

23 AVR. 2014

Unité

Administration des Députés

DECLARATION OF MEMBERS' ATTENDANCE PURSUANT TO AN INVITATION AT EVENTS ORGANISED BY THIRD PARTIES

PURSUANT TO ARTICLE 5(3) OF ANNEX I TO THE EUROPEAN PARLIAMENT'S RULES OF PROCEDURE CONCERNING
THE CODE OF CONDUCT FOR MEMBERS OF THE EUROPEAN PARLIAMENT WITH RESPECT TO FINANCIAL
INTERESTS AND CONFLICTS OF INTEREST

Surname: Elles

Forename: James

I, the undersigned, on my honour and in full cognisance of the Rules of Procedure of the European Parliament, in particular Annex I thereof containing the Code of Conduct for Members, hereby declare, pursuant to Article 5(3) of the Code of Conduct, and the decision taken by the Bureau on 15 April 2013, that I have, pursuant to an invitation and in the performance of my duties as a Member of the European Parliament, attended at the following event organised by a third party where my travel, accommodation or subsistence expenses were paid or reimbursed by a third party:

Date: 14 April 2014

**STATEMENTS INCLUDED IN THIS DECLARATION ARE MADE UNDER THE SOLE AND
PERSONAL RESPONSIBILITY OF THE MEMBER AND MUST BE SUBMITTED NO
LATER THAN THE LAST DAY OF THE NEXT MONTH FOLLOWING THE FINAL DATE
OF THE MEMBER'S ATTENDANCE AT AN EVENT PURSUANT TO THE BUREAU
DECISION OF 15 APRIL 2013.**

This declaration will be published on Parliament's website.

TO BE E-MAILED TO: Administration-Deputes@europarl.europa.eu

THE SIGNED ORIGINAL SHOULD THEN BE SENT TO:

EUROPEAN PARLIAMENT

Members' Administration Unit¹

rue Wiertz, 60

PHS 07B046

B - 1047 BRUSSELS

¹ Legal Notice: The Members' Administration Unit is the data controller within the meaning of Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data (OJ L8, 12.01.2001, p. 1) and the Bureau Decision of 22 June 2005 laying down implementing rules related to that Regulation (OJ C 308, 6.12.2005, p. 1).

	INFORMATION TO BE PROVIDED	TO BE COMPLETED ACCORDINGLY
THIRD PARTY	Name, function and address of the third party who reimbursed or paid the expenses of the Member ⁶	
TYPE OF EXPENSES COVERED	Travel: No	Yes / No: No Type (e.g. flight, train): Not applicable Category (e.g. economy, business): Not applicable
	Accommodation: Yes	Yes / No: Yes Name of hotel: The Weill Center Number of nights ⁷ : one night
	Subsistence expenses: No	Yes / No / In part (insert details in the comments' section below) No
	Dates (and duration) of the Member's attendance at the event:	27 - 28 March 2014
	Type of event (if the event programme is not available for attachment hereto, give explanation in comments' section below):	Harvard Law School and CEPS 2014 Europe-U.S. Symposium on Building the Financial System of the 21st Century: an agenda for Europe and the united States (programme and participants' list are enclosed)
OPTIONAL INFORMATION	Venue (country, city):	Armonk, New York, USA
	COMMENTS	

⁶ If the third party is registered in the Transparency Register, reference can be made hereto.

⁷ Separate declaration for breakfasts is not required.

27-28/03/2014

SYMPOSIUM ON BUILDING THE FINANCIAL SYSTEM
OF THE 21ST CENTURY: AN AGENDA FOR EUROPE AND THE US
THE WEILL CENTER, ARMONK, NY • MARCH 27-29, 2014



DRAFT AGENDA AS OF MARCH 4

THURSDAY, MARCH 27

6:00-6:45 p.m. COCKTAIL RECEPTION Main Lobby

6:45-6:55 p.m. GREETINGS Dining Room

- **HAL SCOTT**, Nomura Professor and Director, Program on International Financial Systems, Harvard Law School
- **KAREL LANNOO**, Chief Executive Officer, Centre for European Policy Studies

6:55-8:15 p.m. DINNER Dining Room

8:20-8:50 p.m. KEYNOTE ADDRESS Dining Room

DANIEL TARULLO, Governor, U.S. Federal Reserve Board

Introduced by: **Hal Scott**, Nomura Professor and Director, Program on International Financial Systems, Harvard Law School

8:50-10:00 p.m. AFTER-DINNER COCKTAILS Main Lobby

FRIDAY, MARCH 28

7:15-8:00 a.m. BREAKFAST BUFFET Dining Room

**Panelists, Facilitators, and Reporters sit at reserved tables.*

8:00-9:30 a.m. BREAKFAST PANEL DISCUSSION Dining Room

SIFI design: setting the scene for insurance companies, asset management firms and other non-financial industries

- **BARBARA NOVICK**, Vice Chairman, BlackRock
- **BRANDON BECKER**, Executive Vice President and Chief Legal Officer, TIAA-CREF
- **ARTHUR LINDO**, Senior Associate Director, Division of Banking Supervision & Regulation, Board of Governors of the Federal Reserve System
- **Panelist TBA**

Moderated by: **Hal Scott**, Nomura Professor and Director, Program on International Financial Systems, Harvard Law School

9:50-10:10 a.m. PANEL SESSION Room H

The Too Big To Fail Doctrine: assessing developments for Bank Structural Reforms, Recovery and Resolution Procedures

