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# **REPORT**

on the New Alliance for Food Security and Nutrition (2015/2277(INI))

Committee on Development

Rapporteur: Maria Heubuch

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# PR\_INI

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# MOTION FOR A EUROPEAN PARLIAMENT RESOLUTION

# on the New Alliance for Food Security and Nutrition (2015/2277(INI))

The European Parliament,

- having regard to the United Nations Summit on Sustainable Development and the outcome document adopted by the General Assembly on 25 September 2015, entitled 'Transforming our world: the 2030 Agenda for Sustainable Development', and in particular to Goal 2 of the Sustainable Development Goals (SDGs) set out therein, namely to end hunger, achieve food security and improved nutrition and promote sustainable agriculture,<sup>1</sup>
- having regard to the Paris Agreement of the parties to the United Nations Convention on Climate Change, adopted on 12 December 2015,<sup>2</sup>
- having regard to the Comprehensive Africa Agriculture Development Programme
   (CAADP) agreed by the African Union (AU) in 2002,<sup>3</sup>
- having regard to the summit of AU Heads of State held in Maputo (Mozambique) in 2003, at which the AU governments agreed to invest more than 10 % of their total national budget allocations in the agricultural sector,<sup>4</sup>
- having regard to the assembly of AU Heads of State and Government of July 2012,
   which designated 2014 the 'Year of Agriculture and Food Security in Africa'<sup>5</sup>, marking the tenth anniversary of the adoption of the CAADP,
- having regard to the declaration on 'Accelerated Agricultural Growth and
  Transformation for Shared Prosperity and Improved Livelihoods', adopted on 27 June
  2014 by the summit of AU Heads of State held in Malabo (Equatorial Guinea), whereby
  the AU governments recommitted allocating at least 10 % of public spending to
  agriculture,<sup>6</sup>
- having regard to the L'Aquila Food Security Initiative of the G8 of 2009,<sup>7</sup>
- having regard to the Framework and Guidelines on Land Policy in Africa (F&G), adopted by the Joint Conference of Ministers of Agriculture, Land and Livestock which took place in April 2009 in Addis Ababa (Ethiopia)<sup>8</sup>, as well as to the declaration on 'Land Issues and Challenges in Africa' adopted by the AU Heads of State at the summit held in Sirte (Libya) in July 2009, urging effective implementation of the F&G,

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<sup>&</sup>lt;sup>1</sup> UN General Assembly resolution A/RES/70/1

<sup>&</sup>lt;sup>2</sup> UN FCCC/CP/2015/L.9/Rev.1

<sup>&</sup>lt;sup>3</sup> http://www.nepad.org/system/files/caadp.pdf

<sup>&</sup>lt;sup>4</sup> Assembly/AU/Decl.7(II)

<sup>&</sup>lt;sup>5</sup> Assembly/AU/Decl.449(XIX)

<sup>&</sup>lt;sup>6</sup> Assembly/AU/Decl.1(XXIII)

<sup>&</sup>lt;sup>7</sup> http://www.ifad.org/events/g8/statement.pdf

<sup>8</sup> http://www.uneca.org/publications/framework-and-guidelines-landpolicy-africa

<sup>&</sup>lt;sup>9</sup> Assembly/AU/Decl.1(XIII) Rev.1

- having regard to the Guiding Principles on Large Scale Land Based Investments in Africa, adopted by the AU Joint Conference of Ministers of Agriculture, Rural Development, Fisheries and Aquaculture, meeting in Addis Ababa on 1 and 2 May 2014,<sup>1</sup>
- having regard to the declaration of May 2013 by African civil society organisations,
   'Modernising African agriculture Who benefits?,
- having regard to the Djimini Declaration of 13 March 2014 by West African smallholder organisations,<sup>3</sup>
- having regard to the FAO's 'Voluntary Guidelines to support the progressive realisation of the right to adequate food in the context of national food security' of 2004,<sup>4</sup>
- having regard to the report of 2009 by the International Assessment of Agricultural Science and Technology for Development (IAASTD), 'Agriculture at a crossroads',<sup>5</sup>
- having regard to the International Covenant on Civil and Political Rights of 1966,<sup>6</sup>
- having regard to the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW) of 1979,<sup>7</sup>
- having regard to the African Charter on Human and Peoples' Rights of 1987,<sup>8</sup>
- having regard to the UN Declaration on the Rights of Indigenous Peoples of 2007,9
- having regard to the UN Basic Principles and Guidelines on development-based evictions and displacements of 2007,<sup>10</sup>
- having regard to the Guiding Principles on Business and Human Rights, endorsed by the UN Human Rights Council in 2011,<sup>11</sup> as well as to the OECD's Guidelines on Multinational Enterprises, updated in 2011,<sup>12</sup>
- having regard to the 2011 Busan Partnership for Effective Development, <sup>13</sup>
- having regard to the 2012 Voluntary Guidelines on Responsible Governance of Tenure

<sup>&</sup>lt;sup>1</sup> http://www.uneca.org/publications/guiding-principles-large-scale-land-based-investments-africa

http://acbio.org.za/modernising-african-agriculture-who-benefits-civil-society-statement-on-the-g8-agra-and-the-african-unions-caadp/

<sup>&</sup>lt;sup>3</sup> https://www.grain.org/bulletin\_board/entries/4914-djimini-declaration

<sup>&</sup>lt;sup>4</sup> http://www.fao.org/docrep/009/y7937e/y7937e00.htm

<sup>&</sup>lt;sup>5</sup> http://www.unep.org/dewa/Assessments/Ecosystems/IAASTD/tabid/105853/Defa

<sup>6</sup> https://treaties.un.org/pages/ViewDetails.aspx?src=IND&mtdsg\_no=IV-4&chapter=4&lang=en

<sup>&</sup>lt;sup>7</sup> http://www.un.org/womenwatch/daw/cedaw/

<sup>&</sup>lt;sup>8</sup> http://www.achpr.org/instruments/achpr/

<sup>&</sup>lt;sup>9</sup> http://www.un.org/esa/socdev/unpfii/documents/DRIPS\_en.pdf

<sup>&</sup>lt;sup>10</sup> http://www.ohchr.org/EN/Issues/Housing/Pages/ForcedEvictions.aspx

<sup>11</sup> https://www.unglobalcompact.org/library/2

<sup>&</sup>lt;sup>12</sup> http://www.oecd.org/corporate/mne/oecdguidelinesformultinationalenterprises.htm

<sup>&</sup>lt;sup>13</sup> http://www.oecd.org/development/effectiveness/busanpartnership.htm

- of Land, Fisheries and Forests (VGGT),1
- having regard to the International Convention for the Protection of New Varieties of Plants (UPOV Convention) of 1991,<sup>2</sup>
- having regard to the International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA) of 2001,<sup>3</sup>
- having regard to the Convention on Biological Diversity of 1992 and the associated Cartagena Protocol on Biosafety of 2000 and Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization of 2010.<sup>4</sup>
- having regard to the African model law on Biosafety,<sup>5</sup>
- having regard to the resolution on land legislation for food sovereignty, adopted by the Parliamentary Assembly of La Francophonie on 12 July 2012,<sup>6</sup>
- having regard to the resolution on the social and environmental impact of pastoralism in ACP countries, adopted by the ACP-EU Joint Parliamentary Assembly in Addis Ababa on 27 November 2013,<sup>7</sup>
- having regard to the Commission communication 'An EU policy framework to assist developing countries in addressing food security challenges',<sup>8</sup> adopted on 31 March 2010, and to the Council conclusions on the policy framework adopted on 10 May 2010.<sup>9</sup>
- having regard to the Council conclusions of 28 May 2013 on food and nutrition security.<sup>10</sup>
- having regard to the Commission's Action Plan on Nutrition of July 2014,<sup>11</sup>
- having regard to its resolution of 27 September 2011 on an EU policy framework to assist developing countries in addressing food security challenges, <sup>12</sup>
- having regard to its resolution of 11 December 2013 on resilience and disaster risk reduction in developing countries,<sup>13</sup>
- having regard to its resolution of 13 March 2014 on the role of property rights, property

<sup>&</sup>lt;sup>1</sup> http://www.fao.org/nr/tenure/voluntary-guidelines/en/

<sup>&</sup>lt;sup>2</sup> http://www.upov.int/upovlex/en/conventions/1991/content.html

<sup>&</sup>lt;sup>3</sup> http://www.planttreaty.org/

<sup>4</sup> https://www.cbd.int/

<sup>&</sup>lt;sup>5</sup> http://hrst.au.int/en/biosafety/modellaw

<sup>&</sup>lt;sup>6</sup> http://apf.francophonie.org/IMG/pdf/2012\_07\_session\_58\_Resolution\_Regulation\_du\_foncier.pdf

http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.C\_.2014.064.01.0008.01.ENG -

<sup>8</sup> COM(2010)0127

<sup>&</sup>lt;sup>9</sup> http://www.consilium.europa.eu/uedocs/cms\_data/docs/pressdata/EN/foraff/114357.pdf

<sup>10</sup> http://www.consilium.europa.eu/uedocs/cms\_Data/docs/pressdata/EN/foraff/137318.pdf

<sup>&</sup>lt;sup>11</sup> SWD(2014)0234

<sup>&</sup>lt;sup>12</sup> Texts adopted, P7\_TA(2011)0410.

