



COMMISSION OF THE EUROPEAN COMMUNITIES

BRUSSELS, 15.09.2004

GENERAL BUDGET - 2004 SECTION III - COMMISSION TITLE 08

TRANSFER OF APPROPRIATIONS No INFO9/2004

NON-COMPULSORY EXPENDITURE

EUR		
<u>FROM</u>		
CHAPTER - 08 06 SUSTAINABLE DEVELOPMENT, GLOBAL CHANGE AND ECOSYSTEMS		
ARTICLE - 08 06 01 Sustainable development, global change and ecosystems		
ITEM - 08 06 01 01 Sustainable energy systems	Payments	- 3 689 206
ITEM - 08 06 01 02 Sustainable surface transport	Payments	- 366 787
CHAPTER - 08 07 CITIZENS AND GOVERNANCE IN A KNOWLEDGE-BASED SOCIETY		
ARTICLE - 08 07 01 Citizens and governance in a knowledge-based society		
	Payments	- 2 671 494
CHAPTER - 08 09 STRENGTHENING THE FOUNDATIONS OF THE EUROPEAN RESEARCH AREA		
ARTICLE - 08 09 01 Strengthening the foundations of the European research area		
ITEM - 08 09 01 01 Support for the coordination of activities	Payments	- 4 335 062
ITEM - 08 09 01 02 Support for the coherent development of policies	Payments	- 557 785
CHAPTER - 08 10 STRUCTURING THE EUROPEAN RESEARCH AREA		
ARTICLE - 08 10 01 Structuring the European Research area		
ITEM - 08 10 01 02 Human resources	Payments	- 8 229 944
ITEM - 08 10 01 03 Research infrastructures	Payments	- 7 378 413
ITEM - 08 10 01 04 Science and society	Payments	- 998 141
CHAPTER - 08 11 RESEARCH AND TRAINING ACTIONS UNDER THE EURATOM TREATY		
ARTICLE - 08 11 01 Priority thematic areas of research		
ITEM - 08 11 01 01 Controlled thermonuclear fusion	Payments	- 7 766 000
ITEM - 08 11 01 02 Management of radioactive waste	Payments	- 790 000
ITEM - 08 11 01 03 Radiation protection	Payments	- 450 000
ARTICLE - 08 11 02 Other activities in the field of nuclear technologies and safety	Payments	- 450 000

TO

CHAPTER - 08 04 AERONAUTICS AND SPACE

ARTICLE - 08 04 01 Aeronautics and space

Payments 11 439 475

CHAPTER - 08 05 FOOD QUALITY AND SAFETY

ARTICLE - 08 05 01 Food quality and safety

Payments 830 805

CHAPTER - 08 08 SPECIFIC MEASURES COVERING A WIDER FIELD OF RESEARCH

ARTICLE - 08 08 01 Specific measures covering a wider field of research

ITEM - 08 08 01 02 Horizontal research activities involving SMEs

Payments 25 412 552

I. INCREASE

I.A

a) Heading

08 04 01 – Aeronautics and space

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	71 100 000
1B. Appropriation in budget (EFTA)	1 557 090
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	72 657 090
4. Utilisation of final appropriation	71 845 311
	<hr/>
5. Amount not used/available (3-4)	811 779
6. Requirements up to year-end	10 694 164
7. Increase proposed	11 439 475
8. Increase as percentage of appropriation in budget (7/1A)	16.09%

c) Detailed grounds for the increase

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It is mainly linked to the possibility of making payments in excess of what had been initially planned in 2003. The reason for this upward revision of the forecast utilisation of payment appropriations is the difference between the results of the proposal evaluation process and the forecasts made for the 2004 PDB.

I.B

a) Heading

08 05 01 – Food quality and safety

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	38 600 000
1B. Appropriation in budget (EFTA)	845 340
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	39 445 340
4. Utilisation of final appropriation	38 603 099
	<hr/>
5. Amount not used/available (3-4)	842 241
6. Requirements up to year-end	827 706
7. Increase proposed	830 805
8. Increase as percentage of appropriation in budget (7/1A)	2.15%

c) Detailed grounds for the increase

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It is mainly linked to the possibility of making payments in excess of what had been initially planned in 2003. It should be noted that in 2003 the payment appropriations for this budget item were reduced. The reason for this decrease was the slower evaluation process and the number of contracts signed in 2003. As a result the number of payments was lower than forecast. As these actions were not carried out in 2003, payment requirements are higher for 2004.

