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## Committee on Industry, Research and Energy

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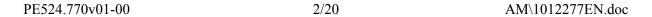
# AMENDMENTS 44 - 76

**Draft report Claude Turmes**(PE523.017v01-00)

Decision of the European Parliament and of the Council on the participation of the Union in the Active and Assisted Living Research and Development Programme jointly undertaken by several Member States

Proposal for a decision (COM(2013)0500 – C7-0219/2013 – 2013/0233(COD))

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## Amendment 44 Christian Ehler

# Proposal for a decision Recital 2

Text proposed by the Commission

(2) Horizon 2020 - The Framework
Programme for Research and Innovation
(2014-2020) established by Regulation
(EU) No .../2013 of the European
Parliament and of the Council of ... 2013<sup>5</sup>
(hereinafter 'Horizon 2020 Framework
Programme') aims at achieving a greater
impact on research and innovation by

contributing to the strengthening of
public-public partnerships, including
through Union participation in
programmes undertaken by several
Member States in accordance with Article
185 of the Treaty.

#### Amendment

(2) Horizon 2020 - The Framework Programme for Research and Innovation (2014-2020) established by Regulation (EU) No .../2013 of the European Parliament and of the Council of ... 2013<sup>5</sup> (hereinafter 'Horizon 2020 Framework Programme') aims at achieving a greater impact on research and innovation by developing closer synergies, increasing coordination and avoiding unnecessary duplication with international, national and regional research programmes. Public-public partnerships, including Union participation in programmes undertaken by several Member States in accordance with Article 185 of the Treaty, should achieve these goals, meet the conditions specified in that Regulation, in particular in Articles 20, and fully comply with the general principles applying to Horizon 2020.

<sup>5</sup> OJ ... [H2020 FP]

<sup>5</sup> OJ ... [H2020 FP]

Or. en

## Justification

This addition stresses the important principles that have been agreed upon during the Horizon 2020 negotiations regarding P2Ps and what they should deliver.

Amendment 45 Christian Ehler

Proposal for a decision Recital 4 a (new)

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Amendment

(4a) With a view to the overall aim of Horizon 2020 to achieve greater simplification and harmonisation of the research and innovation funding landscape at European level, the duration of all public-public partnerships financed under Horizon 2020 should be aligned with the duration of the Framework Programme, to avoid different sets of rules running in parallel and related additional administrative burden for participants in the future;

Or. en

Amendment 46 Maria Badia i Cutchet

Proposal for a decision Recital 6 a (new)

Text proposed by the Commission

Amendment

(6a) About 20 million people across the EU are employed in "white jobs" in the health and social services sector, a figure which is expected to increase in the coming years due to the aging population. Training and life-long learning in this sensitive sector should be a key priority. Therefore, the need for white jobs and for investments in modern skills, such as using information technologies, has to be assessed more precisely.

Or. es

Amendment 47
Claude Turmes
on behalf of the Greens/EFA Group

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# Proposal for a decision Recital 9

Text proposed by the Commission

(9) Under the European Innovation Partnership on Active and Healthy Ageing established under the Innovation Union, *ICT* solutions are expected to play an important role in meeting its goals of two additional healthy life years by 2020 as well as improving quality of life for citizens and improving efficiency of care systems in the Union. Its Strategic Implementation Plan sets out priorities for accelerating and scaling up innovation in active and healthy ageing across the Union, in three domains: prevention and health promotion, care and cure, and independent living and social inclusion.

#### Amendment

(9) Under the European Innovation Partnership on Active and Healthy Ageing established under the Innovation Union, innovative solutions including products and services integrating ICT, are expected to play an important role in meeting its goals of two additional healthy life years by 2020 as well as improving quality of life for citizens and improving efficiency of care systems in the Union. Its Strategic Implementation Plan sets out priorities for accelerating and scaling up innovation in active and healthy ageing across the Union, in three domains: prevention and health promotion, care and cure, and independent living and social inclusion.

Or. en

# Amendment 48 Fiona Hall

## Proposal for a decision Recital 10

Text proposed by the Commission

(10) The Active and Assisted Living Research and Development Programme (hereinafter 'the AAL Programme') should build on the achievements of the previous programme and address its shortcomings by encouraging stronger user participation in projects and by a more agile programme implementation.

## Amendment

(10) The Active and Assisted Living Research and Development Programme (hereinafter 'the AAL Programme') should build on the achievements of the previous programme and address its shortcomings by encouraging stronger user participation in projects *from an early stage* and by a more agile programme implementation.

