



**2019/0151(COD)**

9.6.2020

# **OPINION**

of the Committee on Culture and Education

for the Committee on Industry, Research and Energy

on the proposal for a regulation of the European Parliament and of the Council  
on the European Institute of Innovation and Technology (recast)  
(COM(2019)0331 – C9-0042/2019 – 2019/0151(COD))

Rapporteur for opinion: Christian Ehler

(\*) Associated committee – Rule 57 of the Rules of Procedure

PA\_Legam

## SHORT JUSTIFICATION

Established in 2008, the European Institute of Innovation and Technology (EIT) aims to boost sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. In particular, it reinforces the Union's innovation capacity and addresses societal challenges through the integration of the knowledge triangle of higher education, research and innovation.

The EIT operates through its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations, and provides them with grants, whilst monitoring their activities, supporting cross-KIC collaboration and disseminating results and good practices.

The 2008 Regulation set out the EIT's mission and tasks and the framework for its functioning. It was amended in 2013 in order, *inter alia*, to be aligned with Horizon 2020.

On 11 July 2019, the Commission published its new EIT proposals, consisting of a recast proposal on an updated EIT Regulation and a proposal for a decision on new Strategic Innovation Agenda (SIA) for the EIT the period 2021-2027.

Recasting the EIT Regulation consists of adopting of a new Regulation which incorporates in a single text both the substantive amendments which it makes to the existing Regulation and the unchanged provisions of that Regulation. The Commission considered the update to the Regulation necessary as provisions in the existing EIT Regulation made direct reference to Horizon 2020 which ends at the end of the year. The recast proposal aims therefore to ensure the alignment of the EIT Regulation with Horizon Europe, by notably removing references to Horizon 2020 and implementing decisions which will be taken as part of Horizon Europe.

The proposed EIT Regulation focuses on the key principles of the functioning of the EIT and its KICs. It aims to ensure greater legal clarity vis-à-vis Horizon Europe as well as to enshrine the financial sustainability principle for the KICs. For the period 2021-2027, the EIT is integral part of Horizon Europe. The European Commission sets out the draft HEU budget of €3bn for the next MFF as well as its rationale, added value, areas of intervention and broad lines of activity.

The Rapporteur considers that this legislative package reinforces the EIT's mission whilst aligning its objectives with Horizon Europe by:

- Increasing the regional impact of KICs through improved openness to potential partners and stakeholders, and better articulated KIC regional strategies; including links to the relevant Smart Specialisation Strategies,
- Boosting the innovation capacity of higher education to integrate a wider number of higher education institutions into innovation value chains and ecosystems,
- Launching new KICs in priority fields to be selected according to their relevance to the

policy priorities of Horizon Europe. The first new KIC is planned for launch in 2022,

- and would be in the field of Cultural and Creative Sectors (CCS), in line with the Cluster ‘Inclusive and Creative Societies’ of Horizon Europe as adopted in the partial agreement reached in April 2019.

Overall, the Rapporteur welcomes the effort that the Commission has put into this proposal. Nevertheless, the Rapporteur considers it to be lacking in crucial detail and in legal clarity, and that a recast may not have been the best avenue to follow. In that regard, the Rapporteur suggests a series of amendments, focusing particularly on providing greater legal clarity and certainty to the Regulation.

The main points of the draft opinion are:

- Securing the funding of the EIT with a budget of 4% of the overall budget of Horizon Europe, as adopted by the European Parliament,
- Ensuring the establishment of a Cultural and Creative Sectors (CCS) KIC as a main priority of the EIT for the period 2021-2027 by ensuring in particular sufficient funding for its establishment without jeopardising the financial sustainability of the existing KIC. The Rapporteur would like to stress in particular that all the efforts and resources should be dedicated to the set up of the CCSKIC, before considering the launch of a second new KIC after 2025,
- Clarifying the overall proposal in particular as regards the general and specific objectives and synergies with other programmes.

## AMENDMENTS

The Committee on Culture and Education calls on the Committee on Industry, Research and Energy, as the committee responsible, to take into account the following amendments:

### Amendment 1

#### Proposal for a regulation

#### Recital 5

*Text proposed by the Commission*

(5) The strategic priority fields and financial needs for the EIT for a period of seven years, covering the respective Multiannual Financial Framework (‘MFF’), should be laid down in a Strategic Innovation Agenda (‘SIA’). The SIA should ensure alignment with *the Union*

*Amendment*

(5) The strategic priority fields and financial needs for the EIT for a period of seven years, covering the respective Multiannual Financial Framework (‘MFF’), should be laid down in a Strategic Innovation Agenda (‘SIA’). The SIA should ensure alignment with *Horizon*

***framework programme supporting research and innovation*** and foster synergies with other relevant Union programmes of the MFF as well as with other Union initiatives, policies and instruments, in particular with those supporting education and regional development. Given the importance of the SIA for the Union innovation policy and the resulting political significance of its socio-economic impact for the Union, the SIA should be adopted by the European Parliament and the Council on the basis of a Commission proposal based on a contribution provided by the EIT.

