

2009 - 2014

# Committee on Budgets

2011/0405(COD)

7.6.2012

# **OPINION**

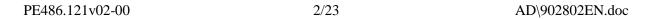
of the Committee on Budgets

for the Committee on Foreign Affairs

on the proposal for a regulation of the European Parliament and of the Council establishing a European Neighbourhood Instrument (COM(2011)0839-C7-0492/2011-2011/0405(COD))

Rapporteur: Jan Kozłowski

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### **SHORT JUSTIFICATION**

The overall aim of EU Neighbourhood policy is to extend the area of liberty, democracy, respect for human rights and fundamental freedoms to its neighbouring countries, as stipulated by Article 8 of the TEU. It is a policy that could be considered as investment in the future of the EU and covers 16 partners to the East and South of EU's borders.

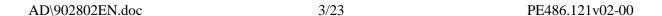
The Commission proposal for a new European Neighbourhood Instrument will benefit the EU's neighbouring countries supporting deeper political cooperation, closer economic integration with the EU and effective and sustainable transition to democracy.

## Main elements of the Commission Proposal:

# 1. Agenda for simplification

The Commission presented its proposal for a Regulation establishing a European Neighbourhood Instrument on 7 December 2011. The proposal is in line with the overall agenda for simplification for the legislative framework in the next MFF 2014 - 2020, by focusing on the following elements:

- more efficiency through differentiation of the beneficiaries and application of the "more for more" principle financial incentives are introduced for the most ambitious performers;
- more flexibility and easier access to funding for beneficiaries, especially through intensive use of delegated and implementing acts;
  - streamline and narrow down the 29 thematic objectives to 6 specific objectives;
- a single programming tool for most neighbouring countries (single Support Framework);
- more harmonisation and simplification through the introduction of the new horizontal framework regulation, ensuring coherence of the external instruments and full use of synergies, as well as more legal certainty;
- more coordination and consistency of the aid between the EU and MS, and also other international donors;
- the possibility to transfer funds from the ENI and the relevant internal Heading of the EU budget to address cross-border challenges;
- enhanced use of financial instruments to seek the most efficient use of available resources reinvesting funds generated by financial instruments, blending, etc.
- co-financing can be waived in duly justified cases, when it is necessary to support civil society and non-state actors.



# 2. Contribution towards Europe 2020 and other EU internal policies' goals

The new ENI instrument will include a stronger link with internal EU policies, notably the Europe 2020 goals, climate change, human rights and democracy.

# 3. <u>Budgetary implications</u>

The Commission proposal for overall allocation for ENI shows a 19% growth in constant 2011 prices (from EUR 13.546 mln. in the current MFF to EUR 16.097 mln. in the future MFF).

There is a provision for allocations within the framework of "Erasmus for All" programme - financing will come from the ENI instrument envelope and the other instruments benefiting from this provision. The allocations can be revised in case of major unforeseen circumstances necessitating that this money is streamlined into another direction. Your Raporteur proposes to replace this indicative amount with a percentage of the financial allocations of the participating instruments.

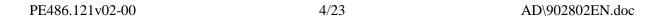
# Shortcomings of the proposal

Your rapporteur would like to focus this opinion on the following points in order to compensate for the existing shortcomings of the Commission proposal:

- o in order to ensure their financial independence and the best conditions for attainment of their objectives, the creation of any new external instruments should not influence negatively funding from existing ones;
- o full integration of the Budgetary authority in decision-making and implementation, where EU budget spending is involved;
- o more coordination with the provisions of the Financial Regulation;
- further emphasis on aid coordination in order to reduce overlaps and improve consistency with EU and Member States' measures, but also other local, regional and international donors;
- o respect the needs and differences of the Easter and Southern partners when budgetary allocations are disbursed, ensuring as well a sufficient degree of flexibility to react to unforeseen circumstances;
- o the "more for more principle" should be applied using transparent, objective and concrete indicators for performance, in order to avoid misuse of EU funding;
- o include the concept of macro-regional strategies along the other cross-border measures, as this could create further leverage and would ensure more streamlined use of Union scarce resources.

