

DECLARATION OF MEMBERS' ATTENDANCE PURSUANT TO AN  
INVITATION AT EVENTS ORGANISED BY THIRD PARTIES

PURSUANT TO ARTICLE 5(3) OF ANNEX I TO THE EUROPEAN PARLIAMENT'S  
THE CODE OF CONDUCT FOR MEMBERS OF THE EUROPEAN PARLIAMENT WITH RESPECT TO FINANCIAL  
INTERESTS AND CONFLICTS OF INTEREST

PARLEMENT EUROPEEN  
REGULE

22 NOV. 2016

Surname: Gill

Forename: Neena

Unité  
Administration des Députés

*I, the undersigned, on my honour and in full cognisance of the Rules of Procedure of the European Parliament, in particular Annex I thereof containing the Code of Conduct for Members, hereby declare, pursuant to Article 5(3) of the Code of Conduct, and the decision taken by the Bureau on 15 April 2013, that I have, pursuant to an invitation and in the performance of my duties as a Member of the European Parliament, attended at the following event organised by a third party where my travel, accommodation or subsistence expenses were paid or reimbursed by a third party:*

Date: 21.11.16

STATEMENTS INCLUDED IN THIS DECLARATION ARE MADE UNDER THE SOLE AND  
PERSONAL RESPONSIBILITY OF THE MEMBER AND MUST BE SUBMITTED NO  
LATER THAN THE LAST DAY OF THE NEXT MONTH FOLLOWING THE FINAL DATE  
OF THE MEMBER'S ATTENDANCE AT AN EVENT PURSUANT TO THE BUREAU  
DECISION OF 15 APRIL 2013.

This declaration will be published on Parliament's website.

TO BE E-MAILED TO: [Administration-Deputes@europarl.europa.eu](mailto:Administration-Deputes@europarl.europa.eu)

THE SIGNED ORIGINAL SHOULD THEN BE SENT TO:

EUROPEAN PARLIAMENT

Members' Administration Unit<sup>1</sup>

rue Wiertz, 60

PHS.07B019

B - 1047 BRUSSELS

<sup>1</sup> Legal Notice: The Members' Administration Unit is the data controller within the meaning of Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data (OJ L8, 12.01.2001, p. 1) and the Bureau Decision of 22 June 2005 laying down implementing rules related to that Regulation (OJ C 308, 6.12.2005, p. 1).

MANDATORY INFORMATION		TO BE COMPLETED ACCORDINGLY
THIRD PARTY	<p>INFORMATION TO BE PROVIDED</p> <p>Name, function and address of the third party who reimbursed or paid the expenses of the Member<sup>2</sup></p>	<p>New York 120 Broadway, 35th Floor New York, N.Y. 10271</p> <p>SIFMA (Securities Industry and Financial Markets Association) advocates for effective and resilient capital markets, and is the voice of the security industry in the USA.</p>
TYPE OF EXPENSES COVERED	Travel:	Return flights to New York USA.
	Accommodation:	Yes Number of nights <sup>3</sup> : 3 nights accommodation in a hotel in New York.
	Subsistence expenses:	No.
DETAILS OF THE EVENT ATTENDED	Dates (and duration) of the Member's attendance at the event:	13th October - 15th October
	Type of event (if the event programme is not available for attachment hereto, give explanation in comments' section below):	Programme Attached. Conference keynote speaker + meetings with the organisation's members.

<sup>2</sup> If the third party is registered in the Transparency Register, reference can be made hereto.

<sup>3</sup> Separate declaration for breakfasts is not required.

	Venue (country, city):	New York, USA
OPTIONAL INTERPRETATION		
COMMENTS		



## SIFMA AMG Global Developments Conference

**sifma**  
asset management group

**GLOBAL DEVELOPMENTS  
CONFERENCE 2016**

OCTOBER 13, 2016  
SIFMA CONFERENCE CENTER, NYC

Buy-Side  
Sell-Side  
Regulators

**REGISTER >**

### Program

07:30am – 05:30pm **REGISTRATION HOURS**

07:30am – 08:30am **CONTINENTAL BREAKFAST**  
Sponsored By: McGuireWoods

08:30am – 08:35am **Welcoming Remarks**



**Timothy W. Cameron**  
*Managing Director, Asset Management Group, Head  
SIFMA*

08:35am – 08:45am **OPENING REMARKS**



**Kenneth E. Bentsen, Jr.**  
*President and CEO  
SIFMA*

08:45am – 09:15am **KEYNOTE ADDRESS**



**Nicolas Véron**  
*Senior Fellow, Co-Founder  
Bruegel  
Visiting Fellow  
Peterson Institute for International Economics*