***Europe - the Framework Programme for Research and Innovation ('Horizon Europe')*** and foster synergies with other relevant Union programmes of the MFF as well as with other Union initiatives, policies and instruments, in particular with those supporting education and regional development. Given the importance of the SIA for the Union innovation policy and the resulting political significance of its socio-economic impact for the Union, the SIA should be adopted by the European Parliament and the Council on the basis of a Commission proposal based on a contribution provided by the EIT.

*(This amendment applies throughout the text. Adopting it will necessitate corresponding changes throughout.)*

## **Amendment 2**

### **Proposal for a regulation Recital 11 a (new)**

*Text proposed by the Commission*

*Amendment*

***(11a) In order to contribute to addressing new and emerging global challenges, the EIT should launch new KICs in priority fields selected based on criteria assessing, among other aspects, their relevance to Horizon Europe policy priorities, and their added value and potential to be addressed through the EIT model. The launch of new KICs should take into account the Strategic Planning of Horizon Europe and the budget allocated to the EIT for the respective MFF.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## **Amendment 3**

**Proposal for a regulation**  
**Recital 11 b (new)**

*Text proposed by the Commission*

*Amendment*

***(11b) The EIT should foster multidisciplinary and cross-sectoral cooperation as one of the key domains where innovation takes place. It should strive to foster cooperation between the economic, technological, societal and scientific spheres, including the arts and humanities. The EIT should contribute to the emergence of science, technology, engineering, art, and mathematics (STEAM) communities by strengthening the links between the KICs.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 4**

**Proposal for a regulation**  
**Recital 11 c (new)**

*Text proposed by the Commission*

*Amendment*

***(11c) Cultural and Creative Sectors ('CCS') are a sector with a high growth potential, many grass-roots initiatives and strong citizen appeal. They are strongly embedded in their local and regional ecosystems. However, CCS are still a very fragmented sector and the innovators and business creators lack the needed entrepreneurial and innovation skills. A KIC should thus help address such challenges, thanks to its approach of fostering the integration of the knowledge triangle, long-term perspective and place-based approach and with its holistic and integrated approach. CCS also have the strongest complementarity with the eight existing KICs, as well as with the potential priority areas for other European***

***Partnerships to be launched in the framework of Horizon Europe. A first KIC on CCS should therefore be launched in 2022. By covering nearly all sectors of Union citizens' lives, society and economy, such a KIC will be highly relevant in terms of economic and societal impact, unlocking strategic opportunities for economic, technological and social innovation.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 5**

**Proposal for a regulation  
Recital 11 d (new)**

*Text proposed by the Commission*

*Amendment*

***(11d) An EIT KIC on CCS should empower networking opportunities, collaboration, co-creation and know-how transfer between education, research and business, within the cultural and creative sectors and with other sectors of the society and the economy. It should catalyse bottom-up and top-down initiatives at regional, national and Union levels. It should also develop the necessary framework conditions for the creation and scale-up of new ventures in innovative ecosystems. It should provide researchers and students in many disciplines (including arts, humanities, business, social sciences and applied hard sciences) and entrepreneurs of the CCS and other sectors with the knowledge and skills necessary to deliver innovative solutions and to turn them into new business opportunities.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 6

### Proposal for a regulation

#### Article 2 – paragraph 1 – point 1

*Text proposed by the Commission*

(1) ‘innovation’ means the process, including its outcome, by which new ideas respond to societal or economic needs and demand and generate new products, services or business and organisational models that are successfully introduced into an existing market or that are able to create new markets and that **provide** value to society;

*Amendment*

(1) ‘innovation’ means the process, including its outcome, by which new ideas respond to societal or economic needs and demand and generate new products, services, **processes, experiences** or business and organisational models that are successfully introduced into an existing market or that are able to create new markets and that **deliver** value to **industries and** society;

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

## Amendment 7

### Proposal for a regulation

#### Article 2 – paragraph 1 – point 2 a (new)

*Text proposed by the Commission*

*Amendment*

**(2a) ‘co-location centre’ means a geographical area where the main knowledge triangle partners of KICs are based and can easily interact, providing the focal point for the KICs’ activity in that area;**

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

## Amendment 8

### Proposal for a regulation

#### Article 2 – paragraph 1 – point 3



*Text proposed by the Commission*

*Amendment*

(3) ‘partner organisation’ means any legal entity which is a member of a KIC and may include, in particular, higher education institutions, vocational education and training providers, research organisations, public *or* private companies, financial institutions, regional and local authorities, foundations and non-profit organisations;

(3) ‘partner organisation’ means any legal entity which is a member of a KIC and may include, in particular, higher education institutions, vocational education and training providers, research organisations, public ***institutions, public and private companies, social enterprises,*** financial institutions, regional and local authorities, foundations and non-profit organisations ***from across the Union;***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 9**

