



EUROPEAN PARLIAMENT

2009 - 2014

Committee on Foreign Affairs

2011/0399(COD)

19.9.2012

OPINION

of the Committee on Foreign Affairs

for the Committee on Industry, Research and Energy

on the proposal for a Regulation of the European Parliament and of the Council laying down the rules for the participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)' (COM(2011)0810 – C7-0465/2011 –2011/0399(COD))

Rapporteur: Sophocles Sophocleous

PA_Legam

AMENDMENTS

The Committee on Foreign Affairs calls on the Committee on Industry, Research and Energy, as the committee responsible, to incorporate the following amendments in its report:

Amendment 1

Proposal for a regulation

Article 4 - paragraph 1 - subparagraph 2

Text proposed by the Commission

In actions under the *activity* ‘Secure societies’ *within the specific objective ‘Inclusive, innovative and secure societies’*, the Commission may make available to Union institutions and bodies or Member States' national authorities any useful information in its possession on results of a participant that has received Union funding.

Amendment

In actions under the *specific objective* ‘Secure societies - *Protecting freedom and security of Europe and its citizens*’, the Commission may make available to Union institutions and bodies or Member States' national authorities any useful information in its possession on results of a participant that has received Union funding.

Amendment 2

Proposal for a regulation

Article 6 – paragraph 2

Text proposed by the Commission

2. The relevant work programme may restrict the participation in Horizon 2020 or parts thereof of legal entities *established in third countries where conditions for the participation of legal entities from Member States in the third country's research and innovation programmes are considered prejudicial to the Union's interests*.

Amendment

2. The relevant work programme may restrict the participation in Horizon 2020 or parts thereof of legal entities *which, by the work they do, by the objectives they pursue, or by the places in which they operate, would potentially hinder the Union in fulfilling its legal obligations under international law*.

Amendment 3

Proposal for a regulation

Article 9 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. Horizon 2020 shall exclude legal entities (including any affiliated entities) whose participation, by the objectives they pursue, their place of establishment, the nature or the location of their activities, would cause the European Union to recognize as lawful or render aid or assistance in maintaining a situation created by a serious breach of international law (including international humanitarian law) where such breach has been established by a resolution of the United Nations Security Council or by a judgment or advisory opinion of the International Court of Justice.

Amendment 4

Proposal for a regulation Article 11 – paragraph 1

Text proposed by the Commission

Amendment

1. Joint calls for proposals with third countries or their scientific and technological organisations and agencies or with international organisations may be launched to jointly fund actions. Proposals shall be evaluated and selected through joint evaluation and selection procedures to be agreed upon. Such evaluation and selection procedures shall ensure compliance with the principles set out in Title VI of Regulation (EU) XX/2012 [Financial Regulation] and involve a balanced group of independent experts appointed by each party.

1. Joint calls for proposals with third countries or their scientific and technological organisations and agencies or with international organisations may be launched to jointly fund actions ***in areas of a clear European added value***. Proposals shall be evaluated and selected through joint evaluation and selection procedures to be agreed upon. Such evaluation and selection procedures shall ensure compliance with the principles set out in Title VI of Regulation (EU) XX/2012 [Financial Regulation] and involve a balanced group of independent experts appointed by each party.

Amendment 5

Proposal for a regulation Article 19 – paragraph 4

Text proposed by the Commission

4. The award of subcontracts for carrying out certain elements of the action shall be limited to the cases provided for in the grant agreement.

Amendment

4. The award of subcontracts for carrying out certain elements of the action shall be limited to the cases provided for in the grant agreement. ***Subcontracting rules of beneficiaries should not discriminate among Member States.***

Amendment 6

Proposal for a regulation

Article 23 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. Value added tax ("VAT") where it is not recoverable under the applicable national VAT legislation shall constitute an eligible cost.

Amendment 7

Proposal for a regulation

Article 23 a (new)

Text proposed by the Commission

Amendment

Article 23a

Direct costs

Direct costs shall be determined according to the participant's usual cost accounting practices.

Amendment 8

Proposal for a regulation

Article 37 – paragraph 2 – subparagraph 2

Text proposed by the Commission

Amendment

Independent experts shall be identified and selected on the basis of calls for

Independent experts shall be identified and selected on the basis of calls for

applications from individuals and calls addressed to relevant organisations such as national research agencies, research institutions, standardisation organisations or enterprises with a view to establishing a database of candidates.

applications from individuals and calls addressed to relevant organisations such as national research agencies, research institutions, ***universities***, standardisation organisations, ***civil society organisation***, or enterprises with a view to establishing a database of candidates.

Amendment 9

Proposal for a regulation

Article 37 – paragraph 2 – subparagraph 4

Text proposed by the Commission

Appropriate measures shall be taken to seek gender ***balance*** and geographical ***diversity*** when appointing independent experts.

Amendment

Appropriate measures shall be taken to seek ***expertise as well as*** gender and geographical ***balance*** when appointing independent experts.

PROCEDURE

Title	Rules for the participation and dissemination in 'Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)'		
References	COM(2011)0810 – C7-0465/2011 – 2011/0399(COD)		
Committee responsible Date announced in plenary	ITRE 13.12.2011		
Opinion by Date announced in plenary	AFET 15.3.2012		
Rapporteur Date appointed	Sophocles Sophocleous 4.9.2012		
Previous rapporteur	Kyriakos Mavronikolas		
Discussed in committee	21.6.2012	11.7.2012	17.9.2012
Date adopted	18.9.2012		
Result of final vote	+: –: 0:	38 5 0	
Members present for the final vote	Jerzy Buzek, Tarja Cronberg, Arnaud Danjean, Michael Gahler, Marietta Giannakou, Anna Ibrisagic, Liisa Jaakonsaari, Anneli Jäätteenmäki, Ioannis Kasoulides, Tunne Kelam, Maria Eleni Koppa, Eduard Kukan, Vytautas Landsbergis, Krzysztof Lisek, Sabine Lösing, Mario Mauro, Francisco José Millán Mon, Annemie Neyts-Uyttebroeck, Norica Nicolai, Raimon Obiols, Kristiina Ojuland, Justas Vincas Paleckis, Ioan Mircea Pașcu, Alojz Peterle, Cristian Dan Preda, Fiorello Provera, György Schöpflin, Werner Schulz, Marek Siwiec, Sophocles Sophocleous, Charles Tannock, Inese Vaidere, Geoffrey Van Orden, Sir Graham Watson		
Substitute(s) present for the final vote	Christian Ehler, Diogo Feio, Kinga Gál, Emilio Menéndez del Valle, Norbert Neuser, Alf Svensson, Indrek Tarand		
Substitute(s) under Rule 187(2) present for the final vote	Martin Ehrenhauser, Judith Sargentini		