09:15am – 10:45am **BREXIT – GAME CHANGER FOR THE ASSET MANAGEMENT INDUSTRY?**

Update on Political and Regulatory Developments  
Considerations for Existing Regulatory Structures and Regimes  
Changes to How Investment Advisory Services are Provided  
Impact on Current Distribution and Marketing Arrangements

**Moderator:**

**Tamara Cizeika**  
Counsel  
Allen & Overy LLP

**Panelists:**

**Nigel Brashaw**  
Assurance/Business Advisory Services  
Partner  
PricewaterhouseCoopers



**Dr. Frank M. Hatheway**  
Chief Economist and Senior VP, Economic  
& Statistical Research  
NASDAQ OMX Group Inc.



**Pat Lardner**  
Chief Executive  
Irish Funds



**Sheila Nicoll**  
Head of Public Policy  
Schroders

10:45am – 11:00am

**NETWORKING BREAK**

Sponsored By: Bats Global Markets

11:00am – 12:15pm

**A PRIMER ON CHANGES TO REGULATION OF THE EUROPEAN CAPITAL MARKETS - WHAT EVERY ASSET MANAGER SHOULD KNOW ABOUT MIFID, EMIR, AND MAR**

Examination of Changes to Dealing Commissions Arrangements

Pre- and Post- Trade Transparency in Non-Equity Market Instruments

Status of Derivatives Regulation

Best Execution and Reform of Current Market Structure

Developments Relating to MAR (Market Abuse Regulation)

**Moderator:**

**Leonard Ng**  
Partner, Co-Head of EU Financial Services Regulatory Group  
Sidley Austin LLP

**Panelists:**

**Ali Hackett**  
US Country Head, London Exchange  
Group & Global head of Sales and  
Relationship Management  
LCH



**Mark Hemsley**  
Chief Executive Officer  
Bats Europe



**Gary Stone**  
Chief Strategy Officer  
Bloomberg Trading Solutions



**James Wallin**  
Senior Vice President  
AB Global

12:15pm – 01:15pm

**NETWORKING LUNCHEON**

01:15pm – 02:15pm

**ADDRESSING CONCERNS AROUND SYSTEMIC RISK IN THE ASSET MANAGEMENT INDUSTRY**

Recent FSB and IOSCO Proposals and Initiatives

Assessing Liquidity Risk for Asset Managers

Examination of Concerns Around Leverage

Role of Stress Testing

**Moderator:**

**David G. Tittsworth**  
Counsel  
Ropes & Gray LLP

**Panelists:**

**Paul Elmlinger**  
Deputy General Counsel  
Franklin Templeton Investments



**Bill D. Pelton**  
Deputy Director, Office of International  
Banking and Securities Market  
U.S. Department of the Treasury



**Alexis Rosenblum**  
Vice President  
BlackRock



**Aaron Schlaphoff**  
Partner  
Kirkland & Ellis LLP

02:15pm – 03:00pm

**KEYNOTE ADDRESS****Introduction:**

**Neena Gill**  
Member  
European Parliament  
Introduction by: Timothy W. Cameron, SIFMA Asset Management Group

03:00pm – 03:30pm

**NETWORKING BREAK**

Sponsored By: Allen &amp; Overy

03:30pm – 04:30pm

**THE FUTURE OF MARKETING AND DISTRIBUTION OF FUNDS UNDER UCITS AND AIFMD**

Examination of Trends in Distribution

Update on AIFMD Passport

Recent Developments on Third Country Entity Treatment

**Moderator:**

**Colman O'Loughlen**  
Foreign Associate  
Dechert LLP

**Panelists:**

**Andrew Bastow**  
Vice President and Head of European  
Structuring and Regulatory Affairs  
AQR Capital Management



**Beth K. Werths**  
Executive Vice President and General  
Counsel  
Natixis Global Asset Management  
International

04:30pm – 04:40pm

**CLOSING REMARKS**

**Timothy W. Cameron**  
Managing Director, Asset Management Group, Head  
SIFMA

04:40pm – 05:30pm

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