugama, osobito u sektorima zdravstva i obrazovanja; rješavanjem, kada je to relevantno, nejednakosti i diskriminacije na temelju rada i podrijetla, a osobito diskriminacije na temelju kaste;**
- (d) **potpora razvoju građanske kulture, osobito putem izobrazbe, obrazovanja i sudjelovanja djece, mladih i žena;**

- (e) promicanje održive gospodarske reforme i diversifikacije, ***otvorenih i poštenih*** trgovinskih ***odnosa***, razvoja ***uredenog i održivog socijalnog*** tržišnog gospodarstva, produktivnih i održivih ulaganja u glavne sektore (kao što su energetika, ***s posebnom usmjereničću na*** obnovljivu energiju) ;
- (f) promicanje ***dobrosusjedskih odnosa***, regionalne suradnje, dijaloga i integracije, uključujući i one sa zemljama obuhvaćenim Instrumentom europskog susjedstva i zaljevskih država obuhvaćenih Instrumentom partnerstva i drugim instrumentima EU-a , podržavajući integracijske napore unutar regije, indikativno za gospodarstvo, energetiku, vodu, prijevoz i izbjeglice;
- (g) ***promicanje održivog i pravičnog upravljanja vodnim resursima, kao i zaštita vodnih resursa;***
- (h) upotpunjavanje resursa angažiranih na temelju ovog instrumenta dosljednim radom i potporom kroz druge instrumente ***i politike*** EU-a, koji se mogu usredotočiti na ***pristup unutarnjem tržištu Unije, mobilnost radne snage i*** širu regionalnu integraciju;
- (i) ***u kontekstu povezanosti sigurnosti i razvoja***, borba protiv proizvodnje i potrošnje droge i nezakonite trgovine drogom;
- (j) u kontekstu povezanosti razvoja i ***migracije***, upravljanje migracijom i pomaganje raseljenim osobama i izbjeglicama ;

6.

Ostale zemlje

- (a) *potpora konsolidaciji demokratskog društva, dobrom upravljanju, poštovanju ljudskih prava, rodnoj jednakosti, državi uređenoj vladavinom prava i doprinosom regionalnoj i kontinentalnoj stabilnosti i integraciji; potpora aktivnom, organiziranom i neovisnom civilnom društvu, kao i jačanje socijalnog dijaloga potporom socijalnim partnerima;*
- (b) pružanje potpore u naporima prilagodbe pokrenutih osnivanjem raznih područja slobodne-trgovine,
- (c) █ potpora borbe protiv siromaštva, nejednakosti i isključenosti, uključujući rješavanje osnovnih potreba █ zapostavljenih zajednica *i promicanje socijalne kohezije i redistributivnih politika usmjerenih na smanjenje nejednakosti;*
- (d) *jačanje sposobnosti za osiguravanje univerzalnog pristupa osnovnim socijalnim uslugama, osobito u sektorima zdravstva i obrazovanja;*
- (e) *poboljšanje životnih i radnih uvjeta s posebnim naglaskom na promicanje programa dostojanstvenog rada ILO-a;*

- (f) rješavanje ekonomске slabosti i **doprinošenje** strukturnoj transformaciji s naglaskom na dostojanstveni rad putem **održivog** i uključivog gospodarskog rasta i **energetski učinkovitog, niskougljičnog gospodarstva koje se temelji na obnovljivoj energiji uspostavljanjem snažnih partnerstava oko poštenih trgovinskih odnosa, produktivnih ulaganja za više radnih mesta i za bolja radna mesta u zelenom i uključivom gospodarstvu, prijenosu znanja i suradnji u istraživanjima, inovacijama i tehnologiji, te promicanju održivog i uključivog razvoja u svim njegovim dimenzijama, s osobitom pozornošću prema izazovima migracijskih tokova, stanovanja, sigurnosti hrane (uključujući održivu poljoprivredu i ribarstvo), klimatskim promjenama, održivim energijama i zaštiti te i poboljšanje biološke raznolikosti i usluga ekološkog sustava, uključujući vodu i tlo;**
- (g) rješavanje seksualnog i rodno uvjetovanog nasilja i zdravstvenih problema, uključujući HIV/AIDS i njegov učinak na društvo.

PRILOG V.

PODRUČJA **SURADNJE** U SKLOPU TEMATSKIH PROGRAMA

A. PROGRAM O GLOBALNIM JAVNIM DOBRIMA I IZAZOVIMA

U skladu s uvjetima utvrđenim u članku 6., Program globalnih javnih dobara i izazova ima za cilj jačanje suradnje, razmjenu znanja i iskustava i kapaciteta partnerskih zemalja *s ciljem doprinosa iskorjenjivanju siromaštva, društvenoj koheziji i održivom razvoju*. Program *se temelji* na sljedećim područjima suradnje, osiguravajući najveću sinergiju među njima u svjetlu njihove snažne međusobne povezanosti ■ .

Okoliš i klimatske promjene

- (a) *doprinos vanjskoj dimenziji politika okoliša i klimatskih promjena EU-a uz puno poštovanje načela koherentnosti politika za razvoj i ostala načela utvrđena u Ugovoru;*
- (b) rad u smjeru pomaganja zemljama u razvoju kako bi ostvarili Milenijske ciljeve razvoja ili bilo koji naknadni okvir dogovoren između Unije i njezinih država članica, povezano s održivim korištenjem prirodnih resursa i održivosti okoliša;

- (c) ***provedba*** Unijinih inicijativa i dogovorenih obveza na međunarodnoj i regionalnoj razini i/ili prekograničnog karaktera, osobito u područjima klimatskih promjena promicanjem ***niskougljičnih*** strategija otpornih na klimatske promjene ***uz davanje prednosti*** strategijama ***za promicanje*** biološke raznolikosti, ***zaštite ekoloških sustava i prirodnih resursa, održivog upravljanja uključujući održivo upravljanje oceanima, kopnom, vodama, ribarstvom i šumama (na primjer, mehanizmima kao što je FLEGT)***, širenja pustinja, integriranog upravljanja vodnim resursima, ***razboritog upravljanja kemikalijama*** i otpadom, učinkovitosti resursa i zelenog gospodarstva;
- (d) ***■ povećanje integracije i uključivanja ciljeva u vezi s klimatskim promjenama i zaštitom okoliša u razvojnu suradnju EU-a kroz potporu metodološkom i znanstvenom radu na zemljama u razvoju, u njima i takvom radu koji obavljaju zemlje u razvoju,*** uključujući nadzor, izvještavanje i mehanizme verifikacije, kartiranje ekološkog sustava, procjenu i vrednovanje, poboljšanje stručnosti u području zaštite okoliša i promicanje inovativnih aktivnosti i koherentnosti politike;

- (e) jačanje ekološkog upravljanja i potpora razvoju međunarodne politike **u svrhu poboljšanja** koherentnosti **i učinkovitosti globalnog** upravljanja održivim razvojem, pomaganjem regionalnog i međunarodnog praćenja i procjene okoliša, kao i promicanjem djelotvornih mjera pridržavanja i prisilnih mjera **u zemljama u razvoju** za multilateralne sporazume o zaštiti okoliša ;
- (f) *integriranje i upravljanja rizikom od katastrofa i prilagodbe na klimatske promjene u razvojno planiranje i ulaganja, te promicanje provedbe strategija usmjerenih na smanjenje rizika od katastrofa kao što su zaštita ekoloških sustava i obnovom močvarnih područja;*
- (g) *Prepoznavanje odlučne uloge poljoprivrede i stočarstva u politikama koje se odnose na klimatske promjene promicanjem malih poljoprivrednih gospodarstava i stočarstva kao autonomnih strategija prilagodbe klimatskim promjenama i ublažavanja klimatskih promjena na jugu zbog njihove održive upotrebe prirodnih resursa kao što su vode i pašnjaci.*

Održiva energija

- (a) promicanje pristupa energetskim uslugama koje su *pouzdane*, sigurne, troškovno prihvatljive, *povoljne u smislu klime* i održive kao glavnom pokretaču iskorjenjivanja siromaštva i uključivog rasta *i razvoja* s osobitom naglaskom na korištenje lokalnih *i regionalnih obnovljivih* izvora energije *i na osiguravanje pristupa za siromašno stanovništvo u udaljenim regijama*;
- (b) pospješivanje većeg korištenja tehnologija obnovljive energije, *osobito decentraliziranih pristupa, kao i* energetske učinkovitosti i promicanje strategija *održivog* razvoja s niskim emisijama ugljika;
- (c) promicanje energetske sigurnosti *za partnerske zemlje i lokalne zajednice* kroz npr. diversifikaciju izvora i pravaca, s obzirom na pitanja nepostojanosti cijena, potencijal za smanjenje emisija, poboljšanje tržišta i pospješivanje međusobne povezanosti energije *i, osobito, električne energije* i trgovine.

Ljudski razvoj, uključujući dostojanstveni rad, socijalnu pravdu i kulturu

(a) **Zdravlje**

5. |

- i. poboljšanje zdravlja i blagostanja ljudi u zemljama u razvoju ***potporom uključivom i univerzalnom*** pristupu, te ***jednakom*** osiguravanju kvalitetnih ključnih javnozdravstvenih ***ustanova, dobara i usluga sa stalnom skrbi od prevencije do skrbi nakon liječenja i s osobitim naglaskom na potrebe osoba iz siromašnih i osjetljivih skupina;***
- ii. potpora i oblikovanje plana politike globalnih inicijativa koje imaju izravnu značajnu korist za partnerske zemlje s obzirom na usmjerenost na rezultate, učinkovitost pomoći i utjecaj na zdravstvene sustave, uključujući i potporu partnerskim zemljama za bolje angažiranje na tim inicijativama;

- iii. potpora određenim inicijativama osobito na regionalnoj i globalnoj razini, čime se jačaju zdravstveni sustavi i pomaže zemljama da razviju i provedu *održive*, zdrave, nacionalne zdravstvene politike utemeljene na dokazima, i to na prioritetnim područjima *kao što je zdravlje majki i djece, uključujući cijepljenje i odgovor na globalne prijetnje zdravlju (kao što su HIV/AIDS, tuberkuloza i malarija te ostale bolesti koje su zanemarene i povezane sa siromaštvom);*
- iii.a promicanje pune i učinkovite provedbe Pekinške platforme za akciju i akcijskog programa Međunarodne konferencije o stanovništvu i razvoju te rezultata njihovih revizijskih konferencija i, u tom kontekstu, seksualnog i reproduktivnog zdravlja i prava;*
- iii.b promicanje, pružanje i širenje bitnih usluga i psihološke potpore žrtvama nasilja, pogotovo ženama i djeci.*

(b) Obrazovanje, znanje i vještine:

- i. Potpora postizanju međunarodno dogovorenih ciljeva u obrazovanju kroz globalne inicijative i partnerstva, s posebnim naglaskom na promicanje znanja, vještina i vrijednosti održivog i uključivog razvoja;
- ii. promicanje razmjene iskustava, dobre prakse i inovacija, na temelju uravnoteženog pristupa razvoju obrazovnih sustava;
- iii. poboljšanje kvalitete obrazovanja i poboljšanje jednakog pristupa kvaliteti obrazovanja *posebno za osobe koje pripadaju* ranjivim skupinama, *migrante*, žene i djevojčice, *osobe koje pripadaju vjerskim manjinama ili vjerske manjine*, *osobe s invaliditetom*, *osobe koje žive u osjetljivom okruženju, i u* zemljama koje su najudaljenije od postizanja globalnih ciljeva *te poboljšanje postizanja osnovnog obrazovanja i prijelaz na srednjoškolsko obrazovanje.*

(c) **Ravnopravnost spolova, osnaživanje žena i zaštita prava žena i djevojčica:**

- i. *potpora programima na državnoj, regionalnoj i lokalnoj razini za promicanje gospodarskog i socijalnog osnaživanja žena i djevojčica, vodstva te ravnopravnog političkog sudjelovanja;*
- ii. *potpora nacionalnim, regionalnim i globalnim inicijativama za promicanje integracije ravnopravnosti spolova te osnaživanja žena i djevojčica u politike, planove i proračune, uključujući u međunarodne, regionalne i nacionalne razvojne okvire te u plan učinkovitosti pomoći; pružanje pomoći s ciljem da se iskorijene prakse odabira spola na temelju rodnih predrasuda;*
- iii. *rješavanje pitanja seksualnog i rodno uvjetovanog nasilja te pružanje potpore žrtvama tog nasilja.*

(d) **Djeca i mladi**

- i. *suzbijanje trgovine djecom i svih oblika nasilja i zlostavljanja djece te svih oblika dječjeg rada, suzbijanje dječjih brakova i promicanje politika koje uzimaju u obzir osobitu osjetljivost i potencijal mlađih i djece, zaštita njihovih prava, uključujući registraciju rođenja, zatim interesa, obrazovanja, zdravlja i sredstava za život, počevši sa sudjelovanjem i osnaživanjem;*
- ii. *jačanje kapaciteta i usmjeravanje pažnje zemalja u razvoju na izradu politika koje pogoduju mladima i djeci te promicanje uloge djece i mlađih kao sudionika u razvoju;*
- iii. *pružanje potpore razvoju konkretnih strategija i intervencija za rješavanje posebnih problema i izazova koji utječu na mlađe i djecu, posebno u području zdravlja, obrazovanja i zaposlenosti, uzimajući u obzir njihove interese u svim relevantnim aktivnostima.*

(e) Nediskriminacija

- i. pružanje potpore lokalnim, regionalnim, nacionalnim i globalnim inicijativama za promicanje nediskriminacije na osnovi spola, rodnog identiteta, rasnog ili etničkog porijekla, kaste, vjeroispovijesti ili uvjerenja, invaliditeta, bolesti, dobi i seksualne orijentacije putem izrade politika, planova i proračuna te razmjenom dobre prakse i stručnog znanja;
- ii. osiguranje šireg dijaloga o pitanju nediskriminacije i zaštiti branitelja ljudskih prava;

(f) Zapošljavanje, vještine, socijalna zaštita i socijalna uključenost:

- i. potpora visokih razina produktivnog i dostojanstvenog zapošljavanja posebice potpora zdravim politikama i strategijama zapošljavanja i obrazovanja, stručnog osposobljavanja relevantnog za moguće zapošljavanje na lokalnom tržištu rada i perspektive, radnih uvjeta uključujući, u neslužbenom gospodarstvu, promicanje dostojanstvenog rada na temelju osnovnih radnih standarda Međunarodne organizacije rada (ILO), uključujući borbu protiv dječjeg rada te socijalni dijalog, kao i olakšavanje mobilnosti radne snage, a istovremeno poštujući i promičući prava migranata;

- ii. jačanje socijalne kohezije posebice uz uspostavu i jačanje održivih sustava socijalne zaštite, uključujući programe socijalnog osiguranja za one koji žive u siromaštvu te uz fiskalnu reformu, jačajući kapacitete poreznih sustava i borbu protiv porezne prijevare i utaje poreza, a što doprinosi jačanju ravnopravnosti i raspoljile bogatstva;*
- iii. jačanje socijalne uključenosti i ravnopravnosti spolova uz suradnju na pravičnom pristupu osnovnim uslugama, zapošljavanju za sve, osnaživanju i poštivanju prava određenih skupina, osobito migranata, djece i mladih, osoba s invaliditetom, žena, autohtonih naroda i osoba koje pripadaju manjinama kako bi se osiguralo da te skupine mogu sudjelovati i da će sudjelovati te imati koristi od stvaranja bogatstva i kulturne raznolikosti.*

(g) **Rast, radna mjesta i angažiranost privatnog sektora**

- i. promicanje aktivnosti kojima se nastoji stvoriti više i boljih radnih mesta razvojem konkurentnosti i otpornosti lokalnih MMSP-ova te njihovom integracijom u lokalno, regionalno i globalno gospodarstvo, pomažući zemljama u razvoju da se integriraju u regionalne i multilateralne trgovinske sustave,*

- ii. razvoj lokalnih obrta koji služe da se očuva lokalna kulturna baština,*
- iii. razvoj socijalno i ekološko odgovornog lokalnog privatnog sektora i poboljšanje poslovnog okruženja,*
- iv. promicanje učinkovitih gospodarskih politika koje podupiru razvoj lokalnog gospodarstva i lokalnih industrija prema zelenom i uključivom gospodarstvu, učinkovitosti resursa te održivim procesima proizvodnje i potrošnje.*
- v. promicanje korištenja elektroničkih komunikacija kao alata za podršku rasta koji podržava siromašne u svim sektorima u cilju premošćivanja digitalnog jaza između zemalja u razvoju i industrijaliziranih zemalja te unutar samih zemalja u razvoju, kako bi se postigla odgovarajuća politika i regulatorni okvir na ovom području i promicanje razvoja potrebne infrastrukture te korištenje usluga i aplikacija na temelju informacijske i komunikacijske tehnologije (ICT-a).*
- vi. promicanje financijske uključivosti omogućavanjem pristupa korištenju financijskih usluga i korištenjem istih, kao što su mikrokrediti i štednja, mikroosiguranja i prijenos plaćanja od strane MMSP-ova i kućanstava, posebno zapostavljenih i ranjivih skupina.*

(h) Kultura

- i. *promicanje međukulturalnog dijaloga, kulturne raznolikosti i poštovanja jednakog dostojanstva svih kultura;*
- ii. *promicanje međunarodne suradnje kako bi se potaknuo doprinos kulturnih industrija gospodarskom rastu u zemljama u razvoju te potpuno iskoristio njezin potencijal u borbi protiv siromaštva, uključujući rješavanje pitanja kao što su pristup tržištu i prava intelektualnog vlasništva;*
- iii. *promicanje poštovanja za socijalne, kulturne i duhovne vrijednosti autohtonih naroda i manjina s ciljem jačanja ravnopravnosti i pravde u multietničkim društvima u skladu s univerzalnim ljudskim pravima na koja svi imaju pravo, uključujući autohtone narode i osobe koje pripadaju manjinama;*
- iv. *pružanje potpore kulturi kao potencijalnom gospodarskom sektoru za razvoj i rast.*

Sigurnost opskrbe hranom i ishrane i održiva poljoprivreda

Programom se jača suradnja, razmjena znanja i iskustva te kapaciteti partnerskih zemalja na četiri stupa sigurnosti opskrbe hranom *uz rodno osjetljiv pristup*: dostupnost hrane (proizvodnja), pristup (uključujući *zemljište, infrastrukturu za prijevoz hrane od područja gdje ima viška u područja gdje je nedostaje, tržišta, uspostava domaćih rezervi hrane, sigurnosne mreže*), *iskorištenost* (prehrambene intervencije na društveno osviještene načine) i stabilnost, uz istovremeno *davanje prioriteta ovim pet dimenzijama*: malo poljoprivredno i *stočarsko gospodarstvo, prerada hrane s ciljem stvaranja dodane vrijednosti*, upravljanje, regionalna integracija i mehanizmi pomoći za ugroženo stanovništvo. *Program će također obuhvatiti pitanje pravedne trgovine*

- (a) Promicanje razvoja održivog malog poljoprivrednog *i stočarskog gospodarstva* temeljenog na ekološkom sustavu, niskoj razini emisije ugljika i pristpu tehnologiji otpornoj na klimatske promjene (uključujući informacijske i komunikacijske tehnologije), *priznavanjem, promicanjem i jačanjem lokalnih i autonomnih strategija prilagodbe u odnosu na klimatske promjene* te kroz proširenje i tehničke usluge, programe ruralnog razvoja, proizvodne *i odgovorne* investicijske mjere, *u skladu s međunarodnim smjernicama, održivo* upravljanje zemljištem i prirodnim resursima, *zaštitu prava stanovništva na zemljište u različitim oblicima i pristup zemljištu za lokalno stanovništvo*, zaštitu genetske raznolikosti, u poticajnom gospodarskom okruženju;

- (b) potpora okolišno i društveno ***odgovornom*** kreiranju politike i upravljanju relevantnim sektorima, ulozi javnih i ne-javnih sudionika u reguliranju politike i korištenje javnih dobara, njezinoj organizacijskoj sposobnosti, stručnim organizacijama i ustanovama;
- (c) jačanje sigurnosti opskrbe hranom i prehrane odgovarajućim politikama, uključujući zaštitu biološke raznolikosti i usluga ekosustava, politikama o klimatskoj ***prilagodbi***, informacijskim sustavima, prevenciji i upravljanju krizama te prehrambenim strategijama usmjerenim na ugroženo stanovništvo ***koje mobiliziraju potrebne resurse kako bi se osigurale osnovne intervencije koje bi mogle spriječiti veliku većinu slučajeva pothranjenosti;***
- (d) poticanje sigurnih i održivih praksi duž cijelog opskrbnog lanca hrane i hrane za životinje.

Migracije i azil

Programom se namjerava učvrstiti politički dijalog, suradnja, razmjena znanja i iskustva te kapaciteti partnerskih zemalja, organizacije civilnog društva i lokalne vlasti kako bi se pružila podrška mobilnosti ljudi kao pozitivnog elementa ljudskog razvoja. Programom, koji se temelji na pristupu utemeljenom na pravima koja obuhvaćaju sva ljudska prava bez obzira na to radi li se o civilnim ili političkim, gospodarskim, socijalnim ili kulturnim pravima, potaknut će se pitanje rješavanja izazova migracijskih tokova, uključujući migracije „jug-jug”, situacije ugroženih migranata kao što su maloljetnici bez pratnje, žrtve trgovine ljudima, tražitelji azila, žene migranti te stanje u kojem se nalaze djeca, žene i obitelji koji su ostali u matičnim zemljama.

- (a) Promicanje upravljanja migracijama na svim razinama, *s posebnom pažnjom na društvene i gospodarske posljedice migracija i priznanje ključne uloge organizacija civilnog društva, uključujući dijasporu i lokalne vlasti u rješavanju pitanja migracija kao bitne komponente strategije razvoja;*

- (b) osiguranje boljeg upravljanja migracijskim tokovima u svim njihovim dimenzijama, *uključujući jačanjem kapaciteta vlada i drugih relevantnih zainteresiranih strana u partnerskim zemljama u područjima kao što su: zakonita migracija i mobilnost; prevencija nezakonite migracije, krijumčarenja migranata i trgovine ljudima; omogućavanje održivog povratka nezakonitih migranata i podupiranje dobrovoljnog povratka i reintegracije; kapaciteti integriranog upravljanja granicama; i međunarodna zaštita i azil.*
- (c) maksimalno povećanje razvojnog učinka povećane regionalne i globalne mobilnosti ljudi, *a posebno dobro vodene migracije radne snage, poboljšanje integracije migranata u odredišnim zemljama*, promicanje i zaštita prava migranata *i njihovih obitelji* putem potpore oblikovanju i provedbi dobrih regionalnih i nacionalnih politika za migracije i azil, putem integracije migracijske dimenzijske u ostale regionalne i nacionalne politike *te putem podrške sudjelovanju organizacija migranata i lokalnih vlasti u oblikovanju politika i u praćenju procesâ provedbe politika;*

- (d) poboljšanje zajedničkog razumijevanja veza između migracije i razvoja, uključujući socijalne i ekonomske posljedice vladinih politika bilo onih u migraciji/azilu ili u drugim sektorima;
- (e) ***jačanje kapaciteta prihvata i azila u partnerskim zemljama.***

Programom će se upravljati u skladu s Fondom za migracije i azil i Fondom za unutarnju sigurnost, istovremeno poštujući načelo dosljednosti politike za razvoj.

B PROGRAM O ORGANIZACIJAMA CIVILNOG DRUŠTVA I LOKANIM VLASTIMA

U skladu sa *zaključcima Inicijative za strukturirani dijalog i potporom EU-a demokraciji, ljudskim pravima i dobrom upravljanju, cilj programa je jačanje organizacija civilnog društva i lokalnih vlasti u partnerskim zemljama te, kada je to predviđeno Uredbom, u Uniji, zemljama kandidatkinjama i potencijalnim zemljama kandidatkinjama. Cilj programa je jačanje poticajnog okruženja za sudjelovanje građana i aktivnosti civilnog društva te suradnje, razmjene znanja i iskustva te kapaciteta organizacija civilnog društva i lokalnih vlasti u partnerskim zemljama kao potpora međunarodno dogovorenim razvojnim ciljevima.*

U skladu s uvjetima utvrđenim u članku 6., program doprinosi:

- (a) uključivom i osnaženom društvu u partnerskim zemljama kroz jačanje organizacija civilnog društva i lokalnih vlasti te osnovnih usluga pruženih potrebitom stanovništvu;
- (b) povećanoj razini svijesti europskih građana o pitanjima razvoja i mobiliziranju aktivne potpore javnosti u Uniji, potencijalnim zemljama kandidatkinjama i zemljama kandidatkinjama za smanjenje siromaštva te za strategije održivog razvoja u partnerskim zemljama;

- (c) povećanom kapacitetu mreža europskih i južnih civilnih društva i mrežama, *platformama i savezima* lokalnih vlasti kako bi se osigurao sadržajni i kontinuirani politički dijalog na području razvoja *te kako bi se promicalo demokratsko upravljanje*.

