DECLARATION OF MEMBERS' ATTENDANCE PURSUANT TO AN

INVITATION AT EVENTS ORGANISED BY THIRD PARTIES

PURSUANT TO ARTICLE 5(3) OF ANNEX I TO THE EUROPEAN PARLIAMENT 'SMRLEMENTIDEUROPEENG
THE CODE OF CONDUCT FOR MEMBERS OF THE EUROPEAN PARLIAMENT WITH PRECITIP INTERESTS AND CONFLICTS OF INTEREST

Surname: Gill

2 2 NOV. 2016

Unité
Administration des Députés

I, the undersigned, on my honour and in full cognisance of the Rules of Procedure of the European Parliament, in particular Annex I thereof containing the Code of Conduct for Members, hereby declare, pursuant to Article 5(3) of the Code of Conduct, and the decision taken by the Bureau on 15 April 2013, that I have, pursuant to an invitation and in the performance of my duties as a Member of the European Parliament, attended at the following event organised by a third party where my travel, accommodation or subsistence expenses were paid or reimbursed by a third party:

Date: 21.11.16

STATEMENTS INCLUDED IN THIS DECLARATION ARE MADE UNDER THE SOLE AND PERSONAL RESPONSIBILITY OF THE MEMBER AND MUST BE SUBMITTED NO LATER THAN THE LAST DAY OF THE NEXT MONTH FOLLOWING THE FINAL DATE OF THE MEMBER'S ATTENDANCE AT AN EVENT PURSUANT TO THE BUREAU DECISION OF 15 APRIL 2013.

This declaration will be published on Parliament's website.

TO BE E-MAILED TO: <u>Administration-Deputes@europarl.europa.eu</u>
THE SIGNED ORIGINAL SHOULD THEN BE SENT TO:
EUROPEAN PARLIAMENT
Members' Administration Unit¹
rue Wiertz, 60
PHS 07B019
B - 1047 BRUSSELS

¹ Legal Notice: The Members' Administration Unit is the data controller within the meaning of Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data (OJ L8, 12.01.2001, p. 1) and the Bureau Decision of 22 June 2005 laying down implementing rules related to that Regulation (OJ C 308, 6.12.2005, p. 1).

TO BE COMPLETED ACCORDINGLY	New York 120 Broadway, 35th Floor New York, N.Y. 10271 STFMA (Securities Industry and Financial	Markets Association) advocates for effective and resilient capital markets, and is the voice of the security industry in the USA.	Return flights to New York USA.	Yes Number of nights ³ : 3 nights accommodation in a hotel in New York.	No.	t the 13th October - 15th October	ole Programme Attached. Conference keynote speaker + meetings with the organisation's members.
D. INFORMATION TO BE PROVIDED	Name, function and address of the third party who reimbursed or paid the expenses of the Member ²		Travel:	Accommodation:	Subsistence expenses:	Dates (and duration) of the Member's attendance at the event:	Type of event (if the event programme is not available for attachment hereto, give explanation in comments' section below):
	THIRD PARTY			TYPE OF EXPENSES COVERED		DETAILS OF THE EVENT	ATTENDED

² If the third party is registered in the Transparency Register, reference can be made hereto.
³ Separate declaration for breakfasts is not required.

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SIFMA AMG Global Developments Conference



Program

07:30am - 05:30pm

REGISTRATION HOURS

07:30am - 08:30am

CONTINENTAL BREAKFAST

Sponsored By: McGuireWoods

08:30am - 08:35am

Welcoming Remarks



Timothy W. Cameron
Managing Director, Asset Management Group, Head
SIFMA

08:35am - 08:45am

OPENING REMARKS



Kenneth E. Bentsen, Jr. President and CEO SIFMA

08:45am - 09:15am

KEYNOTE ADDRESS



Nicolas Véron Senior Fellow, Co-Founder Bruegel Visiting Fellow Peterson Institute for International Economics

09:15am – 10:45am

BREXIT - GAME CHANGER FOR THE ASSET MANAGEMENT INDUSTRY?

Update on Political and Regulatory Developments

Considerations for Existing Regulatory Structures and Regimes

Changes to How Investment Advisory Services are Provided

Impact on Current Distribution and Marketing Arrangements

Moderator:



Tamara Cizeika Counsel Allen & Overy LLP

Panelists:



Nigel Brashaw Assurance/Business Advisory Services Partner PricewaterhouseCoopers



Dr. Frank M. Hatheway Chief Economist and Senior VP, Economic & Statistical Research NASDAQ OMX Group Inc.



Pat Lardner Chief Executive Irish Funds



Sheila Nicoll Head of Public Policy Schroders

10:45am - 11:00am

NETWORKING BREAK

Sponsored By: Bats Global Markets

11:00am – 12:15pm

A PRIMER ON CHANGES TO REGULATION OF THE EUROPEAN CAPITAL MARKETS - WHAT EVERY ASSET MANAGER SHOULD KNOW ABOUT MIFID, EMIR, AND MAR

Examination of Changes to Dealing Commissions Arrangements

Pre- and Post-Trade Transparency in Non-Equity Market Instruments

Status of Derivatives Regulation

·Best Execution and Reform of Current Market Structure

Developments Relating to MAR (Market Abuse Regulation)

Moderator:



Leonard Ng
Partner, Co-Head of EU Financial Services Regulatory Group
Sidley Austin LLP

Panelists:



Ali Hackett
US Country Head, London Exchange
Group & Global head of Sales and
Relationship Management
LCH



Mark Hemsley Chief Executive Officer Bats Europe



Gary Stone Chief Strategy Officer Bloomberg Trading Solutions



James Wallin Senior Vice President AB Global

12:15pm - 01:15pm

NETWORKING LUNCHEON

01:15pm - 02:15pm

ADDRESSING CONCERNS AROUND SYSTEMIC RISK IN THE ASSET MANAGEMENT INDUSTRY

Recent FSB and IOSCO Proposals and Initiatives Assessing Liquidity Risk for Asset Managers Examination of Concerns Around Leverage Role of Stress Testing

Role of Stress 1es

Moderator



David G. Tittsworth Counsel Ropes & Gray LLP

Panelists:



Paul Elmlinger Deputy General Counsel Franklin Templeton Investments



Bill D. Pelton Deputy Director, Office of International Banking and Securities Market U.S. Department of the Treasury



Alexis Rosenblum Vice President BlackRock



Aaron Schlaphoff Partner Kirkland & Ellis LLP

02:15pm - 03:00pm

KEYNOTE ADDRESS

Introduction:



Neena Gill Member European Parliament Introduction by: Timothy W. Cameron, SIFMA Asset Management Group

03:00pm - 03:30pm

NETWORKING BREAK

Sponsored By: Allen & Overy

03:30pm - 04:30pm

THE FUTURE OF MARKETING AND DISTRIBUTION OF FUNDS UNDER UCITS AND AIFMD

Examination of Trends in Distribution

Update on AIFMD Passport

Recent Developments on Third Country Entity Treatment

Moderator:



Colman O'Loghlen Foreign Associate Dechert LLP

Panelists:



Andrew Bastow Vice President and Head of European Structuring and Regulatory Affairs **AQR** Capital Management

Beth K. Werths Executive Vice President and General Counsel Natixis Global Asset Management

04:30pm - 04:40pm

CLOSING REMARKS



Timothy W. Cameron Managing Director, Asset Management Group, Head SIFMA

04:40pm - 05:30pm

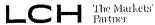
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