
The European Parliament,

– having regard to Article 295 of the Treaty on the Functioning of the European Union (TFEU),

– having particular regard to Article 2, Article 3(1), Article 3(3), second subparagraph, Article 4(3) and Articles 5, 6, 7 and 11 of the Treaty on European Union (TEU),

– having regard to the articles of the TFEU relating to the respect for and protection and promotion of democracy, the rule of law and fundamental rights in the Union, including Articles 70, 258, 259, 260, 263 and 265 thereof,

– having regard to Protocol No 1 on the role of national parliaments in the European Union and Protocol No 2 on the application of the principles of subsidiarity and proportionality, annexed to the Treaties,

– having regard to the Charter of Fundamental Rights of the European Union (hereinafter ‘the Charter’),

– having regard to the case law of the Court of Justice of the European Union (CJEU),

– having regard to Article 49 TEU, the Copenhagen criteria and the body of Union rules that a candidate country must fulfil if it wishes to join the Union (the acquis),


– having regard to Regulation (EU, Euratom) 2020/2092 of the European Parliament and of the Council of 16 December 2020 on a general regime of conditionality for the protection of the Union budget¹ (the Rule of Law Conditionality Regulation),


having regard to the Universal Declaration of Human Rights,

having regard to the UN instruments on the protection of human rights and fundamental freedoms and the recommendations and reports of the UN Universal Periodic Review, as well as the case law of the UN treaty bodies and the special procedures of the Human Rights Council,

having regard to the UN Declaration on Human Rights Defenders of 8 March 1999,

having regard to the recommendations and reports of the Office for Democratic Institutions and Human Rights, the High Commissioner on National Minorities, the Representative on Freedom of the Media and other bodies of the Organization for Security and Co-operation in Europe (OSCE),

having regard to the European Convention for the Protection of Human Rights and Fundamental Freedoms, the European Social Charter, the case law of the European Court of Human Rights and the European Committee of Social Rights, and the conventions, recommendations, resolutions, opinions and reports of the Parliamentary Assembly, the Committee of Ministers, the Human Rights Commissioner, the European Commission Against Racism and Intolerance, the Steering Committee on Anti-Discrimination, Diversity and Inclusion, the Venice Commission and other bodies of the Council of Europe,

having regard to the Memorandum of Understanding between the Council of Europe and the European Union of 23 May 2007 and the Council conclusions of 8 July 2020 on EU priorities for cooperation with the Council of Europe 2020-2022,

having regard to the UN Convention against Corruption,

having regard to UN International Convention on the Elimination of All Forms of Racial Discrimination,

having regard to the Council of Europe’s toolkit for Member States entitled ‘Respecting democracy, rule of law and human rights in the framework of the COVID-19 sanitary crisis’ of 7 April 2020,

having regard to the Interim Report on the measures taken in the EU Member States as a result of the COVID-19 crisis and their impact on democracy, the rule of law and fundamental rights, adopted by the Venice Commission at its 124th Plenary Session on 8 October 2020,

having regard to the 2020 Annual Report by the partner organisations to the Council of Europe Platform to Promote the Protection of Journalism and Safety of Journalists,

– having regard to the Commission’s reasoned proposal for a Council decision of 20 December 2017 on the determination of a clear risk of a serious breach by the Republic of Poland of the rule of law, issued in accordance with Article 7(1) of the Treaty on European Union (COM(2017)0835),

– having regard to the Commission communication of 17 July 2019 entitled ‘Strengthening the rule of law within the Union – A blueprint for action’ (COM(2019)0343),

– having regard to the 2020 EU Justice Scoreboard,

– having regard to the opinion of the European Economic and Social Committee of 19 June 2019 entitled ‘Further strengthening the Rule of Law within the Union. State of play and possible next steps’, which proposed the establishment of an annual forum on fundamental rights and the rule of law,


– having regard to the EU Agency for Fundamental Rights’ report of 17 January 2018 entitled ‘Challenges facing civil society organisations working on human rights in the EU’, its bulletins published in 2020 on the fundamental rights implications of the COVID-19 pandemic in the EU, and its other reports, data and tools, in particular the European Union Fundamental Rights Information System (EFRIS),

– having regard to the report of the EU Agency for Fundamental Rights of 10 September 2020 entitled ‘Antisemitism: Overview of antisemitic incidents recorded in the European Union,

– having regard to the report of the European Institute for Gender Equality entitled ‘Beijing +25: the fifth review of the implementation of the Beijing Platform for Action in the EU Member States’, published on 5 March 2020,

– having regard to the conclusions of the Council of the European Union and the Member States meeting within the Council on ensuring respect for the rule of law of 16 December 2014,

– having regard to the EU Gender Equality Strategy for 2020-2025, the EU LGBTIQ Equality Strategy for 2020-2025, the EU Strategy on the Rights of the Child for 2021-2024, and the EU Strategy for the Rights of Persons with Disabilities for 2021-2030,

– having regard to the EU Anti-Racism Action Plan for 2020-2025 and the EU Roma Strategic Framework for Equality, Inclusion and Participation,
having regard to its resolution of 25 October 2016 with recommendations to the Commission on the establishment of an EU mechanism on democracy, the rule of law and fundamental rights¹,

having regard to its resolution of 1 March 2018 on the Commission’s decision to activate Article 7(1) TEU as regards the situation in Poland²,

having regard to its resolution of 19 April 2018 on the need to establish a European Values Instrument to support civil society organisations which promote fundamental values within the European Union at local and national level³,

having regard to its resolution of 19 April 2018 on protection of investigative journalists in Europe: the case of Slovak journalist Ján Kuciak and Martina Kušnírová⁴,

having regard to its resolution of 12 September 2018 on a proposal calling on the Council to determine, pursuant to Article 7(1) of the Treaty on European Union, the existence of a clear risk of a serious breach by Hungary of the values on which the Union is founded⁵,

having regard to its resolution of 13 November 2018 on the rule of law in Romania⁶,

having regard to its resolution of 14 November 2018 on the need for a comprehensive EU mechanism for the protection of democracy, the rule of law and fundamental rights⁷,

having regard to its resolution of 13 February 2019 on experiencing a backlash in women’s rights and gender equality in the EU⁸,

having regard to its resolution of 28 March 2019 on the situation of the rule of law and the fight against corruption in the EU, specifically in Malta and Slovakia⁹,

having regard to its resolution of 18 December 2019 on the rule of law in Malta following the recent revelations surrounding the murder of Daphne Caruana Galizia¹⁰,

having regard to its resolution of 18 December 2019 on public discrimination and hate speech against LGBTI people, including LGBTI free zones¹¹,

having regard its resolution of 15 January 2020 on human rights and democracy in the world and the European Union’s policy on the matter – annual report 2018¹²,