Moguće aktivnosti koje će se podržati ovim programom :

- (a) intervencije u partnerskim zemljama koje podržavaju ugrožene i marginalizirane skupine pružanjem osnovnih usluga koje su osigurane kroz organizacije civilnog društva i lokalne vlasti;
- (b) razvoj kapaciteta ciljanih sudionika kao dopuna potpori koja se dodjeljuje u okviru nacionalnog programa, aktivnosti usmjerenih na:
- i. *stvaranje poticajnog okruženja za sudjelovanje građana i aktivnosti civilnog društva te* kapaciteta organizacija civilnog društva s ciljem učinkovitog sudjelovanja u *oblikovanju politika i u praćenju procesâ provedbe politika*;
 - ii. *omogućavanje poboljšanog dijaloga i* bolje interakcije između organizacija civilnog društva, *lokalnih vlasti*, države i drugih razvojnih sudionika u kontekstu razvoja;

- iii. ***jačanje*** kapaciteta lokalnih vlasti za učinkovito sudjelovanje u razvojnem procesu kojim se potvrđuje njihova posebna uloga i specifičnosti;
- (c) podizanje javne svijesti o razvojnim pitanjima, ***osnaživanje ljudi da postanu aktivni i odgovorni građani*** i promicanje formalnog i neformalnog obrazovanja za razvoj u Uniji, u zemljama kandidatkinjama i potencijalnim kandidatkinjama, kako bi se učvrstila razvojna politika u europskim društvima, mobilizirala veća potpora javnosti za akciju protiv siromaštva i za ravnopravnije odnose između razvijenih zemalja i zemalja u razvoju, kako bi se podigla svijest o problemima i poteškoćama s kojima se suočavaju zemlje u razvoju i njihovi narodi i promicalo ***pravo na proces razvoja u kojemu se sva ljudska prava i temeljne slobode mogu u potpunosti ostvariti*** i kako bi se promicala socijalna dimenzija globalizacije;
- (d) koordinacija, razvoj kapaciteta i institucionalno jačanje civilnog društva i mreža lokalnih vlasti, unutar svojih organizacija i između različitih vrsta zainteresiranih strana aktivnih u europskoj javnoj raspravi o razvoju, kao i koordinacija, razvoj kapaciteta i institucionalno jačanje južnih mreža organizacija civilnog društva i lokalnih vlasti te krovnih organizacija.

Organizacije civilnog društva su nedržavni, neprofitni sudionici koji djeluju na neovisnoj i odgovornoj osnovi što uključuje: nevladine organizacije, organizacije koje predstavljaju autohtone narode, organizacije koje zastupaju nacionalne i/ili etničke manjine, *organizacije dijaspore, organizacije migranata u partnerskim zemljama*, lokalne udruge trgovaca i skupine građana, zadruge, udruge poslodavaca i sindikate (socijalni partneri), organizacije koje zastupaju gospodarske i društvene interese, organizacije koje se bore protiv korupcije i prijevare i promiču dobro upravljanje, organizacije za zaštitu građanskih prava i organizacije za borbu protiv diskriminacije, lokalne organizacije (uključujući mreže) uključene u decentraliziranu regionalnu suradnju i integraciju, potrošačke organizacije, ženske organizacije i organizacije mladih, organizacije za zaštitu okoliša, poučavanje, kulturu, istraživanja i znanost, sveučilišta, crkve i vjerske udruge i zajednice, mediji i bilo koje nevladine udruge i neovisne zaklade, uključujući i nezavisne političke zaklade za koje je vjerojatno da će doprinijeti provedbi ciljeva ove Uredbe.

Lokalne vlasti obuhvaćaju širok raspon podnacionalnih razina i grana vlasti, odnosno općine, zajednice, okruge, županije, pokrajine, regije itd.

PRILOG VI.

PODRUČJA **SURADNJE** U OKVIRU PANAFRIČKOG PROGRAMA

Panafrički program podržava ciljeve i opća načela *strateškog partnerstva između EU-a i Afrike. Programom se promiču načela partnerstva koje je usmjereno na ljude i „tretiranje Afrike kao cjeline”, kao i koherentnost između regionalne i kontinentalne razine. Usredotočuje se na aktivnosti transregionalne, kontinentalne ili globalne prirode u Africi i s Afrikom te podupire zajedničke inicijative između Afrike i EU-a na globalnoj sceni.* Program posebno pruža podršku na sljedećim područjima partnerstva:

- mir i sigurnost,
- demokratsko upravljanje i ljudska prava,
- trgovina, regionalna integracija i infrastruktura (uključujući *sirovine*),
- *Milenijski razvojni ciljevi i novi međunarodno dogovoren razvojni ciljevi nakon 2015.,*
- energetika,
- klimatske promjene i okoliš,
- migracija, mobilnost i zapošljavanje,
- znanost, informacijsko društvo i svemir, ■
- horizontalna pitanja.

6. ■

7. PRILOG VII.

OKVIRNA DODJELA FINANCIJSKIH SREDSTAVA ZA RAZDOBLJE 2014.-2020.

(u milijunima eura)

Ukupno **19 662**

(1) Geografski programi **11 809^l**

|

a) *Po geografskom području*

- | | |
|---------------------------------------|--------------|
| • <i>Latinska Amerika</i> | 2 500 |
| • <i>Južna Azija</i> | 3 813 |
| • <i>Sjeverna i jugoistočna Azija</i> | 2 870 |
| • <i>Srednja Azija</i> | 1 072 |
| • <i>Bliski istok</i> | 545 |
| • <i>Ostale zemlje</i> | 251 |

b) *Po području suradnje*

- | |
|---|
| • <i>Ljudska prava, demokracija i dobro upravljanje</i> 15 % |
| • <i>Uključivi i održivi rast za ljudski razvoj</i> najmanje 45 % |

^l

od čega je 758 milijuna eura nedodijeljenih sredstava

(2)	<i>Tematski programi</i>	7 008
(a)	<i>Globalna javna dobra i izazovi</i>	5 101
	• <i>Zaštita okoliša i klimatske promjene</i> ¹	27 %
	• <i>Održiva energija</i>	12 %
	• <i>Ljudski razvoj, uključujući dostojanstveni rad, socijalnu pravdu i kulturu</i>	25 %

Od čega:

- **Zdravstvo** *najmanje 40 %*
 - **Obrazovanje, znanje i vještine** *najmanje 17,5 %*
 - **Ravnopravnost spolova, osnaživanje žena i zaštita prava žena i djevojčica; djeca i mlađi, nediskriminacija; zaposlenost, vještine, socijalna zaštita i socijalna uključenost; rast, radna mjesta i angažiranost privatnosti sektora, kultura** *najmanje 27,5 %*

¹ U načelu, sredstva bi trebala biti ravnomjerno raspoređena između okoliša i klimatskih promjena.

- *Sigurnost opskrbe hranom i održiva poljoprivreda* 29 %
- *Migracija i azil* 7 %

Najmanje 50 % sredstava, prije uporabe markera na temelju metodologije OECD-a (Rio markeri), služit će za klimatske akcije i ciljeve vezane za okoliš.

(b) Organizacije civilnog društva i lokalne vlasti **I 907**

(3) Panafrički program **845**

ANNEX TO THE LEGISLATIVE RESOLUTION

STATEMENTS and DECLARATIONS

Draft Statement by the Commission on the strategic dialogue with the European Parliament¹

On the basis of Article 14 TEU, the Commission will conduct a strategic dialogue with the European Parliament prior to the programming of [add the name of the corresponding ENI, DCI, IPA II, EIDHR, ISP, PI Regulation] and after initial consultation of its relevant beneficiaries, where appropriate. The Commission will present to the Parliament the relevant available documents on programming with indicative allocations foreseen per country/region, and, within a country/region, priorities, possible results and indicative allocations foreseen per priority for geographic programmes, as well as the choice of assistance modalities*. The Commission will present to the Parliament the relevant available documents on programming with thematic priorities, possible results, choice of assistance modalities*, and financial allocations for such priorities foreseen in thematic programmes. The Commission will take into account the position expressed by the European Parliament on the matter.

The Commission will conduct a strategic dialogue with the European Parliament in preparing the Mid Term Review and before any substantial revision of the programming documents during the period of validity of this Regulation.

The Commission, if invited by the European Parliament, will explain where Parliament's observations have been taken into consideration in the programming documents and any other follow-up given to the strategic dialogue.

¹ The Commission will be represented at the responsible Commissioner level

CIR, IPA II, ENI, PI, DCI

**Draft Statement by the European Parliament on the suspension of assistance
granted under the financial instruments**

The European Parliament notes that the Regulation establishing a financing instrument for development cooperation, the Regulation establishing a European Neighbourhood Instrument, the Regulation establishing a Partnership Instrument for cooperation with third countries and the Regulation on the Instrument for Pre-accession Assistance do not contain any explicit reference to the possibility of suspending assistance in cases where a beneficiary country fails to observe the basic principles enunciated in the respective instrument and notably the principles of democracy, rule of law and the respect for human rights.

The European Parliament considers that any suspension of assistance under these instruments would modify the overall financial scheme agreed under the ordinary legislative procedure. As a co-legislator and co-branch of the budgetary authority, the European Parliament is therefore entitled to fully exercise its prerogatives in that regard, if such a decision is to be taken.

Draft

DECLARATION BY THE EUROPEAN PARLIAMENT, THE COUNCIL AND THE COMMISSION ON ARTICLE 5.2(c) OF THE DCI

With regard to the application of Article 5.2.c) of Regulation (EU) Nr. .../xxxx at the time of entry into force of that Regulation, the following partner countries are considered eligible for bilateral cooperation, as exceptional cases, including in view of phasing out development grant aid: Cuba, Colombia, Ecuador, Peru and South Africa.

Draft

DECLARATION BY THE COMMISSION ON ARTICLE 5 OF THE DCI

The Commission will seek the views of the European Parliament before changing the application of Article 5.2.c).

Draft Declaration by the Commission

This Regulation should enable the Union to contribute to fulfilling the joint Union commitment of providing continued support for human development to improve people's lives in line with the MDGs. At least 20% of allocated assistance under this Regulation will be allocated to basic social services, with a focus on health and education, and to secondary education, recognising that a degree of flexibility must be the norm, such as cases where exceptional assistance is involved. Data concerning the respect of this declaration will be included in the annual report referred to in Article 13 of the Common Implementing Regulation.

EXPLANATORY STATEMENT

1. Background

On December 7, 2011 the European Commission's proposal for establishing a financing instrument for development cooperation was published, which started the procedure that will lead to a new legal base for the Union's development policies. This proposal contained several new aspects. Differentiation is perhaps the most important element, the distinction between the least developed countries and those who have evolved into higher middle income countries. Equally important is the reduction of the number of thematic programmes from five to two, slimming down the body of the text while using annexes to specify the priorities for the geographic and thematic programmes. Simplification is another objective of the proposal, taking full benefit of the overarching goal of alignment to partner countries' policies. Country strategy papers will no longer be needed if the partner country has its own development plan.

Your rapporteur shares the principles and objectives which the Commission laid down in its proposal, but suggests several amendments, many of which reflect priorities previously expressed by Parliament as regards the Union's future development policy and its implementation.

2. Main aspects of the draft report

a) Coordination and added value

The development policies of the Union should take full advantage of the added value of the EU, combining the weight of the Member States and adding their joint political resolve to reach the full leverage the Union can achieve. This is more than just a question of efficient use of financial resources. Indeed, coordination and a smart division of labour between Member States and Commission will lead to better use of funds and this should be a priority. Therefore, your rapporteur welcomes the wording in the DCI proposal on "greater complementarity and better harmonisation, alignment with partner countries and coordination of procedures both between the Union and its Member States and in relations with other donors and development actors", to avoid overlap as well as omissions and to ensure cost-effective aid delivery. Your rapporteur would like to see the joint programming by the Union and its Member States to become a reality where ever possible.

Meanwhile, the Union's role as a donor of development aid should be more than just being the 28th European donor, and its role should also be more than that of the coordinator. The Union's potential weight can be most useful in fields that are political by nature: the promotion of democracy, good governance, the Rule of Law and human rights.

b) Differentiation

An important new aspect in the Commission's proposal is the concept of differentiation. As some Middle Income Countries (MICs) have found their way to the G20 (BRICS) and are providing development aid themselves (China) the need for maintaining bilateral aid programmes with these countries seems to have decreased. If budget support provided by the

EU is only a very small fraction of the overall budget of a partner country, the impact is limited. And if a country has enough wealth to build roads in Sub-Saharan Africa it should be able to provide health care for its own citizens. However, it cannot be ignored that around 70% of the world's poor live in these MICs and that the overall objective of EU development aid is to reduce this poverty.

In its proposal, the Commission rightly chooses to focus its budget for the geographic programmes on the Least Developed Countries (LDCs) and other Low Income Countries, with the argument that the EU must target its resources where they are needed most and where they will have the greatest impact. Therefore the Commission proposes to exclude 19 countries from receiving aid under the bilateral geographic programmes, using GNI per capita as the (rather robust) criterion. These countries will, however, still be eligible for the thematic programmes.

Although your rapporteur agrees with the principle that aid should be targeted where it is most needed and where it will have the most impact, he does not think that the decision for graduation can be based on the sole criterion of GNI per capita alone, as GNI does not reflect social inequalities. Furthermore, there should be more flexibility in phasing out the geographic programmes.

Therefore the following adjustments have been introduced: while the GDP per capita remains the starting point for differentiation, additional indicators are suggested which measure the extent of poverty, inequality and Human Development. In addition, qualitative criteria should be applied with regard to all middle income countries: bilateral aid should only continue if the EU has significant potential leverage and can provide added value.

Phasing out of geographic programmes is made possible, whereby close coordination should be sought with other donors and the partner country. During this transition period only specific areas should be targeted such as tax systems, social cohesion, good governance and human rights.

c) Simplification

One of the priorities of the Commission for this MFF is to simplify the regulatory environment. For DCI this means that, where possible, the Union will align to the national development plans of the partner countries and to their programming cycle. When there is an appropriate national development plan, no Country Strategy Paper (CSP) will be drafted for that partner country.

Your rapporteur agrees with this concept. However, he does not agree with the proposal by the Commission that "joint framework document" shall be used as a basis for programming, since these documents are not subject to any parliamentary control, neither at EU, nor at partner country level.

d) Human Development

In its proposal, the Commission states that at least 20% of the "global public goods and challenges" thematic programme should support social inclusion and human development. Your rapporteur is of the opinion that the term "human development and social inclusion" is too vague. Therefore he suggests strengthening this benchmark by clarifying that "*at least*

20% of the funds under this regulation should be used for supporting the provision of basic social services, as defined by the United Nations in the Millennium Development Goals". This keeps the proposal in line with the earlier position of the European Parliament and with the commitment made in the current DCI.

e) Policy Coherence for Development

Your rapporteur was surprised to note that Policy Coherence for Development was not mentioned as such in the proposal by the Commission. Only a reference to article 21 of TFEU, on overall consistency of EU's external action, was given. Therefore a specific reference to PCD has been added to several parts of the text, to stress the importance of this requirement: the achievement of the main objective of EU's development policy - poverty reduction - should not be hampered by other actions of the Union.

f) Linking Relief Rehabilitation and Development

The gap between humanitarian aid and development aid, between emergency and long term action, still remains a major challenge. The two approaches have different perspectives and different procedures and a strong political commitment is needed to bring about a better linkage. The Commission shows this commitment, but a clear message on the link between relief, rehabilitation and development (LRRD) is needed in the new DCI. In its proposal the Commission has devoted a separated article (12) on this matter, which your rapporteur has adjusted slightly. The definition of a crisis is defined and wording on natural disasters and strengthening of people's resilience is added.

g) Climate change adaptation and mitigation

The proposal supports the objective of the Commission to dedicate at least 20% of the EU budget to a low carbon and climate resilient society. However, your rapporteur has added the reference that the EU and its Member States have pledged to provide the financing for climate mitigation and adaptation measures in developing countries additional to their commitments of 0,7% GNI to ODA, as financing for climate change under DCI should not undermine or jeopardize the fight against poverty.

h) Pan African Programme

A new element in the proposal is the Pan African Programme which supports the implementation of the Joint Africa-EU Strategy on a regional, continental and global level. Although your rapporteur understands the need for this programme, some modifications were made to ensure the complementarity and consistency with the DCI regulation. Furthermore, the involvement of the European Parliament and the Pan African Parliament is established.

i) Annex IV

In order to ensure the link between the overall objectives of the DCI regulation, the areas of cooperation for the geographic programmes need to be clearly defined, while providing sufficient scope for the choice of priorities based on the dialogue with partner countries. For this reason the three themes and its subthemes suggested by the Commission are further specified in Annex IV A.

3. Horizontal elements relating to the financing instruments for the EU external action (2014-2020)

The European Parliament, as strong proponent of an ambitious, comprehensive and consistent Union foreign policy calls on for a set of coherent financing instruments for EU external action. This should ensure the promotion of the Union's objectives and values in the world based upon democracy, rule of law and human rights, in order to bring peace, security, stability and economic prosperity. The geographic and thematic financing instruments proposed by the Commission for the period 2014-2020 are essential tools for implementing this approach.

The European Parliament acts in the spirit of responsibility based on the fundamental principle of accountability of the Union towards its citizens as to the allocation of resources and the choice of objectives and strategies. The new framework for the external action should be not only resource efficient and effective, but democratically legitimate. It is therefore crucial to make future generation of financing instruments both properly funded under the next Multiannual Financial Framework as well as designed to reflect and support the political ambitions and priorities with respect of the specificity of each of the instruments and the related policies.

Using its prerogatives and powers granted under the Lisbon Treaty, as co-legislator for these instruments and as budgetary authority, the European Parliament wishes these instruments:

- to deliver clear impact and visible results on the ground, in order to achieve clear objectives agreed at political level;
- to be prepared, implemented, monitored and assessed in a transparent and inclusive way, involving as far as possible, where applicable and appropriate, partner countries at national, regional and local level, as well as civil society;
- to be construed on a logic of coordination and synergies amongst each other and with other policy lines of the EU for its external action, but also with programmes of EU Member States and international donors addressing the same thematic or geographic areas behind shared policy objectives, thus avoiding overlaps and a waste of resources and efforts, and on the contrary increasing the positive impact of Union's programmes;
- to provide mechanisms which allow for a rapid reaction to unforeseen developments such as political transitions in third countries or the emergence of new global challenges requesting adequate and timely responses by the EU, while ensuring an adequate degree of predictability for the benefit of the partner countries.

This general approach is reflected in the amendments proposed in the draft reports. In particular, the reports propose that the two co-legislators, European Parliament and Council, delegate their powers to the Commission for preparing all the strategic programming documents defining objectives, priorities, expected results and financial allocations in broad terms, and adopt these documents as delegated acts, so as to allow for flexibility while ensuring democratic legitimacy and transparency through the equal involvement of both co-legislators at this strategic level.

These Instruments should remain in force for seven years, which makes democratic control

even more important. As co-legislator, the European Parliament has the duty to ensure that these instruments are programmed and implemented in line with the spirit of the basic act. This does not mean being involved in micro-management, since the Parliament trusts the professionalism and the expertise of the Commission and the European External Action Service. However, in order to achieve one of the main goals of the Lisbon Treaty, which is to overcome the democratic deficit of the EU, EP involvement in strategic programming decisions through the procedure for delegated acts constitutes an absolute necessity.

12.7.2012

OPINION OF THE COMMITTEE ON FOREIGN AFFAIRS

for the Committee on Development

on the proposal for a regulation of the European Parliament and of the Council establishing a financing instrument for development cooperation
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

Rapporteur: Ana Gomes

SHORT JUSTIFICATION

Besides the overarching goal of poverty reduction, the financing instrument for development cooperation is an important tool to promote respect for human rights, the rule of law, transparency, democratisation and good governance in the partner countries covered by it.

The Parliament should be promptly and fully informed of actions carried out by the European External Action Service in cases of human rights violations in DCI beneficiary countries. Systematic or grave violations of human rights should trigger ad hoc reviews of the strategy document and possibly lead to re-evaluating the scope, priorities and channels of the funding and, in extreme cases, to the consideration of the suspension of DCI assistance.

The Parliament should be closely associated in decisions on bilateral, geographic and thematic priorities and objectives pursued, as well as the expected results and breakdown of financial allocations and by percentage to each sector. With a view to increasing flexibility and efficiency for their adoption and revision, a delegation of power should be conferred upon the Commission for these matters.

Civil society organisations provide invaluable feedback on the needs of various groups of individuals, local communities, private sector organisations, trade unions and other elements of society, as well as international civil society. National parliaments must be involved as well. They should all be systematically and closely engaged in consultations from the programming stage to evaluations and reviews.

AMENDMENTS

The Committee on Foreign Affairs calls on the Committee on Development, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation
Recital 4

Text proposed by the Commission

(4) The Union is founded on the values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, the principles of equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these values in partner countries and regions through dialogue and cooperation.

Amendment

(4) The Union is founded on the values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, ***gender equality***, the principles of equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these values in partner countries and regions through dialogue and cooperation.

Amendment 2

Proposal for a regulation
Recital 4 a (new)

Text proposed by the Commission

Amendment

(4a) The Union acknowledges that respect for human rights, fundamental freedoms, the promotion of the rule of law, democratic principles, transparency, good governance, peace and stability, and gender equality are essential for the development of partner countries, and that those issues should be mainstreamed in the Union's development policy, particularly in programming and in agreements with partner countries. The Union is committed to ensuring that a country's record concerning human rights, democracy and the rule of law has a more direct impact on the programming, modalities and channels of aid, and on the reviewing of general budget support.

Amendment 3

**Proposal for a regulation
Recital 5**

Text proposed by the Commission

(5) The Union also aims to ensure coherence with other areas of its external action. This should be ensured when formulating the Union's ***development cooperation*** policy and its strategic planning programming and implementation of measures.

Amendment

(5) The Union also aims to ensure coherence with other areas of its external action. This should be ensured when formulating the Union's ***external dimension of, notably, its security, trade, investment, agriculture and fisheries*** policy and its strategic planning programming and implementation of measures.

Amendment 4

**Proposal for a regulation
Recital 5 a (new)**

Text proposed by the Commission

Amendment

(5a) The Union and the Member States recognise that development assistance programmes must be accompanied by joint efforts at multilateral level to create an effective international legal framework to tackle illicit financial flows from developing countries, mechanisms for the disclosure of payments by multinational companies to developing countries, the exchange of information and fight against secrecy jurisdictions, which foster corruption and greatly undermine the domestic resources of developing countries to finance development. Development programmes should thus include and be complemented by initiatives to strengthen anti-money laundering measures, address tax evasion and create legal frameworks and institutional arrangements for the tracing, freezing and recovery of illegal assets, both in donor and partner countries.

Amendment 5

Proposal for a regulation
Recital 5 b (new)

Text proposed by the Commission

Amendment

(5b) With a view to policy for development, it is important that Union external policies do not counteract developing countries' efforts in achieving the MDGs in line with Article 208 of the Treaty on the Functioning of the European Union.

Amendment 6

Proposal for a regulation
Recital 7

Text proposed by the Commission

Amendment

(7) Union assistance should support the Joint Africa-EU Strategy and its successive Actions Plans which forms the framework for broad and mutually beneficial cooperation within a Strategic Partnership characterised by the pursuit of common objectives on an equal footing.

(7) Union assistance should support the Joint Africa-EU Strategy and its successive Actions Plans which forms the framework for broad and mutually beneficial cooperation within a Strategic Partnership characterised by the pursuit of common objectives on an equal footing. ***The consolidation of multilateral institutions and governance is an important factor in making a significant contribution to strengthening the Union's and partner countries' and regions' role and place in the world.***

Amendment 7

Proposal for a regulation
Recital 8

Text proposed by the Commission

Amendment

(8) The Union and the Member States should improve the consistency ***and*** the complementarity of their respective

(8) The Union and the Member States should improve the consistency, the complementarity ***and the efficiency*** of

policies on development cooperation, in particular by responding to partner countries' and regions' priorities at country and regional level. To ensure that the Union's development cooperation policy and that of the Member States complement and reinforce each other, it is appropriate to provide for joint programming procedures which should be implemented whenever possible and relevant.

their respective policies on development cooperation, in particular by responding to partner countries' and regions' priorities at country and regional level. To ensure that the Union's development cooperation policy and that of the Member States complement and reinforce each other, it is appropriate to provide for joint programming procedures which should be implemented whenever possible and relevant.

