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*Plenary sitting*

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**A8-0012/2019**

14.1.2019

**\*\*\*I**  
**REPORT**

on the proposal for a directive of the European Parliament and of the Council amending Directive 2014/65/EU on markets in financial instruments and Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)  
(COM(2017)0537 – C8-0318/2017 – 2017/0231(COD))

Committee on Economic and Monetary Affairs

Rapporteur: Othmar Karas, Pervenche Berès

### ***Symbols for procedures***

- \* Consultation procedure
- \*\*\* Consent procedure
- \*\*\*I Ordinary legislative procedure (first reading)
- \*\*\*II Ordinary legislative procedure (second reading)
- \*\*\*III Ordinary legislative procedure (third reading)

(The type of procedure depends on the legal basis proposed by the draft act.)

### ***Amendments to a draft act***

#### **Amendments by Parliament set out in two columns**

Deletions are indicated in ***bold italics*** in the left-hand column. Replacements are indicated in ***bold italics*** in both columns. New text is indicated in ***bold italics*** in the right-hand column.

The first and second lines of the header of each amendment identify the relevant part of the draft act under consideration. If an amendment pertains to an existing act that the draft act is seeking to amend, the amendment heading includes a third line identifying the existing act and a fourth line identifying the provision in that act that Parliament wishes to amend.

#### **Amendments by Parliament in the form of a consolidated text**

New text is highlighted in ***bold italics***. Deletions are indicated using either the ▯ symbol or strikeout. Replacements are indicated by highlighting the new text in ***bold italics*** and by deleting or striking out the text that has been replaced.

By way of exception, purely technical changes made by the drafting departments in preparing the final text are not highlighted.

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## DRAFT EUROPEAN PARLIAMENT LEGISLATIVE RESOLUTION

**on the proposal for a directive of the European Parliament and of the Council amending Directive 2014/65/EU on markets in financial instruments and Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) (COM(2017)0537 – C8-0318/2017 – 2017/0231(COD))**

**(Ordinary legislative procedure: first reading)**

*The European Parliament,*

- having regard to the Commission proposal to Parliament and the Council (COM(2017)0537),
  - having regard to Article 294(2) and Articles 53(1) and 62 of the Treaty on the Functioning of the European Union, pursuant to which the Commission submitted the proposal to Parliament (C8-0318/2017),
  - having regard to Article 294(3) of the Treaty on the Functioning of the European Union,
  - having regard to the opinion of the European Central Bank of 11 May 2018,<sup>1</sup>
  - having regard to the opinion of the European Economic and Social Committee of 15 February 2018,<sup>2</sup>
  - having regard to Rule 59 of its Rules of Procedure,
  - having regard to the report of the Committee on Economic and Monetary Affairs (A8-0012/2019),
1. Adopts its position at first reading hereinafter set out;
  2. Calls on the Commission to refer the matter to Parliament again if it replaces, substantially amends or intends to substantially amend its proposal;
  3. Instructs its President to forward its position to the Council, the Commission and the national parliaments.

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<sup>1</sup> OJ C 251, 18.7.2018, p.2.

<sup>2</sup> OJ C 0, 0.0.0000./Not yet published in the Official Journal.

## Amendment 1

### AMENDMENTS BY THE EUROPEAN PARLIAMENT\*

to the Commission proposal

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Proposal for a

DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

amending Directive 2014/65/EU on markets in financial instruments and Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 53(1) and 62 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Central Bank,<sup>1</sup>

Having regard to the opinion of the European Economic and Social Committee<sup>2</sup>,

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) Directive 2014/65/EU creates a regulatory framework for data reporting services providers and requires post-trade data reporting services to be authorised as approved publication arrangements (APAs). In addition, consolidated tape providers (CTP) are

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\* Amendments: new or amended text is highlighted in bold italics; deletions are indicated by the symbol **■**.

<sup>1</sup> OJ C , , p. .

<sup>2</sup> OJ C , , p. .

required to offer consolidated trading data covering all trades in both equity and non-equity instruments throughout the Union, in accordance with Directive 2014/65/EU. Directive 2014/65/EU also formalises transaction reporting channels to the competent authorities by requiring third parties that report on behalf of firms to be authorised as approved reporting mechanisms (ARMs).