<sup>&</sup>lt;sup>13</sup> Texts adopted, P7 TA(2013)0578.

- ownership and wealth creation in eradicating poverty and fostering sustainable development in developing countries,<sup>1</sup>
- having regard to its resolution of 12 March 2015 on 'Tanzania, notably the issue of land grabbing',<sup>2</sup>
- having regard to the Declaration of the Global Convergence of Land and Water Struggles, issued at the World Social Forum in Tunis in March 2015<sup>3</sup>;
- having regard to its resolution of 30 April 2015 entitled 'Milano Expo 2015: Feeding the Planet, Energy for Life',<sup>4</sup>
- having regard to the African Civil Society Demands for the Inclusion of Food Sovereignty and the Right to Food in the Germany G7 Presidency Agenda in June 2015.<sup>5</sup>
- having regard to the Milan Charter <sup>6</sup>, which was presented at Expo 2015 under the theme 'Feeding the Planet, Energy for Life' and signed by more than one million heads of state, governments and private individuals, and which calls on all associations, businesses, national and international institutions and private individuals to take responsibility for ensuring that future generations may enjoy their right to food and includes binding commitments to guarantee that right throughout the world,
- having regard to the fact that the UN Committee on World Food Security is the
  adequate forum for agreement on policy guidance on this issue internationally and that
  it is in this forum that all concerned parties have a voice;
- having regard to the Milan Urban Food Policy Pact of 15 October 2015<sup>7</sup> put forward by Milan City Council and signed by 113 cities around the world, which was submitted to the UN Secretary-General, Ban Ki-Moon, and illustrates the key role played by cities in policymaking on food,
- having regard to its resolution of 21 January 2016 on the situation in Ethiopia,<sup>8</sup>
- having regard to the public hearing on the New Alliance for Food and Nutrition
   Security (NAFSN) organised by its Committee on Development on 1 December 2015,<sup>9</sup>
- having regard to the study 'New Alliance for Food and Nutrition Security in Africa' by Professor Olivier de Schutter, commissioned by its Committee on Development and

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<sup>&</sup>lt;sup>1</sup> Texts adopted, P7\_TA(2014)0250.

<sup>&</sup>lt;sup>2</sup> Texts adopted, P8\_TA(2015)0073.

http://viacampesina.org/en/index.php/main-issues-mainmenu-27/agrarian-reform-mainmenu-36/1775-declaration-of-the-global-convergence-of-land-and-water-struggles

<sup>&</sup>lt;sup>4</sup> Texts adopted, P8\_TA(2015)0184.

<sup>&</sup>lt;sup>5</sup> http://afsafrica.org/wp-content/uploads/2015/05/AFSA-Demands-to-the-Germany-G7-Presidency-Agenda.pdf

<sup>&</sup>lt;sup>6</sup> http://carta.milano.it/wp-content/uploads/2015/04/English\_version\_Milan\_Charter.pdf

<sup>&</sup>lt;sup>7</sup> http://www.foodpolicymilano.org/wp-content/uploads/2015/10/Milan-Urban-Food-Policy-Pact-EN.pdf

<sup>&</sup>lt;sup>8</sup> Texts adopted, P8\_TA(2016)0023.

http://www.europarl.europa.eu/committees/en/deve/events.html?id=20151201CHE00041

- published by its Directorate-General for External Policies in November 2015,<sup>1</sup>
- having regard to Rule 52 of its Rules of Procedure,
- having regard to the report of the Committee on Development and the opinion of the Committee on Agriculture and Rural Development (A8-0169/2016),
- whereas the New Alliance for Food Security and Nutrition in Africa (NAFSN) aims to A. improve food security and nutrition by helping 50 million people in sub-Saharan Africa out of poverty by 2020; whereas the participating countries have negotiated Country Cooperation Frameworks (CCFs) setting out commitments to facilitate private investment in the agriculture sector in Africa;
- B. whereas investment in small-scale farming has been neglected over the last thirty years in Africa, while low-income countries' dependence on food imports grew significantly, rendering them vulnerable to price variations on international markets;
- C. whereas large public-private partnerships (PPPs) risk creating dominant positions for large agricultural companies in African agriculture that crowd out local businesses;
- whereas private investment under NAFSN has reached over 8.2 million smallholders D. and created more than 21 000 jobs, more than half of which are for women;
- E. whereas the food crisis of 2008 generated universal recognition of the need to support smallholder food production for domestic markets;
- F. whereas the launch of structural adjustment programmes in the early 1980s contributed to the development of an export-led agriculture in which priority was given to increasing the production of cash crops for global markets; whereas such choice favoured large-scale, highly capitalised and mechanised forms of production, while small-scale farming was comparatively neglected;
- G. whereas international markets will be more volatile in the future; whereas countries should not take the risk of being excessively dependent on imports, but should, rather, invest primarily in domestic food production to build resilience;
- H. whereas family farmers and smallholders must be at the heart of NASFN;
- whereas food security in developing countries largely depends on the sustainable use of I. natural resources;
- J. whereas so-called 'growth poles' aim to attract international investors by making land available to big private companies, and whereas this must not be done at the expense of family farmers;
- K. whereas the agreements on NASFN do not contain any concrete indicator on hunger and malnutrition;
- L. whereas family farmers and smallholders have demonstrated their ability to provide

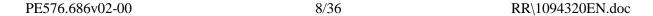
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 $<sup>^1\</sup> http://www.europarl.europa.eu/RegData/etudes/STUD/2015/535010/EXPO\_STU(2015)535010\_EN.pdf$ 

- diversified products and to increase food production sustainably by means of agro-ecological practices;
- M. whereas monocultures increase dependency on chemical fertilisers and pesticides, lead to massive land degradation and contribute to climate change;
- N. whereas agriculture accounts for at least 14 % of total annual greenhouse gas emissions, mostly owing to the use of nitrogen fertilisers;
- O. whereas different forms of land tenure exist (customary, public and private), but NAFSN almost exclusively refers to land titling to address tenure rights;
- P. whereas, by 2050 70 % of the world's population will live in cities and a combined global and local approach to nutrition will be more necessary than ever before;
- Q. whereas land titling is not the only guarantee against land expropriation and resettlement;
- R. whereas gender is a very important dimension of investment in agriculture in Africa; whereas rural women have long been discriminated against as regards access to a range of productive resources, including land, credit, inputs and services;
- S. whereas until recently the support provided to agriculture has concentrated on malemanaged export crops, leaving women largely in charge of handling the task of producing food for the sustenance of the family;
- T. whereas the FAO estimates that about 75 % of plant genetic diversity has been lost worldwide; whereas wide-scale genetic erosion increases our vulnerability to climate change and to the appearance of new pests and diseases;
- U. whereas control, ownership and affordability of seeds are essential to the food security resilience of poor farmers;
- V. whereas farmers' right to multiply, use, exchange and sell their own seeds should be protected;
- W. whereas improvements in nutrition gaps in Africa are central to the sustainable development agenda; whereas poor nutrition derives from a host of interacting processes relating to healthcare, education, sanitation and hygiene, access to resources, women's empowerment and more;
- X. whereas the commitments made under the CCF on regulatory reforms in the seed sector aim to strengthen plant breeders' rights at the expense of the current farmers' seed systems which the poorest farmers still largely rely on;

#### Agricultural investment in Africa and fulfilment of the SDGs

1. Notes that several CCFs focus on the development of special economic areas with the goal of maximising investments through initiatives ranging from road or energy