**Proposal for a regulation**

**Article 2 – paragraph 1 – point 7**

*Text proposed by the Commission*

*Amendment*

(7) ‘Strategic Innovation Agenda’ (‘SIA’) means ***a policy document outlining the priority fields and*** the long-term strategy of the EIT ***for future initiatives,*** its capacity to generate the best innovation added-value, ***including an overview of planned higher education, research and innovation activities*** over a period of seven years , covering the respective MFF ;

(7) ‘Strategic Innovation Agenda’ (‘SIA’) means ***an act setting out*** the long-term strategy ***and priorities*** of the EIT ***and defining its objectives, key actions,*** its capacity to generate the best innovation added-value, ***expected results and resources needed*** over a period of seven years, covering the respective MFF;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 10**

**Proposal for a regulation**

**Article 2 – paragraph 1 – point 8**

*Text proposed by the Commission*

*Amendment*

(8) ‘Regional Innovation Scheme’

(8) ‘Regional Innovation Scheme’

(‘RIS’) means *an outreach* scheme *targeted at partnerships between higher education institutions, research organisations, companies and other stakeholders, in order to foster* innovation across the Union;

(‘RIS’) means *a* scheme *fostering the integration of the knowledge triangle and the innovation capacity of moderate and modest innovator countries, in particular by attracting and integrating new partners into the KICs*;

#### *Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text, in particular for being more precise on the definition of RIS.*

### **Amendment 11**

#### **Proposal for a regulation**

#### **Article 2 – paragraph 1 – point 10**

##### *Text proposed by the Commission*

(10) ‘KIC business plan’ means a document describing the objectives and the planned KIC added-value activities;

##### *Amendment*

(10) ‘KIC business plan’ means a document, *annexed to the grant agreement, that describes* the objectives, *the ways to achieve them and the expected results of the KIC for the relevant period* and the planned KIC added-value activities, *and the related financial needs and resources*;

### **Amendment 12**

#### **Proposal for a regulation**

#### **Article 3 – paragraph 1**

##### *Text proposed by the Commission*

The EIT’s mission is to contribute to sustainable Union economic growth and competitiveness by reinforcing the innovation capacity of *the* Member States and the Union *in order to address major challenges faced by the society*. It shall do this by promoting synergies and cooperation among, *and* integrating, higher education, research and innovation of the highest standards, including by fostering entrepreneurship.

##### *Amendment*

The EIT’s mission is to contribute to *addressing major challenges faced by society and to boost* sustainable Union economic growth and competitiveness by reinforcing the *scientific, economic, technological and societal* innovation capacity of *all* Member States and the Union. It shall do this by promoting synergies and cooperation among *partners and across sectors, and by* integrating higher education, research and innovation

of the highest standards, including by fostering entrepreneurship.

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 13**

**Proposal for a regulation  
Article 3 – paragraph 2**

*Text proposed by the Commission*

The EIT shall contribute to deliver on the general and specific objectives of the ***Union framework programme supporting research and innovation.***

*Amendment*

***For the period 2021-2027, the EIT shall in particular contribute to deliver on the general and specific objectives of Horizon Europe, taking fully into account its strategic planning.***

**Amendment 14**

**Proposal for a regulation  
Article 3 a (new)**

*Text proposed by the Commission*

*Amendment*

***Article 3a***

***General objectives***

***The general objectives of the EIT shall be:***

- (a) to strengthen sustainable challenges-based innovation ecosystems throughout the Union that help to tackle global challenges;***
- (b) to foster the development of entrepreneurial and innovation skills in a lifelong learning perspective, including increasing capacities of Union higher education institutions across the Union, and to support their entrepreneurial transformation; and***
- (c) to bring new solutions to global and societal challenges to the market.***

***The EIT shall develop synergies with other Union programmes and shall bring added value within Horizon Europe, as outlined in Annex Ia. The implementation shall take place through support to KICs and EIT-coordinated activities.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 15**

**Proposal for a regulation**

**Article 3 b (new)**

*Text proposed by the Commission*

*Amendment*

***Article 3b***

***Specific objectives***

***The specific objectives of the EIT shall be:***

***(a) to increase the impact of KICs and knowledge triangle integration;***

***(b) to increase the innovation capacity of the higher education sector by promoting higher education institutions ('HEIs');***

***(c) to increase the regional outreach of the EIT in order to address disparities in innovation capacity across the Union.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 16**

**Proposal for a regulation**

**Article 4 – paragraph 1**

*Text proposed by the Commission*

*Amendment*

1. The SIA shall define the ***priority***

1. The SIA shall define the strategy

**fields and** strategy for the EIT for the seven-year period concerned, **taking into account the Union framework programme supporting research and innovation, and** shall include an assessment of its socioeconomic impact and its capacity to generate the best innovation added-value. The SIA shall take into account the results of the monitoring and evaluation of the EIT as referred to in Article 19.