- (2) The quality of trading data and of the processing and provision of those data, including cross-border data processing and provision, is of paramount importance for achieving the main objective of Regulation (EU) No 600/2014 of the European Parliament and of the Council, which is to strengthen the transparency of financial markets. Accurate data provide users with an overview of trading activity across Union financial markets and competent authorities with accurate and comprehensive information on relevant transactions. Given the cross-border dimension of data handling, the benefits of pooling data-related competences including potential economies of scale - and the adverse impact of potential divergences in supervisory practices on both the quality of trading data and on the tasks of data reporting service providers, it is therefore appropriate to transfer the authorisation of, and the supervision of data reporting service providers, as well as data gathering powers, from competent authorities to ESMA
- (3) To achieve a consistent transfer of such powers it is appropriate to delete respective provisions pertaining to the operational requirements for DRSPs and competences of competent authorities with respect to data reporting service providers set out in Directive 2014/65/EU, and to introduce the respective provisions in Regulation (EU) No 600/2014 of the European Parliament and of the Council<sup>1</sup>.
- (4) The transfer of the authorisation and supervision of data reporting service providers to ESMA is congruent with ESMA's tasks. More specifically, the conferral of data gathering powers, authorisation and oversight from competent authorities to ESMA is instrumental to other tasks ESMA is performing under Regulation (EU) No 600/2014, such as market monitoring, ESMA temporary intervention powers and position management powers, as well as ensuring consistent compliance with pre-trade and post-trade transparency requirements. Directive 2014/65/EU should therefore be amended accordingly,
- (5) Directive 2009/138/EC of the European Parliament and of the Council<sup>2</sup> (Solvency II) provides that in accordance with the risk-oriented approach to the Solvency Capital Requirement, it is possible, in specific circumstances, for insurance and reinsurance undertakings and groups to use internal models for the calculation of that requirement, instead of using the standard formula.
- (7) In order to ensure a high degree of convergence in the area of supervision and approval of internal models, EIOPA should be able to issue *advice* on issues relating to such internal models.

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<sup>1</sup> Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012 (OJ L 173, 12.6.2014, p. 84).

<sup>2</sup> Directive 2009/138/EC of the European Parliament and of the Council of 25 November 2009 on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) (OJ L 335, 17.12.2009, p.1).

- (8) With a view to promoting supervisory convergence, EIOPA should be able, at the request of supervisory authorities, to assist them in reaching an agreement. In specific circumstances, where supervisory authorities fail to reach an agreement on the approval of a group internal model, and before the group supervisor adopts its final decision, an undertaking should be able to request EIOPA to mediate and assist the supervisory authorities in finding an agreement..
- (9) In order to take account of the new set-up of panels under Regulation (EU) No 1094/2010, relevant provisions in Solvency II referring to panels should be amended to align them with the new procedure for binding mediation under that Regulation.
- (10) To take account of the replacement of the Committee of European Insurance and Occupational Pensions Supervisors (CEIOPS) by EIOPA, references in Solvency II to CEIOPS should be deleted.
- (11) Directive 2009/138/EC should therefore be amended accordingly,

HAVE ADOPTED THIS DIRECTIVE:

## Article 1

### Amendments to Directive 2014/65/EU

Directive 2014/65/EU is amended as follows:

- (1) Article 1 is amended as follows:
  - (a) paragraph 1 is replaced by the following:

'1. This Directive shall apply to investment firms, market operators and third-country firms providing investment services or performing investment activities through the establishment of a branch in the Union.'
  - (b) in paragraph 2, point (d) is deleted;
- (2) in Article 4, paragraph 1 is amended as follows:
  - (a) points (36) and (37) are replaced by the following:

'(36) 'management body' means the body or bodies of an investment firm or market operator which are appointed in accordance with national law, which are empowered to set the entity's strategy, objectives and overall direction, and which oversee and monitor management decision-making and include persons who effectively direct the business of the entity.

Where this Directive refers to the management body and, pursuant to national law, the managerial and supervisory functions of the management body are assigned to different bodies or different members within one body, the Member State shall identify the bodies or members of the management body responsible in accordance with its national law, unless otherwise specified by this Directive;



(37) ‘senior management’ means natural persons who exercise executive functions within an investment firm or a market operator, and who are responsible and accountable to the management body for the day-to-day management of the entity, including for the implementation of the policies concerning the distribution of services and products to clients by the firm and its personnel;’;