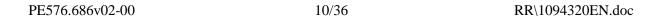
- infrastructure to tax, customs or land tenure regimes; also stresses the need to improve and ensure focus on access to water, scaling up nutrition education and sharing best practice strategies;
- 2. Observes that agricultural investment policies mostly focus on large-scale land acquisitions and on export-oriented agriculture that is usually unrelated to local economies; notes that the development of extensive irrigation in the targeted geographical investment areas of NAFSN may reduce water availability for other users, such as small-scale farmers or pastoralists; stresses that under those circumstances the ability of mega-PPPs to contribute to poverty reduction and food security must be critically assessed and improved; emphasises that agricultural investment policies should be linked to and should support the development of the local economy, including smallholders and family farming; recalls that the FAO Tenure Guidelines recommend secure access to land in order to allow families to produce food for household consumption and to increase household income; stresses the need to base large-scale land-based investment in Africa on these guidelines, ensuring smallholders' and local communities' access to land, promoting local SME investment. and ensuring that PPPs contribute to food security and to reducing poverty and inequality;
- 3. Points out that the decision-making process in the cooperation framework has not involved all stakeholders, but has, rather, excluded, inter alia, rural communities, farm workers, small farmers, fishermen and indigenous peoples, and has disregarded their right to participate;
- 4. Deplores the lack of consultation of African CSOs in the launch of the NAFSN; stresses that participation of food-insecure groups in the policies that affect them should become the cornerstone of all food security policies;
- 5. Points out that NAFSN has made a commitment to promoting inclusive, agriculture-based growth that supports small-scale farming and helps reduce poverty, hunger and under-nutrition; stresses, to this end, that NAFSN must restrict, as far as possible, the use of chemical fertilisers and pesticides, given their health and environmental consequences for local communities, such as biodiversity loss and soil erosion;
- 6. Criticises the assumption that corporate investment in agriculture automatically improves food security and nutrition and reduces poverty;
- 7. Notes the G20 report of 2011 which stressed that tax-driven investment may prove transitory; recalls that numerous investor motivation surveys have shown a neutral or negative impact of special tax incentives on decisions to invest<sup>1</sup>;
- 8. Notes that tax incentives, including exemption from company tax in Special Economic Areas, are depriving African states of tax revenues that could have been a source of vital public investment in agriculture, especially in food security and nutrition programmes<sup>2</sup>;

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<sup>&</sup>lt;sup>1</sup> Mwachinga, E. (Global Tax Simplification Team, World Bank Group), 'Results of investor motivation survey conducted in the EAC', presentation given in Lusaka on 12 February 2013.

<sup>&</sup>lt;sup>2</sup> 'Supporting the development of more effective tax systems' – a report to the G20 working group by the IMF, the OECD and the World Bank, 2011.

- 9. Calls on governments and donors to suspend or review all policies, projects and consultancy arrangements that directly encourage and facilitate land grabbing by supporting highly harmful projects and investments or indirectly increase pressure on land and natural resources and can result in serious human rights violations; calls for support to be given instead to policies which protect and assign priority to small-scale food producers, particularly women, and promote the sustainable use of land;
- 10. Warns against replicating in Africa the Asian 'Green Revolution' model of the 1960s and ignoring its negative social and environmental impacts; recalls that the SDGs include the goal of promoting sustainable agriculture, to be achieved by 2030;
- 11. Notes with concern that in Malawi NAFSN promotes the expansion of tobacco production instead of supporting alternative livelihoods in accordance with obligations under the World Health Organisation Framework Convention on Tobacco Control (FCTC) of 2005 and commitments made in the 2030 Agenda for Sustainable Development;
- 12. Urges the EU Member States to strive to transform NAFSN into a genuine tool for sustainable development and into an instrument of support for family farming and local economies in sub-Saharan Africa, recalling that family farmers and smallholders produce about 80 % of the world's food and provide over 60 % of employment in the region;
- 13. Notes with concern that the CCFs refer only selectively to international standards that define responsible investment in agriculture, and that they refer neither to the FAO 2004 Voluntary Guidelines supporting the progressive realisation of the right to adequate food in the context of national food security nor to any duties on the part of private investors to respect human rights;
- 14. Calls on the EU and its Member States, as being, taken together, the biggest development aid donor in the world, to:
- ensure that EU-based investors respect, and encourage other partners in the alliance to respect, the rights of local communities and the needs of small farms, in following a human-rights based approach within the cooperation frameworks, including the maintenance of environmental, social, land, labour and human rights safeguards and the highest standards of transparency over their investment plans;
- ensure that EU-based investors implement a social responsibility policy when drawing up employment contracts and do not exploit their economic advantage over workers from local communities;
- support and champion local African enterprises and stakeholders as primary actors and as beneficiaries of the NAFSN initiatives:
- implement the recent WTO decision to eliminate agricultural export subsidies, which are distorting local markets and destroying livelihoods in developing countries;
- eliminate tariff barriers that act as a disincentive to African countries adding value to raw produce locally;





### 15. Calls on participating countries to:

- ensure that financial, tax or administrative reforms do not exempt investors from making a fair contribution to the tax base of participating countries or give an unfair advantage to investors over smallholders;
- ensure that their respective governments retain the right to protect their agricultural and food markets through appropriate tariff and tax regimes, which are particularly necessary to tackle financial speculation and tax dodging;
- adopt policies that promote responsible trade and commit to eliminating tariff barriers that dissuade regional trade;

# Governance, ownership and accountability

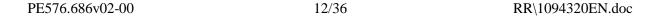
- 16. Draws attention to the commitment made by the parties to NAFSN to incorporate the FAO's 'Voluntary Guidelines to support the progressive realisation of the right to adequate food in the context of national food security', and calls on the parties to NAFSN to commit to implementing international standards that define responsible investment in agriculture and to abide by the Guiding Principles on Business and Human Rights and the OECD's Guidelines on Multinational Enterprises;
- 17. Stresses that NAFSN must step up good governance as regards natural resources, in particular by guaranteeing that people have access to their own resources and by protecting their rights in the context of contracts on deals relating to natural resources;
- 18. Calls for the EU to work with the UN towards the adoption by all countries, on a binding basis, of the Milan Charter and the commitments it contains;
- 19. Emphasises how important water regulation and combating climate change are for sustainable agriculture; calls on all NAFSN partners to focus on improving access to water and to techniques involving irrigation and stepping up environmental protection and soil conservation;
- 20. Calls for the EU to work with the UN towards the adoption and dissemination of the Milan Urban Food Policy Pact;
- 21. Calls on the participating countries to commit to implementing international standards that regulate investment via a human-rights based approach, incorporating the AU's Framework and Guidelines on Land Policy in Africa and its Guiding Principles on Large Scale Based Investments in Africa;
- 22. Calls for all letters of intent within the CCFs to be published in full; stresses the need for strong institutional and legal frameworks to ensure a fair sharing of risks and benefits; emphasises that active participation on the part of civil society within NAFSN is crucial in order to step up transparency and ensure that its objectives are met; points out that dialogue and consultation with all civil society groups must be encouraged;
- 23. Regrets that the only indicator common to the ten cooperation frameworks within the

NAFSN is the World Bank's 'Doing Business' index;

- 24. Stresses that private companies involved in multilateral development initiatives should be accountable for their actions; calls on the parties to NAFSN, to this end, to submit annual reports on the action taken under NAFSN and to make those reports public and accessible to local people and communities, and to set up an independent accountability mechanism, including an appeal mechanism for local people and communities; stresses equally that New Alliance investment affecting land rights must be subject to an independent prior impact study on land rights and must be in line with the FAO's Voluntary Guidelines on the responsible governance of tenure of land, fisheries and forests;
- 25. Notes that multinationals operating under NAFSN favour large-scale contract farming, which risks marginalising small-scale producers; calls on the ten African states participating in NAFSN to ensure that contract farming benefits both buyers and local suppliers; to this end, deems it crucial to strengthen, for example, farmers' organisations so as to improve the bargaining position of farmers;
- 26. Highlights that the private sector is already driving 90 % of jobs in partner countries and that the potential for private-sector participation is undeniable, as private companies are ideally suited to providing a sustainable base for mobilising domestic resources, which forms the basis of any aid programme; underlines the importance of a transparent regulatory framework that clearly establishes the rights and obligations of all actors, including those of poor farmers and vulnerable groups, since without such a framework those rights cannot be successfully protected;
- 27. Calls for the CCFs to be revised so as to effectively tackle the risks of contract farming and out-grower schemes for small-scale producers, by ensuring fair contract provisions, including pricing arrangements, respect for women's rights, support for sustainable agriculture and appropriate dispute settlement mechanisms;

# Access to land and security of tenure

- 28. Warns that a pure focus on land titling often leads to insecurity for small-scale food producers and indigenous people, especially women, who lack legal recognition of their land rights and are vulnerable to unfair land deals, expropriation without consent or lack of fair compensation;
- 29. Underlines the need to have small-scale food producers in leading positions, allowing their own independent organisations to support them in controlling their land, natural resources and programmes;
- 30. Notes with concern that investors and local elites involved in land deals often describe the areas being targeted as 'empty', 'idle' or 'under-utilised', yet very little land in Africa is truly idle, given, for example, the prevalence of pastoralist activities;
- 31. Highlights that 1.2 billion people still live either without permanent access to land or else occupying property for which they have no formal claim, with no legal titles, no