## Amendment 49 Christian Ehler

# Proposal for a decision Recital 10 a (new)

Text proposed by the Commission

#### Amendment

(10a) In accordance with the target figure regarding participation of SMEs in indirect actions of the Horizon 2020 Framework, the AAL Programme should aim at dedicating 20% of its budget foreseen for indirect actions to SMEs.

Or. en

Amendment 50
Claude Turmes
on behalf of the Greens/EFA Group

## Proposal for a decision Recital 13

Text proposed by the Commission

(13) In order to ensure that a financial commitment by the Union will be matched by the Participating States, the financial contribution by the Union should be subject to formal commitments from the Participating States before the launch of the AAL Programme and their fulfilment. The Participating States' contribution to the AAL Programme should include the administrative costs incurred at national level for the effective operation of the programme.

#### Amendment

(13) In order to ensure that a financial commitment by the Union will be matched by the Participating States, the financial contribution by the Union should be subject to formal commitments from the Participating States before the launch of the AAL Programme and their fulfilment. The Participating States' contribution to the AAL Programme should include the administrative costs incurred at national level for the effective operation of the programme. The participation as Participating States in the AAL Joint Programme should be subject to a minimum financial contribution if the country is to participate in the annual work programme.

# Amendment 51 Claude Turmes on behalf of the Greens/EFA Group

# Proposal for a decision Recital 18

Text proposed by the Commission

(18) Participation in indirect actions funded by the AAL Programme is subject to Regulation (EU) No ... /2013 of the European Parliament and of the Council of ... 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)'15. However, due to specific operating needs of the AAL Programme it is necessary to provide for derogations from that Regulation in accordance with Article 1(3) of that Regulation.

### Amendment

(18) Participation in indirect actions funded by the AAL Programme is subject to Regulation (EU) No ... /2013 of the European Parliament and of the Council of ... 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)<sup>15</sup>. However, due to specific operating needs of the AAL Programme it is necessary to provide for derogations from that Regulation in accordance with Article 1(3) of that Regulation. It also necessary to further reduce the fragmentation and insufficient coordination of the different financial instruments, eligibility rules and reimbursement system that act as a barrier to innovation.

Or. en

Amendment 52
Claude Turmes
on behalf of the Greens/EFA Group

# Proposal for a decision Recital 19

Text proposed by the Commission

(19) Specific derogations from Regulation (EU) No ... /2013 [H2020 RfP] are

Amendment

(19) Specific derogations from Regulation (EU) No ... /2013 [H2020 RfP] are

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<sup>&</sup>lt;sup>15</sup> OJ L..., p. ... [H2020 RfP].

<sup>&</sup>lt;sup>15</sup> OJ L..., ..., p. ... [H2020 RfP].

necessary as the AAL Programme is intended as a close to market innovation programme, in which many different national funding streams are joined up (such as research innovation, health and industry funding programmes). Those national programmes have by their nature different participation rules and cannot be expected to fully align with Regulation (EU) No ... /2013 [Horizon 2020 Rules for Participation]. In addition, the AAL Programme is targeting in particular small and medium-sized enterprises and user organisations not usually participating in Union research and innovation activities. In order to facilitate the participation of those enterprises and organisations, the Union financial contribution is provided in accordance with the well-known rules of their national funding programmes and implemented through a single grant combining Union funding with the corresponding national funding.

necessary as the AAL Programme is intended as a close to market innovation programme, in which many different national funding streams are joined up (such as research innovation, health and industry funding programmes). Those national programmes have by their nature different participation rules and cannot be expected to *immediately* fully align with Regulation (EU) No ... /2013 [Horizon 2020 Rules for Participation]. *However*, the AAL Programme should further address the complexity arising from different countries' financial and national eligibility and its effects on implementation and participation. In addition, the AAL Programme is targeting in particular small and medium-sized enterprises and user organisations not usually participating in Union research and innovation activities. In order to facilitate the participation of those enterprises and organisations, the Union financial contribution should be provided in accordance with the well-known rules and implemented through a single grant combining Union funding with the corresponding national funding.

Or. en

Amendment 53 Maria Badia i Cutchet

Proposal for a decision Recital 22 a (new)

Text proposed by the Commission

Amendment

(22a) The actions envisaged in the AAL programme should help underpin European public health systems and health cover, since they are an essential means of sustaining social welfare and reducing the welfare gap between regions and population sectors that is widening

alarmingly owing to the current economic and social crisis.