- (c) points (52), (53), (54) and (55)(c) are deleted;
- (3) Title V is deleted;
- (4) Article 70 is amended as follows:
- (a) in point (a) of paragraph 3, sub points (xxxvii) to (xxxx) are deleted;
  - (b) in paragraph 4, point (a) is replaced by the following:  
‘(a) Article 5 or Article 6(2) or Articles 34, 35, 39 or 44 of this Directive; or’;
  - (c) in paragraph 6, point (c) is replaced by the following:  
‘(c) in the case of an investment firm, a market operator authorised to operate an MTF or OTF, or a regulated market, withdrawal or suspension of the authorisation of the institution in accordance with Article 8, and Article 43;’;
- (5) in Article 71, paragraph 6 is replaced by the following:
- ‘6. Where a published criminal or administrative sanction relates to an investment firm, market operator, credit institution in relation to investment services and activities or ancillary services, or a branch of third-country firms authorised in accordance with this Directive, ESMA shall add a reference to the published sanction in the relevant register.’;
- (6) in Article 77, in the first subparagraph of paragraph 1, the introductory sentence is replaced by the following:
- ‘Member States shall provide, at least, that any person authorised within the meaning of Directive 2006/43/EC of the European Parliament and of the Council\*, performing in an investment firm or a regulated market the task described in Article 34 of Directive 2013/34/EU or Article 73 of Directive 2009/65/EC or any other task prescribed by law, shall have a duty to report promptly to the competent authorities any fact or decision concerning that undertaking of which that person has become aware while carrying out that task and which is liable to:
- \* Directive 2006/43/EC of the European Parliament and of the Council of 17 May 2006 on statutory audits of annual accounts and consolidated accounts, amending Council Directives 78/660/EEC and 83/349/EEC and repealing Council Directive 84/253/EEC (OJ L 157, 9.6.2006, p. 87).’;
- (7) Article 89 is amended as follows:
- (a) paragraph 2 is replaced by the following:

'2. The delegation of power referred to in Article 2(3), second subparagraph of Article 4(1)(2), Article 4(2), Article 13(1), Article 16(12), Article 23(4), Article 24(13), Article 25(8), Article 27(9), Article 28(3), Article 30(5), Article 31(4), Article 32(4), Article 33(8), Article 52(4), Article 54(4), Article 58(6), and Article 79(8) shall be conferred on the Commission for an indeterminate period of time from 2 July 2014.';

(b) paragraph 3 is replaced by the following:

'3. The delegation of powers referred to in Article 2(3), second subparagraph of Article 4(1)(2), Article 4(2), Article 13(1), Article 16(12), Article 23(4), Article 24(13), Article 25(8), Article 27(9), Article 28(3), Article 30(5), Article 31(4), Article 32(4), Article 33(8), Article 52(4) Article 54(4), Article 58(6), and Article 79(8) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.';

(c) paragraph 5 is replaced by the following:

'5. A delegated act adopted pursuant to Article 2(3), second subparagraph of Article 4(1)(2), Article 4(2), Article 13(1), Article 16(12), Article 23(4), Article 24(13), Article 25(8), Article 27(9), Article 28(3), Article 30(5), Article 31(4), Article 32(4), Article 33(8), Article 52(4), Article 54(4), Article 58(6) and Article 79(8) shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of three months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by three months at the initiative of the European Parliament or the Council.';

(8) in Article 90, paragraphs 2 and 3 are deleted;

(9) in paragraph 1 of Article 93, the second subparagraph is replaced by the following:

'Member States shall apply those measures from ~~[x]~~';

(10) in Annex I, Section D is deleted.

## Article 2

### Amendments to Directive 2009/138/EC

Directive 2009/138/EC is amended as follows:

(1) In Article 112(4), the following sub-paragraphs are added:

'Once the application is deemed complete by the supervisory authorities, they shall inform EIOPA of the application.'

Upon request by EIOPA, the supervisory authorities shall provide EIOPA with all the documentation submitted by the undertaking in its application.

EIOPA may issue an *advice* to the supervisory authorities concerned in accordance with Article 21a(1)(a) and 29(1)(a) of Regulation (EU) No 1094/2010 within 4 months of receipt by the supervisory authority of the complete application.