**and the priorities** for the EIT for the seven-year period concerned, **in line with the objectives and the priorities of Horizon Europe. It** shall include an assessment of its socioeconomic impact and its capacity to generate the best innovation added-value **across the Union.** The SIA shall **be aligned with the objectives, the strategic multiannual planning, reporting, monitoring and evaluation and other requirements of Horizon Europe and shall** take into account the results of the monitoring and evaluation of the EIT as referred to in Article 19.

#### *Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

### **Amendment 17**

#### **Proposal for a regulation Article 4 – paragraph 2**

##### *Text proposed by the Commission*

2. The SIA shall **be aligned** with the **objectives of the Union framework programme supporting research and innovation, the strategic multiannual planning, reporting, monitoring and evaluation and other requirements of that programme, and foster synergies with other relevant Union programmes of the respective MFF, in particular with those supporting education and regional development.** It shall **also** establish appropriate synergies and complementarities between the EIT activities and other Union initiatives, policies and instruments.

##### *Amendment*

2. The SIA shall **ensure coherence** with the **challenges of Horizon Europe, as well as complementarity with the European Innovation Council ('EIC') established under Horizon Europe.** It shall **foster and** establish appropriate synergies and complementarities between the EIT activities and other Union initiatives, policies and instruments, **as well as national and regional programmes supporting research and innovation, education and skills development, sustainable and competitive industry, entrepreneurship, and regional development.**

#### *Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 18

### Proposal for a regulation Article 4 – paragraph 3

*Text proposed by the Commission*

3. The SIA shall include an estimate of financial needs and sources in view of the future operation, long-term development and funding of the EIT. It shall also contain an indicative financial plan covering the period of the respective MFF.

*Amendment*

3. The SIA shall include an estimate of *the* financial needs *arising from the implementation of the set strategy* and sources in view of the future operation, long-term development and funding of the EIT. It shall also contain an indicative financial plan covering the period of the respective MFF.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

## Amendment 19

### Proposal for a regulation Article 6 – paragraph 1 – point b

*Text proposed by the Commission*

(b) raise awareness among potential partner organisations and encourage their participation in its activities;

*Amendment*

(b) raise awareness among potential partner organisations and encourage their participation in its activities, *especially from Member States that are vastly underrepresented in EIT*;

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

## Amendment 20

### Proposal for a regulation Article 6 – paragraph 1 – point c

*Text proposed by the Commission*

(c) *select and designate* KICs in the priority fields in accordance with Article 9 and define their rights and obligations by framework partnership agreements and grant agreements, provide them with appropriate support, apply appropriate quality control measures and continuously monitor and periodically evaluate their activities;

*Amendment*

(c) *launch new KICs, taking into account the Strategic Planning of Horizon Europe and the budget allocated to the EIT for the period of the respective MFF*, in the priority fields *selected based on criteria assessing, inter alia, their relevance to Horizon Europe policy priorities, and their added value and potential, in order to tackle future and emerging global and societal challenges*, in accordance with Article 9, and define their rights and obligations by framework partnership agreements and grant agreements, provide them with appropriate support, apply appropriate quality control measures, and continuously monitor and periodically evaluate their activities;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 21**

**Proposal for a regulation**  
**Article 6 – paragraph 1 – point e**

*Text proposed by the Commission*

(e) strengthen the recognition outside the EIT Community of degrees and diplomas which are awarded by participating higher education institutions and *which may be labelled EIT degrees and diplomas and* extend them to lifelong learning programmes ;

*Amendment*

(e) strengthen the recognition *and the promotion* outside the EIT Community of *EIT-labelled* degrees and diplomas which are awarded by participating higher education institutions and extend them to lifelong learning programmes;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 22

### Proposal for a regulation

#### Article 6 – paragraph 1 – point f

*Text proposed by the Commission*

(f) promote the dissemination of best practices for the integration of the knowledge triangle, including among KICs, in order to develop a common innovation and knowledge transfer culture, and encourage participation in outreach activities, ***including in the RIS***;

*Amendment*

(f) promote the dissemination of best practices for the integration of the knowledge triangle, including among KICs ***and across the Union inter alia through the EIT RIS***, in order to develop a common innovation and knowledge transfer culture, and encourage participation in outreach activities;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 23

### Proposal for a regulation

#### Article 6 – paragraph 1 – point i

*Text proposed by the Commission*

(i) ensure complementarity and synergy between EIT activities and other Union programmes, ***where appropriate***;

*Amendment*

(i) ensure complementarity and synergy between EIT activities and other Union programmes;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 24

### Proposal for a regulation

#### Article 7 – paragraph 1 – introductory part

*Text proposed by the Commission*

1. ***KICs shall in particular undertake:***

*Amendment*

1. ***The EIT shall consolidate existing KICs, foster their growth and impact, and accompany their transition to financial sustainability at the end of framework***



**partnership agreements. The EIT shall provide support to KICs that are running portfolios of knowledge triangle activities through:**

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 25**

**Proposal for a regulation  
Article 7 – paragraph 1 – point a**

*Text proposed by the Commission*

(a) innovation activities and investments with European added value , including **the** support **to** the creation **and** development of innovative businesses, fully integrating the higher education and research dimensions to attain a critical mass and stimulating the dissemination and exploitation of results;