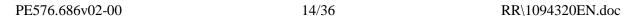


- surveys delineating their lands and no legal or financial means of turning property into capital;
- 32. Welcomes the inclusion of the 2012 VGGT in all CCFs; calls for the effective implementation and systematic assessment of compliance with the VGGT and with the SDG framework within the review process for the CCFs;
- 33. Stresses that NAFSAN should focus on combating land grabbing, which constitutes a human rights violation as it deprives local communities of land on which they depend to produce food and feed their families; points out that in a number of developing countries land grabbing has deprived people of their work and their means of subsistence and forced them to leave their homes;
- 34. Calls on participating countries to:
- ensure participatory and inclusive arrangements that prioritise the rights, needs and interests of those in whom rights to land are legitimately vested, particularly smallholders and small family farmers; ensure, in particular, that free, prior and informed consent (FPIC) is obtained from any/all communities living on land whose ownership, and/or control over which, is transferred;
- enact binding national measures against land-grabbing, corruption based on land transfer and the use of land for speculative investment;
- monitor land titling and certification schemes to ensure that they are transparent and do not concentrate land ownership or dispossess communities of the resources they rely upon;
- ensure that financial assistance is not used to support initiatives that enable companies to displace local communities;
- to recognise all legitimate rights to land and to ensure legal certainty over land rights, including informal, indigenous and customary tenure rights; as recommended by the VGGT, to promote new laws and/or effectively enforce existing laws that place effective safeguards on large-scale land transactions, such as ceilings on permissible land transactions, and to regulate on what basis transfers exceeding a certain scale should be approved by national parliaments;
- to ensure that the principle of FPIC is observed for all communities affected by land grabbing and that consultations are held to ensure the equal participation of all local community groups, in particular those that are most vulnerable and marginalised;
- 35. Recalls equally that user rights derived from customary tenure should be recognised and protected by a legal system in line with the provisions and rulings of the African Commission on Human and People's Rights;
- 36. Calls for NAFSN to be subject to an ex ante impact study regarding land rights and to be conditional on FPIC on the part of the local people affected;
- 37. Supports a robust and innovative monitoring mechanism within the CFS; calls on the

- EU to build a strong position, in consultation with civil society organisations, in order to contribute to the global monitoring event during the 43rd CFS session to be held in October 2016, in order to ensure a comprehensive and thorough assessment of the use and application of the Tenure Guidelines;
- 38. Calls on the governments of the countries concerned to ensure that firms carefully analyse the impact of their activities on human rights (due diligence) by conducting and publishing independent prior assessments of their impact on human, social and environmental rights and improving and ensuring access to domestic human rights complaints processes that are independent, transparent, reliable and appealable;
- 39. Calls on the parties to NAFSN to put in place independent grievance mechanisms for those communities affected by land dispossession as a result of large-scale investment projects;
- 40. Recalls that combating malnutrition requires a close linking of the agriculture, food and public health sectors;

### Food security, nutrition and sustainable family farming

- 41. Recalls the need to make all efforts to achieve improved nutrition and food security and to combat hunger, as embedded in the SDG 2; insists on better support for empowering farmers' cooperatives, which are key for agriculture development and food security;
- 42. Notes that stability is higher, and emigration lower, where there is food security based on healthy living soils and productive agro-ecosystems that are resilient to climate change;
- 43. Emphasises that high-quality, balanced nutrition is essential, and affirms that nutrition should be at the heart of (re)building food systems;
- 44. Calls, therefore, for means of replacing over-reliance on imported food with resilient domestic food production, prioritising local crops that meet nutritional requirements; notes that this is becoming more important as climates and markets become increasingly volatile:
- 45. Recalls that energy intake alone cannot be used to indicate nutritional status;
- 46. Stresses the need for strategies to minimise food waste throughout the food chain;
- 47. Stresses the need to protect agricultural biodiversity; calls on EU Member States to invest in agro-ecological farming practices in developing countries, in line with the conclusions of IAASTD, the recommendations of the UN special rapporteur on the right to food, and the SDGs;
- 48. Supports the development of policies conducive to sustainable family farming and encouraging governments to establish an enabling environment (conducive policies, adequate legislation, participatory planning for a policy dialogue, investments) for the development of family farming;





- 49. Calls on African governments to:
- invest in local food systems in order to boost rural economies and ensure decent jobs, equitable social safety nets and labour rights, to improve arrangements for democratic scrutiny of access to resources, including farmers' seeds, and to ensure the effective engagement of small-scale producers in policy processes and implementation; stresses in particular that NAFSN must encourage the establishment of domestic processing industries in the agriculture sector and the improvement of food storage techniques, and must strengthen the link between agriculture and trade so as to build local, national and regional markets that benefit family farmers and provide quality food for consumers at accessible prices;
- avoid making food production systems over-dependent on fossil fuels, with a view to limiting price volatility and mitigating the effects of climate change;
- develop short food supply chains locally and regionally, and appropriate storage and communications infrastructure to this end, as short supply chains are most effective in combating hunger and rural poverty;
- enable African farmers to access affordable, low-input technological solutions to African-specific agronomic challenges;
- encourage a wide variety of nutritious, local and, as far as possible, seasonal food crops, preferably locally adapted or indigenous varieties and species, including fruit, vegetables and nuts, in order to improve nutrition through continuing access to a varied, wholesome and affordable diet, adequate in terms of quality, quantity and diversity rather than calorie intake alone, and consistent with cultural values;
- commit to the full implementation of the International Code of Marketing of Breast-Milk Substitutes and the resolutions adopted by the World Health Assembly (WHA) on infant and young child nutrition;
- establish, promote and support producer organisations such as cooperatives that strengthen small farmers' bargaining positions, bringing about the necessary conditions to ensure that markets remunerate small farmers better, and enabling the sharing of knowledge and best practice between small farmers;
- 50. Stresses that NAFSN must lead to the establishment of a regionally adapted agricultural structure in the primary and processing stages;
- 51. Calls on African governments to foster intergenerational solidarity and to recognise the key role it plays in combating poverty;
- 52. Stresses the importance of promoting programmes of nutrition education in schools and local communities:
- 53. Stresses that the right to water goes hand in hand with the right to food, and that the UN resolution of 2010 has not yet resulted in decisive action to establish the right to water as a human right; calls on the EU to consider the proposal of the Italian Committee for a World Water Contract (CICMA) for an optional protocol to the International Covenant

- on Economic, Social and Cultural Rights;
- 54. Recognises the vital role of access to clean drinking water and the impact farming can have on it;
- 55. Recognises the role of access to water for farming needs, and the risks of over-relying on precious water for irrigation, and, in light of this, notes the need to reduce wasteful irrigation practices and stresses the role water-conserving agronomic techniques can play in preventing evapotranspiration, in retaining water in a healthy living soil and in keeping drinking sources unpolluted;
- 56. Notes that sustainable soil management can increase world food production by up to 58 % 1;
- 57. Notes the synergies between soil-based and tree-based approaches and the importance of adapting agro-ecosystems to climate change; notes especially the large demand for firewood; notes in particular the multiple uses of nitrogen-fixing trees;
- 58. Recognises the specific needs of tropical and semi-arid agriculture, especially with regard to crops needing shading from the sun and soil protection, and considers extractive monocultures to be outdated, also noting that they are increasingly being phased out in NASFN donor countries;
- 59. Cautions against over-reliance on producing non-food agricultural commodities rather than food, in particular biofuel feed stocks, in initiatives financed by NAFSN, in which the production of such commodities can have a detrimental impact on food security and on the food sovereignty of participating countries;
- 60. Notes that agronomic techniques boosting natural processes such as topsoil formation, water and pest regulation or closed loop nutrient cycling can assure long-term productivity and fertility at a low cost to farmers and administrations;
- 61. Notes that agrochemicals can be both over-used and used inappropriately in developing countries, such as those participating in NAFSN;
- 62. Notes that this is compounded by illiteracy and lack of appropriate training, and can result in significantly elevated levels of pesticide residues in fresh fruit and vegetables, as well as in poisoning and other impacts on human health for farmers and their families:

# Regulatory reform in the seed sector

63. Recalls that farmers' right to produce, exchange and sell seeds freely underpins 90 % of agricultural livelihoods in Africa, and that seed diversity is vital in building resilience of farming to climate change; stresses that corporate requests to strengthen plant breeders' rights in line with the 1991 UPOV Convention must not result in such informal arrangements being prohibited;

<sup>&</sup>lt;sup>1</sup> FAO, Global Soil Partnership.



