European Parliament

2019-2024



Committee on Industry, Research and Energy

2023/2184(INL)

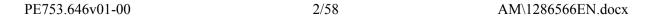
27.9.2023

AMENDMENTS 1 - 118

Draft report Christian Ehler(PE751.835v01-00)

Promotion of the freedom of scientific research in the EU ((2023/2184(INL))

AM\1286566EN.docx PE753.646v01-00



Amendment 1 Lina Gálvez Muñoz, Josianne Cutajar, Alex Agius Saliba

Motion for a resolution Citation 5 a (new)

Motion for a resolution

Amendment

– having regard to Commission Communication of 18 May 2021 on the 'Global Approach to Research and Innovation. Europe's strategy for international cooperation in a changing world'.

Or. en

Amendment 2 Francesca Donato

Motion for a resolution Citation 8 a (new)

Motion for a resolution

Amendment

 having regard to European Food Safety Authority's Policy on independence,

Or. en

Amendment 3
Francesca Donato

Motion for a resolution Citation 17 a (new)

Motion for a resolution

Amendment

having regard to the European
 Parliament resolution of 12 July 2023 on
 the COVID-19 pandemic: lessons learned
 and recommendations for the future
 (2022/2076(INI)),

Amendment 4 Alex Agius Saliba, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Citation 17 a (new)

Motion for a resolution

Amendment

having regard to the recommendations of Strategic Forum for International S&T Cooperation,

Or. en

Amendment 5 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Recital A

Motion for a resolution

A. whereas the freedom of scientific research is one of the constituent parts of academic freedom and is under pressure in the Union²;

Amendment

A. whereas the freedom of scientific research *is an essential element of democracy and* is one of the constituent parts of academic freedom and is under pressure in the Union²;

Or. en

Amendment 6 Ivars Ijabs

Motion for a resolution Recital A

PE753.646v01-00 4/58 AM\1286566EN.docx

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

Motion for a resolution

A. whereas the freedom of scientific research is one of the constituent parts of academic freedom and is under pressure in the Union²;

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

Amendment

A. whereas the freedom of scientific research is one of the constituent parts of academic freedom and is under pressure in the Union *and is gradually eroding* ²;

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

Or en

Amendment 7 Pernille Weiss

Motion for a resolution Recital A

Motion for a resolution

A. whereas the freedom of scientific research is one of the constituent parts of academic freedom and is under pressure in the Union²;

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

Amendment

A. whereas the freedom of scientific research is one of the constituent parts of academic freedom and *scientific integrity and* is under pressure in the Union²;

Or. en

Amendment 8 Francesca Donato

Motion for a resolution Recital A

Motion for a resolution

A. whereas *the* freedom of scientific research *is one of the* constituent parts of

Amendment

A. whereas freedom *and independence* of scientific research *are the*

AM\1286566EN.docx 5/58 PE753.646v01-00

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

academic freedom and *is* under pressure in the Union²;

main constituent parts of academic freedom and *are* under pressure in the Union²;

Or. en

Amendment 9 Sandra Pereira

Motion for a resolution Recital A a (new)

Motion for a resolution

Amendment

Aa. whereas job insecurity restricts freedom of scientific research; whereas thousands of researchers work with limited-duration project funding, by way of individual or project research grants, fixed-term employment contracts or by performing specific tasks as self-employed persons, and have poor job security and labour protection;

Or. pt

Amendment 10 Ivars Ijabs

Motion for a resolution Recital A a (new)

Motion for a resolution

Amendment

Aa. whereas in one EU Member State, Hungary, structural de facto violations of academic freedom are taking place;

Or. en

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

² STOA study: State of play of academic freedom in the EU member states: Overview of de facto trends and developments

Amendment 11 Sandra Pereira

Motion for a resolution Recital A b (new)

Motion for a resolution

Amendment

Ab. whereas working conditions for those in the science sector, whether researchers or technical research support staff, have declined with the deterioration of job security that particularly affects research fellows;

Or. pt

Amendment 12 Ivars Ijabs

Motion for a resolution Recital A b (new)

Motion for a resolution

Amendment

Ab. whereas restrictions to academic freedom result in negative impacts for the EU economy, stifling innovation, slowing scientific progress, and reducing Europe's global competitiveness;

Or. en

Amendment 13 Ivars Ijabs

Motion for a resolution Recital A c (new)

Motion for a resolution

Amendment

Ac. whereas erosion of the academic freedom contributes to brain drain of top researchers, self-censorship among academics, less critical analysis on social

and political issues, less interdisciplinary collaboration, less public discourse and debate;

Or. en

Amendment 14 Sandra Pereira

Motion for a resolution Recital A c (new)

Motion for a resolution

Amendment

Ac. whereas the labour rights in the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers must be enforced;

Or. pt

Amendment 15 Francesca Donato

Motion for a resolution Recital C

Motion for a resolution

C. whereas the Bonn Declaration includes a definition of *the* freedom of scientific research as well as a recognition of the responsibilities for both governments and research organisations to promote the freedom *of scientific research*;

Amendment

C. whereas the Bonn Declaration includes a definition of freedom of scientific research, expressing reference to the need to safeguard its integrity and independence, as well as a recognition of the responsibilities for both governments and research organisations to promote the freedom, integrity and independence;

Or. en

Amendment 16 Ivars Ijabs

Motion for a resolution

PE753.646v01-00 8/58 AM\1286566EN.docx

Recital C

Motion for a resolution

C. whereas the Bonn Declaration includes a definition of the freedom of scientific research as well as a recognition of the responsibilities for both governments and research organisations to promote the freedom of scientific research;

Amendment

C. whereas the Bonn Declaration *on Freedom of Scientific Research* includes a definition of the freedom of scientific research as well as a recognition of the responsibilities for both governments and research organisations to promote the freedom of scientific research;

Or. en

Amendment 17 Sandra Pereira

Motion for a resolution Recital C a (new)

Motion for a resolution

Amendment

Ca. whereas defending the freedom of scientific research ensures that scientific research serves the public interest and contributes to development and improved living conditions for people;

Or. pt

Amendment 18 Ivars Ijabs

Motion for a resolution Recital C a (new)

Motion for a resolution

Amendment

Ca. whereas the Bonn Declaration lacks implementation instruments at European level;

Or. en

Amendment 19 Francesca Donato

Motion for a resolution Recital D a (new)

Motion for a resolution

Amendment

Da. Whereas the Bonn Declaration includes in the definition of freedom of scientific research the right to critical debate, the protection of plurality of voices, the 'right to freely define questions, choose and develop theories, collect empirical material and use sound academic research methods to challenge recognised knowledge and advance new ideas'; the "right to share, disseminate and publish its results openly, including through training and teaching"; "the freedom of researchers to express their views without being penalised by the system in which they work or by government or government censorship or discrimination":

Or. en

Amendment 20 Francesca Donato

Motion for a resolution Recital D b (new)

Motion for a resolution

Amendment

Db. Whereas protecting the freedom of research necessarily implies the need to safeguard the integrity and responsibility of researchers and ethical limits to research, through the inclusion of transparent, fair and excellence based procedures for academic progress and the competitive allocation of funding, including by creating rules, incentives and reward mechanisms that promote the independence, integrity and transparency of research, as well as providing support

PE753.646v01-00 10/58 AM\1286566EN.docx

and guidance for researchers' professional development;

Or. en

Amendment 21 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Recital F

Motion for a resolution

F. whereas specific Union legislative acts, such as Regulation (EU) 2022/2065 of the European Parliament and of the Council³, limit the freedom of scientific research by submitting the academic sector to regimes designed for regulating the internal market;