³ OJ C 390, 18.11.2019, p. 117.
– having regard to its resolution of 16 January 2020 on ongoing hearings under Article 7(1) TEU regarding Poland and Hungary¹,
– having regard to its resolution of 17 April 2020 on EU coordinated action to combat the COVID-19 pandemic and its consequences²,
– having regard to its resolution of 19 June 2020 on the anti-racism protests following the death of George Floyd³,
– having regard to its resolution of 19 June 2020 on the reopening of the investigation against the Prime Minister of the Czech Republic on the misuse of EU funds and potential conflicts of interest⁴,
– having regard to its resolution of 17 September 2020 on the proposal for a Council decision on the determination of a clear risk of a serious breach by the Republic of Poland of the rule of law⁵,
– having regard to its resolution of 7 October 2020 on the establishment of an EU Mechanism on Democracy, the Rule of Law and Fundamental Rights⁶,
– having regard to its resolution of 8 October 2020 on the rule of law and fundamental rights in Bulgaria⁷,
– having regard to its resolution of 25 November 2020 on strengthening media freedom: the protection of journalists in Europe, hate speech, disinformation and the role of platforms⁸,
– having regard to its resolution of 26 November 2020 on the situation of Fundamental Rights in the European Union – Annual Report for the years 2018-2019⁹,
– having regard to its resolution of 17 December 2020 on the Multiannual Financial Framework 2021-2027, the Interinstitutional Agreement, the EU Recovery Instrument and the Rule of Law Regulation¹⁰,
– having regard to its resolution of 11 March 2021 on the declaration of the EU as an LGBTIQ Freedom Zone¹¹,

¹ Texts adopted, P9_TA(2020)0014.
⁵ Texts adopted, P9_TA(2020)0225.
having regard to its resolution of 25 March 2021 on the application of Regulation (EU, Euratom) 2020/2092, the rule-of-law conditionality mechanism,

having regard to its resolution of 29 April 2021 on the assassination of Daphne Caruana Galizia and the rule of law in Malta,

having regard to its European Added Value Assessment accompanying the legislative initiative report on an EU mechanism on democracy, the rule of law and fundamental rights of October 2016,

having regard to its Preliminary Assessment on the European added value of an EU mechanism on democracy, rule of law and fundamental rights of 23 April 2020,

having regard to Rule 54 of its Rules of Procedure,

having regard to the opinions of the Committee on Budgetary Control, the Committee on Legal Affairs, the Committee on Constitutional Affairs and the Committee on Petitions,

having regard to the report of the Committee on Civil Liberties, Justice and Home Affairs (A9-0199/2021),

A. whereas the Union is founded on the common values enshrined in Article 2 TEU of respect for human dignity, freedom, democracy, equality, the rule of law and respect for human rights, including the rights of persons belonging to minorities – values common to the EU Member States and to which candidate countries must adhere in order to join the Union; whereas democracy, the rule of law and fundamental rights are mutually reinforcing values which, when undermined, may pose a systemic threat to the Union; whereas respect for the rule of law is binding on the Union as a whole and its Member States at all levels of governance, including subnational entities;

B. whereas the annual rule of law review cycle is a welcome addition to the tools available to preserve the values enshrined in Article 2 TEU by addressing the situation in all EU Member States based on four pillars, with a direct bearing on respect for the rule of law; whereas it is intended as a yearly cycle to ensure the rule of law and to prevent problems from emerging or deepening;

C. whereas the Commission’s first rule of law report (2020 report) is limited in scope, as it does not cover all EU values as provided for in Article 2 TEU;

D. whereas the Charter became a fully-fledged component of the Treaties when the Treaty of Lisbon came into force, and is therefore now legally binding on the institutions, agencies and other bodies of the EU and on the Member States when EU legislation is applied; whereas a genuine culture of fundamental rights must be developed, fostered and strengthened not only within the EU institutions, but also in the Member States, in particular when they apply EU law domestically and in their relations with non-EU countries;

1 Texts adopted, P9_TA(2021)0103.
E. whereas while the 2020 report raises concerns and awareness, it does not provide a sufficient assessment of the effectiveness of the changes carried out by each country, nor any concrete country-specific recommendations or examination of each country’s adherence to the rule of law over time, which could jeopardise its intended preventive effects;

F. whereas without effective follow-up through annual monitoring, the 2020 report may fail to prevent, detect and effectively address systemic challenges and backsliding on the rule of law as witnessed in several EU Member States in recent years; whereas upholding the rule of law is an essential precondition for compliance with the principle of sound financial management and for the protection of the Union’s financial interests;

G. whereas in the last few years, several resolutions adopted by Parliament have identified serious rule of law issues in a number of Member States;

H. whereas backsliding on the rule of law and fundamental rights in some countries is seriously affecting mutual trust in the functioning of the area of freedom, security and justice and threatening the Union objectives as enshrined in Article 3 TEU, as illustrated by several cases where the European Arrest Warrant was placed under strain owing to profound doubts about the independence of the judiciary;

I. whereas ombudsperson institutions and equality bodies in the Member States play a critical role in safeguarding key principles of the rule of law, such as transparency, accountability and due process;

J. whereas emergency measures taken in response to the COVID-19 pandemic have affected the exercise of EU citizens’ fundamental rights, such as the right to free movement, access to justice, access to public information, privacy, the freedom of association and the freedom of assembly, as well as having an impact on democratic checks and balances; whereas it is therefore crucial to ensure that effective checks and balances on governments’ actions are in place in defence of EU citizens’ rights;

K. whereas several Member States’ international press freedom rankings have declined and violence against journalists has increased; whereas the threats to media freedom include harassment and attacks aimed at journalists, a disregard for journalists’ legal protection, as well as media capture and actions motivated by economic or political aims in the media sector; whereas the worrisome developments aimed at stifling free speech and press freedom set a bad example within the EU and EU accession countries;

L. whereas it is necessary to strengthen and streamline existing mechanisms and to develop an effective EU mechanism on democracy, the rule of law and fundamental rights to ensure that the principles and values enshrined in the Treaties are upheld throughout the Union;