Where such an *advice* is issued, the supervisory authority shall take its decision as referred to in the first subparagraph *following* that *advice*, or provide reasons in writing to EIOPA and to the applicant where the decision was not taken *following* that *advice*!;

**(1a) in paragraph 4 of Article 36, the first subparagraph is replaced by the following:**

“4. The supervisory authorities shall assess the adequacy of the methods and practices of the insurance and reinsurance undertakings designed to identify possible events or future changes in economic conditions that could have adverse effects on the overall financial standing of the undertaking concerned, *taking into account sustainable business models and the integration of environmental, social and governance related factors.*”

**(1b) the following article is inserted:**

**“Article 152a  
Notification and collaboration platforms**

**1. The supervisory authority of the home Member State shall notify both the Authority and the supervisory authorities of the host Member States where it intends to carry out an authorisation related to an insurance or reinsurance undertaking which is under its supervision in accordance with the acts referred to in Article 1(2) of Regulation (EU) No 1094/2010 whose business plan entails that part of its activities will be done on the basis of the freedom to provide services or the freedom of establishment.**

*The supervisory authority of the home Member State shall also notify without delay the Authority and the supervisory authorities of the host Member States where it identifies deteriorating financing conditions or other emerging risks posed by an insurance or reinsurance undertaking in the ongoing business, in particular when the business is conducted, for a significant part of its activity, on the basis of the freedom to provide services or the freedom of establishment that may have a significant cross-border effect.*

*Those notifications to the Authority and to the supervisory authorities of the host Member States shall be sufficiently detailed to allow for a proper assessment.*

**2. In the cases mentioned in the first and second subparagraphs of paragraph 1 of this Article, the Authority may, upon a request of one or more of the relevant competent authorities or on its own initiative, set up and coordinate a collaborative platform as referred to in point (e) of paragraph 1 of Article 31 of Regulation (EU) No 1094/2010 in order to foster the exchange of information and enhance collaboration among the**

*relevant competent authorities and, where relevant, to reach a common view on the cases referred to in subparagraph 2 of paragraph 1.*

*Where the Authority ascertains, on the basis of the information referred to in point (f) of paragraph 1 of Article 31 of Regulation (EU) No 1094/2010, that a financial institution carries out its activity mainly or entirely in another Member State, it shall inform the concerned authorities and may set up, on its own initiative, coordinating with the relevant competent authorities a collaborative platform in order to facilitate the exchange of information between those authorities.*

*Without prejudice to Article 35 of Regulation (EU) No 1094/2010, the relevant competent authorities shall provide, at the request of the Authority, all the necessary information to allow a proper functioning of the collaborative platform.*

3. *In case the concerned competent authorities fail to reach a common view through the collaborative platform, the Authority may issue a recommendation to the competent authority concerned, including a deadline until which the competent authority should implement the recommended changes. Where the competent authority does not follow the recommendation by the Authority it shall state its reasons. In the event that the Authority deems that these reasons are not appropriate, it shall make public its recommendation together with the above mentioned reasons.”*

(2) Article 231 is amended as follows:

(a) paragraph 1 is amended as follows:

(i) the first sub-paragraph is replaced by the following:

'1. In the case of an application for permission to calculate the consolidated group Solvency Capital Requirement, as well as the Solvency Capital Requirement of insurance and reinsurance undertakings in the group, on the basis of an internal model, submitted by an insurance or reinsurance undertaking and its related undertakings, or jointly by the related undertakings of an insurance holding company, the supervisory authorities concerned shall cooperate with each other and with EIOPA, to decide whether or not to grant that permission and to determine the terms and conditions, if any, to which such permission is subject.';

(ii) the third sub-paragraph is replaced by the following:

'The group supervisor shall inform the other members of the college of supervisors of the receipt of the application and forward the complete application, including the documentation submitted by the undertaking, to college members, including EIOPA, without delay.';

(b) A new paragraph 2b is added as follows

'2b. Where EIOPA considers that an application as referred to in the first paragraph presents particular issues with respect to consistency in internal model application approvals across the Union, EIOPA may issue an Opinion to the supervisory authorities concerned in accordance with Article 21a(1)(a) and 29(1)(a) of Regulation (EU) No 1094/2010 within 4 months of receipt by the group supervisor of the complete application.

Where such an Opinion is issued, the supervisory authorities shall take their joint decision as referred to in the second paragraph in conformity with that Opinion, or provide reasons in writing to EIOPA and the applicant where the joint decision was not taken in conformity with that Opinion.';

(c) paragraph 3 is amended as follows:

(i) the first subparagraph is replaced by the following:

'If, within the six-month period referred to in paragraph 2, any of the supervisory authorities concerned has referred the matter to EIOPA in accordance with Article 19 of Regulation (EU) No 1094/2010 or EIOPA is assisting the supervisory authorities on its own initiative in accordance with Article 19(1)(b) of that Regulation, the group supervisor shall defer its decision until EIOPA adopts a decision in accordance with Article 19(3) of that Regulation, and shall take its decision in conformity with EIOPA's adopted decision. The group supervisor's decision shall be recognised as determinative and shall be applied by the supervisory authorities concerned.