Amendment

F. whereas specific Union legislative acts limit the freedom of scientific research by submitting the academic sector to regimes *primarily* designed for regulating the internal market;

Or. en

Amendment 22 Francesca Donato

Motion for a resolution Recital F a (new)

Motion for a resolution

Amendment

Fa. Whereas any restriction on the freedom of scientific research must be compatible with its nature and its possible impact, with careful assessment of any risks, while respecting the rule of law procedures, and whereas the exercise of

³ Regulation (EU) 2022/2065 of the European Parliament and of the Council of 19 October 2022 on a Single Market For Digital Services and amending Directive 2000/31/EC (Digital Services Act) (OJ L 277, 27.10.2022, p. 1).

scientists' freedom of scientific research must take into account the rights of the community, in particular fundamental human rights;

Or. en

Amendment 23 Francesca Donato

Motion for a resolution Recital F b (new)

Motion for a resolution

Amendment

Fb. Whereas European Parliament Resolution 2022/2076 calls for further investment in research and development activities geared towards achieving public interest objectives (Article 296) and 'calls on the Commission to use industrial, intellectual property and pharmaceutical strategies to encourage public funding for research and development projects in order to comply with the principle of open science and to close the persistent gap in research and production of medicines through partnerships, technology transfer and the creation of open research centres' (Article 590);

Or. en

Amendment 24 Francesca Donato

Motion for a resolution Recital F c (new)

Motion for a resolution

Amendment

Fc. Whereas, according to the widely prevailing opinion in the international scientific community following numerous peer review studies proving it, the COVID-19 pandemic probably originated

PE753.646v01-00 12/58 AM\1286566EN.docx

from a laboratory leak of the Sars-Cov2 virus, of a synthetic nature, obtained through functional research, which clearly demonstrates the enormous danger posed by this type of research, from war/military purposes, which has already been banned in several countries precisely because of the unacceptable risks to public health in the event of accidents;

Or. en

Amendment 25 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph -1 (new)

Motion for a resolution

Amendment

-1. Reaffirms the Union's commitment to upholding fundamental rights, including the right to freedom of thought, conscience, and religion, academic freedom as well as the freedom of scientific research and the arts as enshrined in the Charter of Fundamental Rights of the European Union; believes free and open science in all branches of research is essential to protect our European democratic values;

Or. en

Amendment 26 Henna Virkkunen

Motion for a resolution Paragraph 1

Motion for a resolution

1. Acknowledges the fundamental importance of freedom of scientific

Amendment

1. Acknowledges the fundamental importance of freedom of scientific

research in promoting innovation, progress, and wellbeing in the Union;

research in promoting innovation, progress, and wellbeing in the Union. Underlines that in the global competition of research, development and innovation, freedom of academic research is a prerequisite for attracting talent and encouraging competitive new ideas;

Or. en

Amendment 27 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 1

Motion for a resolution

1. Acknowledges the fundamental importance of *freedom of* scientific research in *promoting* innovation, progress, and wellbeing in the Union;

Amendment

1. Acknowledges the fundamental importance of scientific research in advancing our knowledge of natural and social phenomena, thereby contributing to the promotion of innovation, progress, and wellbeing in the Union and recalls that freedom of scientific research is a universal right and public good, to be applied to all scientific disciplines;

Or. en

Amendment 28 Ladislav Ilčić

Motion for a resolution Paragraph 1

Motion for a resolution

1. Acknowledges the fundamental importance of freedom of scientific research in promoting innovation, progress, and wellbeing *in* the Union;

Amendment

1. Acknowledges the fundamental importance of freedom of scientific research in promoting innovation, *societal* progress, and *enhancing the overall* wellbeing *of citizens within the Union as well as those outside of* the Union;

PE753.646v01-00 14/58 AM\1286566EN.docx

Amendment 29 Francesca Donato

Motion for a resolution Paragraph 1

Motion for a resolution

1. Acknowledges the fundamental importance of freedom of scientific research in promoting innovation, progress, and wellbeing in the Union;

Amendment

1. Acknowledges the fundamental importance of freedom, *integrity and independence* of scientific research in promoting innovation, progress, and wellbeing in the Union;

Or. en

Amendment 30 Sandra Pereira

Motion for a resolution Paragraph 1 a (new)

Motion for a resolution

Amendment

1a. Acknowledges that there can be no real freedom of scientific and intellectual production and creation while precariousness in the sector persists; defends the labour rights of researchers and scientific workers, enhancement of their careers, stable job contracts and access to social security with guaranteed sickness, maternity, unemployment and retirement protection;

Or. pt

Amendment 31 Christian Ehler

Motion for a resolution Paragraph 1 a (new)

Motion for a resolution

Amendment

1a. Recognises, in line with the Bonn Declaration, that freedom of scientific research stands for openness, exchange, excellence, internationalism, diversity, equality, integrity, curiosity, responsibility and reflexivity. It is therefore a pillar of any democracy;

Or. en

Amendment 32 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 1 a (new)

Motion for a resolution

Amendment

1a. Estimates that freedom of scientific research must come with the responsibility to respect highest ethical standards and integrity in scientific research, and with the obligation to perform scientific research on the basis of Open Science;

Or. en

Amendment 33 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 1 b (new)

Motion for a resolution

Amendment

1b. Emphasises the decisive role of a properly designed and well implemented enabling framework to effectively protect and promote freedom of scientific research throughout the Union, taking into utmost account of available public

PE753.646v01-00 16/58 AM\1286566EN.docx

support to facilitate production, sharing and spreading of knowledge, as a public good for our society, and to avoid any risk of interference or undermining of independence of scientific research by third parties promoting private interests or interests incompatible with our European values:

Or. en

Amendment 34 Sandra Pereira

Motion for a resolution Paragraph 1 b (new)

Motion for a resolution

Amendment

1b. Advocates putting an end to statutes and systems based on research grants, such as the Research Grant Statute in Portugal, which allow workers to be made indiscriminately dependent on research grants, a situation that is not compatible with promoting scientific work; calls for scientific workers to be guaranteed the right to a stable employment contract and access to social security;

Or. pt

Amendment 35 Christian Ehler

Motion for a resolution Paragraph 1 b (new)

Motion for a resolution

Amendment

1b. Takes note of the definition of the freedom of scientific research under point 2 of Annex II of the proposal for a Council Recommendation on a European framework to attract and retain research,

innovation and entrepreneurial talents in Europe;

Or. en

Amendment 36 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 1 c (new)

Motion for a resolution

Amendment

1c. Insists on the relevance of open knowledge to ensure the freedom of scientific research; calls for efficient and transparent creation of knowledge without artificial barriers hindering the access to information and its dissemination; estimates that sharing results of scientific research for noncommercial purposes must be protected by European law and actively promoted; stresses that publicly funded scientific results shall be published in open-access academic journals and the results shall be accessible for everyone in an easily accessible way;

Or. en

Amendment 37 Sandra Pereira

Motion for a resolution Paragraph 1 c (new)

Motion for a resolution

Amendment

1c. Notes that it is also higher education institutions' management bodies that set those institutions' scientific research priorities; acknowledges that the increasing degradation of democracy in academia is also a factor in the attack on

PE753.646v01-00 18/58 AM\1286566EN.docx

academic and research freedom;

Or. pt

Amendment 38 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 1 d (new)