### **AMENDMENTS**

The Committee on Budgets calls on the Committee on Foreign Affairs, as the committee responsible, to incorporate the following amendments in its report:





# Draft legislative resolution Paragraph 1 a (new)

Text proposed by the Commission

### Amendment

1a. Points out that the financial envelope specified in the legislative proposal constitutes only an indication to the legislative authority and can not be fixed until agreement is reached on the proposal for a Regulation laying down the Multiannual Financial Framework for the years 2014-2020;

### Amendment 2

Draft legislative resolution Paragraph 1 b (new)

Text proposed by the Commission

### Amendment

1b. Recalls its resolution of 8 June 2011 on Investing in the future: a new Multiannual Financial Framework (MFF) for a competitive, sustainable and inclusive Europe; reiterates that, sufficient additional resources are needed in the next MFF in order to enable the Union to fulfil its existing policy priorities and the new tasks provided for in the Treaty of Lisbon, as well as to respond to unforeseen events; points out that even with an increase in the level of resources for the next MFF of at least 5% compared to the 2013 level only a limited contribution can be made to the achievement of the Union's agreed objectives and commitments and the principle of Union solidarity; challenges the Council, in case it does not share this approach, to clearly identify which of its political priorities or projects could be dropped altogether, despite their proven European added value;.

# Proposal for a regulation Recital -1 (new)

Text proposed by the Commission

### Amendment

(-1) This Regulation should lay down, for the entire duration of the instrument which it establishes, a financial envelope constituting the prime reference, within the meaning of point [..] of the Interinstitutional Agreement of XX/201Z between the European Parliament, the Council and the Commission on cooperation in budgetary matters and on sound financial management, for the budgetary authority during the annual budgetary procedure.

#### Amendment 4

Proposal for a regulation Recital -1 a (new)

Text proposed by the Commission

#### Amendment

(-1a) Improving the implementation and quality of spending should constitute a guiding principle for attainment of the objectives of the instrument hereby established, while ensuring optimal use of financial resources.

### Amendment 5

Proposal for a regulation Recital -1 b (new)

Text proposed by the Commission

#### Amendment

(-1b) It is important to ensure sound financial management of the instrument hereby established and its implementation in the most effective and user-friendly manner possible, while also ensuring

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legal certainty and the accessibility of the instrument to all participants.

### Amendment 6

Proposal for a regulation Recital 7 a (new)

Text proposed by the Commission

### Amendment

(7a) Democracy and human rights have been placed at the forefront of the Union's relations with the partner countries, and to that end new structures, such as the European Endowment for Democracy, European Instrument for Democracy and Human Rights (EIDHR) or the Instrument for Stability (IFS) are being established to support civil society while acknowledging mutual complementarity.

### Amendment 7

Proposal for a regulation Recital 19 a (new)

Text proposed by the Commission

Amendment

(19a) Support provided under the European Neighbourhood Instrument to neighbouring developing countries and partner countries should be separate from the aid provided to those countries under the financing instrument for development cooperation (DCI). There should be separated scheduling of the various financial instruments provided for under the new multiannual financial framework (MFF) 2014-2020.

### **Amendment 8**

Proposal for a regulation Recital 22 a (new)

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## Text proposed by the Commission

### Amendment

(22a) The Union should promote sustainable industry policy and provide know-how through capacity building on various levels. Environmentally responsible business should be reflected in the Union's and other donors' business related aid.

### Amendment 9

Proposal for a regulation Article 1 – paragraph 3 a (new)

Text proposed by the Commission

### Amendment

3a. In order to achieve the objectives of the European Neighbourhood Policy, a fair balance shall be ensured between the Eastern and Southern dimensions, while securing an adequate degree of flexibility with a performance driven approach centred on commitments and progress as regards reforms in partner countries taking into account historical key, financial and political situation in the European neighbourhood.

### **Amendment 10**

Proposal for a regulation Article 1 – paragraph 3 b (new)

Text proposed by the Commission

### Amendment

3b. The beneficiaries of the European Neighbourhood Instrument shall also be involved in the attainment of the goals of the Europe 2020 strategy for smart, sustainable and inclusive growth, and shall respect the principles of democracy, the rule of law, the universality and the indivisibility of human rights, environmental protection, the rights of

workers, social justice and entrepreneurship. Special attention shall also be given to measures aiming at poverty reduction and promoting social protection for the most vulnerable citizens at risk of poverty and social exclusion. Furthermore, they shall be supported with a view to developing the institutional and absorption capacity needed to use Union funding in the most appropriate ways.