(ii) the first sentence of the third subparagraph is replaced by the following:

'Where EIOPA does not adopt a decision as referred to in the second subparagraph in accordance with Article 19(3) of Regulation (EU) No 1094/2010, the group supervisor shall take a final decision.';

(d) In paragraph 6, the second sub-paragraph is replaced by the following:

'The group supervisor shall duly take into account any views and reservations of the other supervisory authorities concerned and of EIOPA expressed during that six-month period.';

(e) In paragraph 6, the third sub-paragraph is replaced by the following:

'The group supervisor shall provide the applicant, the other supervisory authorities concerned and EIOPA with a document setting out its fully reasoned decision.';

(f) A new paragraph 6a is added:

'6a. After the six month period referred to in paragraph 2 and before the group supervisor takes a decision as referred to in paragraph 6, the undertaking which submitted the application in accordance with paragraph 1 may request that EIOPA assist the supervisory authorities in reaching an agreement, in accordance with Article 19 of Regulation (EU) No 1094/2010.

The group supervisor shall defer its decision until EIOPA adopts a decision in accordance with Article 19(3) of Regulation (EU) No 1094/2010 and shall take its decision in conformity with EIOPA's adopted decision. The group supervisor's decision shall be recognised as determinative and shall be applied by the supervisory authorities concerned.

EIOPA shall adopt its decision within 1 month from the end of the conciliation period referred to in Article 19(2) of Regulation (EU) No 1094/2010.

Where EIOPA does not adopt a decision as referred to in the third subparagraph in accordance with Article 19(3) of Regulation (EU) 1094/2010 of that Regulation, the group supervisor shall take a final decision. The group supervisor's decision shall be recognised as determinative and shall be applied by the supervisory authorities concerned.';

(3) New Articles 231a and 231b are inserted as follows:

'Article 231a  
Supervisory approval regarding internal models

**1. Without prejudice to Article 112 of this Directive, and upon request from one or more supervisory authorities or insurance or reinsurance undertakings, EIOPA shall issue *advice* to the supervisory authorities *concerned* in accordance with Article 21a(1)(a) of Regulation (EU) No 1094/2010.**

Where EIOPA issues *advice* as referred to in the first subparagraph, the supervisory authorities concerned shall take their decision or joint decision or provide reasons in writing to EIOPA and the applicant where the decision or joint decision is not *following the advice*.

2. Where supervisory authorities carry out joint on-site inspections, in which EIOPA staff participate in accordance with Article 21 of Regulation (EU) No 1094/2010, of undertakings or groups applying a full or partial internal model pursuant to Articles 112 to 127, 230, 231 or 233, EIOPA staff shall produce a specific report on the internal model. That report shall be submitted to the EIOPA Executive Board.

## Article 231b

### Review

1. EIOPA shall, on an annual basis, report to the European Parliament, the Council and the Commission on general issues supervisory authorities have dealt with in the process of approving internal models or changes thereto pursuant to Article 112 to 127, 230, 231 and 233.

Supervisory authorities shall provide EIOPA with the information EIOPA considers relevant to produce such a report.

2. EIOPA shall submit to the Commission, by 1 January 2020 and after conducting a public consultation, an Opinion on the application of Articles 112 to 127, Article 230, Article 231 and Article 233 by supervisory authorities, including delegated acts and implementing technical standards adopted pursuant thereto. That Opinion shall also assess any divergences in internal models within the Union.

3. Based on the opinion submitted by EIOPA in accordance with paragraph 2, the Commission shall submit a report to the European Parliament and the Council on the application of Articles 112 to 127, Article 230, Article 231 and Article 233 by supervisory authorities, including delegated acts and implementing technical standards adopted pursuant thereto, by 1 January 2021.';

(4) In Article 237, paragraph 3, the first sentence of the third subparagraph is replaced by the following:

'If EIOPA does not adopt a decision as referred to in the second subparagraph under Article 19(3) of Regulation (EU) No 1094/2010, the group supervisor shall take a final decision.'; and

(5) In Article 248, the third subparagraph of paragraph 4 is deleted.