Motion for a resolution

Amendment

1d. Suggests the introduction of European funding for development of free open source digital tools to help researchers access, use and disseminate all the relevant information needed for their work across the Union;

Or. en

Amendment 39 Pernille Weiss

Motion for a resolution Paragraph 2

Motion for a resolution

2. Is concerned about the recent backsliding of this fundamental right in the Union, which threatens to undermine the development of a functioning and competitive European Research Area (ERA);

Amendment

2. Is concerned about the recent backsliding of this fundamental right in the Union, which *is essential for political freedom and social participation and* threatens to undermine the development of a functioning and competitive European Research Area (ERA);

Or. en

Amendment 40 Francesca Donato

Motion for a resolution

AM\1286566EN.docx 19/58 PE753.646v01-00

Paragraph 2

Motion for a resolution

2. Is concerned about the recent backsliding of *this fundamental right* in the Union, which threatens to undermine the development of a functioning and competitive European Research Area (ERA);

Amendment

2. Is concerned about the recent backsliding of *these fundamentals rights/obligations* in the Union, which threatens to undermine the development of a functioning and competitive European Research Area (ERA);

Or. en

Amendment 41 Ivars Ijabs

Motion for a resolution Paragraph 3

Motion for a resolution

3. Notes that the Commission's communication of 30 September 2020, entitles 'A new ERA for Research Innovation' confirms that the completion of the ERA requires the full respect of the freedom of scientific research;

Amendment

3. Notes that the Commission's communication of 30 September 2020, entitles 'A new ERA for Research Innovation' confirms that the completion of the ERA requires the full respect of the freedom of scientific research, which includes, but is not limited to, non-interference in research agendas, institutional autonomy, and adequate funding;

Or. en

Amendment 42 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 4

Motion for a resolution

4. *Is deeply concerned* that the Commission, despite its strong words in

Amendment

4. *Considers* that the Commission, despite its strong words in the ERA

PE753.646v01-00 20/58 AM\1286566EN.docx

the ERA Communication, is failing to use its legal authority to protect this freedom in the Union: Communication, is failing to use its legal authority to protect this freedom in the Union;

Or. en

Amendment 43 Francesca Donato

Motion for a resolution Paragraph 4

Motion for a resolution

4. Is deeply concerned that the Commission, despite its strong words in the ERA Communication, is failing to use its legal authority to protect *this* freedom in the Union;

Amendment

4. Is deeply concerned that the Commission, despite its strong words in the ERA Communication, is failing to use its legal authority to protect *these rights and* freedom in the Union:

Or. en

Amendment 44 Johan Nissinen

Motion for a resolution Paragraph 5

Motion for a resolution

5. Reaffirms the Union's commitment to upholding fundamental rights, including the right to freedom of thought, conscience, and religion, academic freedom as well as the freedom of scientific research and the arts as enshrined in the Charter of Fundamental Rights of the European Union;

Amendment

5. Reaffirms the Union's commitment to upholding fundamental rights, including the right to freedom of thought, conscience, and religion, academic freedom as well as the freedom of scientific research and the arts as enshrined in the Charter of Fundamental Rights of the European Union. Is deeply concerned about the fact that freedom of research and teaching is increasingly being placed under moral and political reservation by non-scientific ideological limits instead of limits of the applicable law;

Or. en

Amendment 45 Francesca Donato

Motion for a resolution Paragraph 6

Motion for a resolution

6. Urges the Commission to take all necessary steps to protect and promote the freedom of scientific research in the Union, including using its legal authority to prevent any further backsliding with regard to this fundamental right;

Amendment

- 6. Urges the Commission to take all necessary steps to protect and promote the freedom, *integrity and independence* of scientific research in the Union, including using its legal authority to prevent any further backsliding with regard to this fundamental right, *in particular:*
- a) making mandatory the full, detailed and up-to-date exhibition of procurers and funders of all types of scientific research carried out and published or otherwise disseminated in the scientific community, with express mention of the relevant conflicts of interest on any occasion of statements or comments made publicly, via each media channel;
- b) including in any public agency or institution active in the field of scientific research, medicine or other scientific disciplines transparency models already adopted by agencies such as EFSA for the management of conflicts of interest;
- c) introducing a prohibition for scientific researchers or senior officials, administrators or managers of research centres to take up positions or positions in public or private companies or institutions in the sector in which they carried out their work, with appropriate sanctions for infringements;
- d) introducing a ban on public or private institutions operating in the scientific, medical or research fields from recruiting their staff or, in any event, within five years of the end of the research project, former employees, advisers or collaborators of private companies operating or having an interest in these

PE753.646v01-00 22/58 AM\1286566EN.docx

fields;

- e) introducing a prohibition in the Member States on adopting any measure that has the purpose or effect of discriminating, cending or marginalising from scientific debate those who support theories or conduct studies aimed at calling into question recognised knowledge and advancing new ideas, while at all events promoting open research, inclusive debate and the free rebuttal of the prevailing views by all members of the scientific community, without exception;
- f) fully and clearly defining and strengthening the responsibility of researchers and the ethical limits to research, consisting of the mandatory respect for human life and health and fundamental human rights as enshrined in the ECHR and the precautionary principle;
- (g) affirming an absolute ban on carrying out research with a profit in all laboratories located in the EU, for whatever purpose or reason, making such research a crime against humanity and promoting the introduction of the same ban in all third countries with which the EU has relations;

Or. en

Amendment 46 Johan Nissinen

Motion for a resolution Paragraph 6

Motion for a resolution

6. Urges the Commission to take all necessary steps to protect and promote the freedom of scientific research in the Union, including using its legal authority to prevent any further backsliding with regard

Amendment

6. Urges the Commission to take all necessary steps to protect and promote the freedom of scientific research in the Union, including using its legal authority to prevent any further backsliding with regard to this fundamental right. *Calls upon the*

to this fundamental right;

Commission to actively promote and fund scientific pluralism, so that all scholars can pursue their epistemological interests free of concerns about moral discredit, social exclusion or professional disadvantage;

Or. en

Amendment 47 Henna Virkkunen

Motion for a resolution Paragraph 6

Motion for a resolution

6. Urges the Commission to take all necessary steps to protect and promote the freedom of scientific research in the Union, including using its legal authority to prevent any further backsliding with regard to this fundamental right;

Amendment

6. Urges the Commission to take all necessary steps to protect and promote the freedom of scientific research in the Union and to ensure that research ethics and integrity are not compromised, including using its legal authority to prevent any further backsliding with regard to this fundamental right;

Or. en

Amendment 48 Sandra Pereira

Motion for a resolution Paragraph 6

Motion for a resolution

6. Urges the Commission to take all *necessary steps* to protect and promote the freedom of scientific research in the Union, *including using its legal authority to* prevent any further backsliding with regard to this fundamental right;

Amendment

6. Urges the Commission to take all steps within their power to protect and promote the freedom of scientific research in the Union and prevent any further backsliding with regard to this fundamental right;

Or. pt

Amendment 49 Johan Nissinen

Motion for a resolution Paragraph 7

Motion for a resolution

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of public interest do not unduly restrict the freedom of scientific research;

Amendment

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of public interest do not unduly restrict the freedom of scientific research. Considers it of vital importance to publicly support all scientists who face attacks on their academic freedom, in order to defend and strengthen the conditions for free research and teaching at universities;

Or. en

Amendment 50 Ivars Ijabs

Motion for a resolution Paragraph 7

Motion for a resolution

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of public interest do not unduly restrict the freedom of scientific research;