Or. es

Amendment 54 Christian Ehler, Teresa Riera Madurell

Proposal for a decision Article 2 – paragraph 1

Text proposed by the Commission

1. The maximum Union financial contribution to the AAL Programme covering administrative costs and operational costs shall be EUR 175.000.000. The contribution shall be paid from appropriations in the general budget of the Union allocated to the relevant parts of the Specific Programme implementing Horizon 2020 Framework Programme, established by Decision ..../2013/EU in accordance with Article 58(1)(c)(vi) and Articles 60 and 61 of Regulation (EU, Euratom) No 966/2012.

### Amendment

1. The maximum Union financial contribution to the AAL Programme covering administrative costs and operational costs shall be EUR *153.125.000*. The contribution shall be paid from appropriations in the general budget of the Union allocated to the relevant parts of the Specific Programme implementing Horizon 2020 Framework Programme, established by Decision ..../2013/EU in accordance with Article 58(1)(c)(vi) and Articles 60 and 61 of Regulation (EU, Euratom) No 966/2012.

Or. en

## Justification

The budget of the P2Ps and PPPs should be cut by 12,5% as a consequence of the overall reduction of the Horizon 2020 envelope within the MFF, in order not to jeopardise the sensitive balance of funding for collaborative research on one hand and funding for partnerships on the other hand.

Amendment 55 Christian Ehler

Proposal for a decision Article 3 – paragraph 1 – point a a (new)

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Amendment

(aa) the demonstration by the Participating States that the AAL Programme is set up in accordance with the objectives and research priorities laid down in the Horizon 2020 Framework;

Or. en

## Justification

This addition stresses that there should be a strong coherence between the activities of the P2Ps and the research priorities defined in the Horizon 2020 Framework Programme.

Amendment 56 Christian Ehler

Proposal for a decision Article 3 – paragraph 1 – point a b (new)

Text proposed by the Commission

Amendment

(ab) the demonstration by the Participating States that the AAL Programme is set up in accordance with the general principles governing the Horizon 2020 Framework;

Or. en

# Justification

This addition stresses the important adherence of the P2Ps to the general principles applying to the Horizon 2020 framework such as open access, gender equality and non-discrimination that have been agreed upon during the Horizon 2020 negotiations.

Amendment 57 Christian Ehler

Proposal for a decision Article 3 – paragraph 1 – point a c (new)

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Amendment

(ac) the demonstration by the Participating States that the AAL Programme is set up in accordance with the conditions laid down in Article 20 of Regulation (EU) No .../2013 of the European Parliament and of the Council of ... 2013 establishing Horizon 2020;

Or. en

## Justification

This addition stresses the important principles that have been agreed upon during the Horizon 2020 negotiations regarding P2Ps and what they should deliver.

Amendment 58
Claude Turmes
on behalf of the Greens/EFA Group

Proposal for a decision Article 5 – paragraph 3

Text proposed by the Commission

3. By way of derogation from Article 16(1) of Regulation (EU) No .../2013 [Rules for the participation and dissemination in Horizon 2020], the grant agreements with participants shall be signed by the designated national programme management agency.

### Amendment

3. By way of derogation from Article 16(1) of Regulation (EU) No .../2013 [Rules for the participation and dissemination in Horizon 2020], the grant agreements with participants shall be signed by the designated national programme management agency. The time to contract shall be published and shall be limited to 5 months.

Or. en

Amendment 59 Christian Ehler

# Proposal for a decision Article 5 – paragraph 5 a (new)

Text proposed by the Commission

#### Amendment

5a. In accordance with the principles of transparency and non-discrimination as laid out in Article 60(1) and 128(1) of Regulation (EU, Euratom) No 966/2012, calls for proposals organised by AAL JP shall be published on the web-based Horizon 2020 Participant Portal.

Or. en

## Justification

During the Horizon 2020 trilogue negotiations the institutions agreed to promote a greater coherence of all call possibilities financed under Horizon 2020. To this effect, the Commission promised to promote the publication of CfPs organised by PPPs and P2Ps on the Horizon 2020 Participant Portal. This amendment aims to turn a self-obligation into a legal requirement, guaranteeing simple and accessible information for applicants.

## Amendment 60 Christian Ehler

# Proposal for a decision Article 12 – paragraph 1

Text proposed by the Commission

1. By 31 December 2017 the Commission shall conduct an interim evaluation of the AAL Programme. The Commission shall prepare a report on that evaluation which includes conclusions of the evaluation and observations by the Commission. The Commission shall send that report to the European Parliament and to the Council by 30 June 2018.