M. whereas respect for the rights of minorities constitutes one of the political criteria that a candidate country must fulfil upon accession; whereas the Union has an important role

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1 See, for example, its resolutions cited herein of 1 March 2018, 19 April 2018, 13 November 2018, 28 March 2019, 18 December 2019, 19 June 2020, 8 October 2020 and 29 April 2021.
to play in ensuring respect for the rights of national and linguistic minorities in candidate countries; whereas Parliament has already called on the Commission\(^1\) to adopt a common framework of minimum EU standards for the protection of the rights of persons belonging to minorities, which are strongly embedded in a legal framework guaranteeing democracy, the rule of law and fundamental rights throughout the Union;


1. Welcomes the Commission’s first annual rule of law report; considers it vital to establish a European rule of law monitoring and enforcement architecture in the Union; reiterates the importance of identifying risks in advance and of preventing violations of fundamental rights and the rule of law, instead of reacting *ex post* when such violations are repeated; encourages, therefore, the further development of this new tool;

2. Welcomes the fact that the functioning of the justice systems, the anti-corruption framework, media pluralism and certain institutional issues related to checks and balances, including civic space to a certain extent, are all part of the Commission’s annual overview of the rule of law situation in the Member States; calls, moreover, for the inclusion in the annual reports of certain important elements of the Venice Commission’s 2016 Rule of Law Checklist, such as legal safeguards to prevent arbitrariness and abuse of power by public authorities, the independence and impartiality of the legal profession, equality before the law and non-discrimination; encourages the Commission to also highlight positive trends in Member States that could serve as good examples for others to follow;

3. Notes with satisfaction that the report contains country-specific chapters; commends the Commission’s efforts to engage with national governments and national parliaments as well as civil society and other national actors; encourages the Commission to devote greater efforts to deepening the country analyses with a view to better assessing the severity of rule of law challenges; believes that more time should be devoted to the Commission’s country visits, including on site, in order to achieve broader engagement and dialogue with national authorities and civil society; considers that the Commission should raise greater awareness of these visits in order to foster a rule of law culture at national level;

4. Welcomes the fact that all Member States are scrutinised according to the same indicators and methodology; emphasises, however, that presenting breaches of a different nature equally risks trivialising the most serious breaches of the rule of law; urges the Commission to differentiate its reporting by distinguishing between systemic breaches of the rule of law and individual, isolated breaches; stresses the potential preventive benefits of the annual rule of law report; considers that a more thorough evaluation is needed to assess whether the report has had a sufficient preventive effect; considers that in any event this is clearly not the case as regards the Member States under the Article 7(1) TEU procedure; believes that the 2020 report could have provided more in-depth and transparent assessments, stating whether there were serious deficiencies, a risk of a serious breach or an actual breach of EU values in each of the pillars analysed in the country chapters; considers these assessments necessary to

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\(^1\) Resolution of 13 November 2018 on minimum standards for minorities in the EU (OJ C 363, 28.10.2020, p. 13).
formulate conclusions about the state of the rule of law and to identify follow-up actions and remedial measures and tools; calls for a synthetic approach in future reports in order to clearly identify where the most important risks and problems lie across the Member States; calls on the Commission to update its methodology accordingly and to keep Parliament informed without undue delay;

5. Considers that the 2020 report is overly descriptive and does not provide sufficient analysis; calls on the Commission to make future reports more analytical; considers it necessary that future reports should contain country-specific recommendations on how to address the concerns identified or remedy breaches, including deadlines for implementation, where appropriate, and benchmarks to be followed up on; calls on the Commission to include in the reports indications of the follow-up on the implementation of its recommendations and remedial action;

6. Is concerned by the spillover effects of the erosion of media freedom into other areas analysed in the report; considers that smear campaigns against academics, journalists, judges, legal professionals, civil society organisations and activists, notably strategic lawsuits against public participation (SLAPPs), serve to limit their independence and ability to act, with chilling effects;

7. Calls, therefore, for a more integrated analysis on the interlinkages between the four pillars included in the report and of how combined deficiencies may amount to systemic breaches of the rule of law or risks thereof, and a signal if those are affecting or risk affecting the financial interests of the Union;

8. Considers that the annual reports should identify cross-cutting trends at EU level; believes that an EU-wide perspective is absent from the 2020 report; asks the Commission to identify instances where certain measures or practices that undermine the rule of law, media freedom, checks and balances, or the fight against corruption in one Member State become blueprints for others, or when the gravity and scope of such practices have the potential to affect the Union as a whole; calls on the Commission to assess how such attacks compromise the quality of democracy in the Union; calls for the reports’ analyses to prioritise these trends, including the increasing challenges posed by national constitutional courts to the EU legal architecture, in order to guide remedial action at EU level; calls on the Commission to provide clear illustrations of systematic disinformation and foreign interference campaigns aimed at undermining public trust in state institutions and independent media while pushing Member States towards authoritarian-style governance structures;

9. Regrets the fact that not all rule of law issues were covered in sufficient detail in the 2020 report; invites the Commission to develop its country-specific expertise and capacity so as to react more swiftly to negative developments in the Member States; calls on the Commission to devote sufficient resources to the monitoring and enforcement of the rule of law in the EU;

10. Stresses that the Member States’ laws, adherence to the rule of law, checks and balances, and democratic institutions, including the independence thereof, should be functional not only de jure but also de facto;

Justice systems
11. Welcomes the monitoring of the independence, quality and efficiency of the Member States’ justice systems, including the national prosecution services and their capacity to provide for effective judicial protection to ensure compliance with EU law; considers that the enabling environment to ensure access to justice for all should also be monitored, including access to justice at EU level and the efforts and resources devoted to guaranteeing access to justice; is concerned about the lack of a direct redress mechanism available to EU citizens to defend their rights as provided by the Charter; considers that the reports should go beyond a static annual snapshot and include any relevant information in the country chapters about the state of the rule of law, including on relevant antecedents and the political context in which new developments take place, so as to enable an accurate, dynamic and integral assessment of the de jure and de facto independence of judicial systems, including the independence of lawyers and the legal profession, and should cover a longer period of time than just the previous 12 months; highlights that adequate rule of law standards should be guaranteed for EU citizens and residents when exercising their right to freedom of movement within the EU; stresses that effective access to justice for all citizens is a cornerstone of the rule of law which, on account of their vulnerability, must be especially guaranteed for seasonal workers and cross-border workers when pursuing a professional activity in another Member State;

