

25 MAR. 2014

Unité
Administration des Députés

DECLARATION OF MEMBERS' ATTENDANCE PURSUANT TO AN
INVITATION AT EVENTS ORGANISED BY THIRD PARTIES

PURSUANT TO ARTICLE 5(3) OF ANNEX I TO THE EUROPEAN PARLIAMENT'S RULES OF PROCEDURE CONCERNING
THE CODE OF CONDUCT FOR MEMBERS OF THE EUROPEAN PARLIAMENT WITH RESPECT TO FINANCIAL
INTERESTS AND CONFLICTS OF INTEREST

Surname: SWINBURNE

Forename: Kay

I, the undersigned, on my honour and in full cognisance of the Rules of Procedure of the European Parliament, in particular Annex I thereof containing the Code of Conduct for Members, hereby declare, pursuant to Article 5(3) of the Code of Conduct, and the decision taken by the Bureau on 15 April 2013, that I have, pursuant to an invitation and in the performance of my duties as a Member of the European Parliament, attended at the following event organised by a third party where my travel, accommodation or subsistence expenses were paid or reimbursed by a third party:

* * * * *

Date: 24/3/14

Signature: _____

STATEMENTS INCLUDED IN THIS DECLARATION ARE MADE UNDER THE SOLE AND PERSONAL RESPONSIBILITY OF THE MEMBER AND MUST BE SUBMITTED NO LATER THAN THE LAST DAY OF THE NEXT MONTH FOLLOWING THE FINAL DATE OF THE MEMBER'S ATTENDANCE AT AN EVENT PURSUANT TO THE BUREAU DECISION OF 15 APRIL 2013.

This declaration will be published on Parliament's website.

TO BE E-MAILED TO: Administration-Deputes@europarl.europa.eu

THE SIGNED ORIGINAL SHOULD THEN BE SENT TO:

EUROPEAN PARLIAMENT

Members' Administration Unit¹

rue Wiertz, 60

PHS 07B046

B - 1047 BRUSSELS

¹ Legal Notice: The Members' Administration Unit is the data controller within the meaning of Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data (OJ L8, 12.01.2001, p. 1) and the Bureau Decision of 22 June 2005 laying down implementing rules related to that Regulation (OJ C 308, 6.12.2005, p. 1).

MANDATORY INFORMATION		TO BE COMPLETED ACCORDINGLY
INFORMATION TO BE PROVIDED		
THIRD PARTY	Name, function and address of the third party who reimbursed or paid the expenses of the Member ²	AIMA, 2nd Floor, 167 Fleet Street, London EC4A 2EA
TYPE OF EXPENSES COVERED	Travel:	Yes Type (e.g. flight, train): flight Category (e.g. economy, business): Business
	Accommodation:	Yes Name of hotel: Hudson Hotel Number of nights ³ : 2
	Subsistence expenses:	No
	Dates (and duration) of the Member's attendance at the event:	5-7 th March
DETAILS OF THE EVENT ATTENDED	Type of event (if the event programme is not available for attachment hereto, give explanation in comments' section below):	Conference concerning MiFID II
	Venue (country, city):	New York, US
OPTIONAL INFORMATION		
COMMENTS		

² If the third party is registered in the Transparency Register, reference can be made hereto.

³ Separate declaration for breakfasts is not required.



AIMA's GLOBAL POLICY AND REGULATORY FORUM 2014

Is Market Finance Shadow Banking?

6th March 2014

10 on the Park New York

0830 REGISTRATION, COFFEE & PASTRIES

0900 WELCOME AND INTRODUCTION
Kathleen Casey, Chair, Alternative Investment Management Association

0910 WELCOME FROM MAIN SPONSOR

0915 KEYNOTE SPEECH
Michael Piwowar, Commissioner, Securities and Exchange Commission

PANEL 1: CAPITAL MARKETS AND BANKING: CASE FOR DIFFERENT REGULATORY APPROACHES

0935

- Barbara G. Novick, Vice Chairman, BlackRock, Inc.
- Gareth Murphy, Director of Markets, Central Bank of Ireland & Chair of ESMA Investment Management Standing Committee
- James Shipton, Executive Director, Securities and Futures Commission
- Francesco Mazzaferro, Head, European Securities Risk Board Secretariat
- Marion Sanchez, Deputy Head of Financial Regulation Policy and Coordination Division, Directorate General of Operations, Directorate of Financial Stability, Banque de France

Moderator: tbc, EY

1035 COFFEE BREAK

PANEL 2: HEDGE FUNDS AS SHADOW BANKS

1055

- Tilman Lueder, Head of Asset Management, European Commission
- Bill Murden, Head of Office of International Banking and Securities Markets, US Department of the Treasury
- Esther Wandel, Manager, Investment Funds, FCA - tbc
- Norm Champ, Director of Investment Management Division, SEC

Moderator: Iain Cullen, Partner, Simmons & Simmons LLP

1155 KEYNOTE SPEECH
Michelle McGregor Smith, CEO, British Airways Pension Investment Management

1215 LUNCH

1330 KEYNOTE SPEECH
Manmohan Singh, Senior Economist, International Monetary Fund

PANEL 3: NEW MARKET FINANCE REGULATIONS

1350

- Werner Bijkerk, Head of Research, IOSCO
- Grantly Brown, Senior Manager - Investment Banks, ASIC
- Susan McLaughlin, Senior Vice President, NY FED
- Manmohan Singh, Senior Economist, International Monetary Fund

1450 KEYNOTE SPEECH
Jamie Dinan, Founder, Chairman & CEO, York Capital Management

1510 COFFEE BREAK

PANEL 4: MARKET STRUCTURE AND INFRASTRUCTURE REFORMS

1530

- Kay Swinburne, Member, European Parliament
- John Ramsay, Acting Director, Division of Trading & Markets, Securities and Exchange Commission
- Richard Knox, Deputy Director of Securities and Markets, HM Treasury
- Vincent McGonagle, Director, Division of Markets Oversight, CFTC (tbc)

1630 HEDGE FUNDS AND SYSTEMIC RISK
Conversation with Amias Gerety, Deputy Assistant Secretary for the Financial Stability Oversight Council

1700 KEYNOTE SPEECH

1720 CLOSING REMARKS
Jack Inglis, CEO, Alternative Investment Management Association

1730 NETWORKING DRINKS RECEPTION