### Amendment 11

Proposal for a regulation Article 2 – paragraph -1 (new)

Text proposed by the Commission

#### Amendment

-1. Support under this Regulation shall reflect the goals of the Europe 2020 strategy goals, given the need for coherence between the Union's external and internal policies.

#### Amendment 12

# Proposal for a regulation Article 2 – paragraph 1

Text proposed by the Commission

1. Support under this Regulation shall promote enhanced political cooperation and progressive economic integration between the Union and the partner countries and, in particular, the implementation of partnership and cooperation agreements, association agreements or other existing and future agreements, and jointly agreed action plans.

### Amendment

1. Support under this Regulation shall promote enhanced political cooperation and progressive economic integration between the Union and the partner countries and, in particular, the implementation of partnership and cooperation agreements, association agreements or other existing and future agreements, declarations by multilateral and parliamentary summits under the Eastern and the Southern dimensions of the European Neighbourhood Policy, and jointly agreed action plans.

# Proposal for a regulation Article 2 – paragraph 2 – point a

Text proposed by the Commission

(a) promoting human rights and fundamental freedoms, the rule of law, principles of equality, establishing deep and sustainable democracy, promoting good governance and developing a thriving civil society including social partners;

### Amendment

(a) promoting human rights and fundamental freedoms, the rule of law, principles of equality, establishing deep and sustainable democracy strengthened at regional and local level, promoting good governance, fighting against corruption, contributing to institution and capacity building with a special focus on regional and local authorities that shall be empowered for the delivery of basic services, supporting democratic decentralization and developing a thriving accountability-enhancing civil society including social partners;

### Amendment 14

# Proposal for a regulation Article 2 – paragraph 2 – point b

Text proposed by the Commission

(b) achieving progressive integration into the Union internal market and enhanced sector and cross-sectoral co-operation including through legislative approximation and regulatory convergence towards Union and other relevant international standards, related institution building and investments, notably in interconnections;

### Amendment

(b) achieving progressive integration into the Union internal market and enhanced sector and cross-sectoral co-operation including through legislative approximation and regulatory convergence towards Union and other relevant international standards, related institution building and investments *in line with sustainable industry policy*, notably in interconnections;

### **Amendment 15**

Proposal for a regulation Article 2 – paragraph 2 – point c

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## Text proposed by the Commission

# (c) creating conditions for well managed mobility of people and promotion of people-to-people contacts;

### Amendment

(c) creating conditions for well managed mobility of people and promotion of people-to-people contacts; facilitating legal migration and establishing a framework for support to asylum seekers and reintegration of refugees;

### Amendment 16

# Proposal for a regulation Article 2 – paragraph 2 – point d

Text proposed by the Commission

(d) sustainable and inclusive development in all aspects, poverty reduction, including through private-sector development; promotion of internal economic, social and territorial cohesion, rural development, climate action and disaster resilience;

#### Amendment

(d) sustainable and inclusive development in all aspects, poverty reduction *and social justice*, including through private-sector development; promotion of internal economic, social and territorial cohesion, rural development, climate action, *energy efficiency* and disaster resilience;

# **Amendment 17**

Proposal for a regulation Article 2 – paragraph 2 – point f a (new)

Text proposed by the Commission

### Amendment

(fa) encouraging the active participation of partner countries and civil society actors in the attainment of the Europe 2020 goals of smart, sustainable and inclusive growth, including the development of policies to help small and medium-sized enterprises.

### **Amendment 18**

Proposal for a regulation Article 2 – paragraph 3 – subparagraph 1 a (new)

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## Text proposed by the Commission

### Amendment

In addition to periodic reports, there shall be a comprehensive evaluation of past and ongoing programmes along with enhanced monitoring of assistance in order to obtain additional feedback for altering programme design and deciding on resource allocation.