*Amendment*

(a) innovation activities and investments with European added value, including **facilitating** support **for** the creation **of innovative start-ups and social ventures, and the** development of innovative businesses **and other organisational forms, in complementarity with the EIC and the InvestEU, Erasmus+ and Creative Europe programmes**, fully integrating the higher education and research dimensions to attain a critical mass and stimulating the dissemination and exploitation of results;

*Justification*

*This amendment is necessary for pressing reasons related to the internal logic of the text.*

**Amendment 26**

**Proposal for a regulation  
Article 7 – paragraph 1 – point b**

*Text proposed by the Commission*

(b) innovation-driven experimentation, prototyping and demonstration in areas of key economic **and** societal interest and drawing on the results of Union and national research, with the potential to

*Amendment*

(b) innovation-driven experimentation, prototyping and demonstration in areas of key economic, societal **and cultural** interest and drawing on the results of Union and national research, with the

strengthen Union's competitiveness at international level and find solutions for the major challenges faced by European society;

potential to strengthen Union's competitiveness at international level and find solutions for the major challenges faced by European society;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 27**

**Proposal for a regulation**

**Article 7 – paragraph 1 – point c**

*Text proposed by the Commission*

(c) education and training activities in particular at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future European socio-economic needs and which expand the Union's talent base, promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students, and foster knowledge-sharing, mentoring and networking among the recipients of **EIT-labelled degrees** and training;

*Amendment*

(c) education and training activities **with strong entrepreneurship components** in particular at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future European socio-economic needs and which expand the Union's talent base, promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students, and foster knowledge-sharing, mentoring and networking among the recipients of **EIT education** and training **activities, including EIT-labelled activities**;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 28**

**Proposal for a regulation**

**Article 7 – paragraph 1 – point d**

*Text proposed by the Commission*

(d) outreach activities and the dissemination of best practices in the

*Amendment*

(d) outreach activities and the dissemination of best practices in the **field**

innovation **sector** with a focus on the development of cooperation between higher education, research and business, including the service and financial sectors;

**of** innovation with a focus on the development of cooperation between higher education, research and business, including the service and financial sectors;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 29**

**Proposal for a regulation  
Article 7 – paragraph 1 – point d a (new)**

*Text proposed by the Commission*

*Amendment*

**(da) support actions bringing together in projects HEIs and other key innovation players such as businesses to work on strategic capacity development areas, in order to support HEIs to integrate better in innovation value chains and ecosystems;**

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 30**

**Proposal for a regulation  
Article 7 – paragraph 1 – point e**

*Text proposed by the Commission*

*Amendment*

(e) **to seek** synergies and complementarities between KIC activities and existing European, national and regional programmes, **where appropriate**.

(e) synergies and complementarities between KIC activities and existing European, national and regional programmes.

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 31

### Proposal for a regulation

#### Article 7 – paragraph 2 – point b

*Text proposed by the Commission*

(b) ensure their openness to new members whenever they add value to the partnership;

*Amendment*

(b) ensure their openness to new members ***from across the Union*** whenever they add value to the partnership ***so as to ensure geographical balance***;

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

## Amendment 32

### Proposal for a regulation

#### Article 7 – paragraph 2 – point e

*Text proposed by the Commission*

(e) establish and implement strategies ***for*** financial sustainability.

*Amendment*

(e) establish and implement strategies ***to meet performance targets as well as to achieve*** financial sustainability.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

## Amendment 33

### Proposal for a regulation

#### Article 9 – paragraph 1

*Text proposed by the Commission*

1. A partnership shall be selected and designated by the EIT to become a KIC following a competitive, open and transparent procedure. The criteria of the Union framework programme supporting research and innovation for the selection of European partnerships shall apply. The EIT Governing Board may further specify those

*Amendment*

1. A partnership shall be selected and designated by the EIT to become a KIC following a competitive, open and transparent procedure, ***respecting geographical balance***. The criteria of the Union framework programme supporting research and innovation for the selection of European partnerships shall apply. The EIT

criteria, by adopting and publishing criteria for the selection of KICs based on the principles of excellence and innovation relevance..

Governing Board may further specify those criteria, by adopting and publishing criteria for the selection of KICs based on the principles of excellence and innovation relevance.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 34**

**Proposal for a regulation  
Article 9 a (new)**

*Text proposed by the Commission*

*Amendment*

**Article 9a**

***Launch of a new KIC in the field of  
Cultural and Creative Sectors***

- 1. During the period from 2021 to 2027, a new KIC in the field of Cultural and Creative Sectors ('CCS') shall be launched, to harness the potential of culture-based creativity and help strengthen Europe's competitiveness and smart growth and address societal challenges.***
- 2. The KIC on CCS shall:***
  - (a) foster technical innovation by connecting business and research across sectors and disciplines at local, regional, national and Union levels;***
  - (b) train the next generation of innovators in the CCS by equipping them with the necessary entrepreneurial, technical and digital skills needed to thrive in a fast changing environment;***
  - (c) contribute to the development of the right framework conditions to transform ideas into new technological developments and social innovation that will improve the quality of life and benefit Union citizens;***
  - (d) provide the environment to ensure that a framework for the certification of***