I.C

a) Heading

08 08 01 02 – Horizontal research activities involving SMEs

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	75 300 000
1B. Appropriation in budget (EFTA)	1 649 070
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	76 949 070
4. Utilisation of final appropriation	30 105 261
	<hr/>
5. Amount not used/available (3-4)	46 843 809
6. Requirements up to year-end	70 607 290
7. Increase proposed	25 412 552
8. Increase as percentage of appropriation in budget (7/1A)	33.75%

c) Detailed grounds for the increase

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

The reason for the upward revision of the utilisation forecasts is the speeding-up of the proposal evaluation and selection process compared with the forecasts made for the 2004 PDB.

II. DECREASE

II.A

a) Heading

08 06 01 01 – Sustainable energy systems

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	37 700 000
1B. Appropriation in budget (EFTA)	825 630
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	38 525 630
4. Utilisation of final appropriation	21 673 127
	<hr/>
5. Amount not used/available (3-4)	16 852 503
6. Requirements up to year-end	12 337 667
7. Decrease proposed	3 689 206
8. Decrease as percentage of appropriation in budget (7/1A)	9.79%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It should be noted that in 2003 the payment appropriations for this budget item were increased as the number of payments was higher than forecast. As these actions were carried out in 2003, payment requirements are lower for 2004.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.B

a) Heading

08 06 01 02 – Sustainable surface transport

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	37 800 000
1B. Appropriation in budget (EFTA)	1 265 820
2. Transfers	20 000 000
	<hr/>
3. Final appropriation for year (1A+1B+2)	59 065 820
4. Utilisation of final appropriation	54 717 836
	<hr/>
5. Amount not used/available (3-4)	4 347 984
6. Requirements up to year-end	2 715 377
7. Decrease proposed	366 787
8. Decrease as percentage of appropriation in budget (7/1A)	0.97%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.C

a) Heading

08 07 01 – Citizens and governance in a knowledge-based society

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	27 300 000
1B. Appropriation in budget (EFTA)	597 870
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	27 897 870
4. Utilisation of final appropriation	12 789 051
	<hr/>
5. Amount not used/available (3-4)	15 108 819
6. Requirements up to year-end	11 839 455
7. Decrease proposed	2 671 494
8. Decrease as percentage of appropriation in budget (7/1A)	9.79%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

The reason for this downward revision of the forecast utilisation of payment appropriations is the difference between the results of the proposal evaluation process and the forecasts made for the 2004 PDB.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.D

a) Heading

08 09 01 01 – Support for the coordination of activities

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	44 300 000
1B. Appropriation in budget (EFTA)	970 170
2. Transfers	0
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3. Final appropriation for year (1A+1B+2)	45 270 170
4. Utilisation of final appropriation	15 761 552
	<hr/>
5. Amount not used/available (3-4)	29 508 618
6. Requirements up to year-end	24 203 386
7. Decrease proposed	4 335 062
8. Decrease as percentage of appropriation in budget (7/1A)	9.79%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It should be noted that in 2003 the payment appropriations for this budget item were increased. The reason for this increase was the additional possibilities for action decided when the work programme was being finalised compared with the initial budget planning. As these actions were carried out in 2003, payment requirements are lower for 2004.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.E

a) Heading

08 09 01 02 – Support for the coherent development of policies

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	5 700 000
1B. Appropriation in budget (EFTA)	124 830
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	5 824 830
4. Utilisation of final appropriation	354 027
	<hr/>
5. Amount not used/available (3-4)	5 470 803
6. Requirements up to year-end	4 788 189
7. Decrease proposed	557 785
8. Decrease as percentage of appropriation in budget (7/1A)	9.79%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

The reason for this downward revision of the forecast utilisation of payment appropriations is the difference between the results of the proposal evaluation process and the forecasts made for the 2004 PDB.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.F

a) Heading

08 10 01 02 – Human resources

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	162 200 000
1B. Appropriation in budget (EFTA)	3 552 180
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	165 752 180
4. Utilisation of final appropriation	94 640 891
	<hr/>
5. Amount not used/available (3-4)	71 111 289
6. Requirements up to year-end	59 329 165
7. Decrease proposed	8 229 944
8. Decrease as percentage of appropriation in budget (7/1A)	5.07%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