### **Amendment 19**

Proposal for a regulation Article 2 – paragraph 4 a (new)

Text proposed by the Commission

### Amendment

4a. The European Neighbourhood Instrument shall also serve as an instrument for the establishment or enhancement of sufficient institutional and administrative capacity in order to ensure the correct utilisation of Union funds.

## Amendment 20

# Proposal for a regulation Article 3 – paragraph 1

Text proposed by the Commission

1. The partnership and cooperation agreements, the association agreements and other existing or future agreements that establish a relationship with partner countries, corresponding Communications, Council conclusions and European Parliament Resolutions as well as relevant conclusions of ministerial meetings with the partner countries shall constitute the overall policy framework for programming and implementing Union support under this Regulation.

#### Amendment

1. The partnership and cooperation agreements, the association agreements and other existing or future agreements that establish a relationship with partner countries, corresponding Communications, Council conclusions and European Parliament Resolutions as well as relevant documents such as summit declarations or conclusions of ministerial meetings with the partner countries, notably within the framework of the Eastern and Southern dimensions of the European Neighbourhood Policy, shall constitute the

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overall policy framework for programming and implementing Union support under this Regulation.

### Amendment 21

# Proposal for a regulation Article 4 – paragraph 1

Text proposed by the Commission

1. Union support provided under this Regulation to each partner country shall be differentiated in form and amounts according to the partner country's commitment to reforms and its progress in implementing these reforms. Such differentiation shall reflect the level of ambition of the country's partnership with the Union, its progress in building deep and sustainable democracy, its progress in implementing agreed reform objectives, the country's needs and capacities, and the potential impact of Union support.

### Amendment

1. Union support provided under this Regulation to each partner country shall be differentiated in form and amounts according to the partner country's commitment to reforms and its progress in implementing these reforms, as well as the needs and capacities of the partner country in question and the potential impact of Union support, which shall be measured using specific performance indicators established by the Commission. Such differentiation shall also reflect the level of ambition of the country's partnership with the Union, its progress in building deep and sustainable democracy, its progress in implementing agreed reform objectives, the country's needs and capacities, and the potential impact of Union support.

### **Amendment 22**

# Proposal for a regulation Article 4 – paragraph 1

Text proposed by the Commission

1. Union support provided under this Regulation to each partner country shall be differentiated in form and amounts according to the partner country's commitment to reforms and its progress in implementing these reforms. Such differentiation shall reflect the level of ambition of the country's partnership with

### Amendment

1. Union support provided under this Regulation to each partner country shall be differentiated in form and amounts according to the partner country's commitment to reforms and its progress in implementing these reforms. *This approach implies therefore that, without a clear commitment from* the *partner* 

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the Union, its progress in building deep and sustainable democracy, its progress in implementing agreed reform objectives, the country's needs and capacities, and the potential impact of Union support. country in a sector aimed at building deep and sustainable democracy, it shall be decided in full transparency with all EU institutions to remove any Union support in this area of reform instead of keeping on supporting a project which does not comply with the spirit of the new ENP objectives.

### **Amendment 23**

Proposal for a regulation Article 4 – paragraph 1 a (new)

Text proposed by the Commission

### Amendment

1a. The progress of reforms shall be measured using clear, transparent and objective, measurable and achievable indicators, without creating an excessive administrative burden.

### Amendment 24

# Proposal for a regulation Article 4 – paragraph 2

Text proposed by the Commission

2. Union support under this Regulation shall, in principle, be established in partnership with the beneficiaries. The partnership shall involve as appropriate, national, regional and local authorities, other stakeholders, civil society, social partners and other non-state actors in preparing, implementing and monitoring Union support.

#### Amendment

2. Union support under this Regulation shall, in principle, be established in partnership with the beneficiaries. The partnership shall involve as appropriate, national, regional and local authorities, other stakeholders, civil society, social partners and other non-state actors in preparing, implementing and monitoring Union support. In this regard, favourable conditions for participation of non-state actors in the Union's support programmes shall be established, in order to improve their involvement in these programmes. Furthermore, the Commission shall establish eligibility criteria for participation of regional and local authorities in funding programmes and

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ensure that there are no administrative obstacles for their involvement. Consultation and monitoring mechanism shall be set up so as to further involve civil society actors in the process of good governance of each partner country. Therefore civil society shall be permanently encouraged to participate in funding programmes; such participation shall be ensured, inter-alia, through simplification of funding rules. When establishing partnerships with beneficiaries, the Union shall respect concepts of decentralization and local democracy, social mobilization and building of accountable-civil society.