12. Stresses that effective, independent and efficient justice systems are essential for upholding the rule of law; recalls that the Union’s judicial architecture includes national justice systems; underlines the fact that in order to safeguard EU citizens’ fundamental rights and freedoms, justice systems and judges must be independent and thus protected from any kind of pressure, threat or interference – whether direct or indirect – from any quarter, including political authorities; welcomes the fact that the composition of judicial bodies, appointment methods, in addition to mechanisms governing length of service and grounds for rejection and dismissal, career advancement, disciplinary procedures and sanctions, have also been identified as indicators of judicial independence; stresses that the monitoring of these parameters must be constant and embedded in a comprehensive assessment of all checks and balances, while refraining from focusing only on a limited number of parameters, in order to verify the true state of independence of the judiciary in the Member States;

13. Notes that the 2020 report rightly addresses the need to digitalise justice proceedings and training for judges; recalls that significant differences remain between the Member States in the level of participation in training dedicated to legal professions; regrets the fact that the report fails to mention training for lawyers;

14. Is alarmed by the stark deterioration of the independence of some Member States’ justice systems and by the increasing and blatant lack of compliance with EU law, including CJEU judgments; notes that judicial independence continues to be an area of serious concern in some Member States, as reflected in some country chapters; calls on the Commission to clearly assess and designate such shortcomings and findings identified as a clear risk of a serious breach of the rule of law; is deeply concerned by the Commission’s failure to react promptly and with legal means to the serious risks regarding the rule of law identified in the country chapters, above all once these have materialised into actual breaches of the rule of law; calls on the Commission to provide a meaningful, simple and clear assessment of the different national justice systems and to highlight where best practices for comparable systems might be applied and how similar deficiencies could be addressed;
15. Highlights that in accordance with Article 17(1) TEU, the Commission is required to ensure the application of the Treaties and secondary legislation, including in cases where risks of serious breaches of the values laid down in Article 2 TEU, as identified in the country chapters, have effectively materialised following the publication of the 2020 report;

16. Decries the political pressure applied in Hungary and Poland to prevent national courts from initiating preliminary ruling proceedings before the CJEU under Article 267 TFEU, which is intended to prevent national judges from asking the CJEU questions in relation to EU requirements on judicial independence; considers this practice to be in contravention of the Treaties and the CJEU’s established interpretation of the relevant provisions; is appalled by the growing and deliberate lack of compliance with CJEU rulings; believes that these unlawful developments pose a systemic threat to the unity and consistency of EU law and to the very functioning of the Union; invites the Commission to include in its future reports detailed data on Member States’ compliance with CJEU rulings; considers, therefore, that forthcoming annual reports should consider the failure to respect CJEU rulings as serious violations in the assessment; urges the Commission to ensure immediate and adequate legal responses to refusals to implement and respect CJEU rulings, such as court actions under Article 260 TFEU; calls on the Commission to closely monitor the rulings of national courts regarding the primacy of EU law over national constitutional norms and to initiate infringement proceedings against Member States that consistently breach this principle; deplores, moreover, the request made by the Prime Minister of Poland to the Constitutional Tribunal to rule on the primacy of national constitutional norms over EU law;

17. Notes that the slowness of civil, criminal and administrative judicial procedures constitute a major danger, not least for the respect for the rule of law; calls on the Commission to include in its future reports an evaluation of prison conditions, judicial backlogs and the average duration of trials for each Member State;

**Anti-corruption framework**

18. Welcomes the dedication of a specific chapter to anti-corruption efforts in each country chapter, since systemic corruption undermines both the functioning of the rule of law and the trust of EU citizens in the decisions taken by authorities, civil servants and the judiciary; stresses that by diverting public funds away from their intended public use, corruption detracts from the level and quality of public services, thereby undermining fundamental rights; points out that while the existence of national anti-corruption legislation, policies and strategies can be considered progress, their implementation and subsequent effectiveness on the ground are crucial for the rule of law and must also be assessed; underlines that anti-corruption frameworks should cover areas such as ethical rules, awareness-raising measures, rules on asset disclosures, incompatibilities and conflicts of interest, public procurement, internal control mechanisms, rules on lobbying, and revolving doors; calls on the Member States and institutions to devise effective tools to prevent, detect risk, halt and sanction cases of corruption and fraud, as well as mechanisms to recover the profits from those cases, in particular by regularly monitoring the use of both EU and national public funds; notes that an assessment of the resilience of the anti-corruption framework to tackle corruption-related risks in the area of public procurement remains largely absent from the 2020 report;
19. Invites the Commission to place greater emphasis on the misuse of EU funds, particularly in view of the Rule of Law Conditionality Regulation, and to review the proper functioning of investigations and public prosecution services in each Member State in relation to the investigation and prosecution of fraud, including tax fraud, corruption or other breaches of EU law relating to the implementation of the EU budget or the protection of the Union’s financial interests; expresses its concern over the potentially increasing risk of the Union’s budget being misused as a means to weaken the rule of law in some Member States;

20. Is deeply concerned by the growing threat of corruption-related crimes; calls on the Commission to update and enhance the Union’s anti-corruption legislation where necessary, using the report’s findings to better respond to the deficiencies identified, and to enact an appropriate set of policies to combat judicial corruption in the Member States; underlines the dangers of the rise of corruption for the cohesion of the Union’s legal order, the effectiveness of its common policies, the protection of fundamental rights, its international credibility and the functioning of its internal market, in which respect for the rule of law plays an important role; calls on the Commission to outline best practices, identify areas that are particularly susceptible to corruption and devise country-specific recommendations for improvements, and to use that knowledge to update and enhance the Union’s anti-corruption framework;

21. Recalls the key role of whistleblowers in combating organised crime, corruption and money laundering offences;

22. Warns that the lack of uniform, up-to-date and consolidated statistics across all Member States, coupled with challenges in collecting information on the beneficiaries of EU programmes, hinder the assessment and comparison of data about the investigation and prosecution of corruption offences; calls on the Commission, therefore, to support and promote the harmonisation of the definitions of such offences across the Union, and to ensure a better use of existing data sets and the methodology to develop new data sets in order to obtain comparative data across the EU on the treatment of corruption cases; highlights the importance of supporting and strengthening cooperation between the EU institutions, the Member States, the European Anti-Fraud Office (OLAF) and the European Public Prosecutor’s Office (EPPO) in the fight against corruption; is of the opinion that fighting corruption requires not only a strong mandate, but also a far greater budget, resources and any kind of support necessary for the aforementioned institutions and bodies;