Amendment 8

Proposal for a regulation **Recital 9**

Text proposed by the Commission

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union *and* its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.

Amendment

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union, its Member States, *and the European Parliament* including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.

Amendment 9

Proposal for a regulation **Recital 10**

Text proposed by the Commission

(10) The Union should promote a comprehensive approach in response to crisis and disaster and to conflict-affected and fragile situations, including those of transition. This should in particular build on the Council conclusions on Security and

Amendment

(10) The Union should promote a comprehensive approach in response to crisis and disaster and to conflict-affected and fragile situations, including those of transition. This should in particular build on the Council conclusions on Security and

Development on an EU response to situations of fragility on conflict prevention as well as any relevant subsequent conclusions. This should provide the necessary mix of approaches, responses and instruments in particular by ensuring an appropriate balance between the security-oriented, development and humanitarian approaches, and by linking short-term reaction with the long term support.

Development on an EU response to situations of fragility on conflict prevention as well as any relevant subsequent conclusions. This should provide the necessary mix of approaches, responses and instruments in particular by ensuring an appropriate balance between the security-oriented, development and humanitarian approaches, and by linking short-term reaction with the long term support. ***Each country analysis and programming should contain a conflict analysis.***

Amendment 10

Proposal for a regulation Recital 11

Text proposed by the Commission

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development and worldwide promotion of democracy, good governance, human rights and the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming would target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries,

Amendment

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development , ***conservation of the environment, climate change mitigation and adaptation***, worldwide promotion of democracy, good governance, human rights and the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming would

as well as the impact of EU assistance.

target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance. *To ensure the greatest impact of Union assistance in the world, the implementation of this Regulation should be strictly coordinated with programmes and actions funded under other Regulations establishing external financing instruments, namely Regulation (EU) No [...] of the European Parliament and of the Council establishing an Instrument for Stability and Regulation (EU) No [...] of the European Parliament and of the Council establishing a financing instrument for the promotion of democracy and human rights worldwide and Regulation (EU) No [...] of the European Parliament and of the Council establishing a Partnership Instrument for cooperation with third countries..*

Amendment 11

Proposal for a regulation Recital 12

Text proposed by the Commission

(12) This Regulation should provide an enabling framework for programming, allowing enhanced **consistency** between Union policies by using a joint framework document as a basis for programming. It should enable full alignment with partner countries and regions by relying, where **appropriate**, on national development plans or similar comprehensive development documents; and pursue a better coordination amongst donors, in particular between the Union and its Member States, through joint programming.

Amendment

(12) This Regulation should provide an enabling framework for programming, allowing enhanced **policy coherence for development** between Union policies by using a joint framework document as a basis for programming. It should enable full alignment with partner countries and regions by relying, where **possible**, on national development plans or similar comprehensive development documents **adopted by parliaments of partner countries or partner regions upon consultation of their respective civil societies**; and pursue a better coordination amongst donors, in particular between the Union and its Member States, through joint

programming.

Amendment 12

Proposal for a regulation

Recital 16

Text proposed by the Commission

(16) Commission communication

"Increasing the impact of EU Development Policy: an Agenda for Change" envisages continued support for social inclusion and human development through at least 20% of the Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development.

Amendment

(16) Commission communication

"Increasing the impact of EU Development Policy: an Agenda for Change" envisages continued support for social inclusion and human development through at least 20% of the Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development, ***with a focus on health and basic education.***

Amendment 13

Proposal for a regulation

Recital 17

Text proposed by the Commission

(17) The lists of partner countries under this Regulation should be adapted on the basis of possible changes to their status as established by the Development Assistance Committee of the Organization for Economic Cooperation and Development (OECD/DAC), as well as important changes in human development, aid dependency, crisis situations, vulnerability and other aspects including the dynamics of the development process. Such updates, reviews of the partner countries eligible for bilateral development cooperation and modifications in the definitions of detailed areas of cooperation and activities, and adjustments of the indicative financial allocation per programme, constitute non-essential elements of this Regulation. Consequently, in order to adapt its scope to

Amendment

(17) The lists of partner countries under this Regulation should be adapted on the basis of possible changes to their status as established by the Development Assistance Committee of the Organization for Economic Cooperation and Development (OECD/DAC), as well as important changes in human development, aid dependency, crisis situations, vulnerability and other aspects including the dynamics of the development process. Such updates, reviews of the partner countries eligible for bilateral development cooperation and modifications in the definitions of detailed areas of cooperation and activities, and adjustments of the indicative financial allocation per programme, constitute non-essential elements of this Regulation. Consequently, in order to adapt its scope to

the rapidly evolving reality in third countries, the power to adopt acts in accordance with Article 290 of the Treaty of the Functioning of the European Union should be delegated to the Commission for updating the Annexes of this Regulation which includes the list of partner countries and regions eligible for Union financing, the definition of detailed areas of cooperation under geographic and thematic programmes and the indicative allocations per programme. It is of particular importance that the Commission should carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing up delegated acts, should further ensure a simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and Council.

the rapidly evolving reality in third countries, the power to adopt acts in accordance with Article 290 of the Treaty of the Functioning of the European Union should be delegated to the Commission for updating the Annexes of this Regulation which includes the list of partner countries and regions eligible for Union financing, the definition of detailed areas of cooperation under geographic and thematic programmes and the indicative allocations per programme. It is of particular importance that the Commission should carry out appropriate consultations during its preparatory work, including at expert level **and with the full and timely engagement of civil society organisations.** The Commission, when preparing and drawing up delegated acts, should further ensure a simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and Council.

Amendment 14

Proposal for a regulation Recital 19

Text proposed by the Commission

(19) The implementing powers relating to strategy papers and multiannual indicative programmes laid down in Articles 11 to 14 of this Regulation should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers. Taking into account the nature of those implementing acts, in particular their policy orientation nature or their budgetary implications, the examination procedure should in

Amendment

(19) With a view to empowering the Commission to adopt delegated acts, strategy papers and multiannual indicative programmes laid down in Articles 11 to 14 of this Regulation should be considered non-essential elements of the Regulation within the meaning of Article 290(1) of the Treaty on the Functioning of the European Union.

*general be used for their adoption, except for measures of a small financial scale.
The Commission should adopt immediately applicable implementing acts where, in duly justified cases relating to the need for a swift response from the Union, imperative grounds of urgency so requires.*

Amendment 15

**Proposal for a regulation
Recital 19 a (new)**

Text proposed by the Commission

Amendment

(19a) The implementing powers resulting from this Regulation should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers¹.

¹ OJ L 55, 28.2.2011, p. 13

Amendment 16

**Proposal for a regulation
Article 2 – paragraph 1 – point b – point ii**

Text proposed by the Commission

Amendment

(ii) promoting democracy, the rule of law, good governance and respect for human rights.

(ii) promoting democracy, the rule of law, good governance, **transparency, gender equality** and respect for human rights.

Amendment 17

Proposal for a regulation
Article 2 – paragraph 1 – subparagraph 1

Text proposed by the Commission

The achievement of these objectives shall be measured using *relevant* indicators, in particular MDG 1 for subparagraph (a) and MDGs 1 to 8 for subparagraph (b), and other indicators agreed by the Union and its Member States.

Amendment

The achievement of these objectives shall be measured using *human development* indicators, in particular MDG 1 for subparagraph (a) and MDGs 1 to 8 for subparagraph (b), and other indicators agreed by the *UN, the* Union and its Member States.

Amendment 18

Proposal for a regulation
Article 3 – paragraph 1

Text proposed by the Commission

1. The Union seeks to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions.

Amendment

1. The Union seeks to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights, *including social, economic and cultural rights* and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions. *This calls for a rights-based approach, promoting in particular the right to universal and non-discriminatory access to basic services, participation in democratic processes, transparency and accountability.*

Amendment 19

Proposal for a regulation
Article 3 – paragraph 2 – point c

Text proposed by the Commission

(c) commitments and performance.

Amendment

(c) commitments and performance; *in particular commitment and progress in attaining agreed human rights, transparency and democratisation*

objectives and priorities.

Amendment 20

Proposal for a regulation Article 3 – paragraph 3

Text proposed by the Commission

3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, women empowerment, non-discrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.

Amendment

3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, women empowerment, non-discrimination, democracy, good governance, ***the fight against corruption***, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change , ***conflict prevention*** and combating HIV/AIDS.

Amendment 21

Proposal for a regulation Article 3 – paragraph 4

Text proposed by the Commission

4. Particular attention shall be given to strengthening the rule of law, improving access to justice and supporting civil society, trade and sustainable development, access to ICTs, health and food security, as well as promoting dialogue, participation and reconciliation, and institution-building.

Amendment

4. Particular attention shall be given to strengthening the rule of law, improving access to justice and supporting civil society, ***supporting decentralisation***, trade and sustainable development, access to ICTs, health and food security, as well as promoting dialogue, participation and reconciliation, and institution-building, ***including at regional and local level.***

Amendment 22

Proposal for a regulation
Article 3 – paragraph 5 a (new)

Text proposed by the Commission

Amendment

5a. In particular, programming activities under this Regulation shall have due regard to the human rights country strategy papers prepared by the Union, which establish country-specific priorities, objectives and benchmarks on human rights and democratisation. Human rights country strategy papers shall be integrated into development programmes, aiming at tailoring a common and coherent Union approach to human rights.

Amendment 23

Proposal for a regulation
Article 3 – paragraph 8 - introductory part

Text proposed by the Commission

Amendment

8. The Union shall promote effective cooperation with partner countries and regions in line with international best practice. It shall increasingly align its support with partners' national or regional development strategies, reform policies and procedures. It shall contribute to strengthening the process of mutual accountability between partner governments and institutions and donors and promote **local expertise and local employment**. To that end, it shall promote:

8. The Union shall promote effective cooperation with partner countries and regions in line with international best practice. It shall increasingly align its support with partners' national or regional development strategies, reform policies and procedures. It shall contribute to strengthening the process of **partner countries' accountability to its citizens as well as** mutual accountability between partner governments and institutions and donors, **strengthening the capacity of local administration and promote local employment**. To that end, it shall promote:

Amendment 24

Proposal for a regulation
Article 3 – paragraph 8 – point b

Text proposed by the Commission

(b) inclusive and participatory approaches to development and a broad involvement of all segments of society in the development process and in national and regional dialogue, including political dialogue;

Amendment

(b) inclusive and participatory approaches to development and a broad involvement of all segments of society in the development process and in national and regional dialogue, including political dialogue; *the involvement of all segments of society in the development process shall be achieved through a thorough consultation of, in particular, civil society organisations, and also national parliaments, local authorities, the private sector and trade unions, which shall be given the opportunity to provide their input in a timely manner, while being given access to detailed information about the development projects;*

Amendment 25

Proposal for a regulation
Article 3 – paragraph 10

Text proposed by the Commission

10. The Commission shall seek regular exchanges of information with civil society.

Amendment

10. The Commission shall seek regular *and timely* exchanges of information with civil society *and local and regional authorities.*

Amendment 26

Proposal for a regulation
Article 5 – paragraph 4

Text proposed by the Commission

4. Within each country programme, the Union will in principle concentrate its assistance on three sectors.

Amendment

4. Within each country programme, the Union will in principle concentrate its assistance on three sectors *identified with the involvement of civil society, in order to respond to the real needs of the country*

and community.

Amendment 27

**Proposal for a regulation
Article 8 – paragraph 1**

Text proposed by the Commission

1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations and local authorities originating from partner countries, the Union, candidate countries and potential candidates.

Amendment

1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations and local authorities originating from partner countries, the Union, candidate countries and potential candidates. ***Particular attention should be given to those civil society organizations which are basic services providers, such as education and healthcare services.***

Amendment 28

**Proposal for a regulation
Article 10 – paragraph 1**

Text proposed by the Commission

1. For geographic programmes, multiannual indicative programmes for partner countries and regions shall be drawn up on the basis of a strategy document, as provided for in Article 11. For thematic programmes, multiannual indicative programmes shall be drawn up as provided for in Article 13.

The Commission shall adopt the implementing measures laid down in Article 2 of the Common Implementing Regulation on the basis of the programming documents referred to in Articles 11 and 13. In particular circumstances, however, Union support may also take the form of measures not

Amendment

1. For geographic programmes, multiannual indicative programmes for partner countries and regions shall be drawn up on the basis of a strategy document, as provided for in Article 11. For thematic programmes, multiannual indicative programmes shall be drawn up as provided for in Article 13.

covered in these documents, as provided for in the Common Implementing Regulation.

Amendment 29

**Proposal for a regulation
Article 10 – paragraph 2**

Text proposed by the Commission

2. The Union and its Member States shall consult each other, and other donors and development actors including representatives of civil society and regional and local authorities, at an early stage of the programming process in order to promote complementarity and consistency among their cooperation activities. This consultation may lead to joint programming between the Union and its Member States.

Amendment

2. The Commission shall be empowered to adopt delegated acts in accordance with Article 18 to approve strategy papers and multiannual indicative programmes for geographic programmes and thematic programmes. The Union and its Member States shall consult each other, and other donors and development actors including representatives of civil society and regional and local authorities, at an early stage of the programming process in order to promote complementarity and consistency among their cooperation activities. This consultation may lead to joint programming between the Union and its Member States.

Amendment 30

**Proposal for a regulation
Article 10 – paragraph 4**

Text proposed by the Commission

4. Funds may be left unallocated. Subject to their subsequent allocation or re-allocation as provided for in Articles 11(5) and 13, the use of these funds shall be decided later *in accordance with the Common Implementing Regulation.*

Amendment

4. Funds may be left unallocated. Subject to their subsequent allocation or re-allocation as provided for in Articles 11(5) and 13, the use of these funds shall be decided later *through the adoption of delegated acts in accordance with Article 18 of this Regulation.*

Amendment 31

Proposal for a regulation
Article 11 – paragraph 1

Text proposed by the Commission

1. Strategy papers are documents drawn up by the Union to provide a coherent framework for development cooperation between the Union and the partner country or region concerned, consistent with the overall purpose and scope, objectives, principles and policy of the Union.

The preparation and implementation of strategy papers shall comply with the principles of aid effectiveness: national ownership, partnership, coordination, harmonisation, alignment with recipient country or regional systems, mutual accountability and results orientation as laid down in Article 3(5) to (8).

To that end, strategy papers shall, in principle, be based on a dialogue between the Union and the partner country or region, involving *where appropriate*, the relevant Member States, and the partner country or region, involving civil society and regional and local authorities, so as to ensure that the country or region concerned takes sufficient ownership of the process and to encourage support for national development strategies, particularly those for reducing poverty.

Amendment

1. Strategy papers are documents drawn up by the Union to provide a coherent framework for development cooperation between the Union and the partner country or region concerned, consistent with the overall purpose and scope, objectives, principles and policy of the Union.

The preparation and implementation of strategy papers shall comply with the principles of aid effectiveness: national ownership, partnership, coordination, harmonisation, alignment with recipient country or regional systems, mutual accountability and results orientation as laid down in Article 3(5) to (8).

To that end, strategy papers shall, in principle, be based on a dialogue between the Union and the partner country or region, involving the relevant Member States, and the partner country or region, *in consultation with the national/regional parliaments and* involving civil society and regional and local authorities *through thorough consultation*, so as to ensure that the country or region concerned takes sufficient ownership of the process and to encourage support for national development strategies, particularly those for reducing poverty. *This dialogue takes place following a consultation and information phase for local and regional authorities and civil society.*

Amendment 32

Proposal for a regulation
Article 11 – paragraph 5

Text proposed by the Commission

5. Multiannual indicative programmes shall

Amendment

5. Multiannual indicative programmes shall

be drawn up for each of the countries or regions receiving an indicative allocation of Union funds under this Regulation
Except for countries or regions mentioned in paragraph 4, these documents shall be drawn up on the basis of the strategy papers or similar documents referred to in this Article.

For the purpose of this Regulation, where it complies with the principles and conditions established in this paragraph, including an indicative allocation of funds, and with the procedures provided for in Article 14, the joint multiannual programming document provided for in paragraph 3(b) may be considered as the multiannual indicative programme.

Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, the performance indicators **and** the indicative financial allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range and/or some funds may be left unallocated.

The multiannual indicative programmes should be adjusted where necessary, taking into account any mid-term or ad hoc reviews of the strategy document on which they are based.

Pursuant to the principle of mutual accountability in the pursuit and fulfilment of agreed objectives, including those

be drawn up for each of the countries or regions receiving an indicative allocation of Union funds under this Regulation
Except for countries or regions mentioned in paragraph 4, these documents shall be drawn up on the basis of the strategy papers or similar documents referred to in this Article.

For the purpose of this Regulation, where it complies with the principles and conditions established in this paragraph, including an indicative allocation of funds, and with the procedures provided for in Article 14, the joint multiannual programming document provided for in paragraph 3(b) may be considered as the multiannual indicative programme.

Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, the performance indicators, ***the commitments to be fulfilled by partner countries regarding human rights and reforms towards democratisation***, the indicative financial allocation, both overall and per priority area ***and the conditions for suspension of assistance or re-allocation of funds***.

Where appropriate, this allocation may be given in the form of a range and/or some funds may be left unallocated.

The multiannual indicative programmes should be adjusted where necessary, taking into account any mid-term or ad hoc reviews of the strategy document on which they are based. ***Serious and systematic violations of human rights shall trigger an automatic ad hoc review of the strategy document. Mid-term ad hoc reviews shall be communicated to the European Parliament and the Council and shall be made public and available to local stakeholders.***

Pursuant to the principle of mutual accountability in the pursuit and fulfilment of agreed objectives, including those

referring to good governance, democracy and respect for human rights and to the rule of law, indicative allocations may be increased or decreased as a result of reviews, particularly in the light of special needs such as those resulting from a crisis, post-crisis or fragility situation, or where performance has been exceptional or unsatisfactory.

referring to good governance, democracy and respect for human rights and to the rule of law, indicative allocations may be increased or decreased as a result of reviews, particularly in the light of special needs such as those resulting from a crisis, post-crisis or fragility situation, or where performance has been exceptional or unsatisfactory. ***The review process shall allow for consultation with local and international civil society organisations. The European Parliament and the Council shall be kept fully informed.***

Amendment 33

Proposal for a regulation Article 12 – paragraph 1

Text proposed by the Commission

1. When drawing up the programming documents for countries in crisis, post-crisis, or fragility situations, due account shall be taken of the vulnerability, special needs and circumstances of the countries or regions concerned.

Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures.

Where partner countries or groups of partner countries are directly involved in, or affected by, a crisis, post-crisis or fragility situation, special emphasis shall be placed on stepping up coordination between relief, rehabilitation and development to help them make the transition from an emergency situation to the development phase. Programmes for countries and regions in fragility or regularly subject to natural disasters shall provide for disaster preparedness and prevention and for managing the consequences of such disasters.

Amendment

1. When drawing up the programming documents for countries in crisis, post-crisis, or fragility situations, due account shall be taken of the vulnerability, special needs and circumstances of the countries or regions concerned.

Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures.

Where partner countries or groups of partner countries are directly involved in, or affected by, a crisis, post-crisis or fragility situation, special emphasis shall be placed on stepping up coordination between relief, rehabilitation and development to help them make the transition from an emergency situation to the development phase. ***These efforts shall be coordinated with other possible initiatives being taken by the Union and Member States, namely under Regulation (EU) No [...] of the European Parliament and of the Council establishing an Instrument for Stability.***

Programmes for countries and regions in fragility or regularly subject to natural disasters shall provide for disaster preparedness and prevention and for managing the consequences of such disasters.

Amendment 34

**Proposal for a regulation
Article 12 – paragraph 2**

Text proposed by the Commission

2. In cases of crises, post crisis and fragility situations or threats to democracy, the rule of law, human rights or fundamental freedoms requiring a swift response by the Union, the urgency procedure provided for in Article 15(4) of the Common Implementing Regulation may be used to modify the document referred to in Article 11 following an ad hoc review of the country's or region's cooperation strategy.

Such reviews may propose a specific and adapted strategy to ensure the transition to long-term cooperation and development, promoting a better coordination and transition between the humanitarian and development policy instruments.

Amendment

2. In cases of crises, post crisis and fragility situations or threats to democracy, ***peace and stability***, the rule of law, human rights or fundamental freedoms requiring a swift response by the Union, the urgency procedure provided for in Article 15(4) of the Common Implementing Regulation may be used to modify the document referred to in Article 11 following an ad hoc review of the country's or region's cooperation strategy. ***The European Parliament shall be kept fully informed. Any measures undertaken shall not impede and be fully coordinated with any measures undertaken under Regulation (EU) No [...] of the European Parliament and of the Council establishing an Instrument for Stability and Regulation (EU) No [...] of the European Parliament and of the Council establishing a financing instrument for the promotion of democracy and human rights worldwide, as well as any future actions by the Union to promote democracy.***

Such reviews may propose a specific and adapted strategy to ensure ***the engagement of possible new actors in partner countries***, the transition to long-term cooperation and development, promoting a better coordination and transition between the ***peace-building, conflict prevention,***

humanitarian and development policy instruments.

Amendment 35

Proposal for a regulation
Article 13 – paragraph 1

Text proposed by the Commission

1. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy for the theme concerned, the priorities selected for financing by the Union, the specific objectives, the expected results, the performance indicators, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives. Multiannual indicative programmes shall be consistent with the documents referred to in Article 11(3).

Amendment

1. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy for the theme concerned, the priorities selected for financing by the Union, the specific objectives, the expected results, the performance indicators, *the conditions for the suspension of assistance or the re-allocation of funds*, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives. Multiannual indicative programmes shall be consistent with the documents referred to in Article 11(3).

Amendment 36

Proposal for a regulation
Article 13 – paragraph 2

Text proposed by the Commission

2. The multiannual indicative programmes shall give the indicative financial allocation, both overall and by priority area. Where appropriate, this allocation may be given in the form of a range, and/or some funds may be left unallocated. Multiannual indicative programmes should be adjusted where necessary for an effective policy implementation, taking into account any mid-term or ad hoc reviews.

Amendment

2. The multiannual indicative programmes shall give the indicative financial allocation, both overall and by priority area. Where appropriate, this allocation may be given in the form of a range, and/or some funds may be left unallocated. Multiannual indicative programmes should be adjusted where necessary for an effective policy implementation, taking into account any mid-term or ad hoc reviews. *Mid-term and ad hoc reviews shall be communicated to the European Parliament and the Council of the*

European Union and made public and available to local stakeholders.

Amendment 37

**Proposal for a regulation
Article 14 – paragraph 1**

Text proposed by the Commission

1. Strategy papers ***shall be approved*** and multiannual indicative programmes ***shall be adopted by the Commission in accordance with the examination procedure referred to in Article 15(3) of the Common Implementing Regulation.*** This procedure shall also apply to substantial reviews which have the effect of significantly modifying the strategy or its programming.

Amendment

1. ***The Commission shall be empowered to adopt delegated acts in accordance with Article 18 to approve*** strategy papers and multiannual indicative programmes. This procedure shall also apply to substantial reviews which have the effect of significantly modifying the strategy or its programming.

Amendment 38

**Proposal for a regulation
Article 14 – paragraph 2**

Text proposed by the Commission

2. ***The procedure referred to in paragraph 1 shall not apply to*** non-substantial modifications to strategy papers and multiannual indicative programmes, ***making*** technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation by less than 20%, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Amendment

2. ***The Commission, in accordance with the examination procedure referred to in Article 15(3) of the Common Implementing Regulation, may proceed with*** non-substantial modifications to strategy papers and multiannual indicative programmes, technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation by less than 20%, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Amendment 39

Proposal for a regulation

Article 16

Text proposed by the Commission

Without prejudice to the provisions on suspension of aid in partnership and cooperation agreements with partner countries and regions, where a partner country fails to observe the principles referred to in Article 3(1), the Union shall invite the partner country to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultations with the partner country do not lead to a solution acceptable to both parties, or if consultations are refused or in cases of special urgency, the Council may take appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union, which may include full or partial suspension of Union assistance.

Amendment

Without prejudice to the provisions on suspension of aid in partnership and cooperation agreements with partner countries and regions, where a partner country fails to observe the principles referred to in Article 3(1), the Union shall invite the partner country to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultations with the partner country do not lead to a solution acceptable to both parties, or if consultations are refused or in cases of special urgency, the Council may take appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union, which may include full or partial suspension of Union assistance. *The European Parliament shall be kept fully informed of the start and progress of consultations and of their outcome, which should be made public.*

Amendment 40

Proposal for a regulation

Annex IV – part A – point I – point c a (new)

Text proposed by the Commission

Amendment

(ca) Decentralisation and local governance

Amendment 41

Proposal for a regulation
Annex IV – part A – point II– point b

Text proposed by the Commission

(b) Business environment, regional integration and world markets; **and**

Amendment

(b) Business environment, regional integration and world markets;

Amendment 42

Proposal for a regulation
Annex IV – part A – point II– point c

Text proposed by the Commission

(c) Sustainable agriculture and energy.