### **Amendment 25**

# Proposal for a regulation Article 4 – paragraph 3

Text proposed by the Commission

3. Union support under this Regulation shall in principle be co-financed by the partner countries through public funds, contributions from the beneficiaries or other sources. The same principle shall be applicable to the cooperation with the Russian Federation, particularly with regard to programmes referred to in Article 6(1) (c). Co-financing requirements may be waived in duly justified cases and when this is necessary to support the development of civil society and non-state actors, without prejudice to compliance with the other conditions set out in the Financial Regulation.

#### Amendment

3. Union support under this Regulation shall in principle be co-financed by the partner countries through public funds, contributions from the beneficiaries or other sources. The same principle shall be applicable to the cooperation with the Russian Federation, particularly with regard to programmes referred to in Article 6(1) (c). Co-financing requirements may be waived in duly justified cases and when this is necessary to support the development of civil society, local and regional authorities and non-state actors. without prejudice to compliance with the other conditions set out in the Financial Regulation. Moreover, full financing shall be enabled when the Union has an interest in being the sole donor of action, enabling the engagement of local and regional actors.

# Proposal for a regulation Article 4 – paragraph 3 a (new)

Text proposed by the Commission

### Amendment

3a. The support provided by the Union support under this Regulation shall include, where appropriate funding for the external dimension of the EU's macro-regional strategies, such as the Strategy for the Baltic Sea Region, the Strategy for Danube Region and the strategy for the Adriatic-Ionian Initiative.

### Amendment 27

# Proposal for a regulation Article 5 – paragraph 2

Text proposed by the Commission

2. The Commission, the Member States and the European Investment Bank (EIB) shall ensure coherence between support provided under this Regulation and other support provided by the Union, the Member States and the European Investment Bank.

#### Amendment

2. The Commission, the Member States and the European Investment Bank (EIB) shall ensure coherence between support provided under this Regulation, as well as under other financial instruments provided for in the 2014-2020 MFF, such as the financing instrument for development cooperation (DCI), and other support provided by the Union, the Member States and the European Investment Bank.

### **Amendment 28**

# Proposal for a regulation Article 5 – paragraph 3

Text proposed by the Commission

3. The Union and the Member States shall coordinate their respective support programmes with the aim of increasing effectiveness and efficiency in the delivery

# Amendment

3. The Union and the Member States shall coordinate their respective support programmes with the aim of increasing effectiveness and efficiency in the delivery

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of support and policy dialogue in line with the established principles for strengthening operational coordination in the field of external support, and for harmonising policies and procedures. Coordination shall involve regular consultations and frequent exchanges of relevant information during the different phases of the support cycle, in particular at field level, and may lead to joint programming, delegated co-operation and/or transfer arrangements. of support and policy dialogue *and* preventing overlapping of funding in line with the established principles for strengthening operational coordination in the field of external support, and for harmonising policies and procedures. Coordination shall involve regular consultations and frequent exchanges of relevant information during the different phases of the support cycle, in particular at field level, and may lead to joint programming, delegated co-operation and/or transfer arrangements. The coordination shall also involve financing under different internal and external instruments.

### **Amendment 29**

# Proposal for a regulation Article 5 – paragraph 4

Text proposed by the Commission

4. The Union shall, in liaison with the Member States, take the necessary steps to ensure proper coordination and cooperation with multilateral and regional organisations and entities, including European financial institutions, international financial institutions, United Nations agencies, funds and programmes, private and political foundations and non-European Union donors.

### Amendment

4. The Union shall, in liaison with the Member States, take the necessary steps to ensure proper coordination and cooperation with multilateral and regional organisations and entities, including European financial institutions, international financial institutions, United Nations agencies, funds and programmes, private and political foundations and non-European Union donors. A comprehensive framework for improved interaction between ENI and other instruments shall be established.