Freedom of expression: media freedom and pluralism, artistic and academic freedoms

23. Welcomes the inclusion in the report of a specific chapter on monitoring media freedom and media pluralism; welcomes, in particular, the focus on the safety of journalists; urges the Commission to provide an assessment of the efficiency and effectiveness of the national frameworks for the protection of media freedom and media pluralism; stresses the importance of assessing and monitoring the situation of the media in the Member States, in particular by examining measures taken by any government to silence critical media and/or to undermine freedom and pluralism, in order to prevent the risk of further concentration of information in the hands of a few, which could hamper the spread of free and independent information;
24. Deplores the lack of assessment as regards the public service and private media sector at national level and its *de jure* and *de facto* degree of independence from national authorities, political parties or any other interference, including the lack of an assessment of potential conflicts of interest and of media concentration and transparency of media ownership; highlights the need to ensure the financial independence of and conditions for sustainable activity by private media operators in order to avoid the political capture of the media; highlights the irreplaceable role of the public service media and stresses that it is essential to ensure and maintain their independence and freedom from political interference; deplores the lack of assessment of the *de jure* and *de facto* degree of independence of national media regulatory bodies; believes that proper implementation of Article 30 of the 2018 Audiovisual Media Services Directive\(^1\) should be closely monitored and that, where warranted, infringement procedures should be swiftly initiated following this process; calls on the Commission, in this regard, to examine the attempts to intimidate and defame journalists, in particular by public service broadcasters, including direct attacks on foreign journalists as public enemies for their investigative reports;

25. Is alarmed by the growing deterioration of media freedom and media pluralism in some Member States since the publication of the 2020 report; is deeply concerned at the physical, psychological and economic threats, abuses, crimes and assassinations being committed against journalists and media workers in the Union in response to their activities and recalls that such attacks often lead to self-censorship; calls on the Commission to include in the country chapters of future reports an overview of the attacks against journalists across the Union, with a specific focus on assassinations of journalists, including the effective independence of subsequent criminal investigations and proceedings from political interference, and the responses provided by Member States in this regard;

26. Observes with concern that challenges to media freedom are closely linked to the undermining of artistic freedom and academic freedom; calls, therefore, for this pillar to be expanded to all aspects of freedom of expression, including the fight against hate speech, and for the title of the pillar to be adapted accordingly;

27. Expresses concern at the use of legal measures by governments and powerful individuals to silence critics, such as SLAPPs or laws curtailing the right to freedom of expression in a manner incompatible with individuals’ fundamental rights; calls on the Member States to legislate in order to protect journalists from this practice; calls on the Commission to propose EU anti-SLAPP legislation to protect journalists from vexatious lawsuits;

28. Observes that the deterioration of media freedom is leading to an increase in the scapegoating and targeting of minorities, often government-led, such as against LGBTI people, migrants and refugees, resulting in an increase in hate speech against these groups and censorship of media; calls on the Commission to assess in future reports the effect that hate crimes and hate speech have on discrimination;

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\(^1\) OJ L 303, 28.11.2018, p. 69.
29. Welcomes the report’s pillar on checks and balances and its examination of exceptional measures taken to fight the COVID-19 pandemic; recalls that government-led emergency measures that respect the rule of law, fundamental rights and democratic accountability are needed to combat the pandemic and should be the cornerstone of all efforts to control the spread of COVID-19; considers that emergency powers require additional scrutiny to ensure that they are not used as a pretext for changing the balance of powers more permanently; is alarmed by the use of COVID-19 emergency measures as a pretext to fast-track discriminatory legislation; calls on the Commission to continue its monitoring of exceptional measures to ensure that bills are prepared and enacted in a timely and transparent way so that they are necessary, proportionate, socially equitable and temporary and that access to judicial redress is not disproportionally affected by the closure of courts; underlines, in this context, the role of parliamentary scrutiny and consultation with civil society; calls on the Commission to continue to monitor the gradual lifting of measures in a timely manner; encourages the Commission to ensure that the rights of EU citizens are respected, protected and upheld by the Member States during the COVID-19 pandemic and beyond;

30. Recalls the importance of independent national human rights institutions and ombudsperson bodies, in full compliance with the Paris Principles, as well as equality bodies, in preserving EU citizens’ rights and being able to defend the rule of law at national, regional and local levels; is deeply concerned by recent attempts in Poland to undermine the independence from the executive of the national ombudsman; welcomes the reference to the role of ombudsperson institutions in the 2020 report; calls on the Commission to pay more attention in the next annual cycle to the activities of national ombudspersons and equality bodies by looking in greater depth at how they function, their degree of independence and their real contribution in ensuring that adequate safeguards are in place; stresses, in particular, the diminishing independence of some Member States’ equality bodies since the publication of the 2020 report, which constitutes an immediate threat to the fundamental rights of citizens; reiterates its concern about the increasingly shrinking space for independent civil society in some Member States, notably for women’s rights, minorities and human rights defenders, including the criminalisation of activities, unreasonable administrative burdens, restrictions on access to funding, decreasing financial support for advocacy activities, and restrictions on freedom of assembly and organisation;

31. Stresses the importance of a healthy civic space for promoting and monitoring EU values and holding governments accountable with regard to their adherence to these, as well as for counterbalancing the erosion of the rule of law and fostering a rule of law culture; invites the Commission to deepen its assessment of civic space in the 2021 report; considers it beneficial to explore the definition of clear benchmarks on an enabling civic space to further strengthen this area of analysis in the long run, including, among other areas, an enabling legal environment for the exercise of civic freedoms, a framework for civic organisations’ financial viability and sustainability, including the issue of government-organised non-governmental organisations (GONGOs), access to and participation in decision-making, the right to access information, safe space, including as regards incidences of and responses to verbal and physical attacks, smear campaigns, and legal, administrative and fiscal harassment including from SLAPPs, the chilling effects they create, and their long-term consequences in terms of active
citizenship in another country; reiterates that the EU institutions should maintain an open, transparent and regular dialogue with representative associations and civil society; calls on the Commission to assess in its future reports whether the exercise of political rights by EU citizens is guaranteed in all Member States;