- 64. Notes the dangers of deregulation of the seed sector in participating countries, which may lead to smallholders becoming over-dependent on seeds and plant protection products manufactured by foreign companies;
- 65. Recalls that the TRIPS provisions which call for some form of protection for plant varieties do not force developing countries to adopt the UPOV regime; stresses that those provisions do, however, enable countries to develop *sui generis* systems which are better adapted to the characteristics of each country's agricultural production and to traditional farmer-based seed systems, while LDCs that are parties to the WTO are exempted from compliance with the TRIPS provisions concerned; emphasises that *sui generis* systems must be supportive of and must not counter the objectives and obligations existing under the CBD, the Nagoya Protocol and ITPGRFA;
- 66. Deplores the corporate call to harmonise seed laws on the basis of the principles of distinctness, uniformity and stability (DUS), in the African context via regional institutions, which will hamper the development and growth of farmer-based seed systems at national and regional levels, since such systems usually do not breed or save seeds that fulfil the DUS criteria;
- 67. Urges the G7 member states to support farmer-managed seed systems via community seed banks:
- 68. Recalls that while commercial seed varieties may improve yields in the short term, traditional farmers' varieties, landraces and associated knowledge are best suited for adaptation to specific agro-ecological environments and climate change; stresses that, in addition, their higher performance depends on the use of inputs (fertilisers, pesticides, hybrid seeds) which risk trapping farmers in a vicious circle of debt;
- 69. Notes with concern that the introduction and spread of certified seeds in Africa increases smallholder dependence, makes indebtedness more probable, and erodes seed diversity;
- 70. Advocates supporting local policies aimed at ensuring consistent and sustainable access to a diverse and nutritious diet, following the principles of ownership and subsidiarity;
- 71. Urges the Commission to ensure that the commitments made to farmers' rights by the EU under ITPGRFA are reflected in all technical assistance and financial support for seed policy development; calls for the EU to support intellectual property rights regimes that enhance the development of locally adapted seed varieties and farmer-saved seeds;
- 72. Urges the G8 member states not to support GMO crops in Africa;
- 73. Recalls that the African Model Law on Biosafety sets a high benchmark for biosafety; believes that all assistance from foreign donors in developing biosafety at national and regional levels should be framed accordingly;
- 74. Urges African countries not to implement national or regional biosafety regimes with lower standards than those set out in the Cartagena Protocol on Biosafety;
- 75. Calls on participating countries to give farmers the option of avoiding input

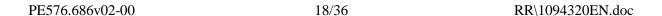
- dependency, and to support farmers' seed systems in order to maintain and improve agro-biodiversity through maintaining local publically-owned seed banks and exchanges and continuous development of local seed varieties, specifically providing flexibility on seed catalogues so as not to exclude farmers' varieties and to guarantee the continuation of traditional produce;
- 76. Calls on the participating countries to safeguard and promote access to, and exchange of, seeds and agricultural inputs for smallholders, marginalised groups and rural communities, and to respect international agreements on non-patentability of life and biological processes, especially where native strains and species are concerned;
- 77. Stresses the risk of increased marginalisation of women in decision-making resulting from the development of certain commercial crops; notes that agricultural training often targets men and tend to sideline women, who therefore find themselves excluded from the management of land and crops that they have traditionally looked after;

#### Gender

- 78. Regrets that the CCFs largely fail to define precise commitments on gender budgeting or to monitor progress through disaggregated data; stresses the need to move from abstract and general commitments to concrete and precise ones in the remit of national action plans to empower women as rights-holders;
- 79. Urges governments to eliminate all discrimination against women in terms of access to land and microcredit schemes and services, and to effectively involve women in the design and implementation of agricultural research and development policies;

#### Funding agricultural investment in Africa

- 80. Stresses the need to ensure the transparency of all funding granted to private -sector companies, and that such funding must be made public;
- 81. Calls on donors to align Official Development Assistance (ODA) with the development effectiveness principles, to focus on results with a view to poverty eradication, and to promote inclusive partnerships, transparency and accountability;
- 82. Calls on donors to channel their support for developing agriculture primarily through national development funds which grant subsidies and loans to smallholders and family farming;
- 83. Urges donors to support education, training and technical counselling for farmers;
- 84. Calls on donors to promote the forming of farmers' organisations of a professional and economic nature, and to support the establishment of farmers' cooperatives which enable the delivery of affordable means of production and help farmers process and market their products in a way that safeguards the profitability of their production;





- 85. Believes that the funding provided by G8 member states to NAFSN contravenes the objective of supporting domestic local companies which cannot compete with multinationals that already benefit from a dominant market position and are often granted business, tariff and tax privileges;
- 86. Recalls that the purpose of development aid is to reduce, and ultimately to eradicate, poverty; believes that ODA should focus on direct support to small-scale farming;
- 87. Stresses the need to revitalise public investment in African agriculture, while providing support for private investment, and to prioritise investment in agro-ecology, so as to sustainably increase food security and reduce poverty and hunger while conserving biodiversity and respecting indigenous knowledge and innovation;
- 88. Stresses that G7 member states should guarantee African countries the right to protect their agricultural sectors through tariff and tax regimes that favour family and smallholder farming;
- 89. Calls on the EU to address all the deficiencies of NAFSN outlined above, to act to enhance its transparency and governance, and to ensure that actions taken under it are consistent with development policy goals;
- 90. Instructs its President to forward this resolution to the Council, the Commission and the parties to NAFSN.

#### **EXPLANATORY STATEMENT**

The New Alliance for Food Security and Nutrition in Africa (NAFSN) was launched in 2012 under the auspices of the G8 as a large public-private partnership (PPP) that aims to leverage private investment in agriculture in order to improve food security and nutrition in Sub-Saharan Africa. It includes the members of the G8, the African Union (AU), the New Partnership for African Development (NEPAD) and its Comprehensive Africa Agriculture Development Programme (CAADP), the governments of Burkina Faso, Benin, Cote d'Ivoire, Ethiopia, Ghana, Malawi, Mozambique, Nigeria, Senegal and Tanzania as well as local and international companies. Specific G7 partners have been assigned responsibility for coordinating the implementation of the initiative in a given African country. The EU is in charge of the coordination for Cote d'Ivoire and Malawi.

Each participating African country adopted a **Country Cooperation Framework** (CCF) setting out the commitments of each of the parties involved. These commitments concern legislative reforms in the respective African countries, funding intentions of G7 donors and pledges by the 180 companies involved to invest a total of USD 8 billion. Two companies stand out in terms of their share of agricultural investments: the Swiss seed company Syngenta and the Norwegian fertiliser company Yara International.

The rapporteur acknowledges the need of African countries to invest in agriculture. Although the objective of NAFSN is sound, many deficiencies exist.

NAFSN aims to replicate in Africa the model of the 1960s/1970s Asian '**Green Revolution**', based on monoculture, mechanisation, biotechnology, dependence on fertilisers, long distribution channels and the production of export crops. The limits of this approach are well known, particularly the associated environmental risks.

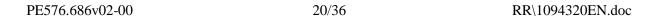
Moreover, the agreed policies in host countries are meant to create a *business-friendly environment* through reforms of infrastructure, tax, land or trade policies; easier access to 'idle' land for long-term lease; and regulatory reforms in the area of seeds to strengthen intellectual property rights of plant breeders.

Strikingly, smallholders were barely consulted in the creation of the CCFs although they are supposed to be the ultimate beneficiaries of NAFSN. Consequently, NAFSN has been heavily criticised by civil society, public figures like the UN Special Rapporteur on the Right to Food and by African small-scale farmers themselves. They warn that NAFSN risks facilitating land grabs, to further marginalise small-scale producers and women, while supporting unsustainable farming.

The EU and Member States have a key role to play in transforming NAFSN into a genuine instrument of support for family farming and local economies in Sub-Saharan Africa in order to combat poverty and food and nutrition insecurity. To this end, it is of primary importance to address the following challenges:

# 1. Governance and ownership

Large-scale foreign companies and donors require strong governance structures in the respective partner countries to ensure the fair sharing of risks and benefits between parties





involved. They also need adequate institutional and legal frameworks to sufficiently regulate PPPs and prior consultation with multiple stakeholders and end-users. However, the voices of producer organisations and local groups are largely missing within the NAFSN. Mega-PPPs are inherently risky in Sub-Saharan African countries —where governance is often poor— and provide opportunities for corruption.

The rapporteur is concerned that the CCFs only refer selectively to existing international standards for responsible investment in agriculture. For instance, the CCFs neither refer to the FAO 2004 Voluntary Guidelines to Support the Progressive Realization of the Right to Adequate Food in the Context of National Food Security nor to the duties of private investors regarding human rights obligations, such as the Guiding Principles on Business and Human Rights or the OECD Guidelines on Multinational Enterprises (2011).

The rapporteur deems that participating countries shall clearly commit to effectively implementing international standards that regulate investment following a human-rights based approach, including the AU's Framework and Guidelines on Land Policy in Africa and the AU's Guiding Principles on Large Scale Based Investments in Africa.

# 2. Accountability framework

The CCFs are not fully available, which hinders local civil society from conducting sound monitoring. Moreover, participating companies do not follow a common format or qualitative indicators to allow for project evaluations.

The rapporteur demands publication of all letters of intent in their entirety and for the inclusion of strict monitoring mechanisms and performance indicators in all CCFs. Furthermore, an appeal mechanism for affected local people and communities needs to be put in place. Local civil society needs to be closely involved in NAFSN monitoring and evaluation.