## **Amendment 30**

Proposal for a regulation Article 5 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

4a. Coherent implementation of neighbourhood policies depends on highly

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competent EU Delegation staff for whom additional educational measures and knowledge sharing in the form of followups, monitoring, auditing and evaluation shall be ensured. Moreover, there is a need for education and training of local, regional and national beneficiaries where instruments of Twinning and Taiex shall be used.

#### Amendment 31

Proposal for a regulation Article 6 – paragraph 1 – point c a (new)

Text proposed by the Commission

### Amendment

(ca) inter-regional cooperation programmes addressing cooperation between regional entities of the Union's Member states and corresponding regional entities of the partner countries.

### **Amendment 32**

# Proposal for a regulation Article 7 – paragraph 3

Text proposed by the Commission

3. For multi-country programmes, a comprehensive programming document including a Strategy and a Multi-annual indicative programme shall be adopted in accordance with the examination procedure referred to in Article 15(3) of the Common Implementing Regulation. It shall define the priorities for Union support towards the region or the sub-region and the indicative level of funding broken down by priority. It shall have an appropriate multi-annual duration.

#### Amendment

3. For multi-country programmes, a comprehensive programming document including a Strategy and a Multi-annual indicative programme shall be adopted in accordance with the examination procedure referred to in Article 15(3) of the Common Implementing Regulation. It shall define the priorities for Union support towards the region or the sub-region, based where applicable on the priorities included in the multilateral documents referred to in Article 3(2), and the indicative level of funding broken down by priority. It shall have an appropriate multi-annual duration.

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# Proposal for a regulation Article 7 – paragraph 5

Text proposed by the Commission

5. Financial allocations for country and multi-country programmes shall be determined using transparent and objective criteria reflecting the differentiation principle referred to in Article 4(1).

#### Amendment

5. Financial allocations for country and multi-country programmes shall be determined using transparent and objective criteria, *clearly established and assessed*, *and* reflecting the differentiation principle referred to in Article 4(1).

# **Amendment 34**

Proposal for a regulation Article 7 – paragraph 6 a (new)

Text proposed by the Commission

### Amendment

6a. In order to maximise EU added value, duplication of efforts and resources shall be avoided and the role of financial bodies such as the European Investment Bank and the European Bank for Reconstruction and Development shall be enhanced.

### **Amendment 35**

# Proposal for a regulation Article 7 – paragraph 7

Text proposed by the Commission

7. Member States and other donors that have committed to jointly programme their support with the EU shall be involved in the programming process. The programming documents may also cover their contribution as appropriate.

### **Amendment**

7. Member States and other donors that have committed to jointly programme their support with the EU shall be involved in the programming process. The programming documents may also cover their contribution as appropriate. The European Parliament and the Council shall be duly informed at all stages of the programming process, especially when Union budgetary spending is involved.

# Proposal for a regulation Article 7 – paragraph 9

Text proposed by the Commission

9. In the event of crises or threats to democracy, the rule of law, human rights and fundamental freedoms, or of natural or man-made disasters, an ad hoc review of the programming documents may be conducted. Such emergency review shall ensure that coherence between Union support provided under this Regulation and support provided under other Union financial instruments is maintained. An emergency review may lead to the adoption of revised programming documents. Where this is the case, the Commission shall send the revised programming documents to the European Parliament and to the Council for information within one month of their adoption.

### Amendment

9. In the event of crises or threats to democracy, the rule of law, human rights and fundamental freedoms, or of natural or man-made disasters, an ad hoc review of the programming documents may be conducted. Such emergency review shall ensure that coherence between Union support provided under this Regulation and support provided under other Union financial instruments is maintained. An emergency review may lead to the adoption of revised programming documents facilitating support to civil society organisations, including through the European Endowment for Democracy. Where this is the case, the Commission shall send the revised programming documents to the European Parliament and to the Council for information within one month of their adoption.