- **GREG BAER**, Managing Director and General Counsel for Corporate and Regulatory Law, JPMorgan Chase
- Senior Executive, Banco Santander (TBA)

10:15-11:45 a.m. SMALL GROUP SESSIONS

<u>Group</u>	<u>Room</u>	<u>Facilitator</u>	<u>Reporter</u>
1	Room H	Alan Houmann	Eric Morgan de Rivery
2	Room F	Richard Kaye	Simon Gleeson
3	Room J	Paul Saltzman	Patrick Kenadjian
4	Room A	Daniel Trinder	Bill Grimes
5	Room B	Heath Tarbert	Diego Valiente
6	Dining Room 2	Bernard de Longevialle	

11:50-1:20 p.m. LUNCHEON KEYNOTE ADDRESS*Dining Room*

- **ANDREAS DOMBRET**, Member of the Executive Board, Deutsche Bundesbank
- Introduced by: **Karel Lannoo**, Chief Executive Officer, Centre for European Policy Studies

1:30-1:50 p.m. PANEL SESSION*Room H***Bringing coherence to Transatlantic rulemaking. Which Way Forward?**

- **SHARON BOWLES**, MEP, Chair, Economic and Monetary Affairs Committee, European Parliament
- **MARK SOBEL**, Deputy Assistant Secretary for International Monetary and Financial Policy, U.S. Department of the Treasury

1:55-3:30 p.m. SMALL GROUP SESSIONS

<u>Group</u>	<u>Room</u>	<u>Facilitator</u>	<u>Reporter</u>
1	Room H	Hal Scott	Eric Morgan de Rivery
2	Room F	Karel Lannoo	Simon Gleeson
3	Room J	Peter Skinner	Patrick Kenadjian
4	Room A	Nick Collier	Bill Grimes
5	Room B	Nick Reinhardt	Diego Valiente
6	Dining Room 2	Dan Waldman	

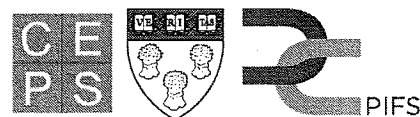
3:30-6:15 p.m. REPORTERS MEETING/FREE TIME*Room F*

- | | | |
|---|-----------------------------------|--------------------|
| 6:20-6:50 p.m. | KEYNOTE ADDRESS | <i>Room H</i> |
| <ul style="list-style-type: none"> • BRIAN LEACH, Head of Franchise Risk and Strategy, Citi <p>Introduced by: Hal Scott, Nomura Professor and Director, Program on International Financial Systems, Harvard Law School</p> | | |
| 6:55-7:30 p.m. | COCKTAIL RECEPTION | <i>Main Lobby</i> |
| 7:35-9:30 p.m. | DINNER AND KEYNOTE ADDRESS | <i>Dining Room</i> |
| <ul style="list-style-type: none"> • YVES MERSCH, Member of the Executive Board, European Central Bank <p>Introduced by: Karel Lannoo, Chief Executive Officer, Centre for European Policy Studies</p> | | |
| 9:30-10:30 p.m. | AFTER-DINNER COCKTAILS | <i>Main Lobby</i> |

SATURDAY, MARCH 29

- | | | |
|---|--------------------------------------|--------------------|
| 7:15-8:00 a.m. | BREAKFAST BUFFET | <i>Dining Room</i> |
| <i>*Chairs and Reporters sit at reserved tables</i> | | |
| 8:00-8:40 a.m. | KEYNOTE ADDRESS | <i>Dining Room</i> |
| 8:45-9:55 a.m. | PRESENTATION AND DISCUSSION | <i>Room H</i> |
| <p>Different tools or different rules? Assessing the Consistency of EU derivatives and trading rules with Dodd-Frank</p> <ul style="list-style-type: none"> • KIMBERLY TAYLOR, President, CME Clearing • BRIAN BUSSEY, Head of Derivatives Policy Group, U.S. Securities and Exchange Commission • CHRIS BATES, Partner, Clifford Chance <p>• Moderated by: Yalman Onaran, Senior Writer, Bloomberg</p> | | |
| 10:00-10:15 a.m. | REFRESHMENT BREAK | |
| 10:15-11:15 a.m. | PRESENTATION & DISCUSSION | <i>Room H</i> |
| <p>The Too Big To Fail Doctrine: assessing developments for Bank Structural Reforms, Recovery and Resolution Procedures</p> <ul style="list-style-type: none"> • EMILIANO TORNESE, Financial Stability DG Internal Market and Services, European Commission • Co-panelist to be announced | | |
| 11:15-12:15 p.m. | PRESENTATION & DISCUSSION | <i>Room H</i> |
| <p>Bringing coherence to Transatlantic rulemaking. Which Way Forward?</p> <ul style="list-style-type: none"> • ELIZABETH MCCAUL, Chief Executive Officer, Promontory Europe • RACHEL LOMAX, Chair, International Regulatory Strategy Group, City of London | | |
| 12:15-12:50 p.m. | CLOSING BUFFET LUNCH | <i>Dining Room</i> |

**SYMPOSIUM ON BUILDING THE
FINANCIAL SYSTEM OF THE 21ST CENTURY:
AN AGENDA FOR EUROPE AND THE UNITED STATES**
ARMONK, NEW YORK • MARCH 27-29



PARTICIPANT LIST as of March 19, 2014

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(SEB)*

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Member of European Parliament

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Jonathan Evans
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Ed Fleischman
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David Frick
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European Commission*

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