Amendment

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of public interest (such as national security, public safety, and public health) do not unduly restrict the freedom of scientific research;

Or. en

Amendment 51 Francesca Donato

Motion for a resolution Paragraph 7

Motion for a resolution

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of public interest do not unduly restrict *the freedom of scientific research*;

Amendment

7. Calls on the Member States to fully respect and uphold the freedom, *integrity* and independence of scientific research, and to ensure that any measures taken in the name of public interest do not unduly restrict *these aspects*;

Or. en

Amendment 52 Sandra Pereira

Motion for a resolution Paragraph 7

Motion for a resolution

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of *public* interest do not unduly restrict the freedom of scientific research;

Amendment

7. Calls on the Member States to fully respect and uphold the freedom of scientific research, and to ensure that any measures taken in the name of *private* interest do not unduly restrict the freedom of scientific research;

Or. pt

Amendment 53 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 8

Motion for a resolution

8. Stresses that the completion of the ERA requires the *full* respect of the freedom of scientific research, *and* that any attempts to undermine or failure to actively promote this freedom *will have negative consequences for* the development of a competitive and innovative ERA;

Amendment

8. Stresses that the completion of the ERA requires the *due* respect *and active promotion* of the freedom of scientific research *throughout the Union, and believes* that any attempts to undermine or failure to actively promote this freedom *negatively impacts* the development of a competitive and innovative ERA;

PE753.646v01-00 26/58 AM\1286566EN.docx

Amendment 54 Sandra Pereira

Motion for a resolution Paragraph 9

Motion for a resolution

9. Expresses its concern with regard to the Commission's failure to use its legal authority to protect the freedom of scientific research is a serious abdication of its responsibility, and calls on the Commission to take immediate action to remedy that situation;

Amendment

deleted

Or. pt

Amendment 55 Francesca Donato

Motion for a resolution Paragraph 9

Motion for a resolution

9. Expresses its concern with regard to the Commission's failure to use its legal authority to protect the freedom of scientific research is a serious abdication of its responsibility, and calls on the Commission to take immediate action to remedy that situation;

Amendment

9. Expresses its concern with regard to the Commission's failure to use its legal authority to protect the freedom, *integrity* and independence of scientific research is a serious abdication of its responsibility, and calls on the Commission to take immediate action to remedy that situation, through the actions outlined in this proposal;

Or. en

Amendment 56 Ivars Ijabs

Motion for a resolution

AM\1286566EN.docx 27/58 PE753.646v01-00

Paragraph 9

Motion for a resolution

9. Expresses its concern with regard to the Commission's failure to use its legal authority to protect the freedom of scientific research is a serious abdication of its responsibility, and calls on the Commission to take immediate action to remedy that situation;

Amendment

9. Expresses its concern with regard to the Commission's failure to use its legal authority *under the EU Treaties* to protect the freedom of scientific research *as one of the fundamental rights of EU citizens* is a serious abdication of its responsibility, and calls on the Commission to take immediate action to remedy that situation;

Or. en

Amendment 57 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 9

Motion for a resolution

9. Expresses its concern with regard to the Commission's failure to use its legal authority to protect the freedom of scientific research is a serious abdication of its responsibility, and calls on the Commission to take immediate action to remedy that situation;

Amendment

9. Expresses its concern with regard to the Commission's failure to use its legal authority to protect the freedom of scientific research is a serious abdication of its responsibility *to uphold the Rule of Law in the Union*, and calls on the Commission to take immediate action to remedy that situation;

Or. en

Amendment 58
Mikuláš Peksa
on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 10

Motion for a resolution

10. Calls on the European Council to support the protection and promotion of the

Amendment

10. Calls on the European Council to support the protection and promotion of the

PE753.646v01-00 28/58 AM\1286566EN.docx

freedom of scientific research, and to ensure that this fundamental right is *fully* respected in all Member States;

freedom of scientific research, and to ensure that this fundamental right is *duly* respected in all Member States;

Or. en

Amendment 59 Francesca Donato

Motion for a resolution Paragraph 10 a (new)

Motion for a resolution

Amendment

10a. Calls for recognition of the need to mobilise additional public funds in order to finance independent scientific research, avoiding private interference that could drive its ends or influence its methods or results;

Or. en

Amendment 60 Ivars Ijabs

Motion for a resolution Paragraph 10 a (new)

Motion for a resolution

Amendment

10a. Calls on the Commission and Member States to promote public awareness of the importance of freedom of scientific research through education, public outreach, and support for science journalism;

Or. en

Amendment 61 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Paragraph 11

Motion for a resolution

11. Requests that the Commission submit, on the basis of Article 182(5), in conjunction with the Article 179(1), of the Treaty on the Functioning of the European Union, a proposal for an act on the freedom of scientific research, following the principles and aims set out in the Annex hereto;

Amendment

11. Requests that the Commission submit, on the basis of Article 182(5), in conjunction with the Article 179(1), of the Treaty on the Functioning of the European Union, a proposal for an act on the freedom of scientific research, following the principles and aims set out in the Annex hereto to guarantee, protect and promote this freedom at the level of research organisations and of researchers all along their careers;

Or. en

Amendment 62 Francesca Donato

Motion for a resolution Paragraph 11

Motion for a resolution

11. Requests that the Commission submit, on the basis of Article 182(5), in conjunction with the Article 179(1), of the Treaty on the Functioning of the European Union, a proposal for an act on the freedom of scientific research, following the principles and aims set out in the Annex hereto;

Amendment

11. Requests that the Commission submit, on the basis of Article 182(5), in conjunction with the Article 179(1), of the Treaty on the Functioning of the European Union, a proposal for an act on the freedom, *integrity and independence* of scientific research, following the principles and aims set out in the Annex hereto;

Or. en

Amendment 63 Johan Nissinen

Motion for a resolution Paragraph 12 a (new)

Motion for a resolution

Amendment

PE753.646v01-00 30/58 AM\1286566EN.docx

12a. Welcomes the UK's readmission to the EU's Horizon research programme. Regrets however that the EU is still making Switzerland's full association to Horizon Europe dependent on progress in the institutional negotiations. Is worried about the Commissions strategy to use research cooperation as a means of political pressure, thereby not only harming European research as a whole, but also risking its own credibility of having created a non-political and free scientific research region;

Or. en

Amendment 64 Pernille Weiss

Motion for a resolution Annex I – point 1

Motion for a resolution

1. The freedom of scientific research is a constituent part of academic freedom in Europe, while also having an independent value, as exemplified by the specific reference in Article 13 of the Charter of Fundamental Rights of the European Union.

Amendment

1. The freedom of scientific research is a constituent part of academic freedom *and scientific integrity* in Europe, while also having an independent value, as exemplified by the specific reference in Article 13 of the Charter of Fundamental Rights of the European Union.

Or. en

Amendment 65 Francesca Donato

Motion for a resolution Annex I – point 2

Motion for a resolution

2. The freedom of scientific research provides rights to individual scientific researchers, rights and obligations for scientific research organisations, and

Amendment

2. The freedom of scientific research provides rights - *obligations for who* working within public bodies - to individual scientific researchers, rights and

obligations for public authorities.

obligations for scientific research organisations, and obligations for public authorities.