32. Regrets the fact that Hungary’s failure to implement a CJEU ruling in relation to the unlawful restrictions imposed on the financing of civil organisations by persons established outside Hungary, which in itself constitutes a serious violation of the rule of law, has served to perpetuate the process of shrinking space for civil society in the country; urges the Commission to refer Hungary to the CJEU and to request dissuasive financial sanctions under Article 260 TFEU as a matter of urgency; notes with concern that an increasing number of Member States are adopting legislation which creates severe constraints on the freedom of association and expression for civil society organisations, thereby contributing to shrinking space for civil society;

33. Regrets the fact that the report fails to clearly recognise the deliberate process of democratic and rule of law backsliding organised by national authorities in some EU Member States and the ensuing progressive establishment of (semi-)autocratic regimes based on the gradual annihilation of all checks and balances; calls on the Commission to acknowledge and take account of the multiple annual reports and indexes by respected and established organisations which assess the Member States’ adherence to democracy, the rule of law and human rights over time;

Scope of the report – the missing areas

34. Regrets the fact that the 2020 report fails to encompass fully the Article 2 TEU values of democracy and fundamental rights, which are immediately affected when countries start backsliding on the rule of law;

35. Calls on the Commission to include country chapters of all candidate and potential candidate countries to the EU, including an in-depth analysis of their justice systems, anti-corruption frameworks, media freedom and pluralism situation, and institutional checks and balances;

36. Reiterates the intrinsic link that exists between the rule of law, democracy and fundamental rights and the need to increase awareness of the values enshrined in Article 2 TEU and the Charter; calls on the Commission to consider including within the scope of future reports the application of all rights guaranteed by the Charter; stresses that any action taken by a Member State when acting within the scope of EU law must respect the rights and principles of the Charter; insists, therefore, on maintaining the link between upholding the rule of law and equality before the law, the right to an effective remedy before an independent and impartial tribunal, the right to a fair trial, and the right to be advised, defended and represented, as well as the provision of independent legal aid to those who lack sufficient resources, and the right to good administration as set out in Article 41 of the Charter;

37. Strongly denounces the fact that EU and international legislation is not fully respected in some EU Member States, for example in the field of anti-discrimination or asylum, as exemplified by Hungary’s failure to implement several rulings of the CJEU and European Court of Human Rights in relation to access to the asylum procedure,
including automatic and unlawful detention and the deprivation of food, which violates the rights of migrants and asylum seekers to apply for international protection;

38. Underlines its concern at the fact that people in vulnerable situations, including persons with disabilities, children, religious minorities, particularly at a time of rising antisemitism and islamophobia in Europe, Roma and other persons belonging to ethnic and linguistic minorities, migrants, asylum seekers, refugees, LGBTI+ persons and elderly people as well as women, continue to see their rights not being fully respected across the Union in contravention of Article 2 TEU; emphasises the obvious link between deteriorating rule of law standards and fundamental rights and minority rights violations in the Member States concerned; calls on the Commission to assess the persistent violations of democracy and fundamental rights throughout the Union, including attacks against people in vulnerable situations;

39. Welcomes the Commission’s announcement of its strategy to strengthen the application of the Charter; considers that focusing on a single pre-defined topic every year would not allow other serious violations of the Charter that take place in a given year to be highlighted; believes that such an annual review should provide input for a comprehensive monitoring mechanism and that its methodology, cycle and scope should therefore be aligned with the annual reports; expresses regret and concern about the Commission’s reluctance to initiate infringement proceedings with regard to the violations of the Charter;

40. Calls on the Member States to draw up annual reports on democracy, the rule of law and fundamental rights, including equality and the rights of persons belonging to minorities;

41. Points out that the Union’s annual reporting mechanism should consolidate and supersede existing instruments in order to avoid duplication, in particular the annual rule of law report, the Commission’s Rule of Law Framework, the Commission’s annual reporting on the application of the Charter, the Council’s Rule of Law Dialogue, and the Cooperation and Verification Mechanism, while ensuring greater complementarity and consistency with other tools available, including procedures under Article 7 TEU, infringement proceedings and budgetary conditionality; considers that the three institutions should use the findings of the annual monitoring cycle in their assessment for the purposes of triggering Article 7 TEU and budgetary conditionality; stresses that the roles and prerogatives of each of the three institutions must be respected; commits to combining its annual work on the rule of law and fundamental rights reports into a broader annual monitoring cycle on Article 2 TEU, and to start working on it immediately after the Commission has published its rule of law report;

42. Calls for an evaluation to determine whether the scope of the non-discrimination clause in the Charter is broad enough to make the enforcement of the rule of law in the Member States and the Union consistent with Article 14 of the European Convention for the Protection of Human Rights and Fundamental Freedoms, and what further actions the EU institutions can take to ensure it is adequately applied; recalls that while the Charter is only applied by judicial authorities when implementing EU law, it is important that the rights enshrined in the Charter are always taken into account in proceedings in order to foster a common rule of law culture; calls on the Commission, therefore, to also consider Charter-focused training modules for judges and legal practitioners;
Sources and methodology of the report

43. Calls on the Commission to strengthen the regular, inclusive and structured dialogue with governments and national parliaments, NGOs, national human rights institutions, ombudspersons, equality bodies, professional associations and other stakeholders; calls on the Commission, moreover, to continue to allow for both public and confidential reporting in order to protect and support human rights defenders and rule of law specialists at risk of SLAPPs, prosecution or harassment by national authorities or their proxies; while welcoming the fact that 24 Member States transparently made public their submissions for the 2020 report, regrets the fact that three Member States refused to do so; calls for full transparency in the process and for all Member States’ submissions to be made public; considers that civil society organisations should be closely involved in all phases of the review cycle;

44. Regrets the fact that the Commission did not consult stakeholders, including Parliament, on the development of the methodology and preparation process for the 2020 report, nor did it seek to obtain feedback on their workability;

45. Recalls that the Commission must take into account relevant information from pertinent sources and recognised institutions; recalls that the findings of relevant international bodies, such as those under the auspices of the UN, the OSCE and the Council of Europe, are of crucial importance for assessing the situation in the Member States; believes that EFRIS is a source of information in this regard; calls on the Commission to invite the EU Agency for Fundamental Rights to provide methodological advice and conduct targeted comparative research to plug the gaps and add detail in key areas of the rule of law report; stresses the need to involve a panel of independent experts in cooperation with the EU Agency for Fundamental Rights and the Venice Commission in the rule of law report, in order to help identify the main positive and negative developments in each Member State;