## Article 3

### Transposition

1. Member States shall adopt and publish, by [12/18 months as of entry into force], the laws, regulations and administrative provisions necessary to comply with this Directive. They shall forthwith communicate to the Commission the main provisions of national law which they adopt in the field covered by this Directive.

2. Member States shall apply the measures with respect to Article 1 from [36 months as of entry into force] and with respect to Article 2 from [the date of application of amendment to the EIOPA Regulation].

#### Article 4

#### Entry into force

This Directive shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

#### Article 5

#### Addressees

This Directive is addressed to the Member States.

Done at Brussels,

*For the European Parliament*  
*The President*

*For the Council*  
*The President*



## PROCEDURE – COMMITTEE RESPONSIBLE

<b>Title</b>	Markets in financial instruments and taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)		
<b>References</b>	COM(2017)0537 – C8-0318/2017 – 2017/0231(COD)		
<b>Date submitted to Parliament</b>	20.9.2017		
<b>Committee responsible</b> Date announced in plenary	ECON 16.11.2017		
<b>Committees asked for opinions</b> Date announced in plenary	DEVE 16.11.2017	ITRE 16.11.2017	JURI 16.11.2017
<b>Not delivering opinions</b> Date of decision	DEVE 21.11.2017	ITRE 11.10.2017	JURI 2.10.2017
<b>Rapporteurs</b> Date appointed	Pervenche Berès 5.10.2017	Othmar Karas 5.10.2017	
<b>Previous rapporteurs</b>	Burkhard Balz	Pervenche Berès	
<b>Discussed in committee</b>	24.1.2018	11.7.2018	1.10.2018
<b>Date adopted</b>	10.1.2019		
<b>Result of final vote</b>	+: -: 0:	39 1 2	
<b>Members present for the final vote</b>	Hugues Bayet, Pervenche Berès, Esther de Lange, Markus Ferber, Stefan Gehrold, Sven Giegold, Neena Gill, Roberto Gualtieri, Gunnar Hökmark, Danuta Maria Hübner, Othmar Karas, Wolf Klinz, Philippe Lamberts, Werner Langen, Bernd Lucke, Olle Ludvigsson, Alex Mayer, Bernard Monot, Caroline Nagtegaal, Stanislaw Ożóg, Ralph Packet, Dariusz Rosati, Anne Sander, Alfred Sant, Molly Scott Cato, Pedro Silva Pereira, Theodor Dumitru Stolojan, Kay Swinburne, Paul Tang, Ramon Tremosa i Balcells, Marco Valli, Tom Vandenkendelaere		
<b>Substitutes present for the final vote</b>	Nessa Childers, Ramón Jáuregui Atondo, Verónica Lope Fontagné, Thomas Mann, Ana Miranda, Luigi Morgano, Andreas Schwab, Lieve Wierinck		
<b>Substitutes under Rule 200(2) present for the final vote</b>	Elena Gentile, Julie Ward		
<b>Date tabled</b>	14.1.2019		

## FINAL VOTE BY ROLL CALL IN COMMITTEE RESPONSIBLE

<b>39</b>	<b>+</b>
ALDE	Wolf Klinz, Caroline Nagtegaal, Ramon Tremosa i Balcells, Lieve Wierinck
ECR	Bernd Lucke, Stanisław Ożóg, Ralph Packet
PPE	Markus Ferber, Stefan Gehroid, Gunnar Hökmark, Danuta Maria Hübner, Othmar Karas, Esther de Lange, Werner Langen, Verónica Lope Fontagné, Thomas Mann, Dariusz Rosati, Anne Sander, Andreas Schwab, Theodor Dumitru Stolojan, Tom Vandenkendelaere
S&D	Hugues Bayet, Pervenche Berès, Nessa Childers, Elena Gentile, Neena Gill, Roberto Gualtieri, Ramón Jáuregui Atondo, Olle Ludvigsson, Alex Mayer, Luigi Morgano, Alfred Sant, Pedro Silva Pereira, Paul Tang, Julie Ward
VERTS/ALE	Sven Giegold, Philippe Lamberts, Ana Miranda, Molly Scott Cato

<b>1</b>	<b>-</b>
EFDD	Bernard Monot

<b>2</b>	<b>0</b>
ECR	Kay Swinburne
EFDD	Marco Valli

Key to symbols:

+ : in favour

- : against

0 : abstention