Amendment

(c) Sustainable agriculture and energy; **and**

Amendment 43

Proposal for a regulation
Annex IV – part A – point II– point c a (new)

Text proposed by the Commission

Amendment

(ca) Urban and territorial management and slum upgrading.

Amendment 44

Proposal for a regulation
Annex IV – part A – point III – point c

Text proposed by the Commission

Amendment

(c) Transition from humanitarian aid and crisis response to long-term development cooperation.

(c) Post-disaster reconstruction in developing partner countries, including rapid reaction and increased flexibility, and transition from humanitarian aid and crisis response to long-term development cooperation.

Amendment 45

Proposal for a regulation
Annex IV – part A – point III– point c a (new)

Text proposed by the Commission

Amendment

(ca) Conflict prevention.

Amendment 46

Proposal for a regulation
Annex IV – part B – paragraph 3– point i a (new)

Text proposed by the Commission

Amendment

*(ia) supporting peace-building and
conflict prevention approaches;*

Amendment 47

Proposal for a regulation
Annex IV – part B – paragraph 3– point i b (new)

Text proposed by the Commission

Amendment

*(ib) contributing to greater respect for
human rights and democratisation.*

Amendment 48

Proposal for a regulation
Annex IV – part B – paragraph 4– point d a (new)

Text proposed by the Commission

Amendment

(da) promoting gender equality;

Amendment 49

Proposal for a regulation
Annex IV – part B – paragraph 4 – point d b (new)

Text proposed by the Commission

Amendment

(db) supporting peace-building and conflict prevention approaches.

Amendment 50

Proposal for a regulation
Annex IV – part B – paragraph 5– point e a (new)

Text proposed by the Commission

Amendment

(ea) promoting peace-building.

Amendment 51

Proposal for a regulation
Annex V – part B – paragraph 3– point a

Text proposed by the Commission

Amendment

(a) interventions in partner countries which support vulnerable and marginalised groups in least developed countries by providing basic services delivered through **civil society organisations and** local authorities;

(a) interventions in partner countries which support vulnerable and marginalised groups in least developed countries by **improving local governance and** providing basic services delivered through local authorities, **and by facilitating their participation and representation in local life and in the development process through civil society organisations.**

Amendment 52

Proposal for a regulation
Annex V – part B – paragraph 3 e (new)

Text proposed by the Commission

Amendment

(e) developing capacity and preparedness of local, national and regional civil society organisations regarding conflict prevention and peace-building, with a special emphasis on the transfer of

*knowledge, methods and instruments in
the field of dialogue, mediation,
reconciliation and transitional justice.*

Amendment 53

**Proposal for a regulation
Annex V – part B – paragraph 5**

Text proposed by the Commission

Local authorities encompass a large variety of sub-national levels and branches of government, i.e. municipalities, communities, districts, counties, provinces, regions *etc.*

Amendment

Local authorities encompass a large variety of sub-national levels and branches of government, i.e. municipalities, communities, districts, counties, provinces, regions, ***and their associations at national, regional and global level.***

PROCEDURE

Title	Establishing a financing instrument for development cooperation
References	COM(2011)0840 – C7-0493/2011 – 2011/0406(COD)
Committee responsible Date announced in plenary	DEVE 17.1.2012
Opinion by Date announced in plenary	AFET 17.1.2012
Rapporteur Date appointed	Ana Gomes 14.12.2011
Date adopted	10.7.2012
Result of final vote	+: 55 -: 0 0: 0
Members present for the final vote	Pino Arlacchi, Bastiaan Belder, Franziska Katharina Brantner, Elmar Brok, Arnaud Danjean, Michael Gahler, Marietta Giannakou, Takis Hadjigeorgiou, Richard Howitt, Liisa Jaakonsaari, Ioannis Kasoulides, Tunne Kelam, Nicole Kiil-Nielsen, Maria Eleni Koppa, Andrey Kovatchev, Eduard Kukan, Vytautas Landsbergis, Ryszard Antoni Legutko, Krzysztof Lisek, Sabine Lösing, Ulrike Lunacek, Mario Mauro, Kyriakos Mavronikolas, Francisco José Millán Mon, María Muñiz De Urquiza, Raimon Obiols, Kristiina Ojuland, Ria Oomen-Ruijten, Pier Antonio Panzeri, Alojz Peterle, Bernd Posselt, Hans-Gert Pöttering, Tokia Saïfi, José Ignacio Salafranca Sánchez-Neyra, Nikolaos Salavrakos, György Schöpflin, Charles Tannock, Inese Vaidere, Sir Graham Watson
Substitute(s) present for the final vote	Jean-Jacob Bicep, Reinhard Bütikofer, Andrew Duff, Diogo Feio, Roberto Gualtieri, Barbara Lochbihler, Norbert Neuser, Teresa Riera Madurell, Ivo Vajgl, Luis Yáñez-Barnuevo García, Janusz Władysław Zemke
Substitute(s) under Rule 187(2) present for the final vote	Victor Boștinaru, Arkadiusz Tomasz Bratkowski, Lena Kolarska-Bobińska, Claudiu Ciprian Tănasescu, Artur Zasada

17.9.2012

OPINION OF THE COMMITTEE ON INTERNATIONAL TRADE

for the Committee on Development

on the proposal for a regulation of the European Parliament and of the Council establishing a financing instrument for development cooperation
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

Rapporteur: Helmut Scholz

SHORT JUSTIFICATION

The European Commission proposes a new Regulation establishing a financing instrument for development cooperation (DCI). The current regulation expires on 31. December 2013. In a co-decision procedure, the European Parliament is now facing the task of defining the character, objectives and areas of operation of the Union's largest external action financing instrument for the period 2014-2020.

The overarching development policy goal of the Union remains the active contribution to reduction and, in the long run, eradication of poverty, as defined in the Treaty on European Union. To this end, your rapporteur wants the DCI to also contribute to sustainable and inclusive economic, social and environmental development, including decent work, as well as promoting democracy, international labour standards, the rule of law, good governance and respect for human rights. To contribute more effectively to these objectives, the Commission proposes some changes to DCI.

The Commission intends to bring DCI in line with recent international trends in development policies. Your rapporteur welcomes this approach, but wishes to restrict the executive to base itself on documents drafted or approved by the legislative, in particular the Consensus for Development, rather than on documents drafted unilaterally by the executive itself. To further clarify the intended use of this instrument, your rapporteur proposes to the co-legislators to go into more detail in the Annexes IV and V to this regulation, and to subdue the changing of the annexes to a legislative act.

The Commission proposes to implement differentiation towards partner countries, taking into account their needs, capacities, commitments and performance and potential impact in the partner countries without allowing for a transitional period. Your rapporteur in principal welcomes a differentiated approach and a focus on countries and regions most in need, while the needs should be identified in close cooperation with the partner countries in a process,

which shall involve parliaments and civil society. Your rapporteur is of the opinion that the Commission went too far in excluding countries from bilateral development cooperation, and proposes to reintroduce several Latin American countries to list of eligible countries in Annex III.

The Commission proposes to increase the flexibility and reduce the complexity in the implementation of the instrument. Your rapporteur welcomes efforts to increase the effectiveness of the instrument. But flexibility needs may not simply do away with parliamentary obligations to control the budget. The Commission's request to receive carte blanche for up to 60 % of this instrument's budget is hence not acceptable. Instead, the list of areas of development cooperation addressed through this instrument shall be exhaustive. Furthermore, your rapporteur wishes to preserve the option for the co-legislators to object to Commission proposals to reallocate funds above a certain threshold as well as changes of non-technical nature, by requiring changes to be introduced as delegated acts.

Furthermore your rapporteur is of the opinion that trade can be an important motor for development. However, it cannot be ignored that the OECD peer review 2012 reports trade policy issues as accounting for 25 percent of all cases of concern regarding the goal of policy coherence for development. The EU has made policy coherence for development a central pillar in its concerted fight against poverty. Thus the way trade issues are addressed in this instrument must be carefully brought into coherence with development objectives. Otherwise activities in the trade area would not qualify for funding from the DCI instrument. Your rapporteur makes several proposals to bring objectives related to trade and economics in line with the development frame.

The support for the Joint Africa EU Strategy through DCI is a good innovation in the Commission proposal. To eradicate poverty, Africa needs to overcome its economic and trade fragmentation as was recently concluded in a study of the World Bank. Any efforts the EU can do to support regional and continental integration should be done.

The rapporteur finally also welcomes the substantial increase in funding for the DCI.

AMENDMENTS

The Committee on International Trade calls on the Committee on Development, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation Recital 3

<i>Text proposed by the Commission</i>	<i>Amendment</i>
(3) The European Consensus on Development <i>and Commission communications</i> "Increasing the impact	(3) The European Consensus on Development, <i>the Treaty on European Union (TEU), the Treaty on the</i>

of EU development Policy - An Agenda for Change", and "The future approach of EU budget support to third countries", as well as any future communication establishing basic orientations and principles for the Union's development policy, and their subsequent conclusions, provide the general policy framework, the orientations and the focus to guide the implementation of this Regulation.

Functioning of the European Union (TFEU), and objectives approved by the Union and the Member States in the context of the United Nations and in particular the UNCTAD and UNDP as well as other competent international organisations provide the general policy framework, the orientations and the focus to guide the implementation of this Regulation.

Justification

The Union's executive should find guidance for the implementation of the Union's policy instruments in documents co-written or approved by the legislative, and not in communications issued unilaterally by the executive itself. Hence legislation should not include reference to documents not influenced by the legislators, in particular not in form of a carte blanche ("any future communication").

Amendment 2

Proposal for a regulation

Recital 5

Text proposed by the Commission

(5) The Union **also aims to ensure coherence with other** areas of its external action. This should be ensured when formulating **the Union's development cooperation policy and its** strategic planning programming and implementation of measures.

Amendment

(5) The Union **is fully committed to advance Policy Coherence for Development and to ensure consistency between the different areas of its external action and between these and its other policies. Union development cooperation policy has as its primary objective the reduction and eradication of poverty. Its external policy fosters the sustainable economic, social and environmental development of developing countries. This includes the advancement of human rights, social justice, labour standards, fair trade relations, and environmental and climate-relevant practices. Development programmes aiming to improve, expand, train or facilitate trade relations will follow the same principles.** This should be ensured when formulating strategic planning programming and

implementation of measures. ***The Union aims to use the available resources efficiently so as to optimise their impact.***

Amendment 3

Proposal for a regulation Recital 9

Text proposed by the Commission

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.

Amendment

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.

In concert with the United Nations Industrial Development Organization (UNIDO), the Union should help develop North-South and South-South industrial cooperation through investment, capacity-building, technology transfer and sustainable industrial development that helps developing countries draw advantage from the globalisation process.

Amendment 4

Proposal for a regulation Recital 9 a (new)

Text proposed by the Commission

Amendment

(9a) The members of the WTO committed themselves at the 4th Ministerial Conference in Doha to mainstream trade in development strategies and to provide trade-related technical and capacity

building assistance, which was reiterated during the 7th WTO Ministerial Conference.

Justification

Reintroduces a relevant recital from the current DCI regulation.

Amendment 5

**Proposal for a regulation
Recital 9 b (new)**

Text proposed by the Commission

Amendment

(9b) Improvements in domestic and intra-regional trade are a key element of successful growth and development strategies. The Union should increase its support for domestic trade needs and regional integration. It should assist integration into the world economy of developing countries in accordance with their demand, while remaining the most open market to developing countries in order to contribute to the success of these development strategies. The Union should enforce its policy of promoting multilateralism in trade policy and enhance the negotiating capacities of developing countries.

Amendment 6

**Proposal for a regulation
Recital 11**

Text proposed by the Commission

Amendment

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development and worldwide

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development **through open and**

promotion of democracy, good governance, human rights and the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation **targets** partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming **would target** such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance.

fair trade, and worldwide promotion of democracy, good governance, human rights, ***international labour standards***, and the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation **benefits** ***strongest those*** partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming **should focus on** such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance. ***For countries no longer eligible for country-specific geographical programmes, provision should be made for phasing out assistance through a gradual approach, paying special attention to the needs of particularly vulnerable population groups and bearing in mind that some of those countries will also cease to enjoy the commercial advantages of the Generalised System of Preferences (GSP), meaning they will be negatively affected twice.***

Amendment 7

Proposal for a regulation Recital 14

Text proposed by the Commission

Amendment

(14) In a globalised world, different internal EU policies such as environment, climate change, employment (including decent work for all), gender equality, energy, water, transport, health, education, justice and security, research and innovation, information society, migration, agriculture and fisheries, are increasingly becoming part of the EU's external action. ***Commission Communication 'Europe 2020: A strategy for smart, sustainable and inclusive growth' underlines the commitment of the Union to promote in its internal and external policies smart, inclusive and sustainable growth bringing together three pillars: economic, social and environmental.***

(14) In a globalised world, different internal EU policies such as environment, climate change, ***promotion of renewable energies***, employment (including decent work for all), gender equality, energy, water, transport, health, education, justice and security, ***culture***, research and innovation, information society, migration, agriculture and fisheries, are increasingly becoming part of the EU's external action. ***The success of the Union's domestic strategy for smart, sustainable and inclusive growth depends on the economic and societal advancement of the Union's international trade partners***, which should be promoted in its internal and external policies.

Amendment 8

Proposal for a regulation Recital 15

Text proposed by the Commission

(15) Fighting climate change and protecting the environment are among the great challenges which face the Union and where the need for international action is urgent. ***In accordance with the intent stated in Commission Communication "A budget for Europe 2020" of 29 June 2011, this*** Regulation should ***contribute*** to the objective of addressing at least 20% of the EU budget to low carbon and climate resilient society, and the global public goods and challenges programme should use at least 25% of its funds to cover climate change and environment. Actions in these two areas should, wherever possible, be mutually supportive in order to reinforce their impacts.

Amendment

(15) Fighting climate change and protecting the environment are among the great challenges which face the Union and ***all its international trading and commercial partner countries and regions and*** where the need for international action is urgent. ***The present*** Regulation should ***add*** to the objective of addressing at least 20% of the EU budget to low carbon and climate resilient society, and the global public goods and challenges programme should use at least 25% of its funds to cover climate change and environment, ***taking into consideration the contribution to climate emissions of global trade and transport of goods, in particular of unprocessed raw materials.*** Actions in these two areas should, wherever possible, be mutually supportive in order to reinforce their impacts.

Amendment 9

Proposal for a regulation

Recital 16

Text proposed by the Commission

(16) **Commission communication**
"Increasing the impact of EU Development Policy: an Agenda for Change" envisages continued support for social inclusion and human development through at least 20% of the Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development.

Amendment

(16) Support for social inclusion and human development **should be the objective of** at least 20% of the Union's development aid. This should include support for the provision of basic social services, in particular in healthcare and education. At least 20% of the global public goods and challenges programme should support that area of development.

Amendment 10

Proposal for a regulation

Recital 17

Text proposed by the Commission

(17) The lists of partner countries under this Regulation should be adapted on the basis of possible changes to their status as established by the Development Assistance Committee of the Organization for Economic Cooperation and Development (OECD/DAC), as well as important changes in human development, aid dependency, crisis situations, vulnerability and other aspects including the dynamics of the development process. Such updates, reviews of the partner countries eligible for bilateral development cooperation and modifications in the definitions of detailed areas of cooperation and activities, and adjustments of the indicative financial allocation per programme, constitute non-essential elements of this Regulation. Consequently, in order to adapt its scope

Amendment

(17) The lists of partner countries under this Regulation should be adapted on the basis of possible changes to their status as established by the Development Assistance Committee of the Organization for Economic Cooperation and Development (OECD/DAC), as well as important changes in human development, aid dependency, crisis situations, vulnerability and other aspects including the dynamics of the development process. Updates and reviews of the partner countries eligible for bilateral development cooperation and modifications in the definitions of areas of cooperation and activities, as well as adjustments of the indicative financial allocation per programme, should be submitted by the Commission as legislative proposals introducing the

to the rapidly evolving reality in third countries, the power to adopt acts in accordance with Article 290 of the Treaty of the Functioning of the European Union should be delegated to the Commission for updating the Annexes of this Regulation which includes the list of partner countries and regions eligible for Union financing, the definition of detailed areas of cooperation under geographic and thematic programmes and the indicative allocations per programme. It is of particular importance that the Commission should carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing up delegated acts, should further ensure a simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and Council.

necessary modifications to Annexes I, III, IV, V, VI and VII of this regulation.

Justification

The co-legislators should maintain a high level of control over the financing made available from the Union's largest external financing instrument.

Amendment 11

**Proposal for a regulation
Recital 18**

Text proposed by the Commission

Amendment

(18) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission. *deleted*

Amendment 12

Proposal for a regulation

Recital 19

Text proposed by the Commission

Amendment

(19) The implementing powers relating to strategy papers and multiannual indicative programmes laid down in Articles 11 to 14 of this Regulation should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers. Taking into account the nature of those implementing acts, in particular their policy orientation nature or their budgetary implications, the examination procedure should in general be used for their adoption, except for measures of a small financial scale. The Commission should adopt immediately applicable implementing acts where, in duly justified cases relating to the need for a swift response from the Union, imperative grounds of urgency so requires.

deleted

Amendment 13

Proposal for a regulation

Article 2 – paragraph 1 – point b – point ii

Text proposed by the Commission

Amendment

*(ii) promoting democracy, the rule of law, good governance **and respect for human rights**.*

*(ii) promoting, **consolidating and advancing** democracy, **including through fair and transparent elections, strengthening respect for human rights, social justice, and international labour standards, fostering** the rule of law, good governance **in both the public and private***

sector, fair trade relations, and promoting respect for international conventions and the principles of international law regarding social and environmental standards.

Amendment 14

Proposal for a regulation

Article 3 – paragraph 2 – subparagraph 1 – point a

Text proposed by the Commission

(a) needs;

Amendment

(a) sustainable development needs, as identified in close cooperation with the partner countries and regions concerned and their respective parliaments, taking into full consideration the views of socio-economic stakeholders and civil society;

Amendment 15

Proposal for a regulation

Article 3 – paragraph 2 – subparagraph 1 – point b

Text proposed by the Commission

(b) capacities to generate and access financial resources and absorption capacities; and

Amendment

*(b) capacities to generate and access financial resources and absorption **and audit** capacities; and*

Amendment 16

Proposal for a regulation

Title II – Article 3 – Paragraph 3

Text proposed by the Commission

3. The following cross-cutting issues shall be mainstreamed in all programmes: the

Amendment

3. The following cross-cutting issues shall be mainstreamed in all programmes: the

promotion of human rights, gender equality, women empowerment, non-discrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.

promotion of human rights, gender equality, women empowerment, non-discrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion, ***decent work***, and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.

Amendment 17

Proposal for a regulation Article 3 – paragraph 4

Text proposed by the Commission

4. ***Particular*** attention shall be given to strengthening the rule of law, improving access to justice and supporting civil society, trade and sustainable development, access to ICTs, health and food security, as well as promoting dialogue, participation and reconciliation, and institution-building.

Amendment

4. ***At regional, national, and local level, particular*** attention shall be given to strengthening the rule of law, ***the implementation and enforcement of legislation, in particular with regard to labour and environmental law***, improving access to justice and supporting civil society, ***including monitoring activities***, to ***advancing fair*** trade and sustainable development, to access to ICTs, ***public services, to*** health and food security, as well as to promoting dialogue, participation and reconciliation, and institution building.

Amendment 18

Proposal for a regulation Article 3 – paragraph 5

Text proposed by the Commission

5. In implementing this Regulation, consistency shall be ensured with other areas of Union external action and with other relevant Union policies. To this end,

Amendment

5. In implementing this Regulation, ***and while observing the principle of policy coherence for development, consistency shall be ensured with*** other areas of Union

measures financed under this Regulation, including those managed by the EIB, ***shall be based on the*** cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, ***and on the Union's decisions, specific interests, policy priorities and strategies.***

external ***action, including international trade and commercial policy, and with other relevant Union policies. To this end,*** measures financed under this ***Regulation,*** including those managed by the EIB, ***shall support, where applicable, cooperation policies set out in instruments such as agreements, trade agreements, declarations and action plans between the Union and the third countries and regions concerned.***

Amendment 19

Proposal for a regulation

Article 3 – Paragraph 8 - letter b

Text proposed by the Commission

(b) inclusive and participatory approaches to development and a broad involvement of all segments of society in the development process and in national and regional dialogue, including political dialogue;

Amendment

(b) inclusive and participatory approaches to development and a broad involvement of all segments of society in the development process and in national and regional dialogue, including political dialogue ***and national and regional parliaments in the partner countries and regions;***

Amendment 20

Proposal for a regulation

Article 3 – paragraph 8 – point c a (new)

Text proposed by the Commission

Amendment

(ca) the development of North-South and South-South industrial cooperation through investment, capacity-building, technology transfer and sustainable industrial development that helps developing countries draw advantage from the globalisation process.

Amendment 21

Proposal for a regulation Article 3 – paragraph 9 a (new)

<i>Text proposed by the Commission</i>	<i>Amendment</i>
	<i>9a. The Union will engage in dialogue with the partner countries to promote trade policy consultation and capacity building in their development strategies.</i>

Amendment 22

Proposal for a regulation Article 3 – paragraph 10

<i>Text proposed by the Commission</i>	<i>Amendment</i>
10. The Commission shall seek regular exchanges of information with civil society.	<i>10. The Commission shall seek regular consultations and exchanges of information with all parties concerned (governments and agencies of recipient countries, civil society, EU delegations, international, national or private organisations) in order to formulate and implement jointly the commercial aspect of their development strategies. It shall render an account of the result of these consultations to the European Parliament.</i>

Amendment 23

Proposal for a regulation Article 3 – paragraph 10 a (new)

<i>Text proposed by the Commission</i>	<i>Amendment</i>
	<i>10a. Union financing under this Regulation shall not be used to finance the procurement of arms or ammunition, nor operations having military or defence implications.</i>

Amendment 24

Proposal for a regulation

Article 5 – paragraph 2 – point b

Text proposed by the Commission

(b) ***bilaterally*** with partner countries set out in Annex III.

Amendment

(b) ***on a country-by-country basis*** with partner countries set out in Annex III ***and, where appropriate, for a transitional period, with other partner countries set out in Annex I.***

Amendment 25

Proposal for a regulation

Article 5 – Paragraph 3

Text proposed by the Commission

3. Geographic programmes may be drawn from the areas of cooperation contained in the "European Consensus", ***amongst others***, in order to attain the objectives provided for in Article 2(1).

Amendment

3. Geographic programmes ***shall*** be drawn from the areas of cooperation contained in the "European Consensus" ***and the common and specific areas of cooperation listed in Annex IV***, in order to attain the objectives provided for in Article 2(1).

Common areas of cooperation and specific areas of cooperation for each region are set out in Annex IV.

Amendment 26

Proposal for a regulation

Article 7 – Paragraph 1

Text proposed by the Commission

1. The objective of Union assistance under the programme 'Global public goods and challenges' shall be to support actions in areas such as the environment and climate change, sustainable energy, human development, food security, and migration and asylum.

Amendment

1. The objective of Union assistance under the programme 'Global public goods and challenges' shall be to support actions in areas such as the environment and climate change, sustainable energy, human development, food security, ***fair trade, decent work, social justice, culture,*** and

migration and asylum.

Amendment 27

Proposal for a regulation

Article 9 – paragraph 3

Text proposed by the Commission

3. The multiannual indicative programme for the Pan-African programme shall be drawn up on the basis of the Joint Africa-EU Strategy and its Action plans.

Amendment

3. The multiannual indicative programme for the Pan-African programme shall be drawn up on the basis of the Joint Africa-EU Strategy and its Action plans. *Active involvement of the European Parliament, civil society as well as the respective African national and regional parliaments in drawing up this programme and monitoring its implementation shall be ensured.*

Amendment 28

Proposal for a regulation

Article 11 – paragraph 1 – subparagraph 3

Text proposed by the Commission

To that end, strategy papers shall, in principle, be based on a dialogue between the Union and the partner country or region, involving where appropriate, the relevant Member States, and the partner country or region, involving civil society and regional and local authorities, so as to ensure that the country or region concerned takes sufficient ownership of the process and to encourage support for national development strategies, particularly those for reducing poverty.