46. Stresses that civil society are key partners to identify rule of law violations and promote democracy and fundamental rights; strongly believes that the Commission should institute a formal and continuous dialogue with civil society representatives on these issues and ensure their meaningful involvement in the elaboration of the annual rule of law report; highlights, in this regard, that based on the experience of NGOs in the 2020 cycle, thematically structured consultations held within the framework of the rule of law debates would increase the efficiency of the process and the amount of valuable feedback provided by civil society; stresses that the consultation questionnaire should allow stakeholders to report aspects beyond the scope envisaged by the Commission, which could serve to further assess whether the constitutional arrangements provide efficient mechanisms to limit the exercise of power;

47. Considers that the timeframes for consultation with civil society could often be perceived as too short and should be suitably adapted and flexible in order to allow for complete and comprehensive input; points out that this has made it more difficult for stakeholders, especially civil society organisations, to prepare and plan their contributions as well as the domestic awareness-raising activities they intend to pursue for the launch of the report; notes that organising consultations before the annual release of public statistics impoverishes contributions; calls on the Commission to allow multilingual submissions; suggests making the framework for stakeholders’ contributions predictable and less rigid; notes that consultation can be improved by
ensuring, among other endeavours, follow-up with civil society actors on the input they provide;

48. Considers that cooperation in the annual monitoring cycle with the Council of Europe and its Parliamentary Assembly, including through a more structured partnership, is of particular relevance for advancing democracy, the rule of law and fundamental rights in the EU; calls on the Commission to include in the country chapters data on non-compliance with judgments of the European Court of Human Rights as assessed by the Committee of Ministers; recalls that the Union’s accession to the European Convention for the Protection of Human Rights and Fundamental Freedoms is a legal obligation laid down in Article 6(2) TEU; reiterates the need for a swift conclusion of the accession process in order to ensure a consistent framework for human rights protection throughout Europe and to further strengthen the protection of fundamental rights and freedoms within the Union;

II. Institutional aspects of the EU mechanism on democracy, the rule of law and fundamental rights

49. Reiterates its calls on the Commission and the Council to insist that they respond positively to Parliament’s call in its resolution of 7 October 2020 for a joint EU mechanism on democracy, the rule of law and fundamental rights, which should cover the full scope of Article 2 TEU values; reiterates that such a mechanism is necessary to reinforce the promotion and respect for EU values; recalls that this annual cycle should be comprehensive, objective, impartial, evidence-based and applied equally and fairly to all Member States;

Country-specific recommendations

50. Reiterates its call on the Commission to provide for a true assessment of the situation of each of the Article 2 TEU values in the Member States and to adopt clear country-specific recommendations on how to address the concerns identified and remedy the breaches concerned, including deadlines for implementation, where appropriate, and benchmarks to be followed up on, including timelines, targets and concrete actions to be taken, in order to assist Member States in addressing the weaknesses identified in the report; calls for these initiatives to be followed up on in subsequent annual or urgent reports;

51. Recommends that the Commission align its recommendations with tools that could be applied to remedy the shortcomings identified; calls on the Commission to improve its follow-up of the implementation of the country-specific chapters by the Member States concerned and to activate, when necessary, other rule of law tools to achieve results where recommendations are not implemented; considers that the Commission could make more use of infringement actions before the CJEU; underlines the importance of identifying clear positive and negative trends in each Member State and the need to pay particular attention to comparisons with the report of the previous year;

Interinstitutional agreement

52. Considers that the existing institutional arrangement behind the annual report falls short of Parliament’s expectations; expects the three institutions to establish a permanent interinstitutional working group, as proposed in its resolution of 7 October 2020;
53. Calls on the Commission and the Council to immediately enter into negotiations with Parliament on an interinstitutional agreement pursuant to Article 295 TFEU in order to complete existing tools with the establishment of a rule of law mechanism, by means of a legal act binding the three institutions to a more transparent and regularised process with more clearly defined responsibilities, involving a panel of independent experts to advise the working group and the three institutions, in close cooperation with the EU Agency for Fundamental Rights, in order to make the protection and promotion of all EU values a permanent and visible part of the Union’s agenda; considers that the proposal set out in the annex to Parliament’s resolution of 7 October 2020 on the establishment of an EU mechanism on democracy, the rule of law and fundamental rights constitutes an appropriate basis for such negotiations; considers that in the meantime, a pilot project involving independent experts assessing compliance with EU values could help to build the requisite knowledge and expertise; Complementarity with other rule of law instruments

54. Reiterates that the mechanism on democracy, the rule of law and fundamental rights must complement and reinforce – but by no means substitute – ongoing and future proceedings under Article 7 TEU; strongly regrets the inability of the Council to make meaningful progress in enforcing EU values in ongoing Article 7 TEU procedures; notes that the Council’s hesitance to apply Article 7 TEU is in fact effectively enabling a continued disregard for the values provided for in Article 2 TEU, including blatant non-compliance with CJEU judgments and the harassment of those seeking to uphold the rule of law in some Member States; regrets the Council’s failure to organise hearings, using COVID-19 as a pretext for this, despite the fact that there is no legal obligation whatsoever to require hearings to be held in person as opposed to via videoconferencing; requests that any legal opinion issued by the Council’s legal service arguing otherwise should be made public; urges the Council to move forward with proceedings under Article 7(1) TEU and to ensure that hearings recommence as a matter of urgency and also address new developments; reiterates its call on the Council to address concrete recommendations to the Member States in question, as stipulated by Article 7(1) TEU, as a follow-up to the hearings, and to provide deadlines for the implementation of those recommendations; calls for a reflection at the Conference on the Future of Europe on a revision of Article 7 TEU, including the voting requirements, in order to render its procedure more effective, with particular regard to overcoming unanimity for the imposition of sanctions; insists that Parliament’s role and competences be respected, in particular the right to be duly informed on the procedures of the rule of law instruments, including Article 7 TEU hearings;