## Amendment

1. By 31 December 2017 the Commission shall conduct an interim evaluation of the AAL Programme. The Commission shall prepare a report on that evaluation which includes conclusions of the evaluation and observations by the Commission. The Commission shall send that report to the European Parliament and to the Council by 30 June 2018. The interim evaluation of the AAL Programme shall form part of and be compiled with the interim evaluation of Horizon 2020.

## Amendment 61 Maria Badia i Cutchet

Proposal for a decision Article 12 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2a. The Commission shall present to the European Parliament and to the Council an annual report on the progress achieved by the AAL Programme. That report shall contain details of implementation, including the number of proposals selected for funding, type of participants, including SMEs, and statistics by country.

Or. es

Amendment 62 Claude Turmes on behalf of the Greens/EFA Group

Proposal for a decision Annex 1 – point 1 – point 1.1

Text proposed by the Commission

1.1. accelerate the emergence of innovative *ICT-based* products and services for active and healthy ageing at home, in the community, or at work, thus improving the quality of life, autonomy, participation in social life, skills or employability of older adults and increasing the efficiency of health and social care provision;

# Amendment

1.1. accelerate the emergence of *relevant* and affordable innovative integrated solutions, products and services, including ICT-based, for active and healthy ageing at home, in the community, or at work, thus improving the quality of life, autonomy, participation in social life, skills or employability of older adults and increasing the efficiency of health and social care provision;

## Justification

Choice and affordability are key for the vast majority of older people and this will become increasingly the case in the future. Today innovative products for older people are too often developed for a niche market and thus either target a very specific group and/or are available only at an expensive price. Product and services addressed under the programme can be ICT-based but not exclusively. Other non-ICT based technological services and product may contribute to Active and Assisted Living;

Amendment 63 Silvia-Adriana Țicău

Proposal for a decision Annex 1 – point 1 – point 1.1

Text proposed by the Commission

1.1. accelerate the emergence of innovative ICT-based products and services for active and healthy ageing at home, in the community, or at work, thus improving the quality of life, autonomy, participation in social life, skills or employability of older adults and increasing the efficiency of health and social care provision;

#### Amendment

1.1. accelerate the emergence of innovative ICT-based products and services for *people* with disabilities and for active and healthy ageing at home, in the community, or at work, thus improving the quality of life, autonomy, participation in social life, skills or employability of older adults and increasing the efficiency of health and social care provision;

Or. ro

Amendment 64
Claude Turmes
on behalf of the Greens/EFA Group

Proposal for a decision Annex 1 – point 1 – point 1.1 a (new)

Text proposed by the Commission

### Amendment

1.1a. support development of solutions that contribute to the independency and alleviation of a sense of social isolation of older people, whereby the ICT component

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should not replace the human contact, but are complementary to the human contact. ICT-based solutions supported under the programme should integrate non-ICT aspects by design.

Or. en

Amendment 65
Claude Turmes
on behalf of the Greens/EFA Group
Proposal for a decision
Annex 1 – point 1 – point 1.2

Text proposed by the Commission

1.2. maintain and further develop a critical mass of applied research, development and innovation at Union level *in the areas of ICT-based* products and services for active and healthy ageing;

## Amendment

1.2. maintain and further develop a critical mass of applied research, development and innovation at Union level *integrating solutions*, products and services, *including ICT-based*, for active and healthy ageing;

Or. en

Amendment 66 Silvia-Adriana Țicău

Proposal for a decision Annex 1 – point 1 – point 1.2

Text proposed by the Commission

1.2. maintain and further develop a critical mass of applied research, development and innovation at Union level in the areas of ICT-based products and services for active and healthy ageing;

#### Amendment

1.2. maintain and further develop a critical mass of applied research, development and innovation at Union level in the areas of ICT-based products *for people with disabilities* and services for active and healthy ageing;

Or. ro

Amendment 67
Claude Turmes
on behalf of the Greens/EFA Group

Proposal for a decision Annex 1 – point 1 – point 1.3

Text proposed by the Commission

1.3. develop cost-effective solutions, including establishing relevant interoperability standards and facilitating the localisation and adaptation of common solutions, which are compatible with varying social preferences and regulatory aspects at national or regional level, respect the privacy and dignity of the older adults and, where applicable, support access to services in rural and peripheral areas *or* benefit other groups of people, such as people with disabilities.