Contract farming is a central element in integrating smallholders in value-added chains. However, the CCFs should be revised in order to improve contract provisions between buyers and local suppliers and to provide an enabling legal framework in terms of, i.a. price arrangements, respect for women's rights, support to sustainable agriculture, establishment of appropriate dispute settlement mechanisms and a strengthening of farmers' organisations to improve their bargaining position in the negotiations of farming contracts.

# 3. Promoting sustainable family farming

The 2030 Agenda for Sustainable Development and the Paris Agreement on climate change of December 2015 both highlight the importance of developing a model of agriculture that improves resilience and creates sustainable food systems. Family farmers and smallholders are the main investors in African agriculture, and provide over 60% of employment in Sub-Saharan Africa<sup>1</sup>. They have demonstrated their ability to increase food production sustainably (often through agro-ecological practices), to diversify production, to contribute to rural development, to increase incomes and, in turn, to help reduce poverty.

<sup>&</sup>lt;sup>1</sup> FAO Statistical Yearbook 2012, p.18

Instead of supporting NAFSN's model of 'modern', 'business-oriented' agriculture based on large-scale industrial farming, your rapporteur, in line with recommendations of UN Special Rapporteur on the Right to Food and the 2009 International Assessment of Agricultural Science and Technology for Development (IAASTD), calls on African governments to invest in family farming and agroecology.

# 4. Access to land and security of tenure

While different forms of land tenure exist in Africa (customary, public, private) the CCFs almost exclusively refer to land titling (or certification of land) to address tenure rights.

Evidence suggests that land titling does not automatically lead to tenure security for local communities. In fact, the abolition of customary or communal tenure systems and a focus on land titling often leads to more insecurity regarding land rights for the poor, women in particular. Small-scale food producers and indigenous people usually lack legal recognition over their land rights, thereby making them vulnerable to inadequate land deals, expropriation without consent or lack of fair compensation, especially in the context of poor governance and incomplete land reform. Moreover, investors and local elites involved in land deals tend to describe the land for sale as 'idle' or 'under-utilised', often ignoring or concealing pastoralist activities.

These risks are well illustrated with the development of so-called 'growth poles' (e.g. the PROSAVANA project in Mozambique) which aim to attract international investors to Africa by making land available to large private companies at the expense of family farmers, often in very fertile regions.

Consequently, the rapporteur urges the participating African countries to respect communities' traditional land rights and to fully implement the 2012 Voluntary Guidelines on Responsible Governance of Tenure of Land, Fisheries and Forests. Investment made in the remit of the NAFSN should be subject to ex-ante impact studies on land rights and be submitted to free, prior and informed consultations with the local communities.

# 5. Seed legislation

Farmers' rights to produce, exchange and sell seeds freely underpin up to 90% of agricultural livelihoods on the African continent<sup>1</sup>. The rapporteur is worried about corporate's request to strengthen plant breeder's rights by harmonising African seed legislation on the line of the 1991 UPOV Convention, which prohibits most of these informal practices. This could jeopardise seed diversity which is vital for climate change adaptation and food security. In addition, the patents associated to the increased sales of certified seeds in Africa increase smallholder dependence and the likelihood of falling into debt.

As control, ownership and affordability of seeds are of crucial importance to food security and the resilience of poor farmers, the rapporteur deems that donors should support farmers' seed systems in order to permit a degree of **independence** from the commercial seed sector and because genetic seed variety better ensures seed suitability to local agro-ecological

<sup>&</sup>lt;sup>1</sup> Olivier De Schutter (2009): '', p.23.

conditions.

#### 6. Gender

Until recently, support provided to agriculture has often concentrated on male-managed export crops, leaving women largely in charge of handling the task of producing food for the sustenance of the family.

The 2014 NAFSN progress report points out that only 21% of small farmers taking part in New Alliance projects are women. However, they represent up to 50% of family farmers in Sub-Saharan Africa<sup>1</sup>. By paying scant attention to gender, NAFSN contributes to increasing inequality and further marginalising African women.

Specific indicators should measure the impact of NAFSN on gender. Likewise, priority should be given to removing all discrimination towards women in access to land; to improving access of women to microcredit schemes and services; and to effectively involving women in the design and implementation of agricultural research and development policies.

# 7. Funding of agricultural investment in Africa

The rapporteur has strong reservation about supporting agricultural investment in Africa through mega-PPPs, such as NAFSN.

The main private actors of NAFSN are multinationals, which already benefit from a dominant position in the market and are often granted business, tariff and tax facilitation in host countries. The planned investments are based on the notion that smallholders can be 'lifted' out of poverty by integrating them in the value-added chains of the food industry. In reality, the overwhelming majority of producers lack sufficient market proximity, the capacity to produce the volumes required and the technical training necessary to be able to fulfil the high demands in terms of management of production, accounting, hygiene measures and investment. In addition, huge asymmetries of power exist between multinational agribusiness companies, regional and national players and smaller firms in African countries.

Official Development Aid (ODA) should serve the goal of poverty reduction, not the interests of EU trade policy. The rapporteur believes that the EU should not use ODA to support transnational companies operating as monopolies or in cartels which contribute to undermining the local private sector, thus endangering family farmers and smallholders.

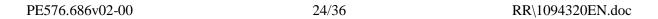
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To conclude: The rapporteur severely questions the ability of mega-PPPs such as NAFSN to contribute to poverty reduction and food security, as the poorest communities risk to bear the brunt of social and environmental risks associated with it. Given the existing deficiencies, the rapporteur believes that the EU and its Member States should stop its current support to NAFSN. Instead, both donors and national governments should invest in a model of agriculture which is sustainable, pro-smallholder farming, pro-women, and which unlocks the

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 $<sup>^{1}</sup>$  FAO (2011): The State of Food and Agriculture. Women in Agriculture. Closing the gender gap for development

potential of domestic and regional markets so as to benefit family farmers and provide quality food for consumers at accessible prices.



# OPINION OF THE COMMITTEE ON AGRICULTURE AND RURAL DEVELOPMENT

for the Committee on Development

on the New Alliance for Food Security and Nutrition (2015/2277(INI))

Rapporteur: Molly Scott Cato

### **SUGGESTIONS**

The Committee on Agriculture and Rural Development calls on the Committee on Development, as the committee responsible, to incorporate the following suggestions into its motion for a resolution:

## General approach

- 1. Notes that the G8 governments have committed themselves, in the 2009 L'Aquila Joint Statement on Food Security, to supporting country-owned strategies to increase food production, and so increasing access to food, with a special focus on empowering smallholder and women farmers and improving their access to land and financial services, including microfinances and markets;
- 2. Notes that the EU's FSPF<sup>1</sup> stresses the need for the EU and its Member States to focus on small-scale food production in order to increase food availability in less developed countries (LDCs), with multiple effects of boosting producer incomes and resilience, increasing food availability for the general population, enhancing environmental quality, and encouraging SMEs and rural development via processing;
- 3. Recognises that, as regards food security policy in developing countries, emphasis has shifted from merely increasing the production of agricultural commodities to ensuring that countries have the capacity to feed themselves and improve their food sovereignty, understood as the right of people to decide upon their own agricultural and food policies and production;
- 4. Calls, therefore, for means of replacing over-reliance on imported food with resilient

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<sup>&</sup>lt;sup>1</sup> EU Policy Framework to Assist Developing Countries in Addressing Food Security Challenges, COM (2010)0127.

domestic food production, prioritising local crops that meet nutritional requirements; notes that this is becoming more important as climates and markets become increasingly volatile;

- 5. Considers that such self-sufficiency, focused on local domestic food production and on shorter regional food chains, would contribute to reducing hunger and ensuring equal access to food among local populations, ensuring better living conditions and long-term food security; stresses that, given that unfair trade agreements can undermine the capacity of these countries to feed themselves, and may exclude their farmers from some markets, exports should be considered only once these fundamental food security aims have been achieved;
- 6. Supports 'medium and long-term sustainable agricultural, food security, nutrition and rural development programmes to eliminate the root causes of hunger and poverty, including through the progressive realisation of the right to adequate food', as well as access to clean water, involving communities in agricultural development activities (farming, processing and marketing), especially by 'building capacity, focusing on integrated actions addressing policy, institutions and people, with a special emphasis on smallholders and women farmers'<sup>1</sup>, empowering them and promoting their right to decent work;
- 7. Notes that stability is higher and emigration is lower where there is food security, based on healthy living soils and productive agro-ecosystems resilient to climate change;

# Smallholder farming

- 8. Notes that smallholder farming has always played a fundamental role in initiating economic and social development by ensuring food security for entire populations, reducing the need to spend foreign currency reserves on food imports and also providing jobs;
- 9. Stresses that small-scale farmers, who produce around 70 % of the food consumed in Africa, play a crucial role in local livelihoods and are indispensable for inclusive agricultural development;
- 10. Stresses, therefore, that the New Alliance for Food Security and Nutrition in Africa (NAFSN) must first and foremost benefit small-scale farmers and small family businesses in order that they may secure a place, and strengthen their role, in the food supply chain;
- 11. Notes that foreign private investment and trade liberalisation policies may have significant impacts on the agricultural sectors of developing economies;

## Land-grabbing, access to land and land concentration

12. Notes the dangers of excessive deregulation of land ownership; stresses, in this context, that livelihoods, access to agricultural land and the use of traditional farming methods by small-scale farmers are seriously threatened;

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<sup>&</sup>lt;sup>1</sup> Final declaration of the World Summit on Food Security, FAO, 2009.