Amendment

To that end, strategy papers shall, in principle, be based on a dialogue between the Union and the partner country or region, involving where appropriate, the relevant Member States, and the partner country or region, involving *the national and regional parliament*, civil society and regional and local authorities, so as to ensure that the country or region concerned takes sufficient ownership of the process and to encourage support for national development strategies, particularly those for reducing poverty.

Amendment 29

Proposal for a regulation
Article 11 – paragraph 2

Text proposed by the Commission

2. Strategy papers **may** be reviewed at their mid-term, or ad hoc if necessary, in accordance, as appropriate, with the principles and procedures laid down in the partnership and cooperation agreements concluded with the partner countries and regions.

Amendment

2. Strategy papers **shall** be reviewed at their mid-term, or ad hoc if necessary, in accordance, as appropriate, with the principles and procedures laid down in the partnership and cooperation agreements concluded with the partner countries and regions. *The review process shall be conducted in close consultation with the responsible committees of the European Parliament and its respective delegations, the parliaments of partner countries and regions and joint parliamentarian structures; it shall also include consultations with representatives from civil society and stakeholders of beneficiary countries.*

Amendment 30

Proposal for a regulation
Article 11 – paragraph 4

Text proposed by the Commission

4. Strategy papers shall not be required for the countries or regions receiving an allocation of Union funds under this Regulation not exceeding EUR 50 million for the 2014-2020 period.

Amendment

deleted

Justification

50 Million Euro is still a lot of money.

Amendment 31

Proposal for a regulation

Article 11 – paragraph 5 - subparagraph 1

Text proposed by the Commission

5. Multiannual indicative programmes shall be drawn up for each of the countries or regions receiving an indicative allocation of Union funds under this Regulation

Except for countries or regions mentioned in paragraph 4, these documents shall be drawn up on the basis of the strategy papers or similar documents referred to in this Article.

Amendment

5. Multiannual indicative programmes shall be drawn up for each of the countries or regions receiving an indicative allocation of Union funds under this Regulation.

These documents shall be drawn up on the basis of the strategy papers or similar documents referred to in this Article.

Justification

50 Million Euro is still a lot of money.

Amendment 32

Proposal for a regulation

Article 14 – paragraph 1

Text proposed by the Commission

1. Strategy papers shall be approved and multiannual indicative programmes shall be adopted by the Commission *in accordance with the examination procedure referred to in Article 15(3) of the Common Implementing Regulation.* This procedure shall also apply to *substantial* reviews which have the effect of significantly modifying the strategy or its programming.

Amendment

1. Strategy papers shall be approved and multiannual indicative programmes shall be adopted by the Commission *through a delegated act.* This procedure shall also apply to *mid-term reviews and ad hoc reviews* which have the effect of significantly modifying the strategy or its programming.

Amendment 33

Proposal for a regulation Article 14 – paragraph 2

Text proposed by the Commission

2. The procedure referred to in paragraph 1 shall not apply to non-substantial modifications to strategy papers and multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation by less than **20%**, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Amendment

2. The procedure referred to in paragraph 1 shall not apply to non-substantial modifications to strategy papers and multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation by less than **10%**, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Amendment 34

Proposal for a regulation Article 17

Text proposed by the Commission

The Commission shall be empowered to adopt delegated acts in accordance with Article 18 to amend or supplement **the Annexes I to VII to this Regulation.**

Amendment

The Commission shall be empowered to adopt delegated acts in accordance with Article 18 to **adopt, amend or supplement strategy papers and multiannual indicative programmes.**

Amendment 35

Proposal for a regulation Article 18 - paragraph 5

Text proposed by the Commission

5. The non-objection period for aligning Annex I, II and III to the decisions of the

Amendment

deleted

OECD/DAC reviewing the list of recipients laid down in Article 1(a) shall be one week.

Amendment 36

**Proposal for a regulation
Article 20 – paragraph 1 a (new)**

Text proposed by the Commission

Amendment

1a. All financial allocations to be disbursed under this Regulation shall be audited by the European Court of Auditors (hereinafter referred to as the ECA). The ECA may consult with relevant institutions of the beneficiary countries to protect the financial interests of the citizens of the Union against fraud and abuse and ensure economy, efficiency and effectiveness of Union financing under this Regulation.

Amendment 37

**Proposal for a regulation
Article 20 - paragraph 2**

Text proposed by the Commission

Amendment

2. The indicative minimum amounts allocated to each programme referred to in Articles 5 to 9 for the period 2014-2020 are laid down in Annex VII. The amounts may be reallocated between programmes by a delegated act in accordance with Article 18. *The amounts within the global public goods and challenges programme may be reallocated between subheadings by Commission decision which shall be communicated to the European Parliament and to the Council within one month of its adoption.*

2. The indicative minimum amounts allocated to each programme referred to in Articles 5 to 9 for the period 2014-2020 are laid down in Annex VII. The amounts may be reallocated between programmes by a delegated act in accordance with Article 18.

Amendment 38

Proposal for a regulation

Annex III

Text proposed by the Commission

PARTNER COUNTRIES AND REGIONS WITH A BILATERAL COOPERATION ACCORDING TO ARTICLE 5(2)

The following partner countries benefit from bilateral development assistance under this Regulation pursuant to Article 5(2):

- | <i>Text proposed by the Commission</i> | <i>Amendment</i> |
|---|---|
| | PARTNER COUNTRIES AND REGIONS
WITH A BILATERAL COOPERATION
ACCORDING TO ARTICLE 5(2) |
| 1. Bolivia | 1. Bolivia |
| 2. Cuba | <i>1a. Colombia</i> |
| 3. El Salvador | <i>1b. Costa Rica</i> |
| 4. Guatemala | 2. Cuba |
| 5. Honduras | <i>2a. Ecuador</i> |
| 6. Nicaragua | 3. El Salvador |
| 7. Paraguay | 4. Guatemala |
| 8. Afghanistan | 5. Honduras |
| 9. Bangladesh | 6. Nicaragua |
| 10. Bhutan | <i>6a. Panama</i> |
| 11. Cambodia | 7. Paraguay |
| 12. Democratic People's Republic of Korea | <i>7a. Peru</i> |
| 13. Laos | 8. Afghanistan |
| 14. Mongolia | 9. Bangladesh |
| 15. Myanmar/Burma | 10. Bhutan |
| 16. Nepal | 11. Cambodia |
| 17. Pakistan | 12. Democratic People's Republic of Korea |
| | 13. Laos |
| | 14. Mongolia |
| | 15. Myanmar/Burma |
| | 16. Nepal |
| | 17. Pakistan |

- | | |
|---------------------|---------------------|
| 18. Philippines | 18. Philippines |
| 19. Sri Lanka | 19. Sri Lanka |
| 20. Viet Nam | 20. Viet Nam |
| 21. Kyrgyz Republic | 21. Kyrgyz Republic |
| 22. Tajikistan | 22. Tajikistan |
| 23. Turkmenistan | 23. Turkmenistan |
| 24. Uzbekistan | 24. Uzbekistan |
| 25. Iraq | 25. Iraq |
| 26. Yemen | 26. Yemen |
| 27. South Africa | 27. South Africa |

Amendment 39

**Proposal for a regulation
Annex IV – point A – introductory part**

Text proposed by the Commission

Geographic programmes **may** be drawn, *inter alia*, from the areas of cooperation identified hereafter, which should not be read to equate with sectors. Priorities will be established *in accordance with the Communication from the Commission to the European Parliament, the Council and the European Economic and Social Committee and the Committee of the Regions "Increasing the impact of EU Development Policy: an Agenda for Change" and with the subsequent conclusions from the Council institutions.*

Amendment

Geographic programmes **shall** be drawn from *the European Consensus and* the areas of cooperation identified hereafter, which should not be read to equate with sectors. Priorities will be established *in cooperation with the respective partner country or region, including the involvement of civil society and parliaments, and will be guided by the Millennium Development Goals.*

Amendment 40

**Proposal for a regulation
Annex IV – part A – point I – heading**

Text proposed by the Commission

I. Human rights, democracy and other key

Amendment

I. Human rights, democracy and other key elements of good governance *in the public*

elements of good governance

and private sectors

Amendment 41

Proposal for a regulation

Annex IV – part A – point I – point a

Text proposed by the Commission

(a) Democracy, human rights and the rule of law;

Amendment

(a) Democracy, *including fair and transparent elections, respect for* human rights, *including political, economic, social and cultural rights, as enshrined in the United Nation's Universal Declaration of Human Rights, for labour rights* and the rule of law;

Amendment 42

Proposal for a regulation

Annex IV – part A – point I – point a – indents (new)

Text proposed by the Commission

Amendment

- support for the monitoring of violations of democratic rights, human rights, and labour rights, including support for civil society organisations, journalists, and for the ILO and other specialised international organisations;*
- support for the implementation and enforcement of legislation concerning democratic rights, human rights, and labour rights, also as a contribution to the fight against social dumping;*
- support for awareness-building among the population with regard to existing democratic rights, human rights, and labour rights and respective international agreements and standards;*
- support for capacity-building for trade unions and cooperatives.*

Amendment 43

Proposal for a regulation

Annex IV – part A – point I – point a a (new)

Text proposed by the Commission

Amendment

(aa) Access to justice;

- in particular for victims of European transnational companies, if they violate rights of workers and/or cause damage to the environment, facilitating action at national, regional or European courts,*
- strengthening appeal procedures for individuals and collectivities affected by company activity,*
- supporting enabling measures for social, economic and environmental rights;*

Amendment 44

Proposal for a regulation

Annex IV – part A – point I – point c

Text proposed by the Commission

Amendment

(c) Public sector management;

(c) Public sector management, *including financial management, transparency and accountability*;

Amendment 45

Proposal for a regulation

Annex IV – part A – point I – point d

Text proposed by the Commission

Amendment

(d) Tax policy and administration;

(d) Tax policy and administration, *in particular:*
- supporting transparent domestic tax systems for citizens and companies, and

*developing local monitoring capacities,
- fighting tax avoidance and tax evasion,
- promoting country-by-country reporting,*

Amendment 46

Proposal for a regulation

Annex IV – part A – point I – point e

Text proposed by the Commission

Amendment

(e) ***Corruption;***

(e) ***Anti-Corruption Activities;***

Amendment 47

Proposal for a regulation

Annex IV – part A – point I – point f

Text proposed by the Commission

Amendment

(f) ***Civil society and local authorities;***

(f) ***Elected local authorities, including,
where appropriate, traditional and
customary authorities, and civil society;***

Amendment 48

Proposal for a regulation

Annex IV – part A – point I – point g

Text proposed by the Commission

Amendment

(g) ***Natural resources; and***

(g) ***Sustainable, transparent and inclusive
management of natural resources,
including raw materials;***

Amendment 49

Proposal for a regulation

Annex IV – point A – point I – point g – indents (new)

Text proposed by the Commission

Amendment

- *support for capacity-building in good governance and management of raw materials;*
- *supporting the implementation of ILO convention 169;*
- *support for the official and civil society-based monitoring of country-by-country and project-by-project reporting of undertakings active in the extractive and logging sector;*
- *support for investment and vocational training schemes related to the domestic processing of raw materials;*
- *support for regional cooperation in the management of raw material reserves extending across borders;*
- *support for technological cooperation regarding the most environmentally sound forms of extraction and transport of raw materials;*
- *support for protection measures of nature reserves against raw materials prospection and extraction, including the Yasuni ITT initiative and comparable projects.*

Amendment 50

Proposal for a regulation

Annex IV – part A – point II – point b

Text proposed by the Commission

Amendment

(b) Business environment, regional integration and world markets; and

(b) Business environment, regional integration, ***and trade on local, domestic,***

regional and world markets; support for the development of local production systems, particularly by promoting the development of local crafts, SMEs, micro-enterprises, cooperatives and fair trade principles; and

Amendment 51

Proposal for a regulation

Annex IV – point A – point II – point b – indents (new)

Text proposed by the Commission

Amendment

*- assisting partner countries and regions on trade, investment and regional integration including technical assistance and capacity-building to design and implement sound trade policies, favouring a more conducive business environment, sound economic and financial policies, **tax transparency** and private sector development **in particular of SMEs**, with a focus on marketing local produce locally, as well as on the national and regional markets, but also with a view to partner countries and regions benefiting from their smooth and gradual integration into the world economy and to supporting social justice and pro-poor growth;*

- assisting developing countries on trade and regional and continental integration efforts (including South-South initiatives) through fostering equitable and environmentally sustainable growth, and in exchanging best practice with regard to trade negotiations, the linking of trade and poverty reduction or equivalent strategies, other policies in areas such as markets, infrastructure and cross-border cooperation on access by the poor to water, sustainable energy and human

security;

- improving trade negotiation capacities; supporting accession to the World Trade Organization (WTO) and implementation of WTO agreements by technical assistance and capacity-building; assisting partner countries in trade facilitation;*
- supporting economic and trade cooperation and strengthening investment relations between the Community and partner countries and regions, including by actions to promote and ensure that private actors, including local and European businesses, contribute to socially responsible and sustainable economic development, including respect for the core labour standards of the International Labour Organization (ILO) and by actions to promote local capacity building;*
- supporting the implementation and monitoring of sustainable development provisions in Trade Agreements with developing countries, including capacity-building for social partners, thereby ensuring a better interplay between trade, employment and social protection;*
- supporting fair trade;*
- support decent work and respective wages;*
- support for the formation of cooperatives, in particular in the agriculture and fisheries sector, including management capacity building, support for schemes aiming at joint usage of machineries, transport, storage and cooling, training and marketing;*
- engaging with the private sector with a focus on financing, including micro-credit schemes, for domestic companies and leveraging of domestic capitals, in particular at MSME level, in order to enhance socially responsible and*

sustainable development;

- support for the development of high-quality inclusive public services for the benefit of the whole population, including cooperation with the European Investment Bank and other large international financing institutions;*
- supporting developing countries in the building-up of a prospering ICT sector, including software development, institutional support for protection of traditional knowledge, defence of IPRs of their creative sector, including creative solutions such as patent pools;*
- building-up of fair economic partnerships, regulatory dialogues and economic cooperation to give a boost to partner country economies in order to eradicate poverty.*

Amendment 52

Proposal for a regulation

Annex IV – part A – point II – point c

Text proposed by the Commission

(c) Sustainable agriculture and energy.

Amendment

(c) Sustainable, *in particular small-scale* agriculture and *renewable* energy.

Amendment 53

Proposal for a regulation

Annex IV – part A – point III – point b

Text proposed by the Commission

(b) *Migration* and asylum; and

Amendment

(b) *Support migration* and asylum; and

Amendment 54

Proposal for a regulation
Annex IV – part B – introductory paragraph

Text proposed by the Commission

European Union assistance shall support actions and sectoral dialogues consistent with Article 5 and with the overall purpose and scope, objective and general principles of this Regulation. Appropriate consideration shall be given to the areas described below, reflecting jointly-agreed strategies, partnership, cooperation and *trade* agreements. Priorities will be established in accordance with the Communication ‘An Agenda for Change’ and with the subsequent conclusions of the Council.

Amendment

European Union assistance shall support actions and sectoral dialogues consistent with Article 5 and with the overall purpose and scope, objective and general principles of this Regulation. Appropriate consideration shall be given to the areas described below, reflecting jointly-agreed strategies, partnership, cooperation and agreements *contributing to open and fair trade*. Priorities will be established in accordance with the Communication ‘An Agenda for Change’ and with the subsequent conclusions of the Council.

Amendment 55

Proposal for a regulation
Annex IV – part B – Latin America – point a

Text proposed by the Commission

(a) encouraging social cohesion, in particular social inclusion, decent work and equity, gender equality and women empowerment;

Amendment

(a) encouraging social cohesion, in particular social inclusion, *labour rights*, decent work and equity, *including support for trade unions and cooperatives*, gender equality and women empowerment;

Amendment 56

Proposal for a regulation
Annex IV – part B – Latin America – point b

Text proposed by the Commission

(b) addressing governance issues and supporting policy reforms, in particular in the areas of social policies, public finance management and taxation, security (including drugs, criminality and corruption), reinforcement of good

Amendment

(b) addressing governance issues and supporting policy reforms, in particular in the areas of social policies, public finance management and taxation, security (including drugs, criminality and corruption), reinforcement of good

governance and public institutions (including through innovative mechanisms for the provision of technical cooperation, e.g. TAIEX and twinning), protection of human rights, including the indigenous peoples' and afro-descendents' rights, environment, fight against discrimination, and fight against production, consumption and trafficking of drugs;

governance and public institutions (including through innovative mechanisms for the provision of technical cooperation, e.g. TAIEX and twinning), protection of human rights, including the indigenous peoples' and afro-descendents' rights, **respect for the fundamental labour standards of the International Labour Organisation (ILO)**, environment, fight against discrimination, and fight against production, consumption and trafficking of drugs;

Amendment 57

Proposal for a regulation Annex IV – part B – Latin America – point f

Text proposed by the Commission

(f) addressing economic vulnerability and contributing to structural transformation by establishing strong partnerships around trade, investments, ***know-how*** and research, innovation and technology, and promoting sustainable and inclusive growth in all its dimensions, with particular attention to the challenges of migratory flows, food security (including sustainable agriculture and fisheries), climate change, sustainable energies and the protection and enhancement of biodiversity and ecosystem services, including water and forests, ***as well as on productive investment for more and better jobs in the green economy;***

Amendment

(f) addressing economic vulnerability and contributing to structural transformation by establishing strong partnerships around ***open and fair trade relations, productive investments for more and better jobs in the green and inclusive economy, knowledge transfer and cooperation in*** research, innovation and technology, and promoting sustainable and inclusive growth in all its dimensions, with particular attention to the challenges of migratory flows, food security (including sustainable agriculture and fisheries), climate change, sustainable energies and the protection and enhancement of biodiversity and ecosystem services, including water, ***soil*** and forests; ***supporting the development of micro, small and medium enterprises as the main source for inclusive growth, development and jobs; promoting development aid for trade to ensure that Latin American MSMEs can benefit from international trading opportunities;***

Amendment 58

Proposal for a regulation

Annex IV – part B – Latin America – point f a (new)

Text proposed by the Commission

Amendment

(fa) mitigating the adverse effects that exclusion from the scheme of generalised tariff preferences will have on the economies of many of the countries in the region.

Amendment 59

Proposal for a regulation

Annex IV – part B – Asia – point a

Text proposed by the Commission

Amendment

(a) encouraging social cohesion, in particular social inclusion, decent work and equity, gender equality and women empowerment;

(a) encouraging social cohesion, in particular social inclusion, **labour rights**, decent work and equity, **including support for trade unions and cooperatives**, gender equality and women empowerment;

Amendment 60

Proposal for a regulation

Annex IV – part B – Asia – point b

Text proposed by the Commission

Amendment

(b) establishing inclusive partnerships around trade, investment, **aid, migration**, research, innovation and technology;

(b) contributing to structural transformation by establishing inclusive partnerships around **fair trade relations**, **productive investments for more and better jobs in the green and inclusive economy**, **knowledge transfer** and **cooperation in** research, innovation and technology, **and promoting sustainable and inclusive growth in all its dimensions**, **with particular attention to the challenges**

of migratory flows, food security (including sustainable agriculture and fisheries), climate change, sustainable energies and the protection and enhancement of biodiversity and ecosystem services, including water, soil and forests;

Amendment 61

Proposal for a regulation

Annex IV – part B – Asia – point d

Text proposed by the Commission

(d) supporting an active and organised civil society *for development and fostering public private partnerships;*

Amendment

(d) supporting an active and organised civil society, *including the strengthening of trade unions' and employers' organisations for social dialogue;*

Amendment 62

Proposal for a regulation

Annex IV – part B – Central Asia – point a

Text proposed by the Commission

(a) promoting constitutional reform and legislative, regulatory and administrative approximation with the Union, including further democratisation and organised civil society, support for the rule of law, good governance, taxation and strengthening of national institutions and bodies, such as election bodies, parliaments, public administration reform and public financial management;

Amendment

(a) promoting constitutional reform and legislative, regulatory and administrative approximation with the Union, *with a focus on* further democratisation, *respect for human rights, strengthening of an* organised civil society, *including trade unions' and employers' organisations for social dialogue*, support for the rule of law, good governance, taxation and strengthening of national institutions and bodies, such as election bodies, parliaments, *an independent judiciary*, public administration reform and public financial management;

Amendment 63

Proposal for a regulation

Annex IV – part B – Central Asia – point b

Text proposed by the Commission

(b) promoting inclusive and sustainable economic growth, addressing social and regional inequalities, and supporting policies in areas such as education, research, innovation and technology, health, decent work, sustainable energy, agriculture and rural development, fostering *SMEs*, while stimulating the development of a market economy, trade and investment, including regulatory reforms and the support for integration into the WTO;

Amendment

(b) promoting inclusive and sustainable economic growth, addressing social and regional inequalities, and supporting policies in areas such as education, research, innovation and technology, health, decent work, sustainable energy, agriculture and rural development; *promoting economic diversification by supporting MSMEs*, while stimulating the development of a *social* market economy, *open and fair* trade and investment, including regulatory reforms and the support for integration into the WTO;

Amendment 64

Proposal for a regulation

Annex IV – part B – Middle East – point c

Text proposed by the Commission

(c) promoting sustainable economic reform and diversification, trade, the development of a market economy, productive and sustainable investment in the main sectors (such as energy, *including* renewable energy), *public private partnerships, and* partner countries' integration in the WTO;

Amendment

(c) promoting sustainable economic reform and diversification, *open and fair* trade *relations*, the development of a *sustainable social* market economy, productive and sustainable investment in the main sectors (such as energy, *with a focus on* renewable energy), *and, if they wish to do so*, partner countries' integration in the WTO;

Amendment 65

Proposal for a regulation

Annex IV – part B – Middle East – point e

Text proposed by the Commission

(e) complementing resources deployed under this instrument by coherent work and support through other EU instruments, which may focus on wider regional integration, promoting ***the EU's*** interests in fields such as economy, energy, research, innovation and technology, fighting against production, consumption and trafficking of drugs in the context of the security and development nexus, ***as well as managing migration and*** helping displaced persons and refugees in the context of the development and migration nexus.

Amendment

(e) complementing resources deployed under this instrument by coherent work and support through other EU instruments, which may focus on wider regional integration, promoting ***mutual*** interests in fields such as ***sustainable*** economy, ***economic recovery and protection against financial crises, renewable*** energy, research, innovation and technology; ***supporting the development of reliable, accessible and user-friendly channels of financing, including microcredit and counter-guarantee schemes by the European Investment Bank;*** fighting against production, consumption and trafficking of drugs in the context of the security and development nexus; ***promoting coherent migration management and fostering well-managed mobility, as well as*** helping displaced persons and refugees ***with practical solutions*** in the context of the development and migration nexus.

Amendment 66

**Proposal for a regulation
Annex IV – part B – South Africa – point b**

Text proposed by the Commission

(b) providing support to ***the adjustment efforts triggered by the establishment of various free-trade areas;***

Amendment

(b) providing support to ***the regional trade integration of South Africa and its SADC partners with a view to possible future free-trade areas between them and intensified trade relations with the EU;***

Amendment 67

Proposal for a regulation
Annex IV – part B – South Africa – point d

Text proposed by the Commission

(d) **overcoming** economic vulnerability and **achieving** structural transformation with emphasis on decent employment through **sustained** and inclusive economic growth, **a** low carbon **green** economy and sustainable development in all its dimensions (including sustainable agriculture and fisheries) and enhancement of biodiversity and ecosystem services;

Amendment

(d) **addressing** economic vulnerability and **contributing to** structural transformation with emphasis on decent employment through **sustainable** and inclusive economic growth **and an energy-efficient, renewables-based** low carbon economy **by establishing strong partnerships around fair trade relations, productive investments for more and better jobs in the green and inclusive economy, knowledge transfer and cooperation in research, innovation and technology, and promoting** sustainable **and inclusive** development in all its dimensions, **with particular attention to the challenges of migratory flows, housing, food security** (including sustainable agriculture and fisheries), **climate change, sustainable energies and the protection** and enhancement of biodiversity and ecosystem services, **including water and soil**;

Amendment 68

Proposal for a regulation
Annex V – point A – introductory paragraph

Text proposed by the Commission

In compliance with the conditions laid down in Article 6, the Global public goods and challenges programme aims at strengthening cooperation, exchange of knowledge and experience and partner countries' capacities. The programme may be drawn *inter alia* from the following areas of cooperation, ensuring a maximum synergy amongst them in light of their strong interconnection:

Amendment

In compliance with the conditions laid down in Article 6, the Global public goods and challenges programme aims at strengthening cooperation, exchange of knowledge and experience and partner countries' capacities. The programme *shall* be drawn from **the European Consensus for Development and** the following areas of cooperation, ensuring a maximum synergy amongst them in light of their

strong interconnection:

Amendment 69

Proposal for a regulation

Annex V – part A – Sustainable Energy – point c

Text proposed by the Commission

(c) promoting energy security through e.g. diversification of sources and routes, considering price volatility issues, emission reduction potential, improving markets and fostering energy interconnections and trade.