Or. en

Amendment 66 Francesca Donato

Motion for a resolution Annex I – point 3

Motion for a resolution

3. The proposal should build on the definition of the freedom of scientific research as set out in the Bonn Declaration.

Amendment

3. The proposal should build on the definition of the freedom of scientific research as set out in the Bonn Declaration. which includes in the definition of freedom of scientific research the right to critical debate, the protection of plurality of voices, the "right to freely define questions, choose and develop theories, collect empirical material and use sound academic research methods to challenge recognised knowledge and advance new ideas"; the "right to share, disseminate and publish its results openly, including through training and teaching"; "the freedom of researchers to express their views without being penalised by the system in which they work or by government or government censorship or discrimination".

Or. en

Amendment 67 Christian Ehler

Motion for a resolution Annex I – point 3

Motion for a resolution

3. The proposal should build on the definition of the freedom of scientific

Amendment

3. The proposal should build on the definition of the freedom of scientific

PE753.646v01-00 32/58 AM\1286566EN.docx

research as set out in the Bonn Declaration.

research as set out in the Bonn Declaration and under point 2 of Annex II of the proposal for a Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe.

Or. en

Amendment 68 Christian Ehler

Motion for a resolution Annex I – point 4

Motion for a resolution

4. Scientific researchers should be defined broadly in line with the Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe. It should not extend to researchers performing research in circumstances where the freedom of scientific research can clearly not apply, such as scientific research for a private, for-profit company where such research is conducted to give the company a legitimate competitive advantage compared to its competitors.

Amendment

4. Scientific researchers should be defined broadly in line with the Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe 1a . It should not extend to researchers performing research in circumstances where the freedom of scientific research can clearly not apply. *In* specific circumstances, in particular for research conducted within the private sector, the freedom of scientific research must be carefully balanced with other legitimate interests, such as obtaining legitimate competitive advantages.

Or. en

Amendment 69 Francesca Donato

Motion for a resolution Annex I – point 4

^{1a} Proposal tabled by the Commission on 13 July 2023.

Motion for a resolution

4. Scientific researchers should be defined broadly in line with the Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe. It should not extend to researchers performing research in circumstances where the freedom of scientific research can clearly not apply, such as scientific research for a private, *for-profit company* where such research is conducted to give the company a legitimate competitive advantage compared to its competitors.

Amendment

4. Scientific researchers should be defined broadly in line with the Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe. It should not extend to researchers performing research in circumstances where the freedom of scientific research can clearly not apply, such as scientific research for a private *undertakings for direct or indirect profit*, where such research is conducted to give the company a legitimate competitive advantage compared to its competitors.

Or. en

Amendment 70 Alex Agius Saliba, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 4

Motion for a resolution

4. Scientific researchers should be defined broadly in line with the Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe. It should *not* extend to researchers performing research in circumstances where the freedom of scientific research can clearly not apply, such as scientific research for a private, for-profit company where such research is conducted to give the company a legitimate competitive advantage compared to its competitors.

Amendment

4. Scientific researchers should be defined broadly in line with the Council Recommendation on a European framework to attract and retain research, innovation and entrepreneurial talents in Europe. It should extend *on a voluntary basis* to researchers performing research in circumstances where the freedom of scientific research can clearly not apply, such as scientific research for a private, for-profit company where such research is conducted to give the company a legitimate competitive advantage compared to its competitors.

Or. en

Amendment 71

PE753.646v01-00 34/58 AM\1286566EN.docx

Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Annex I – point 5

Motion for a resolution

5. The freedom of scientific research is the right for individual researchers to freely define research questions, *to* employ sound scientific research methods, to challenge conventional wisdom and to propose new ideas and theories.

Amendment

5. The freedom of scientific research is the right for individual researchers to *disseminate their results*, freely define research questions, *choose and develop theories*, *gather empirical material*, *and* employ sound scientific research methods, to challenge conventional wisdom and to propose new ideas and theories.

Or. en

Amendment 72 Pernille Weiss

Motion for a resolution Annex I – point 5

Motion for a resolution

5. The freedom of scientific research is the right for individual researchers to freely define research questions, to employ sound scientific research methods, to challenge conventional wisdom and to propose new ideas and theories.

Amendment

5. The freedom of scientific research is the right for individual researchers to freely define research questions, to employ sound scientific research methods, to *maintain scientific integrity, to* challenge conventional wisdom, *to publish and communicate freely,* and to propose new ideas and theories.

Or. en

Amendment 73 Christian Ehler

Motion for a resolution Annex I – point 5

Motion for a resolution

Amendment

- 5. The freedom of scientific research *is* the right for individual researchers to freely define research questions, to employ sound scientific research methods, to challenge conventional wisdom and to propose new ideas and theories.
- 5. The freedom of scientific research *entails* the right for individual researchers to freely define research questions, to employ sound scientific research methods, to challenge conventional wisdom and to propose new ideas and theories.

Or. en

Amendment 74 Henna Virkkunen

Motion for a resolution Annex I – point 6 – point b

Motion for a resolution

(b) the right to access information, public or private, needed for scientific purposes, which is to be balanced with the rights of information holders;

Amendment

(b) the right to access information, public or private, needed for scientific purposes, which is to be balanced with the rights of information holders, respecting the different practices in place in different Member States;

Or. en

Amendment 75 Christian Ehler

Motion for a resolution Annex I – point 6 – point b

Motion for a resolution

(b) the right to access information, public or private, needed for scientific purposes, which is to be balanced with the rights of information holders;

Amendment

(b) the right to access information, public or private, needed for scientific purposes, which is to be balanced with the rights of information holders *and the nature/sensitivity of information*;

Or. en

Amendment 76 Mikuláš Peksa

PE753.646v01-00 36/58 AM\1286566EN.docx

on behalf of the Verts/ALE Group

Motion for a resolution Annex I – point 6 – point b

Motion for a resolution

(b) the right to access information, *public or private*, needed for scientific purposes, which is to be balanced with the rights of information holders;

Amendment

(b) the right to freely access public information, and the right to access private information needed for scientific purposes, which is to be balanced with the rights of information holders;

Or. en

Amendment 77 Francesca Donato

Motion for a resolution Annex I – point 6 – point d

Motion for a resolution

(d) the right to publish, share, disseminate and communicate openly, both intramural and extramural, the results and data of their research.

Amendment

(d) the right to publish, share, disseminate and communicate openly, both intramural and extramural, the results and data of their research, without thereby incurring disciplinary measures, censorship, discrimination or pressure of any kind to discredit them or to restrict the freedom, integrity and independence of any individual researcher.

Or. en

Amendment 78
Pernille Weiss

Motion for a resolution Annex I – point 6 – point d

Motion for a resolution

(d) the right to publish, share, disseminate and communicate openly, both intramural and extramural, the results and

Amendment

(d) the right to publish, share, disseminate and communicate openly, both intramural and extramural, the *right to*

data of their research.

speak freely about work and politics of research institutions and the results and data of their research.

Or. en

Amendment 79 Ladislav Ilčić

Motion for a resolution Annex I – point 6 – point d a (new)

Motion for a resolution

Amendment

(da) the right to express and publish views, even those that may be perceived as controversial, on topics such as gender, climate, migration, and other significant societal issues, while upholding the principles of academic freedom and responsibility.

Or. en

Amendment 80 Francesca Donato

Motion for a resolution Annex I – point 6 – point d a (new)

Motion for a resolution

Amendment

(da) The right to freely define research topics, to choose, develop and collect empirical material and to interact with other researchers, in order to safeguard the principle of freedom, integrity and independence.

Or. en

Amendment 81 Ladislav Ilčić

PE753.646v01-00 38/58 AM\1286566EN.docx

Motion for a resolution Annex I – point 6 – point d b (new)

Motion for a resolution

Amendment

(db) the right to exercise conscientious objection and refrain from engaging in specific research activities or exercises that conflict with their personal beliefs or values.