#### Amendment

1.3. develop cost-effective solutions, including establishing relevant interoperability standards and facilitating the localisation and adaptation of common solutions, which are compatible with varying social preferences and regulatory aspects at national or regional level, respect the privacy and dignity of the older adults and, where applicable, support access to services in rural and peripheral areas and benefit other groups of people, such as people with disabilities. To improve accessibility, a mandatory implementation of the concept of Design for All will ensure the development and deployment of products that are fully accessible for older people, people with disabilities and the broader population as a whole.

Or. en

## Justification

All Active and Healthy Ageing projects supported by the EU should support the implementation of the UN Convention of the Rights of Persons with Disabilities recently ratified by the European Union, therefore should make the promotion of the concept of Design for All a prerequisite for funding.

Amendment 68 Christian Ehler

Proposal for a decision Annex 1 – point 1 – point 1.3

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1.3. develop cost-effective solutions, including establishing relevant interoperability standards and facilitating the localisation and adaptation of common solutions, which are compatible with varying social preferences and regulatory aspects at national or regional level, respect the privacy and dignity of the older adults and, where applicable, support access to services in rural and peripheral areas or benefit other groups of people, such as people with disabilities.

## Amendment

1.3. develop cost-effective solutions, including establishing relevant interoperability standards and facilitating the localisation and adaptation of common solutions, which are compatible with varying social preferences and regulatory aspects at national or regional level, respect the privacy and dignity of the older adults, *ensure protection and security of personal data*, and, where applicable, support access to services in rural and peripheral areas or benefit other groups of people, such as people with disabilities.

Or en

Amendment 69 Silvia-Adriana Țicău

Proposal for a decision Annex 1 – point 1 – point 1.3 a (new)

Text proposed by the Commission

### Amendment

1.3a. thereby ensure the confidentiality of private data with regard to all products and services and the highest levels of data protection and privacy from the design stage;

Or. ro

Amendment 70 Maria Badia i Cutchet

Proposal for a decision Annex 1 – point 2 a (new)

Amendment

2a. The AAL Programme shall ensure the effective promotion of gender equality.

Or. es

Amendment 71 Maria Badia i Cutchet

Proposal for a decision Annex 2 – part I – point 3 a (new)

Text proposed by the Commission

Amendment

3a. The AAL Programme shall promote health innovation and e-Health: increasing the interoperability of patient registers and other e-Health solutions, such as those using the Internet of Things (IoT).

Or. es

Amendment 72 Claude Turmes on behalf of the Greens/EFA Group

Proposal for a decision Annex 2 – part I – point 3 a (new)

Text proposed by the Commission

Amendment

3a. Actions shall aiming at consolidating and analysing different methods of enduser involvement in order to develop evidence based best practice guidelines;

## Amendment 73 Maria Badia i Cutchet

Proposal for a decision Annex 2 – part II – point 4 a (new)

Text proposed by the Commission

Amendment

4a. In implementing the AAL Programme, account must be taken of situations arising from the digital divide so as to ensure the involvement of final users in the programme.

Or. es

Amendment 74
Claude Turmes
on behalf of the Greens/EFA Group

Proposal for a decision Annex 2 – part II – point 6

Text proposed by the Commission

6. In line with the close-to-market nature of the AAL Programme and in compliance with the rules set out in Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union, the AALA shall establish appropriate minimum performance targets for time to grant and time to payment in accordance with the [Rules for Participation and][the Financial Regulation] and ensure compliance with them by Participating States during the implementation of the AAL Programme.

## Amendment

6. In line with the close-to-market nature of the AAL Programme and in compliance with the rules set out in Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union, the AALA shall establish appropriate minimum performance targets for time to grant and time to payment in accordance with the [Rules for Participation and][the Financial Regulation] and ensure compliance with them by Participating States during the implementation of the AAL Programme. In particular the time to grant shall be made public by the Participating States to the programme participants and in any case it shall not exceed 5 months.

## Amendment 75 Fiona Hall

# Proposal for a decision Annex 2 – part II – point 7

Text proposed by the Commission

7. Each Participating State shall *facilitate* the participation of organisations representing demand side actors.

## Amendment

7. Each Participating State shall *ensure* the participation of organisations representing demand side actors *as early in the process as possible*.

Or. en

Amendment 76
Claude Turmes
on behalf of the Greens/EFA Group

Proposal for a decision Annex 2 – part II – point 14 a (new)

Text proposed by the Commission

# Amendment

14a. In order to ensure effective and timely implementation of the programme, the time taken for establishing consortium agreements between project participants selected for funding shall be limited. Consortium agreements between project participants shall be signed within a maximum of 5 months from the date of notification of selection.