Amendment

(c) promoting energy security through e.g. diversification of sources and routes, considering price volatility issues, emission reduction potential, improving markets and fostering energy interconnections and *open and fair* trade.

Amendment 70

Proposal for a regulation

Annex V – part A – Human Development – point a

Text proposed by the Commission

Promoting actions aiming at creating more and better jobs, in areas such as developing the competitiveness and resilience of local MSMEs and their *integration into the global economy*, assisting developing countries to integrate into *the multilateral trading system*, developing the private sector and improving the business environment, supporting the definition and implementation of industrial innovation and technology policies and of trade *policies and agreements*, supporting regional integration efforts, *promoting investment relations between the EU and partner countries and regions and* leveraging private and public investment and cooperation through innovative financial instruments. *Promoting* the green economy, resource efficiency and sustainable consumption and production processes. Promoting the use of electronic

Amendment

Promoting actions aiming at creating more and better jobs, in areas such as developing the competitiveness and resilience of local MSMEs and their *access to local, national, regional and global markets*, assisting developing countries to integrate into *regional and multilateral trading systems, developing local crafts, which serve to preserve the local cultural heritage*, developing the private sector *including micro-credit schemes*, and improving the business environment, supporting *the development of the local economy and local production systems*, the definition and implementation of industrial innovation and technology policies and of *fair trade relations, capacity building with regard to the negotiation of trade* agreements, supporting regional integration efforts, leveraging private and public investment and cooperation through

communications as a tool to support growth across all sectors in order to bridge the digital divide, to achieve an adequate policy and regulatory framework in this area and promoting the development of necessary infrastructure and the use of services and applications based on ICT.

innovative financial instruments. ***Priority should be given to promotion of trade with domestic impact in the partner country and trade that benefits the small operators and the poor, while Aid for Trade should be better targeted; promoting the green and inclusive economy, resource efficiency and sustainable consumption and production processes.*** Promoting the use of electronic communications as a tool to support growth across all sectors in order to bridge the digital divide, to achieve an adequate policy and regulatory framework in this area and promoting the development of necessary infrastructure and the use of services and applications based on ICT.

Amendment 71

Proposal for a regulation

Annex V – part A – Migration and Asylum – point b

Text proposed by the Commission

(b) ensuring better management of migratory flows in all their dimensions;

Amendment

(b) ensuring better management of migratory flows in all their dimensions ***and better protection of migrants in all respects, ensuring better protection of refugees and secure entry routes into the Union;***

Amendment 72

Proposal for a regulation

Annex V – part B – paragraph 2 – point b a (new)

Text proposed by the Commission

Amendment

(ba) making European consumers more aware of fair and sustainable trade and promoting access to European markets for goods produced fairly.

PROCEDURE

Title	Establishing a financing instrument for development cooperation
References	COM(2011)0840 – C7-0493/2011 – 2011/0406(COD)
Committee responsible Date announced in plenary	DEVE 17.1.2012
Opinion by Date announced in plenary	INTA 17.1.2012
Rapporteur Date appointed	Helmut Scholz 25.1.2012
Discussed in committee	30.5.2012 11.7.2012
Date adopted	17.9.2012
Result of final vote	+: -: 0: 21 1 2
Members present for the final vote	William (The Earl of) Dartmouth, Marielle de Sarnez, Christofer Fjellner, Metin Kazak, Franziska Keller, David Martin, Vital Moreira, Paul Murphy, Helmut Scholz, Robert Sturdy, Gianluca Susta, Henri Weber, Jan Zahradil
Substitute(s) present for the final vote	Amelia Andersdotter, Josefa Andrés Barea, George Sabin Cutaş, Béla Glattfelder, Silvana Koch-Mehrin, Tokia Saïfi, Jarosław Leszek Wałęsa, Pablo Zalba Bidegain
Substitute(s) under Rule 187(2) present for the final vote	Eric Andrieu, Jolanta Emilia Hibner, Gabriel Mato Adrover

13.7.2012

OPINION OF THE COMMITTEE ON BUDGETS

for the Committee on Development

on the proposal for a regulation of the European Parliament and of the Council establishing a financing instrument for development cooperation.
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

Rapporteur: Jan Kozłowski

SHORT JUSTIFICATION

The EU is the world's largest donor of development assistance, and together with its Member States it provides more than half of the total aid to developing countries. Furthermore, at the last Millennium Development Goal Summit the EU reconfirmed its intention to keep its leading position in development assistance by pledging to meet the 07.% GNI target by 2015 and to assess progress towards this goal every year.

The present Commission proposal for a regulation establishing a financial instrument for development cooperation will provide the Union with the necessary tools to meet its commitments for the 2014 – 2020 Multiannual Financial Framework. The new DCI will share the goals of its predecessor, notably the objective of eradicating poverty in partner countries and regions. The geographic scope of the new DCI will build on the existing instrument and will provide a better legal basis for the implementation of cross-regional initiatives, such as the Joint Africa – EU Strategy.

Development assistance at Union level is especially valuable due to the impartial position of the EU to deliver external aid and to complement Member States efforts in this direction. In times of austerity, a more coordinated and integrated approach between EU and Member States' policy programming is ever more important to tackle the global challenges more effectively and the current Commission proposal aims at strengthening this cooperation capacity further. Furthermore, the regulatory environment would be simplified for the next programming period, which should provide easier access to financing for beneficiaries. Your rapporteur wants to stress that EU spending of development aid must be measurable, accountable and designed to have maximum impact on poverty eradication.

The Commission also proposes a more differentiated approach to targeting union assistance where it is most needed and where it would have the biggest impact.

Budgetary implication

The Commission proposes an increase of 17.87% in the financial allocations available for the DCI for the next MFF (from EUR 17 474 mln in 2007 – 2013 to EUR 20 597 in 2014 – 2020). Contrary to the current instrument, the new regulation envisages leaving some funds unallocated to tackle unforeseen events and challenges.

The indicative financial allocations for the period 2014 – 2020 are given in Annex VII to the proposal for Regulation, which can be amended or updated by the Commission through a delegated act. Approximately 31.8 % of the DCI global public goods and challenges thematic allocations for the next MFF will be directed towards environment and climate change, 12.7% for sustainable energy measures, 20% will be earmarked of human development, 28.4% for food security and sustainable agriculture, and 7% for migration and asylum. Your rapporteur would like to underline that allocations for fighting climate change should not jeopardise the main tasks and objectives of development cooperation, namely poverty eradication and attainment of the Millennium Development Goals as well as promotion of democracy, human rights, rule of law and good governance. All these are preconditions for establishing a functioning and stable market economy in the developing countries.

A considerable percentage of European development aid is channelled through the Commission while most of aid is directly allocated by Member States. At the same time, the Union is better placed in the position of ensuring predictability of development support and identifying budgets and spending priorities on long term basis than Member States, because of its multiannual planning provided in the MFF. This competitive advantage should be capitalised and any potential overlaps between MS and Union assistance should be avoided.

Additionally, funding for higher education will be provided through the framework of the “Erasmus for All” programme, through 2 multiannual allocations to be reflected in the multiannual indicative programming of the DCI. Your rapporteur would like to replace this indicative amount with a relative percentage in view of the uncertainty concerning the final figures to be allocated to the participating external action instruments.

AMENDMENTS

The Committee on Budgets calls on the Committee on Development, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Draft legislative resolution Paragraph 1 a (new)

Draft legislative resolution

Amendment

1a. Points out that the financial envelope specified in the legislative proposal constitutes only an indication to the legislative authority and cannot be fixed until agreement is reached on the proposal for a regulation laying down the multiannual financial framework for the years 2014-2020;

Amendment 2

Draft legislative resolution Paragraph 1 b (new)

Draft legislative resolution

Amendment

1b. Recalls its resolution of 8 June 2011 on "Investing in the future: a new Multiannual Financial Framework (MFF) for a competitive, sustainable and inclusive Europe"¹; reiterates that sufficient additional resources are needed in the next MFF in order to enable the Union to fulfil its existing policy priorities and the new tasks provided for in the Treaty of Lisbon, as well as to respond to unforeseen events; challenges the Council, if it does not share this approach, to clearly identify which of its political priorities or projects could be dropped altogether, despite their proven European added value;

¹ *Texts adopted, P7_TA(2011)0266.*

Amendment 3

Proposal for a regulation Recital 1 a (new)

Text proposed by the Commission

Amendment

(1a) This Regulation should lay down, for the entire duration of the instrument, a financial envelope constituting the prime reference, within the meaning of point [] of the Interinstitutional Agreement of XX/201Z between the European Parliament, the Council and the Commission on cooperation in budgetary matters and on sound financial management, for the budgetary authority during the annual budgetary procedure.

Amendment 4

Proposal for a regulation Recital 1 b (new)

Text proposed by the Commission

Amendment

(1b) Improving implementation and quality of spending should constitute guiding principles for achieving the objectives of the instrument while ensuring optimal use of the financial resources.

Amendment 5

Proposal for a regulation Recital 1 c (new)

Text proposed by the Commission

Amendment

(1c) It is important to ensure sound financial management of the instrument and its implementation in the most effective and user-friendly manner possible, while also ensuring legal

certainty and the accessibility of the instrument to all participants.

Amendment 6

Proposal for a regulation Recital 4

Text proposed by the Commission

(4) The Union is founded on the values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, the principles of equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these values in partner countries and regions through dialogue and cooperation.

Amendment

(4) The Union is founded on the values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, ***gender equality***, the principles of equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these values in partner countries and regions through dialogue and cooperation.

Amendment 7

Proposal for a regulation Recital 5

Text proposed by the Commission

(5) The Union also aims to ensure coherence with other areas of its external action. This should be ensured when formulating the Union's development cooperation policy and its strategic planning programming and implementation of measures.

Amendment

(5) The Union also aims to ensure coherence with other areas of its external action ***as well as its internal policies***. This should be ensured when formulating the Union's development cooperation policy and its strategic planning programming and implementation of measures.

Amendment 8

Proposal for a regulation Recital 5 a (new)

Text proposed by the Commission

Amendment

(5a) With a view to policy coherence for development, it is important that Union non-development policies assist developing countries' efforts in achieving the MDGs in line with Article 208 of the Treaty on the Functioning of the European Union.

Amendment 9

Proposal for a regulation

Recital 6 a (new)

Text proposed by the Commission

Amendment

(6a) Bearing in mind that there is a high level of poverty in lower and middle income countries, special attention should be given to poverty reduction in thematic and geographical programmes available under DCI for these countries, while ensuring complementarity with the Partnership instrument.

Amendment 10

Proposal for a regulation

Recital 8

Text proposed by the Commission

Amendment

(8) The Union and the Member States should improve the consistency and the complementarity of their respective policies on development cooperation, in particular by responding to partner countries' and regions' priorities at country and regional level. To ensure that the Union's development cooperation policy and that of the Member States complement and reinforce each other, it is appropriate to provide for joint programming

(8) The Union and the Member States should improve the consistency, **coordination** and the complementarity of their respective policies on development cooperation, in particular by responding to partner countries' and regions' priorities at country and regional level. To ensure that the Union's development cooperation policy and that of the Member States complement and reinforce each other, it is appropriate to provide for joint

procedures which should be implemented whenever possible and relevant.

programming procedures which should be implemented whenever possible and relevant.

Amendment 11

Proposal for a regulation Recital 9

Text proposed by the Commission

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.

Amendment

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union and its Member States, ***and the European Parliament***, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation.

Amendment 12

Proposal for a regulation Recital 9 a (new)

Text proposed by the Commission

Amendment

(9a) The European Union is strongly committed to gender equality as a human right, a question of social justice and a core value of the Union development policy; gender equality is central in achieving all MDGs; the Council has adopted the EU Plan of Action on Gender equality and Women's Empowerment in Development (2010-2015).

Amendment 13

Proposal for a regulation
Recital 11

Text proposed by the Commission

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development and worldwide promotion of democracy, good governance, human rights and the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming would target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance.

Amendment

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development, worldwide promotion of democracy, good governance, human rights and the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, ***each country analysis and programming should contain a conflict analysis and*** the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming would target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance. ***To ensure the greatest impact of Union assistance in the world, the implementation of this Regulation should be strictly coordinated with programmes and actions funded under other Regulations establishing external financing instruments, namely Regulation (EU) No [...] of the European Parliament and of the Council establishing an Instrument for Stability and Regulation (EU) No [...] of the***

European Parliament and of the Council establishing a financing instrument for the promotion of democracy and human rights worldwide and Regulation EU No [...] (COM (2011) 843) of the European Parliament and of the Council establishing a Partnership Instrument for cooperation with third countries.

Amendment 14

Proposal for a regulation Recital 12

Text proposed by the Commission

(12) This Regulation should provide an enabling framework for programming, allowing enhanced ***consistency*** between Union policies by using a joint framework document as a basis for programming. It should enable full alignment with partner countries and regions by relying, where ***appropriate***, on national development plans or similar comprehensive development documents; and pursue a better coordination amongst donors, in particular between the Union and its Member States, through joint programming.

Amendment

(12) This Regulation should provide an enabling framework for programming, allowing enhanced ***policy coherence for development*** between Union policies by using a joint framework document as a basis for programming. It should enable full alignment with partner countries and regions by relying, where ***possible***, on national development plans or similar comprehensive development documents ***adopted by the partner countries' or regions' parliaments in consultation with their respective civil societies***; and pursue a better coordination amongst donors, in particular between the Union and its Member States, through joint programming.

Amendment 15

Proposal for a regulation Recital 15

Text proposed by the Commission

(15) Fighting climate change and protecting the environment are among the great challenges which face the Union and where the need for international action is

Amendment

(15) Fighting climate change and protecting the environment are among the great challenges which face the Union and ***the developing countries, and*** where the

urgent. In accordance with the intent stated in Commission Communication ‘A budget for Europe 2020’ of 29 June 2011 , this Regulation should contribute to the objective of ***addressing at least 20% of*** the EU budget ***to*** low carbon and climate resilient society, and the global public goods and challenges programme should use ***at least 25%*** of its funds to cover climate change and environment. Actions in these two areas should, wherever possible, be mutually supportive in order to reinforce their impacts.

need for international action is urgent. In accordance with the intent stated in Commission Communication ‘A budget for Europe 2020’ of 29 June 2011 , this Regulation should contribute to the objective of ***targeting*** the EU budget ***towards*** low carbon and climate resilient society, and the global public goods and challenges programme should use ***part*** of its funds to cover climate change and environment. Actions in these two areas should, wherever possible, be mutually supportive in order to reinforce their impacts, ***notwithstanding the fact that the main objective of this instrument is the achievement of MDGs including fight against poverty. As pledged by the EU in the Copenhagen Accord, resources for mitigation and adaptation in developing countries shall be new and additional.***

Amendment 16

Proposal for a regulation Recital 16

Text proposed by the Commission

(16) Commission communication ‘Increasing the impact of EU Development Policy: an Agenda for Change’ envisages continued support for social inclusion and human development through at least 20% of the Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development.

Amendment

(16) Commission communication ‘Increasing the impact of EU Development Policy: an Agenda for Change’ envisages continued support for social inclusion and human development through at least 20% of the Union's development aid. ***The priority "social inclusion and human development" is to be understood as encompassing basic social services including health and education.*** To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development.

Amendment 17

Proposal for a regulation

Article 2 – paragraph 1 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

Aid shall also focus on reinforcing the partner countries' fiscal policy and promoting mobilisation of domestic revenue which shall lead to the reduction of poverty and of aid dependency in the future, while fostering sustainable economic growth and social development.

Amendment 18

Proposal for a regulation

Article 2 – paragraph 1 – subparagraph 2

Text proposed by the Commission

Amendment

The achievement of these objectives shall be measured using relevant indicators, in particular MDG 1 for subparagraph (a) and MDGs 1 to 8 for subparagraph (b), and other indicators agreed by the Union and its Member States.

The achievement of these objectives shall be measured using relevant indicators, ***including human development indicators***, in particular MDG 1 for subparagraph (a) and MDGs 1 to 8 for subparagraph (b), and other indicators agreed by the ***UN, the*** Union and its Member States.

Amendment 19

Proposal for a regulation

Article 2 – paragraph 2 – subparagraph 3

Text proposed by the Commission

Amendment

Without prejudice to point (a), at least 90 % of the expenditure foreseen under the Pan-African and thematic programmes shall fulfil the criteria for ODA established by the OECD/DAC.

100 % of the expenditure foreseen under the Pan-African and thematic programmes shall fulfil the criteria for ODA established by the OECD/DAC.

Amendment 20

Proposal for a regulation Article 2 – paragraph 3 a (new)

Text proposed by the Commission

Amendment

3a. Eligibility criteria for budget support shall be clearly and objectively defined and their strict application shall be ensured and scrutinized by the budgetary authority.

Amendment 21

Proposal for a regulation Article 3 – paragraph 3

Text proposed by the Commission

Amendment

3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, women empowerment, nondiscrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.

3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, ***entrepreneurship, rights of workers, environmental protection,*** gender equality, women empowerment, nondiscrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS.

Amendment 22

Proposal for a regulation Article 3 – paragraph 5

Text proposed by the Commission

Amendment

5. In implementing this Regulation, consistency shall be ensured with other areas of Union external action and with other relevant Union policies. To this end, measures financed under this Regulation,

5. In implementing this Regulation, consistency shall be ensured with other areas of Union external action, ***including other relevant Instruments for external action where applicable,*** and with other

including those managed by the EIB, shall be based on the cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, and on the Union's decisions, specific interests, policy priorities and strategies.

relevant Union *internal and external* policies. To this end, measures financed under this Regulation, including those managed by the EIB, shall be based on the cooperation policies set out in instruments such as agreements, declarations and action plans between the Union and the third countries and regions concerned, and on the Union's decisions, specific interests, policy priorities and strategies.

Amendment 23

Proposal for a regulation Article 3 – paragraph 6

Text proposed by the Commission

6. The Commission and the Member States shall seek regular and frequent exchanges of information, including with other donors, and promote better donor coordination and complementarity by working towards joint multiannual programming, based on partner countries' poverty reduction or equivalent strategies, by common implementation mechanisms including shared analysis, by joint donor-wide missions and by the use of co-financing and delegated cooperation arrangements

Amendment

6. The Commission and the Member States shall seek regular and frequent exchanges of information, including with other *international, regional and local* donors, and promote better donor *consistency*, coordination and complementarity by working towards joint multiannual programming, based on partner countries' poverty reduction or equivalent strategies, by common implementation mechanisms including shared analysis, by joint donor-wide missions and by the use of co-financing and delegated cooperation arrangements.

Amendment 24

Proposal for a regulation Article 3 – paragraph 7

Text proposed by the Commission

7. Within their respective spheres of competence, the Union and the Member States shall promote a multilateral approach to global challenges and, where appropriate, foster cooperation with

Amendment

7. Within their respective spheres of competence, the Union and the Member States shall promote a multilateral approach to global challenges and, where appropriate, foster cooperation with *local*,

international organisations and bodies and other bilateral donors.

national and international organisations and bodies and other bilateral donors.

Amendment 25

Proposal for a regulation

Article 3 – paragraph 8 – point c

Text proposed by the Commission

(c) effective and innovative cooperation modalities and instruments as set out in Article 4 of the Common Implementing Regulation , such as blending grants and loans and other risk-sharing mechanisms in selected sectors and countries and private-sector engagement, in line with OECD/DAC best practices. These modalities and instruments shall be adapted to the particular circumstances of each partner country or region, with a focus on programme-based approaches, on delivery of predictable aid funding, on the mobilisation of private resources, on the development and use of country systems and on results-based approaches to development including, where appropriate, internationally agreed targets and indicators such as those of the MDGs; and

Amendment

(c) effective and innovative cooperation modalities and instruments as set out in Article 4 of the Common Implementing Regulation such as blending grants and loans and other risk-sharing mechanisms in selected sectors and countries and private-sector engagement, in line with OECD/DAC best practices ***and based on the common Union standards and best practices on the use of Union funds and reporting, as laid down in the financial rules and other relevant Union legislation.*** These modalities and instruments shall be adapted to the particular circumstances of each partner country or region, with a focus on programme-based approaches, on delivery of predictable aid funding, on the mobilisation of private resources, on the development and use of country systems and on results-based approaches to development including, where appropriate, internationally agreed targets and indicators such as those of the MDGs; and

Amendment 26

Proposal for a regulation

Article 3 – paragraph 8 – point d

Text proposed by the Commission

(d) improved impact of policies and programming through coordination and harmonisation between donors to ***reduce***

Amendment

(d) improved impact of policies and programming through coordination, ***consistency*** and harmonisation between

overlap and duplication, to improve complementarity and to support donor-wide initiatives.

donors to *create synergies and avoid* overlap and duplication, to improve complementarity and to support donor-wide initiatives.

Amendment 27

**Proposal for a regulation
Article 3 – paragraph 10 a (new)**

Text proposed by the Commission

Amendment

10a. The Union shall offer particular support to local authorities and non-state actors based in the beneficiary countries.

Amendment 28

**Proposal for a regulation
Article 3 – paragraph 10 b (new)**

Text proposed by the Commission

Amendment

10b. The Union will include among the recipients of its public procurements and calls for tenders the local and regional authorities, and the non state agents of the beneficiary countries.

Amendment 29

**Proposal for a regulation
Article 4 – paragraph 1 a (new)**

Text proposed by the Commission

Amendment

Attention shall also be given to fostering private sector development, SMEs and micro-enterprises in the beneficiary countries.

Amendment 30

Proposal for a regulation Article 6 – paragraph 1

Text proposed by the Commission

Consistent with the overall purpose and scope, objectives and general principles of this Regulation, actions undertaken through thematic programmes shall add value to and, be complementary to, actions funded under geographic programmes.

Amendment

Consistent with the overall purpose and scope, objectives and general principles of this Regulation, actions undertaken through thematic programmes shall add value to and, be complementary to, actions funded under geographic programmes. ***Thematic support offered to the countries eligible for geographical support shall not be contradictory to the priority areas set up for those countries.***

Amendment 31

Proposal for a regulation Article 6 – paragraph 2 – point b

Text proposed by the Commission

(b) the actions address global initiatives supporting internationally agreed goals, or global public goods and challenges, in which case, ***by way of derogation from Article 9 of the Common Implementing Regulation***, they may include actions in Member States, candidate countries and potential candidates and other third countries, as envisaged in the relevant thematic programme;

Amendment

(b) the actions address global initiatives supporting internationally agreed goals, or global public goods and challenges, in which case they may include actions in Member States, candidate countries and potential candidates, and ***in*** other third countries ***by way of derogation from Article 9 of the Common Implementing Regulation***, as envisaged in the relevant thematic programme;

Justification

Derogation would be only in the case of third countries, as Article 9 of the Common Implementing Regulation already provides for Member States, candidate countries and potential candidates

Amendment 32

Proposal for a regulation Article 8 – paragraph 1

Text proposed by the Commission

1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations and local authorities originating from partner countries, the Union, candidate countries and potential candidates.

Amendment

1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for **local authorities and** civil society organisations originating from partner countries, the Union, candidate countries and potential candidates.

Amendment 33

Proposal for a regulation Article 10 – paragraph 1 – subparagraph 3

Text proposed by the Commission

The Commission shall adopt the implementing measures laid down in Article 2 of the Common Implementing Regulation on the basis of the programming documents referred to in Articles 11 and 13. In particular circumstances, however, Union support may also take the form of measures not covered in these documents, as provided for in the Common Implementing Regulation.

Amendment

The Commission shall adopt the implementing measures laid down in Article 2 of the Common Implementing Regulation on the basis of the programming documents referred to in Articles 11 and 13. In particular circumstances, however, Union support may also take the form of **individual and special** measures not covered in these documents, as provided for in the Common Implementing Regulation.

Amendment 34

Proposal for a regulation Article 10 – paragraph 2

Text proposed by the Commission

2. The Union and its Member States shall consult each other, and other donors and development actors including

Amendment

2. The Union and its Member States shall consult each other, and other donors and development actors including

representatives of civil society and regional and local authorities, at an early stage of the programming process in order to promote complementarity and consistency among their cooperation activities. This consultation may lead to joint programming between the Union and its Member States.

representatives of civil society and regional and local authorities, at an early stage of the programming process in order to promote **coherence**, complementarity and consistency among their cooperation activities. This consultation may lead to joint programming between the Union and its Member States.