Or. en

Amendment 82 Christian Ehler

Motion for a resolution Annex I – point 7

Motion for a resolution

Individual researchers should be able to enjoy these individual rights without fear of reprisal. The exercise of those rights since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.

Amendment

7. Individual researchers should be able to enjoy these individual rights without fear of reprisal.

The exercise of those rights since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of

others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.

To ensure that any such formalities, conditions, restrictions or penalties enacted by law are not misused to improperly limit enjoyment of these rights, the formalities, conditions, restrictions or penalties enacted by law must be subject to challenge as to its necessity and impartiality in independent legal proceedings.

Or. en

Amendment 83 Pernille Weiss

Motion for a resolution Annex I – point 7

Motion for a resolution

7. Individual researchers should be able to enjoy these individual rights without fear of reprisal. The exercise of those rights since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.

Amendment

Individual researchers should be able to enjoy these individual rights without fear of reprisal. Work security, tenure and work stability should be respected and supported. The exercise of those rights since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for participating in democratic decisionmaking processes, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.

Amendment 84 Francesca Donato

Motion for a resolution Annex I – point 7

Motion for a resolution

7. Individual researchers should be able to enjoy these individual rights without fear of reprisal. The exercise of those rights since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.

Amendment

Individual researchers should be able to enjoy these individual rights without fear of reprisal. The exercise of those rights since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are *expressly* prescribed by law where they are indispensable and properly codified, in order to protect national security, health, privacy and the authority and impartiality of the judiciary. No limitation or restriction may be imposed for ideological, political or religious reasons, nor shall it consist of measures affecting the fundamental human rights of the individuals concerned.

Or. en

Amendment 85 Henna Virkkunen

Motion for a resolution Annex I – point 8

Motion for a resolution

8. In order to guarantee the freedom of scientific research for scientific researchers, scientific research organisations should enjoy effective institutional autonomy. While institutional autonomy is a prerequisite for the freedom of scientific research for scientific researchers, it is not by definition subordinate to the rights of individual researchers. Beyond its role to protect the

Amendment

8. In order to guarantee the freedom of scientific research for scientific researchers, scientific research organisations should enjoy effective institutional autonomy. While institutional autonomy is a prerequisite for the freedom of scientific research for scientific researchers and for the self-governing nature of research, it is not by definition subordinate to the rights of individual

freedom of scientific research, institutional autonomy is required for the proper functioning of the scientific sector. Therefore, the proposal should carefully balance the individual rights with institutional autonomy.

researchers. Beyond its role to protect the freedom of scientific research, institutional autonomy is required for the proper functioning of the scientific sector. Therefore, the proposal should carefully balance the individual rights with institutional autonomy.

Or. en

Amendment 86 Christian Ehler

Motion for a resolution Annex I – point 8

Motion for a resolution

8. In order to guarantee the freedom of scientific research for scientific researchers, scientific research organisations should enjoy effective institutional autonomy. While institutional autonomy is a prerequisite for the freedom of scientific research for scientific researchers, it is not by definition subordinate to the rights of individual researchers. Beyond its role to protect the freedom of scientific research, institutional autonomy is required for the proper functioning of the scientific sector. Therefore, the proposal should *carefully* balance the individual rights with institutional autonomy.

Amendment

In order to guarantee the freedom of scientific research for scientific researchers, scientific research organisations should enjoy effective institutional autonomy. While institutional autonomy is a prerequisite for the freedom of scientific research for scientific researchers, it is not by definition subordinate to the rights of individual researchers. Beyond its role to protect the freedom of scientific research, institutional autonomy is required for the proper functioning of the scientific sector. Therefore, the proposal should strike a careful balance in order to harmonise the individual rights with institutional autonomy.

Or en

Amendment 87 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 8 – point a (new)

PE753.646v01-00 42/58 AM\1286566EN.docx

Motion for a resolution

Amendment

a) Scientific research organisations should engage in international cooperation to strengthen bilateral and multilateral relation to further develop a strong science diplomacy component addressing the freedom of scientific research and possible consequences in case of breaches.

Or. en

Amendment 88 Christian Ehler

Motion for a resolution Annex I – point 8 a (new)

Motion for a resolution

Amendment

8a. Within the framework of their institutional autonomy, research organisations have to provide transparent, fair, and excellence-based procedures for academic advancement and the competitive allocation of funding.

Or. en

Amendment 89 Lina Gálvez Muñoz, Josianne Cutajar, Alex Agius Saliba

Motion for a resolution Annex I – point 9

Motion for a resolution

9. Aspects to be considered for establishing institutional autonomy include organisational autonomy, financial autonomy, staffing autonomy and scientific autonomy.

Amendment

9. Aspects to be considered for establishing institutional autonomy include organisational autonomy, financial autonomy *and transparency*, staffing autonomy and scientific autonomy.

Amendment 90 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 9 – point i (new)

Motion for a resolution

Amendment

i) New generations of researchers and scientists are key to Europe's future research area. Therefore, encourages to further promote science and research careers and opportunities for young scientists. Member States should encourage universities and research institutions to establish clearer and more structured career opportunities and to foster transparent recruitment procedures and provide appropriate social security coverage to all researchers, including doctoral candidates, who are engaged in remunerated research activity.

Or. en

Amendment 91 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 9 – point ii (new)

Motion for a resolution

Amendment

ii) Gender equality should be considered as a crucial element to promote scientific research and innovation, thus ensuring that the unique perspectives of women researchers are incorporated into solutions to the various challenges of advancing sustainable and equitable development;

Amendment 92 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 9 – point iii (new)

Motion for a resolution

Amendment

iii) Scientific researchers should be provided with quality jobs and decent working conditions, decent wages, work security and access to social protection, safe and healthy workplaces, including good work-life balance and ensuring trade union representation and bargaining rights.

Or. en

Amendment 93 Christian Ehler

Motion for a resolution Annex I – point 10

Motion for a resolution

10. Institutional autonomy is highly contextual and can be achieved in many different ways with different combinations of autonomy. For effective institutional autonomy to exist, not all these different aspects of institutional autonomy need to be fulfilled. Therefore the definition of institutional autonomy in the proposal should not be static.

Amendment

Institutional autonomy is highly 10. contextual and can be achieved in many different ways with different combinations of autonomy. For effective institutional autonomy to exist, not all these different aspects of institutional autonomy need to be *uniformly* fulfilled. Therefore the definition of institutional autonomy in the proposal should not be static, but rather should allow adaptation to different national, regional and institutional contexts in particular by allowing for emphasis to shift between different aspects of institutional autonomy by incorporating a gradual rather than binary appreciation of the different aspects.

Amendment 94 Pernille Weiss

Motion for a resolution Annex I – point 10

Motion for a resolution

10. Institutional autonomy is highly contextual and can be achieved in many different ways with different combinations of autonomy. For effective institutional autonomy to exist, not all these different aspects of institutional autonomy need to be fulfilled. Therefore the definition of institutional autonomy in the proposal should not be static.

Amendment

10. Institutional autonomy is highly contextual and can be achieved in many different ways with different combinations of autonomy. For effective institutional autonomy to exist, not all these different aspects of institutional autonomy need to be fulfilled. Nevertheless, it must be acknowledged that economic and political independence is an essential part of institutional autonomy. Therefore the definition of institutional autonomy in the proposal should not be static.