The reason for this downward revision of the forecast utilisation of payment appropriations is the difference between the results of the proposal evaluation process and the forecasts made for the 2004 PDB.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.G

a) Heading

08 10 01 03 – Research infrastructures

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	75 400 000
1B. Appropriation in budget (EFTA)	1 651 260
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	77 051 260
4. Utilisation of final appropriation	40 240 940
	<hr/>
5. Amount not used/available (3-4)	36 810 320
6. Requirements up to year-end	27 780 648
7. Decrease proposed	7 378 413
8. Decrease as percentage of appropriation in budget (7/1A)	9.79%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

The reason for this downward revision of the forecast utilisation of payment appropriations is the difference between the results of the proposal evaluation process and the forecasts made for the 2004 PDB.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.H

a) Heading

08 10 01 04 - Science and society

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	10 200 000
1B. Appropriation in budget (EFTA)	223 380
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	10 423 380
4. Utilisation of final appropriation	3 937 536
	<hr/>
5. Amount not used/available (3-4)	6 485 844
6. Requirements up to year-end	5 264 324
7. Decrease proposed	998 141
8. Decrease as percentage of appropriation in budget (7/1A)	9.79%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It should be noted that in 2003 the payment appropriations for this budget item were increased. The reason for this increase was the faster evaluation process and the number of contracts signed in 2003. As a result the number of payments was higher than forecast. As these actions were carried out in 2003, payment requirements are lower for 2004.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.I

a) Heading

08 11 01 01 – Controlled thermonuclear fusion

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	119 300 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	119 300 000
4. Utilisation of final appropriation	34 887 276
	<hr/>
5. Amount not used/available (3-4)	84 412 724
6. Requirements up to year-end	76 646 724
7. Decrease proposed	7 766 000
8. Decrease as percentage of appropriation in budget (7/1A)	6.51%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

The reason for this downward revision of the forecast utilisation of payment appropriations is the difference between the results of the proposal evaluation process and the forecasts made for the 2004 PDB.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.J

a) Heading

08 11 01 02 – Management of radioactive waste

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	7 900 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	7 900 000
4. Utilisation of final appropriation	5 572 145
	<hr/>
5. Amount not used/available (3-4)	2 327 855
6. Requirements up to year-end	1 537 855
7. Decrease proposed	790 000
8. Decrease as percentage of appropriation in budget (7/1A)	10.00%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It should be noted that in 2003 the payment appropriations for this budget item were increased. The reason for this increase was the faster evaluation process and the number of contracts signed in 2003. As a result the number of payments was higher than forecast. As these actions were carried out in 2003, payment requirements are lower for 2004.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.K

a) Heading

08 11 01 03 – Radiation protection

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	4 500 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	4 500 000
4. Utilisation of final appropriation	2 881 736
	<hr/>
5. Amount not used/available (3-4)	1 618 264
6. Requirements up to year-end	1 168 264
7. Decrease proposed	450 000
8. Decrease as percentage of appropriation in budget (7/1A)	10.00%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It should be noted that in 2003 the payment appropriations for this budget item were increased. The reason for this increase was the faster evaluation process and the number of contracts signed in 2003. As a result the number of payments was higher than forecast. As these actions were carried out in 2003, payment requirements are lower for 2004.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.

II.L

a) Heading

08 11 02 – Other activities in the field of nuclear technologies and safety

b) Figures at 3/9/2004

	Payments
1A. Appropriation in budget (initial budget + AB)	4 500 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
	<hr/>
3. Final appropriation for year (1A+1B+2)	4 500 000
4. Utilisation of final appropriation	3 286 448
	<hr/>
5. Amount not used/available (3-4)	1 213 552
6. Requirements up to year-end	763 552
7. Decrease proposed	450 000
8. Decrease as percentage of appropriation in budget (7/1A)	10.00%

c) Detailed grounds for the decrease

The increase is requested as a result of an estimate of requirements as observed at the end of July for implementation of FP VI.

It should be noted that in 2003 the payment appropriations for this budget item were increased. The reason for this increase was the faster evaluation process and the number of contracts signed in 2003. As a result the number of payments was higher than forecast. As these actions were carried out in 2003, payment requirements are lower for 2004.

This decrease should improve the utilisation of FP VI payment appropriations as they can be transferred to items where requirements resulting from contract procedures in 2004 are higher.