13. Deplores the large-scale concentration of land ownership, including land-grabbing carried out by foreign investors, which hits small-scale farmers hard, with serious implications for agricultural production, pushing up food prices and contributing to local, regional and national food insecurity and poverty;

# Farming model transition

- 14. Recognises the role of access to water for farming needs, and the risks of over-relying on precious water for irrigation, and, in light of this, notes the need to reduce wasteful irrigation practices and stresses the role water-conserving agronomic techniques can play in preventing evapotranspiration, in retaining water in a healthy living soil and in keeping drinking sources unpolluted;
- 15. Recognises the specific needs of tropical and semi-arid agriculture, especially with regard to crops needing shading from the sun and soil protection, and considers extractive monocultures to be outdated and as being increasingly phased out in NASFN donor countries:
- 16. Notes the need to shift to sustainable, diversified farming, with less input dependency and land degradation, and greater resilience to the negative impacts of climate change;
- 17. Stresses that the NAFSN must lead to the establishment of a regionally adapted agricultural structure in the primary and processing stages;
- 18. Cautions against overreliance on producing non-food agricultural commodities rather than food, in particular biofuel feed stocks, in initiatives financed by the NAFSN, where the production of such commodities can have a detrimental impact on food security and on the food sovereignty of participating countries;
- 19. Calls for local knowledge and local varieties to be taken into account when strategies and action plans are developed, and for natural techniques for the production of seed and propagating material to be applied, in consultation with local communities;

# Agroecology and agroforestry

- 20. Notes the significant potential of resource-efficient and long-term agro-ecological approaches based on high species diversity, the presence of beneficial species, the spreading of risks and the recycling of waste;
- 21. Notes that such agronomic techniques boosting natural processes such as topsoil formation, water and pest regulation or closed loop nutrient cycling can assure long-term productivity and fertility at a low cost to farmers and administrations;
- 22. Notes that sustainable soil management can produce up to 58 % more of the world's food<sup>1</sup>;
- 23. Advocates agroecological approaches, in particular those that focus on soils, including permaculture, agro-forestry, crop rotation and inter-cropping, especially using leguminous plants, under-sowing, composting and mulching, in order to increase delivery of

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<sup>&</sup>lt;sup>1</sup> FAO, Global Soil Partnership.

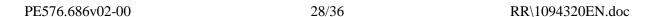
- ecosystem functions and, thereby, raise productivity and fertility in the long term using natural processes;
- 24. Notes the synergies between soil-based and tree-based approaches and of adapting agroecosystems to climate change; notes especially the large demand for firewood; notes in particular the multiple uses of nitrogen-fixing trees;
- 25. Notes that agrochemicals can both be over-used and used inappropriately in developing countries, such as those participating in the NAFSN;
- 26. Notes that this is compounded by illiteracy and the lack of appropriate training, and can result in significantly elevated levels of pesticide residues in fresh fruit and vegetables, as well as in poisoning and other effects on human health for farmers and their families;

### Access to nutritious food and water

- 27. Emphasises that high-quality, balanced nutrition is essential, and affirms that nutrition should be at the heart of (re-)building food systems;
- 28. Recalls that energy intake alone cannot be used to indicate nutritional status;
- 29. Stresses that millions of people in Africa, especially children, are suffering from hunger and malnutrition, which are the main causes of mortality on the continent, and that, in addition to land-grabbing and climate change, hunger is one of the main reasons people flee their homes;
- 30. Recognises the vital role of access to clean drinking water and the impact farming can have on it:
- 31. Calls for locally appropriate agricultural and food policies that respond to the needs of the whole of society, with a view to eradicating hunger and malnutrition;
- 32. Stresses the need for strategies to minimise food waste throughout the food chain;

#### Critical observations

- 33. Welcomes the NAFSN's commitment to food security, but is concerned that the means used are based on outdated models of agricultural development and on an unequal balance of power;
- 34. Is concerned that the NAFSN may not be benefitting small family farmers as originally intended, but is contributing to making them dependent on costly external inputs;
- 35. Notes the dangers of deregulation of the seed sector in participating countries, which may lead to smallholders becoming over-dependent on seeds and plant protection products manufactured by foreign companies;
- 36. Points out that the decision-making process in the cooperation framework has not involved all stakeholders, but has rather excluded, inter alia, rural communities, farm workers, small farmers, fishermen and indigenous peoples, and has disregarded their right to participate;





- 37. Maintains that African states should be treated as partners in this alliance, rather than as being seen merely as service providers that reduce the risks and uncertainties for private investors;
- 38. Notes the G20 report of 2011 which stresses that tax-driven investment may prove transitory; recalls that numerous investor motivation surveys have shown a neutral or negative impact of special tax incentives on their decisions to invest<sup>1</sup>;
- 39. Notes that tax incentives including exemption from company tax in Special Economic Areas are depriving African states of tax revenues that could have been a source of vital public investment in agriculture, especially in food security and nutrition programmes<sup>2</sup>;
- 40. Regrets that the only indicator common to the ten cooperation frameworks within the NAFSN is the World Bank's 'Doing Business' index;

## Demands – participating countries

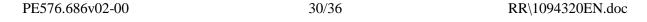
- 41. Calls on participating countries to:
- implement the FAO 2004 Voluntary Guidelines to support the progressive realisation of the right to adequate food in the context of national food security and the FAO 2014 Principles for Responsible Investment in Agriculture and Food Systems, and ensure compliance with the UN Guiding Principles on Business and Human Rights;
- ensure that assessment of NAFSN activities go beyond the quality of investment and that
  they also take into account the quality of development impact, covering, inter alia, targets
  on women's rights and stakeholder uptake, balanced and healthy nutrition, and resilience
  of food supply;
- undertake, as part of the country progress reports, annual evaluations of Country Cooperation Framework (CCF) implementation to check whether commitments have been fulfilled, and also to publish those results;
- ensure a human-rights based approach to land titling by adopting and fully implementing the FAO 2012 Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests (VGGT) in the Context of National Food Security;
- ensure participatory and inclusive arrangements that prioritise the rights, needs and interests of those in whom rights to land are legitimately vested, particularly smallholder and small family farmers; ensure, in particular, that free, prior and informed consent (FPIC) is obtained from any/all communities living on land whose ownership, and/or control over which, is transferred;
- review public policies and projects with a view to removing any incentive towards landgrabbing and non-productive ownership of land;

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<sup>&</sup>lt;sup>1</sup> Mwachinga, E. (Global Tax Simplification Team, World Bank Group), 'Results of investor motivation survey conducted in the EAC', presentation given in Lusaka on 12.02.2013.

<sup>&</sup>lt;sup>2</sup> 'Supporting the development of more effective tax systems' – a report to the G20 working group by the IMF, the OECD and the World Bank, 2011.

- enact binding national measures against land-grabbing, corruption based on land transfer and the use of land for speculative investment;
- monitor land titling and certification schemes to ensure that they are transparent, and do not concentrate land ownership or dispossess communities of the resources they rely upon;
- ensure that financial assistance is not used to support initiatives that enable companies to displace local communities;
- use participatory processes to design model contract farming schemes geared to the needs of the local communities;
- establish, promote and support producer organisations such as cooperatives that strengthen small farmers' bargaining positions, bringing about the necessary conditions to ensure that markets remunerate small farmers better, and enabling the sharing of knowledge and best practice between small farmers;
- establish cooperation among domestic farmers, local communities, local authorities and civil society organisations in order to tackle food insecurity and improve livelihoods, consult domestic stakeholders and ensure that local actors are fully involved in implementing the programme;
- step up the creation of multi-actor platforms (small farmers' organisations, the communities concerned, representatives of organised civil society);
- insure that small farmers, in particular women, have clearly defined rights of access to land and water, and that they benefit fully from development; properly consult pastoralists when considering investments financed by NAFSN, in order to avoid land conflicts and to optimise the use of communal land; base all action on the interests and potential of domestic small farmers;
- ensure adequate levels of public investment so as to guarantee lasting, sustainable and inclusive solutions;
- give farmers the option of avoiding input dependency, and support farmers' seed systems in order to maintain and improve agro-biodiversity through maintaining local publically-owned seed banks, exchanges and continuous development of local seed varieties, specifically providing flexibility on seed catalogues so as not to exclude farmers' varieties, and to guarantee the continuation of traditional produce;
- safeguard and promote access to, and exchange of, seeds and agricultural inputs for smallholder farmers, marginalised groups and rural communities; respect international agreements on non-patentability of life and biological processes, especially where native strains and species are concerned;
- avoid making food production systems over-dependent on fossil fuels, with a view to limiting price volatility and mitigating the effects of climate change;
- develop short food supply chains locally and regionally, and appropriate storage and