Or. en

Amendment 95 Christian Ehler

Motion for a resolution Annex I – point 11

Motion for a resolution

11. Institutional autonomy can legitimately exist only if the research organisation provides some form of self-governance *and* participation in decision-making for its scientific researchers.

Amendment

11. Institutional autonomy can legitimately exist only if the research organisation provides some form of self-governance, *including through* participation in decision-making for its scientific researchers.

Or. en

Amendment 96 Ladislav Ilčić

Motion for a resolution Annex I – point 12

Motion for a resolution

12. Scientific researchers should have the right to participate in and contribute to the governing of scientific research organisations. This includes the rights to publicly comment on the governing of the organisation without fear of reprisal. This also includes the responsibility for research organisations to create a culture of open debate. It also includes having in effective procedures to report misconduct, to protect, in accordance with the standards set out in Directive (EU) 2019/1937 of the European Parliament and of the Council¹, people reporting misconduct, breaches of academic standards or ethical standards, as well as discrete procedures, based on protecting privacy and the presumption of innocence, to handle misconduct reported.

Amendment

12 Scientific researchers should have the right to participate in and contribute to the governing of scientific research organisations. This includes the rights to publicly comment on the governing of the organisation without fear of reprisal. This also includes the responsibility for research organisations to create a culture of open debate. It also includes having in effective procedures to report misconduct, to protect, in accordance with the standards set out in Directive (EU) 2019/1937 of the European Parliament and of the Council¹, people reporting misconduct, breaches of academic standards or ethical standards, as well as discrete procedures to handle misconduct. These procedures should prioritise the protection of an individual's privacy and strictly adhere to the principle of presumption of innocence.

Or. en

Amendment 97 Ladislav Ilčić

Motion for a resolution Annex I – point 12 a (new)

Motion for a resolution

Amendment

12a. Scientific organizations should establish comprehensive policies that define misconduct. They should also implement predefined procedures that

¹ Directive (EU) 2019/1937 of the European Parliament and of the Council of 23 October 2019 on the protection of persons who report breaches of Union law (OJ L 305, 26.11.2019, p. 17).

¹ Directive (EU) 2019/1937 of the European Parliament and of the Council of 23 October 2019 on the protection of persons who report breaches of Union law (OJ L 305, 26.11.2019, p. 17).

unequivocally delineate the rights and duties of each individual involved, ensuring clarity and fairness throughout the process. These procedures should furthermore ensure that accusations are genuinely based on alleged misconduct and not on attempts to suppress or silence a researcher's scientific findings or perspectives.

Or. en

Amendment 98 Francesca Donato

Motion for a resolution Annex I – point 13

Motion for a resolution

13. Governmental bodies in the Union, at all levels of government including the Union level, should have the obligation to respect, protect, promote and ensure the freedom of scientific research.

Amendment

13. Governmental bodies in the Union, at all levels of government including the Union level, should have the obligation to respect, protect, promote and ensure the freedom, *integrity and independence* of scientific research

Or. en

Amendment 99 Ladislav Ilčić

Motion for a resolution Annex I – point 13

Motion for a resolution

13. Governmental bodies in the Union, at all levels of government including the Union level, should have the obligation to respect, protect, promote and ensure the freedom of scientific research.

Amendment

13. **The Unions** bodies **and its institutions** should have the obligation to respect, protect, promote and ensure the freedom of scientific research.

Amendment 100 Christian Ehler

Motion for a resolution Annex I – point 14

Motion for a resolution

14. Respect for the freedom of scientific research means that governmental bodies refrain from arbitrary interferences in and imposing undue restrictions on the freedom of scientific research.

Amendment

14. Respect for the freedom of scientific research means that governmental bodies refrain from arbitrary interferences in and imposing undue restrictions on the freedom of scientific research, as well as refrain from active or complicity participation in violations of the right.

Public authorities have the responsibility to investigate alleged violations and to hold perpetrators of violations accountable.

Or. en

Amendment 101 Francesca Donato

Motion for a resolution Annex I – point 14

Motion for a resolution

14. Respect for the freedom of scientific research means that governmental bodies refrain from arbitrary interferences in and imposing undue restrictions on the freedom of scientific research.

Amendment

14. Respect for the freedom of scientific research means that governmental bodies refrain from arbitrary interferences in and imposing undue restrictions on the freedom, integrity and independence of scientific research, other than those resulting from respect for the fundamental principles enshrined in the constitutional systems and human rights enshrined in the European Convention on Human Rights.

Or. en

Amendment 102

Francesca Donato

Motion for a resolution Annex I – point 15

Motion for a resolution

15. Protecting the freedom of scientific research means that governmental bodies have an obligation to take active measures to protect against third parties unduly interfering with any dimension of the freedom of scientific research.

Amendment

15. Protecting the freedom of scientific research means that governmental bodies have an obligation to take active measures to protect against third parties, *in particular private and multinational lobbies, which* unduly interfering with any dimension of the freedom, *integrity and independence* of scientific research.

Or. en

Amendment 103 Pernille Weiss

Motion for a resolution Annex I – point 15

Motion for a resolution

15. Protecting the freedom of scientific research means that governmental bodies have an obligation to take active measures to protect against third parties unduly interfering with any dimension of the freedom of scientific research.

Amendment

15. Protecting the freedom of scientific research, *as essential for scientific integrity*, means that governmental bodies have an obligation to take active measures to protect against third parties unduly interfering with any dimension of the freedom of scientific research.

Or. en

Amendment 104 Christian Ehler

Motion for a resolution Annex I – point 16

Motion for a resolution

16. Ensuring the freedom of scientific research means that governmental bodies

Amendment

16. Ensuring the freedom of scientific research means that governmental bodies

PE753.646v01-00 50/58 AM\1286566EN.docx

have to actively create all the preconditions needed for the exercise all aspects of the freedom. This includes policies for sustainable research careers. have to actively create all the preconditions needed for the exercise all aspects of the freedom, *including institutional* autonomy. This includes policies for sustainable research careers at all career stages as well as long term, reliable and stable institutional financing.

Or. en

Amendment 105 Francesca Donato

Motion for a resolution Annex I – point 16

Motion for a resolution

16. Ensuring the freedom of scientific research means that governmental bodies have to actively create all the preconditions needed for the exercise all aspects of the freedom. This includes policies for sustainable research careers.

Amendment

16. Ensuring the freedom, *integrity and independence* of scientific research means that governmental bodies have to actively create all the preconditions needed for the exercise all aspects of the freedom. This includes policies for sustainable research careers.

Or. en

Amendment 106 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 17

Motion for a resolution

17. Promoting the freedom of scientific research means that governmental bodies have to engage actively with third parties to advocate for the respect, protection and ensuring of the freedom of scientific research.

Amendment

17. Promoting the freedom of scientific research means that governmental bodies have to engage actively with third parties to advocate for the respect, protection and ensuring of the freedom of scientific research, including non-EU researches whose freedom of scientific research is under threat in third countries by making EU a "safe haven" for their freedom,

Or en

Amendment 107 Mikuláš Peksa on behalf of the Verts/ALE Group

Motion for a resolution Annex I – point 17

Motion for a resolution

17. Promoting the freedom of scientific research means that governmental bodies have to engage actively with third parties to advocate for the respect, protection and ensuring of the freedom of scientific research.

Amendment

17. Promoting the freedom of scientific research means that governmental bodies have to engage actively with third parties to advocate for the respect, protection and ensuring of the freedom of scientific research, and potentially to disengage from cooperation with third parties not abiding by the same set of principles and values.