### Amendment 37

# Proposal for a regulation Article 16 – paragraph 1

Text proposed by the Commission

1. In duly justified circumstances and to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation, *the Commission may decide* to extend the eligibility of actions to countries, territories and regions which otherwise would not be eligible for financing. Notwithstanding the provisions of Article 8(1) of the Common Implementing Regulation, natural and legal

# Amendment

1. In duly justified circumstances and to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation, it may be decided to extend the eligibility of actions to countries, territories and regions which otherwise would not be eligible for financing. The decision shall be taken by the Commission through a delegated act in accordance with Article 14.

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persons from countries, territories and regions concerned may participate in the procedures implementing such actions. Notwithstanding the provisions of Article 8(1) of the Common Implementing Regulation, natural and legal persons from countries, territories and regions concerned may participate in the procedures implementing such actions.

# **Amendment 38**

# Proposal for a regulation Article 17

Text proposed by the Commission

Without prejudice to the provisions on the suspension of aid in partnership and cooperation agreements and association agreements with partner countries and regions, where a partner country fails to observe the principles of democracy, the rule of law and respect for human rights and fundamental freedoms, the Union shall invite the country concerned to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultation with the country concerned does not lead to a solution acceptable to both parties, or if consultations are refused or in case of special urgency, the Council may take appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union, which may include full or partial suspension of Union support.

#### Amendment

Without prejudice to the provisions on the suspension of aid in partnership and cooperation agreements and association agreements with partner countries and regions, where a partner country fails to observe the principles of democracy, the rule of law and respect for human rights and fundamental freedoms, the Union shall invite the country concerned to hold consultations in view of finding a solution acceptable to both parties, except in cases of special urgency. Where consultation with the country concerned does not lead to a solution acceptable to both parties, or if consultations are refused or in case of special urgency, the Council may take appropriate measures in accordance with Article 215(1) of the Treaty on the Functioning of the European Union, which may include full or partial suspension of Union support. The European Parliament shall be fully and immediately informed of any decision taken in this regard.

### **Amendment 39**

Proposal for a regulation Article 18 – paragraph 3 – subparagraph 1

Text proposed by the Commission

3. As referred to in Article 13, paragraph 2

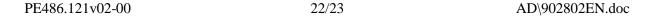
Amendment

3. As referred to in Article 13, paragraph 2

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of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount of EUR 1 812 100 000 from the different external instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.

of the "Erasmus for All" Regulation, in order to promote the international dimension of higher education, an indicative amount corresponding to 2 % of the financial allocations available for the participating instruments (Development Cooperation Instrument, European Neighbourhood Instrument, Instrument for Pre-accession Assistance, Partnership Instrument and the European Development Fund), will be allocated to actions of learning mobility to or from non EU countries and to cooperation and policy dialogue with authorities/institutions/organisations from these countries. The provisions of the "Erasmus for All" Regulation will apply to the use of those funds.



# **PROCEDURE**

Title	Establishing a European Neighbourhood Instrument
References	COM(2011)0839 - C7-0492/2011 - 2011/0405(COD)
Committee responsible Date announced in plenary	AFET 17.1.2012
Opinion by Date announced in plenary	BUDG 17.1.2012
Rapporteur Date appointed	Jan Kozłowski 29.2.2012
Date adopted	31.5.2012
Result of final vote	+: 30 -: 6 0: 2
Members present for the final vote	Marta Andreasen, Richard Ashworth, Francesca Balzani, Zuzana Brzobohatá, Jean-Luc Dehaene, James Elles, Göran Färm, José Manuel Fernandes, Eider Gardiazábal Rubial, Salvador Garriga Polledo, Jens Geier, Ivars Godmanis, Lucas Hartong, Jutta Haug, Sidonia Elżbieta Jędrzejewska, Anne E. Jensen, Ivailo Kalfin, Sergej Kozlík, Jan Kozłowski, Alain Lamassoure, Claudio Morganti, Juan Andrés Naranjo Escobar, Nadezhda Neynsky, Dominique Riquet, Alda Sousa, László Surján, Jacek Włosowicz
Substitute(s) present for the final vote	François Alfonsi, Alexander Alvaro, Frédéric Daerden, Charles Goerens, Edit Herczog, Jürgen Klute, María Muñiz De Urquiza, Jan Olbrycht, Paul Rübig, Peter Šťastný, Gianluca Susta