*artistic, cultural and creative competences and studies is developed, as well as the concomitant recognition of the titles and other skills between Member States, fostering mobility, visibility and access to opportunities without discrimination to Union citizens exercising their right to freely move;*

*e) foster the creation and development of new ventures in the CCS by mobilising investment, necessary resources and long-term commitment from the business sector complementary with other tools;*

*f) establish synergies with the existing KICs, as well as with other European partnerships, programmes and initiatives to drive innovation beyond CCS in other sectors of the economy; and*

*(g) strengthen the Union position as a global actor in CCS by harnessing creativity and cultural diversity of the Union's citizens and by fostering visibility and promotion of the European culture and innovation globally.*

#### *Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

### **Amendment 35**

#### **Proposal for a regulation Article 10 – paragraph 1**

##### *Text proposed by the Commission*

The EIT shall, on the basis of indicators set out, inter alia, in the Union framework programme supporting research and innovation and in the SIA, and in close cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the output, results and impact of each KIC. The results of such monitoring and evaluations shall be

##### *Amendment*

The EIT shall, on the basis of indicators set out, inter alia, in the Union framework programme supporting research and innovation and in the SIA, and in close cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the output, **performance**, results and impact of each KIC. The results of such monitoring and

reported to the European Parliament and to the Council and shall be made public.

evaluations shall be reported to the European Parliament and to the Council and shall be made public.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 36**

**Proposal for a regulation  
Article 16 – paragraph 1**

*Text proposed by the Commission*

1. The EIT and the KICs shall ensure that their activities are conducted with a high level of transparency. In particular, the EIT and the KICs shall set up an accessible, free website providing information about their activities .

*Amendment*

1. The EIT and the KICs shall ensure that their activities are conducted with a high level of transparency. In particular, the EIT and the KICs shall set up an **easily** accessible, free website providing **comprehensive and up-to-date** information about their activities.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 37**

**Proposal for a regulation  
Article 18 – paragraph 1 – point c**

*Text proposed by the Commission*

(c) appropriate indicators for monitoring the KICs and EIT activities using an impact-oriented approach;

*Amendment*

(c) appropriate indicators for monitoring **and evaluating** the KICs and EIT activities using an impact-oriented approach;

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 38**

**Proposal for a regulation**  
**Article 19 – paragraph 1**

*Text proposed by the Commission*

1. The EIT shall ensure that its activities, including those managed through KICs, shall be subject to continuous and systematic monitoring and **periodic** independent evaluation in accordance with its financial rules , to ensure both the highest quality of outcome, scientific excellence and the most efficient use of resources. The outcomes of the evaluations shall be made public.

*Amendment*

1. The EIT shall ensure that its activities, including those managed through KICs, shall be subject to continuous and systematic monitoring and independent evaluation in accordance with its financial rules, to ensure both the highest quality of outcome, scientific excellence and the most efficient use of resources. The outcomes of the evaluations shall be made public, **inter alia, through publication on the website referred to in Article 16(1) of this Regulation.**

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 39**

**Proposal for a regulation**  
**Article 19 – paragraph 2**

*Text proposed by the Commission*

2. The Commission shall provide for evaluations of the EIT with the assistance of independent experts selected on the basis of a transparent process in accordance with its financial rules . Those evaluations shall examine how the EIT fulfils its mission, cover all activities of the EIT and the KICs and assess the European added value of the EIT, the impact, effectiveness, sustainability, efficiency and relevance of the activities pursued and their coherence and/or complementarity with relevant national and Union policies , including synergies with other parts of the Union framework programme supporting research and innovation . They shall take into account the views of stakeholders, at both European and national level and feed

*Amendment*

2. The Commission shall provide for evaluations of the EIT with the assistance of independent experts **with relevant qualifications** selected **on merit and** on the basis of a transparent process in accordance with its financial rules. Those evaluations shall examine how the EIT fulfils its mission, cover all activities of the EIT and the KICs and assess the European added value of the EIT, the impact, effectiveness, sustainability, efficiency and relevance of the activities pursued and their coherence and/or complementarity with relevant national and Union policies, including synergies with other parts of the Union framework programme supporting research and innovation. They shall take into account the views of stakeholders, at



into the Commission programme evaluations provided for in the Union framework programme supporting research and innovation .

both European and national level and feed into the Commission programme evaluations provided for in the Union framework programme supporting research and innovation.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 40**

**Proposal for a regulation  
Article 19 – paragraph 3**

*Text proposed by the Commission*

3. The Commission may carry out further evaluations of themes or topics of strategic relevance, with the assistance of independent experts selected on the basis of a transparent process , to examine the progress made by the EIT towards the objectives set, identify the factors contributing to the implementation of the activities and identify best practices. By carrying out those further evaluations , the Commission shall fully consider the administrative impact on the EIT and the KICs.