Amendment 35

Proposal for a regulation Article 10 – paragraph 3

Text proposed by the Commission

3. **The** Commission shall determine the multiannual indicative allocations within each geographic programme in accordance with the general principles of this Regulation, based on the criteria laid down in Article 3(2), and taking into account, alongside the specificity of the different programmes, the particular difficulties faced by countries or regions that are in crisis, vulnerable, fragile, in conflict or disaster prone.

Amendment

3. **Without prejudice to the powers of the budgetary authority, the** Commission shall determine the multiannual indicative allocations within each geographic programme in accordance with the general principles of this Regulation, based on the criteria laid down in Article 3(2), and taking into account, alongside the specificity of the different programmes, the particular difficulties faced by countries or regions that are in crisis, vulnerable, fragile, in conflict or disaster prone.

Amendment 36

Proposal for a regulation Article 10 – paragraph 4

Text proposed by the Commission

4. Funds may be left unallocated. Subject to their subsequent allocation or re-allocation as provided for in Articles 11(5) and 13, the use of these funds shall be decided later in accordance with the Common Implementing Regulation.

Amendment

4. Funds may be left unallocated. Subject to their subsequent allocation or re-allocation as provided for in Articles 11(5) and 13, the use of these funds shall be decided later in accordance with the Common Implementing Regulation, **without prejudice to the prerogatives of the budgetary authority**.

Amendment 37

Proposal for a regulation Article 10 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. In view of the fact that the predictability of aid flows is one of the most important factors in ensuring the quality of spending and that it enables the partner countries to carry out long-term planning of expenditures and to sustain improvements in sectoral policies, such improvements shall be reinforced by the partner countries' fiscal policy and by mobilisation of domestic revenue which, in the long-term, shall lead to the reduction of aid dependency.

Amendment 38

Proposal for a regulation Article 11 – paragraph 5 – subparagraph 3

Text proposed by the Commission

Amendment

Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, *the* performance indicators and the indicative financial allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range and/or some funds may be left unallocated.

Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, *clear, specific and transparent* performance indicators and, *without prejudice to the prerogatives of the budgetary authority, lay down the* indicative financial allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range and/or some funds may be left unallocated.

Amendment 39

Proposal for a regulation
Article 13 – paragraph 1

Text proposed by the Commission

1. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy for the theme concerned, the priorities selected for financing by the Union, the specific objectives, the expected results, *the* performance indicators, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives. Multiannual indicative programmes shall be consistent with the documents referred to in Article 11(3).

Amendment

1. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy for the theme concerned, the priorities selected for financing by the Union, the specific objectives, the expected results, *clear, specific and transparent* performance indicators, the international situation and the activities of the main partners. Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives. Multiannual indicative programmes shall be consistent with the documents referred to in Article 11(3).

Amendment 40

Proposal for a regulation
Article 13 – paragraph 2

Text proposed by the Commission

2. The multiannual indicative programmes shall give the indicative financial allocation, both overall and by priority area. Where appropriate, this allocation may, be given in the form of a range, and/or some funds may be left unallocated. Multiannual indicative programmes should be adjusted where necessary for an effective policy implementation, taking into account any mid-term or ad hoc reviews.

Amendment

2. *Without prejudice to the prerogatives of the budgetary authority*, the multiannual indicative programmes, shall give the indicative financial allocation, both overall and by priority area. Where appropriate, this allocation may, be given in the form of a range, and/or some funds may be left unallocated. Multiannual indicative programmes should be adjusted where necessary for an effective policy implementation, taking into account any mid-term or ad hoc reviews.

Amendment 41

Proposal for a regulation Article 14 – paragraph 2

Text proposed by the Commission

2. The procedure referred to in paragraph 1 shall not apply to non-substantial modifications to strategy papers and multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation **by less than 20%**, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Amendment

2. The procedure referred to in paragraph 1 shall not apply to non-substantial modifications to strategy papers and multiannual indicative programmes, making technical adjustments, reassigning funds within the indicative allocations per priority area, or increasing or decreasing the size of the initial indicative allocation ***within the relevant percentage limit set out in Article 2(2) of the Common Implementing Regulation***, provided that these modifications do not affect the priority areas and objectives set out in these documents. In such case, adjustments shall be communicated to the European Parliament and to the Council within one month.

Amendment 42

Proposal for a regulation Article 14 a (new)

Text proposed by the Commission

Amendment

Article 14a

Coherence and complementarity of Union assistance

- 1. In implementing this Regulation, coherence shall be ensured with other areas and instruments of the Union external action, as well as other relevant Union policies.***
- 2. The Union and the Member States shall coordinate their respective support programmes with the aim of increasing the effectiveness and efficiency in the delivery of support and policy dialogue in***

line with the established principles for strengthening operational coordination in the field of external support, and for harmonizing policies and procedures. Coordination shall involve regular consultations and frequent exchanges of relevant information during the different phases of the support cycle.

3. The Union shall, in liaison with the Member States, take the necessary steps to ensure proper coordination and cooperation with multilateral and regional organisations and entities, including, but not limited to European financial institutions, international financial institutions, United Nations agencies, funds and programmes, private and political foundations and non-Union donors.

Amendment 43

Proposal for a regulation Article 16

Text proposed by the Commission

Without prejudice to the provisions on suspension of aid in partnership and cooperation agreements with partner countries and regions, where a partner country fails to observe the principles referred to in Article 3(1), the Union shall invite the partner country to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultations with the partner country do not lead to a solution acceptable to both parties, or if consultations are refused or in cases of special urgency, the Council may take appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union, which may include full or partial suspension of

Amendment

Without prejudice to the provisions on suspension of aid in partnership and cooperation agreements with partner countries and regions, where a partner country fails to observe the principles referred to in Article 3(1), the Union shall invite the partner country to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultations with the partner country do not lead to a solution acceptable to both parties, or if consultations are refused or in cases of special urgency, the Council may take appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union, which may include full or partial suspension of

Union assistance.

Union assistance. *The European Parliament shall be fully and immediately informed of any decision taken in this respect.*

Amendment 44

Proposal for a regulation Article 20 – paragraph 1

Text proposed by the Commission

1. The financial reference **amount** for the implementation of this Regulation over the period 2014-2020 is EUR 23 294 700 000.

Amendment

1. The financial reference **as defined in point [17] of the Interinstitutional Agreement of XX/201Z between the European Parliament, the Council and the Commission on cooperation in budgetary matters and sound financial management** for the implementation of this Regulation over the period 2014-2020 is EUR 23 294 700 000.

Amendment 45

Proposal for a regulation Article 20 – paragraph 2

Text proposed by the Commission

2. The indicative minimum amounts allocated to each programme referred to in Articles 5 to 9 for the period 2014-2020 are laid down in Annex VII. The amounts may be reallocated between programmes by a delegated act in accordance with Article 18. The amounts within the global public goods and challenges programme may be reallocated between subheadings by Commission decision which shall be communicated to the European Parliament and to the Council within one month of its adoption.

Amendment

2. The indicative minimum amounts allocated to each programme referred to in Articles 5 to 9 for the period 2014-2020 are laid down in Annex VII. The **indicative** amounts may be reallocated between programmes by a delegated act in accordance with Article 18. The **indicative** amounts within the global public goods and challenges programme may be reallocated between subheadings by Commission decision which shall be communicated to the European Parliament and to the Council within one month of its adoption. *Annual appropriations shall be authorised by the budgetary authority without prejudice to the provisions of the*

Regulation laying down the multiannual financial framework for the years 2014-2020 and the Interinstitutional Agreement of xxx/201z between the European Parliament, the Council and the Commission on cooperation in budgetary matters and sound financial management.

Amendment 46

Proposal for a regulation

Article 20 – paragraph 3 - subparagraph 1

Text proposed by the Commission

3. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount **of EUR 1 812 100 000 from the different external instruments** (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Preaccession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.

Amendment

3. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount **corresponding to 2% of the financial allocations available for the participating instruments** (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Preaccession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.

Amendment 47

Proposal for a regulation

Article 20 – paragraph 3 – subparagraph 2

Text proposed by the Commission

The funding will be made available through 2 multiannual allocations only covering the first 4 years and the remaining

Amendment

The funding will be made available through 2 multiannual allocations only covering the first 4 years and the remaining

3 years respectively. This funding will be reflected in the multiannual indicative programming of these instruments, in line with the identified needs and priorities of the countries concerned. The allocations can be revised in case of major unforeseen circumstances or important political changes in line with the EU external priorities.

3 years respectively. This funding will be reflected in the multiannual indicative programming of these instruments, in line with the identified needs and priorities of the countries concerned, ***and it will only be allocated for purposes for which development aid may be granted***. The allocations can be revised in case of major unforeseen circumstances or important political changes in line with the EU external priorities.

Amendment 48

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point b – point iii

Text proposed by the Commission

(iii) strengthening social inclusion with cooperation on equitable access to basic services, employment for all, empowerment and respect of rights of specific groups, notably youth, persons with disabilities, women and minority groups to let all population participate and benefit from wealth creation and cultural diversity.

Amendment

(iii) strengthening social inclusion ***and gender equality*** with cooperation on equitable access to basic services, employment for all, empowerment and respect of rights of specific groups, notably youth, persons with disabilities, women and minority groups to let all population participate and benefit from wealth creation and cultural diversity.

Amendment 49

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point c – point i

Text proposed by the Commission

(i) Supporting country level programmes to promote women's economic and social empowerment and political participation;

Amendment

(i) Supporting ***local, regional and*** country level programmes to promote women's economic and social empowerment and political participation;

Amendment 50

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point c – point ii

Text proposed by the Commission

(ii) supporting ***national***, regional and global initiatives to promote ***the integration of this issue in*** the aid effectiveness agenda.

Amendment

(ii) supporting ***local***, regional, ***national*** and global ***programmes and*** initiatives, to promote ***and implement gender equality, women and girls' empowerment, namely through micro-credit assistance, and*** the aid effectiveness agenda.

Amendment 51

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point c – point ii a (new)

Text proposed by the Commission

Amendment

(ii a) promoting, providing and expanding essential services and psychological support for victims of gender-based violence.

Amendment 52

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point d – point i

Text proposed by the Commission

Amendment

(i) ***Improving*** the health and well-being of people in developing countries through increasing access to, and equitable provision of, good quality essential public health services and more specifically:

(i) In line with the priorities set out in the European Consensus for Development, supporting the health and well-being of people in developing countries through increasing access to, and equitable provision of, good quality essential public health services and more specifically:

Amendment 53

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point d – point ii

Text proposed by the Commission

(ii) supporting and shaping the policy agenda of global initiatives of direct significant benefit to partner countries, considering result orientation, aid effectiveness and effects on health systems, including supporting partner countries to better engage with these initiatives;

Amendment

(ii) supporting and shaping the policy agenda of global initiatives of direct significant benefit to partner countries, *in the context of poverty eradication and in the areas of health and basic education*, considering result orientation, aid effectiveness and effects on health systems, including supporting partner countries to better engage with these initiatives;

Amendment 54

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point d – point iii

Text proposed by the Commission

(iii) supporting specific initiatives *especially* at regional and global *level*, which strengthen health systems and help countries develop and implement sound, evidence-based national health policies, and in priority areas (e.g., maternal health and sexual and reproductive health and rights, access to family planning; global public goods and response to global health threats).

Amendment

(iii) supporting specific initiatives at *local*, regional and global *levels*, which strengthen health systems and help countries develop and implement sound, evidence-based national health policies, and in priority areas (e.g. *child and* maternal health, and sexual and reproductive health and rights, access to family planning; global public goods and response to global health threats, *such as HIV/AIDS, TB and Malaria and other poverty related and neglected diseases*).

Amendment 55

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point d – point iii a (new)

Text proposed by the Commission

Amendment

(iii a) promoting, providing and expanding essential services and psychological support for victims of violence, especially children.

Amendment 56

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point e – point iii

Text proposed by the Commission

(iii) ***improving*** equal access and quality of education, ***including for vulnerable groups***, women and ***girls***, and countries furthest from achieving global targets.

Amendment

(iii) ***enhancing*** equal access and quality of education, ***with particular emphasis on women, girls and other vulnerable groups***, and countries furthest from achieving global targets.

Amendment 57

Proposal for a regulation

Annex 5 – part A – paragraph 4 – point e a (new)

Text proposed by the Commission

Amendment

(e a) Children and youth:

(i) promotion of policies taking into consideration children's and youth's particular vulnerability, protection of their rights, education, health and livelihoods, starting with participation and empowerment;

(ii) promoting and helping on the implementation and development of policies, projects and programmes benefiting children and youth and enhancing the role of children and youth as actors for development;

(iii) promoting and helping on the implementation and development of policies, projects and programmes to eradicate child labour, trafficking of children and violence against children.

PROCEDURE

Title	Establishing a financing instrument for development cooperation
References	COM(2011)0840 – C7-0493/2011 – 2011/0406(COD)
Committee responsible Date announced in plenary	DEVE 17.1.2012
Opinion by Date announced in plenary	BUDG 17.1.2012
Rapporteur Date appointed	Jan Kozłowski 29.2.2012
Date adopted	12.7.2012
Result of final vote	+: -: 0: 28 3 2
Members present for the final vote	Marta Andreasen, Richard Ashworth, Jean Louis Cottigny, Jean-Luc Dehaene, Isabelle Durant, James Elles, Göran Färm, José Manuel Fernandes, Eider Gardiazábal Rubial, Salvador Garriga Polledo, Lucas Hartong, Jutta Haug, Anne E. Jensen, Jan Kozłowski, Alain Lamassoure, Giovanni La Via, Barbara Matera, Claudio Morganti, Juan Andrés Naranjo Escobar, Nadezhda Neynsky, Dominique Riquet, Alda Sousa, Helga Trüpel, Derek Vaughan, Angelika Werthmann
Substitute(s) present for the final vote	Alexander Alvaro, Bendt Bendtsen, Gerben-Jan Gerbrandy, Lidia Joanna Geringer de Oedenberg, Jutta Steinrück, Theodor Dumitru Stolojan, Nils Torvalds
Substitute(s) under Rule 187(2) present for the final vote	Leonardo Domenici

5.9.2012

OPINION OF THE COMMITTEE ON WOMEN'S RIGHTS AND GENDER EQUALITY

for the Committee on Development

on the proposal for a regulation of the European Parliament and of the Council establishing a financing instrument for development cooperation
(COM(2011)0840 – C7-0493/2011 – 2011/0406(COD))

Rapporteur: Rodi Kratsa-Tsagaropoulou

AMENDMENTS

The Committee on Women's Rights and Gender Equality calls on the Committee on Development, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation

Recital 2

Text proposed by the Commission

(2) The fight against poverty remains the primary objective of the development policy of the European Union, as laid down in Title V, Chapter 1 of the Treaty on European Union and Title III, Chapter 1 of Part Five of the Treaty on the Functioning of the European Union, in line with the Millennium Development Goals (MDGs), or other objectives accepted by the Union and its Member States,

Amendment

(2) The fight against poverty, *extreme poverty and social exclusion, while recognizing that women, children and older people are particularly vulnerable and there is a close relationship between growth, development and poverty reduction, on the one hand, and the consistent reduction of gender inequalities on the other*, remains the primary objective of the development policy of the European Union, as laid down

in Title V, Chapter 1 of the Treaty on European Union and Title III, Chapter 1 of Part Five of the Treaty on the Functioning of the European Union, in line with the Millennium Development Goals (MDGs), or other objectives accepted by the Union and its Member States, *such as the fight against social and gender-based discrimination;*

Amendment 2

**Proposal for a regulation
Recital 2 b (new)**

Text proposed by the Commission

Amendment

(2b) Women are key actors in development and drivers of change processes, contributing to their empowerment is therefore a medium and long-term investment, generating prosperity, competitiveness and more sustainable development;

Amendment 3

**Proposal for a regulation
Recital 3 a (new)**

Text proposed by the Commission

Amendment

(3a) The EU has reiterated in the EU Plan of Action on Gender Equality and Women's Empowerment in Development (2010-2015) its strong commitment to gender equality as a human right, a question of social justice and a core value of the EU development policy;

Amendment 4

**Proposal for a regulation
Recital 3 b (new)**

Text proposed by the Commission

Amendment

(3b) Pursuant to Articles 2 and 3 TEU and Article 8 TFEU, equality between women and men is a fundamental value and objective of the EU and the EU should promote gender equality in all its activities;

Amendment 5
Proposal for a regulation
Recital 4

Text proposed by the Commission

Amendment

(4) The Union is founded on the values of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, *the principles of* equality and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these values in partner countries and regions through dialogue and cooperation.

(4) The Union is founded on the values *and principles* of democracy, the rule of law, the universality and indivisibility of and respect for human rights and fundamental freedoms, respect for human dignity, *gender* equality, *non-discrimination*, and solidarity and respect for the principles of the UN Charter and international law. It seeks to develop and consolidate commitment to these values in partner countries and regions through dialogue and cooperation.

Amendment 6
Proposal for a regulation
Recital 6 a (new)

Text proposed by the Commission

Amendment

(6a) Violence against women in all its forms is a structural phenomenon linked to the unequal distribution of power between women and men in society and constitutes a violation of fundamental rights. Taking action to combat violence against women contributes to the promotion of equality between women and men and is a strong component of this

Regulation;

Amendment 7
Proposal for a regulation
Recital 9

Text proposed by the Commission

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty and hunger, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation,

Amendment

(9) The Union's policy and international action for development cooperation are guided by the MDGs, such as the eradication of extreme poverty, ***gender-based discrimination*** and hunger, promotion of equality between men and women, the ***reduction of maternal and infant mortality***, including any subsequent modifications thereto, and by the development objectives and principles approved by the Union and its Member States, including in the context of their cooperation within the United Nations (UN) and other competent international organisations in the field of development cooperation,

Amendment 8
Proposal for a regulation
Recital 9 a (new)

Text proposed by the Commission

Amendment

(9a) The EU must foster gender equality and the empowerment of women, not only to promote the Millennium Development Goal (MDG) specifically dedicated to it, but also to help achieve all the MDGs.

Amendment 9
Proposal for a regulation
Recital 10

Text proposed by the Commission

(10) The Union should promote a comprehensive approach in response to crisis and disaster and to conflict-affected and fragile situations, including those of transition. This should in particular build on the conclusions on Security and Development on an EU response to situations of fragility on conflict prevention as well as any relevant subsequent conclusions. This should provide the necessary mix of approaches, responses and instruments in particular by ensuring an appropriate balance between the security-oriented, development and humanitarian approaches, and by linking short-term reaction with the long term support.

Amendment

(10) The Union should promote a comprehensive approach in response to crisis and disaster and to conflict-affected and fragile situations, including those of transition. ***This should include, whenever necessary, a cross-cutting gender dimension that not only addresses the particular vulnerability of women and girls but also promotes the image of women as agents of social change who possess valuable resources and capacities that are able to influence and steer the process of pacification, stabilisation, reconstruction and development.*** This should ***also*** in particular build on the conclusions on Security and Development on an EU response to situations of fragility on conflict prevention as well as any relevant subsequent conclusions. This should provide the necessary mix of approaches, responses and instruments in particular by ensuring an appropriate balance between the security-oriented, development and humanitarian approaches, and by linking short-term reaction with the long term support.

**Amendment 10
Proposal for a regulation**

Recital 10 a (new)

Text proposed by the Commission

Amendment

(10a) Because of the importance of confronting the gender pay gap in favour of global development, it is important to cooperate with UN agencies and bodies such as the World bank and the European Investment Bank on specific programmes on improving access to economic opportunities for women and reducing earnings and productivity gaps between

women and men.

Amendment 11
Proposal for a regulation
Recital 10 a (new)

Text proposed by the Commission

Amendment

(10a) Women are responsible for securing the foundations for the basic functioning of society during conflicts, but when they cease, women are subordinated, leading to the disadvantage that existed before the conflict.

Amendment 12
Proposal for a regulation
Recital 10 b (new)

Text proposed by the Commission

Amendment

(10b) Whereas the importance of combating discrimination between men and women in access to employment and work, promoting equality in careers and professional categories, in vocational training and in salaries, and cooperation with key UN institutions is essential, to strengthen work with rights and to protect collective bargaining and union rights.

Amendment 13
Proposal for a regulation
Recital 11

Text proposed by the Commission

Amendment

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development and worldwide promotion of democracy, good

(11) Union assistance should focus where it has more impact, having regard to its capacity to act on a global scale and respond to global challenges such as poverty eradication, sustainable and inclusive development and worldwide promotion of democracy, good

governance, **human rights and** the rule of law, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming would target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance.

governance, the rule of law, **human rights and fundamental freedoms, women's rights, gender equality, non-discrimination**, its long-term and predictable commitment to development assistance and its role in coordinating with its Member States. To ensure such impact, the principle of differentiation should be applied not only at the level of funds allocation, but also at the level of programming, to ensure that bilateral development cooperation targets partner countries most in need, including fragile states and states with high vulnerability, and with limited capacity to have access to other sources of financing for supporting their own development, having regard to the potential impact of the Union assistance in partner countries. As a consequence, bilateral programming would target such countries, pursuant to the application of objective criteria based on the needs and capacities of these countries, as well as the impact of EU assistance.

Amendment 14
Proposal for a regulation
Recital 11 a (new)

Text proposed by the Commission

Amendment

(11a) Concerns about increasing the effectiveness of development aid and the importance of new aid modalities — such as budget and sector support in partner countries — also create challenges in promoting gender equality and the empowerment of women in development cooperation.

Amendment 15
Proposal for a regulation
Recital 15 a (new)

Text proposed by the Commission

Amendment

(15a) Given that climate change has a strong gender dimension, both in its effects and in the solutions thereto, gender equality concerns should be included as a cross-cutting issue in programmes and projects on climate change and the environment from their implementation through to their evaluation, so as to provide factual information to evaluate and improve the impact of these policies.

Amendment 16

Proposal for a regulation

Recital 16

Text proposed by the Commission

Amendment

(16) Commission communication
"Increasing the impact of EU Development Policy: an Agenda for Change" envisages continued support for social inclusion and human development through at least 20% of the Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development,

(16) Commission communication
"Increasing the impact of EU Development Policy: an Agenda for Change" envisages continued support for social inclusion and human development, *including gender equality and women's empowerment*, through at least 20% of the Union's development aid. To contribute to that end, at least 20% of the global public goods and challenges programme should support that area of development. *Under this aid, particular programmes should be targeted at women's empowerment, antidiscrimination, achieving the MDGs and the overarching priority of gender equality ,*

Amendment 17

Proposal for a regulation

Article 1 - paragraph 1 - point b

Text proposed by the Commission

(b) thematic programmes to address global public goods and challenges and support civil society ***organisations and local authorities*** in countries, territories and regions eligible for Union funding under geographic programmes, in accordance with Annex I of this Regulation, Regulation (EU) No [...] of the European Parliament and the Council establishing a European Neighbourhood Instrument, and Council Decision [2001/822/EC of 27 November 2001 on the association of the overseas countries and territories], and in African, Caribbean and Pacific (ACP) countries signatories to the ACP-EU Partnership Agreement signed in Cotonou on 23 June 2000,

Amendment

(b) thematic programmes to address global public goods and challenges and support ***local authorities and local*** civil society ***groups***, especially ***women's associations and gender equality associations, organisations focusing on women's issues*** in countries, territories and regions eligible for Union funding under geographic programmes, in accordance with Annex I of this Regulation, Regulation (EU) No [...] of the European Parliament and the Council establishing a European Neighbourhood Instrument, and Council Decision [2001/822/EC of 27 November 2001 on the association of the overseas countries and territories], and in African, Caribbean and Pacific (ACP) countries signatories to the ACP-EU Partnership Agreement signed in Cotonou on 23 June 2000,

Amendment 18

Proposal for a regulation

Article 1 – paragraph 1 – point b a (new)

Text proposed by the Commission

Amendment

(ba) thematic programmes which contribute to the promotion and protection of the principles of women's rights, gender equality and non-discrimination.

Amendment 19

Proposal for a regulation

Article 1 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. Union assistance under this Regulation shall relate to gender equality

and women empowerment through supporting regional, national and global initiatives and commitments to promote women's economic and social empowerment, leadership and political participation and the integration of gender equality and women and girls' empowerment into development policies, plans for action and budgets.