Or. en

Amendment 108 Francesca Donato

Motion for a resolution Annex I – point 17

Motion for a resolution

17. Promoting the freedom of scientific research means that governmental bodies have to engage actively with third parties to advocate for the respect, protection and ensuring of the freedom of scientific research.

Amendment

17. Promoting the freedom, *integrity* and independence of scientific research means that governmental bodies have to engage actively with third parties to advocate for the respect, protection and ensuring of the freedom, integrity and independence of scientific research.

Or. en

Amendment 109

Francesca Donato

Motion for a resolution Annex I – point 18

Motion for a resolution

18. The legislative proposal should reflect that the freedom of scientific research has to be considered as a negative (free from interference) as well as a positive (ensure that enabling framework conditions exist) freedom. Scientific research should be free from undue government interference and there should a strong scientific community as well as a civic body receptive to scientific knowledge for the exercise of the freedom of scientific research.

Amendment

18. The legislative proposal should reflect that the freedom of scientific research has to be considered as a negative (free from interference) as well as a positive (ensure that enabling framework conditions exist) freedom. There should a strong scientific community as well as a civic body receptive to scientific knowledge for the exercise of the freedom of scientific research

Or. en

Amendment 110 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 18 – point i (new)

Motion for a resolution

Amendment

i) The legislative proposal should envisage a solidarity mechanism to address breaches of freedom of scientific research in close cooperation with EU Member States and associated countries.

Or. en

Amendment 111 Alex Agius Saliba, Patrizia Toia, Robert Hajšel, Lina Gálvez Muñoz, Josianne Cutajar, Carlos Zorrinho

Motion for a resolution Annex I – point 18 – point ii (new)

ii) The legislative proposal should include the creation of a monitoring system for reporting breaches of scientific research once identified.

Or. en

Amendment 112 Lina Gálvez Muñoz, Josianne Cutajar, Alex Agius Saliba

Motion for a resolution Annex I – point 18 a (new)

Motion for a resolution

Amendment

18a. The legislative proposal should reflect that the current research assessment and evaluation system needs a reform to guarantee the right of freedom of scientific research.

Or. en

Amendment 113 Lina Gálvez Muñoz, Josianne Cutajar, Alex Agius Saliba

Motion for a resolution Annex I – point 18 b (new)

Motion for a resolution

Amendment

18b. Freedom of scientific research will only truly be accomplished if there is transparency of funding. Science has a responsibility towards society to ensure clarity, transparency and making public when sharing and communicating research findings about the financing which made the research possible.

Amendment 114 Christian Ehler

Motion for a resolution Annex I – point 19

Motion for a resolution

19. The legislative proposal has to recognise that there are cases where scientific research and education overlap, such as in the work of a university professor or in the management of a department of a university. Given the legal basis of the proposal, as well as the very limited competences of the Union to legislate on education, the proposal should aim to protect the freedom of scientific research.

Amendment

19. The legislative proposal has to recognise that while the freedom of scientific research has its independent value, within the framework of modern universities the freedom of scientific research is inseparable from the freedom to teach. This means that, given the legal base of the proposal, and the core competences of the Union on research, and with due respect to the limited competences of the Union to legislate on education, the proposal should aim protect the fullest possible scope of intramural dissemination, particularly with regards to teaching.

Or. en

Amendment 115 Francesca Donato

Motion for a resolution Annex I – point 19

Motion for a resolution

19. The legislative proposal has to recognise that there are cases where scientific research and education overlap, such as in the work of a university professor or in the management of a department of a university. Given the legal basis of the proposal, as well as the very limited competences of the Union to legislate on education, the proposal should aim to protect the freedom of scientific research.

Amendment

19. The legislative proposal has to recognise that there are cases where scientific research and education overlap, such as in the work of a university professor or in the management of a department of a university. Given the legal basis of the proposal, as well as the very limited competences of the Union to legislate on education, the proposal should aim to protect the freedom *integrity and independence* of scientific research, *even more so when it overlaps with teaching and education*.

Amendment 116 Ladislav Ilčić

Motion for a resolution Annex I – point 20

Motion for a resolution

20. The proposed act should be legally binding across the Union. The proposal should aim to create a legal framework which offers sufficient flexibility to balance the rights and obligations on a case-by-case basis and can be applied throughout the Union despite the vast diversity in the national and regional scientific research systems. It should create a starting point for the development of legal minimum standards for the freedom of scientific research through case-law from European courts, including the Court of Justice of the European Union.

Amendment

20. The proposed act should be legally binding across the Union. The proposal should aim to create a legal framework which offers sufficient flexibility to balance the rights and obligations on a case-by-case basis and can be applied throughout the Union despite the vast diversity in the national and regional scientific research systems. It should create a starting point for the development of legal minimum standards for the freedom of scientific research through case-law from European courts, including the Court of Justice of the European Union. The proposal should acknowledge and respect that the Treaties, the Charter of Fundamental Rights of the European Union, as well as national constitutions and subsequent national laws may define the boundaries and parameters of this freedom to align with societal values and priorities.

Or. en

Amendment 117 Francesca Donato

Motion for a resolution Annex I – point 20

Motion for a resolution

20. The proposed act should be legally binding across the Union. The proposal should aim to create a legal framework

Amendment

20. The proposed act should be legally binding across the Union. The proposal should aim to create a legal framework

PE753.646v01-00 56/58 AM\1286566EN.docx

which offers sufficient flexibility to balance the rights and obligations on a case-by-case basis and can be applied throughout the Union despite the vast diversity in the national and regional scientific research systems. It should create a starting point for the development of legal minimum standards for the freedom of scientific research through case-law from European courts, including the Court of Justice of the European Union.

which offers sufficient flexibility to balance the rights and obligations on a case-by-case basis and can be applied throughout the Union despite the vast diversity in the national and regional scientific research systems. It should create a starting point for the development of legal minimum standards for the freedom, *integrity and independence* of scientific research through case-law from European courts, including the Court of Justice of the European Union.

Or en

Amendment 118 Francesca Donato

Motion for a resolution Annex I a (new)

Motion for a resolution

Amendment

Obligations of scientific researchers of public bodies

Independence should be one of the crucial values for scientific researchers of public bodies. In this regard, the proposal should introduce standards of transparency in order to protect the principles of freedom and integrity and guarantee the independence of the scientific work carried out by researchers in order to avoid conflicts of interest that could guide their ends or characterise their results, without hampering the principle of scientific excellence.

The obligations of scientific researchers should include at least:

- a) the detailed presentation of the Curriculum vitae;
- b) the publication of a Declaration of Interest formally committing each scientific researcher to avoid any current direct, indirect or potential link likely to impair his or her ability to act

impartially. Where necessary, in the case of activities already carried out for public or private bodies operating in the same field as the research project, the Declaration of Interest should indicate the area in which the activity was carried out, clarify the exact interest and role of the relevant body or organisation for each, specifying their role within that body or organisation. The Statement shall also include information that is relevant for calculating the impact of each interest in the financial sphere;

- c) the publication of an annual declaration of interest updating the position of each researcher or confirming his/her status;
- d) a prohibition once the research project has been completed and for the next five years – to accept positions or positions in public or private companies or institutions in the sector in which they have carried out their work, with appropriate penalties for infringements;

The Commission should oversee the obligations of scientific researchers through the introduction of a supervisory mechanism in order to protect the compliance of these operations – through ex-ante screening to assess the effective suitability of the scientific researcher in relation to potential conflicts of interest - to identify any shortcomings and to ensure that action is taken in good time for them, without hampering the principle of scientific excellence.