*Amendment*

3. The Commission may carry out further evaluations of themes or topics of strategic relevance, with the assistance of independent experts ***with relevant qualifications*** selected ***on merit and*** on the basis of a transparent process, to examine the progress made by the EIT towards the objectives set, identify the factors contributing to the implementation of the activities and identify best practices. By carrying out those further evaluations, the Commission shall fully consider the administrative impact on the EIT and the KICs.

*Justification*

*This amendment is needed to ensure the internal logic and coherence of the text.*

**Amendment 41**

**Proposal for a regulation  
Article 20 – paragraph 1 – subparagraph 1 a (new)**

*Text proposed by the Commission*

*Amendment*

***For the period from 1 January 2021 to 31 December 2027, the Union contribution***

***shall be provided through a financial contribution of 4 % from the overall budget for Horizon Europe.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 42**

**Proposal for a regulation  
Article 20 – paragraph 1 – subparagraph 2**

*Text proposed by the Commission*

*Amendment*

***The Union contribution may be provided through a financial contribution from the Union framework programme supporting research and innovation and other Union programmes, without prejudice to the amounts decided in the respective MFF.***

***The EIT may receive additional financial resources from other Union programmes.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

**Amendment 43**

**Proposal for a regulation  
Annex I – section 2 – point 1 – point j**

*Text proposed by the Commission*

*Amendment*

(j) take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution to the KICs or the termination of the framework partnership agreements with them;

(j) take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution to the KICs ***after providing sufficient justification*** or the termination of the framework partnership agreements with them;

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 44

### Proposal for a regulation Annex I – section 6 – point 1

*Text proposed by the Commission*

1. The staff of the EIT shall consist of personnel employed directly by the EIT . The Staff Regulations, the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to them shall apply to the staff of the EIT.

*Amendment*

1. The staff of the EIT shall consist of personnel employed directly by the EIT. The Staff Regulations, the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to them shall apply to the staff of the EIT. ***As a transitional measure, this provision shall apply to the staff of the EIT whose contracts expire in 2020.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## Amendment 45

### Proposal for a regulation Annex I a (new)

*Text proposed by the Commission*

*Amendment*

#### ***ANNEX IA***

#### ***SYNERGIES WITH OTHER UNION PROGRAMMES***

***Building on its broad scope of action and distinctive role, the EIT shall create synergies and provide complementarities with other Union programmes or instruments, including by reinforcing its support to KICs in their planning and implementing activities.***

***1. Synergies with Horizon Europe: The EIT shall create strong synergies with the entire Horizon Europe programme. In particular, synergies with the EIC will be key for the impact of the***

*Open Innovation Pillar, as well as the support to ecosystems. In the [Global Challenges and European Industrial Competitiveness] Pillar, through its KICs, the EIT can collaborate with European Partnerships, contribute to missions, support demand-side measures and provide exploitation services to boost technology transfer and accelerate the commercialisation of results achieved by the thematic clusters or other European partnerships. The EIT shall intensify its efforts to capitalise on synergies and complementarities between existing KICs and with different actors and initiatives at Union and global levels and extend its network of collaborating organisations at both strategic and operational levels, while avoiding duplications, through:*

- close cooperation with the EIC and InvestEU in streamlining the support (i.e. funding and services) offered to innovative ventures in both start-up and scale-up stages, in particular through KICs;*
- planning and implementation of EIT activities in order to maximise synergies and complementarities with other parts of Horizon Europe;*
- engaging with Member States, at both national and regional level, establishing a structured dialogue and coordinating efforts to enable synergies with national and regional initiatives, including smart specialisation strategies, also considering doing so through the implementation of the “European Innovation Ecosystems”, in order to identify, share and disseminate best practices and learnings;*
- sharing and disseminating innovative practices and learnings throughout the Union and beyond, so as to contribute to innovation policy in the Union in coordination with other parts of Horizon Europe;*

- *provision of input to innovation policy discussions and contribution to the design and implementation of Union policy priorities by continuously working with all relevant European Commission services, other Union programmes and their stakeholders, and further exploring opportunities within policy implementing initiatives;*
- *exploitation of synergies with other Union programmes, including those supporting human capital development and innovation (such as European Cooperation in Science and Technology (COST), the European Social Fund Plus (ESF+), the European Regional Development Fund (ERDF), the Erasmus+ programme, the Creative Europe programme, the COSME Plus/Single Market programme, the InvestEU programme);*
- *building strategic alliances with key innovation actors at Union and international level, and support to KICs to develop collaboration and links with key knowledge triangle partners from third countries, with the aim of opening new markets or opportunities for solutions supported by KICs and attracting financing and talents from outside the Union. The participation of third countries shall be promoted with regard to the principles of reciprocity and mutual benefits.*