Amendment 20

Proposal for a regulation

Article 2 - paragraph 1 - point a

Text proposed by the Commission

(a) the primary objective of cooperation under this Regulation shall be the **reduction and, in the long term**, the eradication of poverty,

Amendment

(a) the primary objective of cooperation under this Regulation shall be the eradication of poverty **in line with the fundamental values of the EU, especially gender equality, fostering at all levels of the decision-making and the implementation process in development policy in a close partnership with the poorest so that the means and resources, suited to combating chronic poverty effectively and to eradicating social exclusion can be drawn from their experience. Particular attention shall be given to prevent the impact of poverty to women, who are the main victims of poverty and discrimination;**

Amendment 21

Proposal for a regulation

Article 2 - paragraph 1 - point b,- point ii

Text proposed by the Commission

(ii) promoting democracy, the rule of law, good governance and respect for human

Amendment

(ii) promoting democracy, the rule of law, good governance, **gender equality, women's empowerment** and respect for

rights.

human rights.

Amendment 22

Proposal for a regulation

Article 2 – paragraph 1 – point b b (new)

Text proposed by the Commission

Amendment

(bb) while promoting gender equality and women's empowerment through the promotion of women's rights and the principle of non-discrimination;

Amendment 23

Proposal for a regulation

Article 3 – paragraph 1

Text proposed by the Commission

Amendment

1. The Union seeks to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions.

1. The Union seeks to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms, *women's rights and the principles of gender equality and non-discrimination* on which it is founded, through dialogue and cooperation with partner countries and regions.

Amendment 24

Proposal for a regulation

Article 3 - paragraph 3

Text proposed by the Commission

Amendment

3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, women empowerment, non-discrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities,

3. The following cross-cutting issues shall be mainstreamed in all programmes: the promotion of human rights, gender equality, women empowerment, *improvement of working conditions and promotion of a better balance between professional and private life, access to highly qualified positions via equal*

environmental sustainability including addressing climate change and combating HIV/AIDS,

access training courses and equal pay at work, promotion of the right to stable employment, combating all forms of gender violence in the workplace, in family and society, the right to live with dignity, without poverty and social exclusion, non-discrimination, democracy, good governance, the rights of the child and indigenous peoples' rights, social inclusion and the rights of persons with disabilities, environmental sustainability including addressing climate change and combating HIV/AIDS, while stressing the importance of looking at these issues from the perspective that women, children and older people can be particularly vulnerable.

Amendment 25

Proposal for a regulation Article 3 - paragraph 4

Text proposed by the Commission

4. Particular attention shall be given to strengthening the rule of law, improving access to justice and supporting civil society, trade and sustainable development, access to ICTs, health and food security, as well as promoting dialogue, participation and reconciliation, and institution-building.

Amendment

4. Particular attention shall be given to strengthening the rule of law, ***gender equality***, improving access to justice and supporting civil society ***and particularly organisations focusing on women's rights, combating discrimination in access to economic, political and social resources, especially discrimination against women***, trade and sustainable development, access to ICTs, health and food security, as well as promoting dialogue, participation and reconciliation, and institution-building ***while recognising the added value of adopting the gender perspective and promoting the role of women as equal agents of social change.***

Amendment 26

Proposal for a regulation

Article 3 - paragraph 8 - point e a (new)

Text proposed by the Commission

Amendment

(ea) gathering on an annual basis of data and statistics of the progress made, where appropriate broken down by gender.

Amendment 27

Proposal for a regulation

Article 3 – paragraph 8 – point e a (new)

Text proposed by the Commission

Amendment

(ea) the collection and processing of statistical data disaggregated by gender and the development of gender-sensitive indicators (quantitative and qualitative) to ensure the participation of women and men in the political and technical decision-making process.

Amendment 28

Proposal for a regulation

Article 3 - paragraph 10

Text proposed by the Commission

Amendment

10. The Commission shall seek regular exchanges of information with civil society,

10. The Commission shall seek regular exchanges of information with civil society, *especially local groups and organisations focusing on women's rights, and ensure that all sections of society are represented in and listened to during these exchanges;*

Amendment 29

Proposal for a regulation

Article 3 – paragraph 10 a (new)

Text proposed by the Commission

Amendment

10a. The Commission should take into account the Declaration A (2010) 21584 adopted by the 21st session of the ACP Parliamentary Assembly, held on 28 September 2010 in which the ACP Parliamentary Assembly is urgently appealing the European Union to refrain from any attempts to impose its values which are not freely shared.

Amendment 30

Proposal for a regulation

Article 7 - paragraph 1

Text proposed by the Commission

1. The objective of Union assistance under the programme ‘Global public goods and challenges’ shall be to support actions in areas such as the environment and climate change, sustainable energy, human development, food security, and migration and asylum.

Amendment

1. The objective of Union assistance under the programme ‘Global public goods and challenges’ shall be to support actions in areas such as the environment and climate change, sustainable energy, human development, food security, ***equality between men and women*** and migration and asylum. ***Any future actions that are taken within this framework shall adopt the gender perspective and promote non-discrimination.***

Amendment 31

Proposal for a regulation

Article 8 – paragraph 1

Text proposed by the Commission

1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations and local authorities originating from partner

Amendment

1. The objective of the programme on civil society organisations and local authorities in development shall be to finance initiatives in the area of development by or for civil society organisations, ***especially local groups and women's organisations***

countries, the Union, candidate countries and potential candidates.

and organisations dealing with gender equality, women's empowerment and non-discrimination, and local authorities originating from partner countries, the Union, candidate countries and potential candidates.

Amendment 32

Proposal for a regulation Article 10 - paragraph 2

Text proposed by the Commission

2. The Union and its Member States shall consult each other, and other donors and development actors including representatives of civil society and regional and local authorities, at an early stage of the programming process in order to promote complementarity and consistency among their cooperation activities. This consultation may lead to joint programming between the Union and its Member States,

Amendment

2. The Union and its Member States shall consult each other, and other donors and development actors including gender experts, representatives of civil society, *especially local groups and organisations focusing on women's rights*, and regional and local authorities, at an early stage of the programming process in order to promote complementarity and consistency among their cooperation activities. This consultation may lead to joint programming between the Union and its Member States,

Amendment 33

Proposal for a regulation Article 10 - paragraph 3

Text proposed by the Commission

3. The Commission shall determine the multiannual indicative allocations within each geographic programme in accordance with the general principles of this Regulation, based on the criteria laid down in Article 3(2), and taking into account, alongside the specificity of the different programmes, the particular difficulties faced by countries or regions that are in crisis, vulnerable, fragile, in conflict or

Amendment

3. The Commission shall determine the multiannual indicative allocations within each geographic programme in accordance with the general principles of this Regulation, based on the criteria laid down in Article 3(2), and taking into account, alongside the specificity of the different programmes, the particular difficulties faced *by social groups and particularly by women*, by countries or regions that are in crisis, vulnerable, fragile, in conflict or

disaster prone.

disaster prone. *Special provision should be made for the establishment of a programme for information on and awareness of gender issues as well as for the empowerment of women and for tackling gender discrimination.*

Amendment 34

**Proposal for a regulation
Article 10 – paragraph 3 a (new)**

Text proposed by the Commission

Amendment

3a. The Commission should adopt rigid compliance criteria linked to the respecting of fundamental rights and in particular the rights of women when assessing and allocating funds for cooperation and development.

Amendment 35

**Proposal for a regulation
Article 11 - paragraph 1 - subparagraph 1 a (new)**

Text proposed by the Commission

Amendment

Strategy papers shall set out the European Union's strategy for its assistance under this Regulation, based on the Union's priorities, the international context and the activities of the main partners. They shall be consistent with the overall purpose, objectives, scope, and principles of this Regulation and they should integrate a cross-cutting approach of principles of gender mainstreaming and non-discrimination during the stages of development, implementation and evaluation;

Amendment 36

Proposal for a regulation

Article 11 – paragraph 1 – subparagraph 3 b (new)

Text proposed by the Commission

Amendment

In order to respect the principle of aid effectiveness, the EU shall ensure that the proposed strategies for achieving the development goals do not accentuate any existing discrimination in the allocation of resources, and shall promote the combating of all kinds of discrimination and foster gender equality.

Amendment 37

Proposal for a regulation

Article 11 – paragraph 2

Text proposed by the Commission

Amendment

2. Strategy papers may be reviewed at their mid-term, or ad hoc if necessary, in accordance, as appropriate, with the principles and procedures laid down in the partnership and cooperation agreements concluded with the partner countries and regions.

2. Strategy papers may be reviewed at their mid-term, or ad hoc if necessary, in accordance, as appropriate, with the principles and procedures laid down in the partnership and cooperation agreements concluded with the partner countries and regions. *These reviews should include a gender dimension for the purposes of non-discrimination and respect the breakdown by gender of information and data.*

Amendment 38

Proposal for a regulation

Article 11 – paragraph 5 – subparagraph 3

Text proposed by the Commission

Amendment

Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, the performance indicators and the indicative financial

Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected results, the performance indicators, *while respecting the breakdown*

allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range and/or some funds may be left unallocated.

by gender of data and information, and the indicative financial allocation, both overall and per priority area. Where appropriate, this allocation may be given in the form of a range and/or some funds may be left unallocated.

Amendment 39

Proposal for a regulation

Article 11 – paragraph 5 – subparagraph 5

Text proposed by the Commission

Pursuant to the principle of mutual accountability in the pursuit and fulfilment of agreed objectives, including those referring to good governance, democracy **and** respect for human rights **and to the rule of law**, indicative allocations may be increased or decreased as a result of reviews, particularly in the light of special needs such as those resulting from a crisis, post-crisis or fragility situation, or where performance has been exceptional or unsatisfactory.

Amendment

Pursuant to the principle of mutual accountability in the pursuit and fulfilment of agreed objectives, including those referring to good governance, democracy, **the rule of law**, respect for human rights and **fundamental freedoms, women's rights and the principles of gender equality and non-discrimination**, indicative allocations may be increased or decreased as a result of reviews, particularly in the light of special needs such as those resulting from a crisis, post-crisis or fragility situation, or where performance has been exceptional or unsatisfactory, **while taking into account the gender approach**.

Amendment 40

Proposal for a regulation

Article 12 - paragraph 1

Text proposed by the Commission

1. When drawing up the programming documents for countries in crisis, post-crisis, or fragility situations, due account shall be taken of the vulnerability, special needs and circumstances of the countries or regions concerned.

Amendment

1. When drawing up the programming documents for countries in crisis, post-crisis, or fragility situations, due account shall be taken of the vulnerability **of different social groups**, special needs **of women** and circumstances of the countries

Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures.

Where partner countries or groups of partner countries are directly involved in, or affected by, a crisis, post-crisis or fragility situation, special emphasis shall be placed on stepping up coordination between relief, rehabilitation and development to help them make the transition from an emergency situation to the development phase. Programmes for countries and regions in fragility or regularly subject to natural disasters shall provide for disaster preparedness and prevention and for managing the consequences of such disasters.

or regions concerned.

Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures.

Where partner countries or groups of partner countries are directly involved in, or affected by, a crisis, post-crisis or fragility situation, special emphasis shall be placed on stepping up coordination between relief, rehabilitation and development to help them make the transition from an emergency situation to the development phase. Programmes for countries and regions in fragility or regularly subject to natural disasters shall provide for disaster preparedness and prevention and for managing the consequences of such disasters. *Special attention should be given to women, who are often the major victims in crisis situations.*

Amendment 41

Proposal for a regulation

Article 12 – paragraph 1 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

When drawing up the programming documents for countries in crisis, post-crisis or fragile situations, it is vital to take into account the implications for the situation of women and girls because they are the main victims of atrocities and crimes such as violence and sexual abuse.

Amendment 42

Proposal for a regulation

Article 12 – paragraph 1 – subparagraph 2

Text proposed by the Commission

Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures.

Amendment

Proper attention should be given to conflict prevention, state and peace building, post-conflict reconciliation and reconstruction measures. *It is therefore vital to include women so that they can benefit from equal participation in negotiations and initiatives aimed at the pacification, stabilisation and reconstruction of countries and institutions. It is therefore essential to complement the image of women as vulnerable victims with an image of women as a highly differentiated group of social actors who possess valuable resources and capacities, who have their own priorities, and who can influence and steer the process of conflict resolution. Moreover, it is important to stress that the understanding of the role of women in post-war societies and of their contributions to post-war reconstruction must entail a global approach to promoting the key role of education in the empowerment of women and girls, in order to combat stereotypes and to change mentalities.*

Amendment 43

Proposal for a regulation

Article 12 – paragraph 1 – subparagraph 2 a (new)

Text proposed by the Commission

Amendment

The assistance measures provided under this Regulation shall take into account the specific features of crisis where there is a serious lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Particular attention should be paid to conflicts in which women are being exposed to physical and

psychological violence;

Amendment 44

Proposal for a regulation

Article 12 – paragraph 2 – subparagraph 1

Text proposed by the Commission

In cases of crises, post crisis and fragility situations or threats to democracy, the rule of law, human rights **or** fundamental freedoms requiring a swift response by the Union, the urgency procedure provided for in Article 15(4) of the Common Implementing Regulation may be used to modify the document referred to in Article 11 following an ad hoc review of the country's or region's cooperation strategy.

Amendment

In cases of crises, post crisis and fragility situations or threats to democracy, the rule of law, human rights, fundamental freedoms **or women's rights** requiring a swift response by the Union, the urgency procedure provided for in Article 15(4) of the Common Implementing Regulation may be used to modify the document referred to in Article 11 following an ad hoc review of the country's or region's cooperation strategy.

Amendment 45

Proposal for a regulation

Article 20 - paragraph 3

Text proposed by the Commission

3. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to

Amendment

3. As referred to in Article 13, paragraph 2 of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to

the use of those funds.

The funding will be made available through 2 multiannual allocations only covering the first 4 years and the remaining 3 years respectively. This funding will be reflected in the multiannual indicative programming of these instruments, in line with the identified needs and priorities of the countries concerned. The allocations can be revised in case of major unforeseen circumstances or important political changes in line with the EU external priorities.

the use of those funds.

The funding will be made available through 2 multiannual allocations only covering the first 4 years and the remaining 3 years respectively. This funding will be reflected in the multiannual indicative programming of these instruments, in line with the identified needs and priorities of the countries concerned. The allocations can be revised in case of major unforeseen circumstances or important political changes in line with the EU external priorities. *Particular attention should be given to a gender balance approach for those who participate in the "Erasmus for All" programme.*

Amendment 46

Proposal for a regulation Article 20 - paragraph 3 a (new)

Text proposed by the Commission

Amendment

3a. In addition to higher education, there shall be a focus on the elimination of gender disparity in primary and secondary education, and in all levels of education no later than 2015 as indicated in Target 3.A of the Millennium Development Goals.

Amendment 47

Proposal for a regulation Annex IV – part B – paragraph 2 "Latin America" – point a

Text proposed by the Commission

Amendment

(a) encouraging social cohesion, in particular social inclusion, decent work and equity, gender equality and women empowerment;

(a) encouraging social cohesion, in particular social inclusion, decent work and equity, gender equality and women empowerment ***and the fight against sexual and gender-based violence and violence in close relationships;***

Amendment 48

Proposal for a regulation

Annex IV – part B – paragraph 3 "Asia" – point i a (new)

Text proposed by the Commission

Amendment

(ia) supporting the reinforcement of the protection of human rights, and the fight against discrimination and sexual and gender-based violence and violence in close relationships;

Amendment 49

Proposal for a regulation

Annex IV – part B – paragraph 4 "Central Asia" – point a

Text proposed by the Commission

Amendment

(a) promoting constitutional reform and legislative, regulatory and administrative approximation with the Union, including further democratisation and organised civil society, support for the rule of law, good governance, taxation and strengthening of national institutions and bodies, such as election bodies, parliaments, public administration reform and public financial management;

(a) promoting constitutional reform and legislative, regulatory and administrative approximation with the Union, including further democratisation and organised civil society, support for the rule of law, good governance, taxation and strengthening of national institutions and bodies, such as election bodies, parliaments, public administration reform and ***judicial reform, and*** public financial management;

Amendment 50

Proposal for a regulation

Annex IV – part B – paragraph 4 "Central Asia" – point b

Text proposed by the Commission

Amendment

(b) promoting inclusive and sustainable economic growth, addressing social and regional inequalities, and supporting policies in areas such as education, research, innovation and technology, health, decent work, sustainable energy,

(b) promoting inclusive and sustainable economic growth, addressing social and regional inequalities, and supporting policies in areas such as education, research, innovation and technology, health, decent work, ***creating independent***

agriculture and rural development, fostering SMEs, while stimulating the development of a market economy, trade and investment, including regulatory reforms and the support for integration into the WTO;

trade unions, sustainable energy, agriculture and rural development, fostering SMEs, while stimulating the development of a market economy, trade and investment, including regulatory reforms and the support for integration into the WTO;

Amendment 51

Proposal for a regulation

Annex IV – part B – paragraph 4 "Central Asia" – point c

Text proposed by the Commission

(c) supporting efficient border management and cross-border cooperation to promote sustainable economic, social and environmental development in border regions; in the context of the security and development nexus, fighting organized crime and all forms of trafficking, ***including*** the fight against production and consumption of drugs as well as negative effects thereof, including HIV/AIDS;

Amendment

(c) supporting efficient border management and cross-border cooperation to promote sustainable economic, social and environmental development in border regions; in the context of the security and development nexus, fighting organized crime and all forms of trafficking, ***particularly the trafficking of women***, the fight against production and consumption of drugs as well as negative effects thereof, including HIV/AIDS;

Amendment 52

Proposal for a regulation

Annex IV – part B – paragraph 4 "Central Asia" – point d a (new)

Text proposed by the Commission

Amendment

(d a) establishing a development policy with regard to demographic challenges tackling the growing sex imbalance favouring males to females and addressing the problem of pre-natal sex selection, sex-selected abortion and infanticide to secure male offspring;

Amendment 53

Proposal for a regulation

ANNEX V - paragraph A - 'Environment and climate change' - point d a (new)

Text proposed by the Commission

Amendment

(da) mitigating impacts of climate change on women as well as enhancing the role of women in tackling climate change, actions to help developing countries to adopt the gender perspective of climate change and environmental policies, especially in policies related to the natural disasters disproportionately affecting them, access to training on matters of the environment, and increased participation of women and women's organizations in development policies related to environment and climate change,

Amendment 54

Proposal for a regulation

Annex V – part A – paragraph 2 "Environment and Climate Change" – point c

Text proposed by the Commission

Amendment

(c) promoting implementation of Union initiatives and agreed commitments at international and regional level and/or of a transboundary character particularly in the areas of climate change through the promotion of climate resilient strategies especially adaption strategies with biodiversity co-benefits, biodiversity and ecosystems services, forests including FLEGT, desertification, integrated water resource management, natural resource management, sound chemical and waste management, resource efficiency and the green economy;

(c) promoting implementation of Union initiatives and agreed commitments at international and regional level and/or of a transboundary character particularly in the areas of climate change through the promotion of climate resilient strategies especially adaption strategies with biodiversity co-benefits, biodiversity and ecosystems services, forests including FLEGT, desertification, integrated water resource management, natural resource management, sound chemical and waste management, resource efficiency and the green economy, *while integrating the gender dimension to promote women's participation in the development and implementation of these strategies, and to halt all kinds of discrimination against them, especially in access to resources*

and in participation in political life;

Amendment 55

Proposal for a regulation

Annex V – part A – paragraph 3 "Sustainable energy" – point a

Text proposed by the Commission

(a) promoting access to secure, affordable, clean and sustainable energy services as a key driver for poverty eradication and inclusive growth, with a special emphasis on the use of local energy sources;

Amendment

(a) promoting access to secure, affordable, clean and sustainable energy services *for all, while taking into account groups that are victims of discrimination, especially women*, as a key driver for poverty eradication and inclusive growth, with a special emphasis on the use of local energy sources;

Amendment 56

Proposal for a regulation

Annex V - paragraph A - 'Human development' - point c,- point ii

Text proposed by the Commission

(i) Supporting country level programmes to promote women's economic and social empowerment and political participation;

Amendment

(i) Supporting country level programmes to *combat violence against women and* promote women's economic and social empowerment and political participation;

Amendment 57

Proposal for a regulation

Annex V – part A – paragraph 4 "Human development" – point b – point i

Text proposed by the Commission

(i) Supporting high levels of productive and decent employment notably with support for sound employment policies and strategies, vocational training for employability relevant to labour market needs and perspectives, working conditions including in the informal economy,

Amendment

(i) Supporting high levels of productive and decent employment notably with support for sound employment policies and strategies, vocational training for employability relevant to labour market needs and perspectives, working conditions including in the informal economy,

promotion of decent work, including fight against child labour, and social dialogue as well as facilitation of labour mobility while respecting migrants' rights;

promotion of decent work **for both women and men**, including fight against child labour, and social dialogue as well as facilitation of labour mobility while respecting migrants' rights;

Amendment 58

Proposal for a regulation

Annex V – part A – paragraph 4 "Human development" – point e a (new)

Text proposed by the Commission

Amendment

(e a) Women and Children

(i) enhancing developing countries' attention and capacity to develop policies benefiting women and children;

(ii) advocacy for concrete strategies and interventions to address particular problems and challenges affecting women and children, taking their best interests into account in all relevant action;

(iii) using the Community's position as the principal donor of ODA among international institutions to urge the multilateral donors to exert pressure for the framing of policies to combat trafficking of, and violence against women and children, exploitation and forced labour and promoting the role of women and children as actors for development.

Amendment 59

Proposal for a regulation

Annex V - paragraph A - 'Migration and Asylum' - point c

Text proposed by the Commission

Amendment

(c) maximising the development impact of the increased regional and global mobility of people, while promoting and protecting

(c) maximising the development impact of the increased regional and global mobility of people, while promoting and protecting

the rights of migrants, through support to the formulation and implementation of sound regional and national migration and asylum policies and through integration of the migration dimension into other regional and national policies;

the rights of migrants, *taking into account the gender aspect of the issue and the situation of women migrants*, through support to the formulation and implementation of sound regional and national migration and asylum policies and through integration of the migration dimension into other regional and national policies;

PROCEDURE

Title	Establishing a financing instrument for development cooperation
References	COM(2011)0840 – C7-0493/2011 – 2011/0406(COD)
Committee responsible Date announced in plenary	DEVE 17.1.2012
Opinion by Date announced in plenary	FEMM 17.1.2012
Rapporteur Date appointed	Rodi Kratsa-Tsagaropoulou 25.1.2012
Discussed in committee	21.6.2012
Date adopted	3.9.2012
Result of final vote	+: -: 0: 20 0 0
Members present for the final vote	Edit Bauer, Andrea Češková, Marije Cornelissen, Edite Estrela, Mikael Gustafsson, Lívia Járóka, Teresa Jiménez-Becerril Barrio, Rodi Kratsa-Tsagaropoulou, Constance Le Grip, Astrid Lulling, Elisabeth Morin-Chartier, Joanna Katarzyna Skrzypkowska, Angelika Werthmann, Marina Yannakoudakis, Anna Záborská, Inês Cristina Zuber
Substitute(s) present for the final vote	Izaskun Bilbao Barandica, Mariya Gabriel, Gesine Meissner, Antigoni Papadopoulou

POSTUPAK

Naslov	Uspostava finansijskog instrumenta za razvojnu suradnju			
Referentni dokumenti	COM(2011)0840 – C7-0493/2011 – 2011/0406(COD)			
Datum podnošenja EP-u	7.12.2011			
Nadležni odbor Datum objave na plenarnoj sjednici	DEVE 17.1.2012			
Odbor(i) čije se mišljenje traži Datum objave na plenarnoj sjednici	AFET 17.1.2012	INTA 17.1.2012	BUDG 17.1.2012	LIBE 17.1.2012
	FEMM 17.1.2012			
Odbori koji nisu dali mišljenje Datum odluke	LIBE 26.1.2012			
Izvjestitelj(i) Datum imenovanja	Thijs Berman 5.12.2011			
Razmatranje u odboru	9.2.2012	24.4.2012	9.7.2012	24.6.2013
Datum usvajanja	4.12.2013			
Rezultat konačnog glasovanja	+: -: 0:	18 0 5		
Zastupnici nazočni na konačnom glasovanju	Thijs Berman, Véronique De Keyser, Nirj Deva, Charles Goerens, Filip Kaczmarek, Miguel Angel Martínez Martínez, Gay Mitchell, Bill Newton Dunn, Maurice Ponga, Jean Roatta, Michèle Striffler, Alf Svensson, Ivo Vajgl, Anna Záborská			
Zamjenici nazočni na konačnom glasovanju	Philippe Boulland, Agustín Díaz de Mera García Consuegra, Santiago Fisas Ayxela, Enrique Guerrero Salom, Bart Staes			
Zamjenici nazočni na konačnom glasovanju prema čl. 187. st. 2.	Marusya Lyubcheva, María Muñiz De Urquiza, Jens Nilsson, Anni Podimata			
Datum podnošenja	6.12.2013			