- communications infrastructure to this end, as short supply chains are most effective in combating hunger and rural poverty;
- develop policies that support sustainable agriculture, promoting more diverse farming systems managed according to the principles of agroecology, including agroforestry and integrated pest management;
- enable African farmers to access affordable, low-input technological solutions to Africanspecific agronomic challenges;
- ensure increased outreach and implementation of the desired NAFSN objectives by investing in education, training and agricultural extension services, with communityoriented participative approaches covering nutrition, land tenure, rights, agroforestry and low-input sustainable agriculture, including sustainable traditional techniques;
- encourage a wide variety of nutritious, local and, as far as possible, seasonal food crops, preferably locally adapted or indigenous varieties and species, including fruit, vegetables and nuts, in order to improve nutrition through continuing access to a varied, wholesome and affordable diet, adequate in terms of quality, quantity and diversity, rather than calorie intake alone, and consistent with cultural values;
- ensure that strategies are not based solely on the production of more food, in particular producing high volumes of crops which are only high in calories, and whose preponderance may lead to dietary nutrient deficiencies;
- prevent measures that would obstruct access to adequate nutrition and food, in particular measures that would prevent populations from accessing and using resources and inputs that guarantee their survival;
- ensure that nutrition is integrated within basic public services (including health, water and sanitation);
- commit to the full implementation of the International Code of Marketing of Breast-Milk Substitutes and the resolutions adopted by the World Health Assembly (WHA) on Infant and Young Child Nutrition;
- design policies that empower and emancipate rural women, specifically taking into account their time and mobility constraints;
- ensure women's equal rights and societal and decision-making roles, particularly with regard to access to land, financing and resources;
- ensure that women benefit from the transformation of agriculture by combating discriminatory customs and removing discriminatory provisions that hinder access to resources;
- include, within the country cooperation frameworks, specific targets to empower women,
   with a time frame for their attainment; undertake gender-responsive budgeting of projects
   and ongoing evaluation using data classified by gender;
- incorporate a gender perspective in official statistics and indices of rural development

policies, so as to identify best practices and better orient strategies;

- establish systems to ensure transparency and accountability in all initiatives;
- ensure that assessments, including impact assessments, of all projects are performed by independent bodies, using a broad range of indicators to measure impact on food security, nutrition and poverty, thereby comprehensively evaluating each country's progress in the NAFSN context;
- ensure that financial, tax or administrative reforms do not exempt investors from making a
  fair contribution to the tax base of participating countries or give an unfair advantage to
  investors over smallholders;
- ensure that their respective governments retain the right to protect their agricultural and food markets through appropriate tariff and tax regimes, which are particularly necessary to tackle financial speculation and tax dodging;
- implement the FAO 2012 Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests (VGGT) by means of participatory and inclusive arrangements that prioritise the rights and needs of those in whom rights to land are legitimately vested;
- review public policies and projects with a view to removing any incentive towards landgrabbing;
- adopt policies that promote responsible trade and commit to eliminating tariff barriers that dissuade regional trade;

#### Demands – EU and Member States

- 42. Calls on the EU and the Member States, as the biggest development aid donor in the world, to:
- make their continued support for the NASFN and for intensive cooperation conditional upon fulfilment of the above;
- make undertakings accountable for human rights, land rights and social, environmental and labour standards, and, in particular, ensure that Member States fulfil the extraterritorial obligations (ETOs) incumbent upon states by ensuring both that their policies do not serve to violate human rights in other countries, and that non-state actors do not impede the enjoyment of those rights;
- ensure that EU-based investors respect, and encourage other partners in the alliance to respect, the rights of local communities and the needs of small farms, in following a human-rights based approach within the cooperation frameworks, including the maintenance of environmental, social, land, labour and human rights safeguards and the highest standards of transparency over their investment plans;
- ensure that EU-based investors implement a social responsibility policy when drawing up employment contracts and do not exploit their economic advantage over workers from local communities;

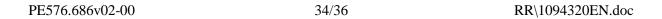




- encourage participating countries to implement, by democratic means, their own agricultural and food policies, priorities and strategies, laid out in a sustainable agricultural model;
- recognise the necessity for participating countries to achieve food security and to defend their right to be as self-sufficient as possible;
- support and champion local African enterprises and stakeholders as primary actors and as beneficiaries of the NAFSN initiatives;
- implement the recent WTO decision to eliminate agricultural export subsidies, which are distorting local markets and destroying livelihoods in developing countries;
- eliminate tariff barriers that act as a disincentive to African countries adding value to raw produce locally;
- ensure effective EU programmes with an emphasis on more smaller-scale projects implemented on local and regional levels;
- consider that vibrant, healthy rural communities and economies, and fertile, productive
  and resilient farm systems, can keep people living on the land, and thereby help increase
  global stability by not contributing to mass migration;
- 43. Calls on participating governments and investors to: enter into a dialogue with civil society, local communities and other institutions on the NAFSN; ensure that agreements concluded are available to the public domain in a transparent manner; and ensure the representation of relevant civil society organisations in NAFSN decision-making bodies.

# **RESULT OF FINAL VOTE IN COMMITTEE ASKED FOR OPINION**

Date adopted	15.3.2016
Result of final vote	+: 35 -: 3 0: 5
Members present for the final vote	John Stuart Agnew, Clara Eugenia Aguilera García, Eric Andrieu, Paul Brannen, Daniel Buda, Nicola Caputo, Matt Carthy, Michel Dantin, Paolo De Castro, Albert Deß, Diane Dodds, Herbert Dorfmann, Norbert Erdős, Edouard Ferrand, Luke Ming Flanagan, Beata Gosiewska, Martin Häusling, Esther Herranz García, Jan Huitema, Peter Jahr, Elisabeth Köstinger, Zbigniew Kuźmiuk, Philippe Loiseau, Mairead McGuinness, Giulia Moi, Ulrike Müller, James Nicholson, Maria Noichl, Marijana Petir, Laurenţiu Rebega, Bronis Ropė, Jordi Sebastià, Jasenko Selimovic, Lidia Senra Rodríguez, Czesław Adam Siekierski, Marc Tarabella
Substitutes present for the final vote	Pilar Ayuso, Franc Bogovič, Rosa D'Amato, Jørn Dohrmann, Peter Eriksson, Julie Girling, Ivan Jakovčić, Karin Kadenbach, Sofia Ribeiro, Tibor Szanyi



# **RESULT OF FINAL VOTE IN COMMITTEE RESPONSIBLE**

Date adopted	20.4.2016	
Result of final vote	+: 23 -: 0 0: 1	
Members present for the final vote	Beatriz Becerra Basterrechea, Ignazio Corrao, Nirj Deva, Doru- Claudian Frunzulică, Enrique Guerrero Salom, Heidi Hautala, Maria Heubuch, Teresa Jiménez-Becerril Barrio, Linda McAvan, Maurice Ponga, Cristian Dan Preda, Lola Sánchez Caldentey, Elly Schlein, Pedro Silva Pereira, Davor Ivo Stier, Paavo Väyrynen, Bogdan Brunon Wenta, Anna Záborská	
Substitutes present for the final vote	Marina Albiol Guzmán, Brian Hayes, Paul Rübig	
Substitutes under Rule 200(2) present for the final vote	Amjad Bashir, Tiziana Beghin, Miroslav Poche	

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# FINAL VOTE BY ROLL CALL IN COMMITTEE RESPONSIBLE

23	+
ALDE	Beatriz Becerra Basterrechea, Paavo Väyrynen
ECR	Amjad Bashir, Nirj Deva
EFDD	Tiziana Beghin, Ignazio Corrao
GUE/NGL	Marina Albiol Guzmán, Lola Sánchez Caldentey
PPE	Brian Hayes, Teresa Jiménez-Becerril Barrio, Maurice Ponga, Cristian Dan Preda, Davor Ivo Stier, Bogdan Brunon Wenta, Anna Záborská
S&D	Doru-Claudian Frunzulică, Enrique Guerrero Salom, Linda McAvan, Miroslav Poche, Elly Schlein, Pedro Silva Pereira
VERTS/ALE	Heidi Hautala, Maria Heubuch

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PPE	Paul Rübig

# Key to symbols:

+ : in favour- : against0 : abstention

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