*Moreover, the KIC on CCS shall establish strong synergies with relevant policy initiatives under Horizon Europe, and in particular under Pillar II with the cluster [Inclusive and Secure Society] and its areas of intervention on Cultural Heritage and Democracy. The KIC on CCS shall also endeavour to efficiently complement other parts of Horizon Europe and the intervention of the existing EIT Digital.*

*2. Synergies with Erasmus+: Erasmus+ and the EIT shall establish synergies between their respective*

*communities. Cooperation shall be targeted towards ensuring access for Erasmus+ learners participating in KIC partner education and training institutions to KICs' summer schools or other relevant training activities (for instance, on entrepreneurship and innovation management) and establishing contacts with the KICs' alumni network. Synergies shall be ensured, where possible, with the European Universities initiative, to help mainstream EIT's educational and training activities to reach a systemic impact.*

**3** *Synergies with Digital Europe programme: KICs' co-location centres shall collaborate with the European Digital Innovation Hubs to support the digital transformation of the industry and public sector organisations. The KIC on CCS could also provide valuable horizontal inputs across various activities to be carried out in the cluster [Digital and Industry], in particular as regards the manufacturing technologies in which the need to develop new products rely heavily on CCS.*

**4.** *Synergies with Cohesion Policy Funds (in particular ERDF and ESIF+): EIT KICs, through their co-location centres, shall promote regional and cross-regional cooperation between the knowledge triangle actors (education, research, business) and managing authorities, in synergy with the work of the European Commission on interregional cooperation and investments in related smart specialisation priorities.*

**5.** *Synergies with InvestEU: EIT KICs shall seek the collaboration of the InvestEU Advisory Hub to provide technical support and assistance to KICs-backed ventures for the preparation, development, and implementation of projects. In the context of limited access to finance for the cultural and creative sectors, synergies shall be sought with the*

***Cultural and Creative Sector Guarantee Facility, a financial mechanism to help scale up cultural and creative projects by providing insurance to financial intermediaries.***

***6. Synergies with Creative Europe: The Creative Europe programme is specifically relevant for the activities of the KIC on CCS, as its strands and special calls reflect some of the challenges facing the sector. Therefore, strong synergies and complementarities between the KIC on CCS and Creative Europe shall be developed.***

*Justification*

*This amendment is necessary for pressing reasons relating to the internal logic of the text.*

## PROCEDURE – COMMITTEE ASKED FOR OPINION

<b>Title</b>	European Institute of Innovation and Technology (recast)
<b>References</b>	COM(2019)0331 – C9-0042/2019 – 2019/0151(COD)
<b>Committee responsible</b> Date announced in plenary	ITRE 18.7.2019
<b>Opinion by</b> Date announced in plenary	CULT 18.7.2019
<b>Associated committees - date announced in plenary</b>	19.12.2019
<b>Rapporteur</b> Date appointed	Christian Ehler 18.9.2019
<b>Discussed in committee</b>	21.1.2020                      28.5.2020
<b>Date adopted</b>	2.6.2020
<b>Result of final vote</b>	+:                      26 -:                      0 0:                      3
<b>Members present for the final vote</b>	Asim Ademov, Isabella Adinolfi, Christine Anderson, Vlad-Marius Botoş, Ilana Cicurel, Gilbert Collard, Gianantonio Da Re, Laurence Farreng, Tomasz Frankowski, Alexis Georgoulis, Irena Joveva, Niyazi Kizilyürek, Predrag Fred Matić, Dace Melbārde, Victor Negrescu, Niklas Nienaaß, Peter Pollák, Marcos Ros Sempere, Domènec Ruiz Devesa, Andrey Slabakov, Massimiliano Smeriglio, Michaela Šojdrová, Sabine Verheyen, Salima Yenbou, Milan Zver
<b>Substitutes present for the final vote</b>	Isabel Benjumea Benjumea, Christian Ehler, Łukasz Kohut
<b>Substitutes under Rule 209(7) present for the final vote</b>	Angel Dzhambazki



## FINAL VOTE BY ROLL CALL IN COMMITTEE ASKED FOR OPINION

<b>26</b>	<b>+</b>
PPE	Asim Ademov, Isabel Benjumea Benjumea, Christian Ehler, Tomasz Frankowski, Peter Pollák, Michaela Šojdrová, Sabine Verheyen, Milan Zver
S&D	Domènec Ruiz Devesa, Łukasz Kohut, Predrag Fred Matić, Victor Negrescu, Marcos Ros Sempere, Massimiliano Smeriglio
RENEW	Vlad-Marius Botoș, Ilana Cicurel, Laurence Farreng, Irena Joveva
VERTS/ALE	Niklas Nienaß, Salima Yenbou
ECR	Angel Dzhambazki, Dace Melbārde, Andrey Slabakov
GUE/NGL	Alexis Georgoulis, Niyazi Kizilyürek
NI	Isabella Adinolfi
<b>0</b>	<b>-</b>
<b>3</b>	<b>0</b>
ID	Christine Anderson, Gilbert Collard, Gianantonio Da Re

Key to symbols:

+: in favour

-: against

0: abstention