55. Believes that while the annual report is an essential monitoring tool, clear recommendations on the challenges identified and follow-up action required are indispensable; reiterates that in the case of failures to implement the shortcomings and recommendations, the annual report should serve as a basis for deciding whether to activate one or several relevant instruments such as the procedure provided for in Article 7 TEU, the conditionality mechanism, whether to activate the Rule of Law Framework or whether to launch infringement procedures, including expedited procedures, applications for interim measures before the CJEU and actions regarding non-implementation of CJEU judgments concerning the protection of EU values; stresses that the report should in any case be accompanied by actionable recommendations, including deadlines for implementation; recalls that infringement actions can be simultaneously launched in respect of issues identified in Article 7(1)
TEU reasoned proposals as already established by the CJEU; urges the Commission to make robust use of infringement procedures, where appropriate, to prevent backsliding on the rule of law in national justice systems; considers that the Conference on the Future of Europe should further consolidate in Treaty provisions the well-established legal principle on the primacy of EU law; invites the Conference on the Future of Europe to consider strengthening the role of the CJEU in protecting the Union’s founding values;

56. Welcomes the fact that the Joint Declaration on the Conference on the Future of Europe identifies European rights and values, including the rule of law, as one of the topics for discussion at the conference; calls for the Conference on the Future of Europe to include a reflection on the effectiveness of the Union’s existing tools for monitoring, preventing and tackling violations of Article 2 TEU principles and to present concrete proposals for tangible action to strengthen the Union’s toolbox;

57. Stresses that the applicability, purpose and scope of the Rule of Law Conditionality Regulation is clearly defined in the legal text of Regulation (EU, Euratom) 2020/2092; stresses that the Rule of Law Conditionality Regulation has entered into force, has directly applied since 1 January 2021 and is binding in its entirety for all commitment appropriations and payment appropriations in all Member States, notably covering the disbursement of the Next Generation EU funds, and that its application by the EU institutions is not subject to the adoption of guidelines or judicial interpretation; considers that the European Council conclusions of 10 and 11 December 2020 on the Rule of Law Conditionality Regulation contravene Articles 15 and 17 TEU and Article 288 TFEU insofar as they introduce unnecessary legal uncertainty with regard to the additional Commission guidelines and suspended adoption of the regulation, in cases of Article 263 TFEU, as is currently the case following the recent actions for annulment lodged by Hungary and Poland; reiterates its call on the Commission to take immediate action under the Rule of Law Conditionality Regulation to make full use of its existing investigation tools without further delay in order to address rule of law deficiencies in Member States that could affect or seriously risk affecting the sound financial management of the EU budget in a sufficiently direct way; calls on the Commission to apply the Common Provisions Regulation\(^1\) and Financial Regulation\(^2\) more stringently in order to tackle the discriminatory use of EU funds, as it did when withholding funds for municipal or local governments proclaiming themselves ‘free from LGBTI ideology’;

58. Calls for the Commission to use the findings of the annual report in its assessment that forms the basis of the mechanism to protect the budget against breaches of the principle of the rule of law, as well as in any other relevant assessment for the purposes of existing and future budgetary tools; reiterates its call on the Commission to include in its annual rule of law reports a dedicated section with an analysis of cases where breaches of the principles of the rule of law in a particular Member State could affect or seriously risk affecting the sound financial management of the EU budget in a sufficiently direct way, which could then serve as a basis for triggering the conditionality mechanism; urges the Commission to strengthen synergies between its

annual rule of law reports and the Rule of Law Conditionality Regulation, using them as distinct but complementary tools;

59. Acknowledges that the Commission must use the annual rule of law report as an important source of information when building cases for the application of the Rule of Law Conditionality Regulation, which should include, *inter alia*, information from reports by the European Court of Auditors, OLAF and the EPPO, audit reports by the Commission and national audit authorities, judgments by the CJEU and national courts, analyses by the EU Agency for Fundamental Rights and information from different systems such as the Early Detection and Exclusion System for the Protection of the Union’s Financial Interests (EDES) and Arachne; calls on the Commission to clarify in the methodology used the link between the rule of law report and the rule of law conditionality mechanism; recalls that it is essential that the legitimate interests of final recipients and beneficiaries are properly safeguarded when measures are adopted in the event of breaches of the principles of the rule of law;

60. Calls on the Commission to promote a culture of respect for the values enshrined in Article 2 TEU, including through strengthened efforts to promote education on EU citizenship, including on the rule of law; calls on the Commission to launch a dedicated programme that supports innovative initiatives with the aim of promoting EU citizenship education; urges the Council and the Commission to provide adequate information and funding for EU-wide, national, regional and local civil society organisations and independent journalism, notably by making strategic use of funding opportunities under the Regulation establishing the Citizens, Equality, Rights and Values Programme to help them raise awareness and promote EU values and applicable tools, including the annual report, to counteract threats to the rule of law identified in the annual report, in particular where violations and shortcomings have been identified; calls on the Member States to learn from best practices and to address the gaps identified and adopt measures to improve the situation regarding all four main pillars identified in the rule of law report; highlights the need to raise awareness among EU citizens and residents on the means and procedures available at national and EU level to safeguard respect for the rule of law and to report breaches;

### III. Follow-up and impact of the report

61. Calls on the Commission to assess in successive reports how the issues identified in the areas analysed in previous reports have evolved, been solved, risk deteriorating or have deteriorated further, to identify positive and negative trends and cross-cutting issues, notably any systemic or reoccurring patterns of rule of law breaches, and to put forward clear recommendations to remedy any risks or backsliding identified;

62. Stresses the importance of promoting the findings of the annual report at national level; encourages the Commission to foster debate about the report in national parliaments and to engage with civil society organisations in the follow-up to the report;

63. Calls on the Commission to make clear in its annual rule of law reports that not all rule of law shortcomings and violations are of the same nature and/or intensity and that when the values listed in Article 2 TEU are being deliberately, gravely, permanently and systematically violated over a period of time, Member States could fail to fulfil all the criteria that define a democracy and become authoritarian regimes; stresses that the Commission’s main priority should be to enforce EU law when breaches of Article 2
TEU occur and that its annual rule of law reports should mainly contribute to that end; calls on the Commission, therefore, to assess countries under ongoing Article 7 TEU proceedings in depth in order to illustrate how the rule of law has been structurally undermined to facilitate the consolidation of authoritarian-style governance structures;

64. Underlines that this report should serve as a basis for the prioritisation of follow-up actions by the EU regarding those Member States where shortcomings or deficiencies have been witnessed, and that its contributions should be a key part of the overarching democracy, rule of law and fundamental rights mechanism;

65. Commits to beginning work on the 2021 report as soon as possible after its publication;

66. Instructs its President to forward this resolution to the Council, the Commission and the governments and parliaments of the Member States.